

THE SECOND STRING

(PHENOMENOLOGY)

REVOLUTION

L. E. Ibáñez

Strings 99 - Potsdam

July 1999

String revolutions : 1985, 1995,

String (phen.) revolutions: 1988, 1998,

(3 years = time it takes to construct explicit
 $D=4, N=1$ string vacua)

→ Progress is (sometimes) realizing
what we do not know

→ e.g. Now we know that we
do not even know what is the
fundamental scale of the theory,
Mstring !

String Phenomenology \equiv How is $SU(3) \times SU(2) \times U(1)$
MODEL EMBEDDED INTO
STRING THEORY

Pre-1995 Orthodoxy:

* Only heterotic, mostly $E_8 \times E_8$

* $M_{\text{STRING}} = g M_{\text{PLANCK}}$ (no other choice)

* M_{WEAK} SCALE GENERATED BY GAUGINO

CONDENSATION $\langle \lambda \lambda \rangle \neq 0$

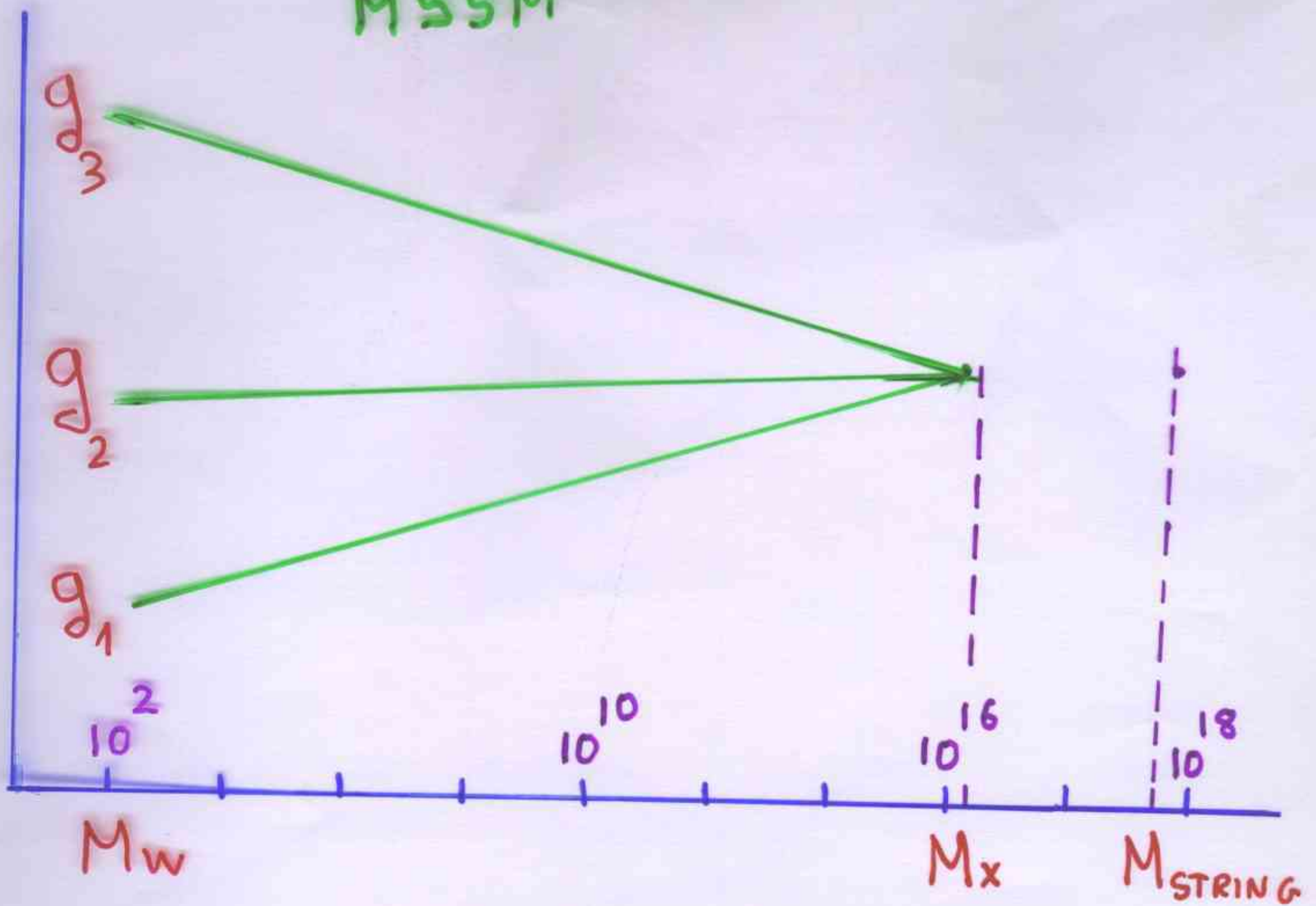
* Gauge coupling constants nicely unify
at $M_X \approx 2 \times 10^{16} \text{ GeV}$. M_X / M_{string}
GAP problem

* Some nice examples of 3 quark-lepton
generations string vacua: \mathbb{Z}_N orbifolds,
Fermionic constructions, CY's.

* (dilaton/moduli stabilization, susy-breaking,
vacuum degeneracy, problems...)

LEP DATA EXTRAPOLATION:

MSSM



←→ GAP !

- LARGE THRESHOLD CORRECTIONS ?
- EXTRA FIELDS BEYOND MSSM ?

AFTER 95-98 :

- * Type I, Type II, F-theory, M-theory provide for new $D=4$, $N=1$ string vacua (end of heterotic monopoly)
- * number of extra dimensions felt by gauge fields and gravity fields may be in general different
- * M_{STRING} UNKNOWN
phen. : $M_s \gtrsim 1 \text{ TeV}$

NEW D=4, N=1 STRING VACUA

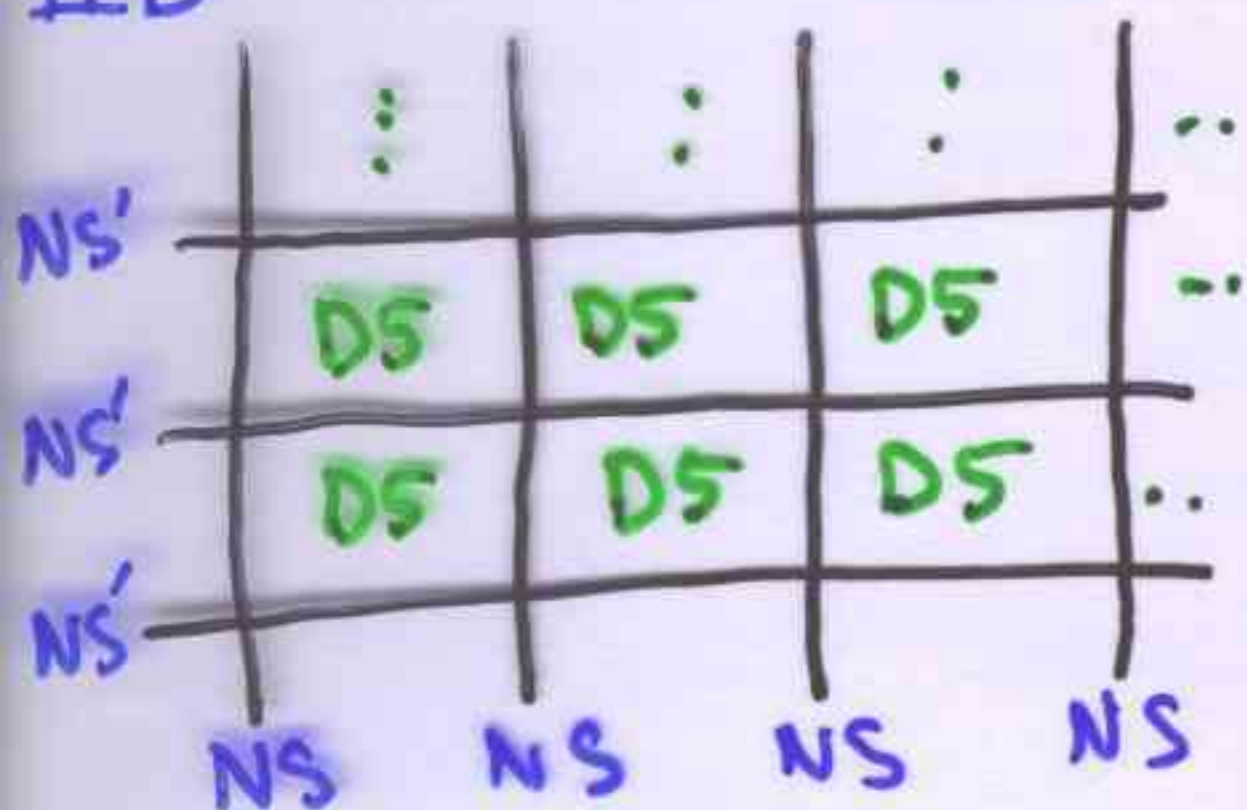
i) F-THEORY ON 4-folds. Important to develop further. Complicated to extract detailed information (e.g. $U(1)$ charges, couplings, etc.)

ii) Brane arrays (a. la Hanany-Witten, ...)

e.g. brane boxes

Hanany-Zafarani
Hanani-Urauga
...

IIB



N=1, D=4 Type IIB vacua

(T-DUAL TO D3-branes
at Z_N singularity)

BUT THESE ARE NON-COMPACT MODELS,
NO USE AS UNIFIED THEORIES... ?

iii) M-th on $CY \times S^1/Z_2$ (see Ovrut's talk)

iv) Type I = COMPACT TYPE IIB, D=4, N=1 ORIENTIFOLDS

- Explicit, perturbative string vacua
- Show already many new features (like $M_5 \ll M_{pl}$, large gauge groups, multiple anomalous $U(1)$'s etc.)

D=4, N=1, IIB ORIENTIFOLDS WITH 9,5-branes

IIB ON $T^6 / \left\{ \begin{array}{l} \mathbb{Z}_N \\ \mathbb{Z}_N \times \mathbb{Z}_M \end{array} , \Omega \right\}$

Sagnotti et al.
Karkushadze, Iyo, Shiu
Berkooz-L Leigh.
Aldazabal et al.
Zwout
Lynnen, Poppitz, Triv.

$\Omega \rightarrow$ 9-branes

if N even \rightarrow 5-branes

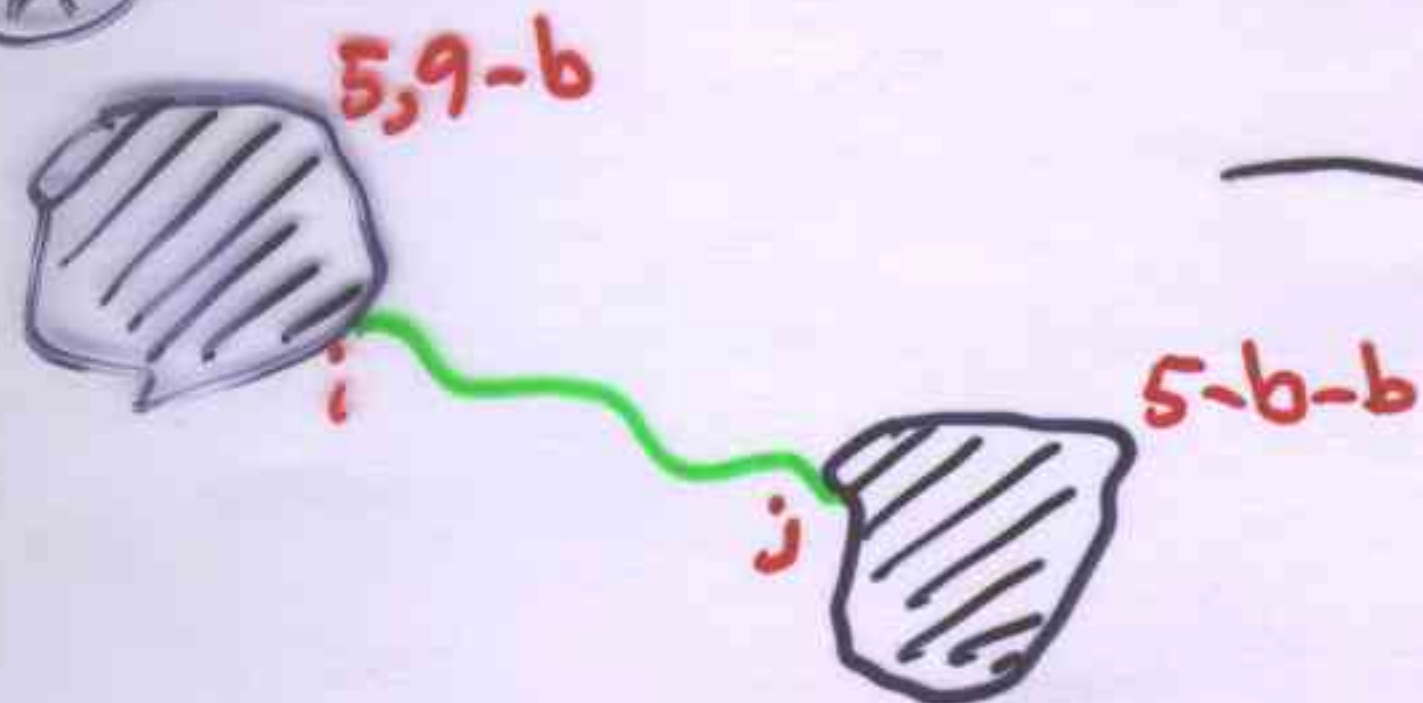
e.g. \mathbb{Z}_N : $\theta(z_1, z_2, z_3) = (e^{iV_1} z_1, e^{iV_2} z_2, e^{iV_3} z_3)$
 $\bar{V} = \frac{1}{N} (a, b, c)$, $a+b+c = 0 \pmod N$

* CLOSED STRINGS :



GRAVITY
+
UNTWISTED + TWISTED SINGLETS
(analogous to those in (2,2) heterotic compactifications)

* OPEN STRINGS



$\lambda_{ij} \Psi_{-\gamma_2}^M |0\rangle$

\hookrightarrow CP matrix
(orthogonal in these models)

TWIST : $\theta^n \rightarrow \gamma_{\theta^n}$ unitary acting on CP

unbroken group : $\lambda_{ij} = \gamma_{\theta} \lambda \gamma_{\theta}^{-1}$

charged matter : $\lambda^{(a)} = e^{iV_a} \gamma_{\theta} \lambda \gamma_{\theta}^{-1}$
etc.

(*) CONSISTENCY : TADPOLE CANCELATIONS

VACUUM AMPLITUDE DIVERGENCES

AS $L \rightarrow \infty$

C $\sum_k \left[\begin{array}{c} \text{Diagram 1: Cylinder with 9 on left, } k \text{ in middle, 9 on right} \\ \text{Diagram 2: Cylinder with 9 on left, } k \text{ in middle, 5 on right} \\ \text{Diagram 3: Cylinder with 5 on left, } k \text{ in middle, 5 on right} \end{array} \right]$

Gimon + Polchinski 96
G. + Johnson

MS $+ \sum_k \left[\begin{array}{c} \text{Diagram 4: Cylinder with } \Omega_k \text{ on left, } 2k \text{ in middle, 5 on right} \\ \text{Diagram 5: Cylinder with } \Omega_k \text{ on left, } 2k \text{ in middle, 9 on right} \end{array} \right]$

KB $\left[\begin{array}{c} \text{Diagram 6: Cylinder with } \Omega_k \text{ on left, } 2k \text{ in middle, } \Omega_k \text{ on right} \\ \text{Diagram 7: Cylinder with } \Omega_k \text{ on left, } 2k \text{ in middle, } \Omega_{k+\frac{N}{2}} \text{ on right} \end{array} \right]$

(*) CANCELATION REQUIRES :

*** $9-b = 32$**

*** $5-b = 32$**

↑ of each type

IN ADDITION, STRONG CONSTRAINTS ON γ_θ^n

e.g., Z_3 orientifold $\rightarrow [Tr \gamma_\theta = -4]$

Sagnotti et al. 96

SOMETIMES

(*) ALMOST UNIQUELY FIXES GAUGE GROUP AND MATTER FIELDS (MODULO WILSON LINES, B_{MN} backgrounds etc.)

* UNLIKE WHAT HAPPENS IN HETEROTIC ORBIFOLDS
THERE ARE CASES WITH NO CONSISTENT GAUGE
EMBEDDING:

th-9804026

G. Aldazabal + A. Font, Lo Ibañez, G. Violevo 98
G. Zwart

* $\mathbb{Z}_4, \mathbb{Z}_8, \mathbb{Z}_8', \mathbb{Z}_{12}$
 $\mathbb{Z}_2 \times \mathbb{Z}_4, \mathbb{Z}_4 \times \mathbb{Z}_4$ } TADPOLES DO NOT
CANCEL (INCONSISTENT)

* TADPOLES
CANCEL FOR:

}	$\mathbb{Z}_3, \mathbb{Z}_3 \times \mathbb{Z}_3, \mathbb{Z}_7$	no 5-b
	$\mathbb{Z}_6, \mathbb{Z}_6', \mathbb{Z}_{12}$ $\mathbb{Z}_3 \times \mathbb{Z}_6$	32 9-b + 32 5-b
	$\mathbb{Z}_2 \times \mathbb{Z}_2, \mathbb{Z}_2 \times \mathbb{Z}_6$ $\mathbb{Z}_2 \times \mathbb{Z}_6'$	32 9-b + 3 x 32 5-b

* "Semirealistic examples"

\mathbb{Z}_3 3 gen SU(5) Lykken et al. 98

\mathbb{Z}_6 3 gen SU(4) x SU(2) x U(1) Kakushadze + Tye 98

* D3-branes models are chiral only if they
sit at orbifold singularities!

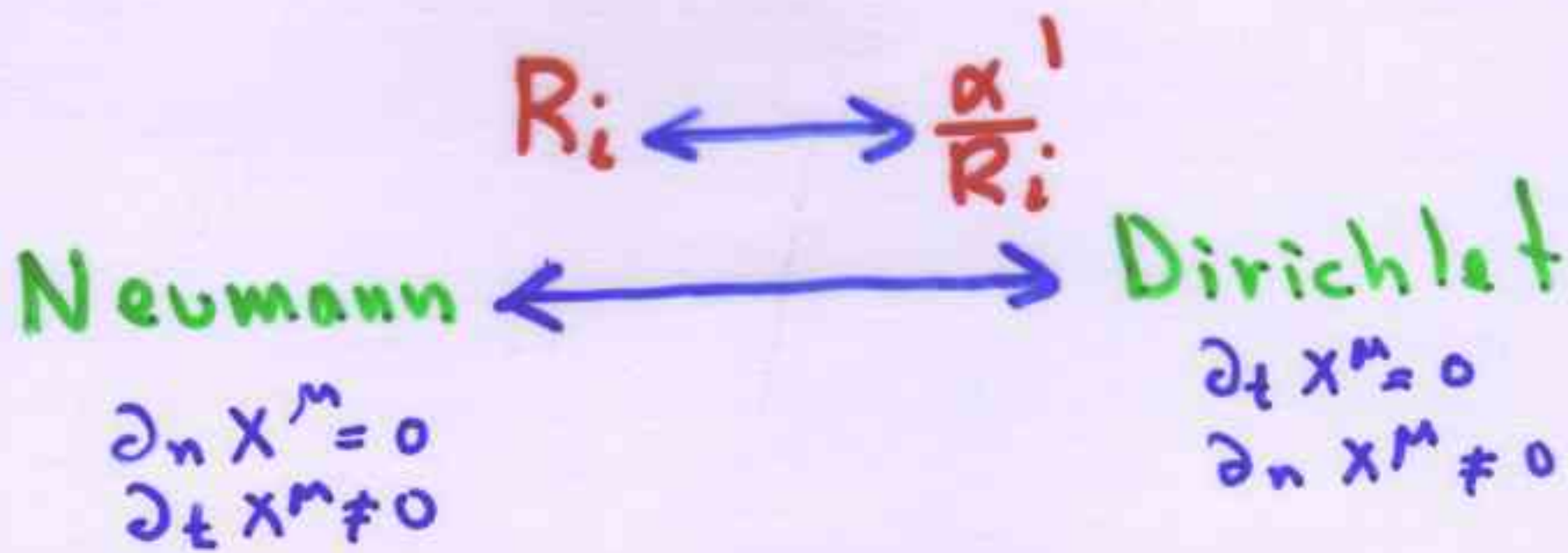
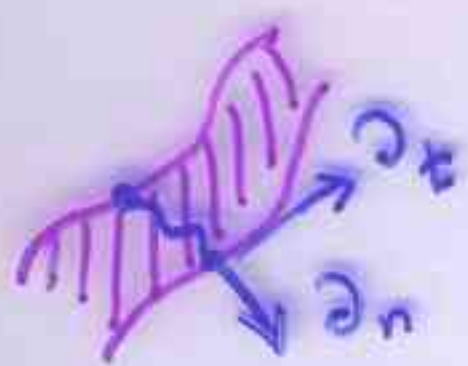
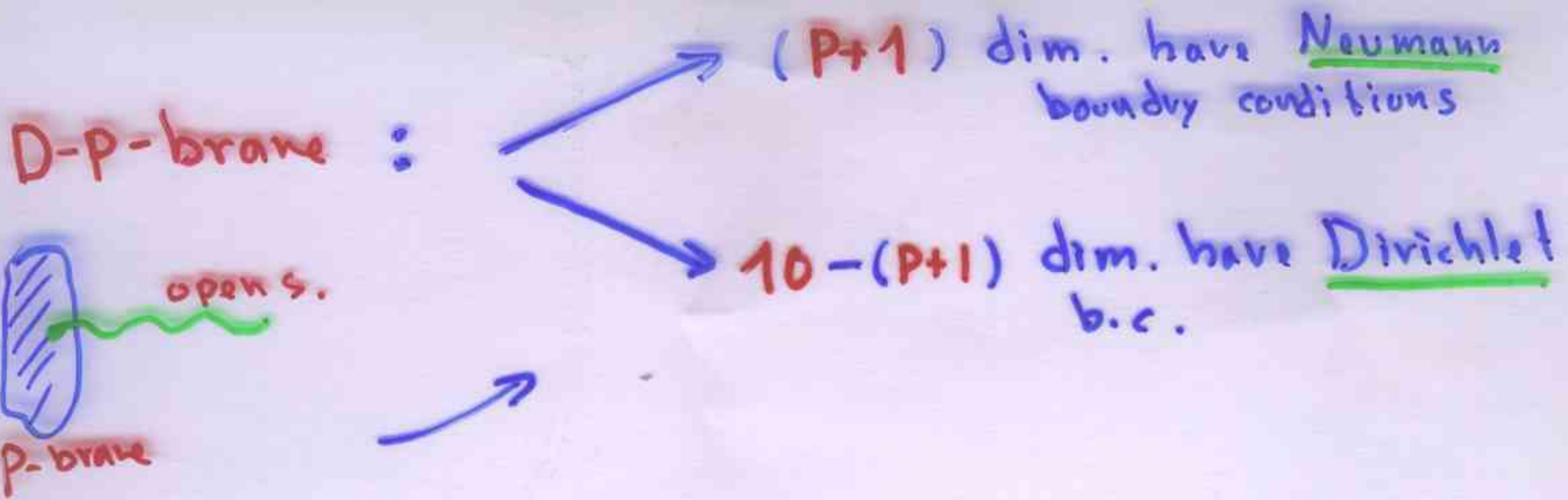
⇒ "MSSM is close to a singularity"

SOME Z_N , $Z_N \times Z_M$ IIB, $D=4$, $N=1$ ORIENTIFOLDS

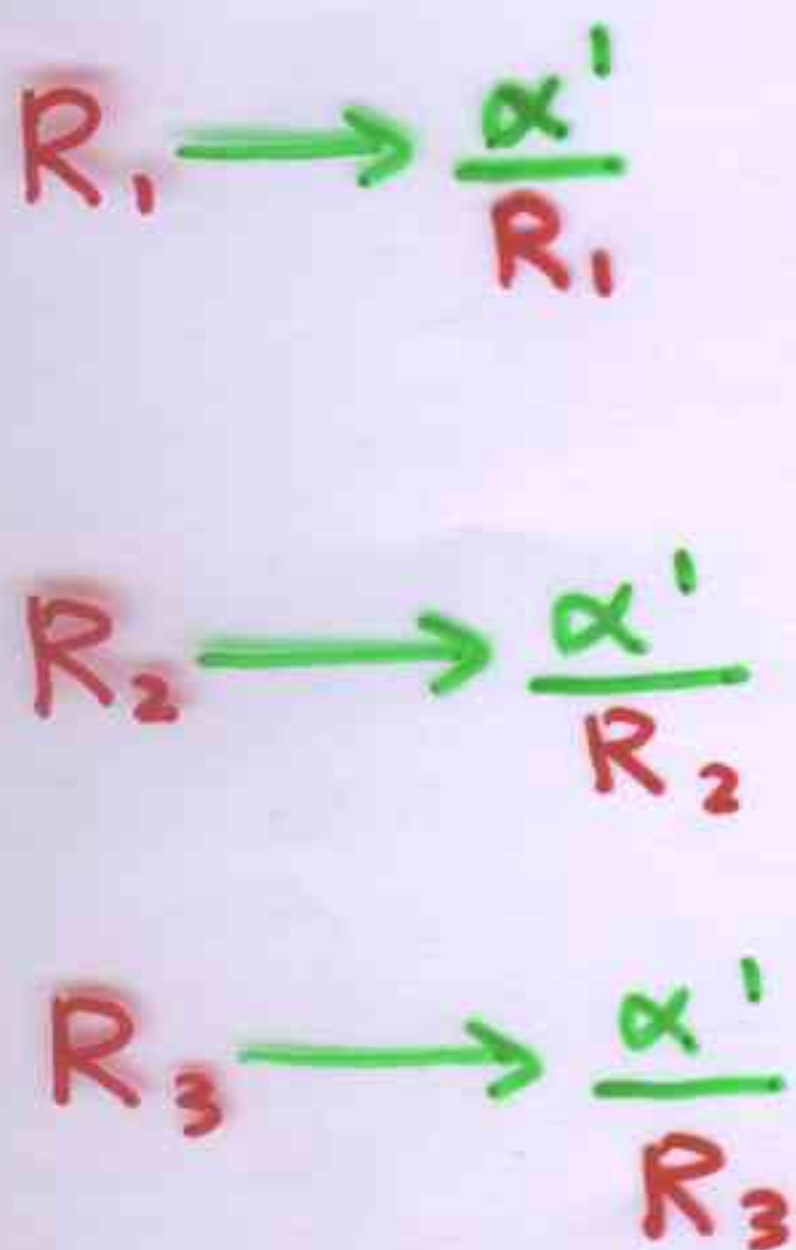
Twist Group	(99)/(55) matter	(95) matter
Z_3 $U(12) \times SO(8)$	$3(12, 8) + 3(\bar{6}\bar{6}, 1)$	-
$Z_3 \times Z_3$ $U(4)^3 \times SO(8)$	$(4, 1, 1, 8_v) + (\bar{4}, \bar{4}, 1, 1) + (6, 1, 1, 1, 1)$	-
Z_7 $U(4)^3 \times SO(8)$	$(4, 1, 1, 8_v) + (\bar{4}, \bar{4}, 1, 1) + (6, 1, 1, 1, 1)$	-
Z_6 $(U(4)^2 \times U(8))^2$	$(4, 1, 8) + (1, \bar{4}, \bar{8}) + (6, 1, 1) +$ $(\bar{4}, 1, 8) + (1, 4, \bar{8}) + (4, \bar{4}, 1)$ $+ (1, 1, 28) + (1, 1, \bar{28}) + (4, 4, 1) + (\bar{4}, \bar{4}, 1)$	$(4, 1, 1; 4, 1, 1) + (1, \bar{4}, 1; 1, \bar{4}, 1) +$ $+ (1, 4, 1; 1, 1, 8) + (1, 1, 8; 1, 4, 1) +$ $(\bar{4}, 1, 1; 1, 1, \bar{8}) + (1, 1, \bar{8}; \bar{4}, 1, 1)$
Z'_6 $(U(6)^2 \times U(4))^2$	$2(15, 1,) + 2(1, \bar{15}, 1) + 2(1, 6, 4) +$ $2(\bar{6}, 1, 4) + (1, 6, \bar{4}) + (6, \bar{6}, 1)$ $+ (\bar{6}, 1, 4)$	$(6, 1, 1; 6, 1, 1) + (1, \bar{6}, 1; 1, \bar{6}, 1) +$ $(1, 6, 1; 1, 1, 4) + (1, 1, 4; 1, 6, 1) +$ $(\bar{6}, 1, 1; 1, 1, \bar{4}) + (1, 1, \bar{4}; \bar{6}, 1, 1)$
$Z_3 \times Z'_6$ $(U(2)^6 \times U(4)^2)^2$	$(2, 2, 1^5) + (1^2, 2, 2, 1^3) + (1^4, 2, 2, 1)$ $(1^4, 2, 1, 4) + (1^5, 2, \bar{4}) + 4(1^7) + (2, 1^5, 4)$ $+ (1^2, 2, 1^3, \bar{4}) + (2, 1^4, 2, 1) + (1^2, 2, 1, 2, 1^2) +$ $+ (1, 2, 1^4, \bar{4}) + (1^3, 2, 1^2, 4)$	$(2, 1^6; 1, 2, 1^5) + (1^2, 2, 1^4; 1^3, 2, 1^3) +$ $(1^4, 2, 1^2; 1^5, 2, 1)(1^4, 2, 1^2; 1^6, 4) +$ $(1^5, 2, 1; 1^6, \bar{4})$ + same with groups reversed
Z_{12} $(U(3)^4 \times U(2)^2)^2$	$(\bar{3}, 1, \bar{3}, 1, 1, 1) + (3, 1, 1, 1, 2, 1)$ $+ 2(1, 3, 1, 1, 2, 1) + 2(3, 1, 1, 1, 1, 2)$ $+ 2(1, 1, 1, 3, 2, 1) + 2(1, 1, 3, 1, 1, 2)$ $+ (3, 1, 1, 1, 1, 1)$	$(\bar{3}, 1^5; 1^2, \bar{3}, 1^3) + (1, 3, 1^4; 1^4, 2, 1) +$ $+ (3, 1^5; 1^5, 2) + (1^3, 3, 1^2; 1^4, 2, 1)$ $+ (1, \bar{3}, 1^4; 1^3, \bar{3}, 1^2) + (1^2, 3, 1^3; 1^5, 2)$ + same with groups reversed

Table 1: Gauge group and charged chiral multiplets in some Z_N and $Z_N \times Z_M$ $D=4$, $N=1$ Type IIB orientifolds with GP-like projection. Only models with at most one set of fivebranes are shown. All fivebranes are supposed to sit at the same fixed point so that in models with fivebranes the spectrum is explicitly T-dual.

Sagnotti et al
 Kavushadze, Shiu, Tye
 Zwart
 Aldazabal, Font, L. I., Violevo



T-DUALITIES



9	5 ₁	5 ₂	5 ₃
↓	↓	↓	↓
7 ₁	3	7 ₃	7 ₂
↓	↓	↓	↓
5 ₃	5 ₂	5 ₁	9
↓	↓	↓	↓
3	7 ₁	7 ₂	7 ₃

So the same model may be described in terms of different p-brane background

GAUGE COUPLING UNIFICATION IN TYPE I

$$D=10 \quad S = \int dx^{10} \sqrt{g} \left(\frac{M_I^8}{\lambda_I^2} R + \frac{M_I^6}{\lambda_I} F_{(a)}^2 + \dots \right)$$

↓ COMPACT VOLUME $V = M_c^{-6}$ Witten

$$D=4 \quad S = \int dx^4 \sqrt{g} \left(\frac{M_I^8}{M_c^6 \lambda_I^2} R + \frac{M_I^6}{M_c^6 \lambda_I} F_{(9)}^2 + \right. \\ \left. + \sum_{j=1}^3 \frac{M_I^4}{M_c^4 \lambda_I} F_{(7_j)}^2 + \sum_{i=1}^3 \frac{M_I^2}{M_c^2 \lambda_I} F_{(5_i)}^2 + \frac{1}{\lambda_I} F_{(3)}^2 \right)$$

$$\left[M_{\text{Planck}}^2 = \frac{8}{\lambda_I^2} \frac{M_I^8}{M_c^6} \right] ; \left[\alpha_p = \frac{\lambda_I}{2} \left(\frac{M_c}{M_I} \right)^{p-3} \right]$$

$p = 9, 7, 5, 3$

$$2 \times 10^{17} \text{ GeV} = \left[\frac{\alpha_p M_{\text{Planck}}}{\sqrt{2}} = \frac{M_I^{(7-p)}}{M_c^{(6-p)}} \right]$$

Witten
96

(in heterotic $\frac{\alpha' M_{\text{Planck}}}{2} = M_I$)

e.g., SM \in 3-branes ($p=3$)

$$M_s^4 = \frac{2}{\sqrt{3}} M_c^3 M_{\text{PLANCK}}$$

M_s MAY BE ARBITRARILY LOW BY Lykken, '96

LOWERING M_c

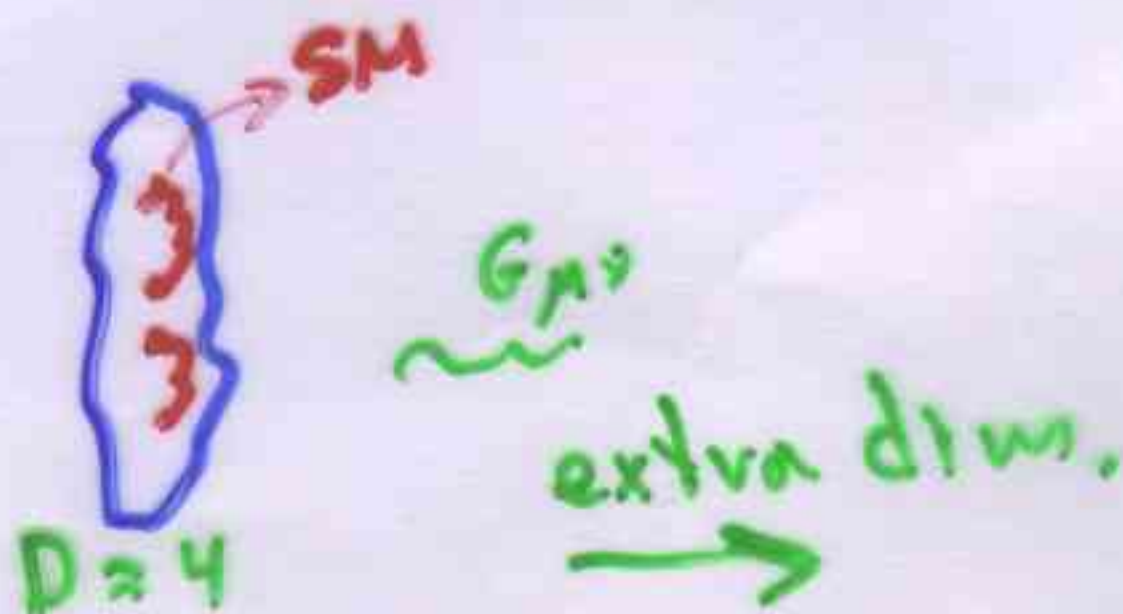
Antontadis, '98
Arkani-Hamed, Dimopoulos
Dvali

PHENOMENOLOGICALLY: $M_s \gtrsim 1 \text{ TeV}$

BUT $M_c \ll 1 \text{ TeV}$ ALLOWED !!

⊗ BECAUSE CHARGED FIELDS LIVE
ONLY IN FOUR DIMENSIONS (IRRESPECTIVE
OF M_c)

⊗ CHARGED FIELDS DO NOT HAVE KK
EXCITATIONS, ONLY GRAVITATIONAL
SECTOR HAS, BECAUSE OPEN STRINGS HAVE
TO END ON 3-BRANES.



What is

Ms ?

M_S ONLY CONSTRAINED BY PHENOMENOLOGICAL
CONSIDERATIONS !!

SOME PHYSICALLY MOTIVATED OPTIONS:

0

i

$$M_S \approx M_{GUT} = \text{gauge coupling unification mass}$$

$2 \times 10^{16} \text{ GeV}$
Witten

ii

$$M_S \approx 1 \text{ TeV}$$

Antoniadis,
Arkani-Hamed,
Dimopoulos, Dvali

iii

$$M_S \approx \sqrt{M_W M_{\text{PLANCK}}}$$

$$\approx 10^{11} \text{ GeV}$$

Benakli
Burgess, L.I., Quevedo

(i)
$$\left[M_S = M_X \approx 2 \times 10^{16} \text{ GeV} \right] ?$$

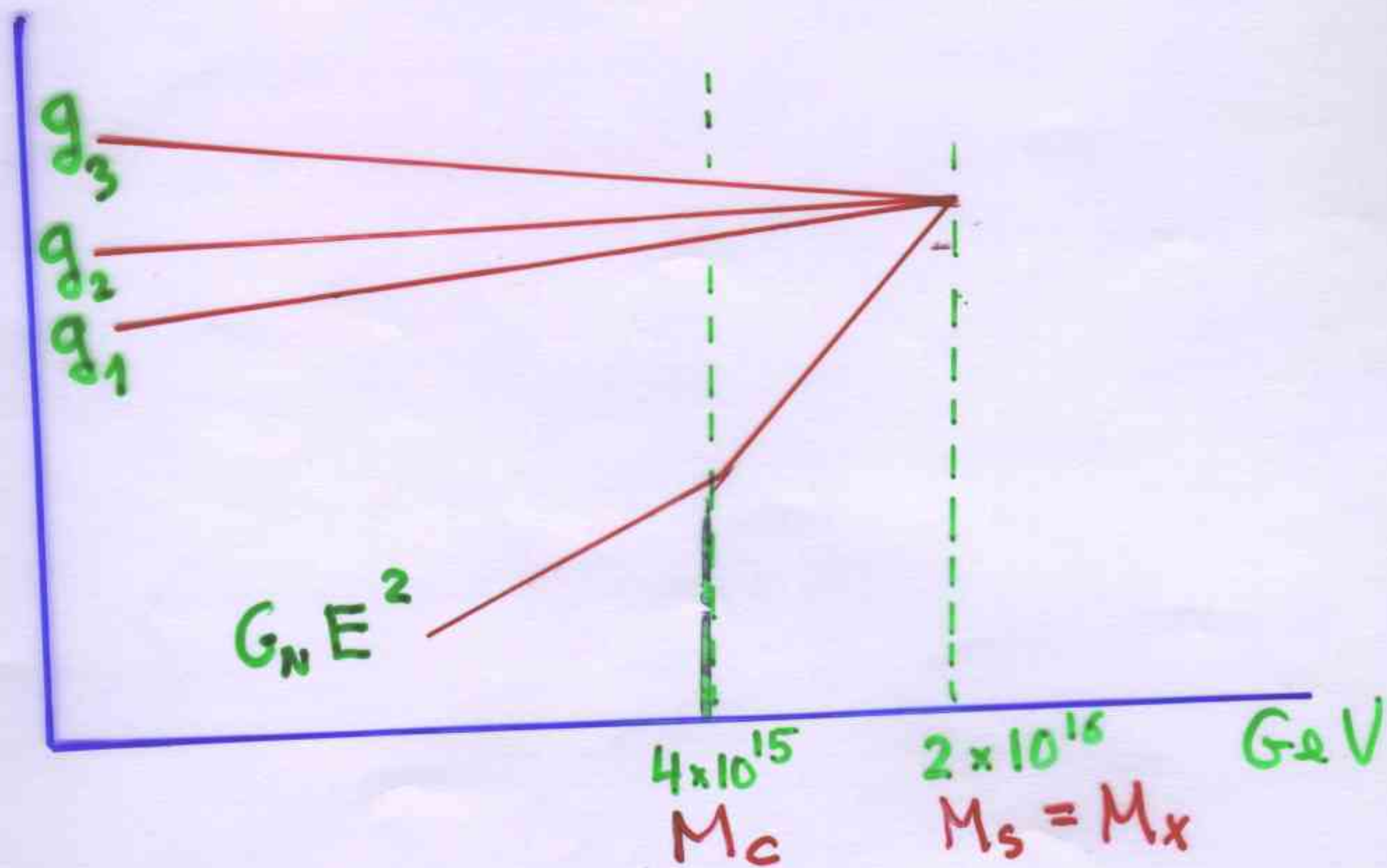
"GRAND UNIFICATION" MASS

e.g. MSSM in 3-branes:

$$M_S^4 = \frac{\alpha}{4\pi} M_C^3 M_{\text{PLANCK}}$$

enough to assume $M_C \approx \frac{1}{5} M_X \approx 4 \times 10^{15} \text{ GeV}$

(*) Solves the unification "GAP" problem: Witten 96



(*) what is the origin of the M_W / M_{PLANCK} hierarchy?

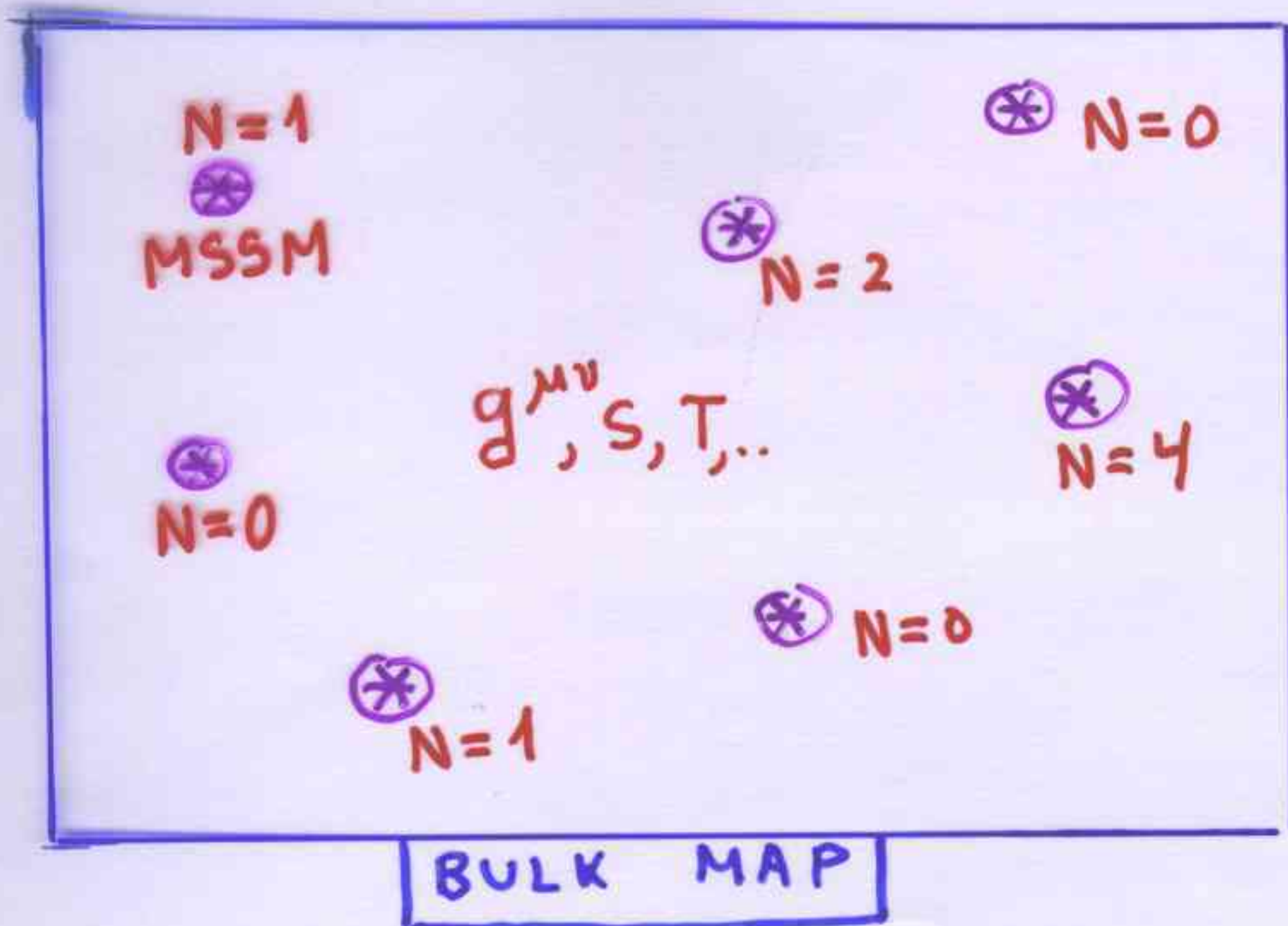
ARGUE ASSUMING

$$M_s \lesssim \sqrt{M_{\text{weak}} M_{\text{PLANCK}}} \approx 10^{11} \text{ GeV}$$

MIGHT BE PHENOMENOLOGICALLY SAFER!

LJ, Munoz, Rieglin
98

* BULK OF 6 COMPACT DIMENSIONS:



⊗ = 3-brane stacks

⊗ N=0, NON-SUSY BRANES

$$\text{EXPECT: } m_{3/2} \approx \frac{F_{\text{SB}}}{M_{\text{PLANCK}}} \approx \frac{M_s^2}{M_{\text{PLANCK}}}$$

In our N=1 branes:

$$M_w \approx M_{\tilde{g}}, M_{\tilde{q}}, M_{\tilde{t}} \approx m_{3/2}$$

$$\Rightarrow M_s \approx \sqrt{m_{3/2} M_{\text{PLANCK}}} \lesssim \sqrt{M_w M_{\text{PLANCK}}} \approx 10^{11} \text{ GeV}$$

BETTER TO HAVE $M_s \lesssim 10^{11} \text{ GeV}$ TO AVOID TOO BIG SUSY-BREAKING EFFECTS FROM GENERIC N=0 BRANE SECTORS

HOW SMALL COULD M_s BE ?

* One can go as low as :

$$[M_s \approx 1-10 \text{ TeV}]$$

WITHOUT ANY EXP.
CONTRADICTION

(Antoniadis, Arkani-Hamed,
Dimopoulos, Dvali 98)

by taking :

$$\begin{cases} M_c \approx 10^{-5} M_s & (\text{ISOTROPIC COMP.}) \\ M_c \approx 10^{-15} M_s & (\text{ONLY 2 LARGE DIM}) \end{cases}$$

REPLACE THE INFAMOUS $M_w/M_p \approx 10^{-15}$ HIERARCHY PROBLEM

* IF LUCKY, quantum gravity could be checked at LHC

Challenges

- p-stability : only suppressed by $\frac{1}{(M_s)^n}$
(need symmetries !)

- Coupling unification : running stops at 1 TeV !

- Cosmology, ν -masses, FCNC, ...

(Antoniadis talk)

(Also : Dienes et al.
Sundrum
Shiu and Tye

98

Kakushadze + Tye

98

Benakli

Randall + Sundrum 99

)

(iii)

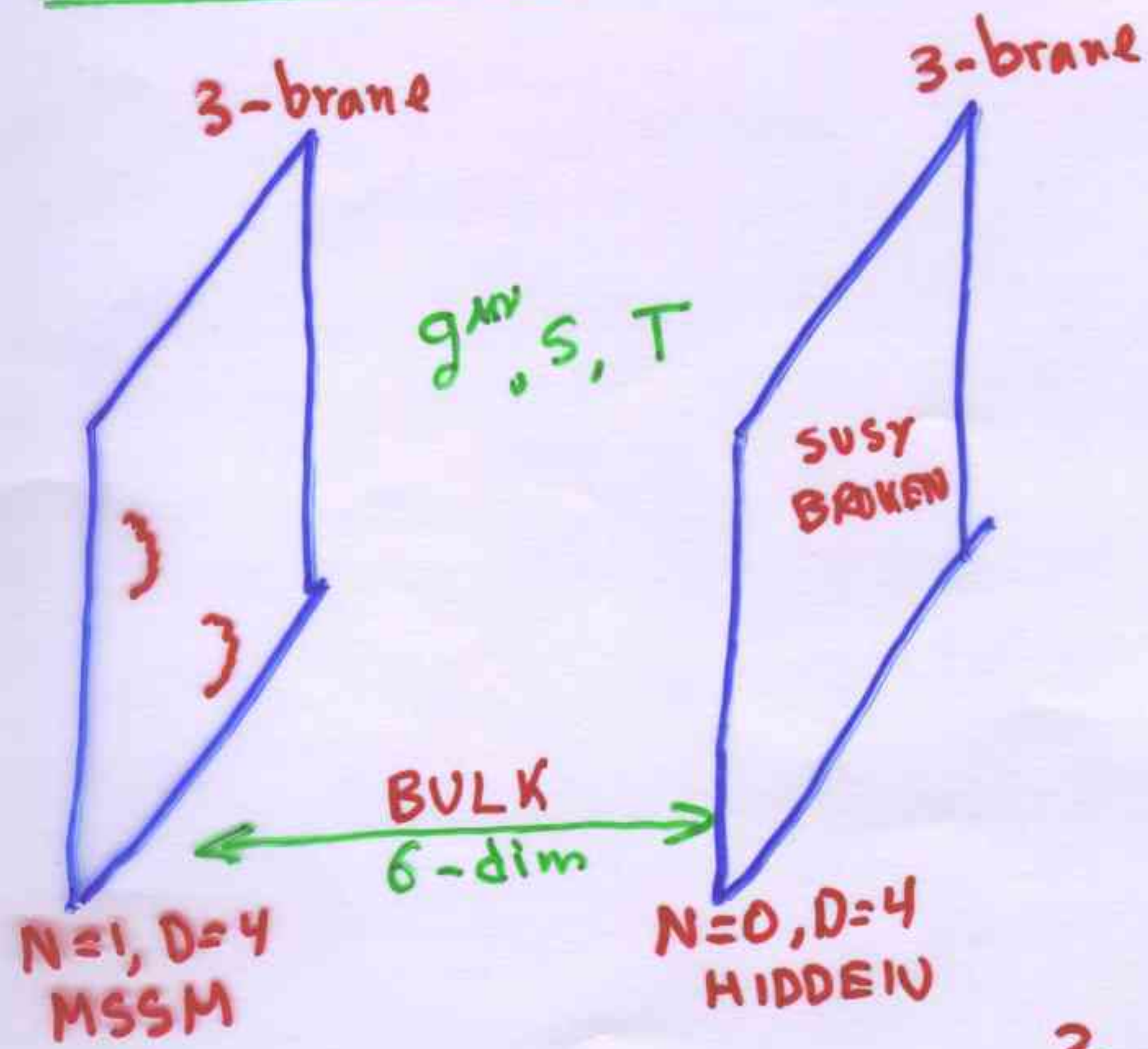
$$M_s \approx \sqrt{M_W M_{\text{PLANCK}}} \approx 10^{11} \text{ GeV}$$

Banerji
Burgess, Quevedo, L.I.
98

VIRTUES:

- (a) — Generation of M_W/M_P hierarchy
- (b) — Strong CP problem
- (c) — Gauge coupling unification?

(a) The M_W/M_{PLANCK} hierarchy



$$m_{3/2} \approx \frac{F_{\text{SB}}}{M_{\text{PLANCK}}} \approx \frac{M_s^2}{M_{\text{PLANCK}}}$$

expect $M_W \sim m_{3/2} \Rightarrow M_s \sim \sqrt{M_W M_{\text{PLANCK}}}$

$$M_W \approx \frac{M_S^2}{M_{\text{PLANCK}}} = \frac{\alpha}{\sqrt{2}} \frac{M_C^3}{M_S^2}$$

$$M_{\text{PLANCK}} = \frac{\sqrt{2}}{\alpha} \frac{M_S^4}{M_C^3}$$

⇒

$$\frac{M_W}{M_{\text{PLANCK}}} = \frac{\alpha^2}{2} \left(\frac{M_C}{M_S} \right)^6$$

Burgess, Quevedo, L.E.
98

ONE CAN UNDERSTAND THE M_W / M_{PLANCK}
HIERARCHY IN TERMS OF A MUCH MORE
MODEST HIERARCHY:

$$\frac{M_C}{M_S} \sim 10^{-2} \sim \alpha$$

(NO NEED FOR ANY EXTRA HIERARCHY-GENERATING MECHANISM LIKE GAUGINO CONDENSATION)

(b) Strong CP problem

Axion-like fields $\text{Im } M$ (from R-R twisted sectors)

$$\frac{\text{Im } M}{M_s} F_{\text{QCD}} \wedge F_{\text{QCD}}$$

(*) Cosmological and astrophysical bounds on axion decay constant $f_a \sim M_s$

$$10^{12} \text{ GeV} \gtrsim f_a \gtrsim 10^{11} \text{ GeV}$$

" M_s "

↳ just in the right place

(c) ν -masses

Expected operators:

$$\frac{a}{M_s} L L \bar{H} \bar{H} \longrightarrow L L \frac{\langle \bar{H} \rangle^2}{M_s} a$$

$$[m_\nu \sim a 10^2 \text{ eV}]$$

about correct size for atmospheric ν 's ...

General questions for any model with

$$M_S \ll M_{\text{PLANCK}}$$

(i) GAUGE COUPLING UNIFICATION.

AMAZING SUCCESS OF MSSM RESTS

ON THE EXISTENCE OF A FIELD THEORY
DESERT BETWEEN M_W AND $M_X \approx 10^{16} \text{ GeV}$
...

How is that compatible with

e.g. $M_S = 10 \text{ TeV}$ or 10^{11} GeV ?

(ii) p-stability.

(i) Requires detail knowledge of gauge kinetic
functions $f_a = S + ?$

(ii) Symmetries required

U(1) ANOMALOUS SYMMETRIES RELEVANT

FOR BOTH QUESTIONS

PROPERTIES OF $U(1)$'s (D=4, N=1)

HETEROTIC

ONLY ONE ANOMALOUS $U(1)$

GS-MECHANISM BY EXCHANGE OF S (DILATION)

MIXED $U(1) \times G^a$ ANOMALIES ARE IN THE RATIO OF KM-LEVELS:

$$A_i : A_j = k_i : k_j$$

Anomalous $U(1)$'s have mass $\sim g M_s$

Anomalous $U(1)$ does not survive as global symmetry (matter fields break it)

IIB-ORIENTIFOLDS

MULTIPLE

MULTIPLE GS-MECH BY EXCHANGE OF TWISTED M_a FIELDS

NOT CONSTRAINED IN SOME \mathbb{Z}_3 EXAMP. even

$$A_i : A_j = \beta_i : \beta_j$$

All $U(1)$'s have mass $\sim M_s$

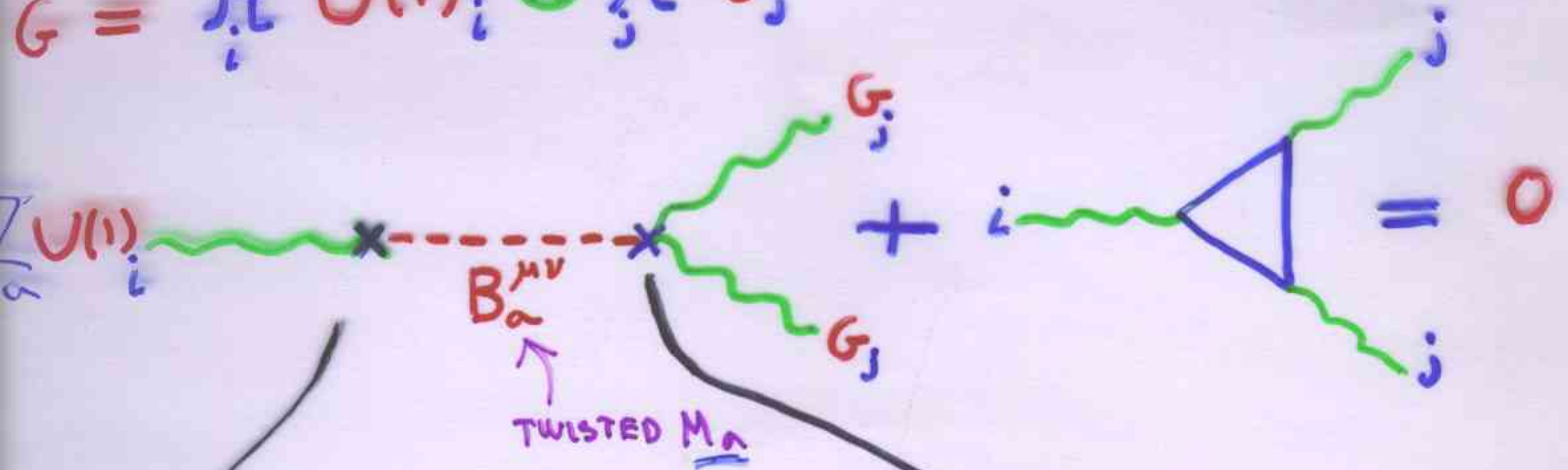
$U(1)$'s remain as global symmetries

MULTIPLE ANOMALOUS U(1) CANCELLATION

IIB / $\{Z_N, \Omega\}$ ORIENTIFOLDS
 $Z_N \times Z_M$

L.I. + R. Rabadan
 + A. Uranga '98

$$G = \prod_i U(1)_i \otimes \prod_j G_j$$



$$\text{Vertex} \approx \underbrace{\text{Tr}(\gamma_\theta^a \lambda_i)}_{Q_{ia}} B_a^{\mu\nu} \wedge F_i$$

$$\text{Vertex} \approx \underbrace{\text{Tr}(\gamma_\theta^a \lambda_i^2)}_{P_{aj}} \eta_a F_j \wedge F_j$$

(dual to $B_a^{\mu\nu}$)

$$\left[\sum_a Q_{ia} P_{aj} + A_{ij} = 0 \right]$$

↑
triangle anomaly

sum over all RR scalars

ONLY TWISTED CONTRIBUTE!

$$\text{Tr}(\lambda_i) = 0$$

in these orientifolds

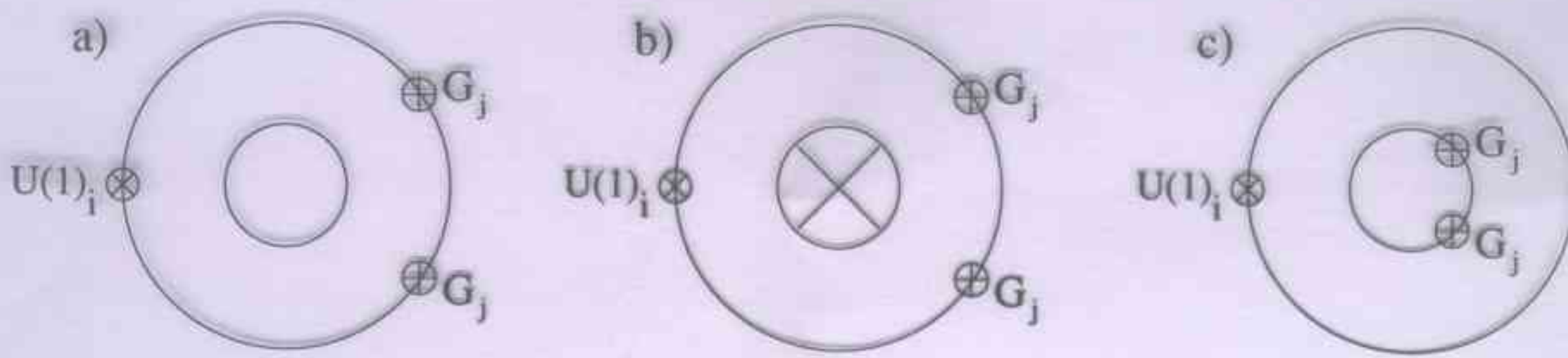


Figure 1: The three graphs contributing to the mixed $U(1)_i \times G_j^2$ mixed anomaly. The first two, the annulus (a) and Moebius strip (b), give the usual triangle anomaly of field theory. The last diagram (c), the non-planar annulus, cancels the contribution from the first two.

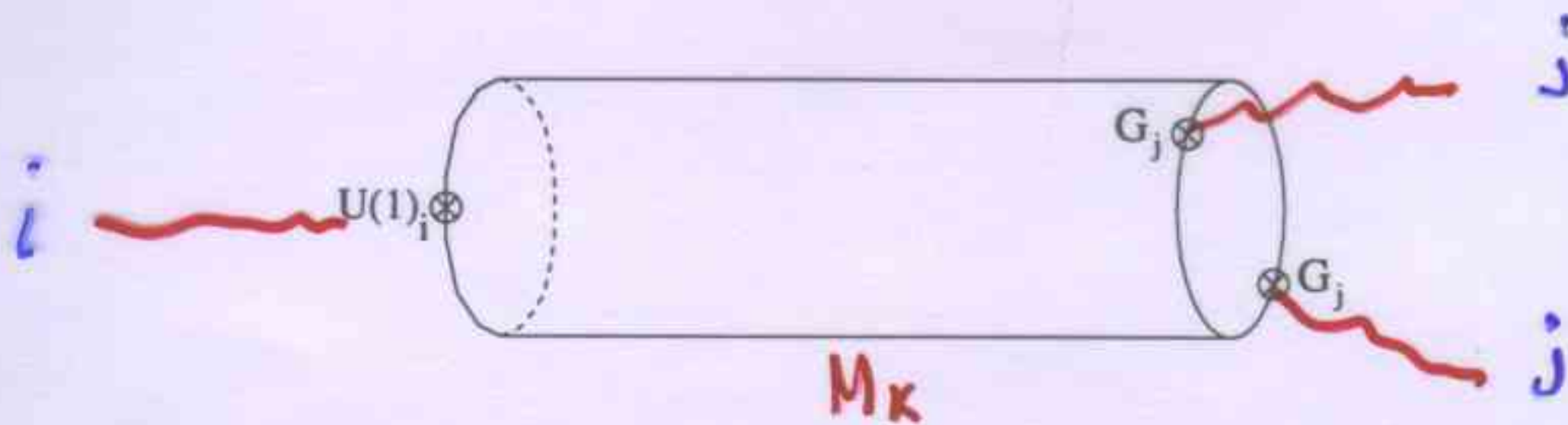


Figure 2: The annulus diagram of Figure 1c in the closed string channel. Twisted RR fields propagating along the cylinder provide the contribution that cancels the anomaly.

$$A_{ij}^{pq} = \frac{1}{N} \sum_{k=1}^{N-1} C_k^{pq} w_i^p \sin 2\pi k V_i^p \cos 2\pi k V_j^p$$

\downarrow $\text{Tr}(\gamma_\theta^k \lambda_i)$ \downarrow $\text{Tr}(\gamma_\theta^{-k} \lambda_j^2)$

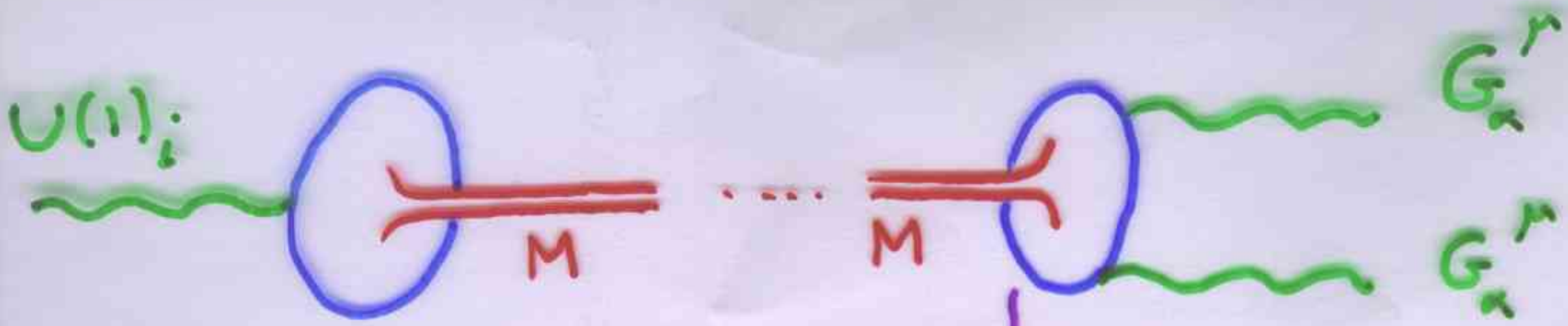
$$C_k^{pp} = \int_0^1 2 \sin \pi k V_a$$

$$C_k^{q5} = 2 \sin \pi k V_3$$

$p, q = 9, 5$

We checked in many examples: $Z_3, Z_7, Z_6, Z_8, Z_{12}, \dots$

(Recently confirmed by Antoniadis, Bachas, Dudas)



$$B \wedge F_{U(1)}^i$$



$$U(1) \text{ MASS} \approx M_S$$

Poppitz, 98



$$\text{FI-term: } \xi_i = \langle \text{Re } M \rangle$$

Douglas - Moore 96

$$f_\alpha = S + s_\alpha M$$

L.I, Uvanga Rabadan 98

Boundary values of gauge couplings at M_S depend on:

(i) $\langle \text{Re } M \rangle$

(is a modulus....)

(ii) value of s_α 's

L.I, Munoz, Rigoltu 98

$$f_\alpha = S + s_\alpha M \quad ; \quad \langle \text{Re} M \rangle = \gamma \log(T+T^*)$$

$$\frac{8\pi^2}{g_\alpha^2(M_s)} = \text{Re} S + s_\alpha \gamma \log(T+T^*) - \frac{b_\alpha}{2} \log(T+T^*) =$$

FROM RESCALING OF FIELDS TO CANONIC. BASE. Kaplunovsky + Louis 94
Antoniadis et al. 99

$$= \text{Re} S + \frac{b_\alpha}{2} \left(\frac{2s_\alpha \gamma}{b_\alpha} - 1 \right) \log(T+T^*)$$

||| γ_α

As long as one can write $s_\alpha = x b_\alpha + \gamma$,
we would have at one loop

$$\frac{8\pi^2}{g_\alpha^2(Q^2)} = \text{Re} S + \frac{b_\alpha}{2} \log\left(\frac{M_x^2}{Q^2}\right)$$

with:

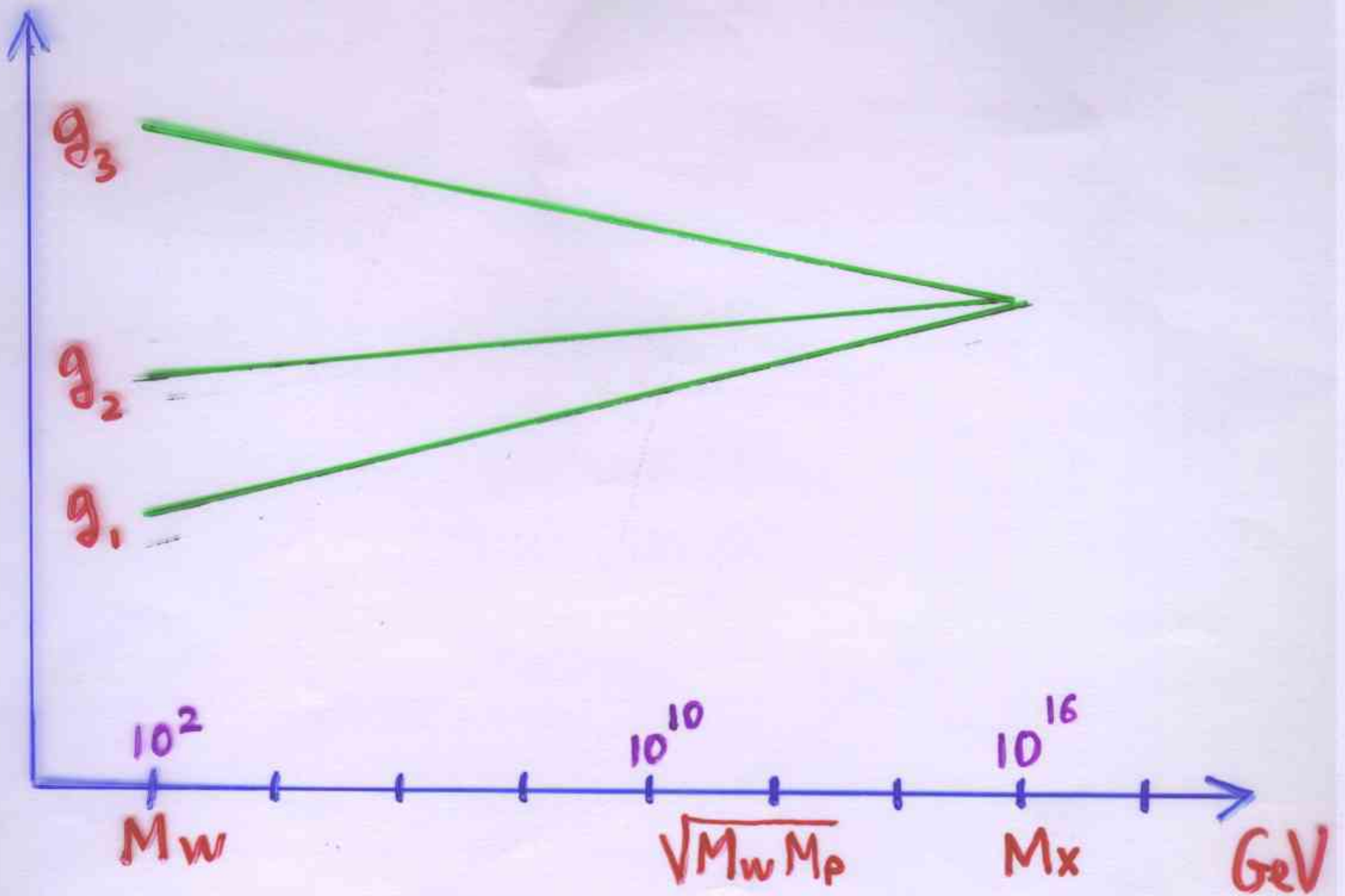
$$\left[M_x \equiv (T+T^*)^{\gamma/2} M_s \equiv \left(\frac{2}{\alpha}\right)^{\gamma/2} \frac{M_s^{(2r+1)}}{M_c^{2r}} \right]$$

$$r \equiv 2x\gamma - 1$$

L.I. 99

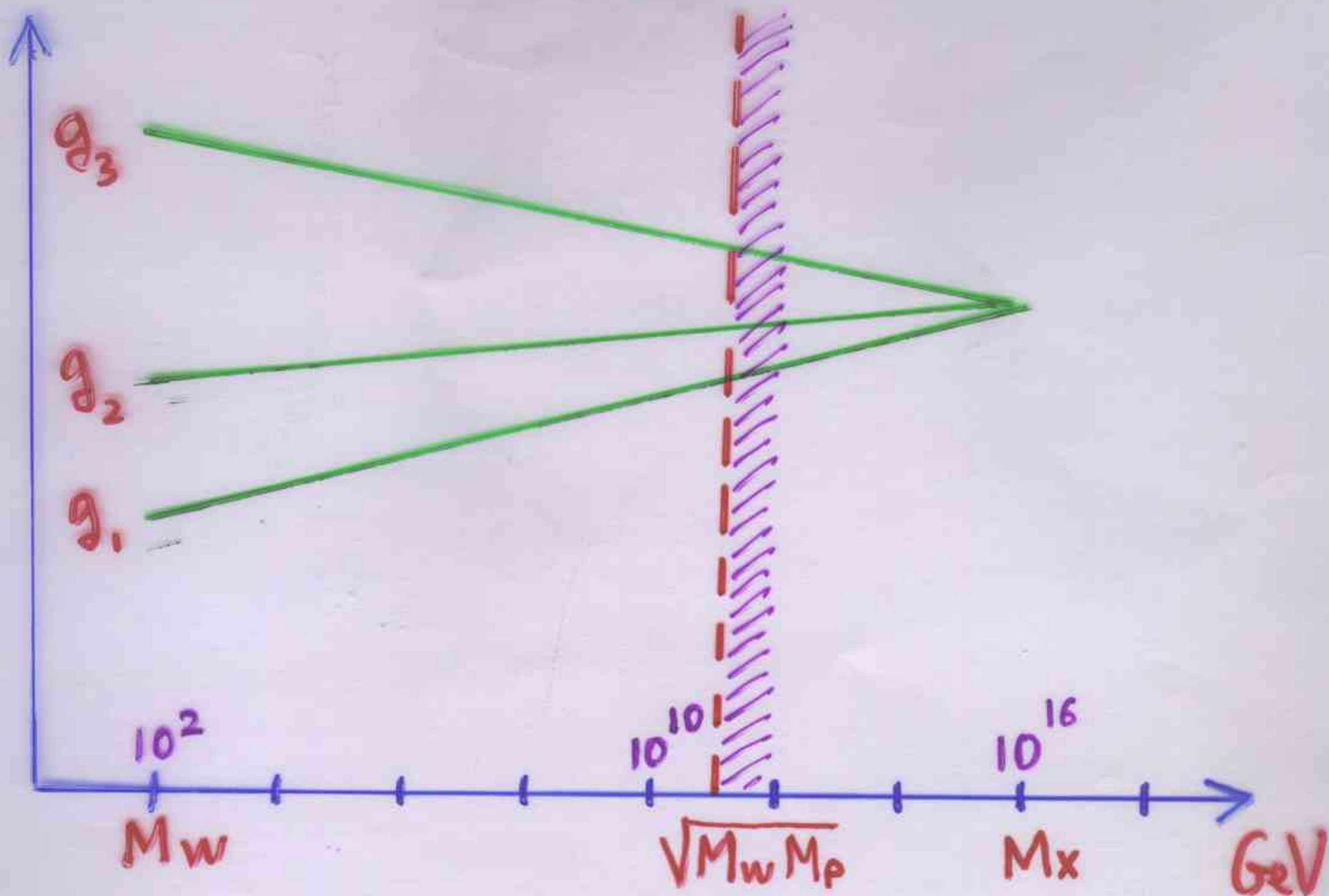
M_x = effective unification scale

(for $r > 0$, $M_x \gg M_s$ as long as $M_c \ll M_s$)



" MIRAGE UNIFICATION "

e.g. $M_S = \sqrt{M_W M_P}$



Imposing :

$$M_S = \sqrt{M_W M_P}$$

$$M_X = 2 \times 10^{16} \text{ GeV}$$

$$\left. \begin{array}{l} M_S = \sqrt{M_W M_P} \\ M_X = 2 \times 10^{16} \text{ GeV} \end{array} \right\} \rightarrow r \approx 1$$

$$M_c \approx 10^9 \text{ GeV}$$

" MIRAGE UNIFICATION "

Caution:

$$f_\alpha = S + S_\alpha M$$

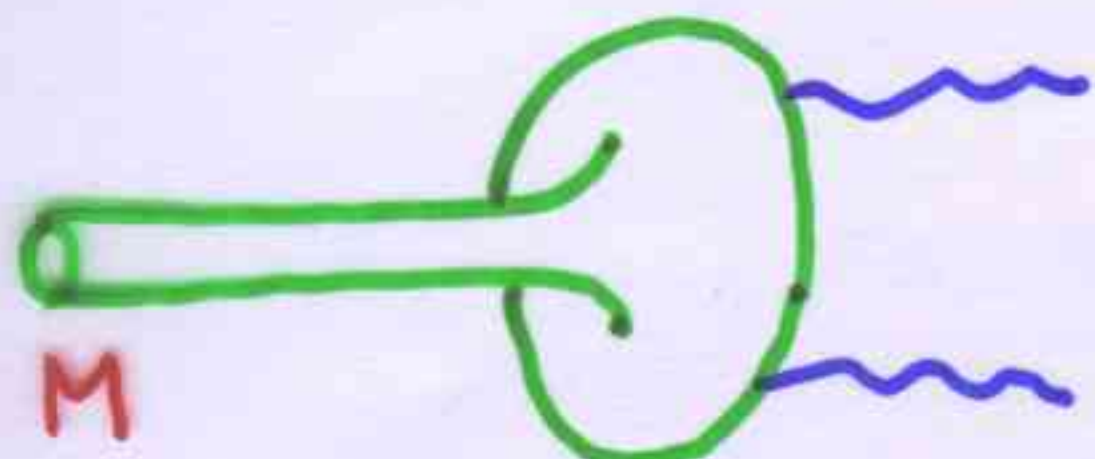
— The existence or not of this "mirage" effect depends on the detailed mechanism which fixes $\langle R_\alpha M \rangle$ and also on whether $S_\alpha = x b_\alpha + y$.

↳ (always true for 2 groups!)

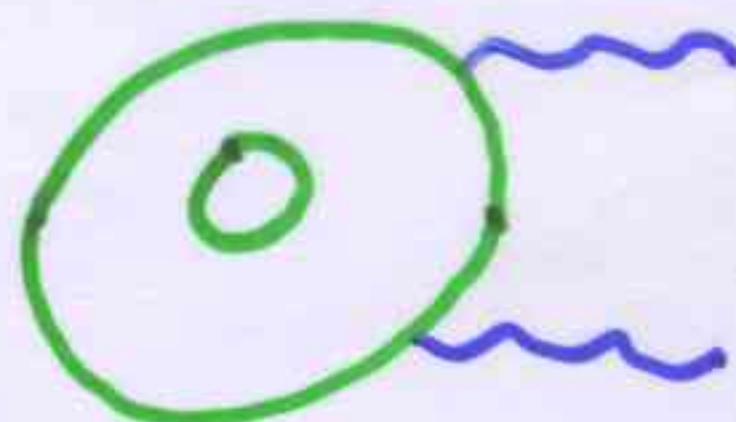
⊛ In fact, for Z_N , N -odd orientifolds

also one has:
($N=1$ SUSY)

$$S_\alpha = \frac{b_\alpha}{2}$$



"tree"



"one-loop"

⊛ However, in those orientifolds, setting

$$\langle \phi_i \rangle = 0$$

↳ charged fields

sets $\gamma = 1 \Rightarrow$

$$\gamma = 0$$

$$\Rightarrow M_x = M_s$$

, no mirage effect
(Antoniadis, Bachas, Dudas 99)

⊛ Need to find explicit models in which the mechanism could take place

ANOMALOUS $U(1)$'s : SOME PHENOMENOLOGY

MOST GENERAL FLAVOUR-INDEPENDENT $U(1)$ ALLOWING FOR USUAL YUKAWA COUPLINGS!

L.I, F. Quevedo
to appear

$$SU(3) \times SU(2) \times U(1)_Y \times U(1)_X$$

	Q	u	d	L	e	H	\bar{H}
R	0	-1	1	0	1	-1	1
A	0	0	-1	-1	0	1	0
L	0	0	0	-1	1	0	0
Q_X	0	-m	m-n	-n-p	m+p	-m+n	m

$\rightarrow B-L$

$\rightarrow PQ$

$\rightarrow L$

Table 1: $U(1)_X$ symmetries of the SUSY standard model.

$$Q_X = mR + nA + pL$$

ANOMALIES :

$$A_3 = -n \frac{N_g}{2} = \delta_{GS} S_3$$

$$A_2 = -n \frac{N_g}{2} + n \frac{N_D}{2} - p \frac{N_g}{2} = \delta_{GS} S_2$$

$$A_1 = -n \frac{5N_g}{2} + n \frac{N_D}{2} + p \frac{N_g}{2} = \delta_{GS} S_1$$

$$f_a = S + S_a M \quad ; \quad \text{Im } M \rightarrow \text{Im } M - \delta_{GS} \Lambda_X(x)$$

⊗ UNLIKE HETEROTIC CASE, THE MIXED ANOMALIES ARE NOT EQUAL, THEY ARE IN THE RATIOS OF THE S_a COEFFICIENTS.

\Rightarrow MANY MORE POSSIBILITIES

* Forbidly the Higgs mass (μ -term) $\mu H\bar{H}$
requires $n \neq 0 \Rightarrow$ (gauging a PQ sym.)

* Generically, as long as $n \neq 0$ all
dimension = 3, 4, 5 B and L - violating
operators forbidden. Automatically

↓
Could be useful to suppress p-decay
in low string scale models.

* In heterotic models anomalous $U(1)$'s had
phenomenological applications to:

- p-stability
- quark-lepton mass-matrices
- cosmology

Type I still to be studied...

CONCLUSIONS

- (i) Our view of how to embed the SM inside strings has radically changed in the last two years
- (ii) Heterotics lost the monopoly. New vacua with new features have emerged.
- (iii) $D=4, N=1$ IIB orientifolds good testing grounds of new properties:
- (iv) We do not know what M_s is !!!
- (v) Gauge kinetic functions relevant for unification depend on twisted moduli $\langle \text{Re } M \rangle$. Under some conditions this could mimic one-loop unification at scales $M_x \gg M_s$.

M_S	hierarchy required	SAFE FROM N=0 BRANES	COUPLING UNIFICATION	STRONG CP	γ masses	P_- stability (dim op.)
10^{16} GeV	10^{-15}	?	✓	?	✓	4-5
10^{11} GeV	$10^{-2} \sim \alpha$	✓	?	✓	✓	4-8
1 TeV	$10^{-5} - 10^{-15}$	✓	?	?	in the bulk	4-16

$\sim M_{pl} > 10^{16} M_{pl}$