

§ 9. Path integral formulation of QFT's

No. \_\_\_\_\_  
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§ 9.1 Repeating the derivation.

Example 1 a free scalar boson on  $(d+1)$ -dimensional space-time.

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 - \frac{1}{2} m^2 \phi^2 \iff \mathcal{H} = \frac{1}{2} \pi^2 + \frac{1}{2} (\nabla \phi)^2 + \frac{1}{2} m^2 \phi^2.$$

$$[\phi(x, t), \pi(y, t)] = i \delta^d(x - y)$$

Fourier transformation  $\implies$  collection of infinitely many harmonic oscillators w/  $\omega = \sqrt{k^2 + m^2}$

labeled by  $\vec{k} \in \mathbb{R}^d$ .

$$\begin{aligned} \langle \Omega | T \{ \phi(x_1) \phi(x_2) \} \dots \pi(y_1) \pi(y_2) \dots \} | \Omega \rangle \\ = \int \mathcal{D}\phi \mathcal{D}\pi e^{i \int d^d x dt \int_{-\mathcal{H} + \pi i \partial_t \phi}} (\phi(x_1) \phi(x_2) \dots \pi(y_1) \pi(y_2) \dots) \\ \int \mathcal{D}\phi \mathcal{D}\pi e^{i \int d^d x dt \int_{-\mathcal{H} + \pi i \partial_t \phi}} \end{aligned}$$

The same story when  $\mathcal{H} = \frac{1}{2} \pi^2 + \frac{1}{2} (\nabla \phi)^2 + \frac{1}{2} m^2 \phi^2 + \frac{\lambda}{4!} \phi^4$ .

Example 2 Dirac theory  $\mathcal{L} = \bar{\psi}(i \not{\partial}_\mu - m) \psi$ .

$$\begin{aligned} \langle \Omega | T \{ \psi(x_1) \bar{\psi}(x_2) \dots \bar{\psi}(y_1) \bar{\psi}(y_2) \dots \} | \Omega \rangle \\ = \int \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{i \int d^d x \bar{\psi}(i \not{\partial}_\mu - m) \psi} (\bar{\psi}(x_1) \bar{\psi}(x_2) \dots \bar{\psi}(y_1) \bar{\psi}(y_2) \dots) \\ = \int \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{i \int d^d x \bar{\psi}(i \not{\partial}_\mu - m) \psi} \end{aligned}$$

$\psi$  &  $\bar{\psi}$ : Grassmann coordinates (fields).

# Supplementary Notes.

scalar:

$$\phi(\vec{x}) = \sum_{\vec{k}} e^{i\vec{k} \cdot \vec{x}} (\phi_{\vec{k}}^R + i\phi_{\vec{k}}^I) \quad \left( \phi_{-\vec{k}}^I = -\phi_{\vec{k}}^I, \phi_{\vec{k}}^R = \phi_{\vec{k}}^R \right)$$

$$H = \int d^3x \left( \frac{1}{2} (\dot{\phi})^2 + \frac{1}{2} (\nabla\phi)^2 + \frac{1}{2} m^2 \phi^2 \right)$$

$$= \text{vol}(\text{space}) \cdot \sum_{\vec{k}} \left( \frac{1}{2} (\dot{\phi}_{\vec{k}}^R)^2 + E_{\vec{k}} (\phi_{\vec{k}}^R)^2 \right) + \frac{1}{2} \left( (\dot{\phi}_{\vec{k}}^I)^2 + E_{\vec{k}} (\phi_{\vec{k}}^I)^2 \right)$$

In the operator formalism.

$$\phi_{\vec{k}}^R = \frac{1}{\sqrt{2E_{\vec{k}}}} \frac{1}{2} (a_{\vec{k}} + a_{-\vec{k}}^\dagger + a_{-\vec{k}} + a_{\vec{k}})$$

$$\phi_{\vec{k}}^I = \frac{1}{\sqrt{2E_{\vec{k}}}} \frac{1}{2i} (a_{\vec{k}} - a_{-\vec{k}}^\dagger + a_{-\vec{k}} - a_{\vec{k}})$$

$$[a_{\vec{k}}, a_{\vec{g}}^\dagger] = \delta_{\vec{k}, \vec{g}}$$

The path integral measure should be

$$\mathcal{D}\phi \mathcal{D}\pi := \prod_{\vec{k}, t} \left[ \mathcal{D}\phi_{\vec{k}}^R(t) \mathcal{D}\pi_{\vec{k}}^R(t) \mathcal{D}\phi_{\vec{k}}^I(t) \mathcal{D}\pi_{\vec{k}}^I(t) \right] \approx \prod_{\vec{k}, t} \left[ \mathcal{D}\phi(\vec{k}, t) \mathcal{D}\pi(\vec{k}, t) \right]$$

$$\left( \prod_{\vec{k}}^{N_{\vec{k}}} = \text{vol}(\text{space}) \dot{\phi}_{\vec{k}}^{N_{\vec{k}}} \right)$$

Dirac theory:

In the operator formalism  $\Psi(\vec{x}) = \sum_{\vec{k}, s} \frac{1}{\sqrt{2E_{\vec{k}}}} \left( u_{\vec{k}, s} a_{\vec{k}} e^{i\vec{k} \cdot \vec{x}} + v_{\vec{k}, s} b_{\vec{k}}^\dagger e^{-i\vec{k} \cdot \vec{x}} \right)$

$$\mathcal{H}_{\text{Hilb.sp.}} \cong \bigotimes_{\vec{k}, s, \uparrow, \downarrow} \left( \mathbb{C}_{(\vec{k}, s, \uparrow)}^2 \right) \otimes \mathcal{H}_{\text{other}}$$

$\uparrow$   $f, \bar{e}, e$        $\uparrow$  2-state system in week 2.  
 $\leftarrow$   $\gamma, \text{etc.}$  if there is any.

$\Rightarrow$  Introduce Grassmann-odd variables and make replacements

$$a_{\vec{k}, s} \rightarrow \theta_{\vec{k}, s}, e \rightarrow \bar{\theta}_{\vec{k}, s}, e$$

$$b_{\vec{k}, s} \rightarrow \theta_{\vec{k}, s}, e \rightarrow \bar{\theta}_{\vec{k}, s}, e$$

In the path integral formulation,

$$\Psi(\vec{x}, t) = \sum_{\vec{k}, s} \frac{1}{\sqrt{2E_{\vec{k}}}} \left( u_{\vec{k}, s} \theta_{\vec{k}, s}(t) e^{i\vec{k} \cdot \vec{x}} + v_{\vec{k}, s} \bar{\theta}_{\vec{k}, s}(t) e^{-i\vec{k} \cdot \vec{x}} \right)$$

and the measure is

$$\mathcal{D}\Psi \mathcal{D}\bar{\Psi} := \prod_{\vec{k}, s, \uparrow, \downarrow} \left[ \mathcal{D}\theta_{\vec{k}, s, \uparrow}(t) \mathcal{D}\bar{\theta}_{\vec{k}, s, \uparrow}(t) \right] \approx \prod_{\vec{k}, s, \uparrow, \downarrow} \left[ d\theta_{\vec{k}, s, \uparrow} d\bar{\theta}_{\vec{k}, s, \uparrow} \right]$$

$f, \bar{e}, e$

## § 9.2 Partition function, free energy, effective action (formal)

We call the followings as the partition function:

$$\begin{aligned}
 Z(\beta, \text{theory}) &::= \text{Tr}_{\text{Hilb sp}} (e^{-\beta H}) = \sum_{\text{all states}} e^{-\beta E_i} \\
 &= \int_{\mathcal{D}\pi \mathcal{D}\phi} e^{-\int d^d x \int_0^\beta dt \mathcal{H} + i \int d^d x \int_0^\beta dt \pi(\partial_t \phi)} \\
 Z(\text{theory}) &::= \int_{\text{the prescn.}} \mathcal{D}\pi \mathcal{D}\phi e^{\int d^d x \int_0^\beta dt \{-\mathcal{H} + i\pi(\partial_t \phi)\}}
 \end{aligned}$$

$\beta \rightarrow \infty$   
 $(T \rightarrow 0)$   
 limit.

The following generalizations are useful and are also called partition functions.

$$\begin{aligned}
 Z(\beta, \text{theory}, J_1(\vec{x})) &::= \int_{\mathcal{D}\pi \mathcal{D}\phi} e^{\int d^d x \int_0^\beta dt \{-\mathcal{H} + i\pi(\partial_t \phi) + J_1(\vec{x}) J_2(\vec{x})\}} \\
 Z(\text{theory}, J_1(\vec{x}, t)) &::= \int_{\text{the prescn.}} \mathcal{D}\pi \mathcal{D}\phi e^{i \int d^d x \int_0^\beta dt \{-\mathcal{H} + \pi(\partial_t \phi) + O_2(\vec{x}, t) J_2(\vec{x}, t)\}}
 \end{aligned}$$

They are the generating functions of various  $T$ -ordered

correlation functions.

$$\langle O_2(\vec{x}) \rangle_{\text{th. eq.}}^{J_1=0} = \frac{1}{\beta} \frac{\partial}{\partial J_1(\vec{x})} \ln \left( Z(\beta, \text{theory}, J_1(\vec{x})) \right) \Big|_{J=0}$$

If  $\langle O_2(\vec{x}) \rangle_{\text{th. eq.}}^{J_1=0} \sim$  linear in  $J_1(\vec{x}) \equiv: \chi \cdot J_1(\vec{x})$

then

$$\begin{aligned}
 \chi &= \left[ \frac{\partial}{\partial J_1(\vec{q})} \left( \langle O_2(\vec{x}) \rangle_{\text{th. eq.}}^{J_1 \neq 0} \right) \right] \Big|_{J=0} = \left[ \frac{1}{\beta} \frac{\partial^2}{\partial J_1(\vec{q}) \partial J_1(\vec{x})} \ln \left( Z(\beta, J_1(\vec{x})) \right) \right] \Big|_{J=0} \\
 &= \beta \left( \langle O_2(\vec{q}) O_2(\vec{x}) \rangle_{\text{th. eq.}}^{J=0} - \langle O_2(\vec{q}) \rangle_{\text{th. eq.}}^{J=0} \langle O_2(\vec{x}) \rangle_{\text{th. eq.}}^{J=0} \right)
 \end{aligned}$$

The linear response relation,

(The susceptibility is given by a 2-pt function (fluctuation))

Ex: In a free theory  $\mathcal{L} = \frac{1}{2} \partial_\mu \phi^2 - \frac{1}{2} m^2 \phi^2$

$$Z(m, J) := \int_{+\text{i}\epsilon}^{\infty} \mathcal{D}\phi e^{i \int d^4x \left( \frac{1}{2} (\partial\phi)^2 - \frac{1}{2} m^2 \phi^2 + J(x)\phi(x) \right)}$$

$$= \int_{\text{PER}^d} \mathcal{D}\tilde{\phi}(p) e^{i \int \frac{d^4p}{(2\pi)^{d+1}} \frac{1}{2} \tilde{\phi}(p)^* (p^2 - m^2) \tilde{\phi}(p) + (J(p)^* \tilde{\phi}(p) + \tilde{\phi}(p) \tilde{J}(p)) \frac{1}{2}}$$

$$= \int_{\text{PER}^d} \mathcal{D}\tilde{\phi}(p) e^{i \int \frac{d^4p}{(2\pi)^{d+1}} \frac{1}{2} (\tilde{\phi}(p) + \frac{1}{p^2 - m^2} \tilde{J}(p))^* (p^2 - m^2) (\tilde{\phi}(p) + \frac{1}{p^2 - m^2} \tilde{J}(p))}$$

$$= i \int \frac{d^4p}{(2\pi)^{d+1}} \frac{1}{2} \tilde{J}(p) \frac{1}{p^2 - m^2} \tilde{J}(p)$$

$$\propto e^{-i \int \frac{d^4p}{(2\pi)^{d+1}} \frac{1}{2} \tilde{J}(p) \frac{1}{p^2 - m^2} \tilde{J}(p)} \times \text{const}(m, J)$$

So

$$\frac{\langle \mathcal{O}_1 \mathcal{T} \{ \tilde{\phi}(p)^* \tilde{\phi}(p) \} \rangle_{\mathcal{L}}}{\langle \mathcal{O}_1 \mathcal{O}_2 \rangle_{\mathcal{L}(\text{ren}, \langle \mathcal{O}_1 \mathcal{O}_2 \rangle = 1)}} = - \frac{\partial^2}{\partial \tilde{J}(p) \partial \tilde{J}(p)^*} \ln(Z(m, J)) \Big|_{J=0}$$

$$= - \frac{\partial^2}{\partial \tilde{J}(p) \partial \tilde{J}(p)^*} \left( (-i) \int \frac{d^4p}{(2\pi)^{d+1}} \frac{1}{2} \tilde{J}(p) \frac{1}{p^2 - m^2} \tilde{J}(p) \right) \Big|_{J=0}$$

$$= (2\pi)^{d+1} \delta^{d+1}(p-p') \frac{i}{(p^2 - m^2)}$$

as expected.

$$Z(\beta, \text{theory}, J_1(\vec{x}^1)) =: e^{-\beta F(\beta, \text{theory}, J_1(\vec{x}^1))}$$

$$Z(\text{theory}, J_1(\vec{x}^1)) =: e^{-i \int d^4x dt \mathcal{I}(\text{theory}, J_1(\vec{x}^1))}$$

F: called the free energy.

Two questions will come to our minds then.

⊙ How is the Landau-Ginzburg theory related to  $F(\beta, \text{thry}, J_1(\vec{x}))$ ?

⊙ In thermodynamics / statistical mechanics, we say that

the "phase 1" is realized when " $F(\beta, \text{thry}, \text{phase 1}) < F(\beta, \text{thry}, \text{phase 2})$ ."

How do we compute " $F(\beta, \text{thry}, \text{phase})$ "?

Here is a GFT version of such stories.

a phase  $\Leftrightarrow$  an ansatz on which set of operators have non-zero expectation values.

(order parameters  $\Leftrightarrow$  hypothetically chosen operator expectation values)

Suppose that there is a choice  $J_1(\vec{x})$  that reproduces

an order parameter  $\langle O_1(\vec{y}) \rangle_{\text{th. eq.}} = - \frac{\partial}{\partial J_1(\vec{y})} (F(J_1(\vec{x})))$ .

(we have a  $J_1(\vec{x}) \Leftrightarrow \langle O_1(\vec{y}) \rangle_{\text{th. eq.}}$  dictionary then.)

Define

$-F_{\text{th. eq.}}[\langle O_1(\vec{y}) \rangle_{\text{th. eq.}}] := (F[J_1(\vec{x})] + \int d^d x J_1(\vec{x}) \langle O_1(\vec{x}) \rangle_{\text{th. eq.}})$  all  $J$ 's translated to  $\langle O \rangle_{\text{th. eq.}}$

Legendre transformation w.r.t.  $J_1(\vec{x})$

Then  $-\frac{\partial}{\partial \langle O_1(\vec{y}) \rangle_{\text{th. eq.}}} (F_{\text{th. eq.}}[\langle O_1(\vec{y}) \rangle_{\text{th. eq.}}]) = J_1(\vec{y})$

so the order parameters that may be realized

in the original theory ( $J_1(\vec{x})=0$ ) should satisfy

$-\frac{\partial}{\partial \langle O_1(\vec{y}) \rangle_{\text{th. eq.}}} F_{\text{th. eq.}}[\langle O_1(\vec{y}) \rangle_{\text{th. eq.}}] = 0$

The order parameter  $\langle O(\vec{x}) \rangle_{th.eg}$  may have  $\vec{x}$ -dependence  
 (eg. Potts transition)  
 $\langle \text{phonon } (k = \frac{\pi}{a}) \rangle$

In a phase with  $\langle O(\vec{x}) \rangle_{th.eg}$ .

$$F_{th.eg} =: - \int d^d \vec{x} V_{th.eg}(\langle O(\vec{x}) \rangle_{th.eg})$$

↳ the Landau-Ginzburg potential

( $\beta$ , theory,  $\langle O(\vec{x}) \rangle_{th.eg}$ )  
 ↑  
 order parameter.

In each phase

$$F(\beta, \text{theory, phase}) =: - F_{th.eg}(\beta, \text{theory, } \langle O(\vec{x}) \rangle)$$

$$\left. \frac{\partial F_{th.eg}}{\partial \langle O(\vec{x}) \rangle} = 0 \right\}$$

and we can apply the thermodynamical principle

“phase 1” realized when  $F(\text{phase 1}) < F(\text{phase 2})$ .

Example: in a condensed matter system with

$$\mathcal{H} = \sum_{s=\uparrow\downarrow} \left( \psi_s^\dagger(\vec{r}) \epsilon(\vec{r}) \psi_s(\vec{r}) \right) + (\psi_\uparrow^\dagger \psi_\downarrow)(\psi_\uparrow \psi_\downarrow) e$$

↑  
 induced from (photon) exchange.

magnetic phase  $\langle (\psi_\uparrow^\dagger \psi_\downarrow)(\vec{r}) \rangle \neq 0$ ,  $\langle (\psi_\uparrow^\dagger \psi_\uparrow)(\vec{r}) \rangle = 0$  for some  $\vec{r}$ .

super conducting phase  $\langle (\psi_\uparrow \psi_\downarrow) \rangle \neq 0$ .

For  $T \rightarrow 0$  ( $\beta \rightarrow \infty$ ) the vacuum case.

$$\langle O_2(x^M) \rangle_{\text{vac.}} = - \frac{\partial}{\partial J_1(x^M)} F = - \frac{\partial}{\partial J_2(x^M)} \left( \int d^d x F \right)$$

$$- \Gamma_{\text{vac}} [ \langle O_2(x^M) \rangle_{\text{vac.}} ] := \int d^d x \left\{ \mathcal{F} + J_2(x^M) \langle O_2(x^M) \rangle_{\text{vac.}} \right\}$$

(Legendre transformation)

The operator vacuum expectation value.

$$\text{should satisfy } \frac{\delta}{\delta \langle O_2(x^M) \rangle_{\text{vac.}}} \Gamma [ \langle O_2(x^M) \rangle_{\text{vac.}} ] = 0.$$

$\Gamma_{\text{vac}} [ \langle O_2(x^M) \rangle_{\text{vac.}} ]$  : called the effective action.