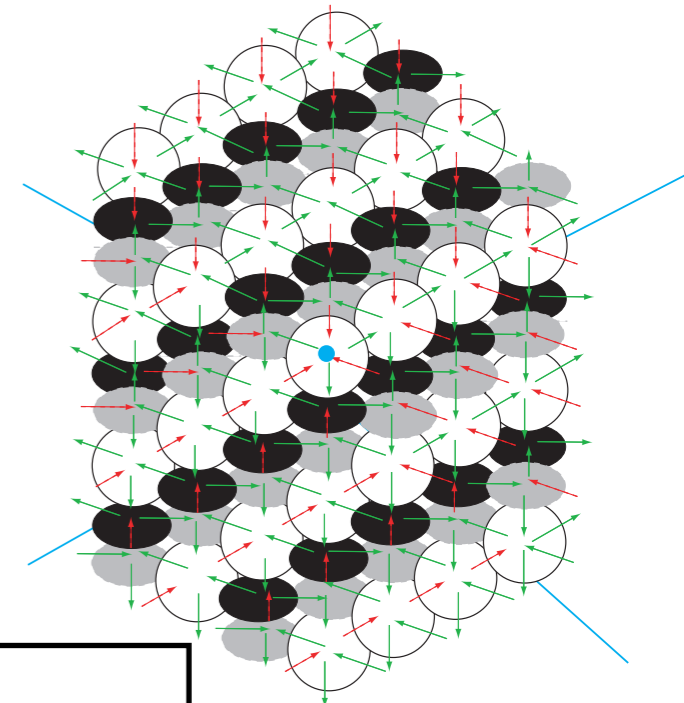


$$\begin{aligned} \psi^{(a)}(z) \psi^{(b)}(w) &= \psi^{(b)}(w) \psi^{(a)}(z) , \\ \psi^{(a)}(z) e^{(b)}(w) &\simeq \varphi^{b \Rightarrow a}(\Delta) e^{(b)}(w) \psi^{(a)}(z) , \\ e^{(a)}(z) e^{(b)}(w) &\sim (-1)^{|a||b|} \varphi^{b \Rightarrow a}(\Delta) e^{(b)}(w) e^{(a)}(z) , \\ \psi^{(a)}(z) f^{(b)}(w) &\simeq \varphi^{b \Rightarrow a}(\Delta)^{-1} f^{(b)}(w) \psi^{(a)}(z) , \\ f^{(a)}(z) f^{(b)}(w) &\sim (-1)^{|a||b|} \varphi^{b \Rightarrow a}(\Delta)^{-1} f^{(b)}(w) f^{(a)}(z) , \\ [e^{(a)}(z), f^{(b)}(w)] &\sim -\delta^{a,b} \frac{\psi^{(a)}(z) - \psi^{(b)}(w)}{z - w} , \end{aligned}$$



# Quiver Yangians and Crystal Melting

Masahito Yamazaki



ICMP Geneva / online

Aug 5, 2021

Based on

**Wei Li** + MY

(2003.08909 [hep-th])

**Dmitry Galakhov** + MY

(2008.07006 [hep-th])

**Dimitry Galakhov+Wei Li** + MY

(2106.01230 [hep-th])



... and many works in the literature

Also earlier works, e.g.

**Hiroshi Ooguri** + MY (0811.2810 [hep-th])

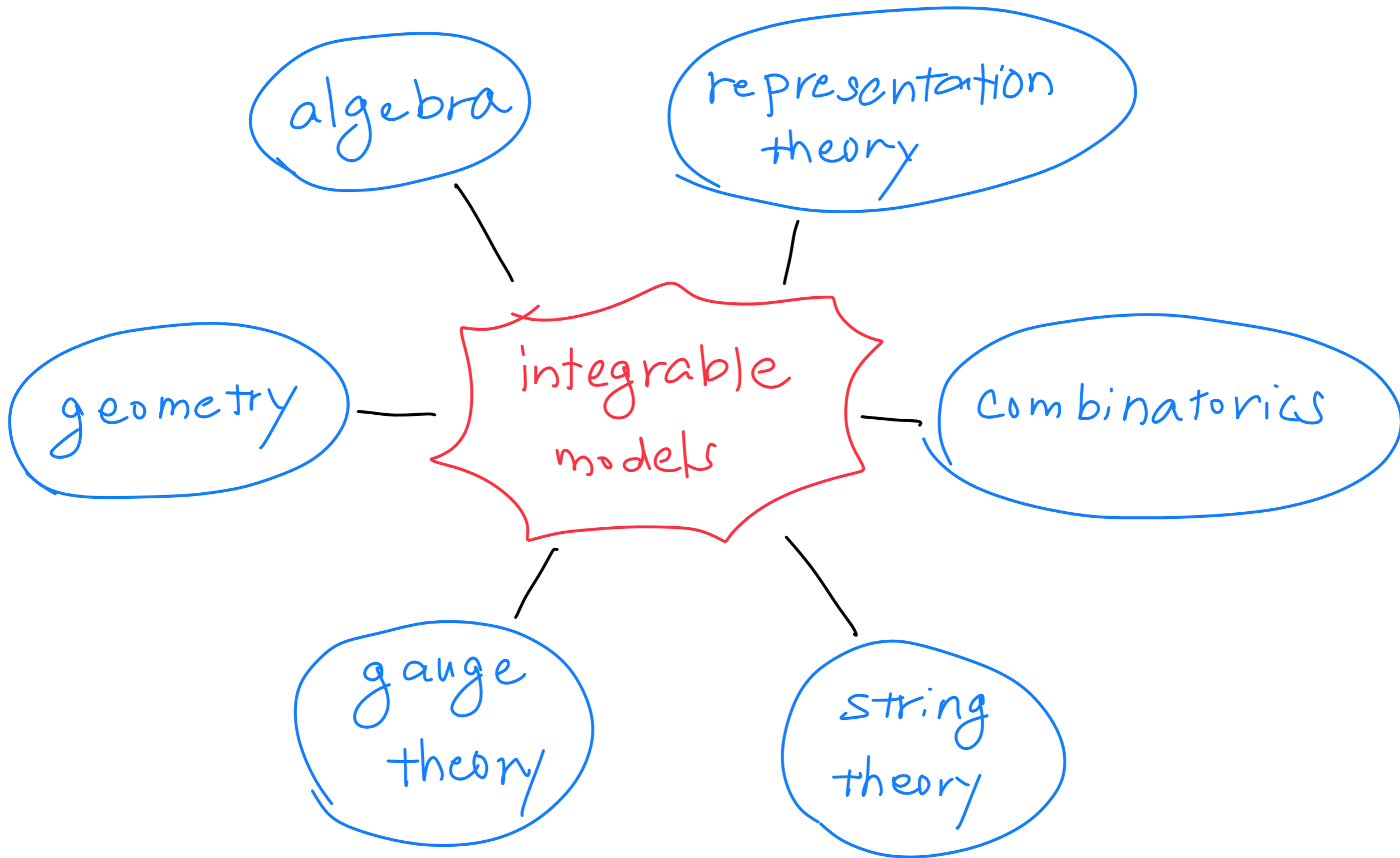
MY (Ph.D. thesis, 1002.1709 [hep-th])

MY (Master thesis, 0803.4474 [hep-th])



Overview







new algebras

generalization of  
affine Yangian

Shifted Quiver Yangian

$Y(Q, W)$

SUSY QM

$(Q, W)$  ← superpotential

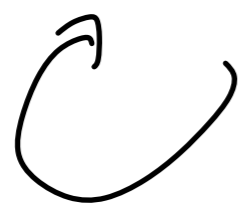
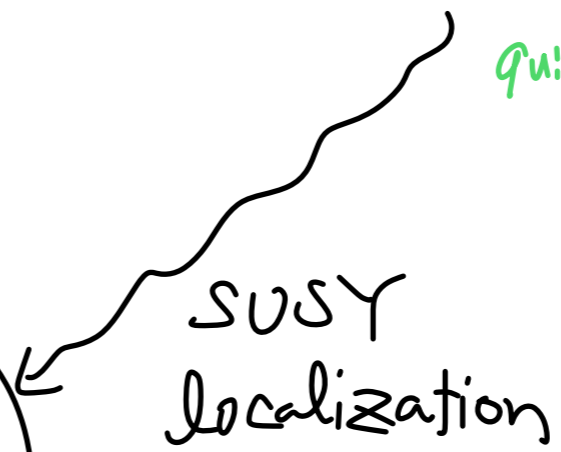
quiver

new algebras generalization of  
affine Yangian

Shifted Quiver Yangian  
 $Y(Q, W)$

SUSY QM  
 $(Q, W)$

quiver superpotential



Crystal Melting  
 $|\Lambda\rangle$

new representations

generalization of affine Yangian

new algebras

Shifted Quiver Yangian  
 $Y(Q, W)$

SUSY QM  
 $(Q, W)$

superpotential

quiver

SUSY localization

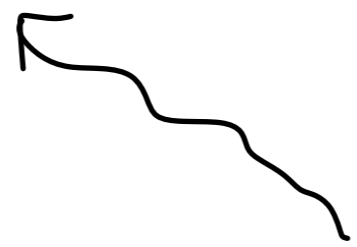
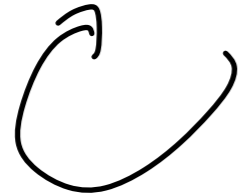
Crystal Melting  
 $|\Lambda\rangle$

new representations

Toric CY3  
 $\Delta \subset \mathbb{Z}^2$

(Donaldson-Thomas Wall Crossing)

toric diagram



new algebras generalization of affine Yangian

Shifted Quiver Yangian  
 $Y(Q, W)$

SUSY QM  
 $(Q, W)$  superpotential

String theory

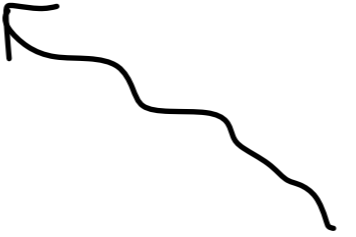
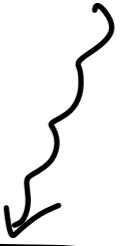
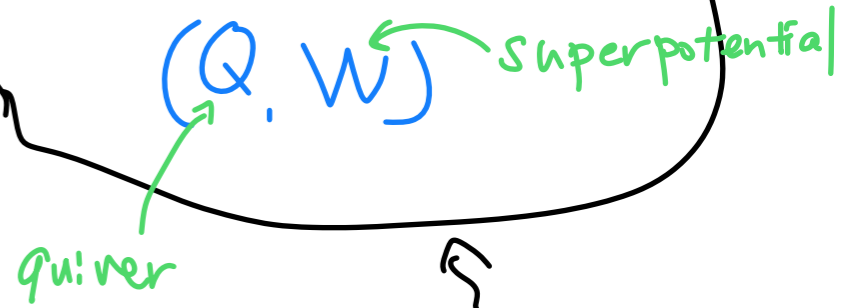
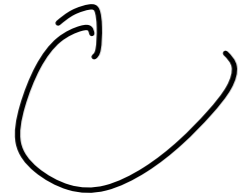
SUSY localization

Crystal Melting  
 $|\Lambda\rangle$

new representations

Toric CY3  
 $\Delta \subset \mathbb{Z}^2$  toric diagram

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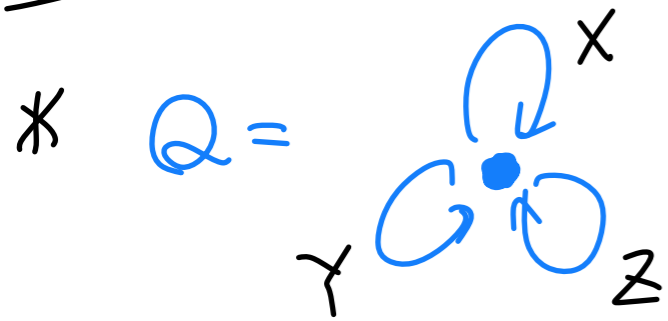


Shifted

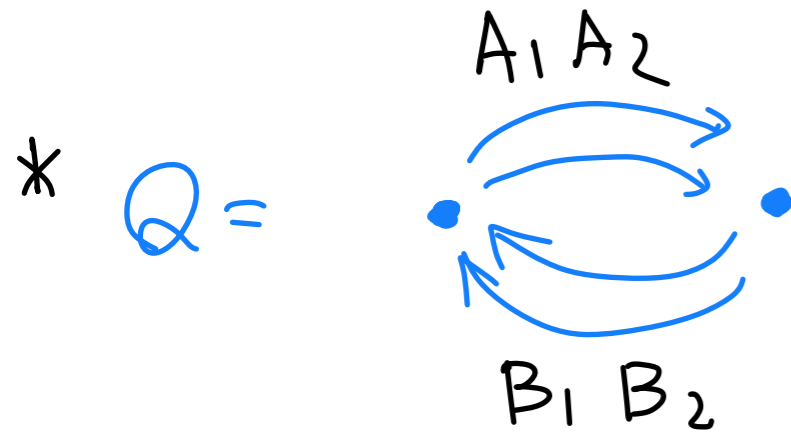
Quiver Yangian

[ Wei Li + M Y (20)  
Dimitry Galakhov + Wei Li + M Y (21) ]

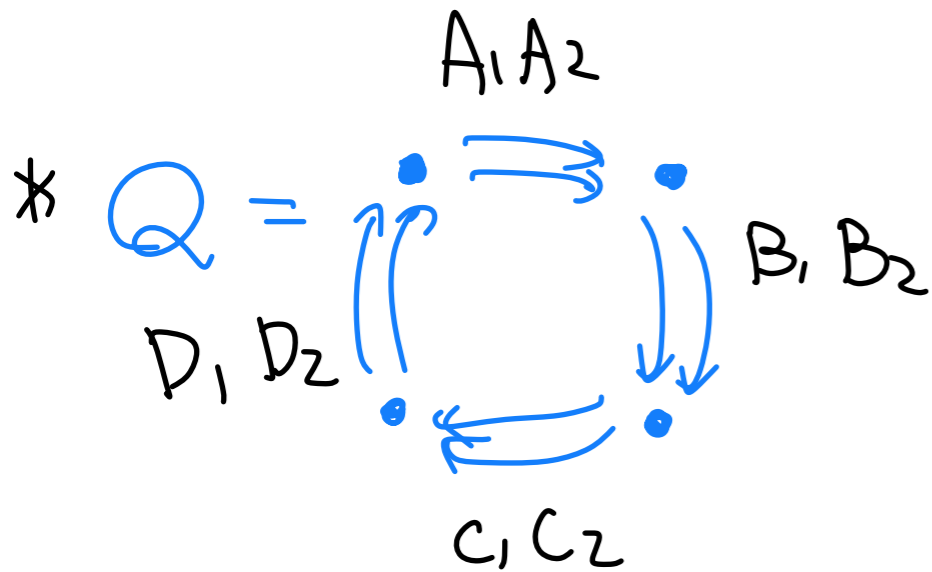
Quiver  $Q$  & Superpotential  $W$   $\leftarrow$  toric  $CY_3$



$W = \text{Tr}(XYZ - XZY)$  ( $CY_3 = \mathbb{C}^3$ )

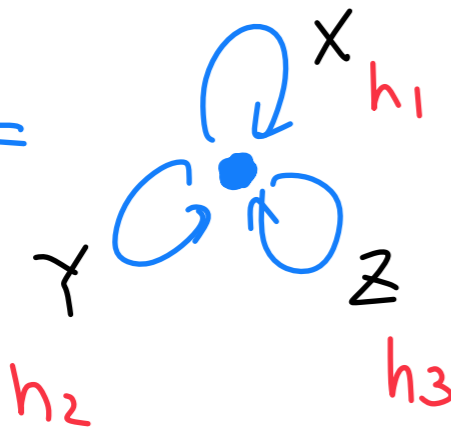


$W = \text{Tr}(A_1 B_1 A_2 B_2 - A_1 B_2 A_2 B_1)$   
 ( $CY_3 = \text{conifold}$ )




$W = \text{Tr}(A_1 B_1 C_1 D_1 - A_1 B_2 C_1 D_2 - A_2 B_1 C_2 D_1 + A_2 B_2 C_2 D_2)$   
 ( $CY_3 = K_{\mathbb{P}^1 \times \mathbb{P}^1}$ )

Quiver  $Q$  & Superpotential  $W$   $\leftarrow$  toric  $CY_3$

\*  $Q =$    $W = \text{Tr}(XYZ - XZY)$  ( $CY_3 = \mathbb{C}^3$ )

$h_1 + h_2 + h_3 = 0$

\*  $Q =$    $W = \text{Tr}(A_1 B_1 A_2 B_2 - A_1 B_2 A_2 B_1)$  ( $CY_3 = \text{conifold}$ )

$h_1 + h_2 + h_3 + h_4 = 0$

\* Assign equivariant parameters  $h_I$  consistent w/  $W$

$\nwarrow$  edge

# Generators

( $z$ : spectral parameter)

$$e^{(a)}(z) \equiv \sum_{n=0}^{+\infty} \frac{e_n^{(a)}}{z^{n+1}},$$

$$\psi^{(a)}(z) \equiv \sum_{n=-\infty}^{+\infty} \frac{\psi_n^{(a)}}{z^{n+1}},$$

$$f^{(a)}(z) \equiv \sum_{n=0}^{+\infty} \frac{f_n^{(a)}}{z^{n+1}},$$

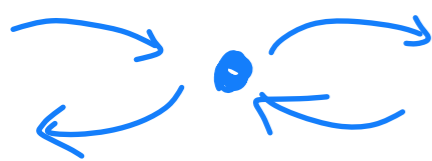
$a$ : quiver vertex

$n = -k$

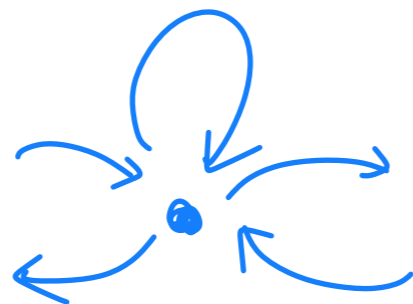
" $k$ -shifted Quiver Yangian"

# $\mathbb{Z}_2$ -grading

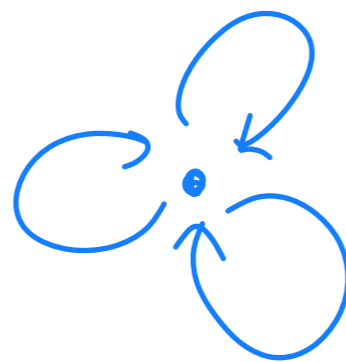
$$|a| = \begin{cases} 0 & (\exists \text{ edge } I \text{ s.t. } I \text{ starts and ends at } a) \\ 1 & (\text{otherwise}) \end{cases}$$



odd



even



even



odd



# Relations

$\Upsilon(Q, w)$

$$\psi^{(a)}(z) \psi^{(b)}(w) = \psi^{(b)}(w) \psi^{(a)}(z),$$

$$\psi^{(a)}(z) e^{(b)}(w) \simeq \varphi^{b \Rightarrow a}(\Delta) e^{(b)}(w) \psi^{(a)}(z),$$

$$e^{(a)}(z) e^{(b)}(w) \sim (-1)^{|a||b|} \varphi^{b \Rightarrow a}(\Delta) e^{(b)}(w) e^{(a)}(z),$$

$$\psi^{(a)}(z) f^{(b)}(w) \simeq \varphi^{b \Rightarrow a}(\Delta)^{-1} f^{(b)}(w) \psi^{(a)}(z),$$

$$f^{(a)}(z) f^{(b)}(w) \sim (-1)^{|a||b|} \varphi^{b \Rightarrow a}(\Delta)^{-1} f^{(b)}(w) f^{(a)}(z),$$

$$[e^{(a)}(z), f^{(b)}(w)] \sim -\delta^{a,b} \frac{\psi^{(a)}(z) - \psi^{(b)}(w)}{z - w},$$

“ $\simeq$ ” means equality up to  $z^n w^{m \geq 0}$  terms

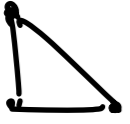
“ $\sim$ ” means equality up to  $z^{n \geq 0} w^m$  and  $z^n w^{m \geq 0}$  terms

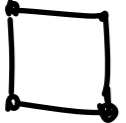
bonding factor

$$\varphi^{a \Rightarrow b}(u) \equiv \frac{\prod_{I \in \{b \rightarrow a\}} (u + h_I)}{\prod_{I \in \{a \rightarrow b\}} (u - h_I)}$$

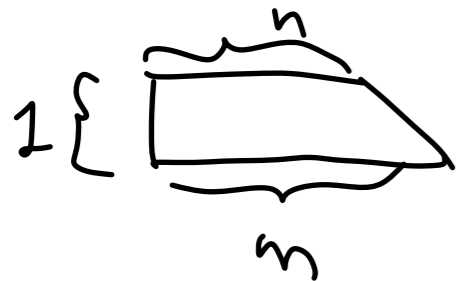
equivariant weight

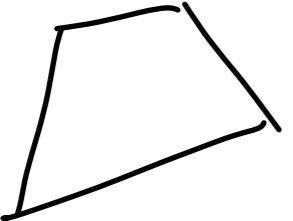
edge

\*  $\mathbb{C}^3 \rightsquigarrow Q = \text{triangle with arrows}$   $\rightsquigarrow Y(\widehat{gl}_1)$   
  
 $W = \text{Tr}(XYZ - XZY)$   
 [Miki; Ding-Iohara; ...  
 Tsymbaulik; Prochazka;  
 Gaberdiel, Gopakumar, Li, Peng, ...]

\* conifold  $\rightsquigarrow Q = \text{square with arrows}$   $\rightsquigarrow Y(\widehat{gl}_{1|1})$   
  
 $W = \text{Tr}(A_1 B_1 A_2 B_2 - A_1 B_2 A_2 B_1)$

\*  $xy = z^n w^m \rightsquigarrow Y(\widehat{gl}_{m|n})$  [Rapcak; Bezerra-Mukhin]  
 cf. [Nagao-MY '10]



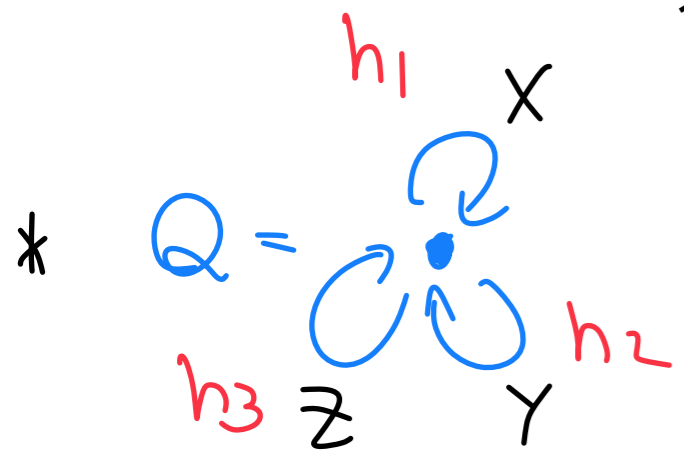
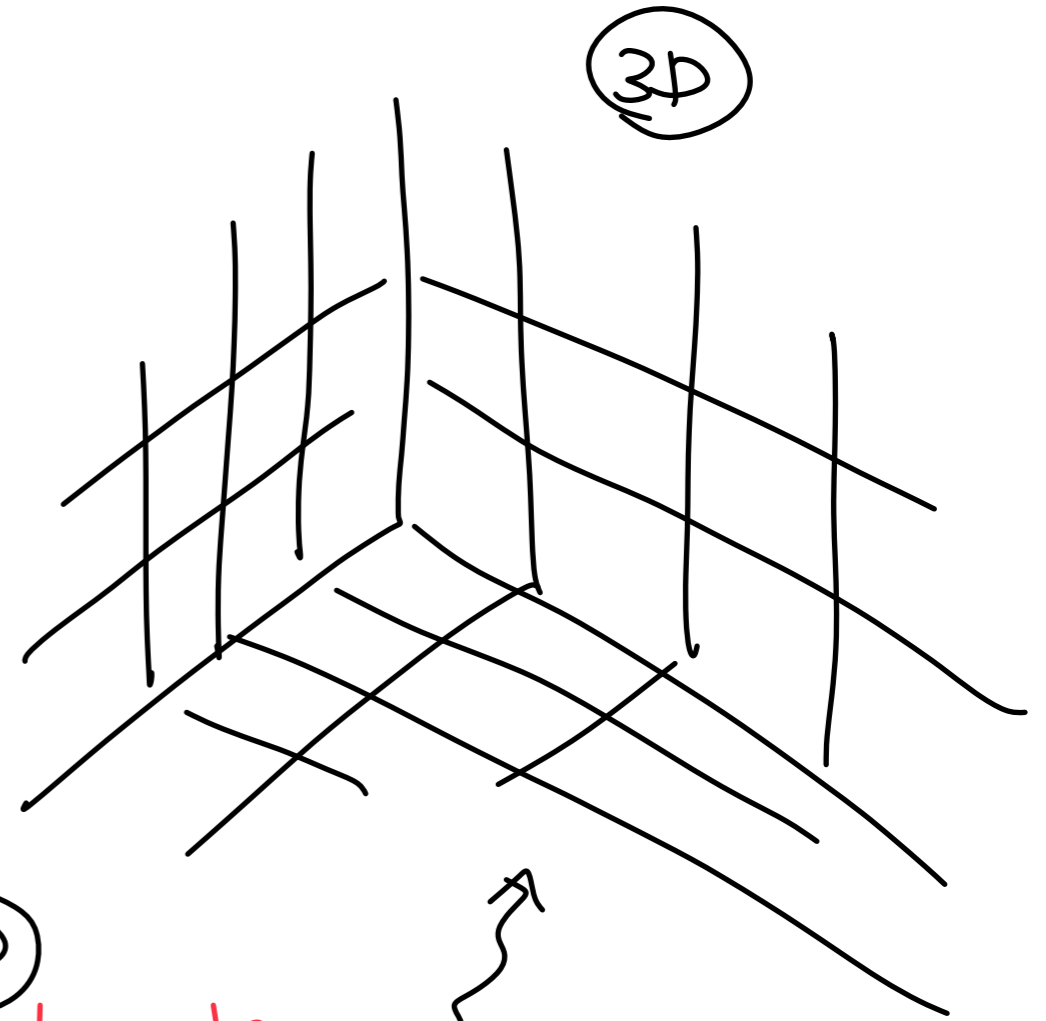
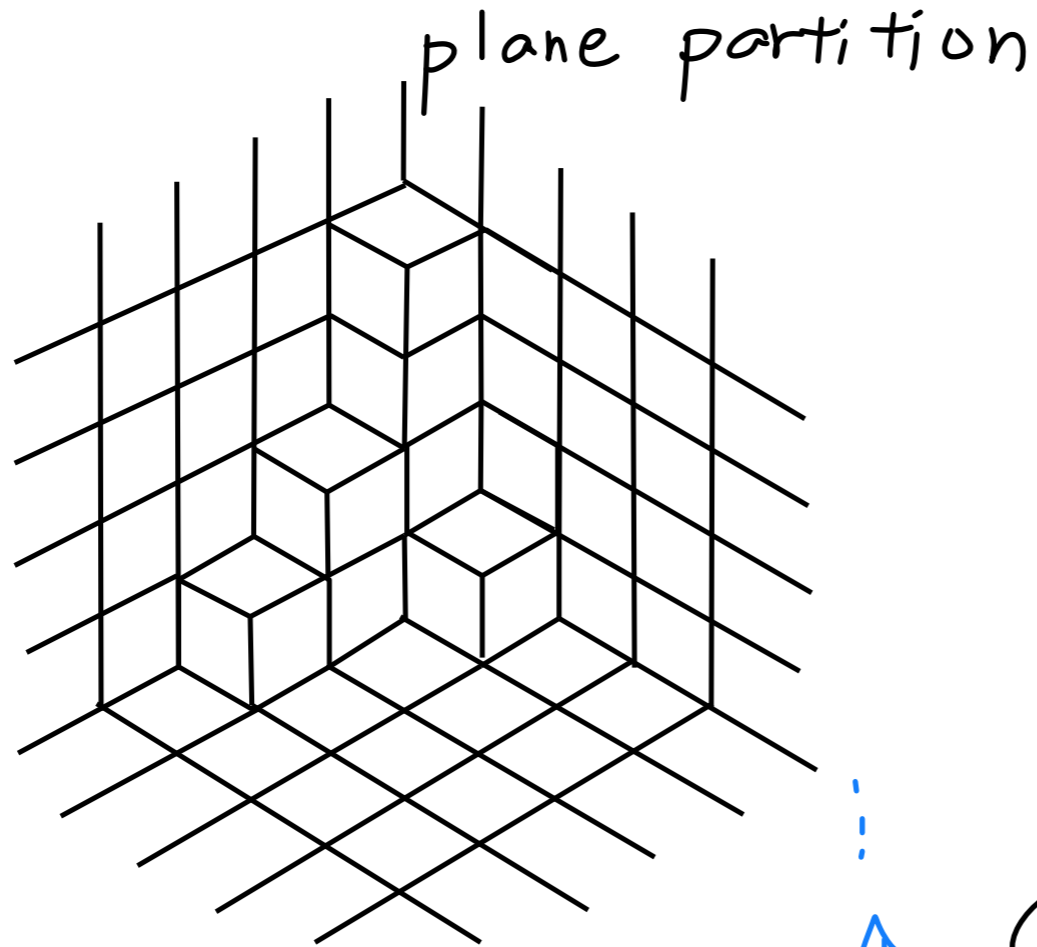
\* general toric  $CT_3 \rightsquigarrow Y(Q, W)$   
  $\Delta \subset \mathbb{Z}^2$   
 has no "g"

Representations from

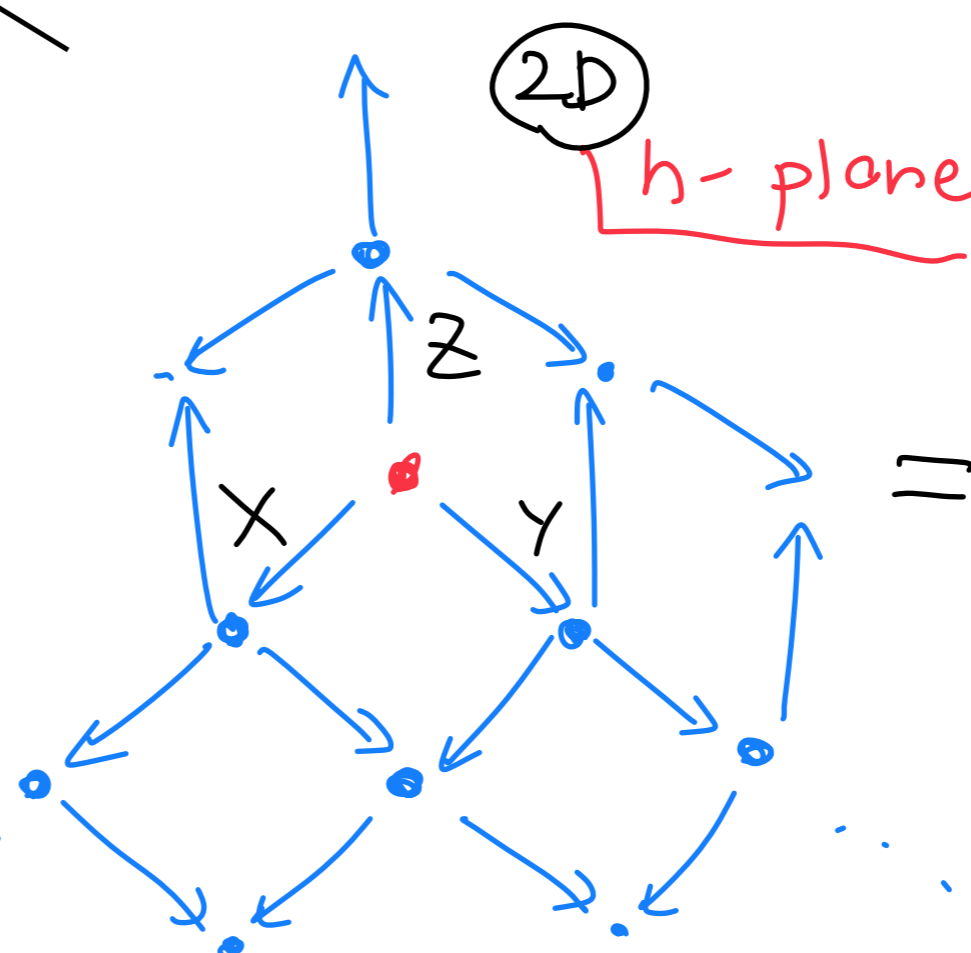
Crystal Melting

cf. earlier developments on **quantum toroidal algebras** (Ding-Iohara-Miki) and **affine Yangians** by [Feigin, Jimbo, Miwa, Mukhin; Tsybaulik; Prochazka; Rapcak; Gaberdiel, Gopakumar; Li, Peng, ...]

$\mathbb{C}^3$ : crystal melting [Okounkov-Reshetikhin-Vafa; Iqbal, Nekrasov,...]



$\rightsquigarrow$



$\uparrow$   
 (paths from  $\bullet$ )  
 /  
 (relations)

$$W = \text{Tr}(XYZ - XZY)$$

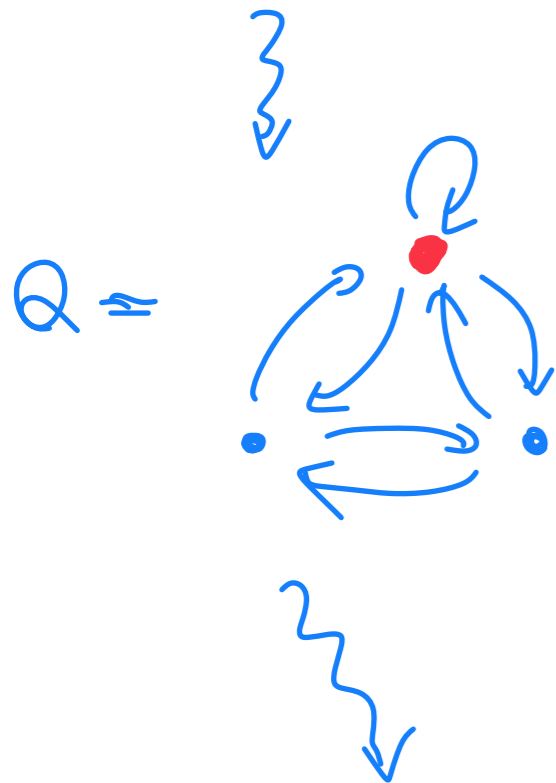
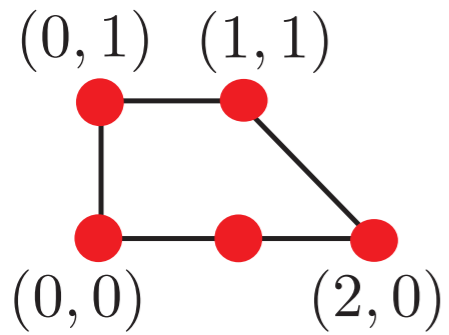
$$h_1 + h_2 + h_3 = 0$$

The story generalizes to  
an arbitrary toric CY3

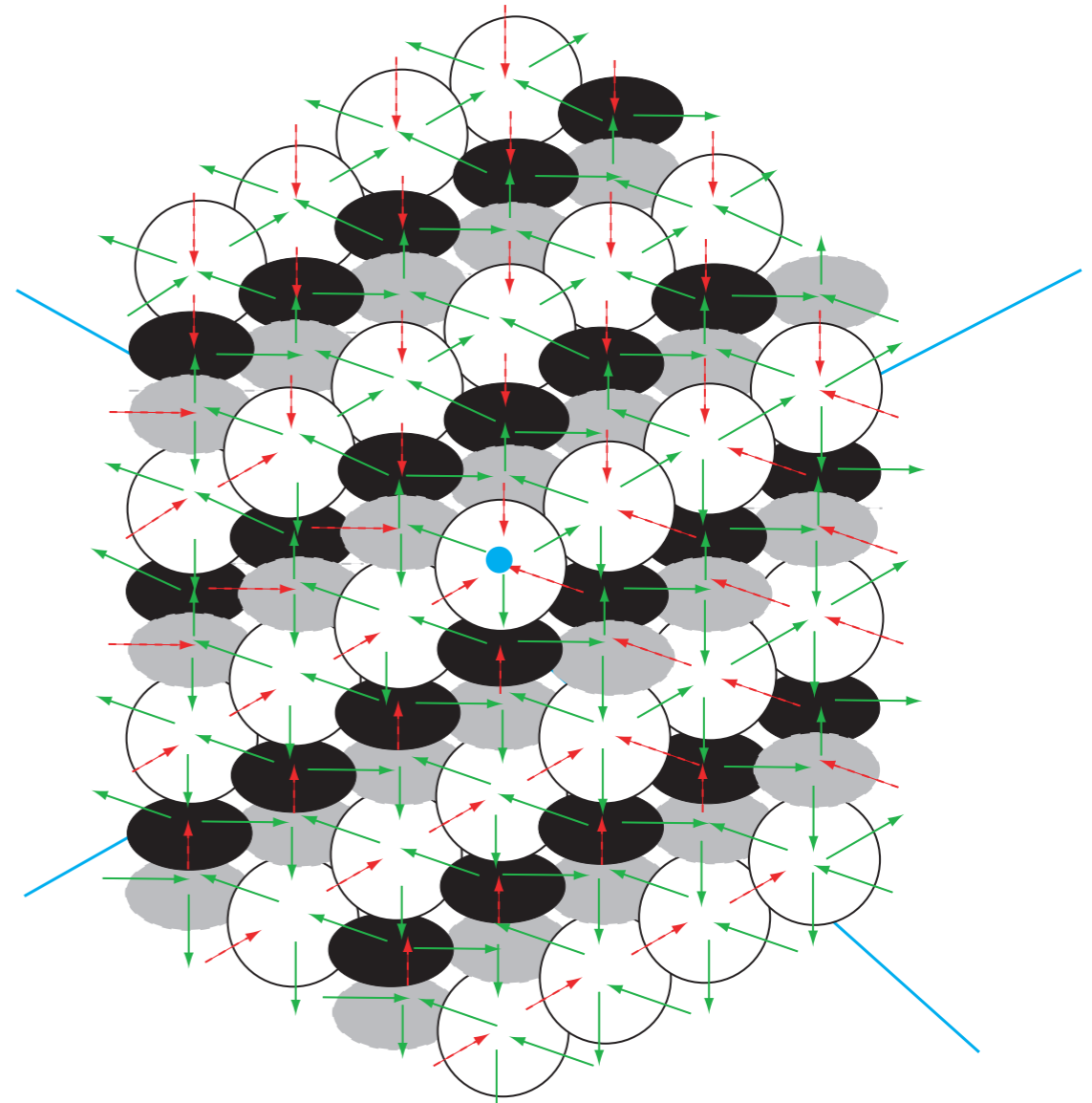
[Ooguri-MY '08'09]

See also [Szendroi; Mozgovoy, Reineke; Nagao, Nakajima; Ooguri, MY;  
Jafferis, Chuang, Moore; Sulkowski; Aganagic, Vafa; ...]

$CY_3$   $\partial y = z w^2$



$W = \text{Tr}(\dots)$



[Ooguri-MY '08]

(paths from a vertex)  $\int (\partial W)$

||  
(atoms in crystal)

path algebra

$\mathbb{C}Q / (\partial W)$

Representation by crystal melting [Li-MY '20], inspired by [FFJMM] and [Prochazka]

*crystal*

$$\psi^{(a)}(z)|\mathbf{K}\rangle = \Psi_{\mathbf{K}}^{(a)}(z)|\mathbf{K}\rangle ,$$

$$e^{(a)}(z)|\mathbf{K}\rangle = \sum_{\boxed{a} \in \text{Add}(\mathbf{K})} \frac{E^{(a)}(\mathbf{K} \rightarrow \mathbf{K} + \boxed{a})}{z - h(\boxed{a})} |\mathbf{K} + \boxed{a}\rangle ,$$

$$f^{(a)}(z)|\mathbf{K}\rangle = \sum_{\boxed{a} \in \text{Rem}(\mathbf{K})} \frac{F^{(a)}(\mathbf{K} \rightarrow \mathbf{K} - \boxed{a})}{z - h(\boxed{a})} |\mathbf{K} - \boxed{a}\rangle ,$$

*add/remove on atom*

Representation by crystal melting [Li-MY '20], inspired by [FFJMM] and [Prochazka]

*crystal*

$$\begin{aligned}\psi^{(a)}(z)|\mathbf{K}\rangle &= \Psi_{\mathbf{K}}^{(a)}(z)|\mathbf{K}\rangle, \\ e^{(a)}(z)|\mathbf{K}\rangle &= \sum_{\boxed{a} \in \text{Add}(\mathbf{K})} \frac{E^{(a)}(\mathbf{K} \rightarrow \mathbf{K} + \boxed{a})}{z - h(\boxed{a})} |\mathbf{K} + \boxed{a}\rangle, \\ f^{(a)}(z)|\mathbf{K}\rangle &= \sum_{\boxed{a} \in \text{Rem}(\mathbf{K})} \frac{F^{(a)}(\mathbf{K} \rightarrow \mathbf{K} - \boxed{a})}{z - h(\boxed{a})} |\mathbf{K} - \boxed{a}\rangle,\end{aligned}$$

*poles for atom  $\boxed{a}$*

*add/remove on atom*



Representation by crystal melting [Li-MY '20], inspired by [FFJMM] and [Prochazka]

$$\psi^{(a)}(z)|K\rangle = \Psi_K^{(a)}(z)|K\rangle,$$

$$e^{(a)}(z)|K\rangle = \sum_{\boxed{a} \in \text{Add}(K)} \frac{E^{(a)}(K \rightarrow K + \boxed{a})}{z - h(\boxed{a})} |K + \boxed{a}\rangle,$$

$$f^{(a)}(z)|K\rangle = \sum_{\boxed{a} \in \text{Rem}(K)} \frac{F^{(a)}(K \rightarrow K - \boxed{a})}{z - h(\boxed{a})} |K - \boxed{a}\rangle,$$

poles for atom  $\boxed{a}$

$\Psi_K^{(a)}$  :

$$\Psi_K^{(a)}(u) = \psi_0^{(a)}(z) \prod_{b \in Q_0} \prod_{\boxed{b} \in K} \varphi^{b \Rightarrow a}(u - h(\boxed{b})),$$

$$h(\boxed{a}) \equiv \sum_{I \in \text{path}[\circ \rightarrow \boxed{a}]} h_I.$$

$$\varphi^{a \Rightarrow b}(u) \equiv \frac{\prod_{I \in \{b \rightarrow a\}} (u + h_I)}{\prod_{I \in \{a \rightarrow b\}} (u - h_I)}$$

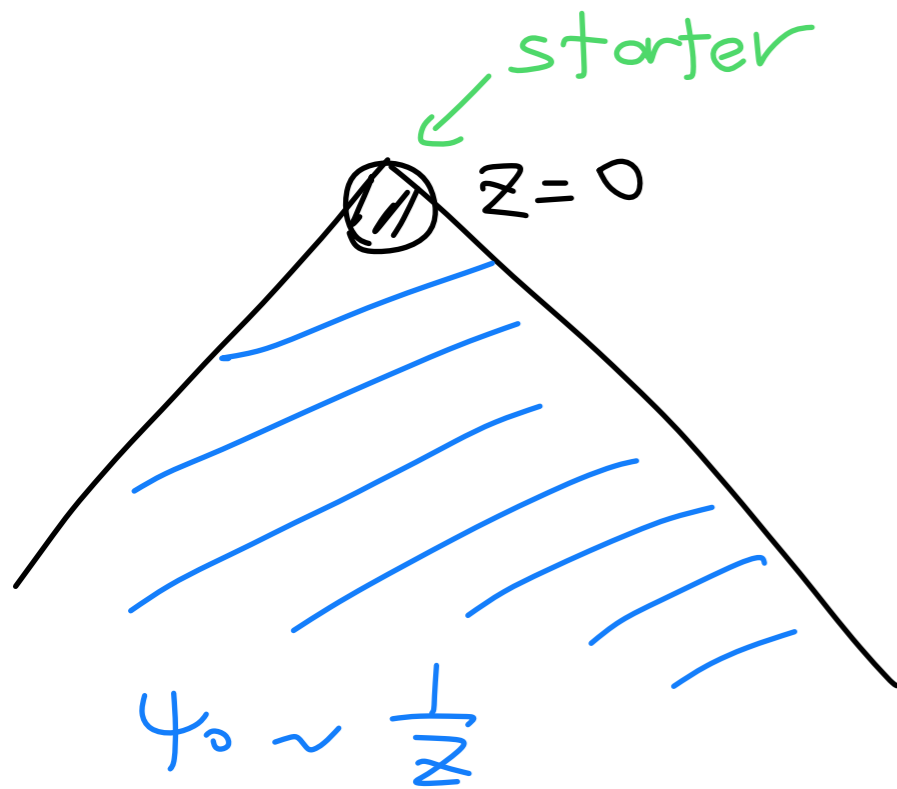
$E^{(a)}/F^{(a)}$  :

$$E^{(a)}/F^{(a)} = \sqrt{\pm \text{Res}_{u=h(\boxed{a})} \Psi_K^{(a)}(u)}$$

$$\psi^{(a)}(z) |\emptyset\rangle = \underbrace{\psi_0^{(a)}(z)}_{\text{vacuum charge function}} |\emptyset\rangle$$

[Galakhov-Li-MY '21]

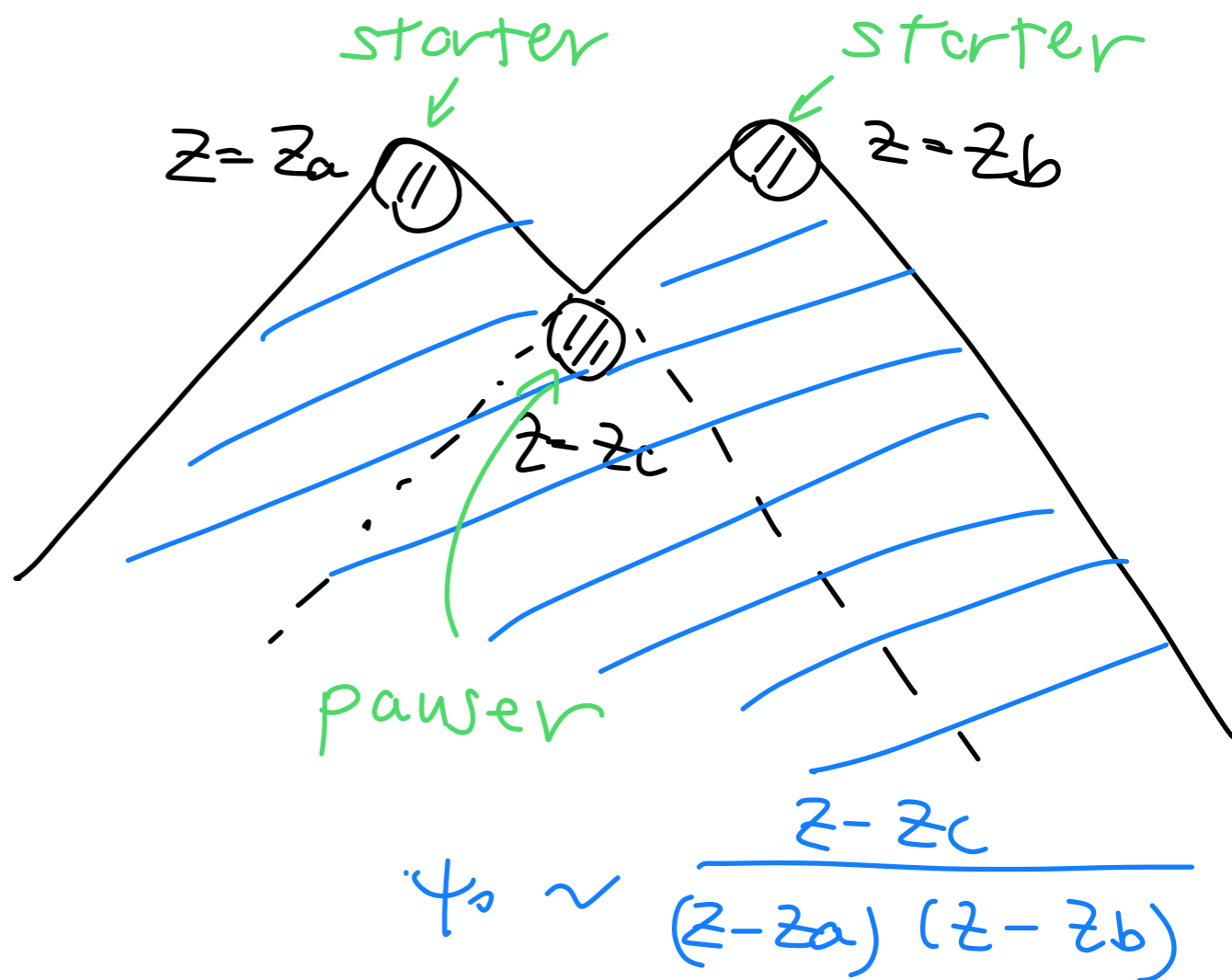
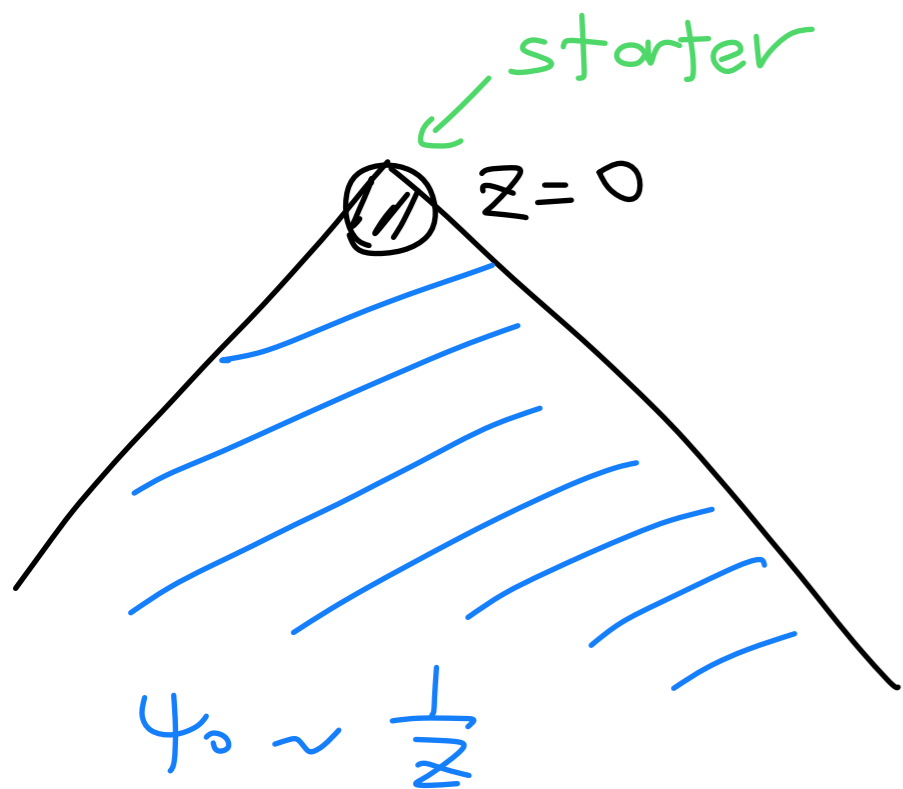
vacuum charge function  $\leftrightarrow$  representation



$$\psi^{(a)}(z) |\emptyset\rangle = \underbrace{\psi_0^{(a)}(z)}_{\text{vacuum charge function}} |\emptyset\rangle$$

[Galakhov-Li-MY '21]

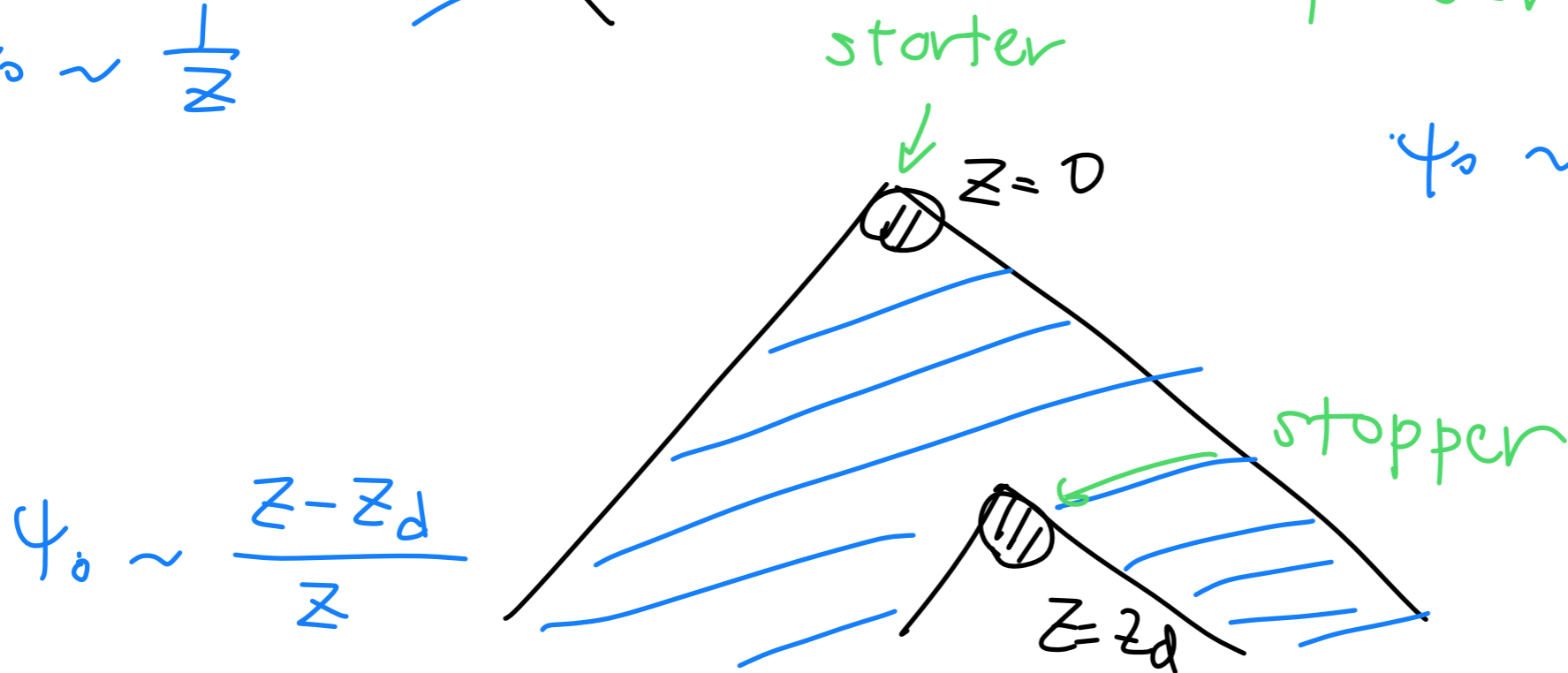
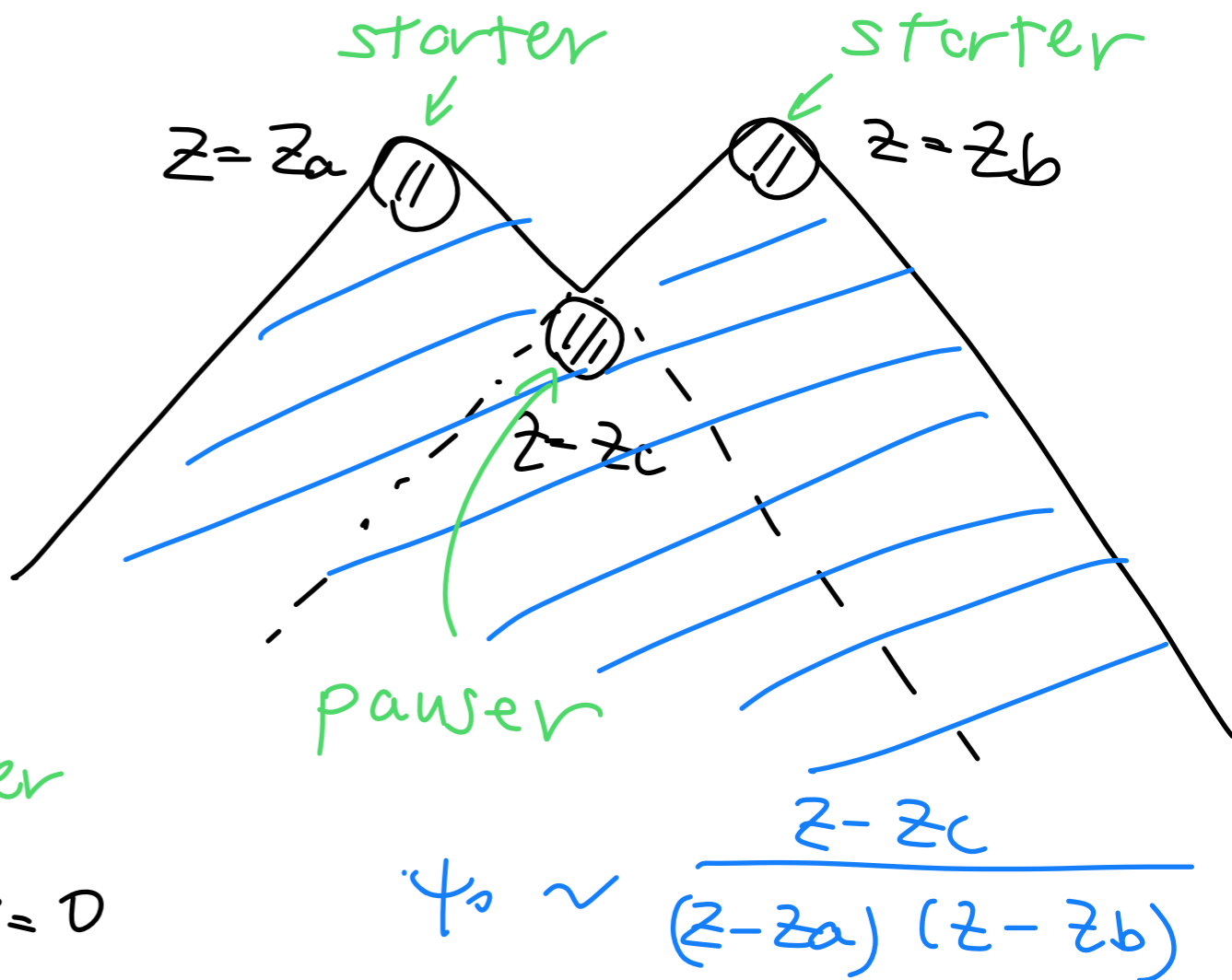
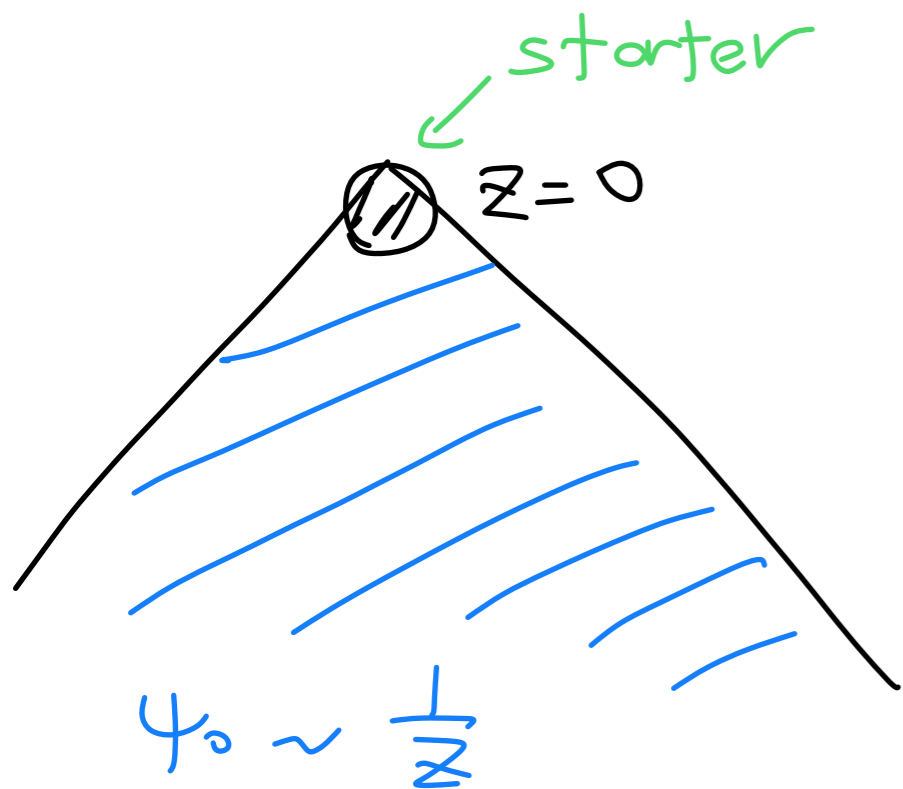
vacuum charge function  $\leftrightarrow$  representation



$$\psi^{(a)}(z) |\emptyset\rangle = \underbrace{\psi_0^{(a)}(z)}_{\text{vacuum charge function}} |\emptyset\rangle$$

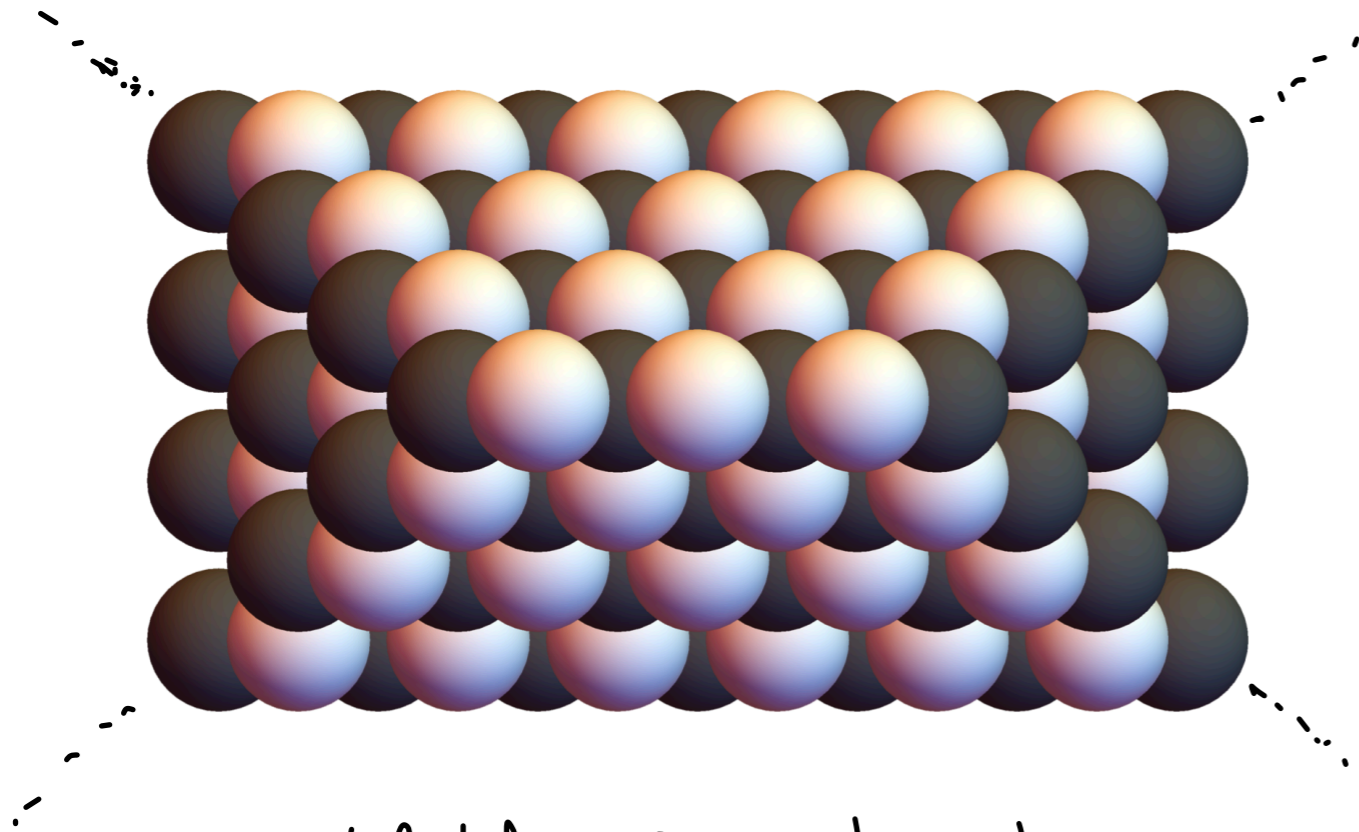
[Galakhov-Li-MY '21]

vacuum charge function  $\leftrightarrow$  representation

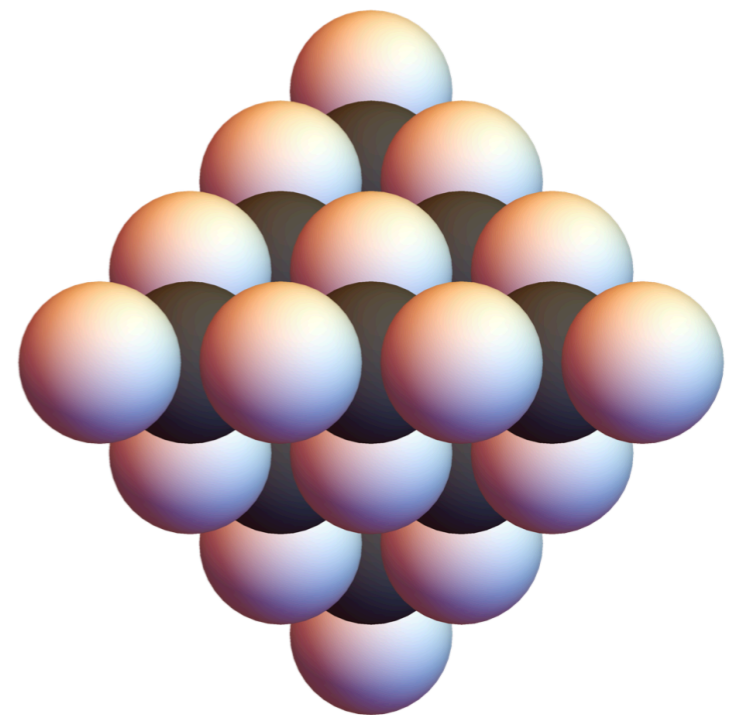


We can obtain rather general reps by  
using starter / pauser / stoppers

e.g. open / closed BPS state counting  
and their wall crossings



conifold :  $\infty$ -chamber



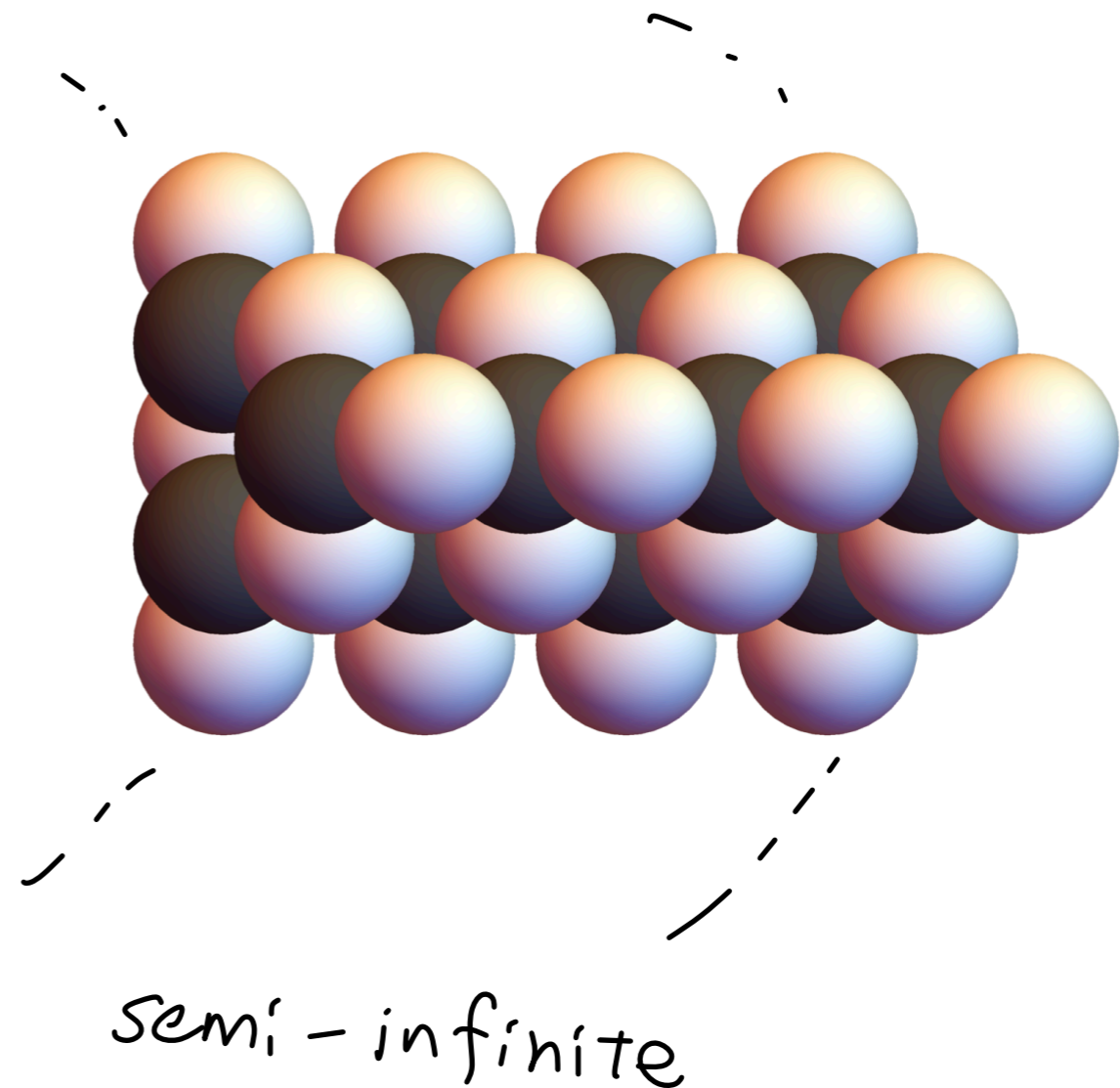
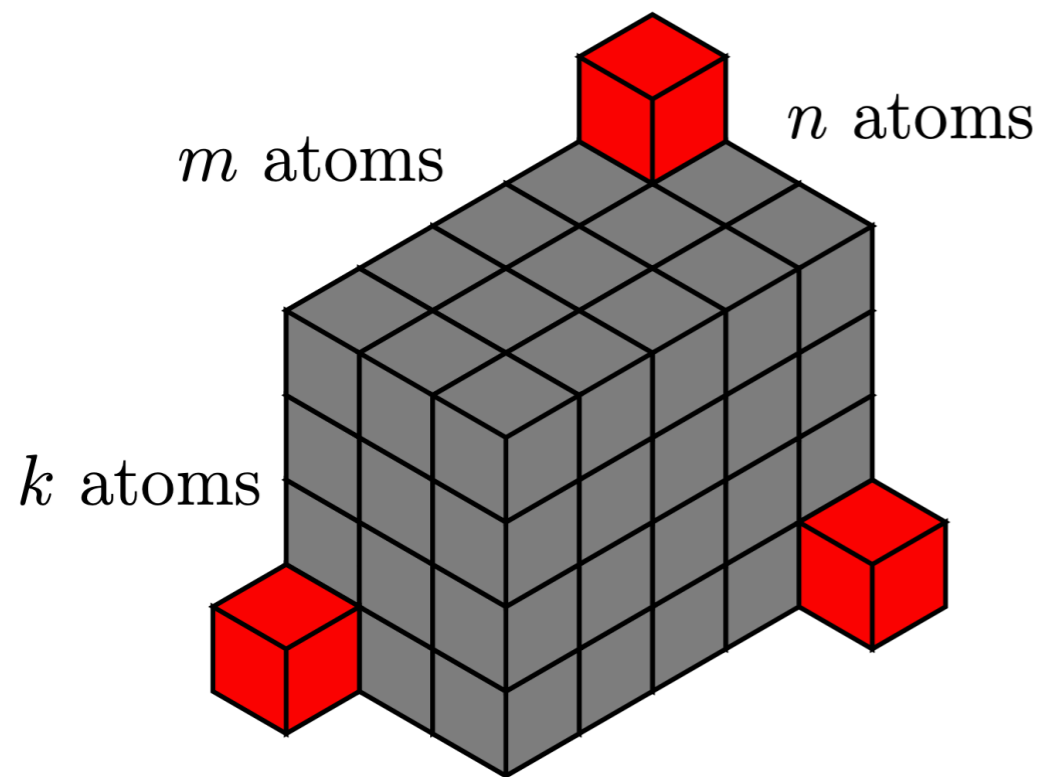
conifold : finite chamber

[Nagao-Nakajima; Jafferis-Moore; Chuang-Jafferis, ... '08]

Some representations have no known  
 $C_{2v}$ /geometry counterparts

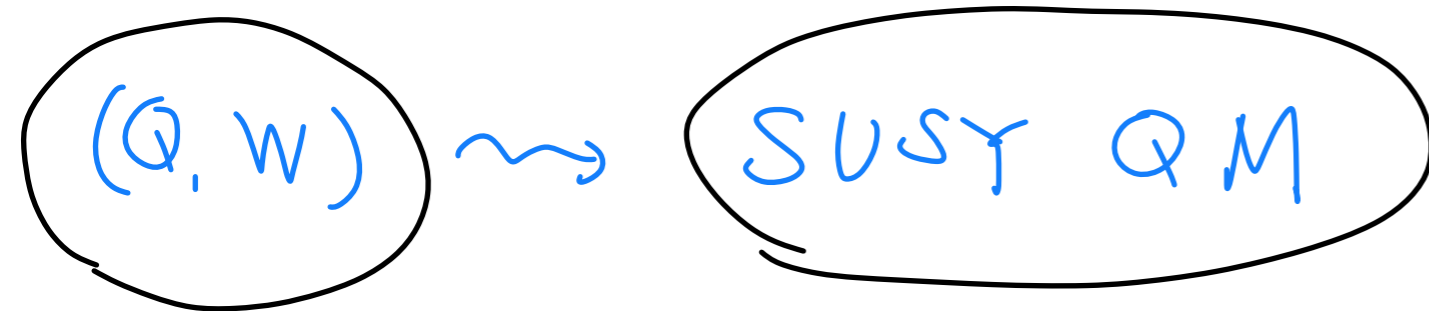
$\Upsilon(\hat{g}_{11})$   $\mathbb{R}^3$ -like

$\Upsilon(\hat{g}_{111})$  conifold-like

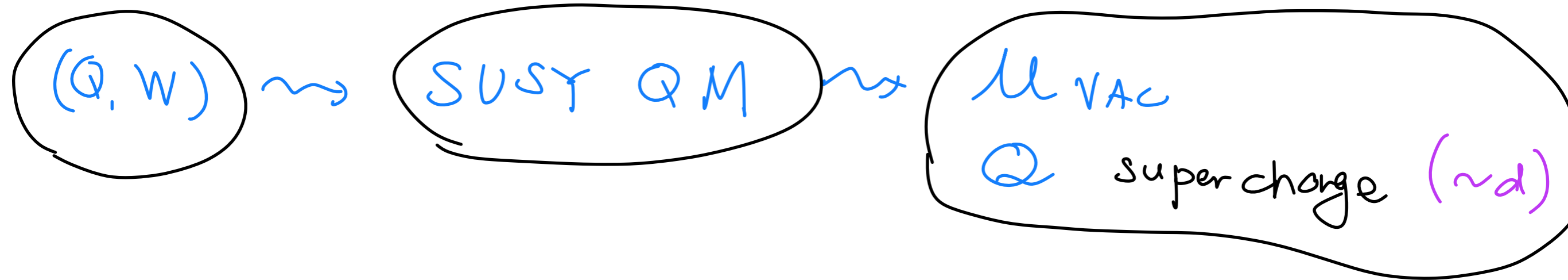


We can derive quiver Yangian representation  
by equivariant localization in SUSY QM

[Galakhov-MY '20]

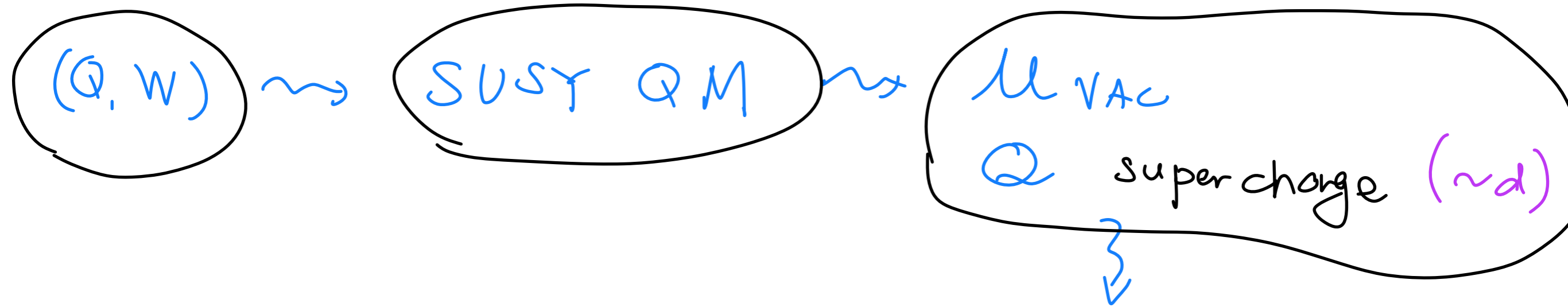


We can derive quiver Yangian representation  
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[Galakhov-MY '20]



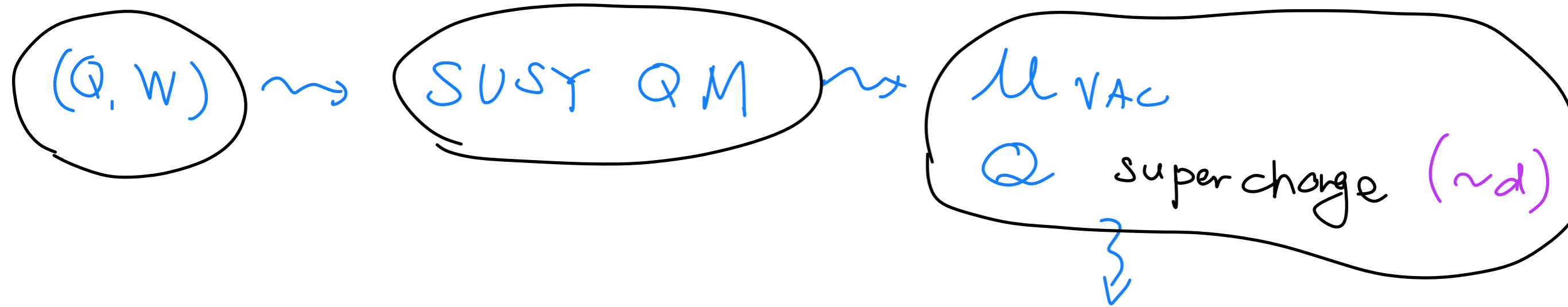


We can derive quiver Yangian representation  
by equivariant localization in SUSY QM  
[Galakhov-MY '20]



$\Omega$ -deformation by  
equiv. param.  $\{h, z\}$   
fixed pts : crystal  $\wedge$

We can derive quiver Yangian representation  
by equivariant localization in SUSY QM  
[Galakhov-MY '20]



$\Omega$ -deformation by  
equiv. param.  $\{h, z\}$   
fixed pts : crystal  $\Lambda$

effective wavefunction  
 $\Psi_\Lambda \sim \text{Eu}^\Lambda$

We can derive quiver Yangian representation  
by equivariant localization in SUSY QM

[Galakhov-MY '20]

$(Q, W)$

SUSY QM

$\mathcal{U}_{\text{vac}}$

$Q$  supercharge ( $\sim d$ )

e/f generators: "Hecke modification"

$$\langle \Psi_{\lambda+\square} | e | \Psi_{\lambda} \rangle$$

FMT in  $\mathcal{U}_{\lambda} \times \mathcal{U}_{\lambda+\square}$

$\Omega$ -deformation by  
equiv. param.  $\{h, z\}$

fixed pts: crystal  $\Lambda$

effective wavefunction

$$\Psi_{\lambda} \sim \text{Eu}_{\lambda}$$

We obtain algebras / repr. by  
equiv. localization of  $SQM_{(Q,W)}$

In all cases reproduce  $Y_{(Q,W)}$   
but no general proof

[Galakhov-MY '20; Galakhov-Li-MY '20]

## Highly non-trivial cancellations!

[Galakhov-MY '20]

For example, for one of the Serre relations of  $Y(\widehat{\mathfrak{gl}}_{3|1})$

$$\text{Sym}_{z_1, z_2} \left[ e^{(2)}(z_1), \left[ e^{(3)}(w_1), \left[ e^{(2)}(z_2), e^{(1)}(w_2) \right] \right] \right]$$

$$\begin{aligned} A_2 &:= \text{Res}_{z_1, z_2, w_1, w_2} \langle \Lambda | A_1 | \Lambda_0 \rangle = \\ &= [1, 2, 4, 3] + [1, 3, 4, 2] - [2, 1, 3, 4] + [2, 1, 4, 3] - [2, 3, 1, 4] + [2, 4, 1, 3] + \\ &+ [2, 4, 3, 1] - [3, 1, 2, 4] + [3, 1, 4, 2] - [3, 2, 1, 4] + [3, 4, 1, 2] + [3, 4, 2, 1] - \\ &- [4, 1, 2, 3] - [4, 1, 3, 2] - [4, 2, 1, 3] - [4, 3, 1, 2] = 0! \end{aligned}$$

$$\begin{aligned} [2, 4, 1, 3] &= -\frac{1}{48}, & [4, 2, 1, 3] &= -\frac{1}{96}, & [2, 1, 4, 3] &= -\frac{1}{48}, & [1, 2, 4, 3] &= \frac{1}{32}, \\ [4, 1, 2, 3] &= \frac{1}{64}, & [1, 4, 2, 3] &= \frac{1}{64}, & [4, 1, 3, 2] &= -\frac{1}{64}, & [1, 4, 3, 2] &= -\frac{1}{64}, \\ [2, 4, 3, 1] &= \frac{2\hbar_1 + \hbar_2}{24(4\hbar_1 + \hbar_2)}, & [4, 2, 3, 1] &= \frac{2\hbar_1 + \hbar_2}{48(4\hbar_1 + \hbar_2)}, \\ [2, 3, 4, 1] &= \frac{(2\hbar_1 + \hbar_2)^2}{12(4\hbar_1 + \hbar_2)(4\hbar_1 + 3\hbar_2)}, & [3, 2, 4, 1] &= -\frac{(2\hbar_1 + \hbar_2)^2}{12(4\hbar_1 + \hbar_2)(4\hbar_1 + 3\hbar_2)}, \\ [4, 3, 2, 1] &= -\frac{2\hbar_1 + \hbar_2}{48(4\hbar_1 + \hbar_2)}, & [3, 4, 2, 1] &= -\frac{(2\hbar_1 + \hbar_2)^2}{24(4\hbar_1 + \hbar_2)(4\hbar_1 + 3\hbar_2)}, \\ [2, 1, 3, 4] &= -\frac{2\hbar_1 + \hbar_2}{24(4\hbar_1 + 3\hbar_2)}, & [1, 2, 3, 4] &= \frac{2\hbar_1 + \hbar_2}{16(4\hbar_1 + 3\hbar_2)}, \\ [2, 3, 1, 4] &= \frac{(2\hbar_1 + \hbar_2)^2}{12(4\hbar_1 + \hbar_2)(4\hbar_1 + 3\hbar_2)}, & [3, 2, 1, 4] &= -\frac{(2\hbar_1 + \hbar_2)^2}{12(4\hbar_1 + \hbar_2)(4\hbar_1 + 3\hbar_2)}, \\ [1, 3, 2, 4] &= -\frac{2\hbar_1 + \hbar_2}{16(4\hbar_1 + 3\hbar_2)}, & [3, 1, 2, 4] &= \frac{(2\hbar_1 + \hbar_2)^2}{8(4\hbar_1 + \hbar_2)(4\hbar_1 + 3\hbar_2)}, \\ [4, 3, 1, 2] &= \frac{2\hbar_1 + \hbar_2}{32(4\hbar_1 + \hbar_2)}, & [3, 4, 1, 2] &= \frac{(2\hbar_1 + \hbar_2)^2}{16(4\hbar_1 + \hbar_2)(4\hbar_1 + 3\hbar_2)}, \\ [1, 3, 4, 2] &= -\frac{2\hbar_1 + \hbar_2}{32(4\hbar_1 + 3\hbar_2)}, & [3, 1, 4, 2] &= \frac{(2\hbar_1 + \hbar_2)^2}{16(4\hbar_1 + \hbar_2)(4\hbar_1 + 3\hbar_2)}. \end{aligned}$$

# Summary

String theory

toric CY3

Quiver Yangian

$Y(Q, W)$

new algebras

SUSY

QM

$(Q, W)$

repr. in crystal melting

new repr.