

**§5. Yukawa Coupling**

§5.1 chiral matter multiplets

- $Het/CY_3 = \mathbb{Z}_3$  w/ vector bundles  $V$ . (0,2)-compactification.  
 (or Type I)

eg.  $E_6 \times E_6$

$$Res_{G_{str} \times H}^{E_6} (E_6\text{-adj}) = (\text{adj}, 1) + (1, \text{adj}) + \bigoplus_i (R_i, U_i)$$

$$\Rightarrow \text{chiral multiplets } H\text{-neutral} \Leftrightarrow H^1(\mathbb{Z}_3; \text{Padj}(V))$$

$$= H\text{-}U_i \text{ repr} \Leftrightarrow H^1(\mathbb{Z}_3; \text{P}R_i(V))$$

bosonic part:  $(R_i \otimes U_i)$ -valued  $(A_{\bar{\alpha}} d\bar{z}^{\bar{\alpha}}) \phi(\alpha)$   
 fermionic part:  $(0,1)$ -form  
 $\uparrow$   
 $SO(6) - 4 \rightarrow \underline{3} \oplus 1$

$$= h^{1,1}(\mathbb{Z}_3) \text{ Kähler moduli } T_{\alpha} = (\frac{1}{2}J_{\alpha} + iB_{\alpha}) \alpha$$

$$= h^{2,1}(\mathbb{Z}_3) \text{ cpx str. moduli}$$

$$= S^1 \text{ dilaton}$$

= embedding of spin connection

$$\left( \begin{array}{l}
 G_{str} = SU(3) \subset E_6^{vis} \quad H = E_6^{vis} \times E_6 \\
 C_2(T\mathbb{Z}_3) = \frac{-ch_2 \text{fund}(V^{vis})}{(2 \times T_{fund})} = C_2(V^{vis}) \quad \text{automatically.} \\
 E_6^{vis} - \underline{27} \Leftrightarrow H^1(\mathbb{Z}_3; T\mathbb{Z}_3) = H^1(\mathbb{Z}_3; \wedge^2 T^*\mathbb{Z}_3) = H^2(\mathbb{Z}_3; \mathbb{C}) \\
 E_6^{vis} - \overline{27} \Leftrightarrow H^1(\mathbb{Z}_3; T^*\mathbb{Z}_3) = \quad \quad \quad = H^1(\mathbb{Z}_3; \mathbb{C}) \\
 \text{[also known as (2,2) compactification.]}
 \end{array} \right.$$

•  $\mathbb{I}A / CY_3 = X_3$       $I: X_3 \rightarrow X_3$  anti-holomorphic;  $I^2 = id_{X_3}$ .

fixed locus of  $I = Ob$ -plane.

D6-branes wrapped on special Lag. 3-cycles  $L$  of  $CY_3 = X_3$ .  
 (supersym)

$CY_3 = X_3$ . Kähler.  $(X_3, \omega)$ ;  $d\omega = 0$ .

$\Rightarrow$

$$\left( \begin{array}{l} C_2(TX_3) = 0 \Rightarrow H^{3,0}(X_3; \mathbb{C}) = H^0(X_3; \wedge^3 T^*X_3) \\ \Omega^{(3,0)} \propto \epsilon_{abc} e^a e^b e^c dz^\alpha ndz^\beta ndz^\gamma \\ \omega = h_{\alpha\bar{\beta}} dz^\alpha ndz^\beta; \quad h_{\alpha\bar{\beta}} = (e_\alpha^a)(\bar{e}_{\bar{\beta}}^a) \end{array} \right.$$

slag  $L \subset X_3$ :

•  $\omega|_L = 0$ , •  $(e^{i\theta}) \Omega|_L$  is pure real.

$N_i \times D6$ -branes on  $L_i \Rightarrow U(N_i)$  gauge group.

•  $\left[ \begin{array}{l} \text{chiral in } (N_i, \bar{N}_j) \text{ repr} \quad - \quad \text{anti-chiral in } (N_i, \bar{N}_j) \\ \text{chiral in } (\bar{N}_i, N_j) \end{array} \right] = L_i \cdot L_j$   
 •  $b_1(L_i) \leftarrow \text{adj. (Wilson line \& deform)}$  intersect

• M-theory /  $G_2$ -hol. mfd.

$\leftrightarrow$  real 7-dim.

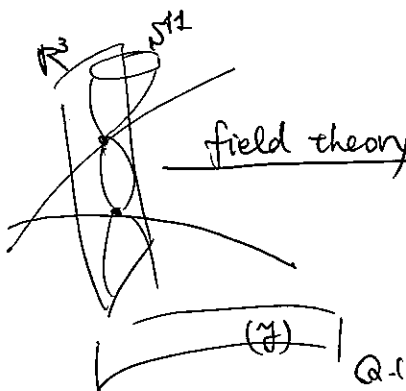
locally ... ALE space fibred over a 3-cycle.  $\mathbb{Q}$

$SU(2) \times \langle SU(2) \rangle \times \langle SO(3) \rangle$

spinor  $(2, 1, 2) \Rightarrow 2 \oplus 2$   
 $(1, 2, 2) \Rightarrow 1 \oplus 3$   
 $\uparrow$   
 $\langle \text{diag} \rangle$

const. spinor. 1 in 8.

field theory local model  $\Rightarrow$  4 in 32 SUSY charge



$\vec{\xi}(y)$  vary over  $\mathbb{Q}$

orientation.

$U(N+M) \rightarrow U(N) \times U(M)$   
 etc.

\* for ADE and their deformation  
 generically  $\neq 1$  enhance

• IIB / CY<sub>3</sub> = X<sub>3</sub>. I : X<sub>3</sub> → X<sub>3</sub>. hol. & I<sup>2</sup> = id<sub>X<sub>3</sub></sub>.

fixed locus of I: ~~orientifold~~ plane.

e.g. fixed locus of I: 4-cycle (hol-2 cycle).  
 cpx co-dim - 1.

D7-D7 intersection.

hol. 2-cycle (surface) (S<sub>i</sub>, L<sub>i</sub>) <sup>line bundle on S<sub>i</sub></sup>

⇒ chiral multiplets  $H^0(S_i, S_j; L_i^* \otimes L_j \otimes (N_{S_i, S_j}|_{S_i} \otimes N_{S_i, S_j}|_{S_j})^{\frac{1}{2}}$   
 in (N<sub>i</sub>, N<sub>j</sub>) repr  
 $H^0(S_i, S_j; L_i^* \otimes L_j \otimes (N_{S_i, S_j}|_{S_i} \otimes N_{S_i, S_j}|_{S_j})^{\frac{1}{2}}$   
 $[H^1(S_i, S_j; L_i \otimes L_j^* \otimes (N_{S_i, S_j}|_{S_i} \otimes N_{S_i, S_j}|_{S_j})^{\frac{1}{2}}]$   
 net chirality  $\chi(S_i, S_j; L_i^* \otimes L_j \otimes (N_{S_i, S_j}|_{S_i} \otimes N_{S_i, S_j}|_{S_j})^{\frac{1}{2}}$

chiral adj:  $H^0(S_i; N_{S_i}|_{X_3}) = H^0(S_i; K_{S_i})$   
 $\uparrow$   
 transv. on D7 =  $[H^2(S_i; \mathcal{O}_{S_i})]^*$   
 $\oplus H^1(S_i; \mathbb{C})$ . gauge field on D7

Het/ $T^3$ -fibration over  $Q$  (3-cycle) —  $M/K3$ -fibration over  $Q$  (3-cycle)

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if  $\text{vol}(Q_3) \gg \text{vol}(T^3)$ .

$\Rightarrow$  gauge field bg. for Het ...

$(\vec{A}$  on  $T^3$ ) vary over  $Q$   
 Wilson line.

$(\vec{E}$  for ALE) vary over  $Q$

(part of  $T^{3,19}$  moduli)  $\Leftrightarrow$  (K3 metric moduli)

matter zero mode.

under varying  $\vec{A}$

$\Rightarrow$  localized @  $\vec{A} = \vec{0}$   
 on  $Q$

$\Rightarrow$  localized on  $Q$ .

Het/ $T^2$ -fibration over  $B_2$  —  $F/(\text{ell. } K3)$ -fibration over  $B_2$

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if  $[\text{vol}(T^2)]^2 \ll \text{vol}(B_2)$

$\Rightarrow$  gauge field bg. for Het ...

• gauge field on  $B_2$

•  $(\vec{A}$  on  $T^2$ ) varying over  $B_2$ .

$\Leftrightarrow$  (ell. K3) cplx str. varying over  $B_2$ .

$\hookrightarrow$  (part of  $T^{2,18}$  moduli)

charged matter

localized in 2-directions  
 in  $B_2$

$\Leftrightarrow$  cplx curve in  $B_2$ . in  $B_3'$ .

§5.2. Mechanism generating Yukawa couplings

In Het

· perturbative.  $W^{Het} \propto \int_{Z_3} \Omega^{(3,0)} \wedge \left[ dB - \frac{\alpha'}{4} \text{Tr}_{E_8} (A dA + \frac{2}{3} AAA) - C \text{cs. grav.} \right]$

In (2.2) compactification.

$E_6^{vis} \rightarrow H^{-1}(Z_3; \mathbb{C}) = H^1(Z_3; T^*Z_3) \Rightarrow 27 \cdot 27 \cdot \bar{27}$  Yukawa  $\leftrightarrow$  intersection #

otherwise...  $\left. \begin{matrix} CY_3 = Z_3 \text{ cpx str. moduli} \\ \text{vector bundle moduli} \end{matrix} \right\}$  dependent.

$H^1(Z_3; V_5) \times H^1(Z_3; \wedge^2 V_5) \times H^1(Z_3; \wedge^3 V_5) \rightarrow \mathbb{C}$

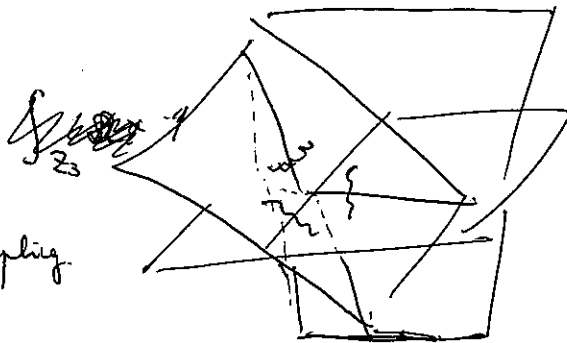
worldsheet instanton

wrap. worldsheet on cpx curves. in  $Z_3$ .

< insert vertex op. check fermionic-0-modes absent. >

suppressed by  $e^{-T\alpha}$ .

In IIB

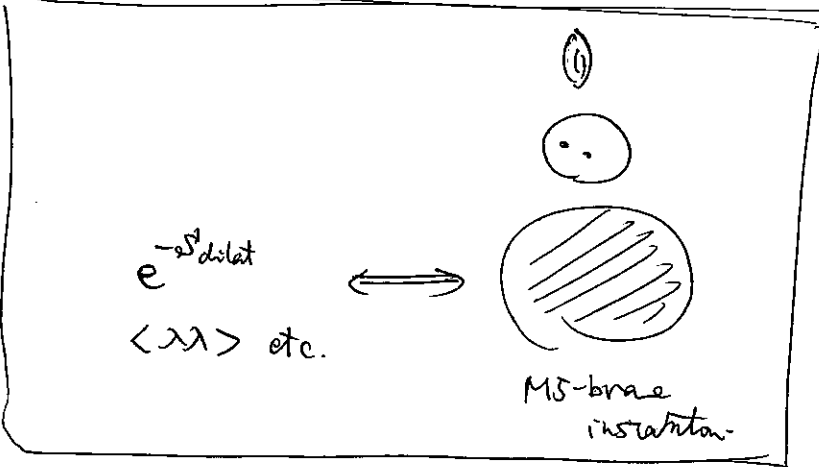
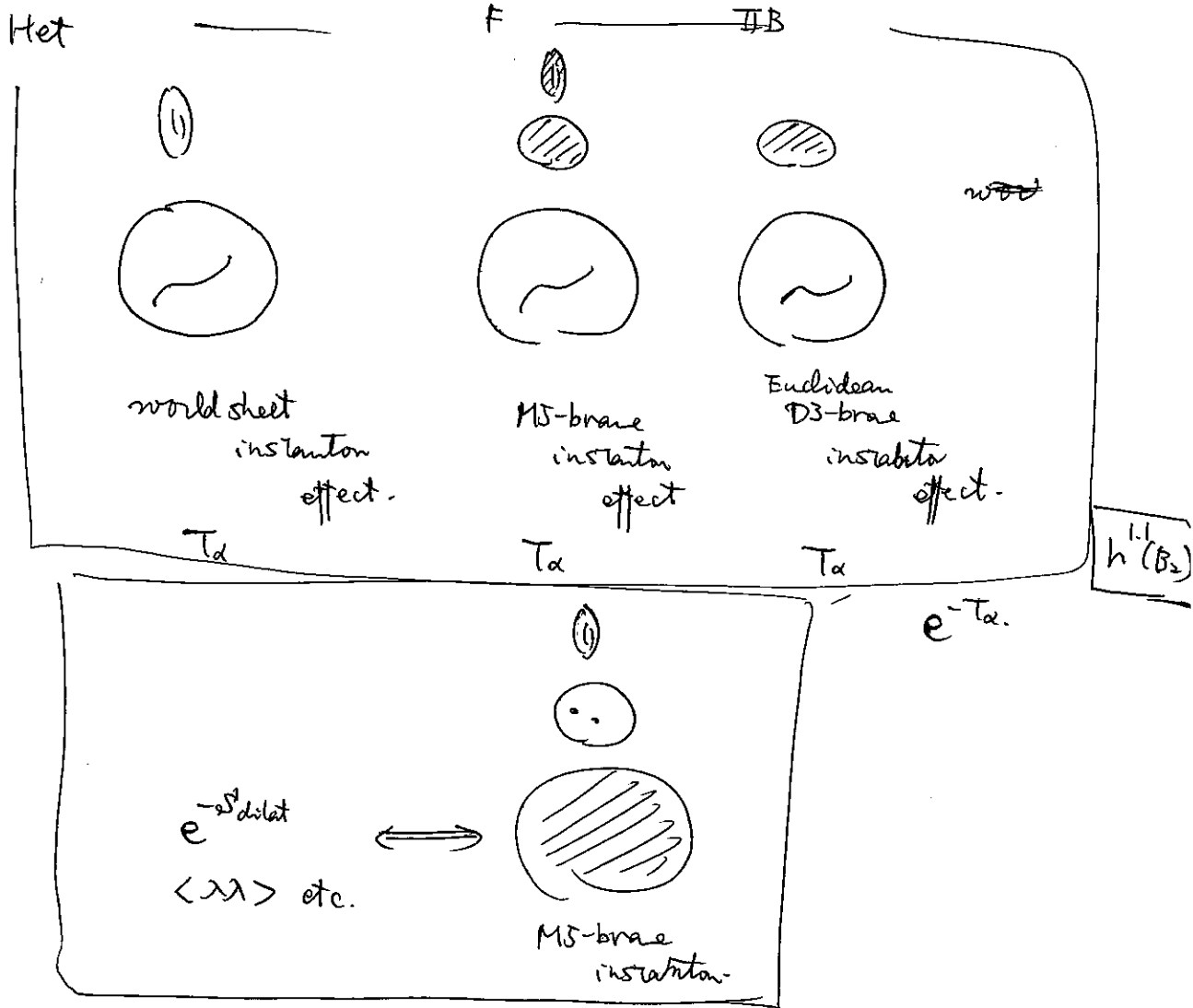


$\propto$  (product of wavefuns. at that point.)

• (bifund)<sup>3</sup> coupling

localized picture: quite simple.

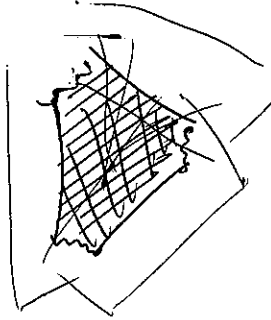
• adj/ field theory loc. model for intersecting system.  $W^{IIB} \propto \int_{\mathcal{M}^7} \Omega_{ijk} \text{tr} [\tilde{F}^k (dA + AA)_{ij}] dz^i dz^j \wedge d\bar{z}^i \wedge d\bar{z}^j + \int_{X_3} \Omega \wedge G^{(3)} \hookrightarrow (F^{(3)} - 2H^{(3)})$



IIA / M-theory

- world sheet or. M2-brane.

$$e^{-\left(\frac{\text{area}}{\alpha'}\right)} \Leftrightarrow e^{-\left(\frac{\text{vol}}{l_p^3}\right)}$$



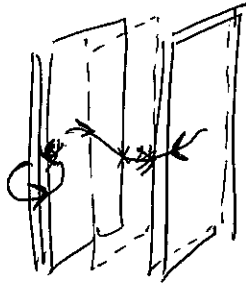
- D-brane instanton & M5-instanton.

§5.3 up-type Yukawa coupling & E-type algebra

focus on the mechanism w/o ( ~~$e^{-T}$~~  ...) instanton effects.

Yukawa from gauge theory.  $\rightarrow$  algebra.

$$\Delta W = \lambda^{(u)} \bar{U} Q H_u \leftarrow \lambda_{ij}^{(u)} 10_i^{ab} 10_j^{cd} H^e \underline{E_{abcde}}$$



$$\begin{pmatrix} \cdot \\ \cdot \\ \cdot \end{pmatrix}_a \begin{pmatrix} \cdot \\ \cdot \\ \cdot \end{pmatrix}_b \begin{pmatrix} \cdot \\ \cdot \\ \cdot \end{pmatrix}_c \begin{pmatrix} \cdot \\ \cdot \\ \cdot \end{pmatrix}_d$$

not the type of  $E_{abcde}$  in  $A_N, D_N$ -type alg.

$$E_6 \supset U(2) \times SU(5)_{str.}$$

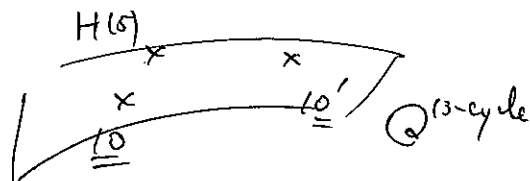
$$78 \rightarrow (3, 1) + (1, 24) + (1, 1) + [(2, 10) + (1^2 \bar{2}, 5)]$$

$$\text{Tr} [(2, 10), [(2, 10), (1^2 \bar{2}, 5)]] \neq 0? \quad + \text{h.e.}$$

E-type: Het.  $M/G_2$  -  $F/CY_4$

Het = difficult to analyze.

$M/G_2$ -hol mfd.



$\underline{10} \cdot \underline{10}' \cdot H$  can be generated. w/  $e^{-A}$  but not  $\underline{10} - \underline{10}' - H$

w/o - bigger suppress.