

# **Integrable Field Theories**

**from**

## **4d Chern-Simons Theory**

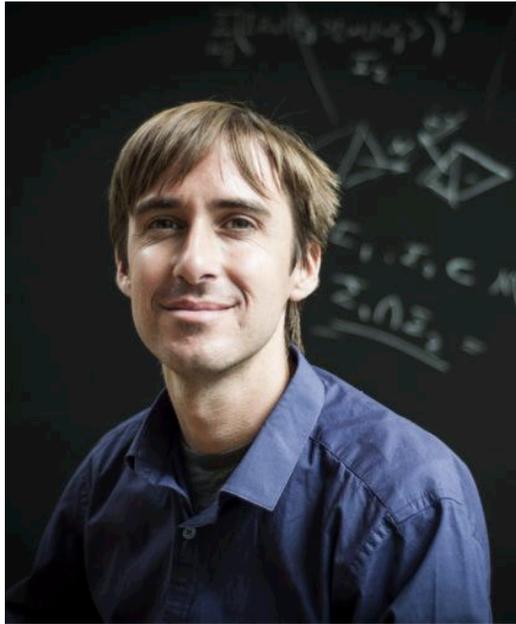
**Masahito Yamazaki**



**Strings 2018**



Based on collaboration  
with **Kevin Costello** and **Edward Witten**



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Part I [arXiv:1709.09993](https://arxiv.org/abs/1709.09993)

Part II [arXiv:1802.01579](https://arxiv.org/abs/1802.01579)

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Part I [arXiv:1709.09993](https://arxiv.org/abs/1709.09993)

Part II [arXiv:1802.01579](https://arxiv.org/abs/1802.01579)

Part III to appear

Part IV to appear

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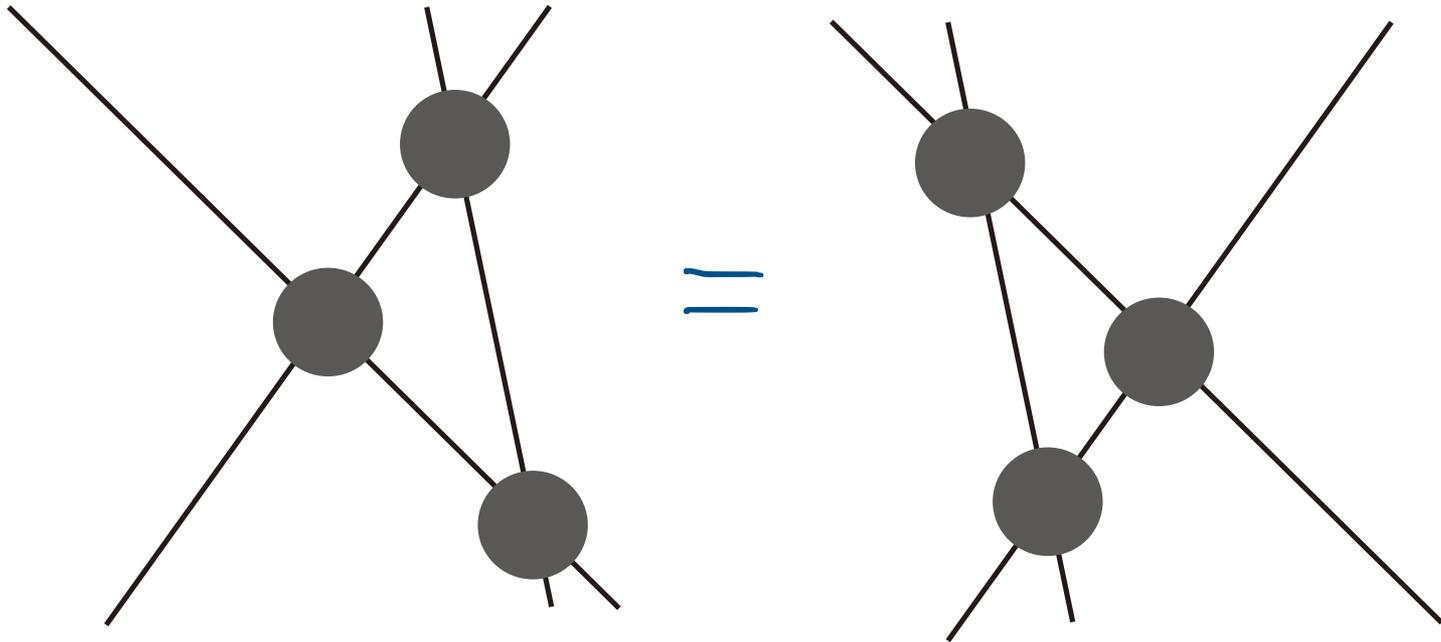
Part I [arXiv:1709.09993](https://arxiv.org/abs/1709.09993) ) integrable  
Part II [arXiv:1802.01579](https://arxiv.org/abs/1802.01579) ) lattice models

classical  
Part III to appear ) integrable  
Part IV to appear ) field theories  
quantum

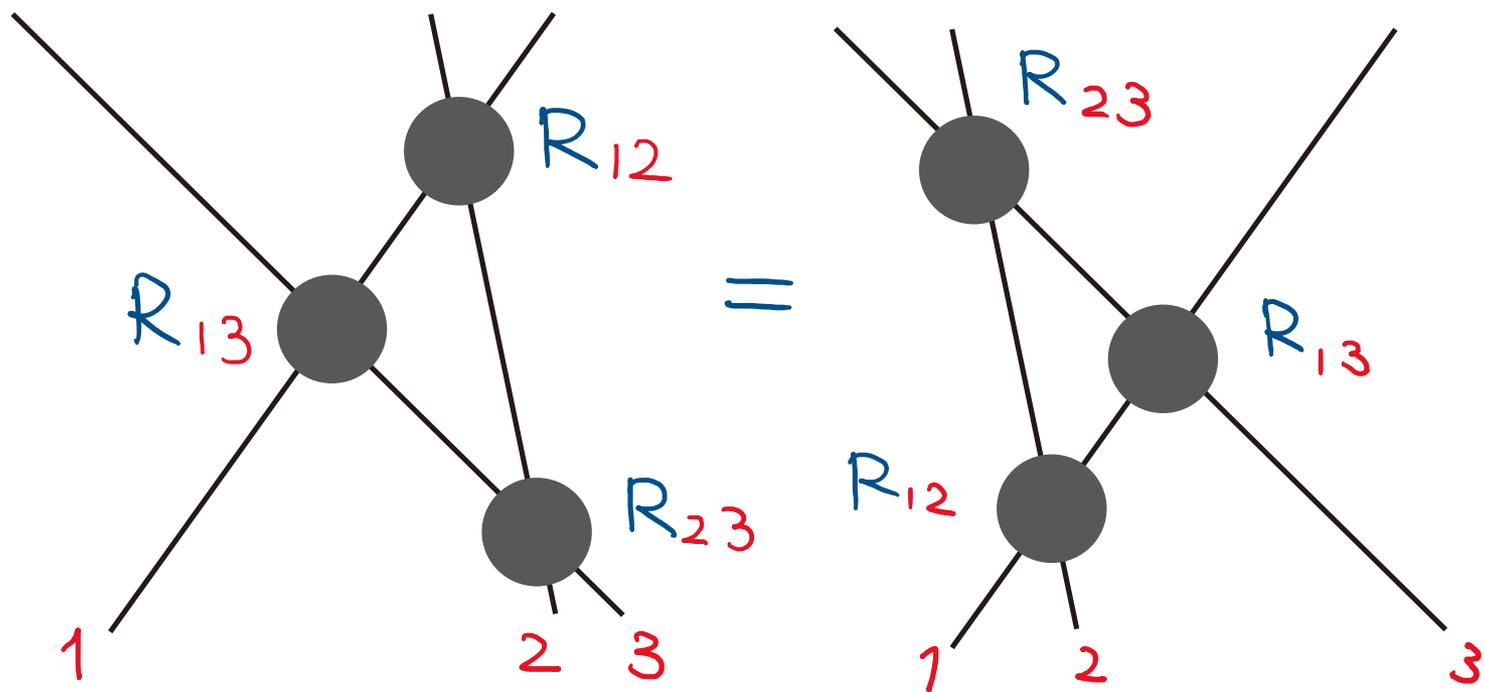
# **Integrable Lattice Models**

**(Part I and II)**

integrability: characterized by **Yang-Baxter equation**

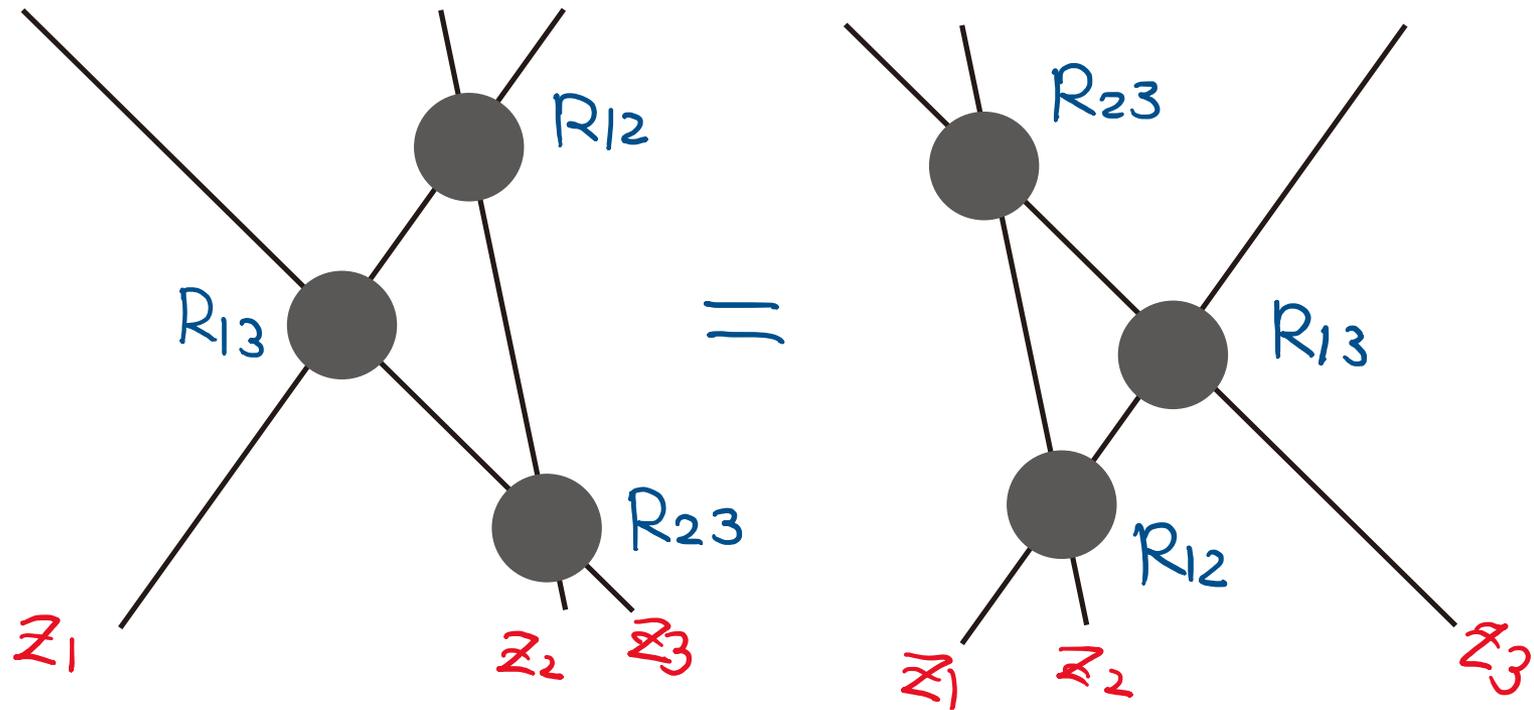


integrability: characterized by **Yang-Baxter equation**



$$R_{23} R_{13} R_{12} = R_{12} R_{13} R_{23}$$

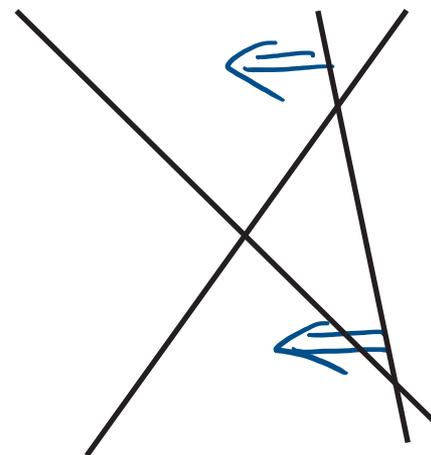
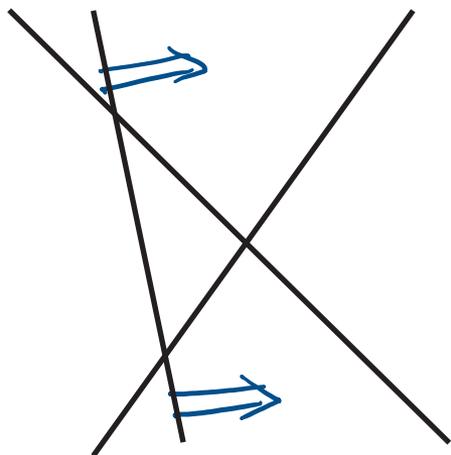
integrability: characterized by Yang-Baxter equation  
with spectral parameters



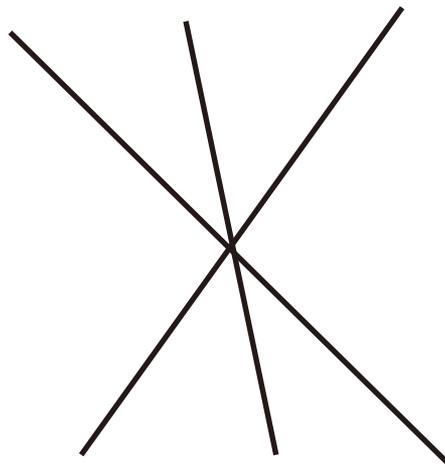
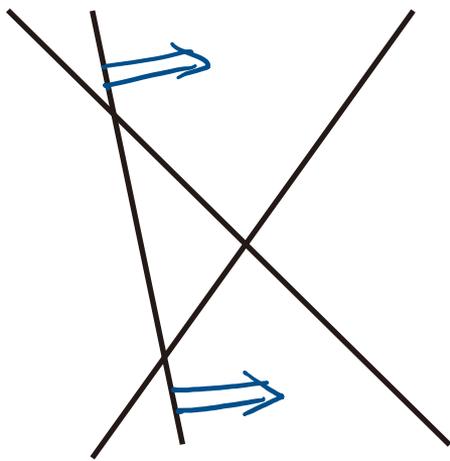
$$R_{ij} = R_{ij}(z_i - z_j)$$

spectral parameter

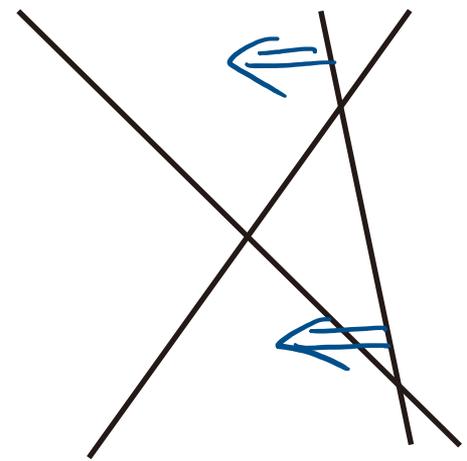
integrability as topological invariance?



# integrability as topological invariance?



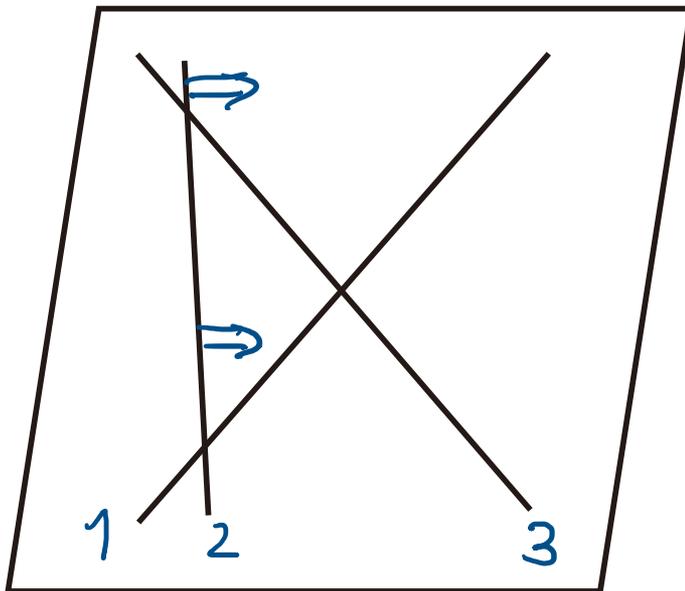
singular



$$4d = 2d \text{ (topological)} + 2d \text{ (holomorphic)}$$

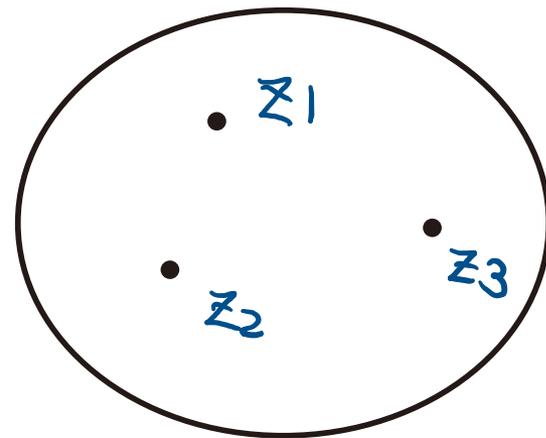
4d

$\mathbb{R}^2$



topological

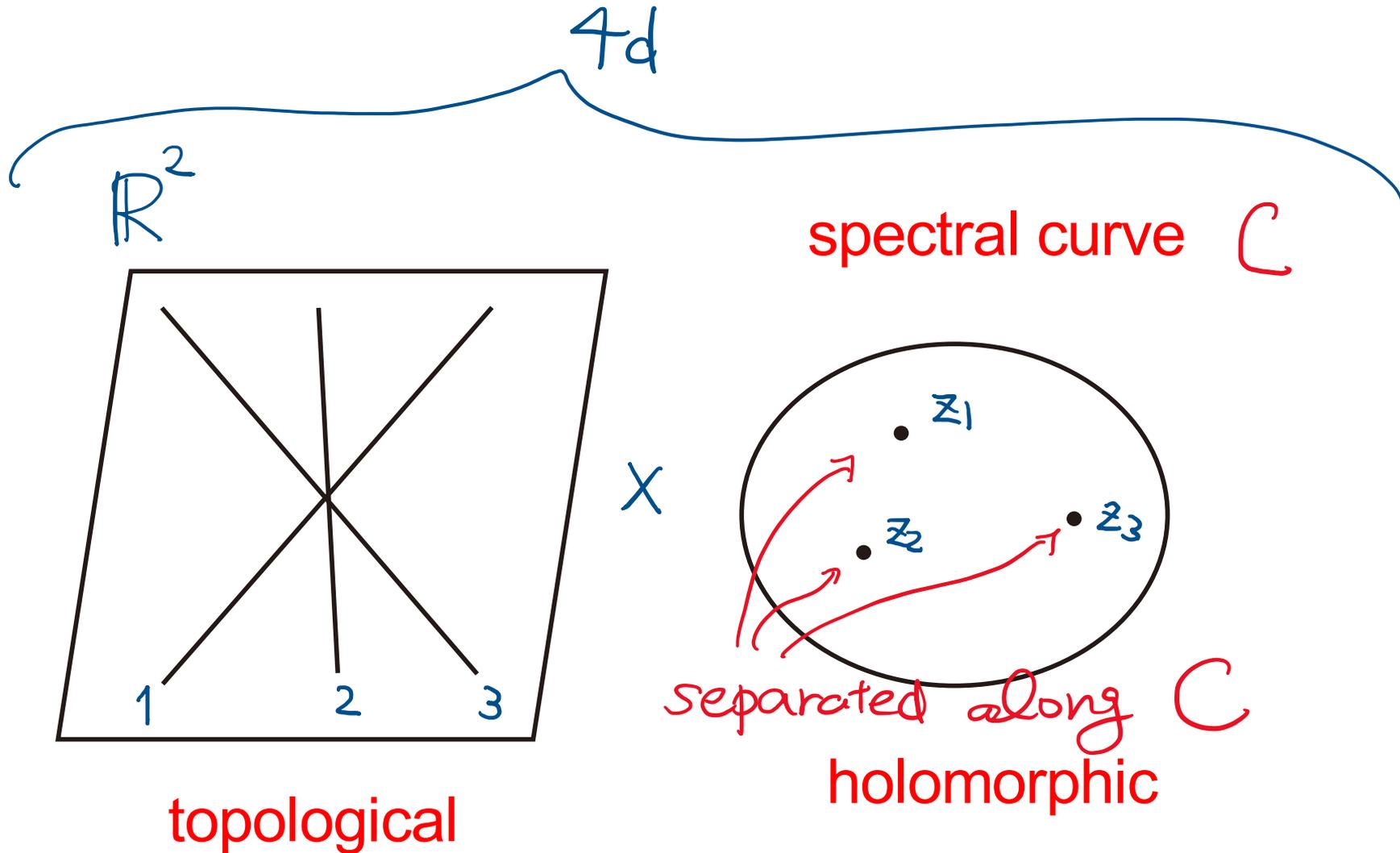
spectral curve  $\mathbb{C}$



holomorphic

$\times$

$$4d = 2d \text{ (topological)} + 2d \text{ (holomorphic)}$$



“4d Chern-Simons” by [Costello] ('13)

$$\mathcal{L} = \frac{1}{h} \int_{\mathbb{R}^2 \times \mathbb{C}} dz \wedge \text{Tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right)$$

$\{t, x\}$     $\{z, \bar{z}\}$

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$$A = A_t dt + A_x dx + A_{\bar{z}} d\bar{z} + \cancel{A_z dz}$$

depends on all  $t, x, z, \bar{z}$

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$$\mathcal{L} = \frac{1}{h} \int_{\mathbb{R}^2 \times \mathbb{C}} d\mathbb{Z} \wedge \text{Tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right)$$

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depends on all  $t, x, z, \bar{z}$

“T-dual” to ordinary 3d Chern-Simons  
[Vafa-Y] (to appear)

“4d Chern-Simons” by [Costello] ('13)

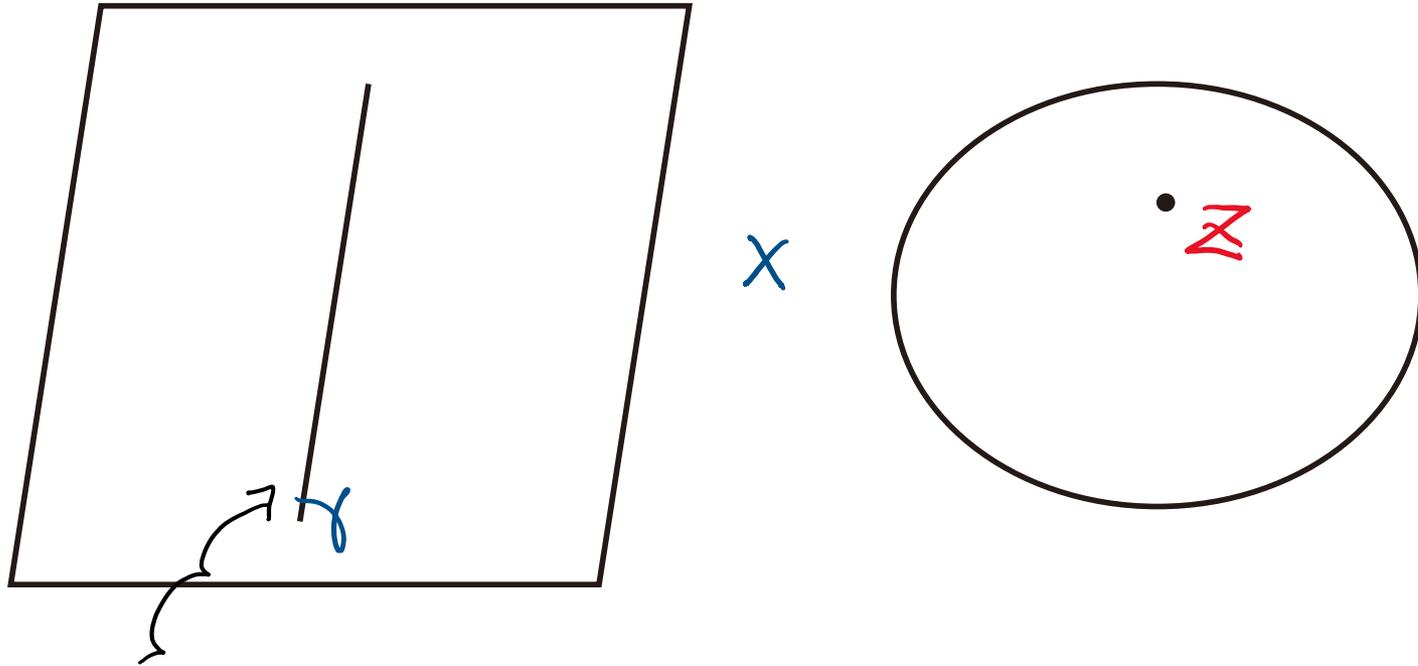
$$\mathcal{L} = \frac{1}{\hbar} \int_{\mathbb{R}^2 \times C} dZ \wedge \text{Tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right)$$

$\{t, x\}$     $\{z, \bar{z}\}$

Perturbative expansion in  $\hbar$   
around isolated classical solution

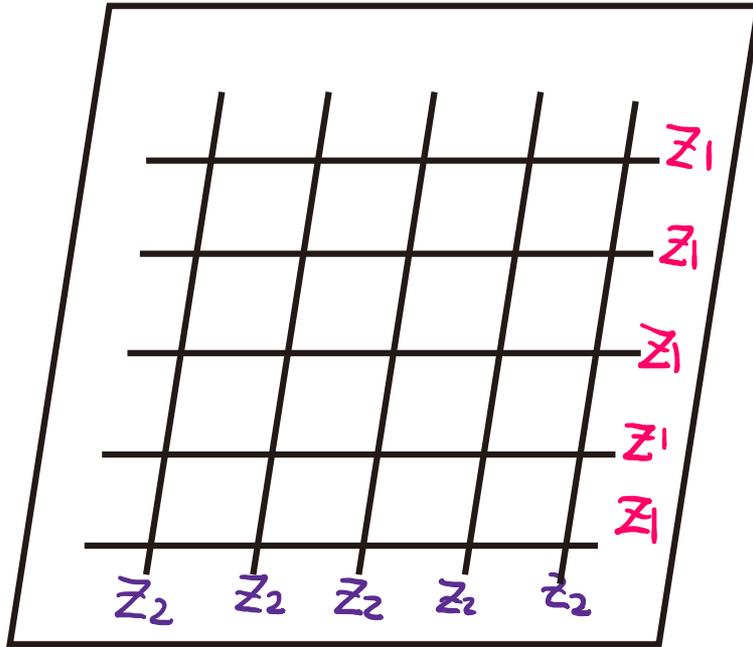
e.g.  $A = 0$  for  $C = \mathbb{C}$

# statistical lattice from Wilson lines

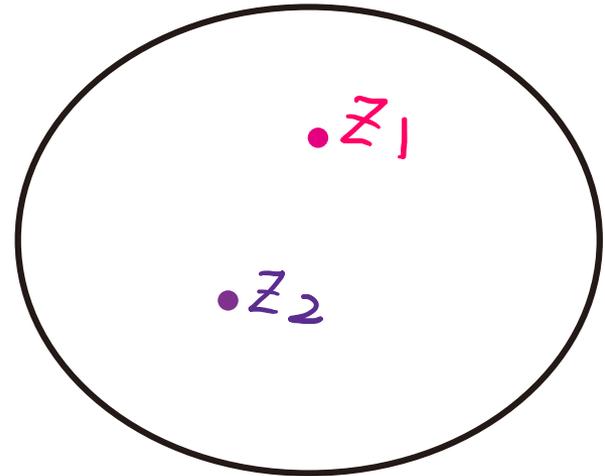


$$W_\gamma(\mathbb{Z}) = P \exp \int_{\gamma \times \{\mathbb{Z}\}} A$$

# statistical lattice from Wilson lines



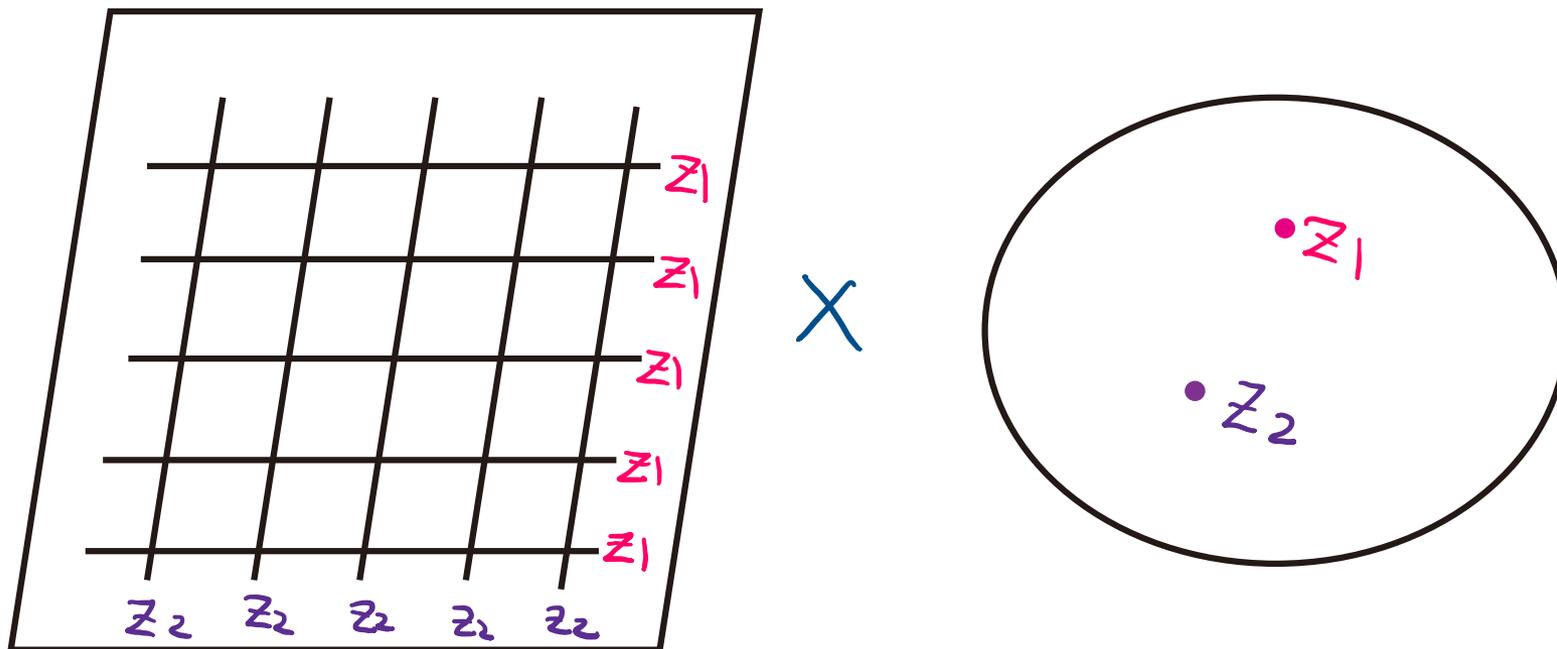
x



# **Integrable Field Theories**

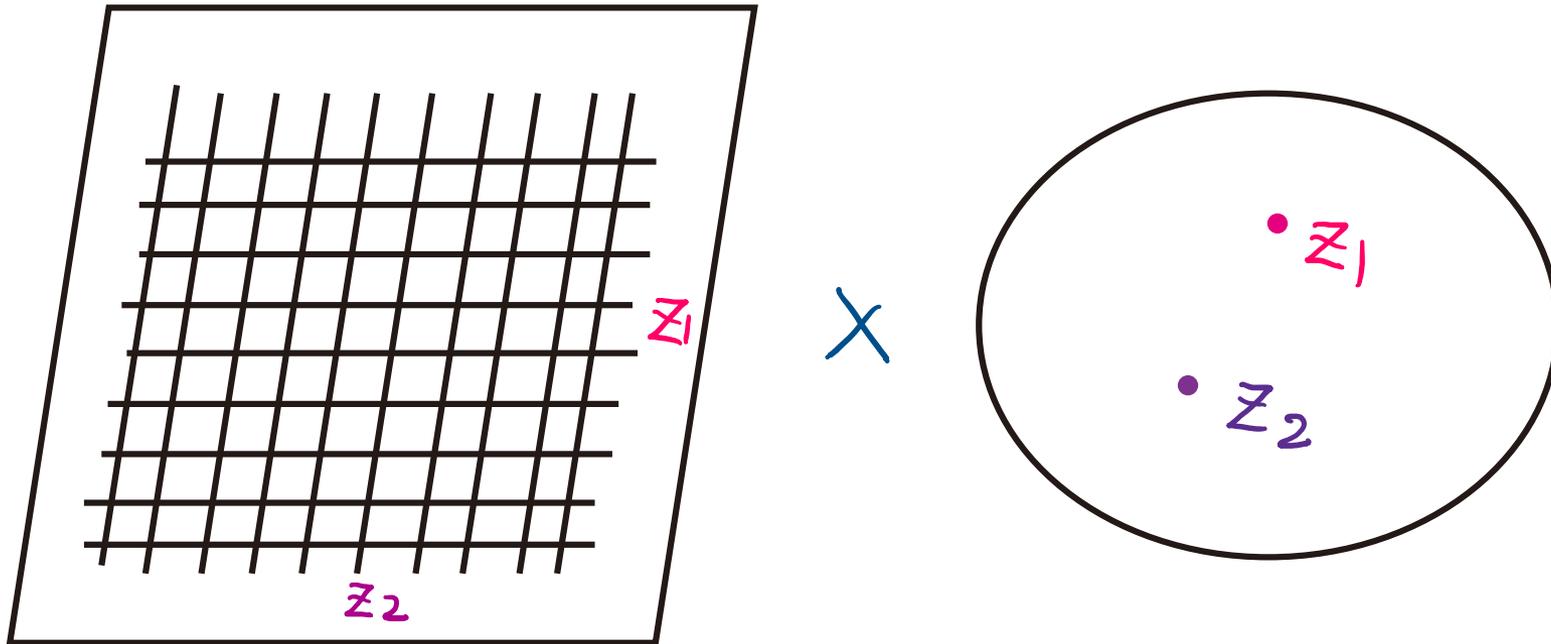
**(Part III and IV)**

thermodynamic limit



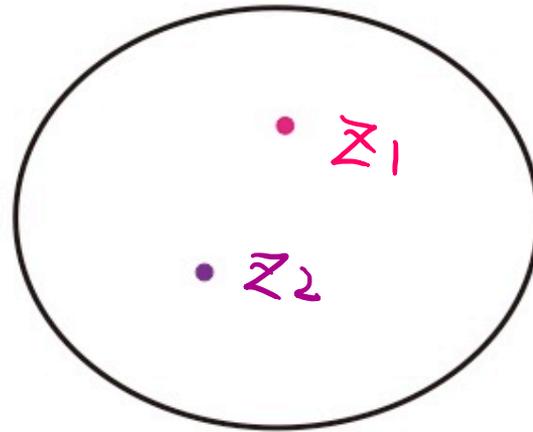
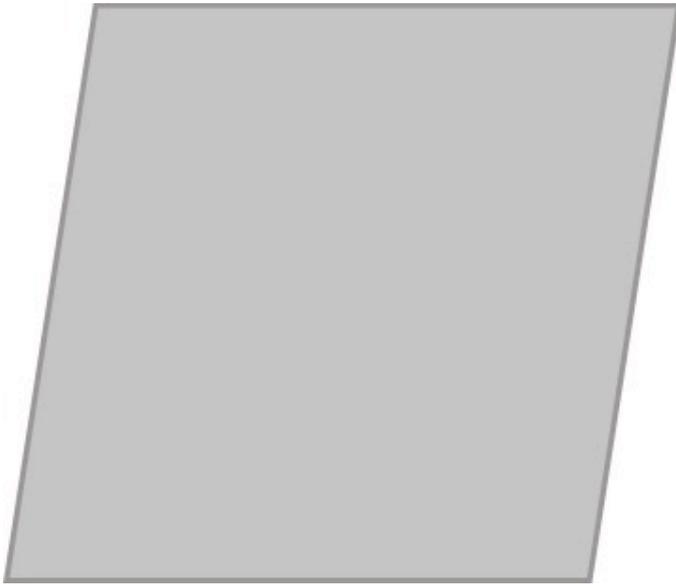
lattice model from  
Wilson lines

thermodynamic limit



lattice model from  
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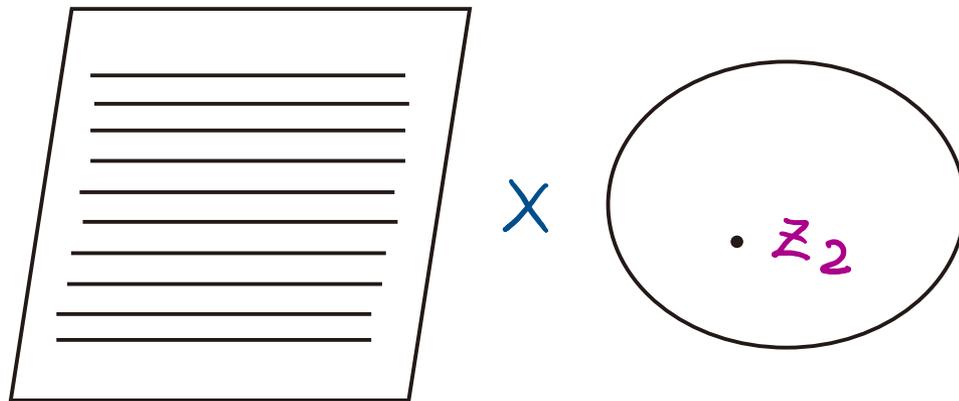
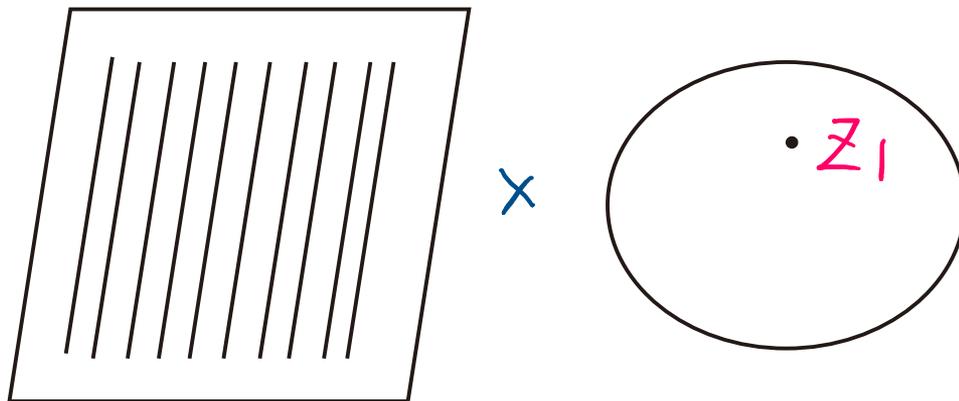
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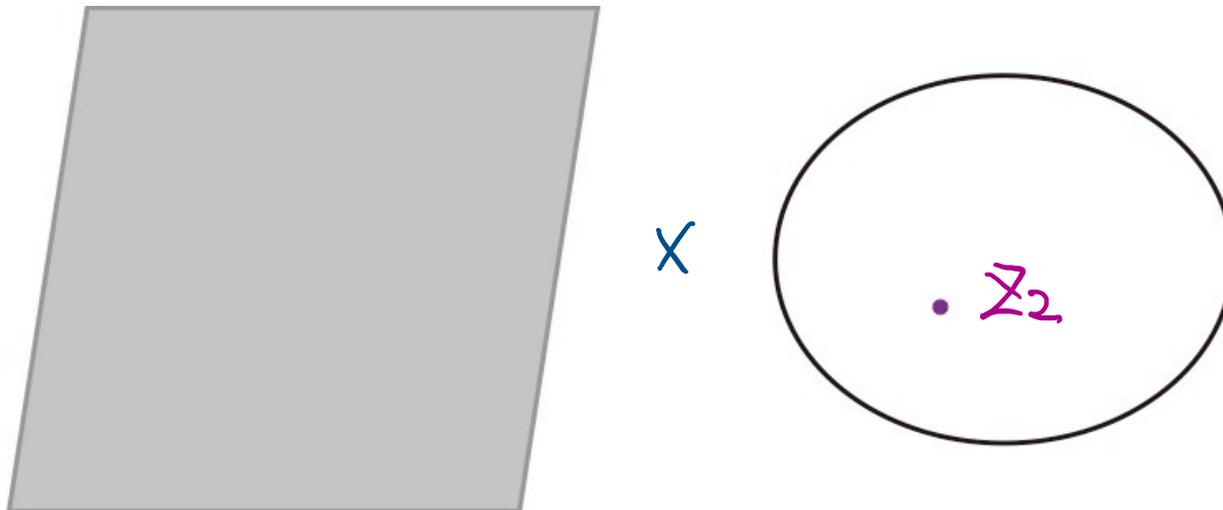
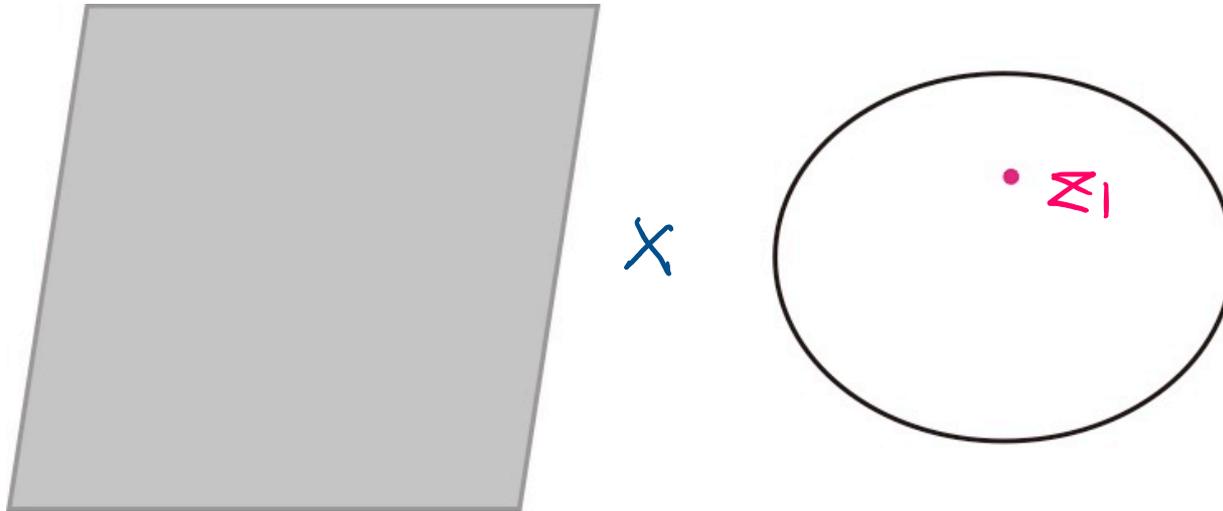
2d field theory from surface defects

coupled 4d-2d system

two defects: vertical and horizontal

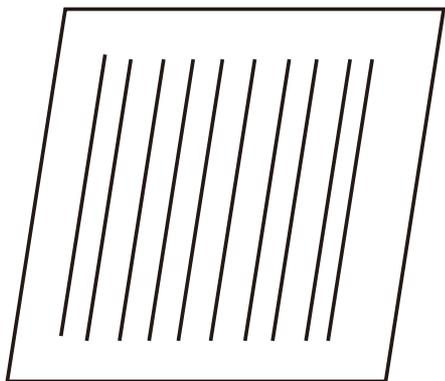


two defects: vertical and horizontal

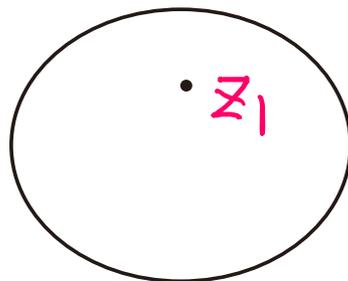


# two defects: chiral and anti-chiral

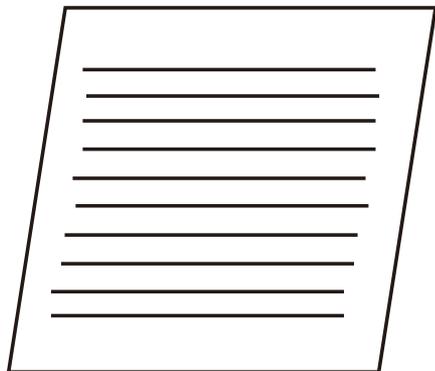
$$(\omega = t + x, \bar{\omega} = t - x)$$



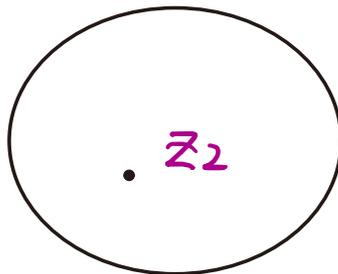
x



$$\int d\bar{\omega} A_{\bar{\omega}}$$

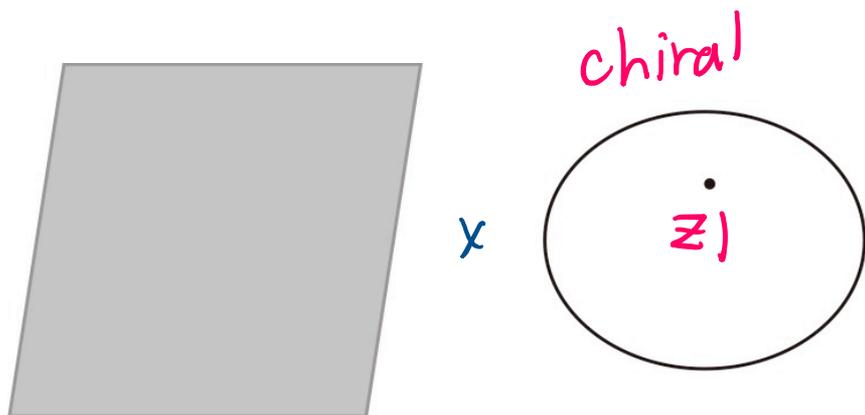


x

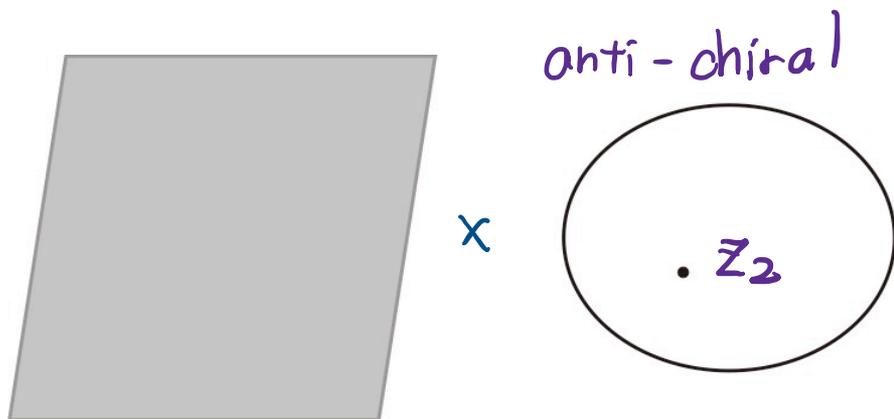


$$\int d\omega A_{\omega}$$

# two defects: chiral and anti-chiral



$$\mathcal{L}_{\text{chiral}} \supset \frac{1}{h} \underbrace{J_{\omega} A_{\bar{\omega}}}_{\substack{\text{2d current}}} \quad \swarrow$$



$$\mathcal{L}_{\text{anti-chiral}} \supset \frac{1}{h} \underbrace{J_{\bar{\omega}} A_{\omega}}_{\substack{\text{2d current}}} \quad \swarrow$$

**Why Integrable?**

**(Part III)**

Lax operator (1-form on  $\mathbb{R}^2$ )

$$\mathcal{L}(z) = A_w(z) dw + A_{\bar{w}}(z) d\bar{w}$$

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$$\mathcal{L}(z) = A_w(z) dw + A_{\bar{w}}(z) d\bar{w}$$

Flat connection

$$d\mathcal{L}(z) + \frac{1}{2} \mathcal{L}(z) \wedge \mathcal{L}(z) \prec F_{w\bar{w}} = 0$$

↑  
4d e. o. m.

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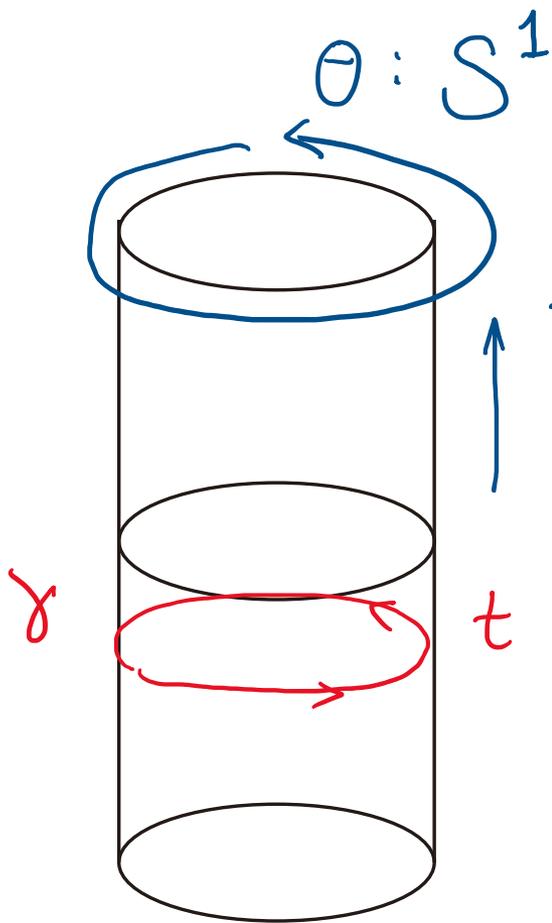
$$d\mathcal{L}(z) + \frac{1}{2} \mathcal{L}(z) \wedge \mathcal{L}(z) \propto F_{w\bar{w}} = 0$$

$\Downarrow$

4d e. o. m.

infinitely-many conserved charges

$$W(z) = \text{Tr} P \exp \int \mathcal{L}(z) = \exp \left( \sum_n \frac{Q_n}{z^n} \right)$$



$$W(z) = \text{Tr} P \exp \int_{\gamma \times \{z\}} A$$

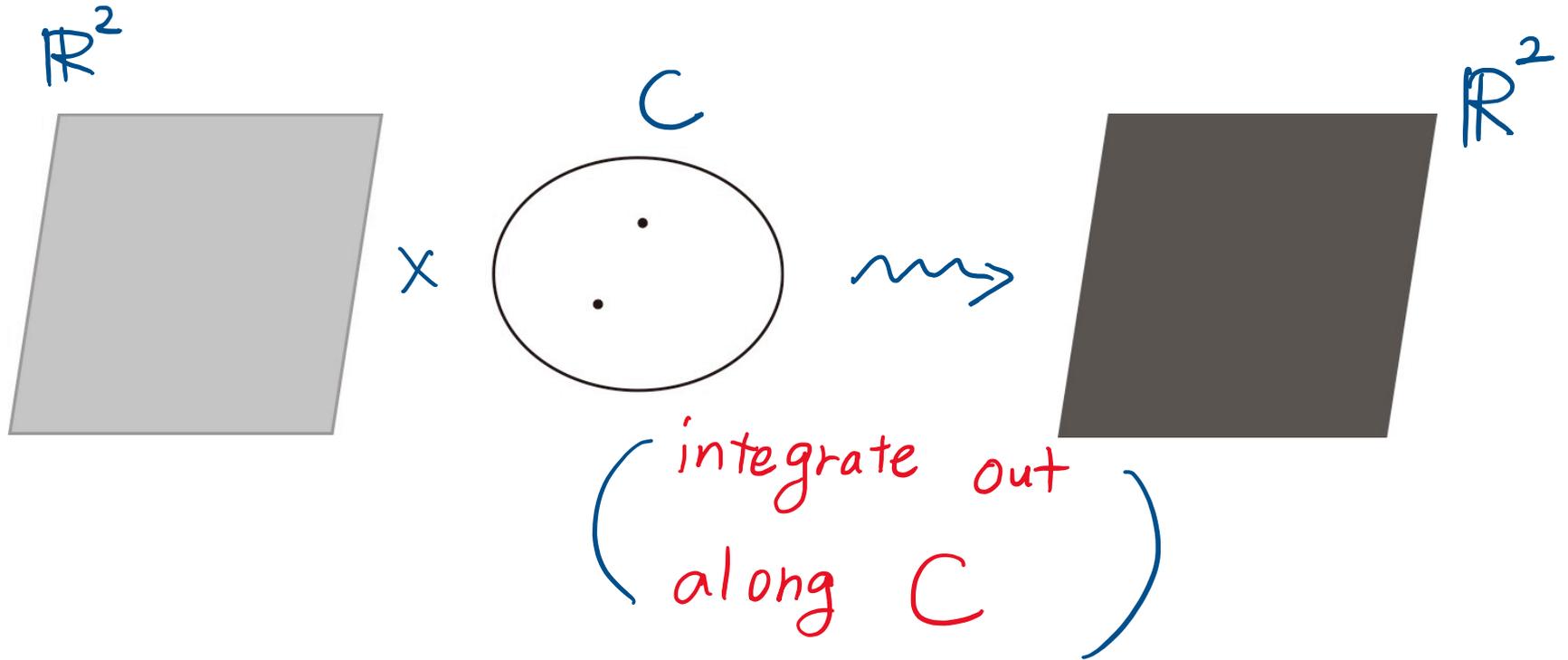
Lax operator = 4d Wilson line!

# **Effective 2d Theory**

**(Part III)**

4d-2d system

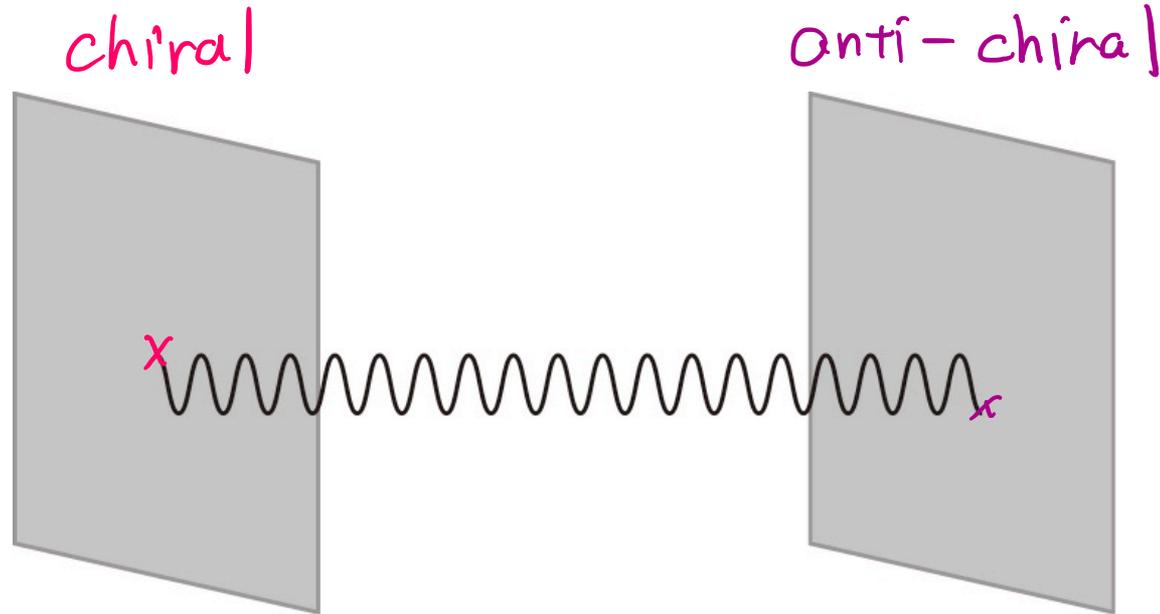
effective 2d system



**No 4d zero modes:** we have perturbative expansion around an isolated solution of equation of motion (e.g.  $A=0$  for  $C=\mathbb{C}$ )

**All zero modes comes from 2d surface defects**

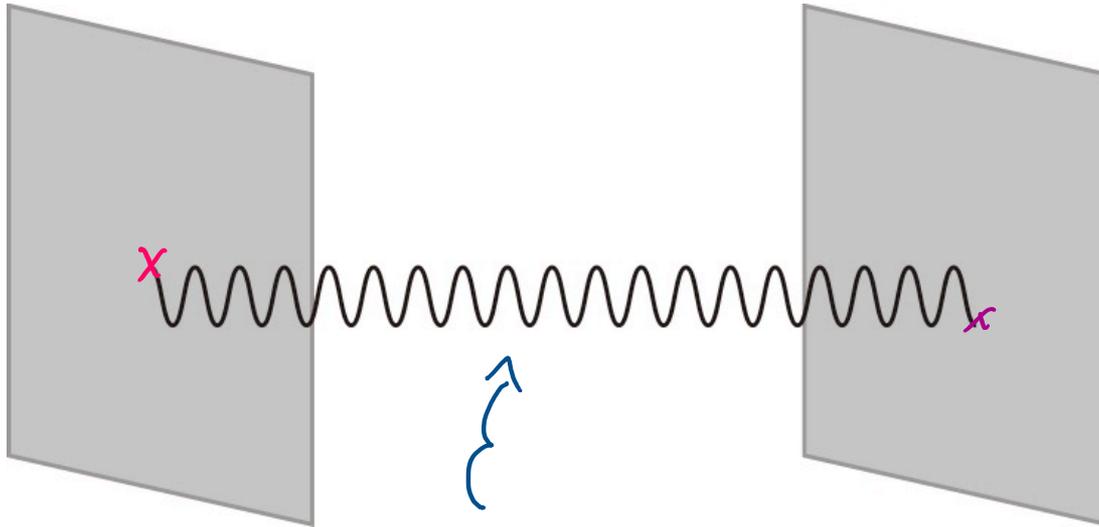
The interaction comes from exchange of 4d gauge bosons



The interaction comes from exchange of 4d gauge bosons

chiral

anti-chiral

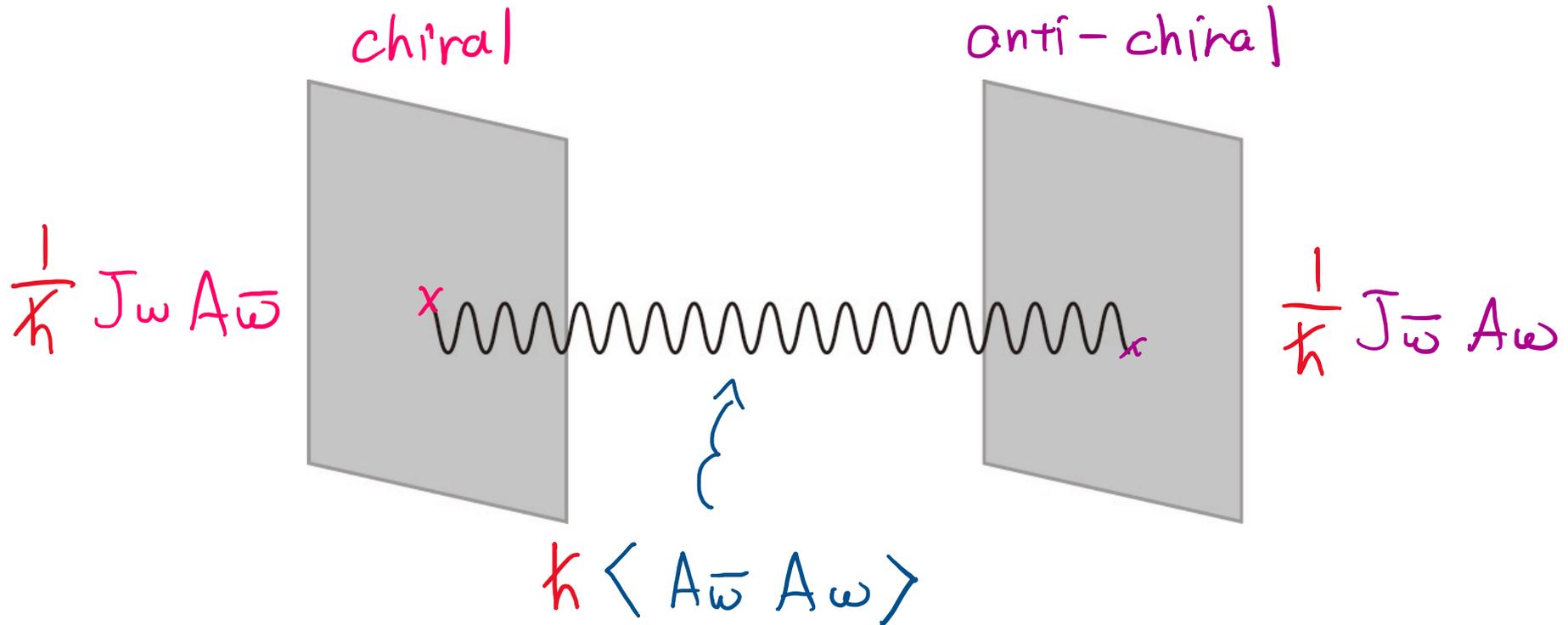


$$\frac{1}{\hbar} J_{\omega} A_{\bar{\omega}}$$

$$\frac{1}{\hbar} J_{\bar{\omega}} A_{\omega}$$

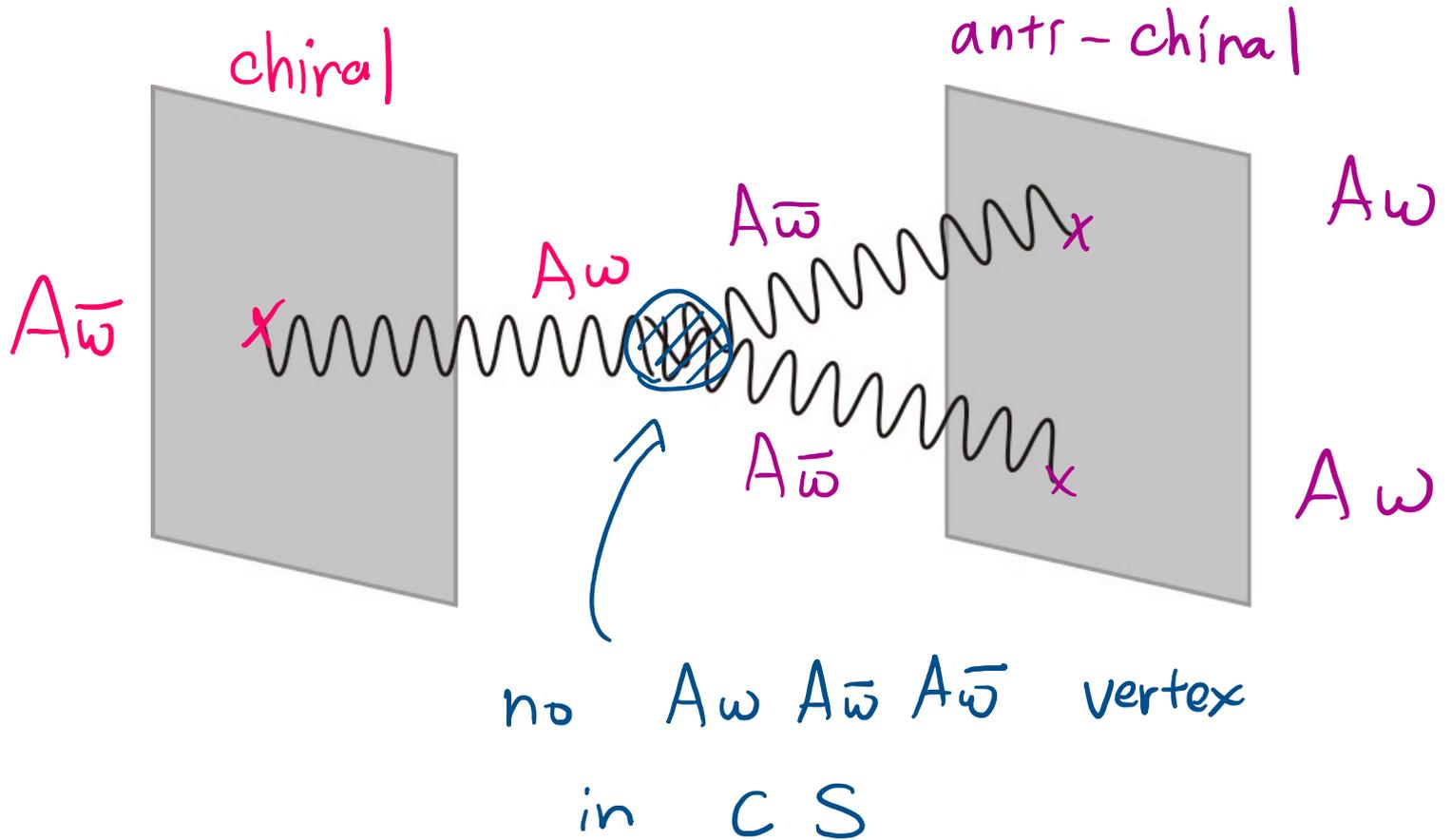
$$\hbar \langle A_{\bar{\omega}} A_{\omega} \rangle$$

The interaction comes from exchange of 4d gauge bosons

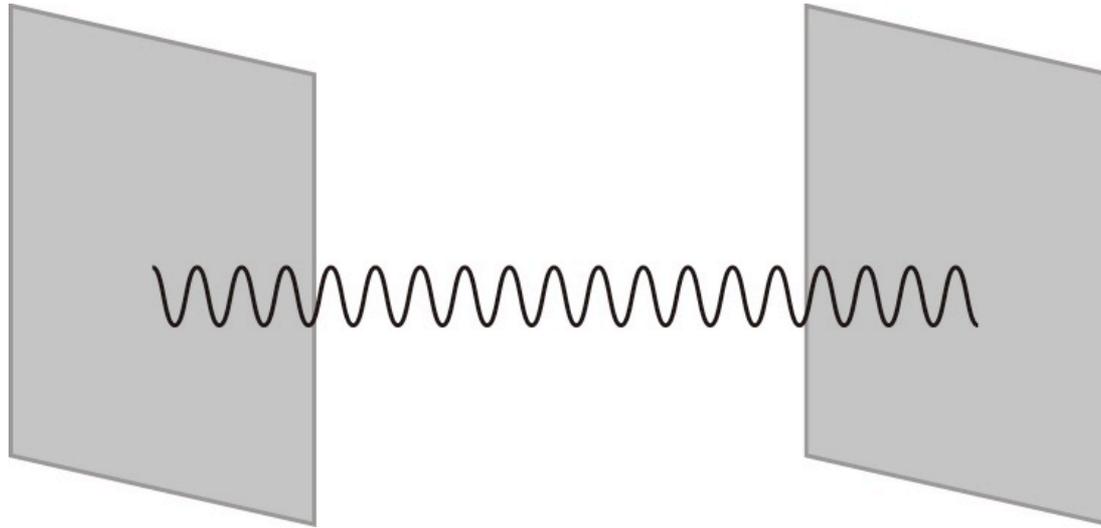


only this diagram on the left contributes at tree-level namely  $\mathcal{O}\left(\frac{1}{\hbar}\right)$

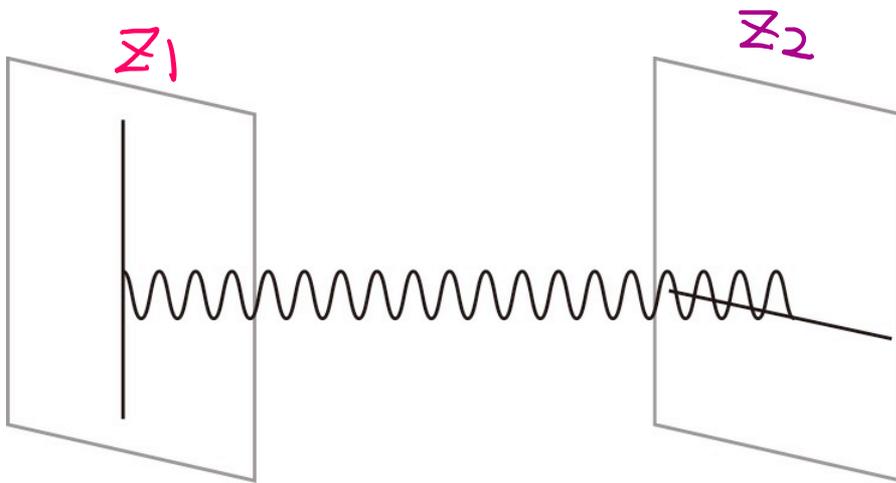
For example, no such diagram:



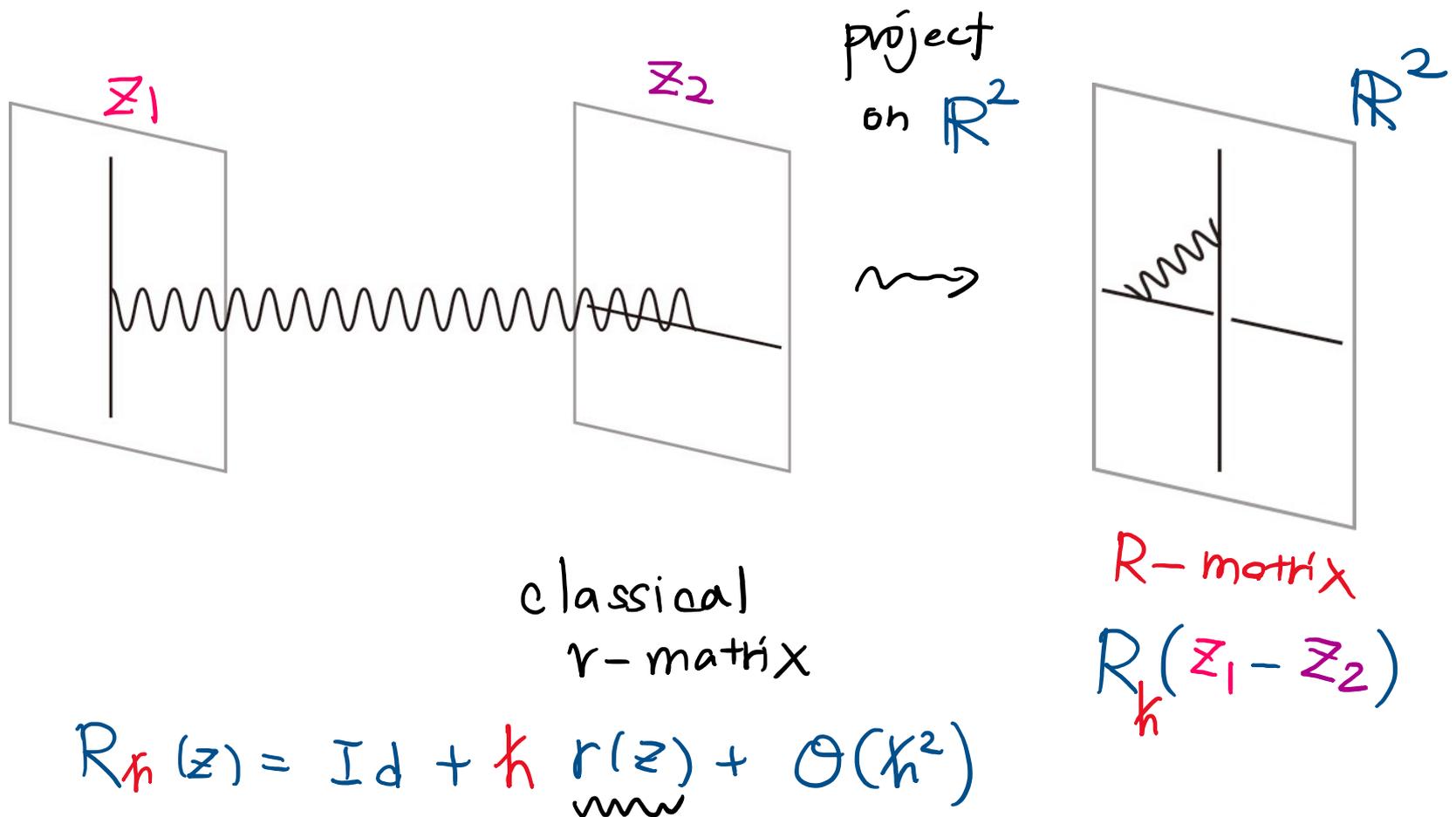
Let's now compute this diagram



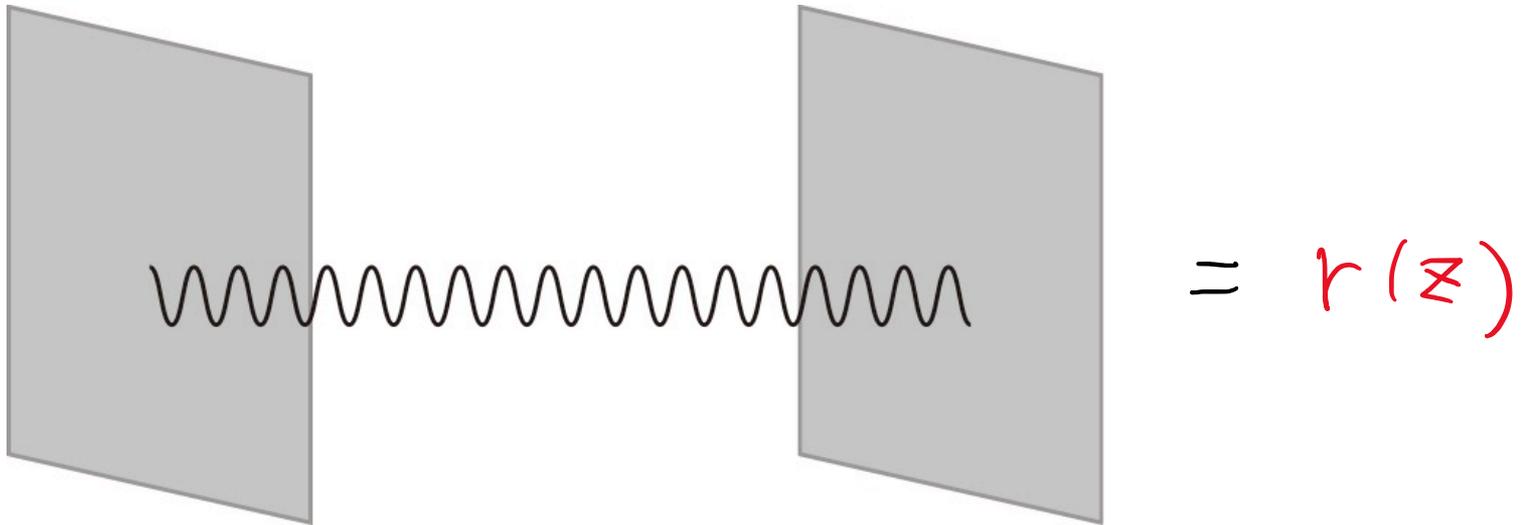
The computation is the same as in the computation of leading-order term of **R-matrix** in **Part I**



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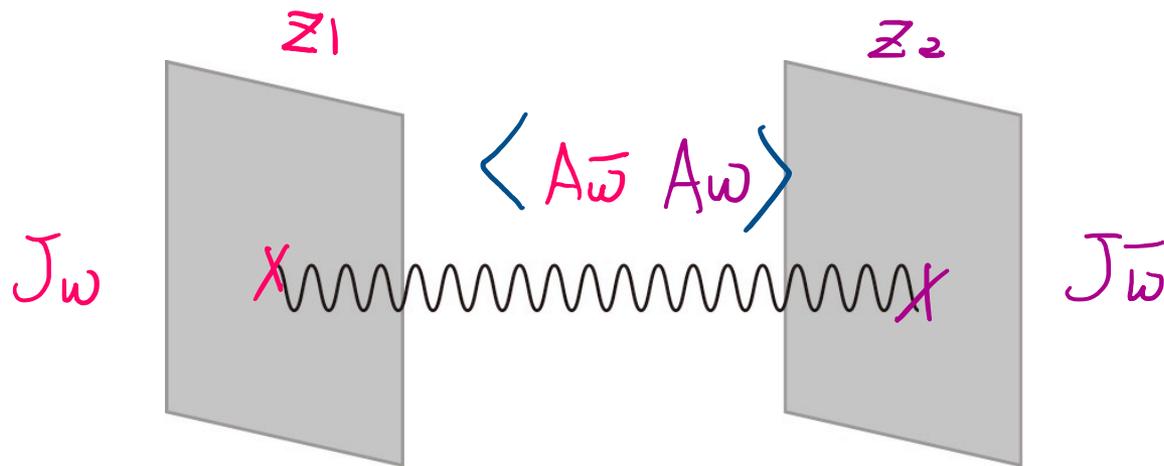
We thus have the classical r-matrix



$$R_{\hbar}(z) = \text{Id} + \hbar \underbrace{r(z)}_{\text{wavy}} + \mathcal{O}(\hbar^2)$$

We obtained the effective 2d theory:

$$\mathcal{L}_{2d \text{ eff}} = \mathcal{L}_{2d \text{ chiral}}(z_1) + \mathcal{L}_{2d \text{ anti-chiral}}(\bar{z}_2) \\ + r^{ab}(z_1 - \bar{z}_2) J_w^a(z_1) J_{\bar{w}}^b(\bar{z}_2)$$

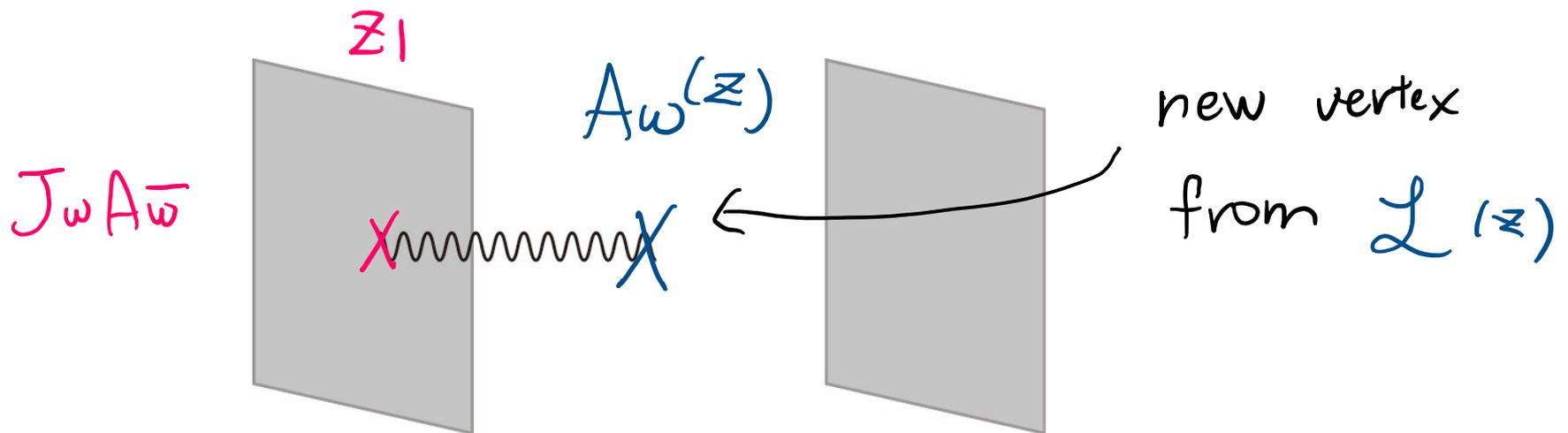


Similarly, we can compute Lax matrix for the effective 2d theory:

$$\mathcal{L}(z) = A_\omega(z) d\omega + A_{\bar{\omega}}(z) d\bar{\omega}$$



$$\mathcal{L}(z) = r_{ab}(z - z_1) J_{\bar{\omega}}^b(z_1) + r_{ab}(z_2 - z) J_{\bar{\omega}}^b(z_2)$$



For the rational case  $C = \mathbb{C}$ , we have

$$r_{ab}(z) = \frac{c_{ab}}{z} \leftarrow \text{Casimir element}$$

and we reproduce the standard formula

$$\mathcal{L}(z) = \frac{j + z * j}{z^2 - 1}$$

where

$$\bar{j} = \int_{\omega} J_{\omega}(z_1) d\omega + \int_{\bar{\omega}} J_{\bar{\omega}}(z_2) d\bar{\omega}$$

and we choose  $z_1 = 1, z_2 = -1$

# **Examples and Generalizations**

Simple example: **chiral/anti-chiral free fermions**

$\psi, \bar{\psi}$

$$\mathcal{L} = \psi \bar{\partial} \psi + \bar{\psi} \partial \bar{\psi} + r_{ab}(z_1 - z_2) (\psi t^a \psi(z_1)) (\bar{\psi} t^b \bar{\psi}(z_2))$$

Reproduce Gross-Neveu and Thirring models

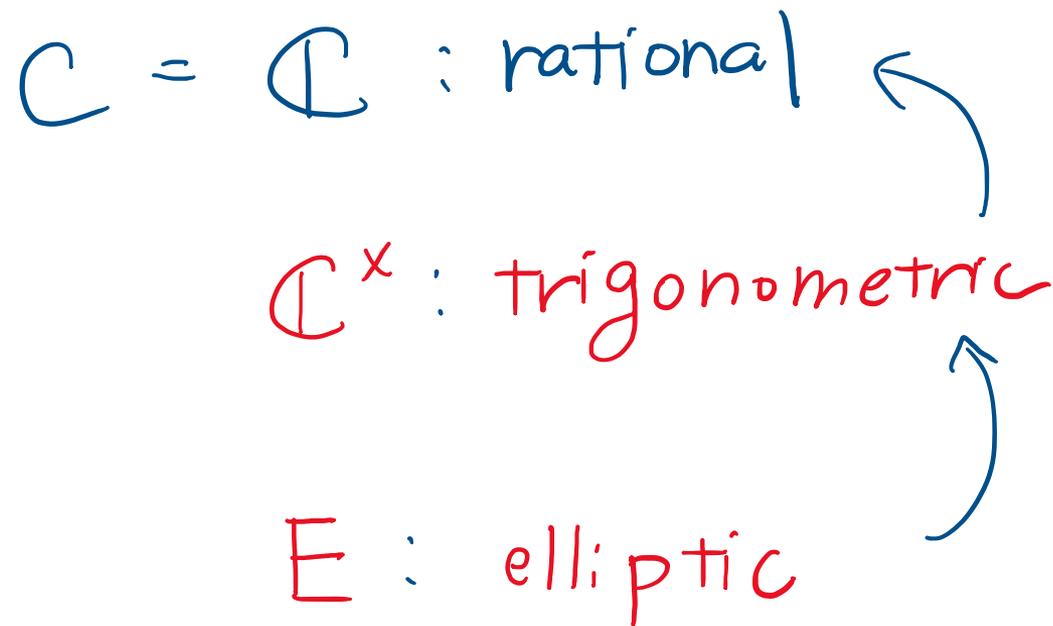
$\int$   
 $G = SO(N)$

$\int$   
 $G = SU(N)$

The framework generalizes in several directions:

1. trigonometric/elliptic cases

spectral curve



## 2. more general defects

e.g. curved beta-gamma system

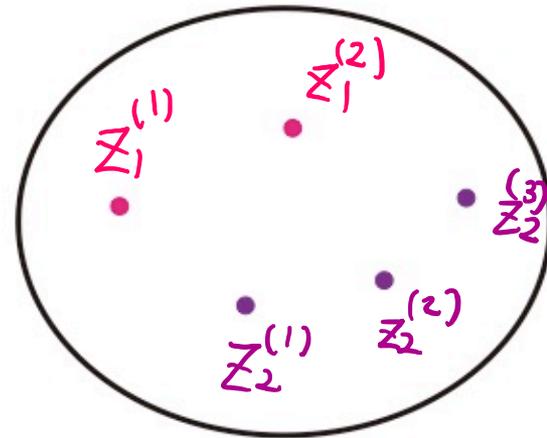
$$L_{\text{defect}} = \beta D_A \gamma$$

from which we obtain **sigma models**

Also non-chiral defects, e.g. free boson  $\phi$

$$L_{\text{defect}} = D_A \phi D_A \phi$$

### 3. multiple defects



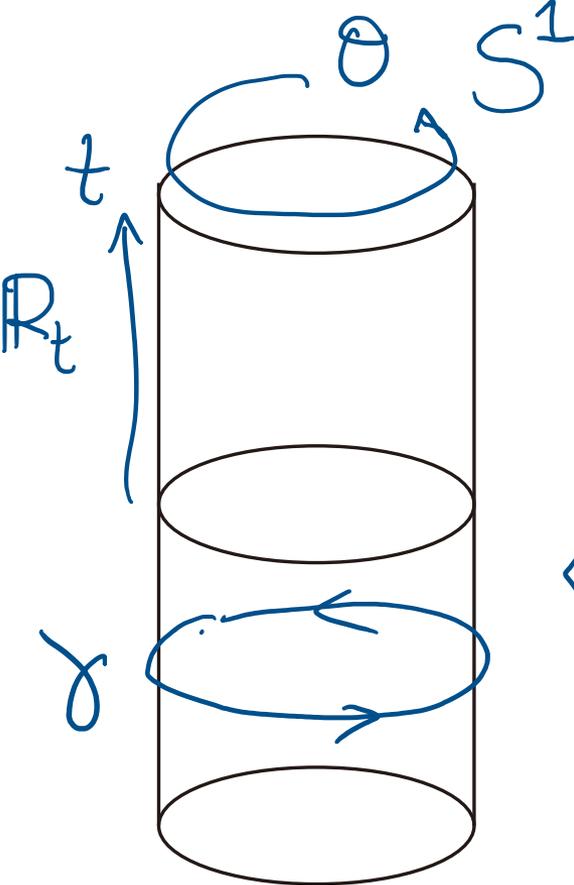
$$\mathcal{L} \supset \sum_{i, \bar{j}} r_{ab}(z_1^{(i)} - z_2^{(\bar{j})}) J_w^a(z_1^{(i)}) J_w^b(z_2^{(\bar{j})})$$

# **Quantum Integrability**

## **(Part IV)**

Let's assume for now that anomalies cancel for the coupled 4d-2d system

Recall: Lax operator = 4d Wilson line

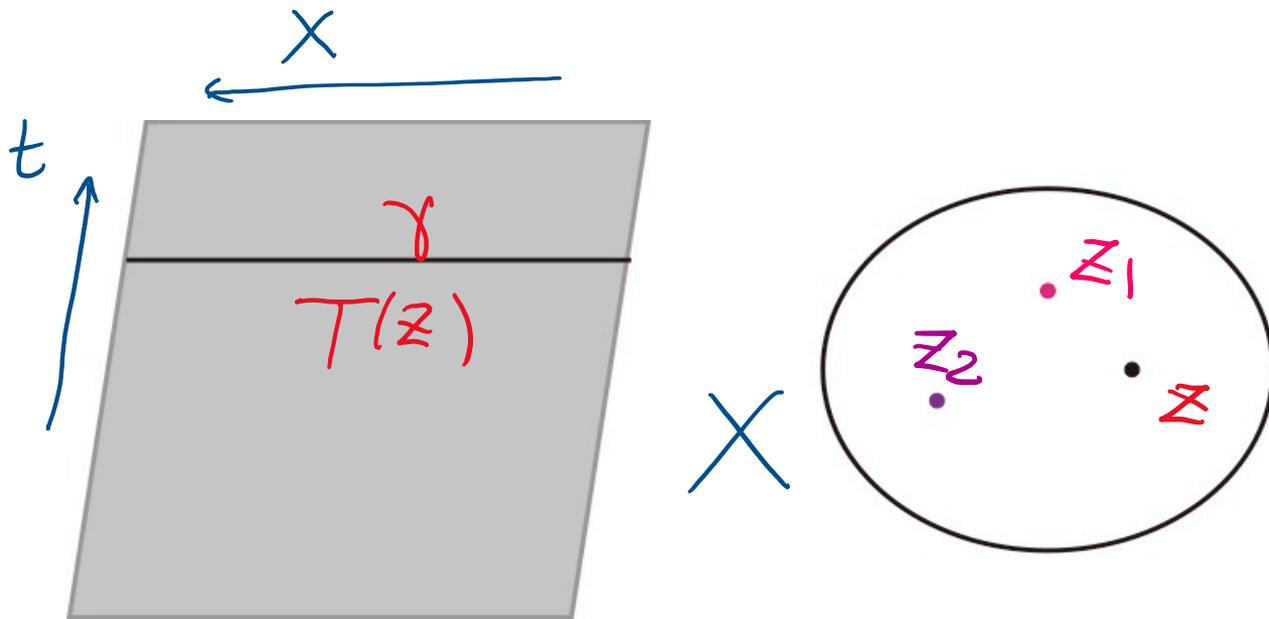


Wilson line

$$\left\langle \text{Tr} P \exp \int_{\delta} A \right\rangle$$

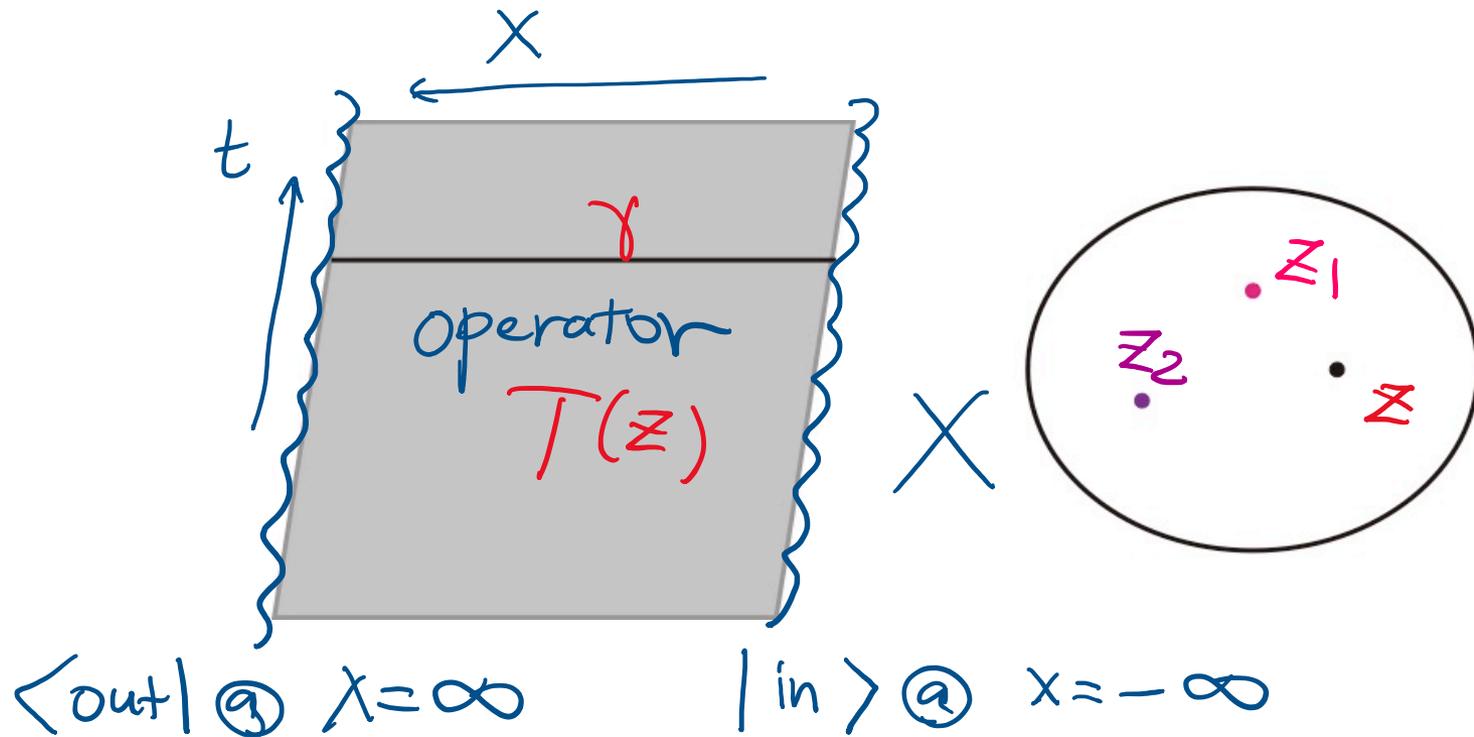
instead of  $\mathbb{R} \times S^1$

let's consider  $\mathbb{R}^2$

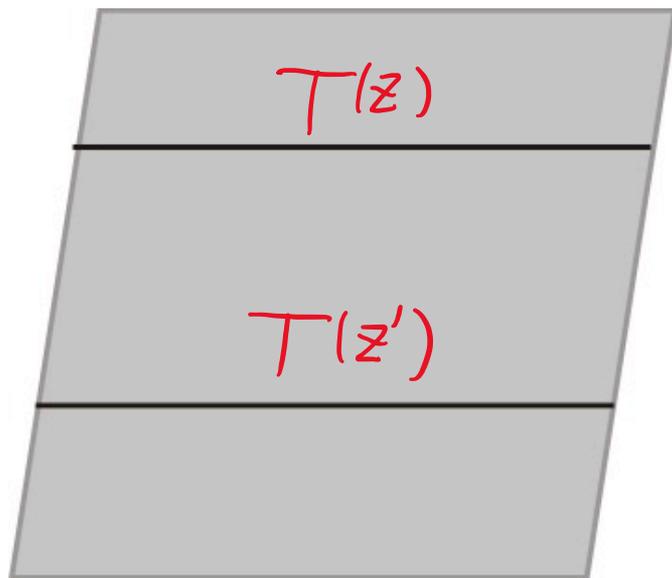


instead of  $\mathbb{R} \times S^1$

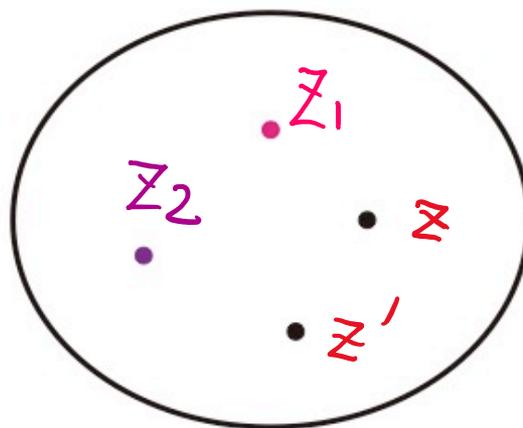
let's consider  $\mathbb{R}^2$

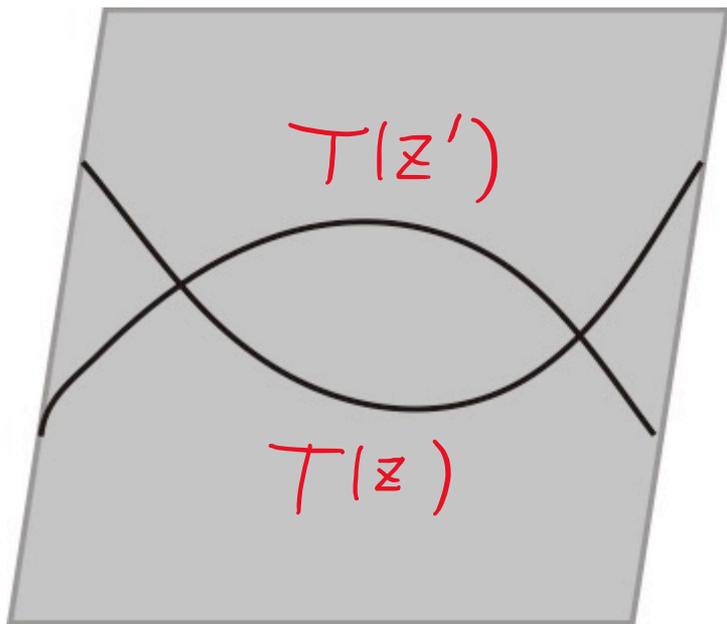


$$\langle \text{out} | T(z) = P \exp \int_{\gamma} A(z) | \text{in} \rangle$$

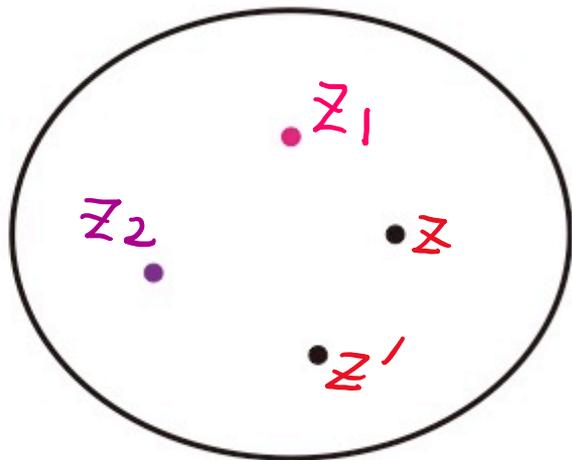


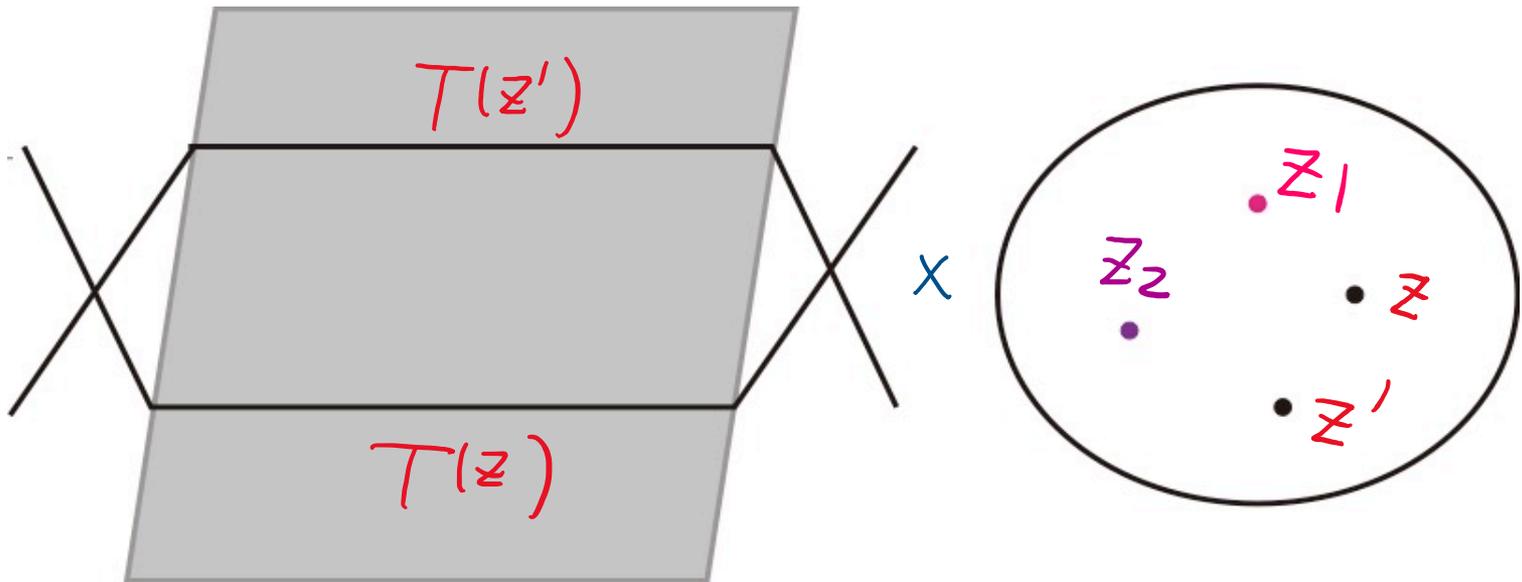
$\times$

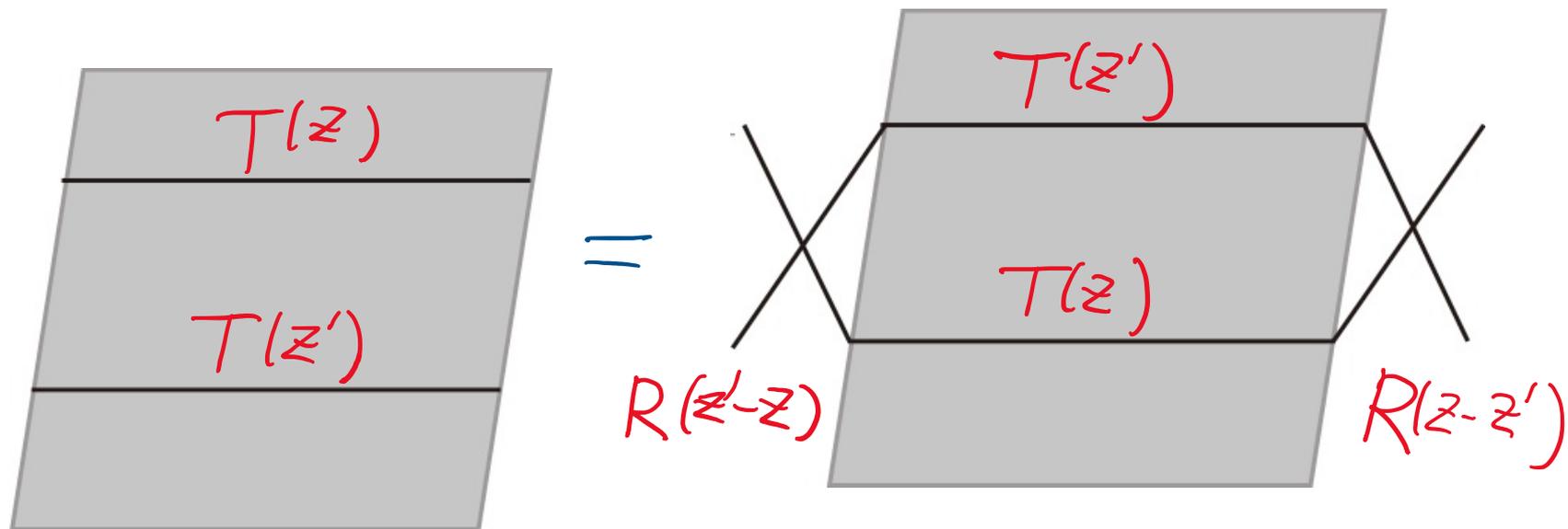




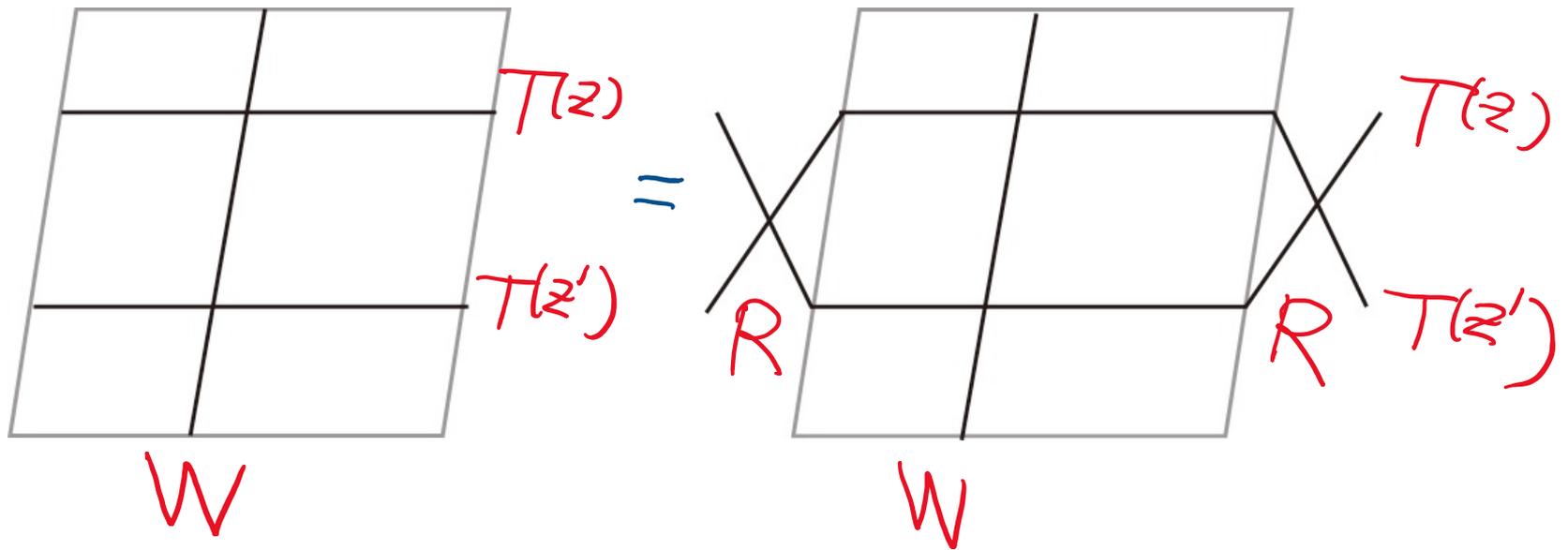
$\times$







**RTT relation:** definition of the Yangian  
 (and their trigonometric/elliptic counterparts),  
 and ensures quantum integrability



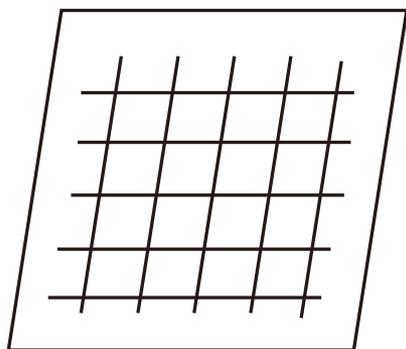
This can be thought of the “continuum limit” of the RTT relation for discrete lattice models, discussed in **Part II**

Our 4d framework says more, about e.g.

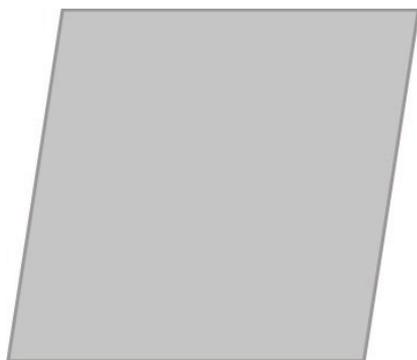
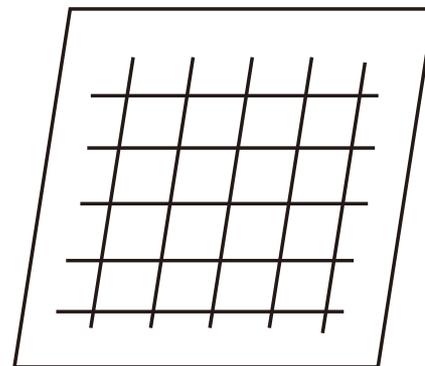
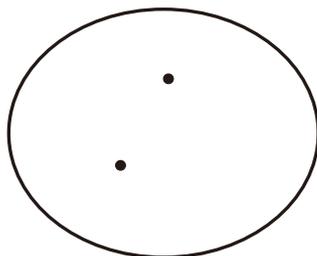
- Local conserved charges
  - Renormalization group flow
  - S-matrix factorization
  - Higher genus spectral curves
- ⋮
- ⋮
- ⋮
- ⋮
- ) Part IV
- ) Part III

# Summary

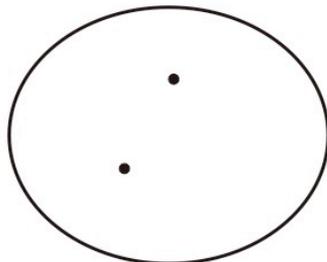
Part I & II



x



x



Part III & IV

A tropical beach scene with turquoise water and a clear blue sky. The water is a vibrant turquoise color, and the sky is a deep blue. In the distance, there are low mountains or hills. On the right side, there is a small island or breakwater. The foreground shows a sandy beach with gentle waves washing onto it.

**Thank you**

**ありがとうございます**

**にふえーでーびる**