

# Partition function

$$q^{H_R} \bar{q}^{H_L}$$

$$\left( \begin{aligned} q &= e^{\tau \pi i} \\ &= e^{-\tau \pi i \tau_L + \tau \pi i \tau_R} \end{aligned} \right)$$

$$Z = \text{Tr}_{\mathcal{H}_{a, \tilde{a}}} \left( e^{2\pi i (b F_R + \tilde{b} F_L)} e^{-2\pi i \tau_1 P} \cdot e^{-2\pi i \tau_2 H} \right)$$

$$\mathcal{H} = \mathcal{H}^R \otimes \mathcal{H}^L$$

$$\Rightarrow \text{Tr}_{\mathcal{H}_a^R} \left( e^{2\pi i b F_R} q^{H_R} \right) \cdot \text{Tr}_{\mathcal{H}_{\tilde{a}}^L} \left( e^{2\pi i \tilde{b} F_L} \bar{q}^{H_L} \right)$$

$$\mathcal{H}_a^R \ni \underbrace{|0\rangle_a}_{\text{multiplied by}} \begin{cases} \psi_{a+r} & r \leq -\frac{1}{2} \\ \bar{\psi}_{a+r} & r \leq -\frac{1}{2} \end{cases}$$



$$\left[ \begin{array}{ll} e_{r+a} & r \geq \frac{1}{2} \text{ empty} \\ e_{r+a} & r \leq -\frac{1}{2} \text{ filled} \end{array} \right. \left( \begin{array}{l} H_R = -\frac{1}{24} + \frac{a^2}{2} \\ F_R = a \end{array} \right)$$

$$Z_{a,b}^R(\tau) = \text{Tr}_{\mathcal{H}_a^R} \left( e^{2\pi i b F_R} q^{H_R} \right)$$

$$= e^{2\pi i b a} q^{-\frac{1}{24} + \frac{a^2}{2}} \prod_{r \geq \frac{1}{2}} \left( 1 + \overbrace{e^{2\pi i b} q^{r+a}}^{\text{creating a particle}} \right) \prod_{r \leq -\frac{1}{2}} \left( 1 + \overbrace{e^{-2\pi i b} q^{-r-a}}^{\text{making a hole}} \right)$$

$$= e^{2\pi i b a} q^{-\frac{1}{24} + \frac{a^2}{2}} \prod_{n=1}^{\infty} \left( 1 + e^{2\pi i b} q^{n+a-\frac{1}{2}} \right) \left( 1 + e^{-2\pi i b} q^{n-a-\frac{1}{2}} \right)$$

$$= q^{\frac{a^2}{2}} e^{2\pi i b a} \frac{\prod_{n=1}^{\infty} (1 - q^n) (1 + z q^{n-\frac{1}{2}}) (1 + z^{-1} q^{n-\frac{1}{2}})}{q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n)}$$

$$z = e^{2\pi i b} q^a = e^{2\pi i (b + \tau a)}$$

$$\star \quad q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n) = \eta(\tau) \quad \text{Dedekind's eta}$$

$$\star \quad \prod_{n=1}^{\infty} (1 - q^n)(1 + zq^{n-\frac{1}{2}})(1 + z^{-1}q^{n-\frac{1}{2}})$$

$$(z = e^{2\pi i v})$$

$$= \vartheta \left[ \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \right] (v, \tau) \quad \leftarrow \text{Jacobi's Theta function}$$

$$:= \sum_{n \in \mathbb{Z}} q^{\frac{1}{2}n^2} e^{2\pi i v n} \quad \left( \text{or } \vartheta_3(v, \tau) \right)$$

a (non-trivial) identity.

$$\therefore Z_{a,b}^R(\tau) = q^{\frac{a^2}{2}} e^{2\pi i b a} \frac{\vartheta \left[ \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \right] (b + \tau a, \tau)}{\eta(\tau)}$$

$$= \frac{1}{\eta(\tau)} \sum_{n \in \mathbb{Z}} q^{\frac{1}{2}(n+a)^2} e^{2\pi i b(n+a)}$$

$$= \frac{1}{\eta(\tau)} \vartheta \left[ \begin{smallmatrix} a \\ b \end{smallmatrix} \right] (0, \tau)$$

$$\left( \vartheta \left[ \begin{smallmatrix} a \\ b \end{smallmatrix} \right] (v, \tau) := \sum_{n \in \mathbb{Z}} q^{\frac{1}{2}(n+a)^2} e^{2\pi i (v+b)(n+a)} \right)$$

Note  $Z_{a,b}^R(\tau) = Z_{a+1,b}^R(\tau) = e^{-2\pi i a} Z_{a,b+1}(\tau) = Z_{-a,-b}(\tau)$

$\uparrow$   $\uparrow$   
 inv. under not invariant  
 $a \rightarrow a+1$  under  $b \rightarrow b+1$

Left moving sector:

$$Z_{\tilde{a}, \tilde{b}}^L(\bar{\tau}) = \text{Tr}_{\mathcal{H}_{\tilde{a}}^L} \left( e^{2\pi i \tilde{b} F_L} \bar{q}^{H_L} \right)$$

$\mathcal{H}_{\tilde{a}}^L \ni |0\rangle_{\tilde{a}}$  multiplied by  $\begin{cases} \tilde{\varphi}_{-a+r} \\ \tilde{\varphi}_{+a+r} \end{cases} \left\{ \begin{array}{l} r \leq -\frac{1}{2} \\ r \geq \frac{1}{2} \end{array} \right.$

filled with  $\tilde{e}_{-\tilde{a}+r} \quad r \leq -\frac{1}{2}$

empty in  $\tilde{e}_{-\tilde{a}+r} \quad r \geq \frac{1}{2}$

$$H_L = -\frac{1}{24} + \frac{\tilde{a}^2}{2}, \quad F_L = -\tilde{a}$$

$$= e^{-2\pi i \tilde{b} \tilde{a}} \bar{q}^{-\frac{1}{24} + \frac{\tilde{a}^2}{2}} \prod_{r \geq \frac{1}{2}} \left( \underset{\substack{\uparrow \\ \text{emp.}}}{1 + e^{2\pi i \tilde{b}} \bar{q}^{r-\tilde{a}}} \right) \cdot \prod_{r \leq -\frac{1}{2}} \left( \underset{\substack{\uparrow \\ \text{filled}}}{1 + e^{-2\pi i \tilde{b}} \bar{q}^{-r+\tilde{a}}} \right)$$

$$= \bar{q}^{-\frac{1}{24} + \frac{\tilde{a}^2}{2}} e^{-2\pi i \tilde{b} \tilde{a}} \prod_{n=1}^{\infty} \left( 1 + e^{2\pi i \tilde{b}} \bar{q}^{n-\tilde{a}-\frac{1}{2}} \right) \left( 1 + e^{-2\pi i \tilde{b}} \bar{q}^{n+\tilde{a}-\frac{1}{2}} \right)$$

$$= \frac{\vartheta\left[\begin{smallmatrix} \tilde{a} \\ -\tilde{b} \end{smallmatrix}\right](0, \bar{\tau})}{\eta(\bar{\tau})} = \left( \frac{\vartheta\left[\begin{smallmatrix} \tilde{a} \\ \tilde{b} \end{smallmatrix}\right](0, \tau)}{\eta(\tau)} \right)^*$$

Total

$$\therefore Z = Z_{a,b}^R(\tau) Z_{\tilde{a}, \tilde{b}}^L(\bar{\tau}) = \frac{\vartheta\left[\begin{smallmatrix} a \\ b \end{smallmatrix}\right](0, \tau) \cdot \overline{\vartheta\left[\begin{smallmatrix} \tilde{a} \\ \tilde{b} \end{smallmatrix}\right](0, \tau)}}{|\eta(\tau)|^2}$$

Path-integral interpretation of  $Z = \text{Tr}_g \left( \underbrace{e^{\frac{2\pi i}{g}(bF_R + \tilde{b}F_L)} e^{-2\pi i \tau_1 P}}_{U_g} e^{-2\pi i \tau_2 P} \right)$

$$\phi(\sigma, \tau) = \pm g \phi(\sigma, \tau + \rho) = \pm U_g^{-1} \phi(\sigma, \tau + \rho) U_g$$

$$\psi_-(\sigma, \tau) = -e^{-2\pi i a} \psi_-(\sigma + 2\pi, \tau) = -e^{2\pi i b} \psi_-(\sigma + 2\pi \tau_1, \tau + 2\pi \tau_2)$$

$$\psi_+(\sigma, \tau) = -e^{-2\pi i \tilde{a}} \psi_+(\sigma + 2\pi, \tau) = -e^{2\pi i \tilde{b}} \psi_+(\sigma + 2\pi \tau_1, \tau + 2\pi \tau_2)$$

$$Z = \int \mathcal{D}\psi_{\pm} \mathcal{D}\bar{\psi}_{\pm} e^{-S_E(\psi_{\pm}, \bar{\psi}_{\pm})}$$

Above boundary condition.

Put  $\psi_-(\sigma, \tau) = e^{i\alpha\sigma + i\beta\tau} \psi'_-(\sigma, \tau)$

$$\psi'_-(\sigma, \tau) = -\psi'_-(\sigma + 2\pi, \tau) = -\psi'_-(\sigma + 2\pi \tau_1, \tau + 2\pi \tau_2) \quad \text{antiperiodic in both}$$

$$\Leftrightarrow \alpha = a, \quad \beta = -\frac{b + \tau_1 a}{\tau_2}$$

$$\psi_+(\sigma, \tau) = e^{i\tilde{\alpha}\sigma + i\tilde{\beta}\tau} \psi'_+(\sigma, \tau)$$

$\psi'_+$  antiperiodic in both

$$\Leftrightarrow \tilde{\alpha} = \tilde{a}, \quad \tilde{\beta} = -\frac{\tilde{b} + \tau_1 \tilde{a}}{\tau_2}$$

$$S_E = -i \int_{t \rightarrow -i\tau} = -\frac{i}{2\pi} \int d\sigma (-i d\tau) \left[ i \bar{\Psi}_- (i\partial_\tau + \partial_\sigma) \Psi_- + i \bar{\Psi}_+ (i\partial_\tau - \partial_\sigma) \Psi_+ \right]$$

$$= \frac{1}{2\pi} \int d\sigma d\tau \left[ \bar{\Psi}'_- (\partial_\tau - i\partial_\sigma + \underbrace{i\beta + \alpha}_{-i \frac{b+\tau a}{\tau_2}}) \Psi'_- + \bar{\Psi}'_+ (\partial_\tau + i\partial_\sigma + \underbrace{i\tilde{\beta} - \tilde{\alpha}}_{-i \frac{\tilde{b} + \tau \tilde{a}}{\tau_2}}) \Psi'_+ \right]$$

$$= \frac{1}{2\pi} \int d\sigma d\tau \left[ \bar{\Psi}'_- (D_\tau - iD_\sigma) \Psi'_- + \bar{\Psi}'_+ (\tilde{D}_\tau + i\tilde{D}_\sigma) \Psi'_+ \right]$$

$$D_\mu = \partial_\mu + iA_\mu \quad \tilde{D}_\mu = \partial_\mu + i\tilde{A}_\mu$$

$$A_\tau - iA_\sigma = -\frac{b+\tau a}{\tau_2} \quad \tilde{A}_\tau + i\tilde{A}_\sigma = -\frac{\tilde{b} + \tau \tilde{a}}{\tau_2}$$

Fermion Coupled to gauge fields  $A_\mu$  (for Right)  
 $\tilde{A}_\mu$  (for Left)

$$A_\mu = \tilde{A}_\mu \iff \tilde{b} = b, \tilde{a} = a$$

In that case,

$$\mathcal{Z} = \left| \frac{\mathcal{D} \begin{bmatrix} a \\ b \end{bmatrix} (0, \tau)}{\eta(\tau)} \right|^2$$

It is invariant under  $b \rightarrow b+1$  (of course also under  $a \rightarrow a+1$ )

## Modular invariance?

Using modular transformation property of  $\eta(\tau)$  &  $\mathcal{V}\left[\begin{smallmatrix} a \\ b \end{smallmatrix}\right](v, \tau)$ ,  
we find

$$\cdot Z_{a,b}^R(\tau+1) = Z_{a, b+a \pm \frac{1}{2}}^R(\tau) \cdot e^{\pi i (-a^2 \mp a - \frac{1}{2})}$$

$$\cdot Z_{a,b}^R(-\frac{1}{\tau}) = Z_{b,-a}^R(\tau) \cdot e^{2\pi i ab}$$

$$\therefore |Z_{a,b}^R(\tau+1)|^2 = |Z_{a, b+a \pm \frac{1}{2}}^R(\tau)|^2 \text{ but } \neq |Z_{a,b}^R(\tau)|^2 \quad \text{--- (1)}$$

$$|Z_{a,b}^R(-\frac{1}{\tau})|^2 = |Z_{b,-a}^R(\tau)|^2 \text{ but } \neq |Z_{a,b}^R(\tau)|^2 \quad \text{--- (2)}$$

Can we understand this?

— Yes!

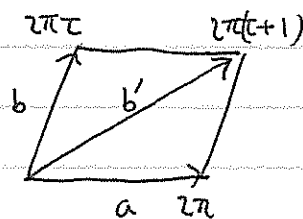
(write  $t = \text{Euclidean time } \tau$  to avoid confusion)

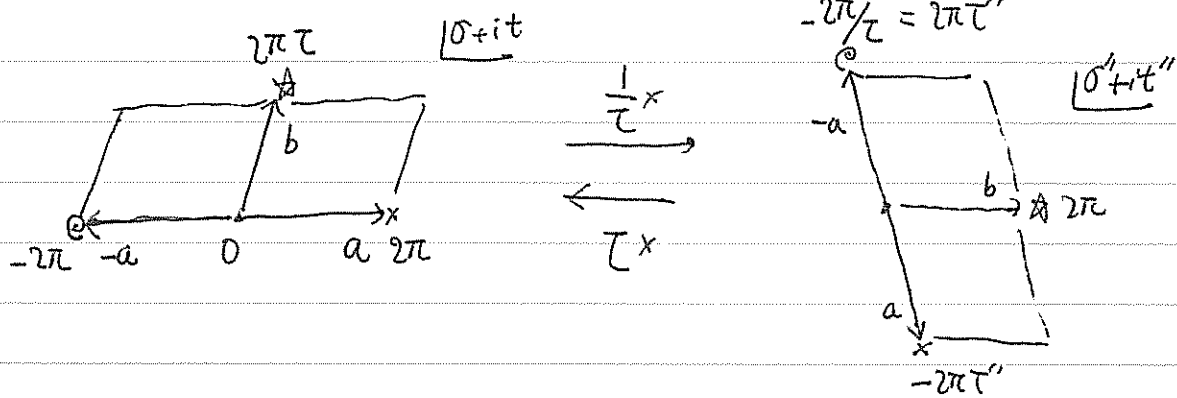
$$\bullet \Psi_-(\sigma, t) = -e^{2\pi i a} \Psi_-(\sigma+2\pi, t) = -e^{2\pi i b} \Psi_-(\sigma+2\pi a, t+2\pi \tau_2)$$

$$= - \underbrace{e^{2\pi i b}}_{e^{2\pi i (b-a \pm \frac{1}{2})}} \underbrace{(-e^{-2\pi i b} \Psi_-(\sigma+2\pi \tau_1+2\pi, t+2\pi \tau_2))}_{2\pi(\tau_1+1)}$$

$\therefore$  For  $\tau' = \tau+1$ , we have  $a' = a, b' = b - a \pm \frac{1}{2}$ .

$$(1) \text{ says } |Z_{a',b'}^R(\tau')|^2 = |Z_{a,b}^R(\tau)|^2$$





$$\begin{aligned} \psi_-(\sigma'', t'') &\stackrel{x}{=} -e^{-2\pi i a} \psi_-(\sigma'' - 2\pi\tau'', t'' - 2\pi\tau'') \\ &\stackrel{*}{=} -e^{2\pi i b} \psi_-(\sigma'' + 2\pi, t'') \quad \stackrel{**}{=} -e^{2\pi i a} \psi_-(\sigma'' + 2\pi\tau'', t'' + 2\pi\tau'') \end{aligned}$$

$\therefore$  For  $\tau'' = -1/\tau$ , we have  $a'' = -b$ ,  $b'' = a$

(2) says  $|Z_{a'', b''}^R(\tau'')|^2 = |Z_{a, b}^R(\tau)|^2$

Thus  $Z = \left| \frac{\vartheta \begin{bmatrix} a \\ b \end{bmatrix}(\tau)}{\eta(\tau)} \right|^2$  transforms

under modular transformation as it "should".

But it is not invariant. (for a fixed  $a, b$ )