

## §10.2 Optical Theorem

$$S'_{\beta\alpha} \equiv \langle \beta | \alpha \rangle^{\text{out in}} = \mathbb{1}_{\beta\alpha} + (2\pi)^4 \delta^4(p_{\text{in}} - p_{\text{out}}) i M_{\beta\alpha}$$

$\uparrow$   $\uparrow$   
 $S'$ -matrix scattering amplitude or matrix element.

$S'_{\beta\alpha}$ : unitary matrix.

(conservation of probability in quantum mechanics)

$$\Rightarrow \boxed{S'^{\dagger} S' = \mathbb{1}}$$

$$\Rightarrow (2\pi)^4 \delta^4(p_{\text{in}} - p_{\text{out}}) \times \left[ M_{\beta\gamma}^{\dagger} M_{\gamma\alpha} (2\pi)^4 \delta^4(p_{\text{in}} - p_{\text{out}}) + i \{ M_{\beta\alpha} - (M^{\dagger})_{\beta\alpha} \} \right] = 0.$$

$$\boxed{\frac{1}{i} [M - M^{\dagger}]_{\beta\alpha} = \pi \int_{i\epsilon, \epsilon\mathbb{R}} \frac{d^3 p_i}{(2\pi)^3} \frac{1}{2E_{p_i}} M_{\beta\gamma}^{\dagger} M_{\gamma\alpha} (2\pi)^4 \delta^4(p_{\text{in}} - p_{\text{out}})}$$

if  $\beta = \alpha$ .

$$\boxed{\frac{1}{i} [M - M^{\dagger}]_{\alpha\alpha} = \pi \int_{i\epsilon, \epsilon\mathbb{R}} \frac{d^3 p_i}{(2\pi)^3} \frac{1}{2E_{p_i}} |M_{\alpha\alpha}|^2 (2\pi)^4 \delta^4(p_{\text{in}} - p_{\text{out}})}$$

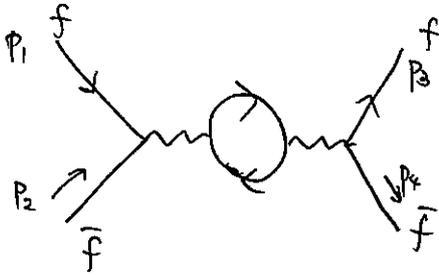
$\alpha$ : 1 particle state

$$\boxed{\Gamma = \frac{1}{2m} \times (\text{RHS})}$$

$\alpha$ : 2 particle state

$$\boxed{\sigma = \frac{1}{(2E_A)(2E_B)(u_{AB})} \times (\text{RHS})}$$

2-body  $\rightarrow$  2-body forward scattering amplitude.



$$i\mathcal{M} = ie^2 \left[ \bar{u}_r(\vec{p}_3) \gamma^\mu v_{s'}(\vec{p}_4) \right] \frac{1}{S} \left\{ 1 + \Pi_{\text{ren}}^{(1)}(S+i\epsilon) + \dots \right\} \left[ \bar{v}_s(\vec{p}_2) \gamma_\mu u_r(\vec{p}_1) \right]$$

set  $\beta = \alpha$  (i.e.  $\vec{p}_3 = \vec{p}_1, \vec{p}_4 = \vec{p}_2, r' = r, s' = s$ )

take spin average  $\frac{1}{2} \sum_r \frac{1}{2} \sum_s$

$$\Rightarrow i\bar{\mathcal{M}} = ie^2 \frac{1 + \Pi_{\text{ren}}^{(1)}(S+i\epsilon) + \dots}{S} \underbrace{\frac{1}{4} \text{Tr}[\gamma^\mu (\not{p}_2 - m) \gamma_\mu (\not{p}_1 + m)]}_{\text{II}} \underbrace{\text{Tr}[\gamma^\mu (\not{p}_2 - m) \gamma_\mu (\not{p}_1 + m)]}_{\text{II}} \underbrace{[-(S + 2m^2)]}_{\text{II}}$$

$(\bar{\mathcal{M}} - \bar{\mathcal{M}}^\dagger)$  comes from  $\Pi_{\text{ren}}^{(1)}(S+i\epsilon)$ .

$$\Pi_{\text{ren}}^{(1)}(S+i\epsilon) = \frac{e^2}{2\pi^2} \int_0^1 dx \, x(1-x) \ln \left[ \frac{m_i^2 - x(1-x)\{S+i\epsilon\}}{m_i^2 + x(1-x)\mu^2} \right]$$

from Dirac fermion  $= i^2$

log...

$$\left( \begin{array}{c} \left[ \frac{m_i^2 - x(1-x)\{S-i\epsilon\}}{4} \right] \\ \leftarrow m_i^2 \\ \text{wavy line} \\ \leftarrow m_i^2 \\ \left[ \frac{m_i^2 - (S+i\epsilon)\mu^2}{4} \right] \end{array} \right) \frac{1}{i} \left[ \Pi_{\text{ren}}^{(1)}(S+i\epsilon) - \Pi_{\text{ren}}^{(1)}(S-i\epsilon) \right] = \frac{e^2}{2\pi^2} \sum_i \int_{x_{\min}}^{x_{\max}} dx \, x(1-x) \times \frac{[-2\pi i]}{i}$$

$$x_{\max/\min} = \frac{1}{2} \left( 1 \pm \sqrt{1 - \frac{4m_i^2}{S}} \right) \approx -\frac{e^2}{\pi} \sum_{4m_i^2 < S} \frac{1}{6}$$

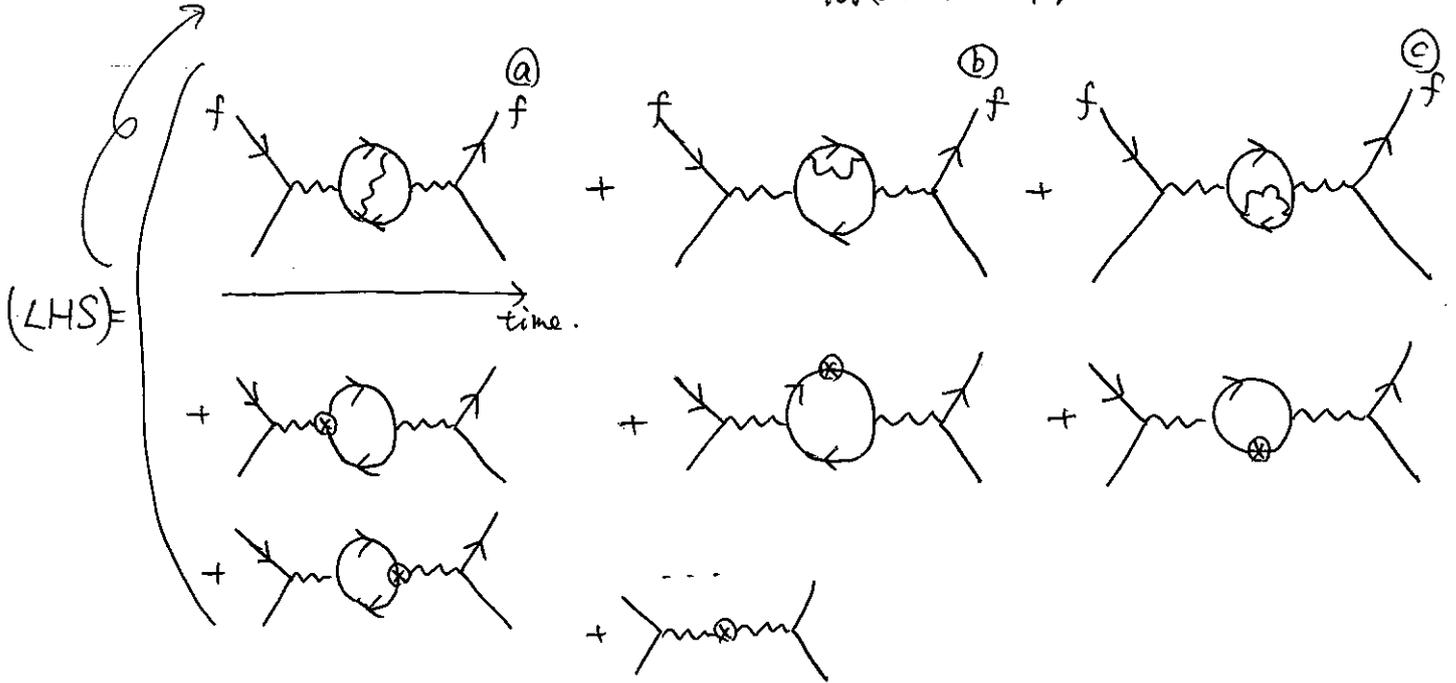
if  $4m_i^2 < S$ .

$$\Rightarrow \frac{1}{i} [\bar{\mathcal{M}} - \bar{\mathcal{M}}^\dagger] \approx (-e^2) \times \left( -\frac{e^2}{6\pi} \right) \times \# [\text{light Dirac fermions}]$$

$$\sigma_{\text{tot}}(f+\bar{f} \rightarrow f^{(*)} \rightarrow \text{any}) \approx \frac{1}{2S} \times \left( \frac{1}{i} [\bar{\mathcal{M}} - \bar{\mathcal{M}}^\dagger] \right) = \frac{e^4}{12\pi S} \times \# \left( \begin{array}{c} \text{light} \\ \text{Dirac} \\ \text{ferm} \end{array} \right) = \frac{4\pi\alpha_e^2}{3S} \times \# \left[ \begin{array}{c} \text{light} \\ \text{Dirac} \\ \text{fermion} \end{array} \right]$$

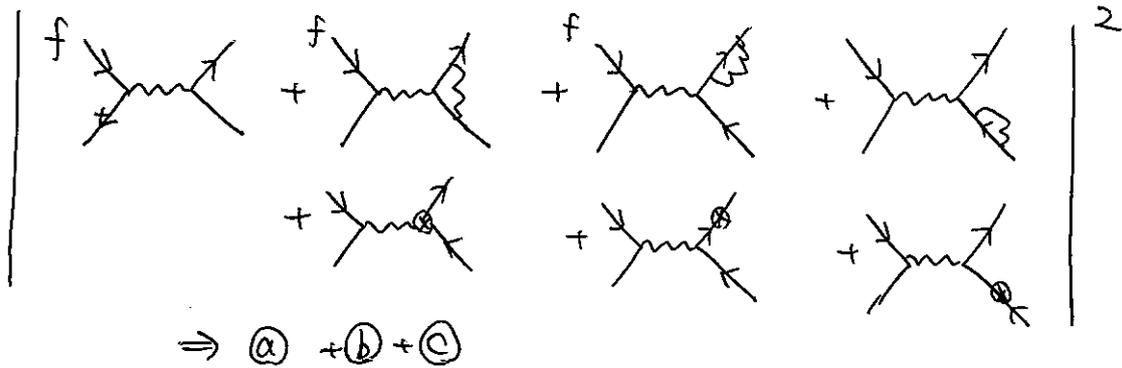
going to higher order

$$\frac{1}{2} [\mathcal{M}_{\alpha\alpha} - \mathcal{M}_{\alpha\alpha}^*] = \sum_{\gamma} |\mathcal{M}_{\gamma\alpha}|^2 = \sum_{\gamma} \int \frac{d^3\vec{p}_\gamma}{(2\pi)^3} \frac{1}{2E_{\vec{p}_\gamma}} (2\pi)^4 \delta^4(p_{in} - p_{out}) |\mathcal{M}_{\gamma\alpha}|^2$$

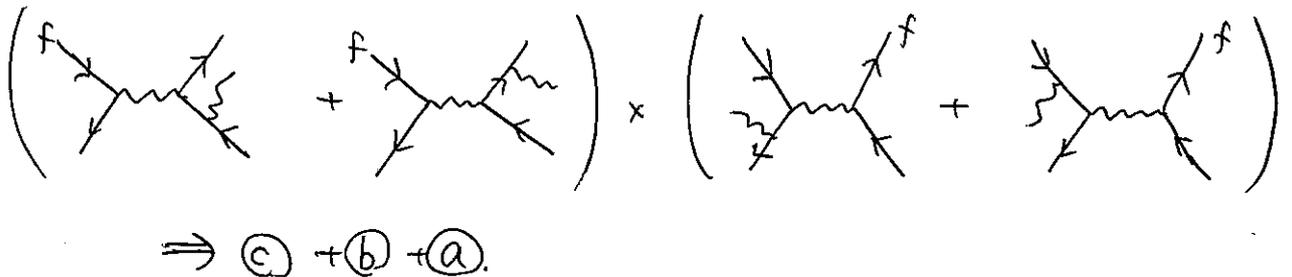


(RHS)

★  $\gamma = \gamma + \bar{\gamma}$



★  $\gamma = \gamma + \bar{\gamma} + [\gamma(\text{photon}) \text{ or gluon}]$



$$[(a) + (b) + (c) + \dots]_{\text{LHS}} \propto [\sigma(f+\bar{f} \rightarrow \gamma+\bar{\gamma}) + \sigma(f+\bar{f} \rightarrow \gamma+\bar{\gamma} + \gamma(\text{or } g))]_{\text{RHS}}$$

@  $O(e^6)$  or  $O(e^4 g^2)$ .

cancellation of soft & collinear divergence at  $\mathcal{O}(e^6$  or  $e^4 g^2$ ).

in total cross section.

$\Rightarrow$  use optical theorem. and see the absence of divergence in  $\text{Im} M_{aa}$ .

eg. an amplitude given by

$$\prod_a \frac{d^4 k_a}{(2\pi)^4} \prod_i \frac{1}{[l_i^2 - m_i^2 + i\epsilon]} = \prod_{i=1}^{(\#\text{prop})} \prod_a \frac{d^4 k_a}{(2\pi)^4} \int dx_i \frac{\Gamma(\#\text{prop})}{\left(\sum_i x_i [l_i^2 - m_i^2]\right)^{(\#\text{prop})}}$$

$\uparrow$  loop momentum.       $\uparrow$  propagator  
 $\left. \begin{array}{l} 0 \leq x_i \leq 1 \\ \sum_i x_i = 1 \end{array} \right\}$

$l_i$ : linear comb. of  $k_a$ 's and ext. momenta.

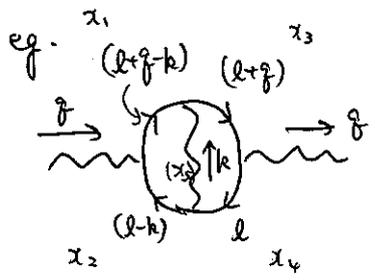
**step 1**

possible places in  $d^4 k_a dx_i$  where IR divergence might arise (pinch surface)

- $\star$  for each  $\underline{i} \in \{1, 2, \dots, \#\text{prop}\}$   
impose either  $x_i = 0$  or  $[l_i^2 - m_i^2] = 0$ .
- $\star$  for each  $\underline{a} \in \{1, 2, \dots, \#\text{loop}\}$   
impose  $\sum_i x_i \frac{\partial}{\partial k_a^2} (l_i^2) = 0$ . (\*)

**step 2**

study the behavior of  $\left. \begin{array}{l} \text{measure } d^4 k_a dx_i \\ \text{denominator } \left(\sum x_i [l_i^2 - m_i^2]\right)^{(\#\text{prop})} \end{array} \right\}$   
in the direction transverse to the pinch surface.



$$\text{denominator} = \left( x_1 (l+q-k)^2 + x_2 (l-k)^2 + x_3 (l+q)^2 + x_4 (l^2) + x_5 (k^2) \right)$$

eg.  $\alpha_1 \approx 0, \alpha_2 \approx 0, \alpha_3 \approx 0, \alpha_4 \approx 0, k^2 = 0 (\alpha_5 \neq 0)$

$\Rightarrow (* k^\mu) \therefore k^\mu \approx 0$

$k^\mu \sim \mathcal{O}(\lambda), \alpha_{1,2,3,4} \sim \mathcal{O}(\lambda^2)$

measure  $d^4k d^4l dx_1 dx_2 dx_3 dx_4 \sim \mathcal{O}(\lambda^4 \cdot \lambda^{2 \cdot 4} = \lambda^{12})$   
 denominator  $[\lambda^2]^5 \sim \lambda^{10}$  }  $\Rightarrow \mathcal{O}(\lambda^2)$   
 finite.

eg.  $\alpha_1 \approx 0, \alpha_2 \approx 0, \frac{k^2}{(l+q)^2} \approx 0, l^2 \approx 0, k^2 \approx 0$

$\Rightarrow (* \text{ for } k^\mu) \quad k^\mu \approx 0$   
 $\Rightarrow (* \text{ for } l^\mu) \quad x_3(l+q)^\mu + x_4 l^\mu \approx 0$  } not compatible.

Absence of IR divergence can be shown in this way for (a), (b) and (c).

$\Rightarrow$  meaning cancellation of divergence between  $\sigma(ff \rightarrow \gamma\bar{\gamma})$  and  $\sigma(ff \rightarrow \gamma\bar{\gamma}\gamma)$ .

From 2-loop calculation.... of  $m$

$\sigma_{tot} \approx \frac{4\pi\alpha_e^2}{3S} \times (3Q_f^2) \times \left[ 1 + \frac{\alpha_s(\mu^2)}{\pi} + \dots \right]$

[relativistic  $e^+e^- \rightarrow f\bar{f} (+\gamma)$ . total  $\sigma$ ]

$\sigma(\rightarrow f\bar{f})_{cut} \sim \frac{4\pi\alpha_e^2}{3S} (3Q_f^2) [1 - \alpha_s \text{ double log}]$

+ )  $\sigma(\rightarrow f\bar{f}\gamma) \sim \frac{4\pi\alpha_e^2}{3S} (3Q_f^2) [ + \alpha_s \text{ double log}]$

Observables w/o IR divergence.

$\left[ 1 + \frac{\alpha_s}{\pi} \right]$  finite positive correction (K-factor).

$\rightarrow$  IR-safe.

★ Total  $\sigma$ . : safe!

$\rightarrow$  single scale problem. S.

★  $f\bar{f}$  prod.  $\sigma$  need careful definition of jet  $\rightarrow$  safe.  
 $+ f\bar{f}\gamma$  w/ cut

$\rightarrow$  multi scale problem S,  $k_T$  cut.

need resummation

§ 10.3 OPE and Non-perturbative Corrections.

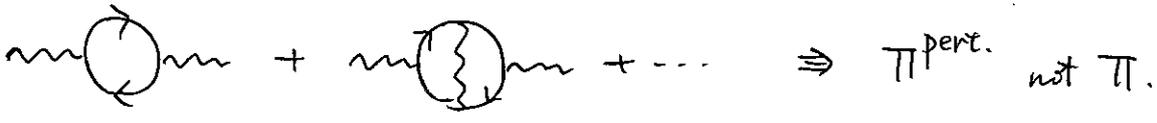
$\left\{ \begin{array}{l} e^+e^- \rightarrow q\bar{q}g \dots \text{etc.} \Rightarrow \text{hadron production.} \\ \text{Is perturbative QCD approach justified if } S \gg \Lambda_{\text{QCD}}^2? \end{array} \right\}$

Optical theorem :  $\left\{ \begin{array}{l} \text{unitarity of } S\text{-matrix} \\ \text{insertion of a complete system of the Hilbert space.} \end{array} \right\}$

$$\sigma_{\text{tot}} \propto \frac{1}{i} [\bar{M} - M^\dagger] \cong \frac{(-e^2)}{i} [\pi(s+i\epsilon) - \pi(s-i\epsilon)]$$

$$\begin{aligned} & \int \int (ie)^2 \langle \Omega | T \{ J^\mu(x) J^\nu(y) \} | \Omega \rangle e^{i q' \cdot x} e^{-i q \cdot x} d^4x d^4y \\ & = (2\pi)^4 \delta^4(q' - q) i (q^2 \eta^{\mu\nu} - q^\mu q^\nu) \pi(q^2 + i\epsilon) \end{aligned}$$

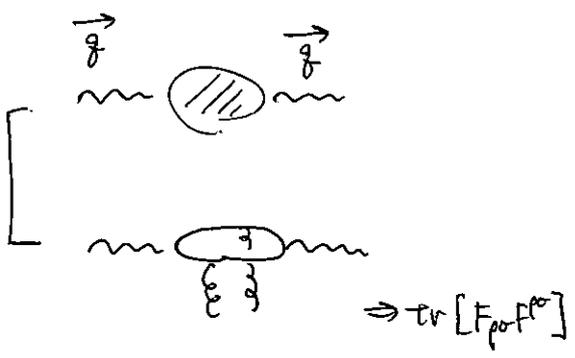
But!!  
perturbative calculation.



In OPE

$$\int (ie)^2 T \{ J^\mu(x) J^\nu(y) \} e^{i q \cdot (x-y)} d^4y = i (q^2 \eta^{\mu\nu} - q^\mu q^\nu) \pi^{\text{pert.}}(q^2 + i\epsilon) \cdot 1$$

$$+ \dots = \left[ \sim \frac{1}{(q^2)^2} \right] \times \text{tr}(F_{\rho\sigma} F^{\rho\sigma})$$



$$\langle \Omega | \text{tr}(F_{\rho\sigma} F^{\rho\sigma}) | \Omega \rangle \sim \mathcal{O}(\Lambda_{\text{QCD}}^4)$$

$\Rightarrow$  non-perturbative corrections.

relatively  $\times \left( \frac{\Lambda_{\text{QCD}}^4}{S^2} \right)$

$$\pi(s) \cong \pi^{\text{pert}}(s) + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}^4}{S^2}\right) \text{ good approx.}$$

if  $\Lambda_{\text{QCD}}^2 \ll S$ .