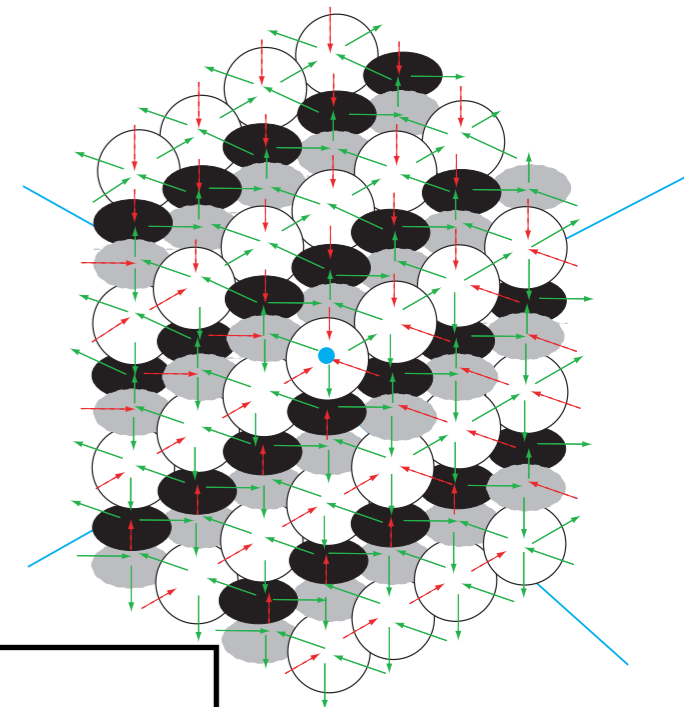


$$\begin{aligned} \psi^{(a)}(z) \psi^{(b)}(w) &= \psi^{(b)}(w) \psi^{(a)}(z) , \\ \psi^{(a)}(z) e^{(b)}(w) &\simeq \varphi^{b \Rightarrow a}(\Delta) e^{(b)}(w) \psi^{(a)}(z) , \\ e^{(a)}(z) e^{(b)}(w) &\sim (-1)^{|a||b|} \varphi^{b \Rightarrow a}(\Delta) e^{(b)}(w) e^{(a)}(z) , \\ \psi^{(a)}(z) f^{(b)}(w) &\simeq \varphi^{b \Rightarrow a}(\Delta)^{-1} f^{(b)}(w) \psi^{(a)}(z) , \\ f^{(a)}(z) f^{(b)}(w) &\sim (-1)^{|a||b|} \varphi^{b \Rightarrow a}(\Delta)^{-1} f^{(b)}(w) f^{(a)}(z) , \\ [e^{(a)}(z), f^{(b)}(w)] &\sim -\delta^{a,b} \frac{\psi^{(a)}(z) - \psi^{(b)}(w)}{z - w} , \end{aligned}$$



Quiver Yangians and Crystal Meltings

Masahito Yamazaki



13th Joburg Workshop on String Theory
September 6, 2023

Based on

Wei Li + MY

(2003.08909 [hep-th])

Dimitry Galakhov + MY

(2008.07006 [hep-th])

Dimitry Galakhov+Wei Li + MY

(2106.01230 [hep-th])

(2108.10286 [hep-th])

(2206.13340 [hep-th])



And many works in the literature

Also earlier works, e.g.

Hirosi Ooguri + MY (0811.2810 [hep-th])

MY (Ph.D. thesis, 1002.1709 [hep-th])

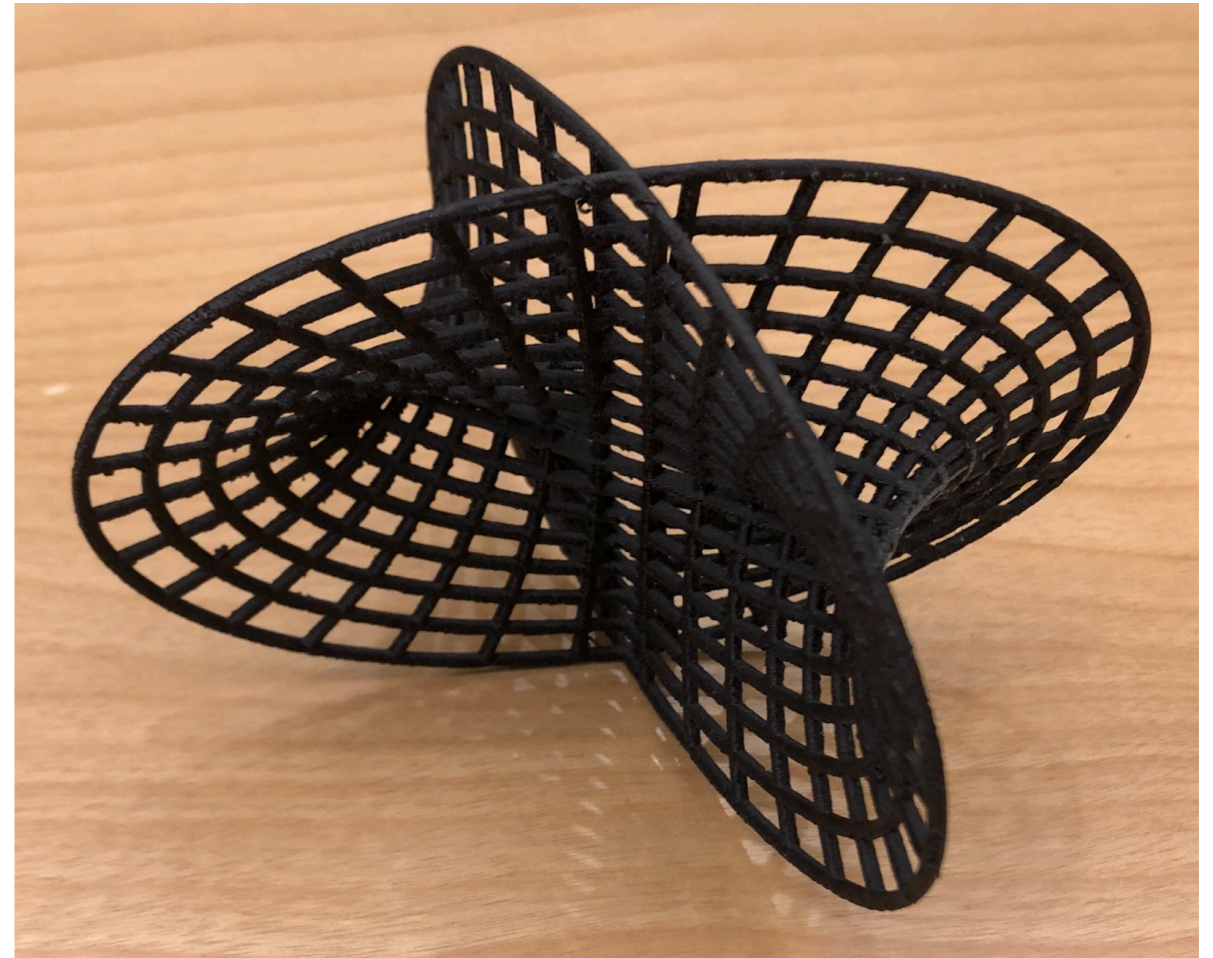
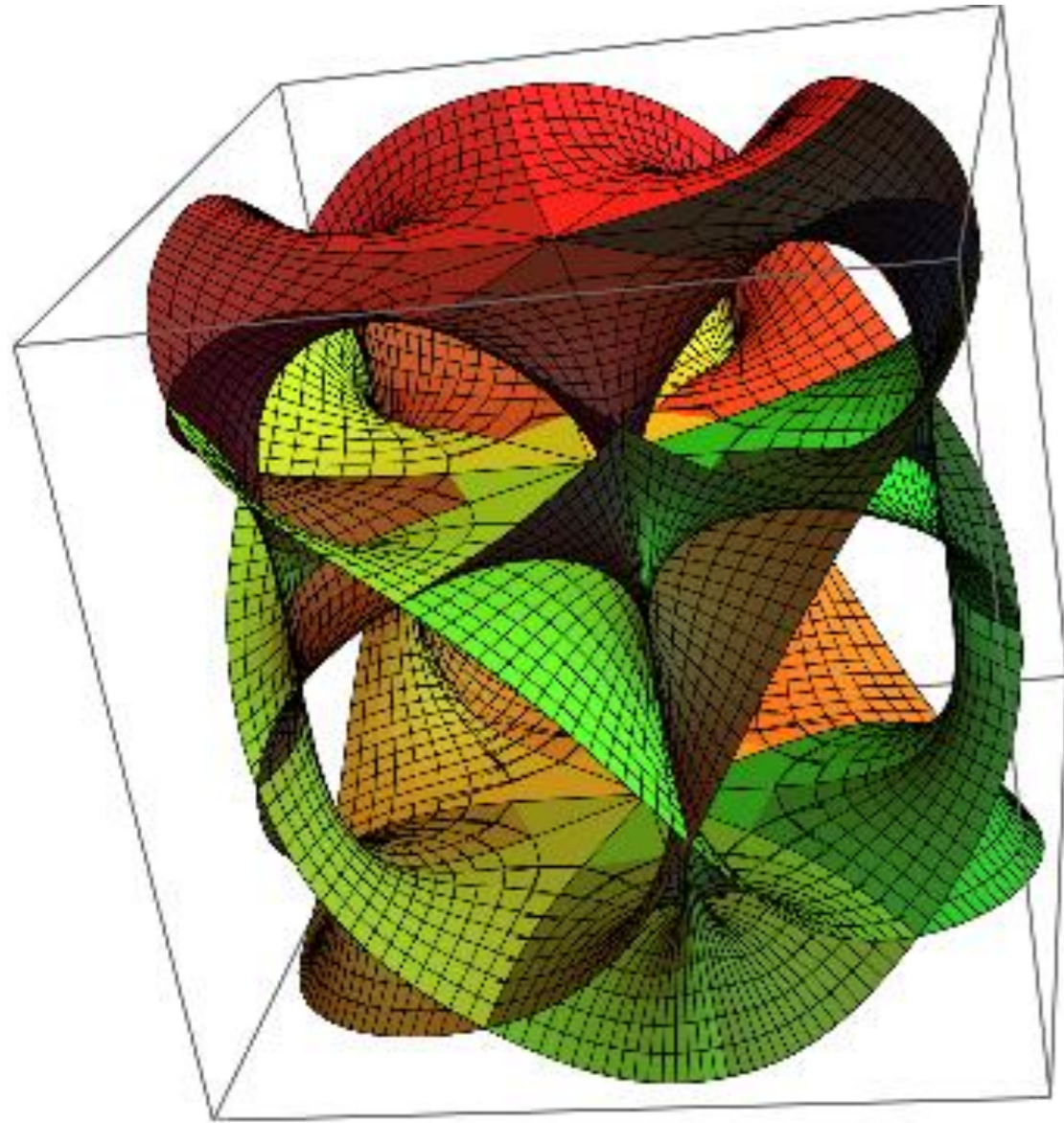
MY (Master thesis, 0803.4474 [hep-th])



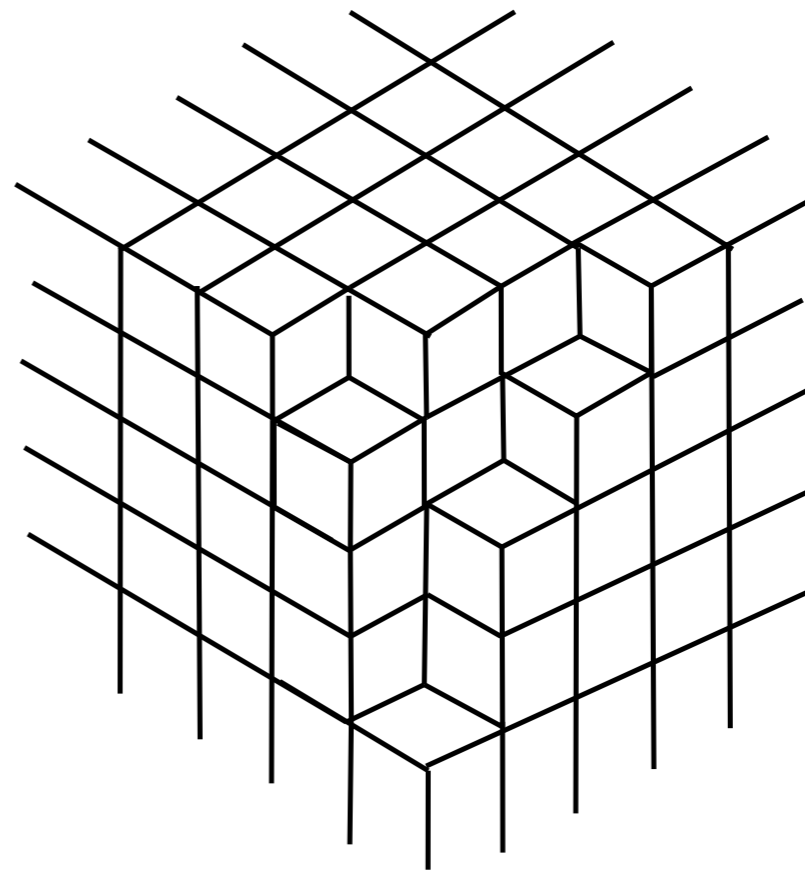
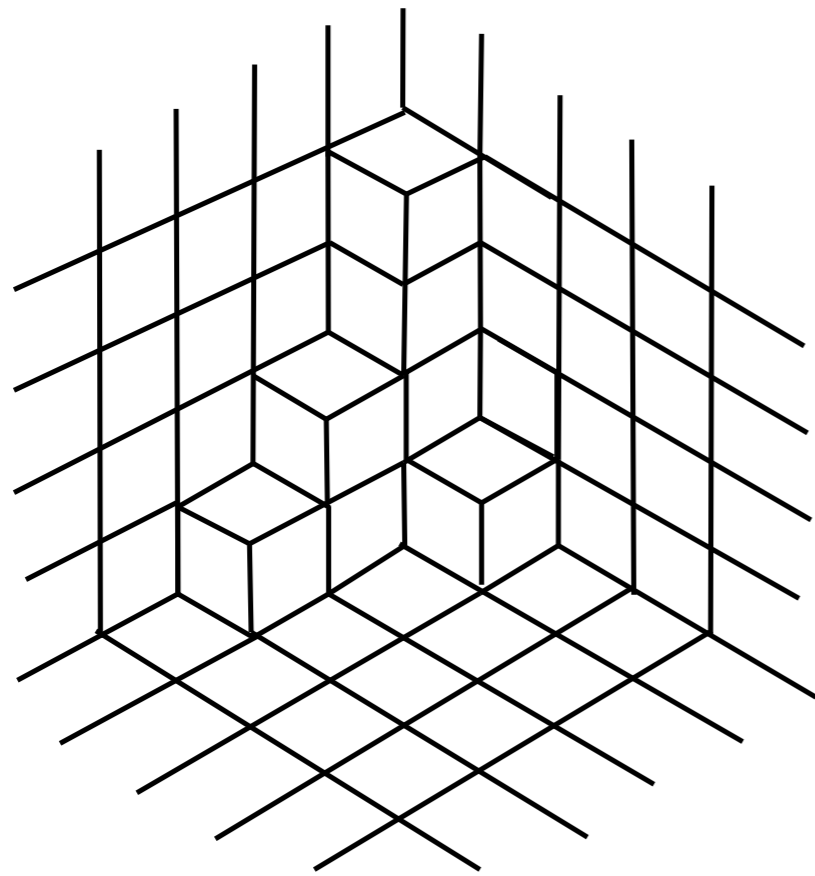
Overview



Calabi - Yau



"Quantum Toric Calabi-Yau"

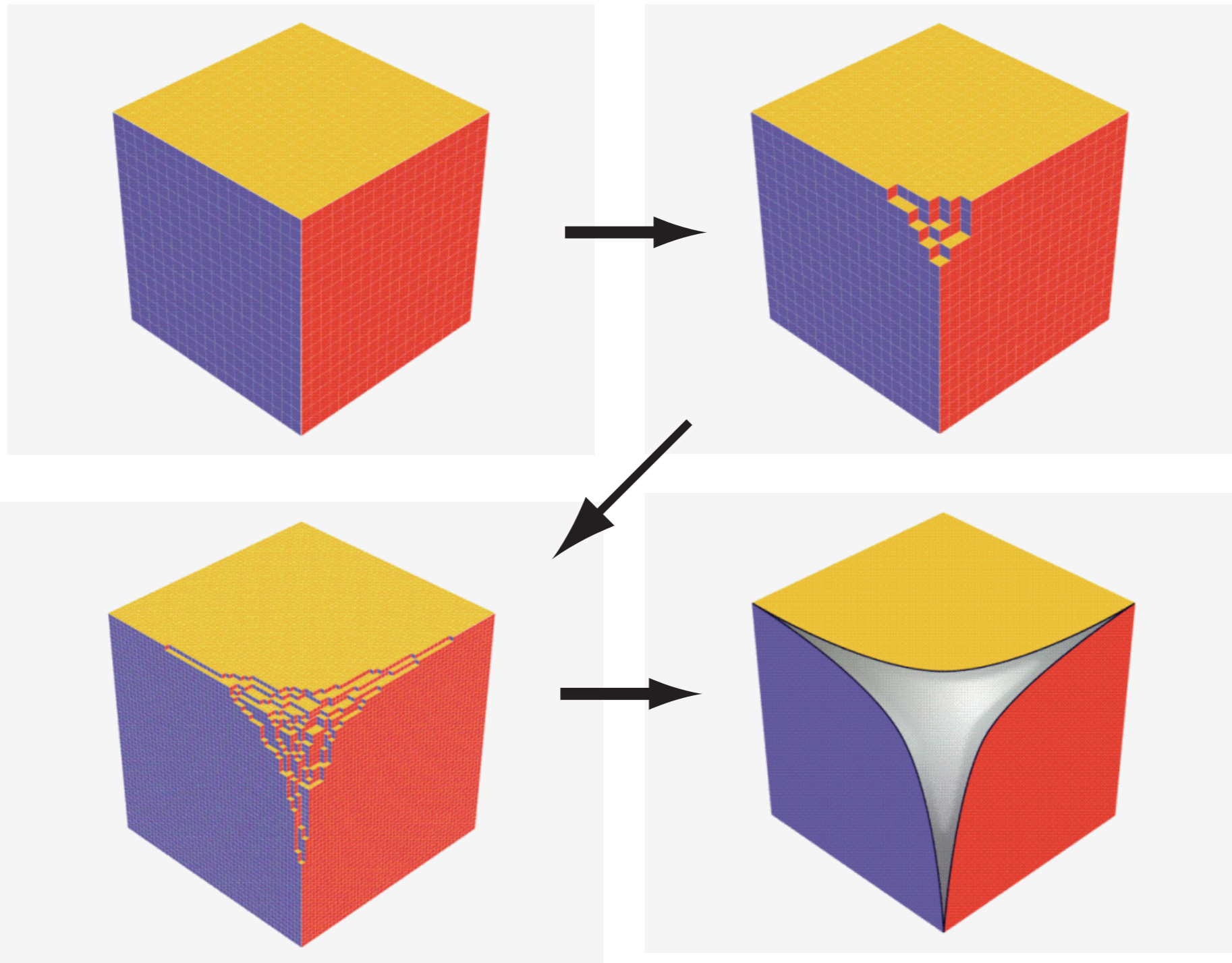


\mathbb{F}^3

Crystal Melting as Quantum Foam

Okounkov-Reshetikhin-Vafa ('03)

Emergence of classical geometry



Okounkov-Reshetikhin-Vafa ('03), ... Ooguri-MY ('09),...

new algebras generalization of affine Yangian

Shifted Quiver Yangian
 $Y(Q, W)$

SUSY QM
 (Q, W) superpotential

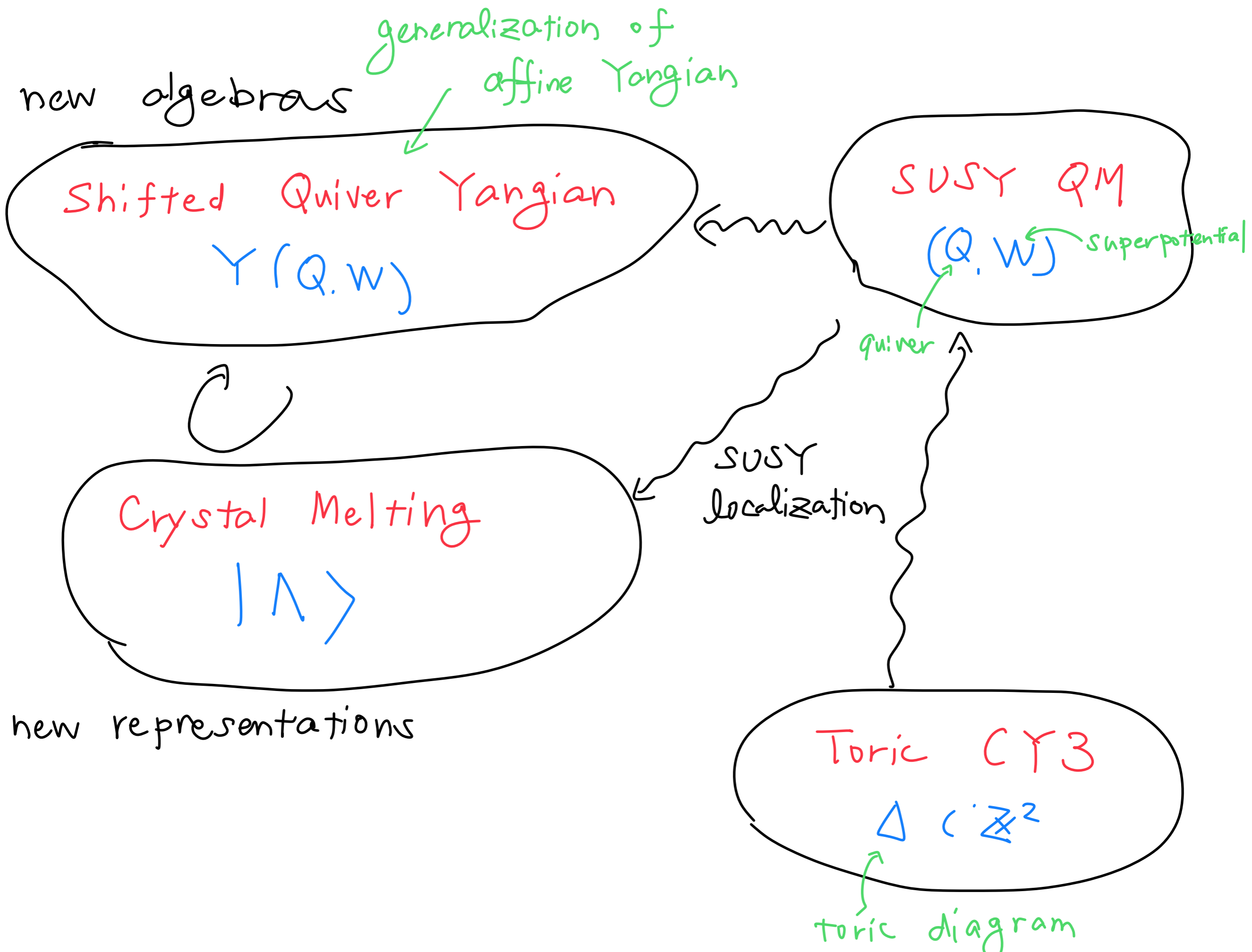
Crystal Melting
 $|\Lambda\rangle$

SUSY localization

new representations

Toric CY3
 $\Delta \subset \mathbb{Z}^2$

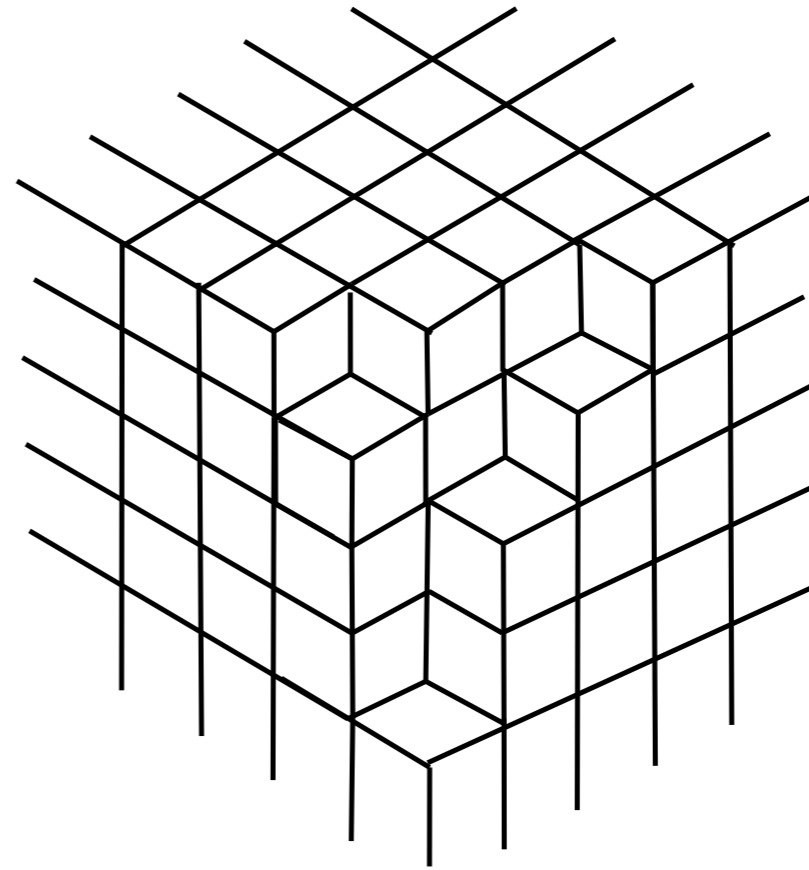
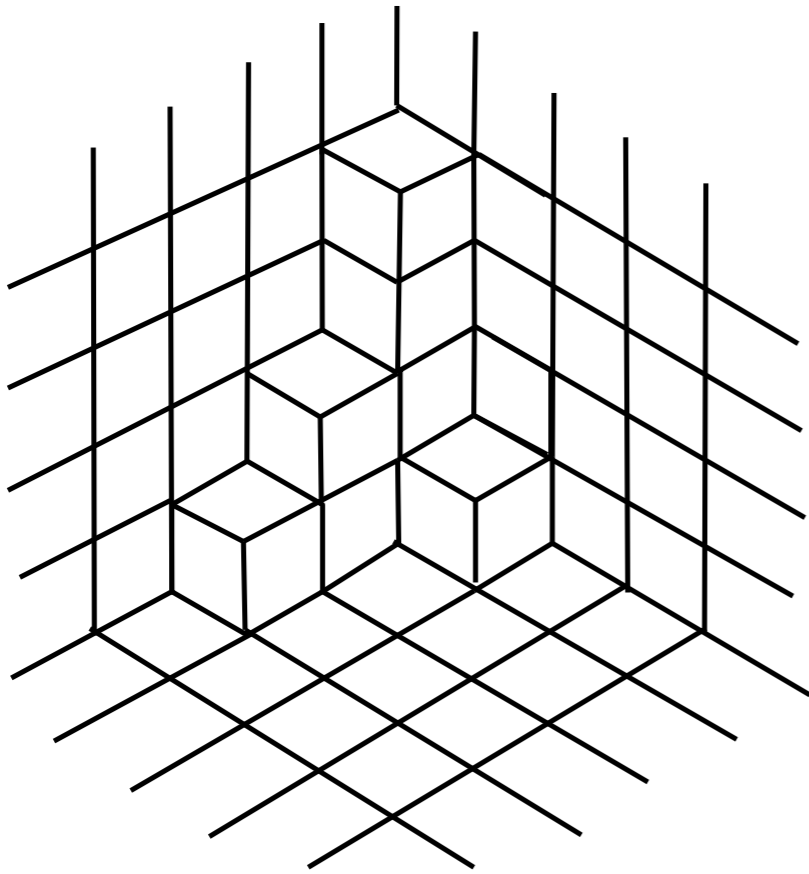
toric diagram



Crystal Melting

[Okounkov-Reshetikhin-Vafa '03, ..., Ooguri-MY '08]

\mathbb{C}^3 : crystal melting [Okounkov-Reshetikhin-Vafa; Iqbal, Nekrasov,...]



plane partition

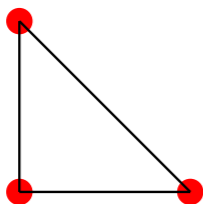
$$M(q) \equiv \sum_{\Lambda \in \text{plane partition}} q^{|\Lambda|} = \prod_{k=1}^{\infty} \frac{1}{(1 - q^k)^k}$$

$$= 1 + q + 3q^2 + 6q^3 + 13q^4 + 24q^5 + 48q^6 + \dots ,$$

$$= \sum_{\text{Top A-model}} \mathbb{C}^3$$

toric diagram

(0,1)



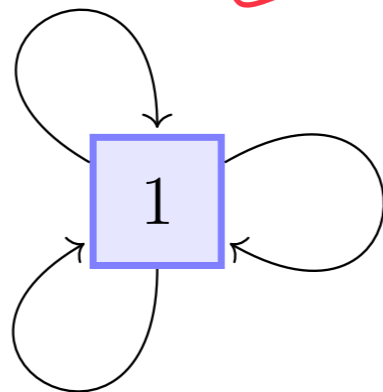
(0,0)

(1,0)



quiver

Q



superpotential

$$+ \left(W = \text{Tr } xyz - \text{Tr } xzy \right)$$



path algebra

$$\mathbb{C}\langle x, y, z \rangle / (\partial W)$$

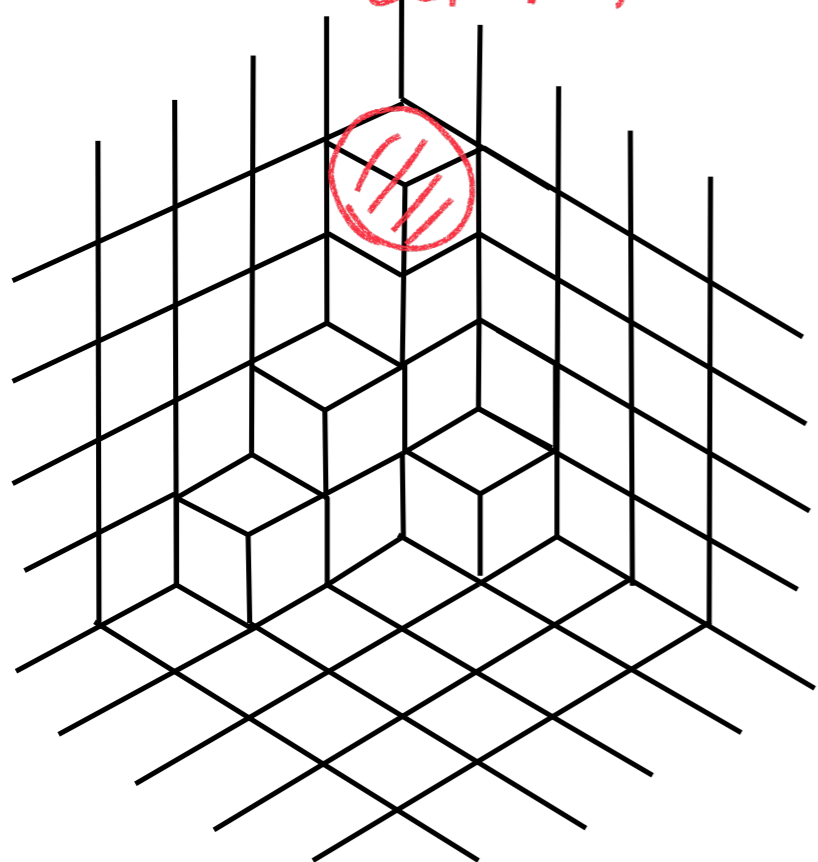
\parallel

$$(xy - yx, yz - zy, zx - xz)$$

$$\mathbb{C}[x, y, z]$$

crystal melting

atom



- "atom" at location (i, j, k) :

$$x^i y^j z^k \in \mathbb{C}[x, y, z]$$

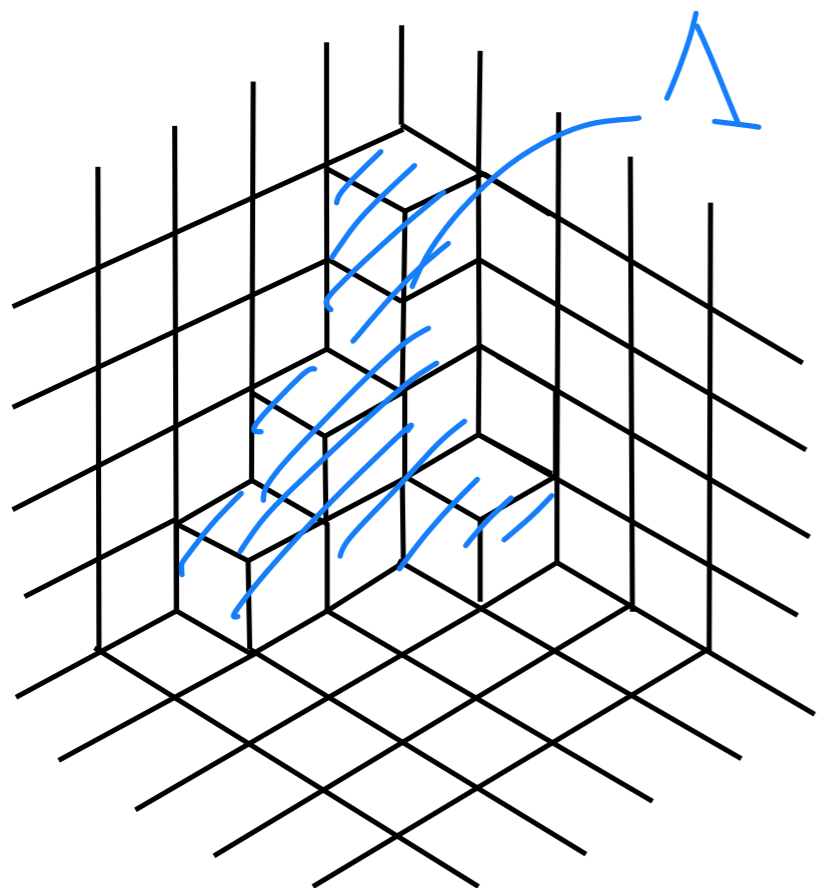
\parallel

$$\mathbb{C}\langle x, y, z \rangle / (\partial w)$$

- atom = element of

$$\mathbb{C}Q / \partial w$$

crystal melting



Λ^c (complement of Λ):
ideal of the path alg.

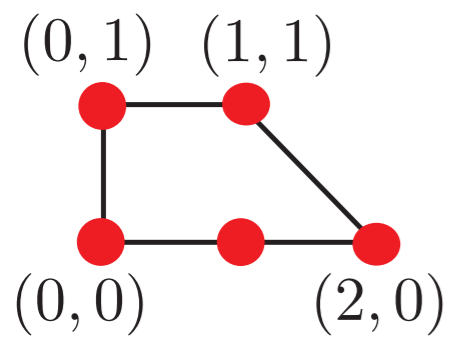
$$\begin{aligned} \mathcal{I}_{\Lambda^c} &\subset [x, y, z] \\ &\equiv \text{Span} \{ x^i y^j z^k \mid (i, j, k) \notin \Lambda \} \\ x \cdot \mathcal{I}_{\Lambda}, y \cdot \mathcal{I}_{\Lambda}, z \cdot \mathcal{I}_{\Lambda} &\subset \mathcal{I}_{\Lambda} \end{aligned}$$

The story generalizes to
an arbitrary toric CY3

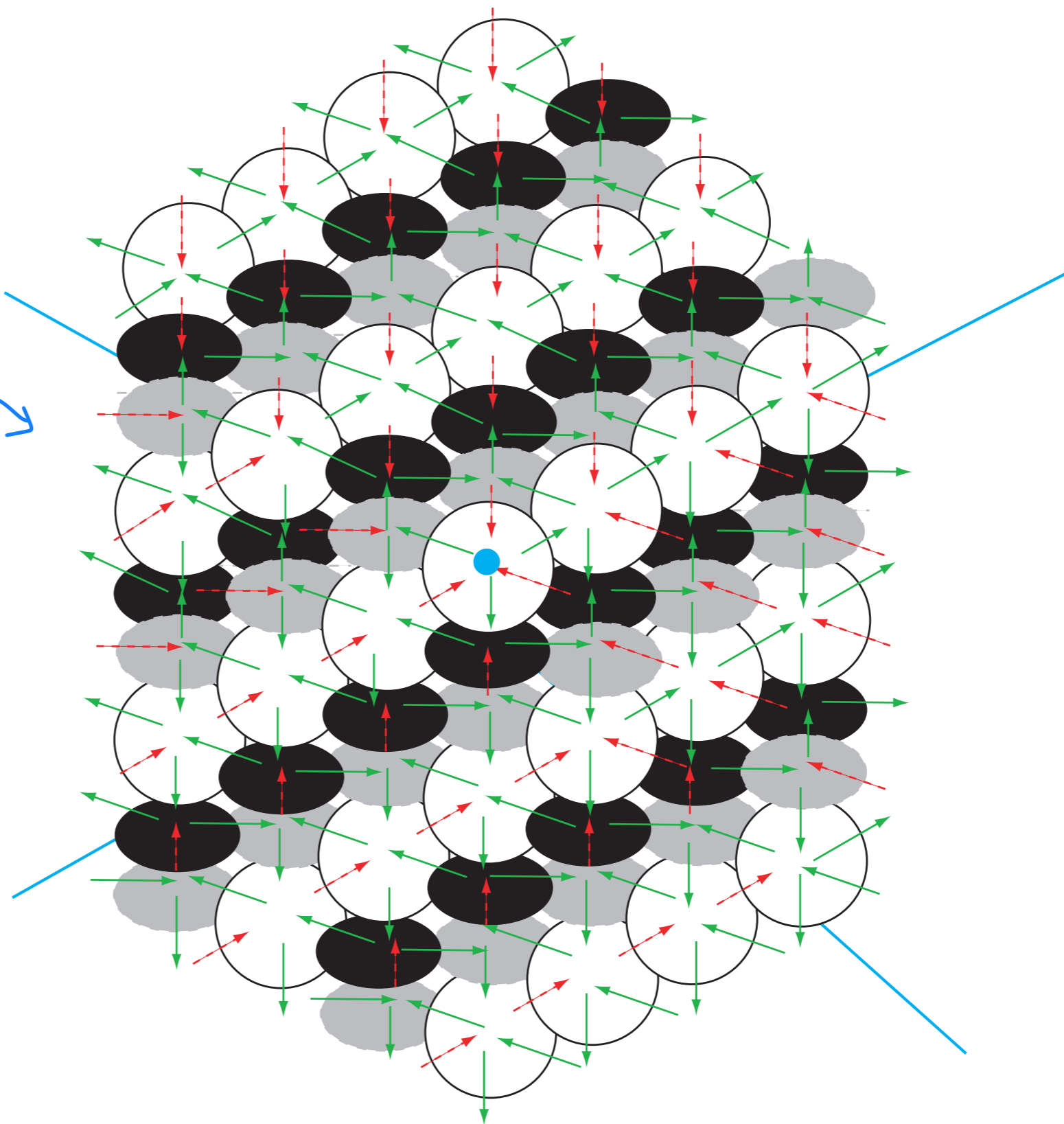
[Ooguri-MY '08'09]

See also [Szendroi; Bryant, Young; Mozgovoy, Reineke; Nagao,
Nakajima; Ooguri, MY; Jafferis, Chuang, Moore; Sulkowski; Aganagic,
Vafa; ...]

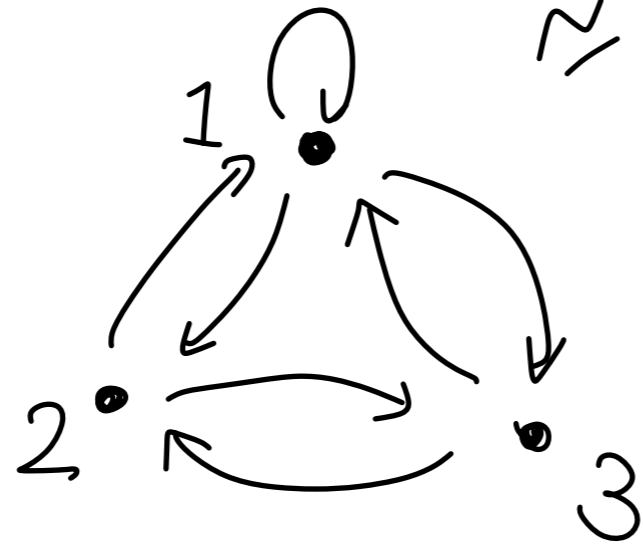
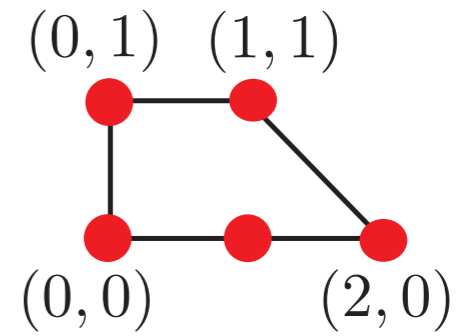
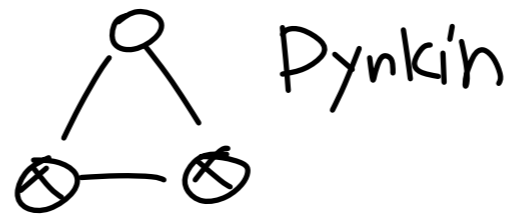
toric diagram $(SPP \quad xy = zw^2)$



[Ooguri-MY '08]



We have an associated SQM



Q

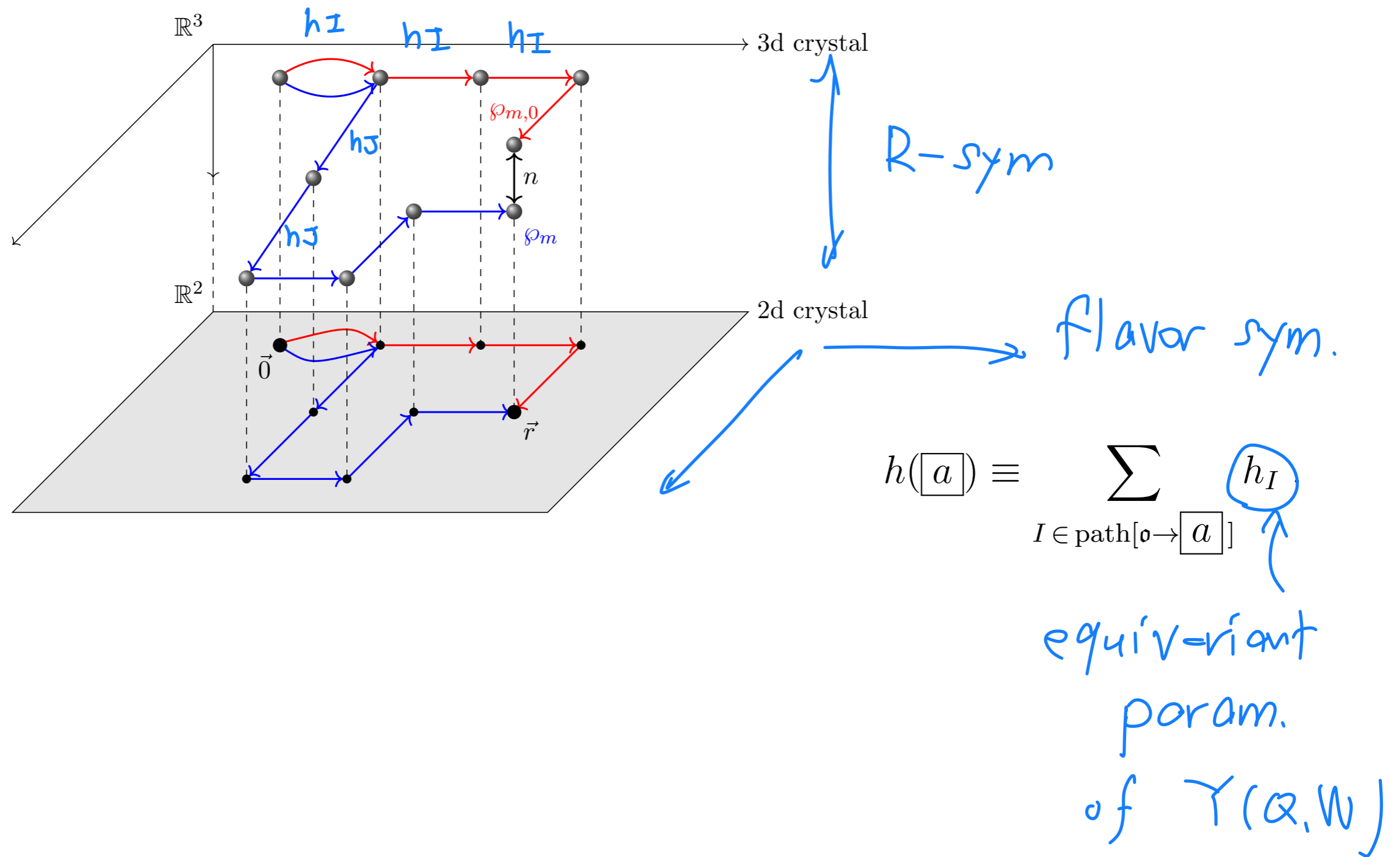
W

$$W = \text{Tr} \left(X_{11} X_{12} X_{21} - X_{11} X_{13} X_{31} - X_{12} X_{21} X_{23} X_{32} + X_{23} X_{31} X_{13} X_{23} \right)$$

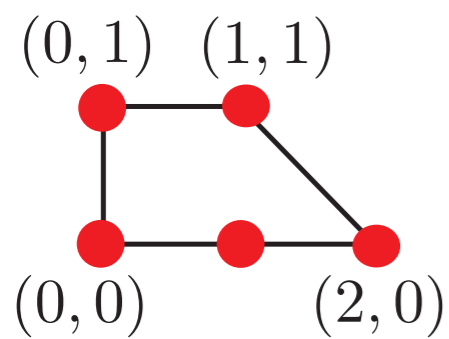
$\mathbb{C}Q / \langle W \rangle$: path algebra (non-commutative in general)

atom in the crystal

We can place the atoms in 3D according to their symmetry charges
 (equivariant parameters corresponding to toric isometries)



toric diagram $(xy = zw^2)$



$\Delta \subset \mathbb{Z}^2$

[Ooguri-MY '08]



Type IIA on toric CY_3

+ D6/D4/D2/D0

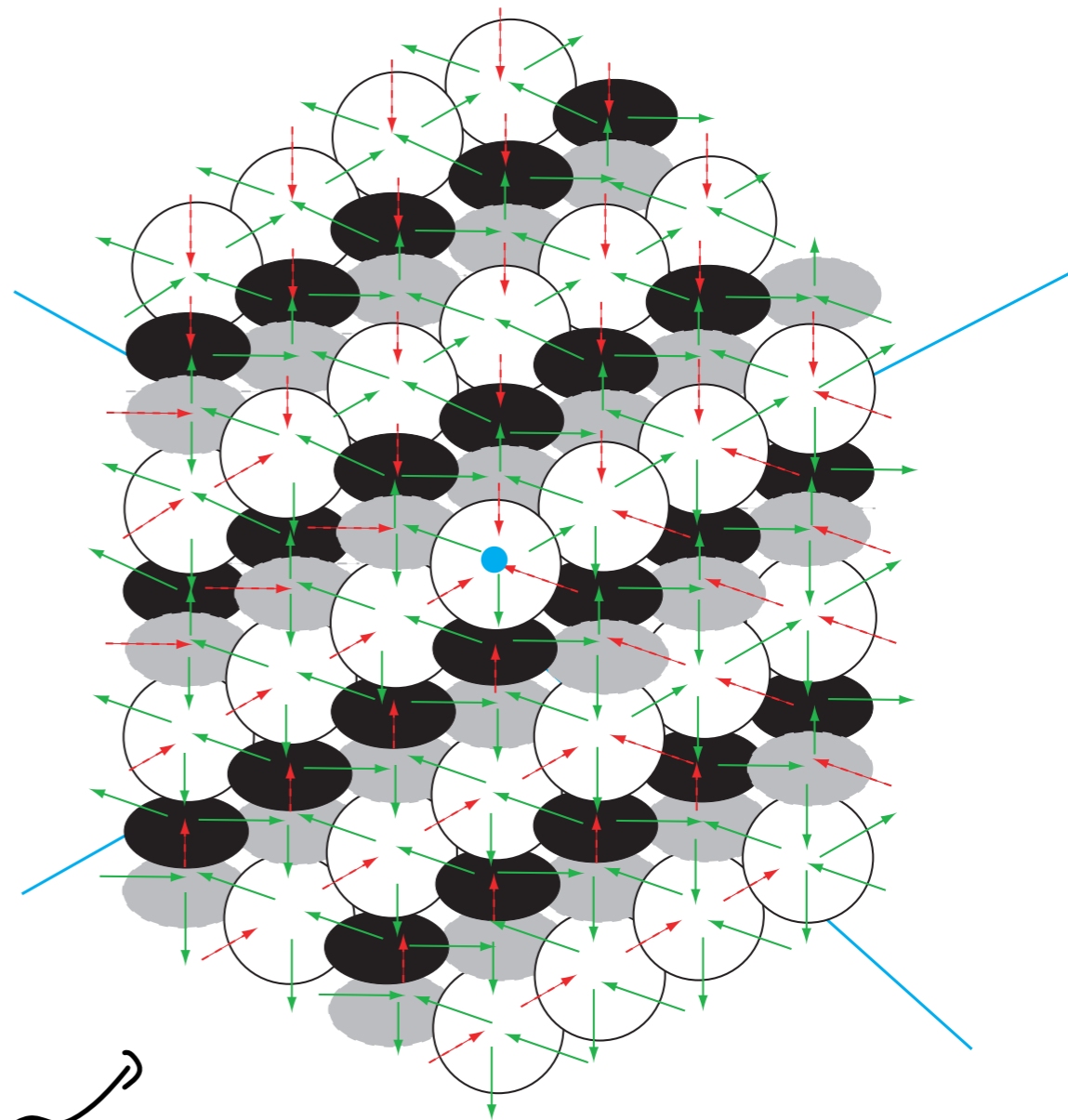


$N=4$ SQM

$\mathcal{M}_{VAC} \supset$ torus action



torus fixed point

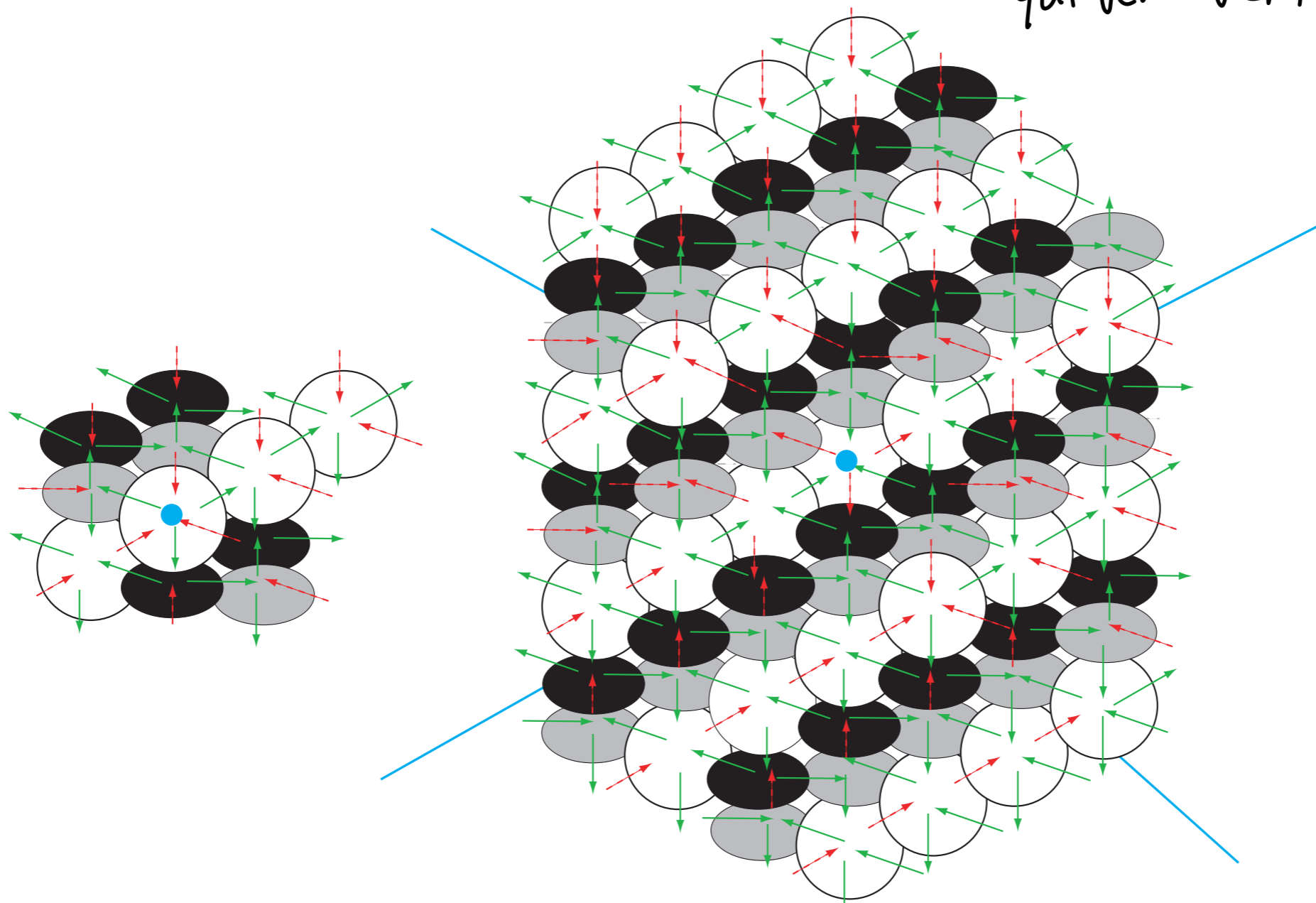


$$\left[\chi(\mathcal{M}_{VAC}) = (\text{BPS degeneracy}) = (\text{Donaldson-Thomas inv.}) \right]$$

BPS partition function

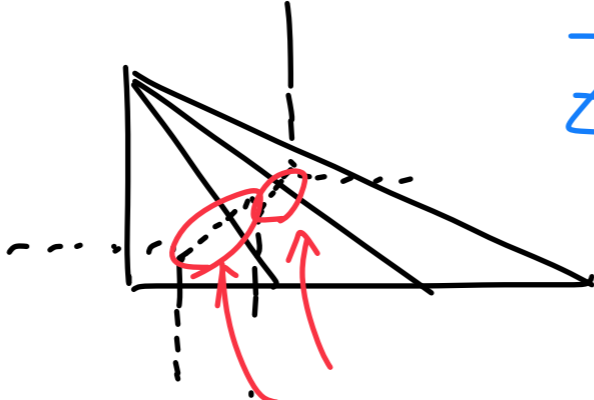
$$Z(q_1, \dots, q_{|Q_0|}) = \sum_K \prod_{a \in Q_0} q_a^{|\mathcal{K}(a)|}$$

\curvearrowright formal variable for each quiver vertex



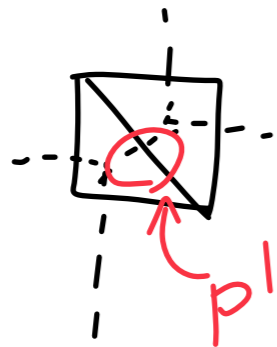
Infinite-product forms discussed
 in [Szendroi, Young, Nagao, Aganagic-Ooguri-Vafa-MY, ...]

$(\mathbb{C}^2/\mathbb{Z}_3) \times \mathbb{C}$



$Z_{\text{top}} \sim \prod_n \frac{1}{(1-q^n Q_1)^n} \frac{1}{(1-q^n Q_2)^n} \frac{1}{(1-q^n Q_1 Q_2)^n}$

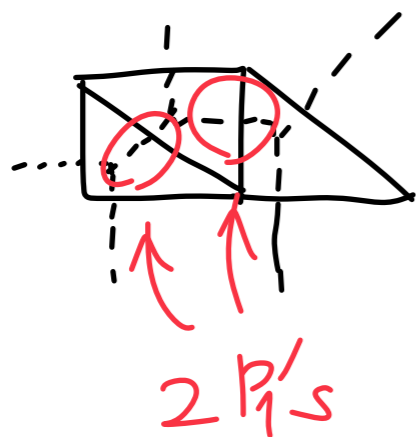
conifold



$Z_{\text{top}} \sim \prod_n (1-q^n Q)^n$

$Z_{\text{BPS}} \sim Z_{\text{top}}(q, Q) \times Z_{\text{top}}(q, Q^{-1})$

SPP



$Z_{\text{top}} \sim \prod_n (1-q^n Q_1)^n (1-q^n Q_1 Q_2)^n \frac{1}{(1-q^n Q_2)^n}$

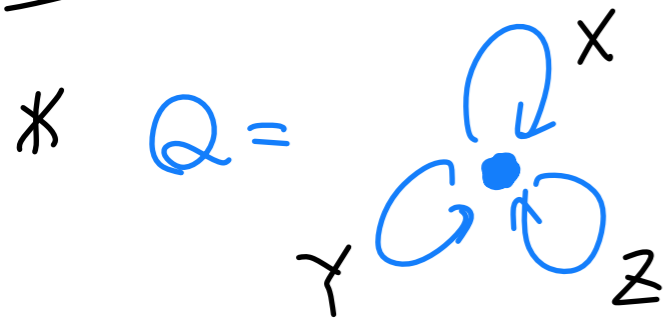
characters of $\mathcal{Y}(\hat{\mathfrak{g}})$ in modern terms!!

Shifted

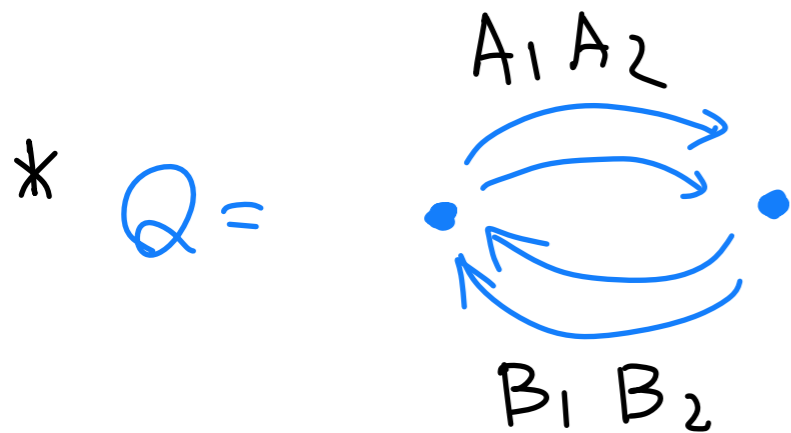
Quiver Yangian

[Wei Li + M Y (20)
Dimitry Galakhov + Wei Li + M Y (21)]

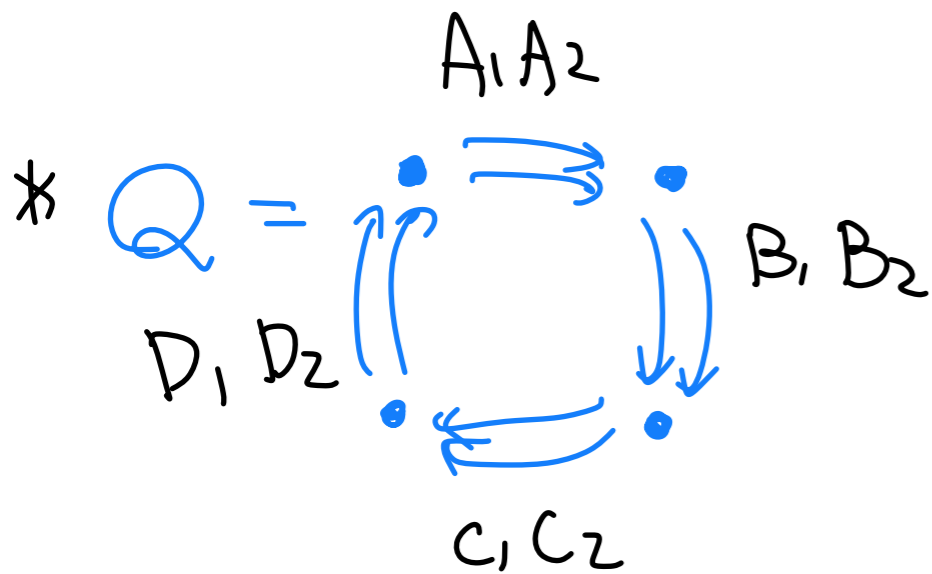
Quiver Q & Superpotential W \leftarrow toric CY_3



$$W = \text{Tr}(XYZ - XZY) \quad (CY_3 = \mathbb{C}^3)$$

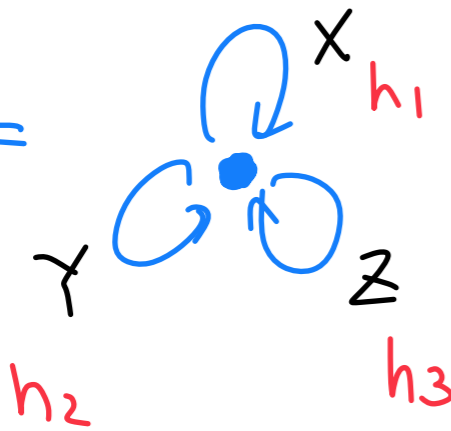


$$W = \text{Tr}(A_1 B_1 A_2 B_2 - A_1 B_2 A_2 B_1) \quad (CY_3 = \text{conifold})$$




$$W = \text{Tr}(A_1 B_1 C_1 D_1 - A_1 B_2 C_1 D_2 - A_2 B_1 C_2 D_1 + A_2 B_2 C_2 D_2) \quad (CY_3 = K_{\mathbb{P}^1 \times \mathbb{P}^1})$$

Quiver Q & Superpotential W \leftarrow toric CY_3

* $Q =$  $W = \text{Tr}(XYZ - XZY)$ ($CY_3 = \mathbb{C}^3$)

$h_1 + h_2 + h_3 = 0$

* $Q =$  $W = \text{Tr}(A_1 B_1 A_2 B_2 - A_1 B_2 A_2 B_1)$ ($CY_3 = \text{conifold}$)

$h_1 + h_2 + h_3 + h_4 = 0$

* Assign equivariant parameters h_I consistent w/ W

\nwarrow edge

Generators

(z : spectral parameter)

$$e^{(a)}(z) \equiv \sum_{n=0}^{+\infty} \frac{e_n^{(a)}}{z^{n+1}},$$

$$\psi^{(a)}(z) \equiv \sum_{n=-\infty}^{+\infty} \frac{\psi_n^{(a)}}{z^{n+1}},$$

$$f^{(a)}(z) \equiv \sum_{n=0}^{+\infty} \frac{f_n^{(a)}}{z^{n+1}},$$

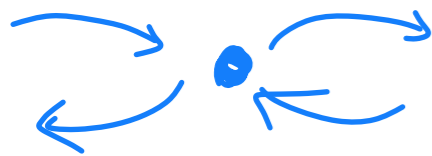
a : quiver vertex

$n = -k$

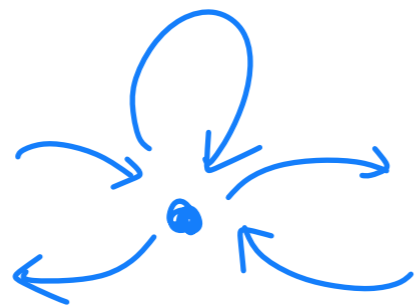
" k -shifted Quiver Yangian"

\mathbb{Z}_2 -grading

$$|a| = \begin{cases} 0 & (\exists \text{ edge } I \text{ s.t. } I \text{ starts and ends at } a) \\ 1 & (\text{otherwise}) \end{cases}$$



odd



even



even



odd

Relations

$\Upsilon(Q, w)$

$$\psi^{(a)}(z) \psi^{(b)}(w) = \psi^{(b)}(w) \psi^{(a)}(z),$$

$$\psi^{(a)}(z) e^{(b)}(w) \simeq \varphi^{b \Rightarrow a}(\Delta) e^{(b)}(w) \psi^{(a)}(z),$$

$$e^{(a)}(z) e^{(b)}(w) \sim (-1)^{|a||b|} \varphi^{b \Rightarrow a}(\Delta) e^{(b)}(w) e^{(a)}(z),$$

$$\psi^{(a)}(z) f^{(b)}(w) \simeq \varphi^{b \Rightarrow a}(\Delta)^{-1} f^{(b)}(w) \psi^{(a)}(z),$$

$$f^{(a)}(z) f^{(b)}(w) \sim (-1)^{|a||b|} \varphi^{b \Rightarrow a}(\Delta)^{-1} f^{(b)}(w) f^{(a)}(z),$$

$$[e^{(a)}(z), f^{(b)}(w)] \sim -\delta^{a,b} \frac{\psi^{(a)}(z) - \psi^{(b)}(w)}{z - w}, \quad (\Delta = z - w)$$

“ \simeq ” means equality up to $z^n w^{m \geq 0}$ terms

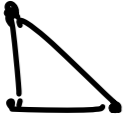
“ \sim ” means equality up to $z^{n \geq 0} w^m$ and $z^n w^{m \geq 0}$ terms

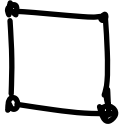
Bonding factor

$$\varphi^{a \Rightarrow b}(u) \equiv \frac{\prod_{I \in \{b \rightarrow a\}} (u + h_I)}{\prod_{I \in \{a \rightarrow b\}} (u - h_I)}$$

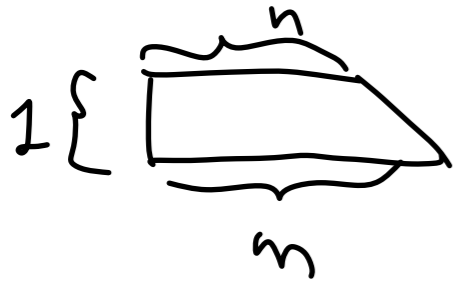
equivariant weight

edge

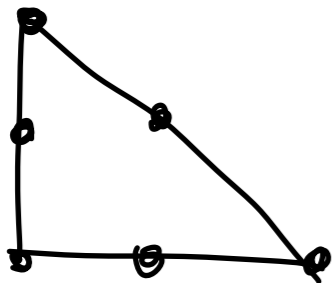
* $\mathbb{C}^3 \rightsquigarrow Q = \begin{array}{c} \circ \\ \curvearrowright \\ \circ \end{array} \rightsquigarrow Y(\hat{gl}_1)$

 $W = \text{Tr}(x Y z - x z Y)$
 [Miki; Ding-Iohara; ...
 Tsymbaulik; Prochazka;
 Gaberdiel, Gopakumar, Li, Peng, ...]

* conifold $\rightsquigarrow Q = \begin{array}{c} \circ \\ \curvearrowright \\ \circ \end{array} \rightsquigarrow Y(\hat{gl}_{1|1})$

 $W = \text{Tr}(A_1 B_1 A_2 B_2 - A_1 B_2 A_2 B_1)$

* $xy = z^n w^m \rightsquigarrow Y(\hat{gl}_{m|n})$ [Bezerra-Mukhin ('19)]



* $\mathbb{C}^3 / (\mathbb{Z}_2 \times \mathbb{Z}_2) \rightsquigarrow Y(\widehat{D(2,1,d)})$ [Noshita-Watanabe ('21)]

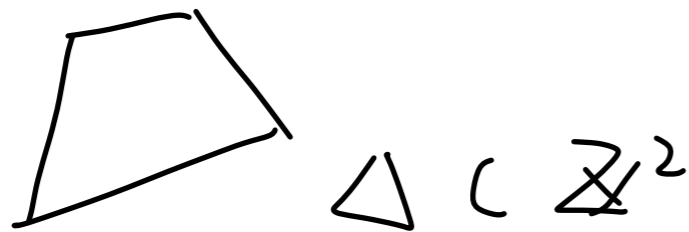


$Y(\hat{g})$ for (non-chiral quiver
 toric CY_3 w.o. 4-cycle)

chiral quiver
toric CY₃ w/ cpt 4-cycle



* general toric CY₃ \rightsquigarrow $Y(Q, W)$



has no "g"

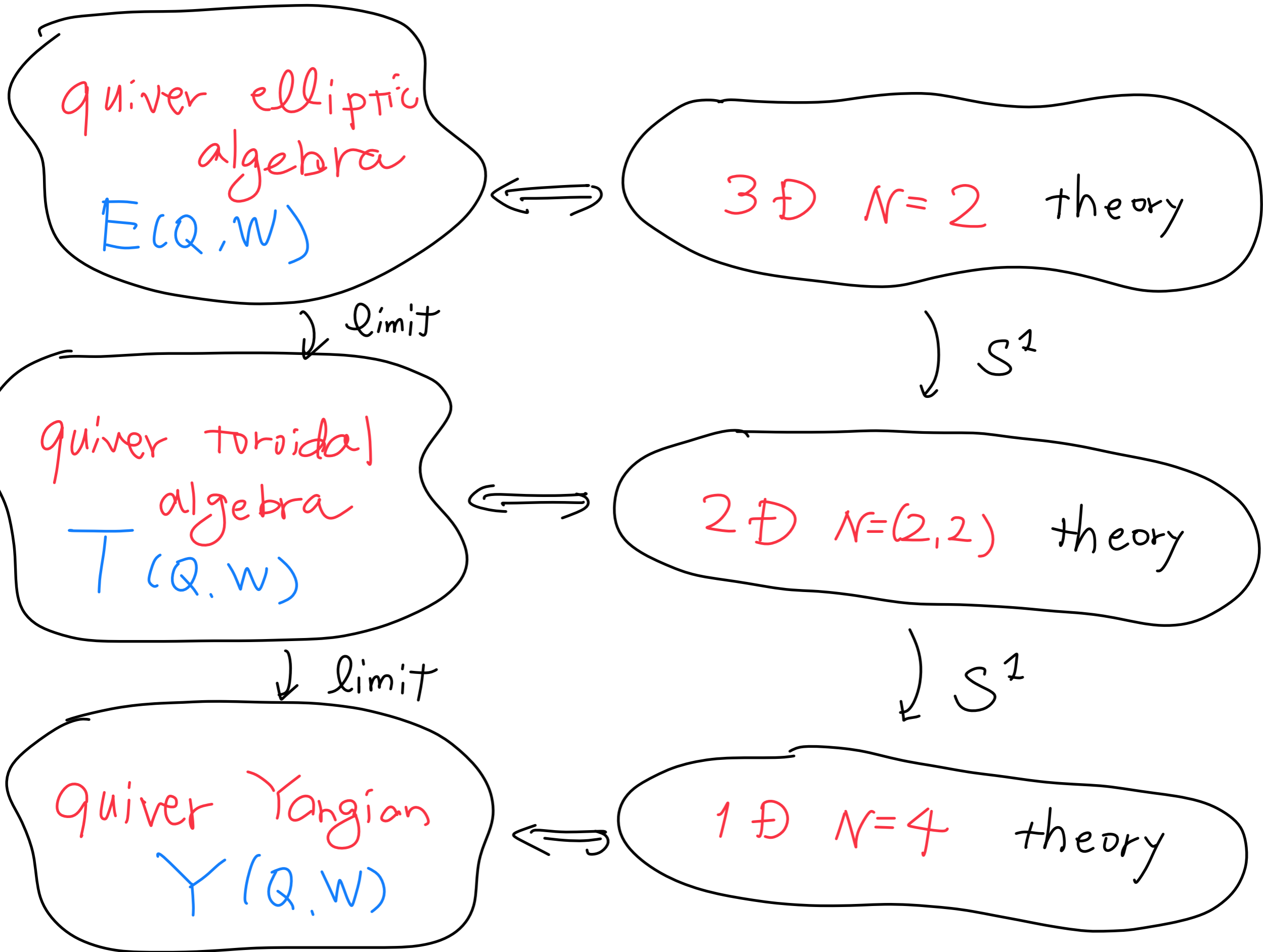
new algebra

beyond

$Y(g)$

$Y(\tilde{g})$

!

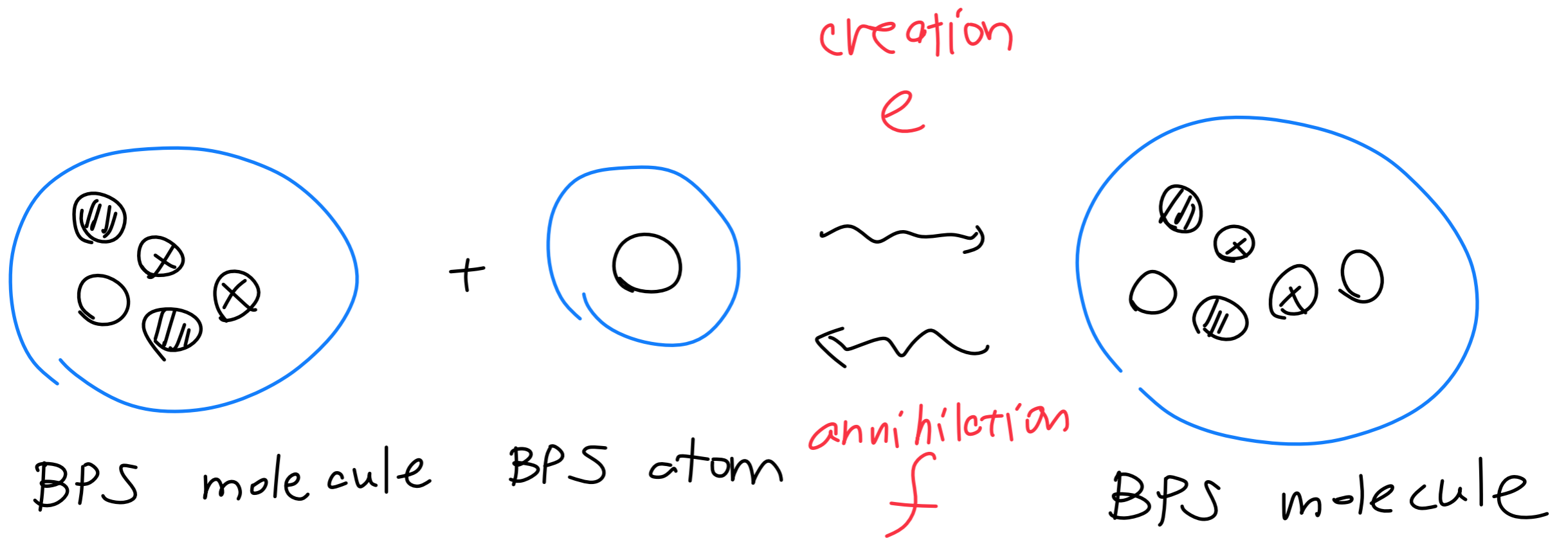


Representations from

Crystal Melting

cf. earlier developments on **quantum toroidal algebras** (Ding-Iohara-Miki) and **affine Yangians** by [Feigin, Jimbo, Miwa, Mukhin; Tsybaulik; Prochazka; Rapcak; Gaberdiel, Gopakumar; Li, Peng, ...]

Basic Idea



Representation by crystal melting [Li-MY '20], inspired by [FFJMM] and [Prochazka]

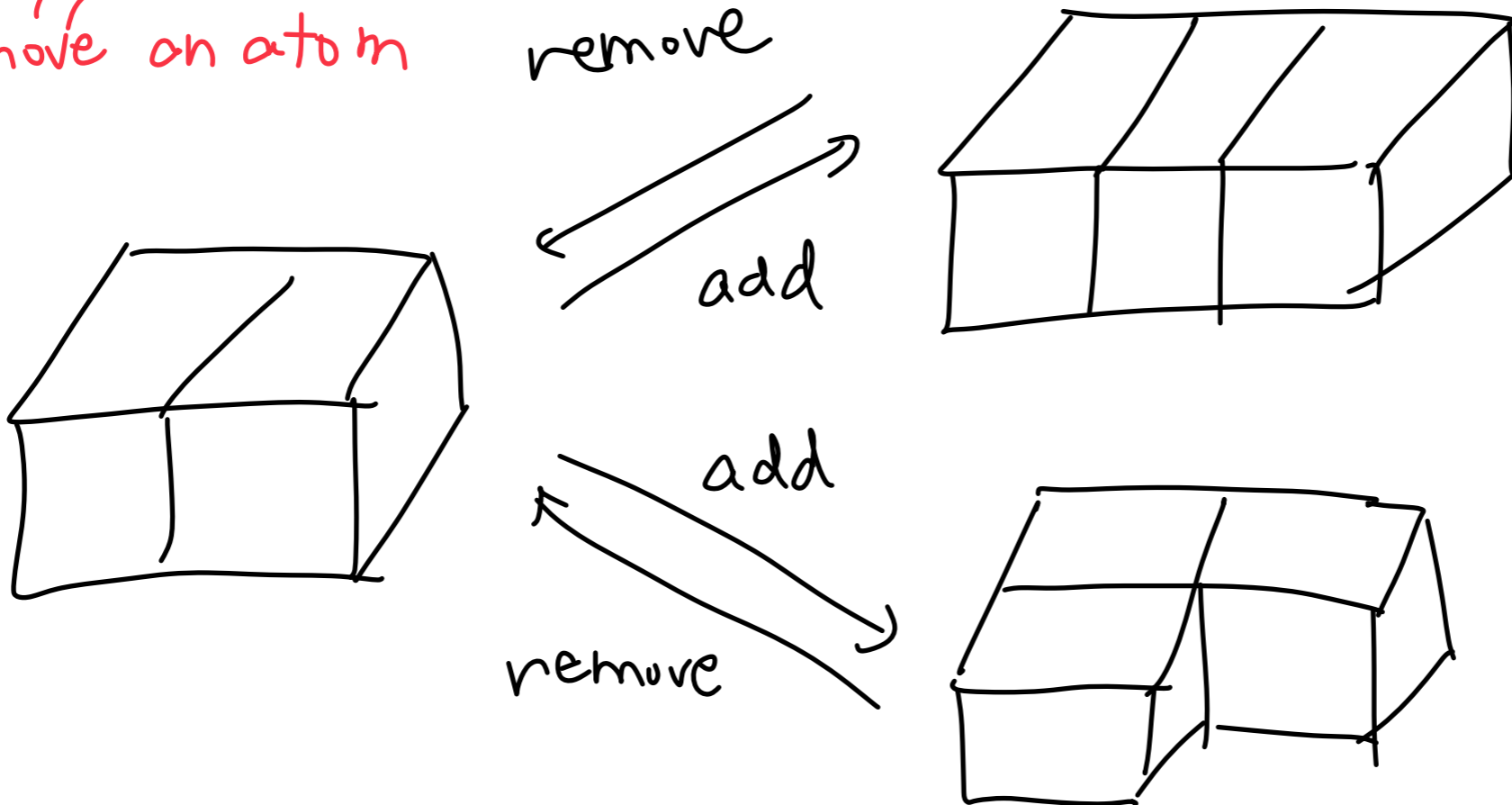
crystal

$$\psi^{(a)}(z)|K\rangle = \Psi_K^{(a)}(z)|K\rangle,$$

$$e^{(a)}(z)|K\rangle = \sum_{\boxed{a} \in \text{Add}(K)} \frac{E^{(a)}(K \rightarrow K + \boxed{a})}{z - h(\boxed{a})} |K + \boxed{a}\rangle,$$

$$f^{(a)}(z)|K\rangle = \sum_{\boxed{a} \in \text{Rem}(K)} \frac{F^{(a)}(K \rightarrow K - \boxed{a})}{z - h(\boxed{a})} |K - \boxed{a}\rangle,$$

add/remove on atom



Representation by crystal melting [Li-MY '20], inspired by [FFJMM] and [Prochazka]

crystal

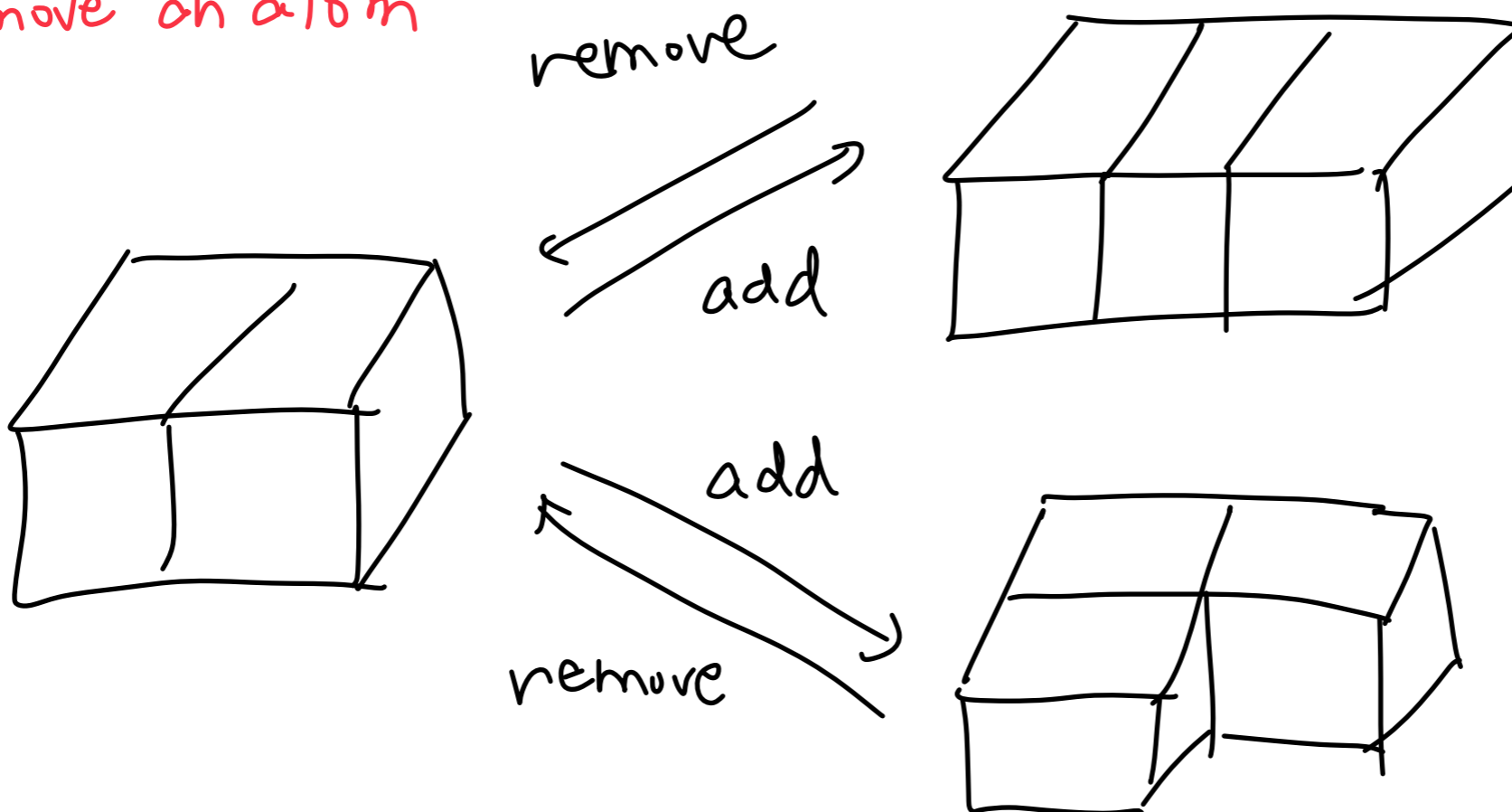
$$\psi^{(a)}(z)|K\rangle = \Psi_K^{(a)}(z)|K\rangle,$$

$$e^{(a)}(z)|K\rangle = \sum_{\boxed{a} \in \text{Add}(K)} \frac{E^{(a)}(K \rightarrow K + \boxed{a})}{z - h(\boxed{a})} |K + \boxed{a}\rangle,$$

$$f^{(a)}(z)|K\rangle = \sum_{\boxed{a} \in \text{Rem}(K)} \frac{F^{(a)}(K \rightarrow K - \boxed{a})}{z - h(\boxed{a})} |K - \boxed{a}\rangle,$$

poles for
atom \boxed{a}

add/remove on atom



Representation by crystal melting [Li-MY '20], inspired by [FFJMM] and [Prochazka]

$$\begin{aligned} \psi^{(a)}(z)|K\rangle &= \Psi_K^{(a)}(z)|K\rangle, \\ e^{(a)}(z)|K\rangle &= \sum_{\boxed{a} \in \text{Add}(K)} \frac{E^{(a)}(K \rightarrow K + \boxed{a})}{z - h(\boxed{a})} |K + \boxed{a}\rangle, \\ f^{(a)}(z)|K\rangle &= \sum_{\boxed{a} \in \text{Rem}(K)} \frac{F^{(a)}(K \rightarrow K - \boxed{a})}{z - h(\boxed{a})} |K - \boxed{a}\rangle, \end{aligned}$$

poles for atom \boxed{a}

$\Psi_K^{(a)}$: $\Psi_K^{(a)}(u) = \psi_0^{(a)}(z) \prod_{b \in Q_0} \prod_{\boxed{b} \in K} \varphi^{b \Rightarrow a}(u - h(\boxed{b}))$,

$$h(\boxed{a}) \equiv \sum_{I \in \text{path}[\circ \rightarrow \boxed{a}]} h_I.$$

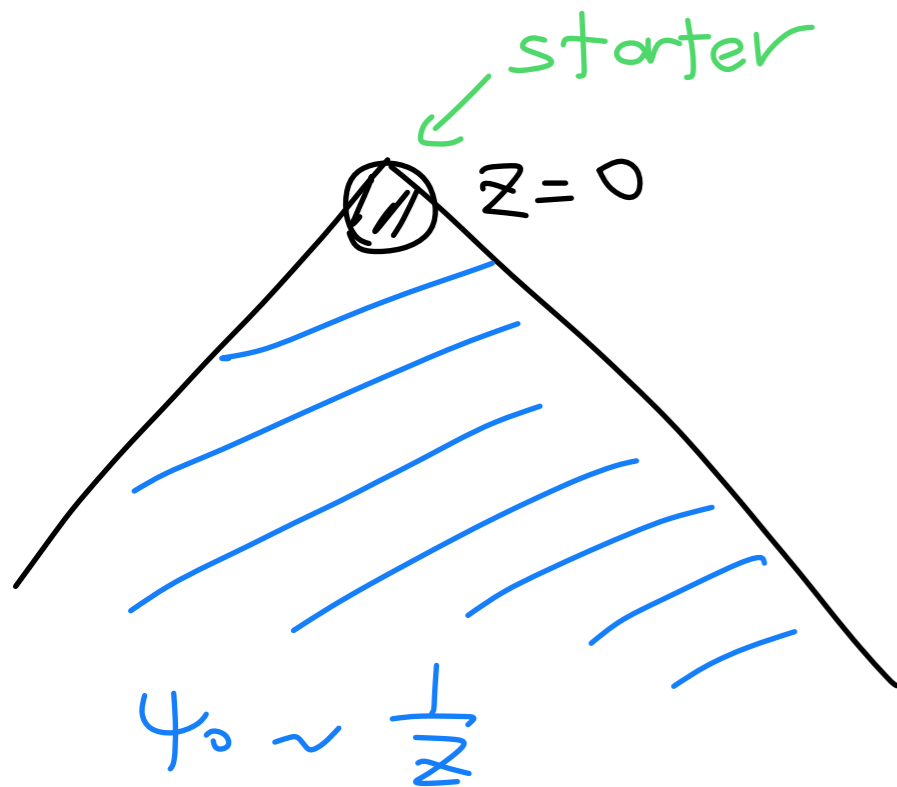
$$\varphi^{a \Rightarrow b}(u) \equiv \frac{\prod_{I \in \{b \rightarrow a\}} (u + h_I)}{\prod_{I \in \{a \rightarrow b\}} (u - h_I)}$$

$E^{(a)}/F^{(a)}$: $E^{(a)}/F^{(a)} = \sqrt{\pm \text{Res}_{\Psi_K^{(a)}}(u)}_{u=h(\boxed{a})}$

$$\psi^{(a)}(z) |\emptyset\rangle = \underbrace{\psi_0^{(a)}(z)}_{\text{vacuum charge function}} |\emptyset\rangle$$

[Galakhov-Li-MY '21]

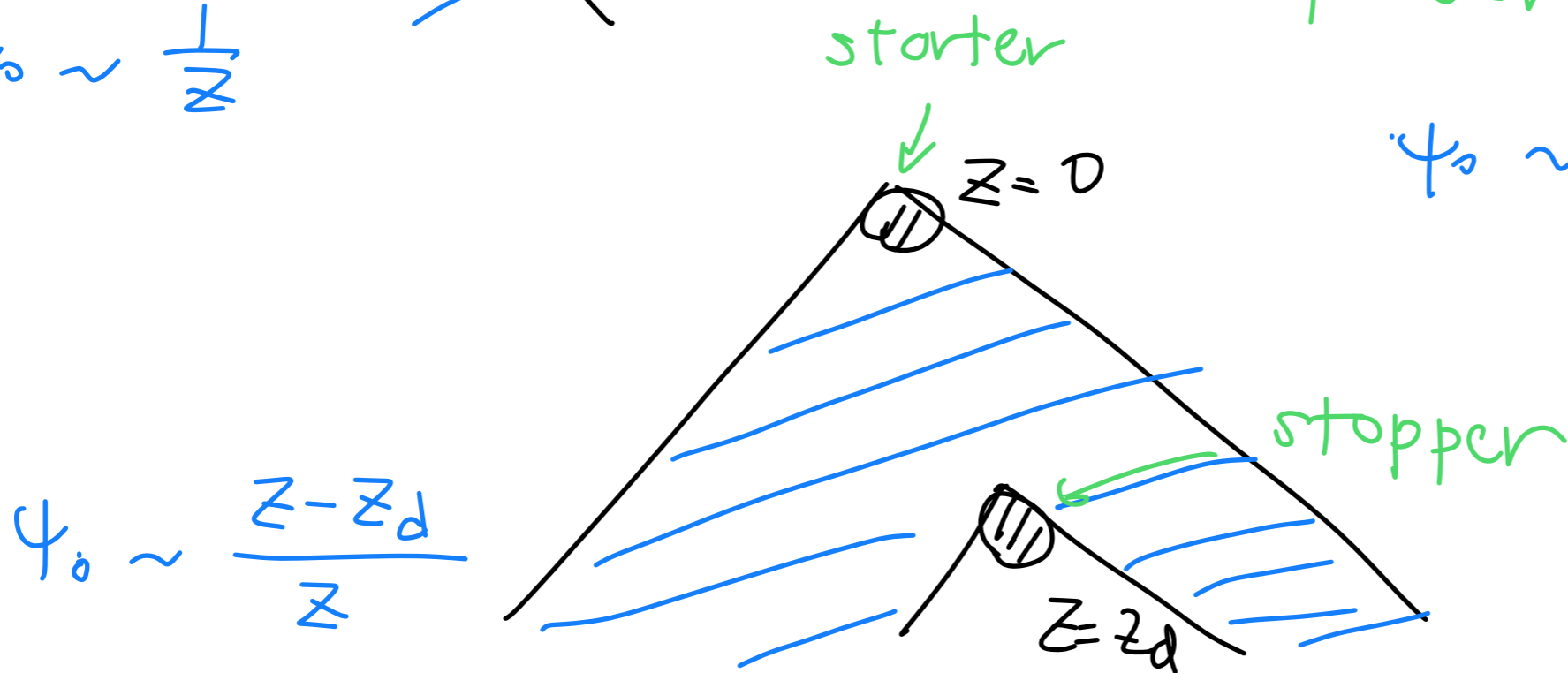
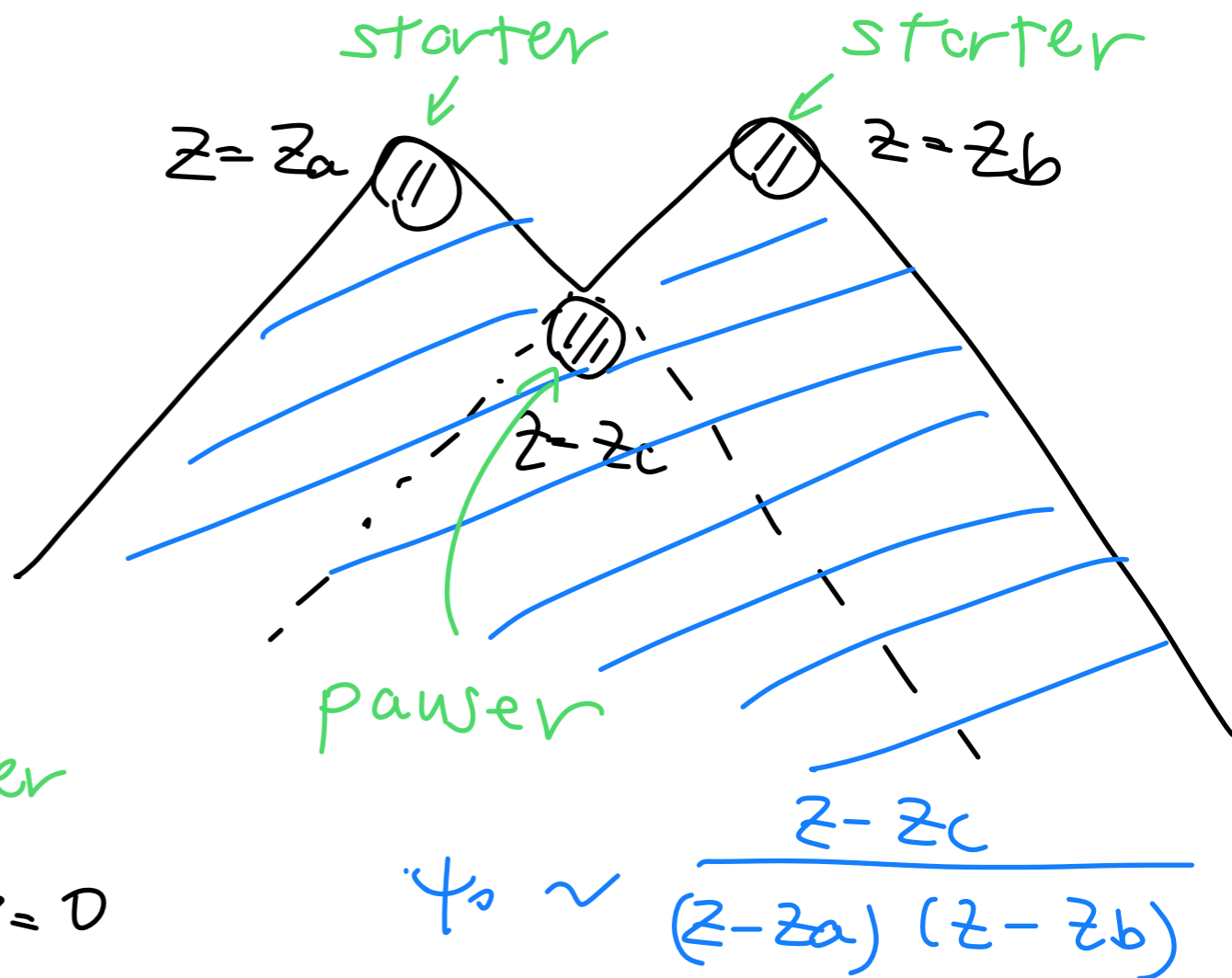
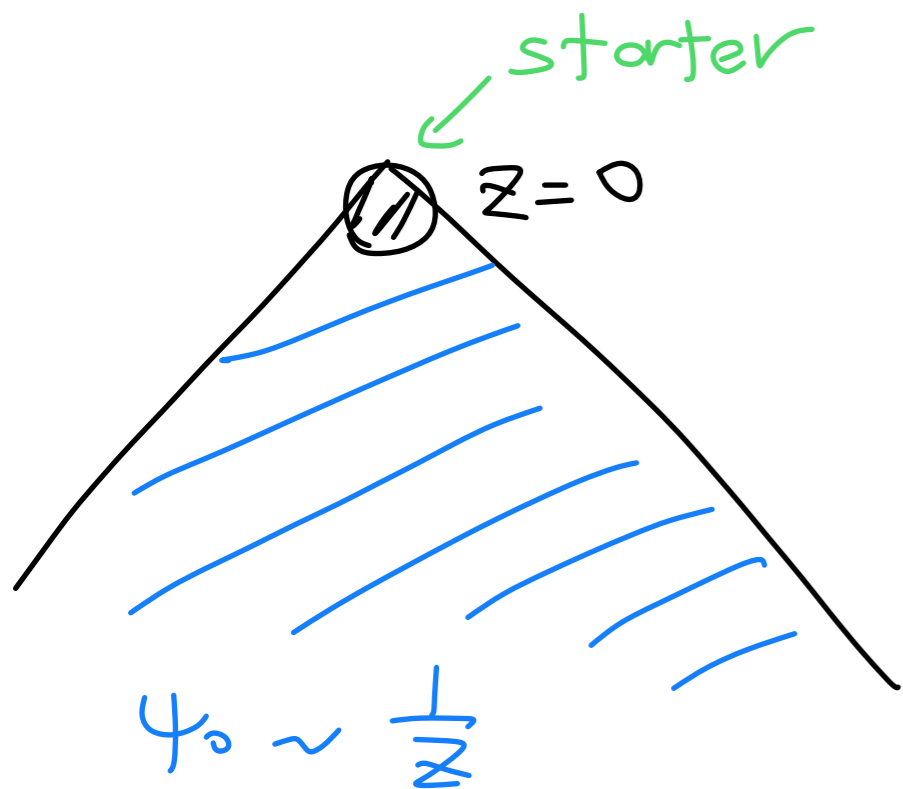
vacuum charge function \leftrightarrow representation



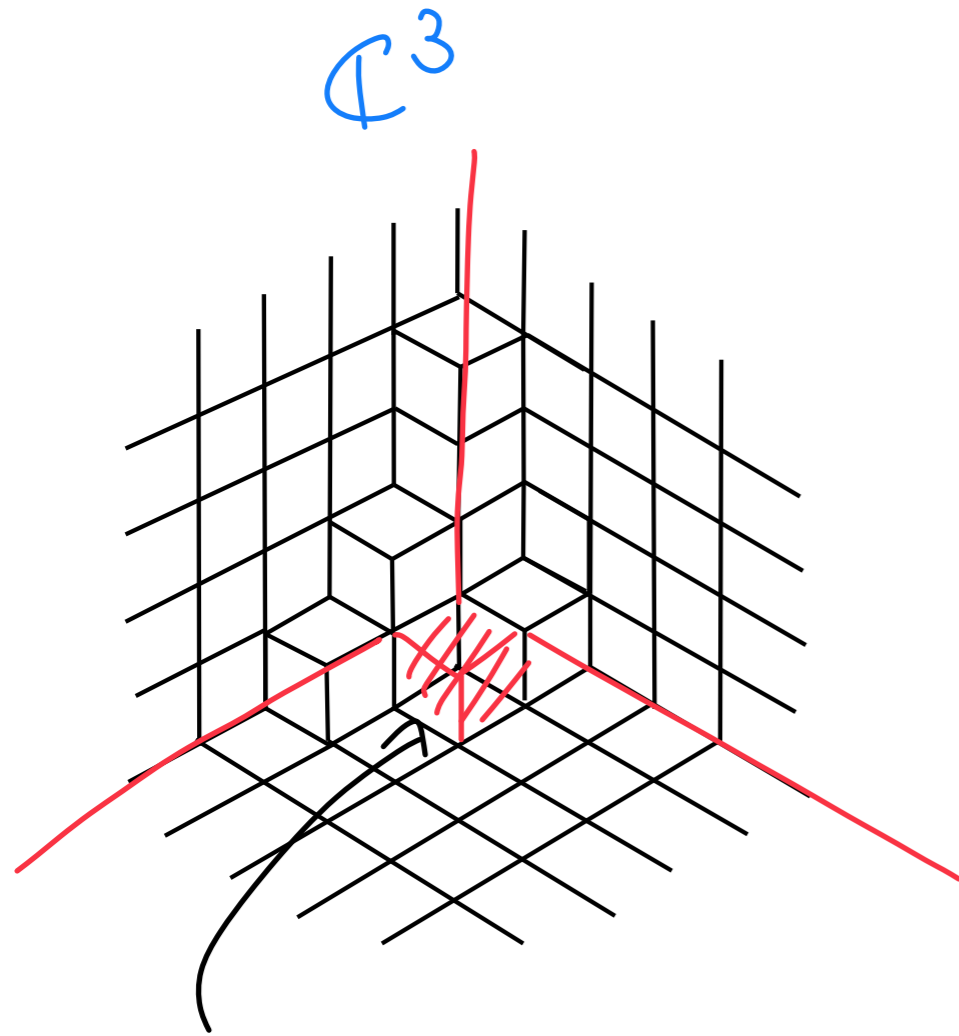
$$\psi^{(a)}(z) |\emptyset\rangle = \underbrace{\psi_0^{(a)}(z)}_{\text{vacuum charge function}} |\emptyset\rangle$$

[Galakhov-Li-MY '21]

vacuum charge function \leftrightarrow representation



example of truncation

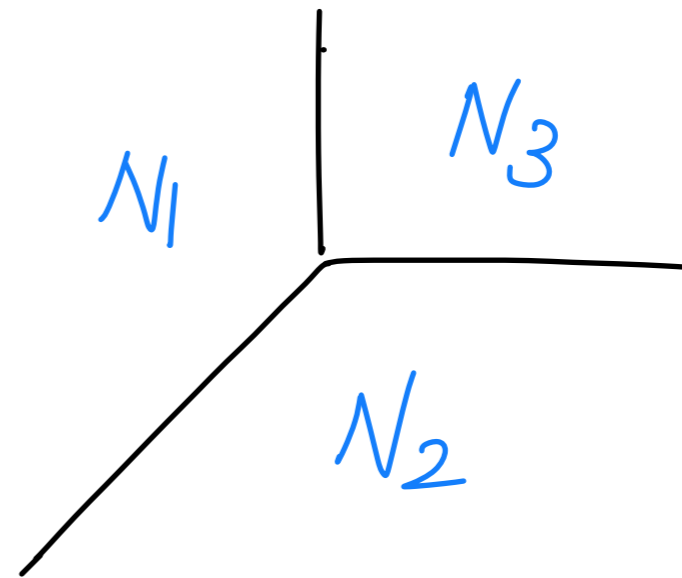


pit: location of null state

⑨ (N_1, N_2, N_3)

There is a corresponding truncation of the algebra studied by [Gaiotto-Rapcak]

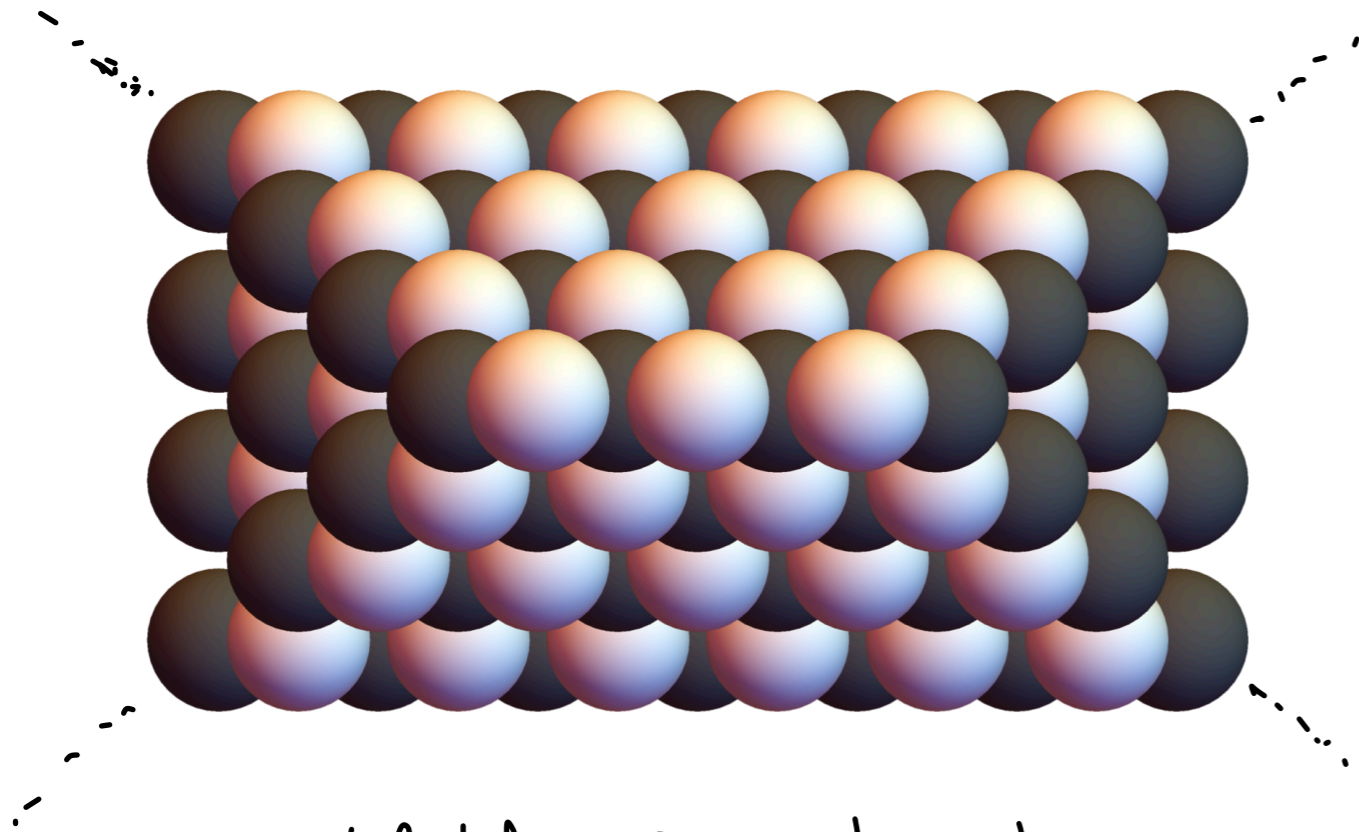
$$Y(\hat{g}_{\hat{L}_1}) \rightarrow Y_{N_1, N_2, N_3}$$



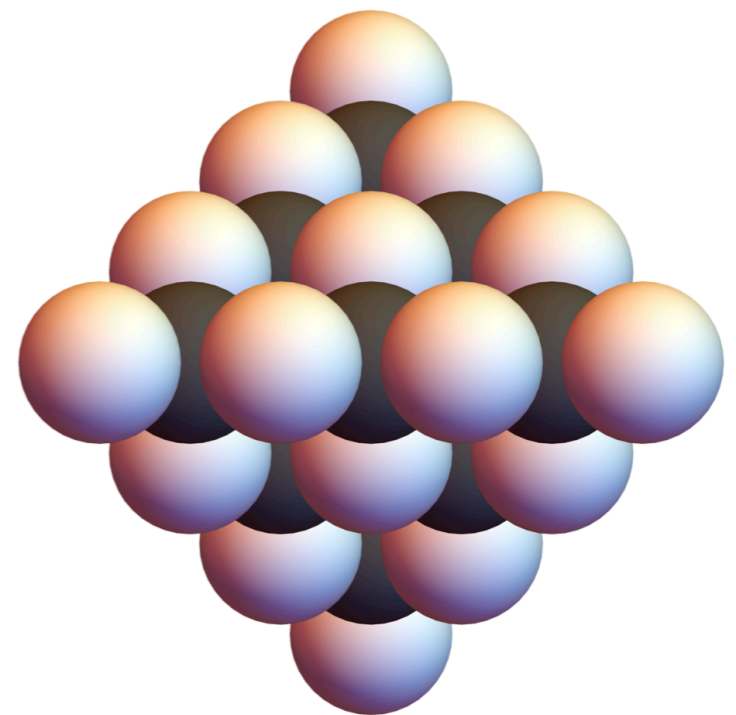
D-branes wrapping divisors (framing of quiver)

We can obtain rather general reps by
using starter / pauser / stoppers

e.g. open / closed BPS state counting
and their wall crossings



conifold : ∞ -chamber



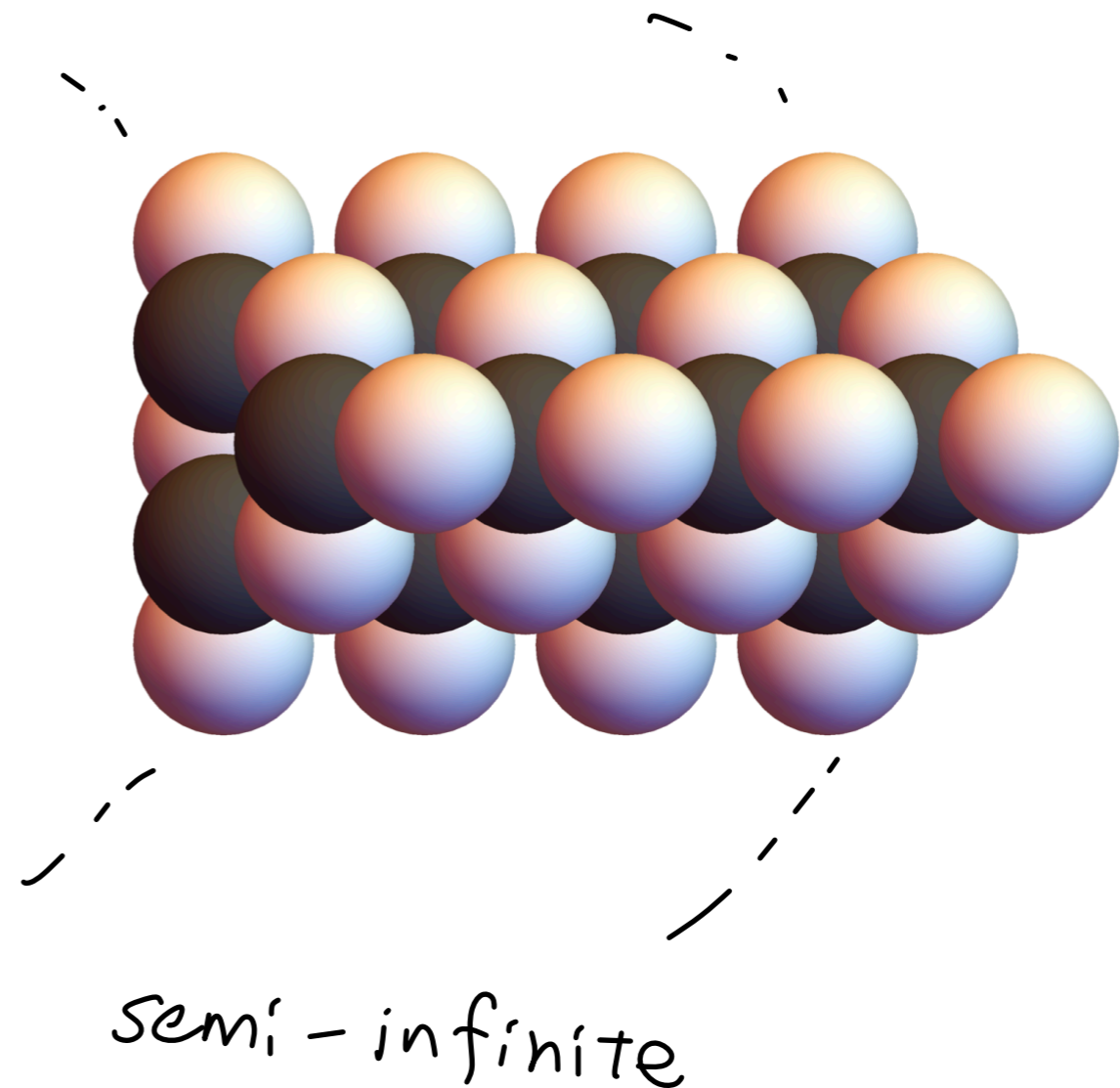
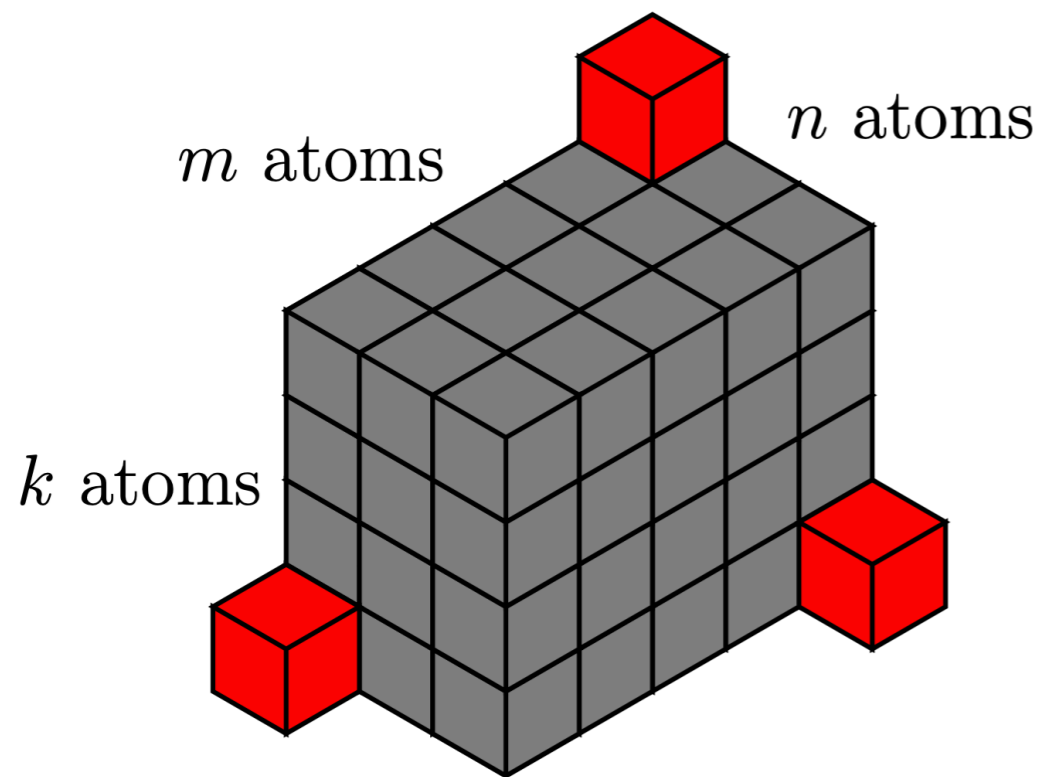
conifold : finite chamber

[Nagao-Nakajima; Jafferis-Moore; Chuang-Jafferis, ... '08]

Some representations have no known
C₃/geometry counterparts

$\Upsilon(\hat{g}_{\ell_1})$ \mathbb{R}^3 -like

$\Upsilon(\hat{g}_{\ell_{112}})$ conifold-like



R - matrix

Bethe Ansatz

[Galakhov-Li-Y ('22)]

We now have an algebra \mathcal{Y}
and a representation \mathcal{C} from crystal



Natural to consider
"crystal chains" $\mathcal{C}^{\otimes n}$

&

R -matrix, BAE,

Resolution of the Puzzle?

2d $N=(2,2)$

Q, W



Vacuum equation

$$\exp\left(\frac{\partial W}{\partial \sigma}\right) = 1$$

[Nekrasov-Shatashvili ('08)] ||

Gauge/Bethe

BAE

$Y(\mathfrak{g})$

#

What is $Y_{Q,W}$?

Quiver Yangian $Y_{Q,W}$?

integrable model

$Y_{Q,W}$

should be some ∞ -dim. algebra

Twisted Superpotential FI/stability param.

$$\mathcal{W}(\vec{\sigma}) = i \sum_{a \in Q_0} \sum_{i=1}^{N_a} t_a \sigma_i^{(a)} + \sum_{(I: a \rightarrow b) \in Q_1} \sum_{i=1}^{N_a} \sum_{j=1}^{N_b} \mathbf{w} \left(\sigma_j^{(b)} - \sigma_i^{(a)} - h_I \right),$$

$$\mathbf{w}(\sigma) = \sigma (\log \sigma - 1).$$

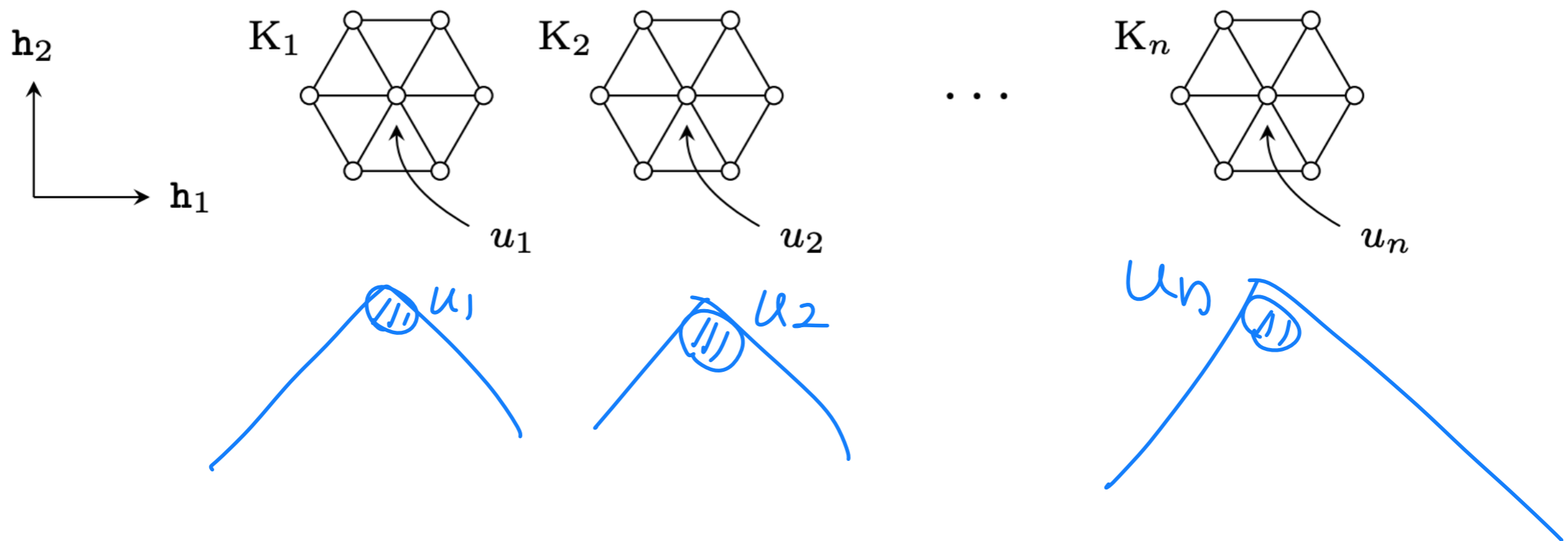
Vacuum equation = "would-be BAE"

$$1 = \mathbf{BAE}_i^{(a)}(\vec{\sigma}, \vec{u}, \vec{q}) := \mathfrak{q}_a^{-1} \prod_{\substack{1 \leq j \leq N_a \\ j \neq i}} \varphi^{a \Leftarrow a} \left(\sigma_i^{(a)} - \sigma_j^{(a)} \right) \times \\ \times \prod_{\substack{b \in Q_0 \\ b \neq a}} \prod_{\substack{k=1 \\ k \neq i}}^{N_b} \varphi^{a \Leftarrow b} \left(\sigma_i^{(a)} - \sigma_k^{(b)} \right) \prod_f \varphi^{a \Leftarrow f} \left(\sigma_i^{(a)} - u_f \right)$$

$$\varphi^{a \Rightarrow b}(u) \equiv \frac{\prod_{I \in \{b \rightarrow a\}} (u + h_I)}{\prod_{I \in \{a \rightarrow b\}} (u - h_I)}$$

We can make "crystal chains" by
 bringing together crystals in
 Spectral-parameter plane

[Galakhov-Y, Galakhov-Li-Y ('21)]



$$|K_1, \#C_1\rangle_{u_1} \otimes |K_2, \#C_2\rangle_{u_2} \otimes \dots \otimes |K_n, \#C_n\rangle_{u_n}.$$

We can derive representations

[Galakhov-Y, Galakhov-Li-Y ('21)]

$$\Delta_0^{(n)}(\psi(z)) \bigotimes_{i=1}^n |K_i\rangle_{u_i} = \prod_i \Psi_{K_i}(z - u_i) \times \bigotimes_i |K_i\rangle_{u_i},$$

$$\Delta_0^{(n)}(e(z)) \bigotimes_{i=1}^n |K_i\rangle_{u_i} = \sum_i \sum_{\square \in \text{Add}(K_i)} \prod_{j < i} \Psi_{K_j}(u_i + h_\square - u_j) \times \frac{[K_i \rightarrow K_i + \square]}{z - (u_i + h_\square)} \times$$

$$\bigotimes_{j < i} |K_j\rangle_{u_j} \otimes |K_i + \square\rangle_{u_i} \otimes \bigotimes_{k > i} |K_k\rangle_{u_k},$$

$$\Delta_0^{(n)}(f(z)) \bigotimes_{i=1}^n |K_i\rangle_{u_i} = \sum_i \sum_{\square \in \text{Rem}(K_i)} \prod_{k > i} \Psi_{K_k}(u_i + h_\square - u_k) \times \frac{[K_i \rightarrow K_i - \square]}{z - (u_i + h_\square)} \times$$

$$\bigotimes_{j < i} |K_j\rangle_{u_j} \otimes |K_i - \square\rangle_{u_i} \otimes \bigotimes_{k > i} |K_k\rangle_{u_k},$$

and "standard coproduct" \curvearrowright \neq not inv. under permutations

$$\Delta_0 e = e \otimes 1 + \psi \overset{\rightarrow}{\otimes} e,$$

$$\Delta_0 f = 1 \otimes f + f \overset{\leftarrow}{\otimes} \psi,$$

$$\Delta_0 \psi = \psi \otimes \psi.$$

However,

— Δ_0 does NOT reproduce

R-matrix needed for

(BAE) = (vacuum equation)

— For rational / Yangian case does NOT

come from a coproduct $\Delta_0: \Upsilon \rightarrow \Upsilon \otimes \Upsilon$

[Prochazka ('15)] [Galakhov-Li-Y ('22)]

We need to search "correct" Δ :

cf. stable envelope of [Maulik-Okounov]

$$\Delta = \mathcal{U}^{-1} \Delta_0 \mathcal{U}$$

$$\Delta_0 e = e \otimes 1 + \psi \overset{\rightarrow}{\otimes} e,$$

$$\Delta_0 f = 1 \otimes f + f \overset{\leftarrow}{\otimes} \psi,$$

$$\Delta_0 \psi = \psi \otimes \psi.$$

"Yes - Go"

[Galakhov-Li-Y ('22)]

See also [Feigin-Jimbo-Miwa-Mukhin ('15)]

[Litvinov-Vilkovisky ('20)] [Chistyakova-Litvinov-Orlov ('21)]

[Kolyaskin, A. Litvinov, and A. Zhukov ('22)] [Bao ('22)]

* For 2d-crystal repr. (Fock module)
of $\Upsilon(\hat{\mathfrak{g}})$ w/ $\mathfrak{g} = \mathfrak{gl}_m, D(2,1|\alpha)$

we can choose
↙ shift = 0

We can derive BAE

and verify Gauge/Bethe!

"No - Go"

[Galakhov-Li-Y ('22)]

shift $\neq 0$

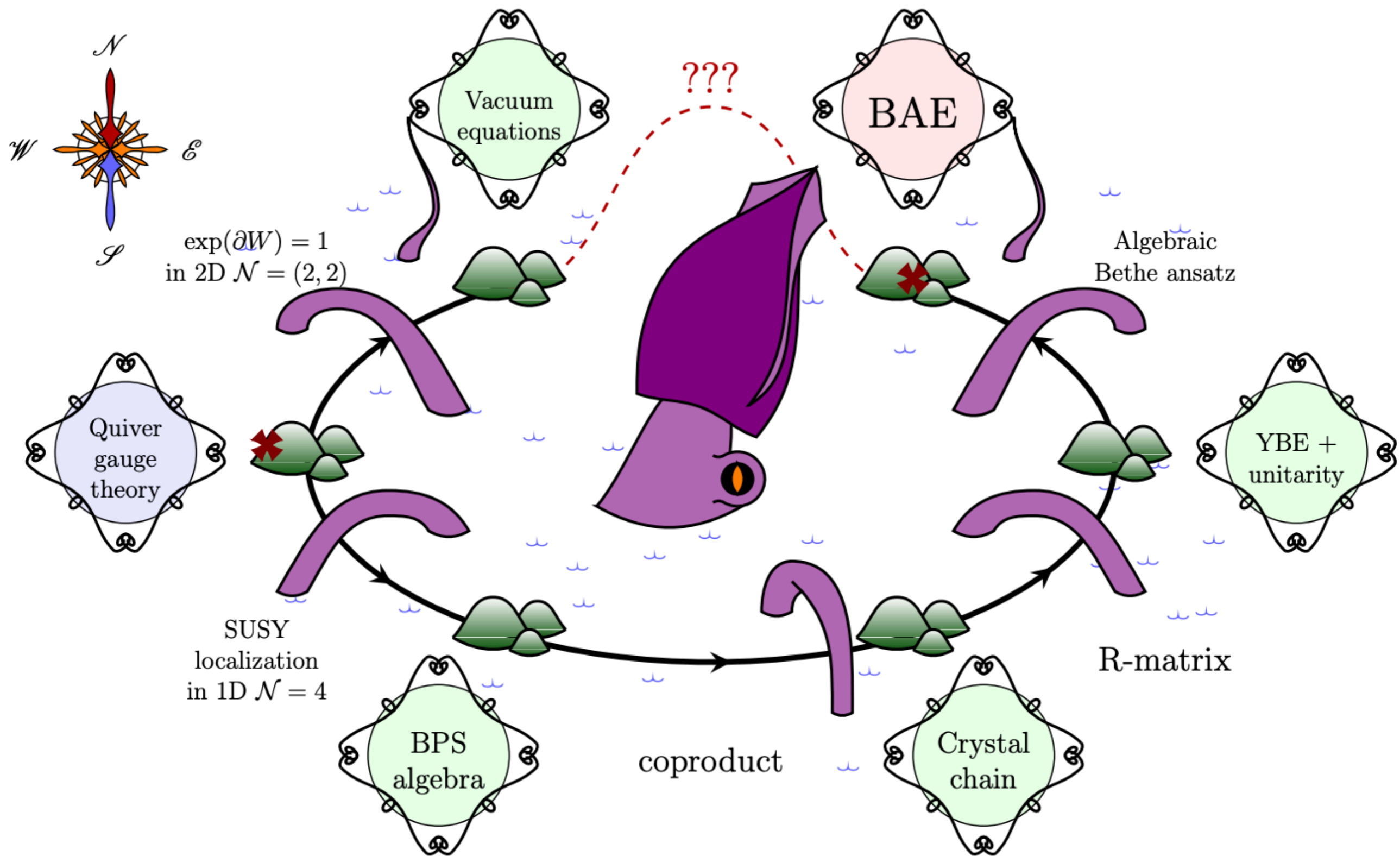
* For $Y(Q, W)$ without underlying \mathfrak{g}

[chiral quiver / toric CY3 with 4-cycle]

We have obstructions (under some assumptions)

to finding consistent Δ / R

whose BAE matches vacuum eqn.



Summary

String theory

toric CY3

Quiver Yangian

$Y(Q, W)$

new algebras

SUSY

QM

(Q, W)

new repr.

repr. in crystal melting

counts

BPS states / DT inv.