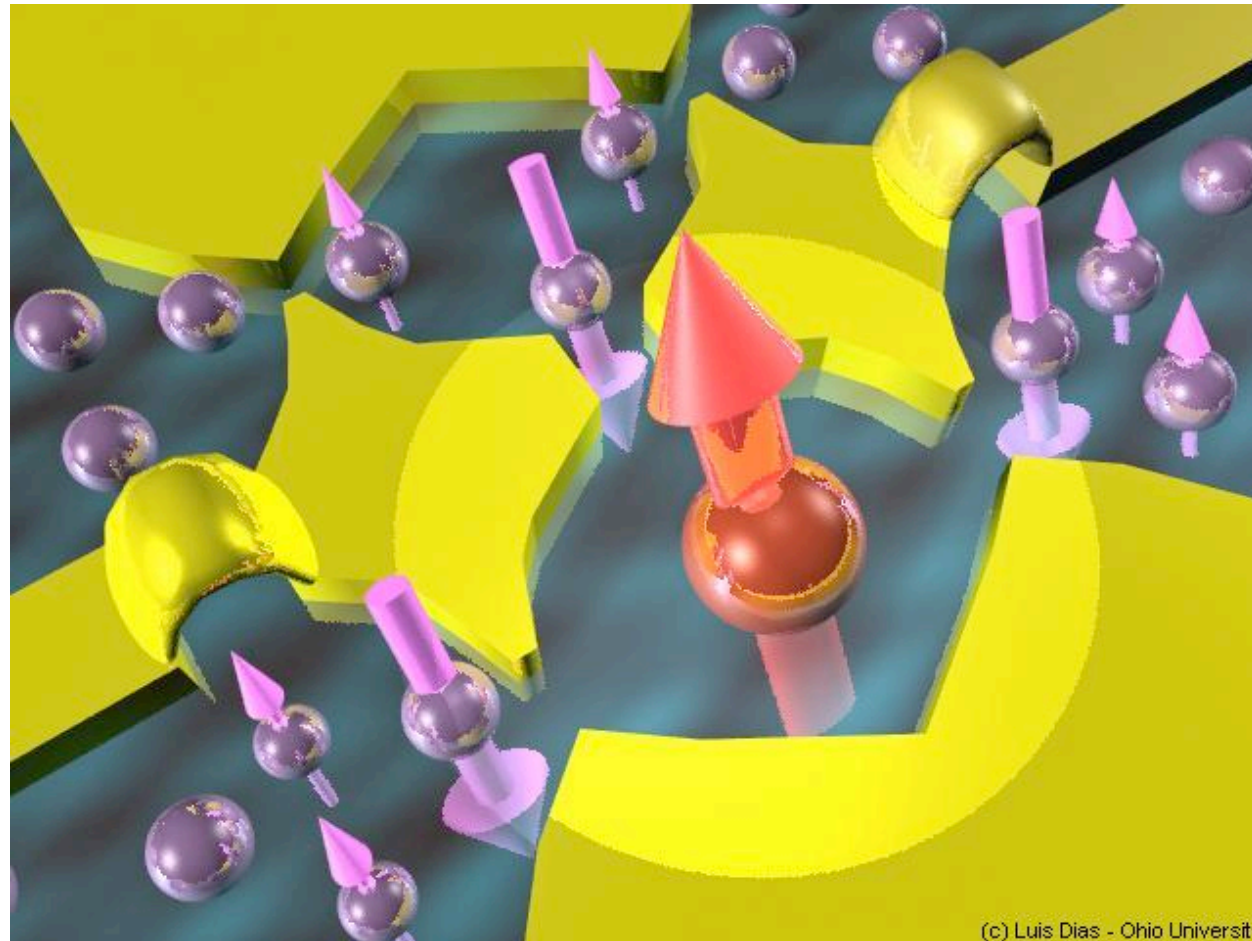


Maximally Supersymmetric “Dirt”

Shamit Kachru (Stanford & SLAC)



Based on work with:
S. Harrison, G. Torroba [arXiv:1110.5325](#)
K. Jensen, A. Karch, J. Polchinski, E. Silverstein [arXiv:1105.1772](#)
+ older papers with A. Karch, S. Yaida

I. Introduction and motivation

The Kondo effect was in a sense the first example of a system exhibiting asymptotically free running of a coupling constant:

$$H = \sum_{\vec{k}\alpha} \psi_{\vec{k}}^{\dagger\alpha} \psi_{\vec{k}\alpha} \epsilon(k) + J \vec{S} \cdot \sum_{\vec{k}\vec{k}'} \psi_{\vec{k}}^{\dagger} \frac{\vec{\sigma}}{2} \psi_{\vec{k}'}$$

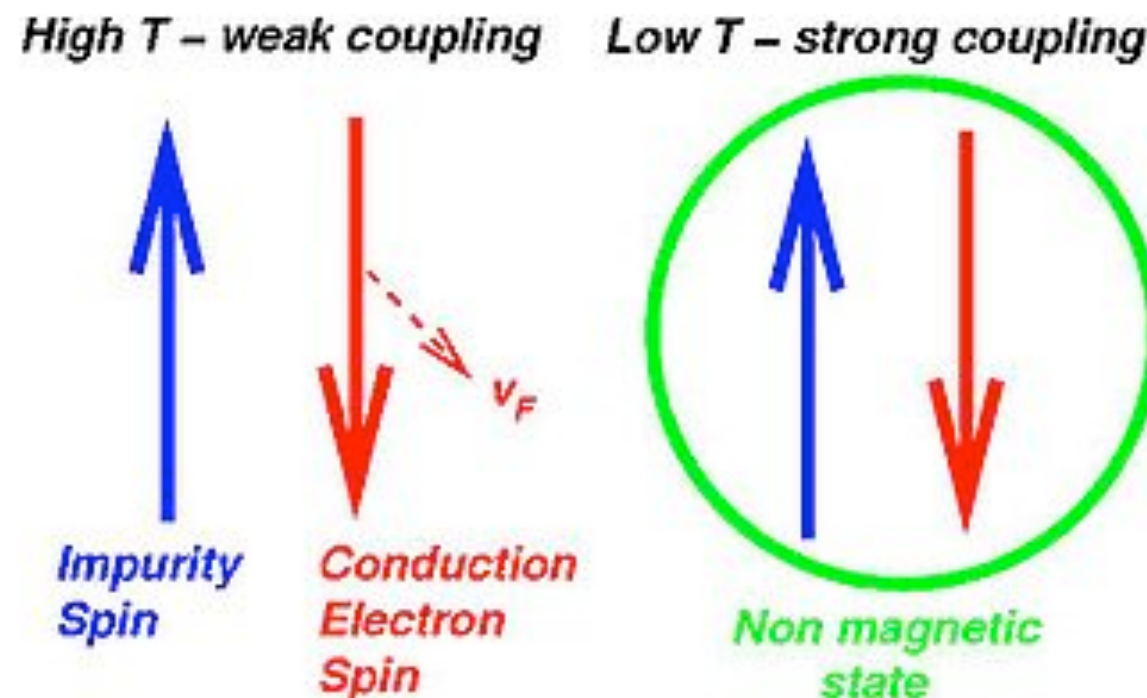
The effective coupling of the impurity spin to the itinerant electrons grows logarithmically at low energies

$$\lambda \equiv J\nu, \qquad \lambda(T) \approx \lambda + \lambda^2 \ln \frac{D}{T} + \dots$$

leading to interesting phenomena at the Kondo temperature:

$$T_K \approx D \exp[-1/\lambda],$$

below which one electron “sacrifices itself” to neutralize the spin:



Variants of this model exhibit other interesting behaviours.
One natural generalisation is the multi-channel model:

$$H = \sum_{\vec{p}, i, \alpha} \epsilon(\vec{p}) \psi_{\vec{p}i\alpha}^\dagger \psi_{\vec{p}i\alpha} + J \sum_{\vec{p}\vec{p'} i\alpha\beta} S_{\alpha\beta} \psi_{\vec{p}i\alpha}^\dagger \psi_{\vec{p'}i\beta}$$

with $i=1, \dots, K$ labelling channel, and α the index for the global SU(2) spin symmetry.

If the defect has spin s , then
the IR fate depends on the # of channels compared to s :

$$K > 2s$$

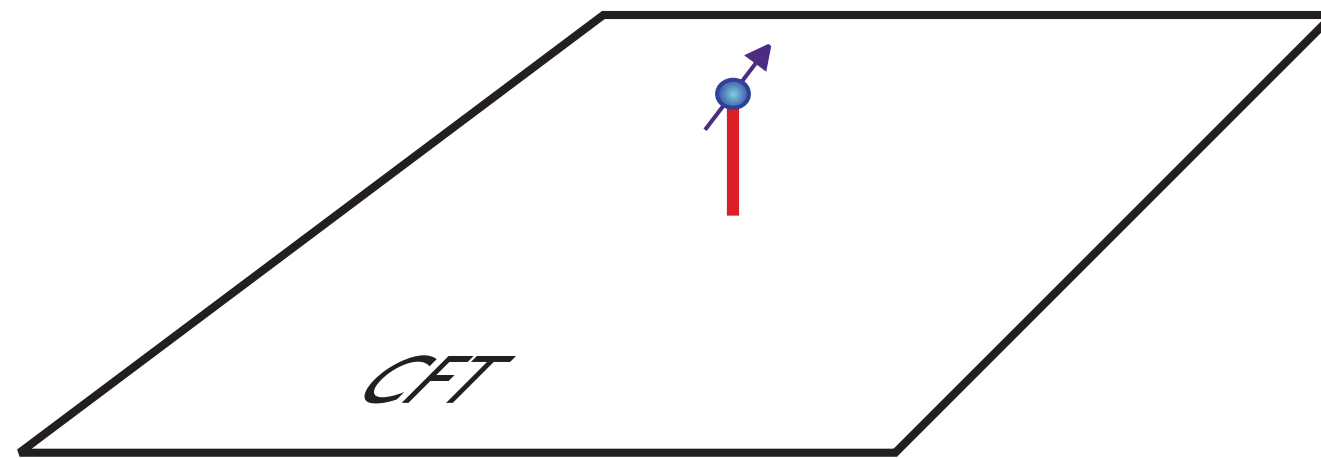
“Overscreened,” non-Fermi liquid behavior

$$K < 2s$$

“Underscreened,” free partially screened spin in IR

c.f. exact solution by Affleck, Ludwig

Another interesting generalisation arises when instead of considering the impurity interacting with a free Fermi liquid, one considers a non-trivial bulk CFT (as would happen if one tunes such a system through a quantum critical point):



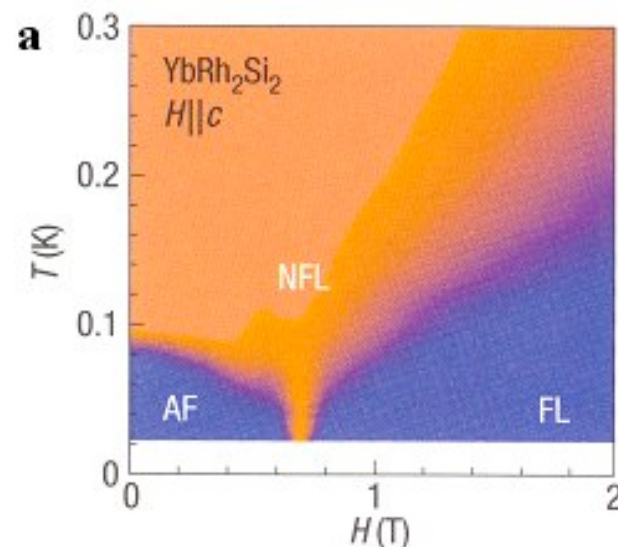
c.f. Sachdev,
Buragohain, Vojta

We will be considering such models in the context of gauge/gravity duality, momentarily.

A last and even more interesting generalisation is to consider the Kondo lattice model:

$$H = H_J + \sum_k \epsilon_k c_{k\alpha}^\dagger c_k^\alpha + \frac{J_K}{2} \sum_i \hat{S}_i^a c_{i\alpha}^\dagger (\sigma^a)_\beta^\alpha c_i^\beta.$$

Now competition between the Kondo interaction and RKKY spin-spin interactions, is thought to potentially explain the existence of phase diagrams like those of the heavy fermion metals:



We will be studying **highly idealized** models of this general sort in the talk today. The bulk will be a highly supersymmetric CFT, coupled supersymmetrically to the defect spin. There are many drawbacks to the supersymmetry, but it has the virtue of allowing us to reliably solve for some features of the physics, in some limits.

Plan:

- II. SUSY Kondo model: probe approximation
- III. SUSY Kondo lattice model: probe approximation
- IV. SUSY Kondo model: including backreaction

II. The maximally supersymmetric Kondo model

We will be studying the system realised by the following configuration of D3 and D5 branes in type IIB superstring theory:

	0	1	2	3	4	5	6	7	8	9
N D3	×	×	×	×						
M D5	×				×	×	×	×	×	
k F1	×									×

$$S = S_{D3} + S_{D5} + S_{\text{defect}}$$

$$S_{\text{defect}} = \int dt \left[i\bar{\chi}_i^I \partial_t \chi_I^i + \bar{\chi}_i^I \left(A_0(t, 0)_j^i + n_a \phi^a(t, 0)_j^i \right) \chi_I^j + \bar{\chi}_i^I (\tilde{A}_0)_I^J \chi_J^i - k(\tilde{A}_0)_I^I \right]$$

In the standard supergravity limit, this system is dual to **N=4 SYM coupled to a defect fermion with:**

$$S_{\text{field theory}} = S_{\mathcal{N}=4} + \int dt \left[i\chi_b^\dagger \partial_t \chi^b + \chi_b^\dagger \left\{ (A_0(t, \vec{0}))_c^b + v^I (\phi_I(t, \vec{0}))_c^b \right\} \chi^c \right],$$
$$\sum_{\alpha=1}^N \chi_\alpha^\dagger \chi_\alpha = k.$$

The bosonic symmetries preserved by the defect are:

$$SL(2, \mathbb{R}) \times SO(3) \times SO(5)$$

It is useful to write the $AdS_5 \times S^5$ metric in a way that makes these symmetries manifest:

$$ds^2 = R^2 \left(du^2 + \cosh^2 u \, ds_{AdS_2}^2 + \sinh^2 u \, d\Omega_2^2 + d\theta^2 + \sin^2 \theta \, d\Omega_4^2 \right)$$

In the probe approximation $M \ll N$, the D5 worldvolume is an $AdS_2 \times S^4$, given by the embedding conditions:

$$u = 0 \quad , \quad \theta = \theta_k \quad .$$

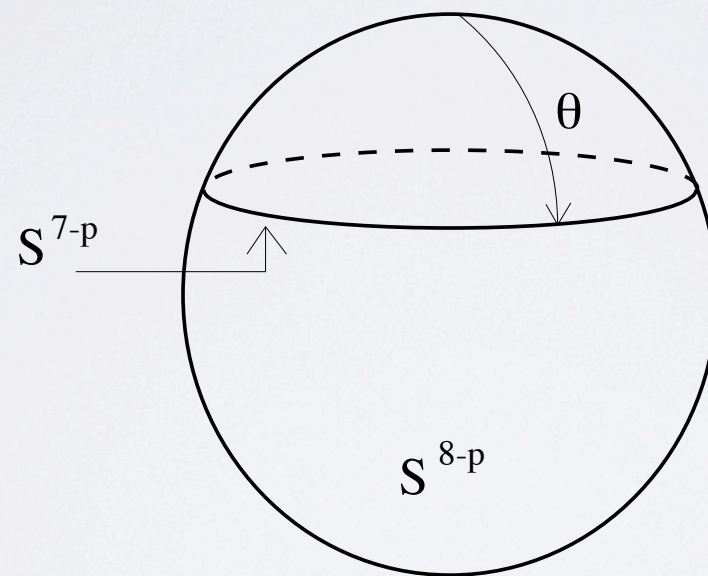


Figure 1: The points of the S^{8-p} sphere with the same polar angle θ define a S^{7-p} sphere. The angle θ represents the latitude on S^{8-p} , measured from one of its poles.

The allowed angles are:
$$k = \frac{N}{\pi} \left(\theta_k - \frac{1}{2} \sin 2\theta_k \right) \quad .$$

The defect free energy and entropy can be computed by evaluating the DBI action immersed in the AdS black brane:

$$ds^2 = -f(r)dt^2 + \frac{dr^2}{f(r)} + \frac{r^2}{R^2} \left(\sum_{i=1}^3 dx_i^2 \right) + R^2 (d\theta^2 + \sin^2 \theta d\Omega_4^2) ,$$

$$f(r) = \frac{r^2}{R^2} \left(1 - \frac{r_+^4}{r^4} \right) .$$

(The field theory temperature is given by $T = r_+/\pi R^2$.)

Regularizing by subtracting the Euclidean action of the analogous D5 in pure AdS space-time, one finds for a single brane:

$$F_{\text{defect}} = -\sqrt{\lambda} \frac{\sin^3 \theta_k}{3\pi} NT$$

The impurity entropy or “g-function” is defined by:

$$\log g = \mathcal{S}_{\text{imp}} \equiv \lim_{T \rightarrow 0} \lim_{V \rightarrow \infty} [\mathcal{S}(T) - \mathcal{S}_{\text{ambient}}(T)]$$

In this case, now restoring M , we find:

$$\log g = \mathcal{S}_{\text{imp}} = \sqrt{\lambda} \frac{\sin^3 \theta_k}{3\pi} MN$$

By way of comparison, the multi-channel Kondo model with K channels and $SU(N)$ spin symmetry (@ large N), with a defect in the k th antisymmetric representation, has:

$$\mathcal{S}_{\text{imp}} = \frac{2}{\pi} MN \left[f \left(\frac{\pi}{1 + K/N} \right) - f \left(\frac{\pi}{1 + K/N} (1 - k/N) \right) - f \left(\frac{\pi}{1 + K/N} k/N \right) \right]$$

$$f(x) = \int_0^x du \log \sin u$$

Parcollet, Georges,
Kotliar, Sengupta

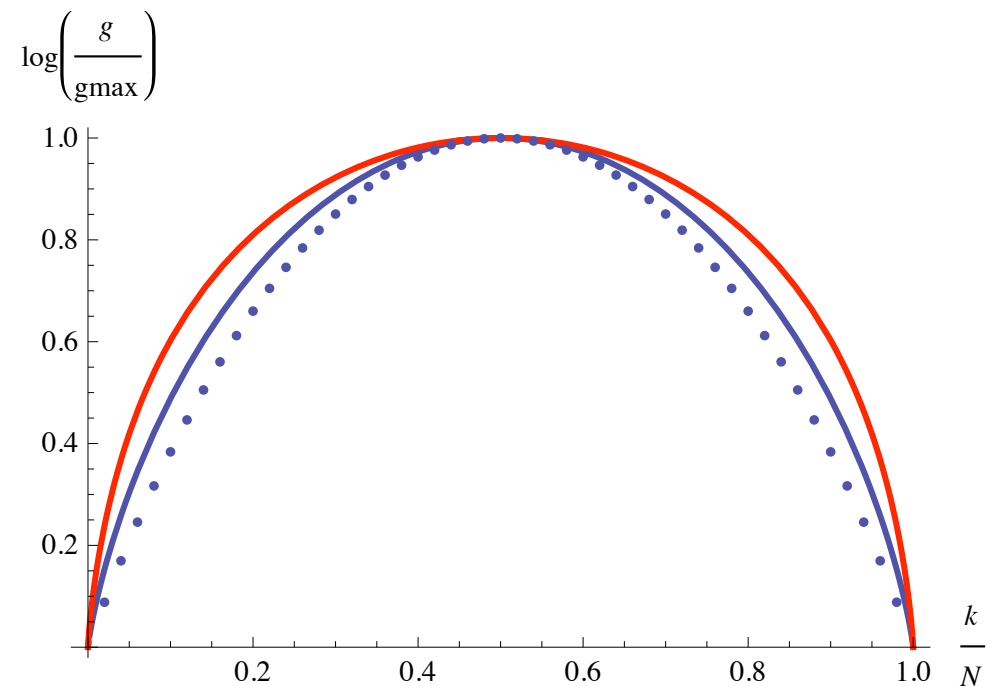


Figure 1: Impurity entropy as a function of k/N for the supersymmetric model (dotted curve) and nonsupersymmetric multichannel model with number of channels $K/N = 1$ (blue) and $K/N = 0.1$ (red).

*** The plot is symmetric about $k/N = 1/2$ due to particle/hole symmetry**

*** We see the results for the SUSY model are closest to those for the standard multi-channel model with # of channels equal to N**

* From the exact result, or its small k/N expansion

$$\log g = \frac{1}{2}kM\sqrt{\lambda} \left[1 - \frac{3}{10} \left(\frac{3\pi k}{2N} \right)^{2/3} - \frac{3}{280} \left(\frac{3\pi k}{2N} \right)^{4/3} + \dots \right]$$

we see that the answer is far from being that of a free spin with integer number of possible spin states. This is also true of overscreened (but not underscreened) Kondo models.

Defect specific heat and susceptibility

In the “real” model, these vanish at the fixed point, and are governed by the **leading irrelevant operator** that would be present in the flow.

In our model too,

$$C_{\text{defect}} = -T \frac{\partial^2 F_{\text{defect}}}{\partial T^2}$$

will clearly vanish at the fixed point (even after backreaction), and so will be governed by the leading irrelevant operator.

We define susceptibility with respect to the “magnetic field” that couples to the SO(5) R-current:

$$S \supset \int d^4x \mathcal{A}_\alpha J_R^\alpha \qquad \chi_{\text{total}} \equiv \left. \frac{\partial^2 F}{\partial B^2} \right|_{B=0}$$

The defect susceptibility will vanish trivially in the probe approximation before including the leading irrelevant operator. Backreaction will change this.

* We should classify defect operator spectrum, find lowest dimension $SO(3) \times SO(5)$ singlet.

* The system is highly symmetric, enjoying the $OSp(4^*|4)$ supergroup of symmetries. The lowest weight representations of this supergroup are classified.

Gunaydin,
Scalise

The even subgroup of the supergroup is

$$SL(2, \mathbb{R}) \times SO(3) \times SO(5)$$

and the states are classified by the quantum numbers h, j, m_1, m_2 (the $SL(2, \mathbb{R})$ dimension, the $SO(3)$ spin, and the $SO(5)$ Dynkin labels, respectively).

One does KK calculations on the D5 to find the spectrum. Details unpalatable; results, **exact** for protected operators even away from probe limit:

D5 field	defect operator	$SL(2, \mathbb{R}) \times SO(3) \times SO(5)$
$(\delta\theta, f_{rt})_{l=1}^{(1)}$	$\mathcal{O} \equiv \bar{\chi} \phi_{\perp} \chi$	$(1, 0; 0, 1)$
$\delta u_{l=0}$	$Q^2 \mathcal{O} \sim \bar{\chi} (n^a D_{\alpha} \phi_a) \chi$	$(2, 1; 0, 0)$
$(\delta\theta, f_{rt})_l^{(1)}$	$\mathcal{O}^{(l)} \equiv \bar{\chi} (\phi_{\perp}^{(a_1)} \dots \phi_{\perp}^{(a_l)}) \chi$	$(l, 0; 0, l)$
δu_{l-1}	$Q^2 \mathcal{O}^{(l)} \sim \bar{\chi} (n^a D_{\alpha} \phi_a \phi_{\perp}^{(a_1)} \dots \phi_{\perp}^{(a_{l-1})}) \chi$	$(l+1, 1; 0, l-1)$
$(a_i)_l$	$Q^2 \mathcal{O}^{(l)} \sim \bar{\chi} (\Gamma_i n^a \phi_a \phi_{\perp}^{[a_1} \phi_{\perp}^{a_2]} \dots \phi_{\perp}^{a_l]) \chi$	$(l+1, 0; 2, l-2)$
$(\delta\theta, f_{rt})_l^{(2)}$	$Q^4 \mathcal{O}^{(l)} \sim \bar{\chi} ((n^a D_{\alpha} \phi_a)^2 \phi_{\perp}^{(a_1)} \dots \phi_{\perp}^{(a_{l-2})}) \chi$	$(l+2, 0; 0, l-2)$

There is **one marginal operator**, that transforms as an $SO(5)$ vector; geometrically, it corresponds to the fluctuation of D5 scalar fields that rotates the embedding of $SO(5) \subset SO(6)$.

In general, when the leading irrelevant operator \mathcal{O}_0 has dimension h_0 and we consider

$$S_{\text{defect}} \rightarrow S_{\text{defect}} + \int dt (\lambda_0 \mathcal{O}_0 + \text{h.c.})$$

(for defect operators with vanishing one-point function),
we'll find:

$$C_{\text{defect}} \sim \left(\frac{T}{T_K} \right)^{2(h_0-1)}, \quad \chi_{\text{defect}} \sim \left(\frac{T}{T_K} \right)^{2(h_0-1)} \frac{1}{T}.$$

The overscreened Kondo model with $N=K$ has $h_0 = 3/2$.
Here, instead the leading global singlet perturbation is:

$$(\chi^\dagger \phi_\perp \chi) \cdot (\chi^\dagger \phi_\perp \chi),$$

of dimension two.

III. SUSY Kondo lattice models

- * It would be very nice to also get a handle on the lattice models. They can be tied to non-Fermi liquids; in these gravity models, this is readily visible in the probe approximation.

- * The probes naturally live on AdS_2 geometries.

- * Such geometries are dual to “locally critical” sectors, that is sectors which enjoy dynamical scaling

$$x \rightarrow \lambda x, \quad t \rightarrow \lambda^z t$$

with $z = \infty$.

- * Fermions coupled to such locally critical sectors can naturally be deformed into non-Fermi liquids.

S.S. Lee;
Cubrovic, Schalm, Zaanen;
Liu, McGreevy, Vegh

- * Intuitive way to understand this: Faulkner,
Polchinski

Consider a quantum field theory whose action takes the schematic form:

$$S = S_{\text{strong}} + \sum_{J,J'} \int dt \left[c_J^\dagger (i\delta_{J,J'} \partial_t + \mu\delta_{J,J'} + t_{J,J'}) c_{J'} \right] \\ + g \sum_J \int dt \left[c_J^\dagger \mathcal{O}_J^F + (\text{Hermitian conjugate}) \right].$$

- * There is a strongly coupled sector which we'll assume is a large N theory that we can describe using gravity.
- * There is a free (lattice) fermion with a Fermi surface.

- * In perturbation theory in g , there is a simple set of graphs that correct the free fermion propagator:

$$\text{---} + \text{---} \cdots \text{---} + \text{---} \cdots \text{---} \cdots \text{---} + \dots$$

- * Summing the geometric series (exact at large N):

$$G_g(\mathbf{k}, \omega) \sim \frac{1}{\omega - v|\mathbf{k} - \mathbf{k}_F(\mathbf{k})| - g^2 \mathcal{G}(\mathbf{k}, \omega)} .$$

$$\mathcal{G}(\omega) = \int dt e^{i\omega t} \langle \mathcal{O}_J^F(t) \mathcal{O}_J^{F\dagger}(0) \rangle .$$

- * Under the **strong dynamical assumption** of local criticality for the large N sector:

$$\mathcal{G}(\omega) = c_{\Delta} \omega^{2\Delta-1}$$

* For any $\Delta < 1$ one obtains a non-Fermi liquid.

$$\Delta = 1 \rightarrow \mathcal{G} \sim \omega \log(\omega)$$

Varma et al,
1989

“Marginal Fermi liquid.”

* In defect models, the lowest dimension operator coupled to “c” is often a defect-localised operator. **Local criticality is then automatic in the probe approximation.**

* The D3/D5 system does not give a non-Fermi liquid. But its cousin does:

	0	1	2	3	4	5	6	7	8	9	10
M2	x	x	x								
M2'	x	::	::	x	x						



ABJM theory coupled
to defect hypers

A rather general 3d N=2 supersymmetric Chern-Simons theory has a Lagrangian of the form:

$$S = \int d^3x \frac{k}{4\pi} \text{Tr}(A \wedge dA + \frac{2}{3} A^3) + D_\mu \bar{\phi}_i D^\mu \phi_i + i \bar{\psi}_i \gamma^\mu D_\mu \psi_i$$

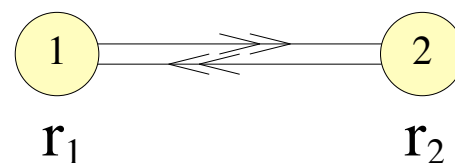
$$- \frac{16\pi^2}{k^2} (\bar{\phi}_i T_{R_i}^a \phi_i) (\bar{\phi}_j T_{R_j}^b \phi_j) (\bar{\phi}_k T_{R_k}^a T_{R_k}^b \phi_k)$$

$$- \frac{4\pi}{k} (\bar{\phi}_i T_{R_i}^a \phi_i) (\bar{\psi}_j T_{R_j}^a \psi_j) - \frac{8\pi}{k} (\bar{\psi}_i T_{R_i}^a \phi_i) (\bar{\phi}_j T_{R_j}^a \psi_j) .$$

Gaiotto, Yin;
many earlier

* There can also be a superpotential.

* This theory has groups and superpotential summarised by the quiver below:



$$W = \frac{2\pi}{k} \epsilon^{ab} \epsilon^{\dot{a}\dot{b}} \text{Tr}(A_a B_{\dot{a}} A_b B_{\dot{b}}) .$$

At each lattice point, the defect fields are “hypermultiplets”
with quantum numbers:

$$\begin{array}{ccc}
 Q_1 (N, 1), & Q_2(1, N) & \\
 & \longleftarrow & + \text{ fermion partners} \\
 & & \chi, \tilde{\chi} \\
 \tilde{Q}_1 (\bar{N}, 1), & \tilde{Q}_2(1, \bar{N}) &
 \end{array}$$

They couple to the bulk ABJM fields with couplings of the
schematic form:

$$\begin{aligned}
 \Delta S = \int dt \sum_i & |(A_1 B_1 - A_2 B_2) Q_i|^2 + |(A_1 B_2 - A_2 B_1) Q_i|^2 \\
 & + |(A_1 B_2 + A_2 B_1) Q_i|^2 \quad (6)
 \end{aligned}$$

This class of theories can produce marginal Fermi liquid for the following simple reason. The most obvious defect-localised fermionic operator is of the form:

$$\tilde{\chi}_1 \psi_A \chi_2$$

- * At weak coupling, this has $h=1$.
- * Gravity analysis shows that this remains true at strong coupling; this is the “right value” to yield a marginal Fermi liquid in our previous discussion.

This theory is of course very unrealistic. One leading worry: backreaction.

IV. SUSY Kondo model: including backreaction

Let us return to the single-site model, with M D5 branes
and $g_{YM}^2 M \gg 1$.

Can we find a smooth backreacted solution with no
“probes”?

In the Kondo model itself, in the simplest cases, the
fermionic defect “disappears” in the IR, just leaving a
disturbance on a region of order the confinement scale to
the behaviour of the bulk electrons.

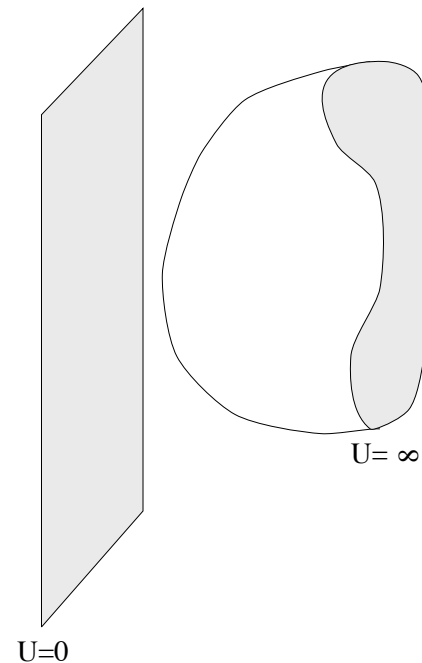
Could the D5 defects similarly “disappear” in our problem?

They would have to leave behind a signature of their D5 charge. This can happen; if a non-trivial three-sphere is created, M units of three-form flux could replace the D5s.

We'll see that this does happen. The D5 branes squash the 5-sphere so much that it splits into two, and replace themselves with three-form flux in a new smooth geometry.

In fact, the relevant supergravity solutions have already been found, by **D'Hoker, Estes and Gutperle**.

They were not studying impurity models. Their interest was **BPS Wilson loops** in maximally supersymmetric Yang-Mills theory.



But, the two problems turn out to be equivalent.

Yamaguchi;
Hartnoll, Kumar;
Gomis, Passerini

Lets sketch this equivalence in the simplest case, for the case of the k-fold antisymmetric representation of $SU(N)$.

This is the case $M=1$, with k fermions present at the D3/D5 intersection.

* Recall that the action of our full gauge theory is:

$$S_{\text{field theory}} = S_{\mathcal{N}=4} + \int dt \left[i\chi_b^\dagger \partial_t \chi^b + \chi_b^\dagger \left\{ (A_0(t, \vec{0}))_c^b + v^I (\phi_I(t, \vec{0}))_c^b \right\} \chi^c \right],$$

* Choose a gauge where the combination $A_0 + v^I \phi_I$ has constant eigenvalues (m_1, \dots, m_N) .

The equation of motion for the defect fermions is then:

$$(i\partial_t + m_i)\chi_i = 0 \quad , \quad i = 1, \dots, N .$$

We wish to write a defect partition function summing only over the states with k fermions present. This is given by:

$$Z_{\text{defect}} = \sum_{i_1 < i_2 < \dots < i_k} e^{i \int dt m_{i_1}} \dots e^{i \int dt m_{i_k}} ,$$

But we can recognise this as the trace of the Wilson line in the k th antisymmetric representation of $SU(N)$:

$$\sum_{i_1 < i_2 < \dots < i_k} e^{i \int dt m_{i_1}} \dots e^{i \int dt m_{i_k}} = \text{Tr}_{A_k} P \exp \left(i \int dt (A_0 + n^a \phi_a) \right) .$$

I.e. integrating out the defect fermions produces a supersymmetric Wilson-loop insertion.

The representations are a bit more complicated for $M > 1$, but the same basic idea holds.

Most basic properties of DEG solutions

A natural ansatz for the metric building in the symmetries we are guaranteed to have, is to take:

$$\frac{ds^2}{R^2} = f_1^2 ds_{AdS_2}^2 + f_2^2 d\Omega_2^2 + f_4^2 d\Omega^4 + d\Sigma^2$$

Here Σ is a Riemann surface with boundary, and the functions f vary over the surface.

For instance in the case of $AdS_5 \times S^5$

$$ds^2 = R^2 (du^2 + \cosh^2 u ds_{AdS_2}^2 + \sinh^2 u d\Omega_2^2 + d\theta^2 + \sin^2 \theta d\Omega_4^2)$$

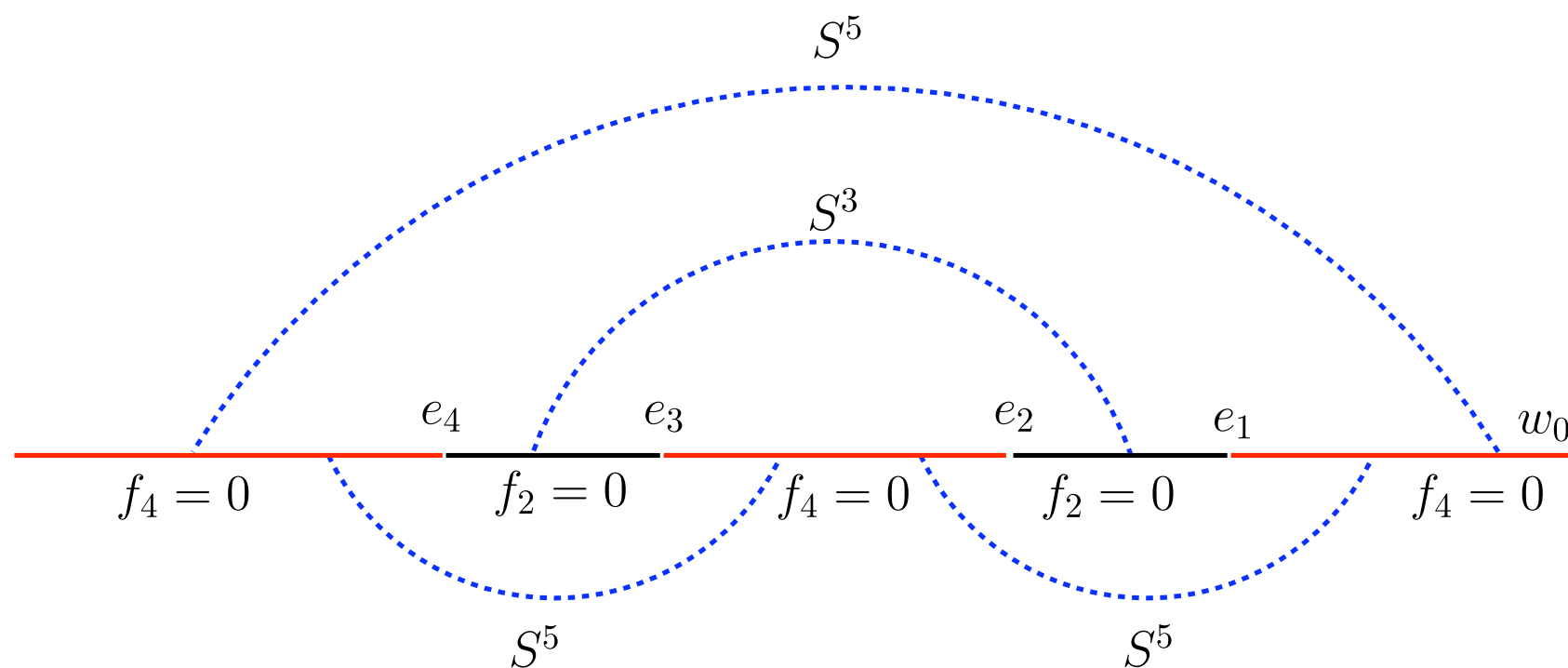
the Riemann surface is coordinatized by u, θ .

The general solution is determined in terms of two real harmonic functions h_1, h_2 on Σ :

$$h_1^2 = \frac{1}{4} e^{-\phi} f_1^2 f_4^2, \quad h_2^2 = \frac{1}{4} e^{\phi} f_2^2 f_4^2$$

At each point on $\partial\Sigma$, one of the spheres shrinks.

We can therefore visualize the boundary as being divided into red and black segments, on which the four-sphere / two-sphere vanishes.



The non-trivial three-sphere is constructed by fibering two-spheres over a one-cycle connecting different black regions. The non-trivial five-spheres arise by fibering four-spheres over cycles connecting different red regions.

The full set of allowable solutions involves rather complicated “topology and regularity conditions” on the harmonic functions.

We will not discuss these conditions here.

The basic intuition should be clear: the boundary conditions on the harmonic functions are given by where they vanish at the boundary together with the nature of their pole at the AdS5 asymptotic, and they are then uniquely fixed. We give the explicit form of h for the one-stack transition, in our paper.

One can be **painfully explicit** about the solutions in terms of h . Introducing conformally flat coordinates on the Riemann surface

$$d\Sigma^2 = 4\rho^2 dv d\bar{v}$$

and defining the combinations

$$W = \partial h_1 \bar{\partial} h_2 + \bar{\partial} h_1 \partial h_2$$

$$V = \partial h_1 \bar{\partial} h_2 - \bar{\partial} h_1 \partial h_2$$

$$N_1 = 2h_1 h_2 |\partial h_1|^2 - h_1^2 W$$

$$N_2 = 2h_1 h_2 |\partial h_2|^2 - h_2^2 W$$

one finds that the IIB supergravity fields are:

$$f_1 = \left(-4 \sqrt{\frac{-N_2}{N_1}} h_1^4 \frac{W}{N_1} \right)^{1/4}, \quad f_2 = \left(-4 \sqrt{\frac{-N_1}{N_2}} h_2^4 \frac{W}{N_2} \right)^{1/4}$$

$$f_4 = \left(-4 \sqrt{\frac{-N_2}{N_1}} \frac{N_2}{W} \right)^{1/4}, \quad \rho = \left(-\frac{W^2 N_1 N_2}{h_1^4 h_2^4} \right)^{1/8}.$$

$$e^{2\phi} = -\frac{N_2}{N_1} > 0.$$

And writing $h_1 = \mathcal{A} + \overline{\mathcal{A}}, \quad h_2 = \mathcal{B} + \overline{\mathcal{B}},$

the fluxes are given by (for the case we drew, with $I=1,2$):

$$\int_{S^3} F_3 = 4\pi^2 \alpha' M = 8\pi \int_{e_2}^{e_3} (i\partial\mathcal{A} + c.c.)$$

$$\int_{S_I^5} F_5 = 4\pi^4 (\alpha')^2 N_I = 8\pi^2 \int_{e_{2I}}^{e_{2I}-1} (\mathcal{A}\partial\mathcal{B} - \mathcal{B}\partial\mathcal{A} + c.c.) .$$

What's next

- * Try to “enjoy” backreacted solutions; e.g. corrections to two-point functions governing transport?
- * We would like to solve lattice models at the same level of explicitness. This is probably hard.
- * There is a matrix model which controls the properties of BPS Wilson loops and some correlators in their presence. The most interesting features of the gravity soln. are visible in its eigenvalue distribution. Can we generalise it to compute correlators of defect operators?

Gomis, Matsuura,
Okuda, Trancanelli;
Yamaguchi; Pestun; many
earlier works