

1 + 1 Dimensional NCOS

and

its  $U(N)$  Gauge Theory Dual

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Talk at STRINGS 2000

Based mainly on

I. K., J. Maldacena,

hep-th/0006085

1+1 d NCOS gives a new example of gauge field/string duality.

For earlier work, see papers by

H. Verlinde; Gukov, IK, Polyakov

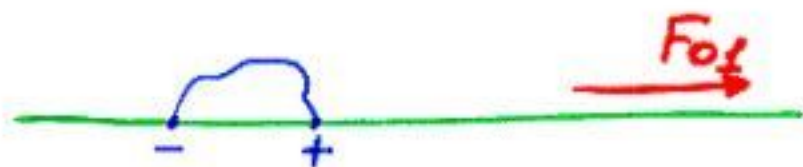
The NCOS limit was defined by

Seiberg, Susskind, Toumbas;

Gopakumar, Maldacena, Minwalla, Strominger

1+1 d case is special: the theory is invariant under Lorentz boosts.

Start with a D1-brane with electric field.



For  $F_{01} = \frac{1}{2\pi\alpha'}$  the open string becomes

TENSIONLESS relative to the closed strings.

The Born-Infeld Lagrangian

$$\mathcal{L}_{BI} = -\frac{1}{2\pi\alpha'g_s} \sqrt{1 - (2\pi\alpha'F_{01})^2}$$

In the  $A_0=0$  gauge,  $F_{01} = \dot{A}_1$ ;

The electric field is the momentum conjugate to  $A_1$ :

$$E_1 = \frac{\partial \mathcal{L}_{BI}}{\partial \dot{A}_1} = \frac{2\pi\alpha' \dot{A}_1}{\sqrt{1 - (2\pi\alpha'\dot{A}_1)^2}} \frac{1}{g_s} = N$$

the number of flux units.

$$\tilde{F} = 2\pi\alpha' \dot{A}_1;$$

$$\frac{\tilde{F}}{\sqrt{1 - \tilde{F}^2}} = Ng_s \Rightarrow \tilde{F} = \frac{Ng_s}{\sqrt{(Ng_s)^2 + 1}} \quad \text{Callan, IK}$$

We are interested in the critical field limit

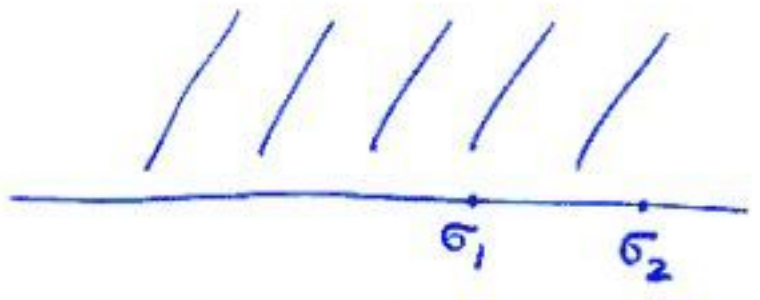
$$\tilde{F} \rightarrow 1; \text{ i.e. } Ng_s \rightarrow \infty,$$

of the bound state of  $N$  fundamental and 1 D-string.

As a check, calculate the Energy/Length:

$$\mathcal{H} = E_1 A_1 - \mathcal{L}_{BI} = \frac{1}{2\pi d'} \sqrt{\frac{1}{g_s^2} + N^2}$$

Get correct tensor of the  $(N, 1)$  bound state.



The Green function for  $X^0, X^1$  is

$$\begin{aligned} \langle X^\alpha(\sigma_1) X^\beta(\sigma_2) \rangle = & -2d' \frac{1}{1-\tilde{F}^2} \eta^{\alpha\beta} \ln |\sigma_1 - \sigma_2| \\ & + i\pi \frac{\tilde{F}}{1-\tilde{F}^2} \epsilon^{\alpha\beta} \text{sgn}(\sigma_1 - \sigma_2) \end{aligned}$$

Define  $d'_e = \frac{d'}{1-\tilde{F}^2} \Rightarrow T_e = T(1-\tilde{F}^2)$

Sending  $\tilde{F} \rightarrow 1$  have  $\theta = 2\pi d'_e$

$$\begin{aligned} \langle X^\alpha(\sigma_1) X^\beta(\sigma_2) \rangle = & -2d'_e \eta^{\alpha\beta} \ln |\sigma_1 - \sigma_2| \\ & + i \frac{\theta}{2} \epsilon^{\alpha\beta} \text{sgn}(\sigma_1 - \sigma_2) \end{aligned}$$

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Open string coupling is also rescaled (GKP)

$$G_0^2 = g_s \sqrt{1 - \tilde{F}^2} : \text{each hole } \bigcirc \text{ picks up a BI factor.}$$
$$= \frac{\tilde{F}}{N} \rightarrow \frac{1}{N}$$

$\frac{1}{G_0^2}$  is quantized!

To keep  $G_0^2$  fixed,  $g_s \rightarrow \infty$  in the NCOS limit.  
(SST, GMMS)

$$\alpha' = \alpha'_e (1 - \tilde{F}^2) \rightarrow \frac{\alpha'_e}{(Ng_s)^2}$$

Apply S-duality:  $(N, 1) \rightarrow (1, N)$

Get  $U(N)$   $N=8$  gauge theory with

ONE unit of electric flux:  $\text{Tr } E_1 = 1$ .

Now have  $\tilde{g}_s = \frac{1}{g_s} \rightarrow 0$ ; This is the decoupling limit for

$$\tilde{\alpha}' = g_s \alpha' = \frac{\alpha'_e}{N^2 g_s} \rightarrow 0.$$

$g_{\text{YM}}^2 = \frac{\tilde{g}_s}{\tilde{\alpha}'} = \frac{N^2}{\alpha'_e} \Leftarrow \text{finite.}$   $N$  D5-branes.

Gopakumar, Minwalla, Seiberg, Strominger.

Make  $N$  large to have weakly interacting open strings.

Since  $\frac{1}{g_e} = \frac{g_{YM}^2}{N^2}$ , and NOT  $g_{YM}^2 N$ ,

this is NOT a 't Hooft limit.

Get a new connection between gauge fields and OPEN strings.

I will discuss the following aspects of the connection:

1. Decoupling of the massless  $U(1)$  modes.
2. Stable massive particles.
3. High energy behavior.
4. Higgs branch and closed strings.
5. Hagedorn transition.

## Free the $U(1)$ !

The Gauge group is  $U(N) = U(1) \times SU(N)/\mathbb{Z}_N$ .

The  $SU(N)/\mathbb{Z}_N$  theory has mass gap due to the 1 unit of flux (Witten).

Overall oscillations of the  $(N, 1)$  string decouple from this internal dynamics:

we have 8 massless bosonic + 8 fermionic modes that are FREE.

They are dual to the massless modes of the NCOS created by vertex operators

$$\epsilon_j e^{-\phi} \psi^j e^{ik \cdot X} \quad \leftarrow 8 \text{ scalars}$$

$$e^\alpha e^{-\phi/2} \Sigma_\alpha e^{ik \cdot X} \quad \leftarrow 8 \text{ fermionic partners.}$$

Consider a 4-point function for scalars.

In the COM frame:

$$p_1 = \begin{pmatrix} p \\ p \end{pmatrix}; \quad p_2 = \begin{pmatrix} p \\ -p \end{pmatrix}; \quad p_3 = -p_1; \quad p_4 = -p_2.$$

Due to the special kinematics,

$$s = -t = 4p^2 d'_e; \quad u = 0.$$

The complete 4-pt amplitude is

$$A = -\frac{G_0^2}{d'_e} \delta^2(\Sigma p_i) \epsilon_1 \cdot \epsilon_3 \epsilon_2 \cdot \epsilon_4 \pi s \times$$

$$\left[ \frac{\cos\left(s \frac{\theta}{2d'_e}\right)}{\sin(\pi s)} - \frac{\cos(\pi s)}{\sin(\pi s)} \right]$$

$\uparrow$   
s-channel

$\uparrow$   
t and u channels.

In the NCOS limit,  $\theta = 2\pi d'_e$  and  
A indeed vanishes!

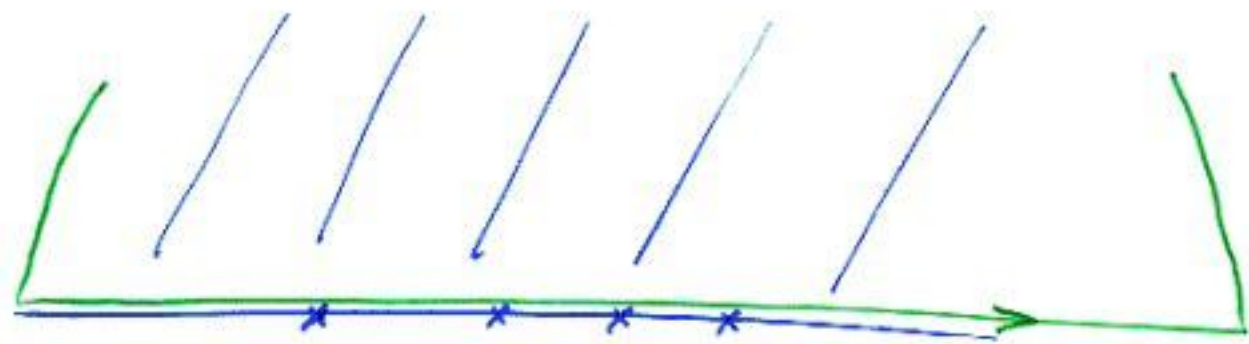
All amplitudes with at least 1 massless  
state vanish since

$$\langle X^+(t) X^-(0) \rangle = 4d'_e \left( \log|t| + i\frac{\pi}{2} \text{sgn}(t) \right)$$

$\rightarrow 4d'_e \log t$

where  $t$  is a complex variable.





If one of the vertex operators has  $e^{ip^-x^+}$  or  $e^{ip^+x^-}$  factor then the contour may be deformed into the interior of the disk.

We believe this generalizes to higher genus.

Moyal phases + 2-d kinematics conspire to cancel all massless amplitudes, consistent with the duality.

## Stable Massive Particles (with C. Herzog)

Each massive state is characterized by the level  $k = \alpha'_e m^2$  and the  $SO(p)$  irrep.

For an  $n$ -body decay energy conservation gives

$$\sqrt{k'} \geq \sum_{i=1}^n \sqrt{k_i} ;$$

The only allowed decay modes have

$k \geq 1$  (the massless modes are free).

All massive particles with  $k=1, 2, 3, 4$  are stable!

There is an  $\infty$  class of absolutely stable particles.

For instance: leading Regge trajectory whose

$SO(p)$  Young tableaux is 

Decays into such states are most likely (they have the most angular momentum/energy).

$$\sum_{i=1}^n (k_i + 1) \geq k + 1 \quad (J \text{ conservation}).$$

Combining with energy conservation, we need

$$\sum_{i < j} \sqrt{k_i k_j} < \frac{n-1}{2}$$

This cannot be satisfied  $\Rightarrow$  the leading  $SO(8)$  Regge trajectory is stable.

There are lots of other stable states.

Prediction: the gauge theory has stable states with energies  $\sqrt{k} \frac{g_{YM}}{N}$  (for large  $N$ )

They should look like stable lumps of energy (non-topological solitons?).

## High Energy Behavior

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4-pt function of level 1 states ( $m^2 = \frac{1}{\alpha'}$ ).

$$V = \epsilon_{ij} e^{-\phi} \psi^i \partial X^j e^{ik \cdot X}$$

$$A = -\pi G_0^2 \delta^2(\Sigma p_i) (s-2) \frac{\cos\left(\frac{\theta}{2\alpha'} \sqrt{s(s-4)}\right) - \cos(\pi s)}{\sin(\pi s)}$$

$$\text{tr}(\epsilon_1 \cdot \epsilon_3) \text{tr}(\epsilon_2 \cdot \epsilon_4).$$

For  $\theta = 2\pi\alpha'$  there is a large  $s$  cancellation:

$$A \rightarrow -2\pi^2 \frac{G_0^2}{\alpha'} \frac{\sin\left(\pi s - \frac{\pi}{s-2}\right)}{\sin(\pi s)}$$

Away from the poles  $A \sim \frac{G_0^2}{\alpha'}$  ( $E$  independent).

The high energy cross-section is

$$\sigma \sim \frac{G_0^4}{\alpha'^2 E^4}$$

This behavior is generic for massive  $2 \rightarrow 2$  processes.

For SYM quanta have  $A \sim g_{\text{YM}}^2$ ;

$$\sigma \sim \frac{g_{\text{YM}}^4}{E^4}. \quad \text{Same energy dependence!}$$

## Higgs Branch and Closed Strings

$SU(N)$  with 1 unit of flux may be Higgsed to  
 $SU(N-K) \times U(1)^K$

Now the electric field is

$$E_1 = \frac{1}{N-K} \left( \begin{array}{cccc} 1 & & & \\ & \ddots & & \\ & & 1 & \\ & & & \ddots \\ & & & & \underbrace{0 \dots 0}_K \end{array} \right)$$

If  $X^1 \sim X^1 + 2\pi R$  (compactify on a circle)  
 then the energy of the flux is

$$\mathcal{E} = \frac{R g_{\text{YM}}^2}{2(N-K)} = \frac{R g_{\text{YM}}^2}{2} \left( \frac{1}{N} + \frac{K}{N^2} + \frac{K^2}{N^3} + \dots \right)$$

This is the state with  $K$  free strings.

$$\text{string tension} = \frac{1}{4\pi} \frac{g_{\text{YM}}^2}{N^2} = \frac{1}{4\pi \alpha' e}$$

There are no anti-strings ( $K > 0$ ).

A free string has  $U(1)$  gauge theory living on it  $\Rightarrow$  its energy formula is

$$\Delta E = \frac{R}{2\alpha'_e} + \frac{1}{R} (N_L + N_R + \alpha'_e \frac{k_\perp^2}{2}).$$

In the dual string theory this is nicely reproduced by the on-shell condition for a wound closed string with  $m$  units of winding:

$$-\alpha' p_0^2 - 2p_0 B_{01} mR + \frac{(mR)^2}{\alpha'} (1 - B_{01}^2) + \alpha' (\frac{m}{R})^2 + 2(N_L + N_R) + \alpha'_e k_\perp^2 = 0;$$

In the limit  $\alpha' = \alpha'_e (1 - B_{01}^2) \rightarrow 0$ ,

Non-relativistic mass formula

$$p_0 = \frac{mR}{2\alpha'_e} + \frac{\alpha'_e}{2mR} k_\perp^2 + \frac{N_L + N_R}{mR};$$

Since  $p_0 > 0$ ,  $m > 0 \Rightarrow$  strings may wind only in ~~one~~ direction.

Their tension is indeed  $\frac{1}{4\pi\alpha'_e}$ .

# The Hagedorn Transition

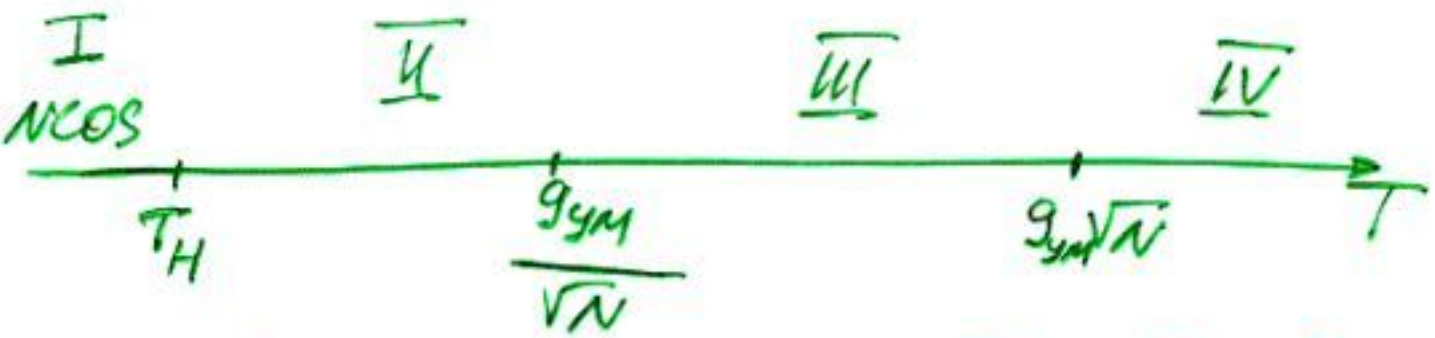
Preliminary results developed in discussions with Gubser, Gukov, Witten, ...

The open string density of states is

$$\rho(m) \sim m^a e^{m/T_H};$$

$$T_H = \frac{1}{\pi \sqrt{8\alpha'}} = \frac{1}{\pi \sqrt{8}} \frac{g_{YM}}{N};$$

Phase structure of  $U(N)$  with 1 flux unit.



IV is the free phase where  $F \sim N^2 L T^2$ ;

III is the strongly interacting phase dual to SUGRA where  $F \sim \frac{N^2 L T^3}{g_{YM} \sqrt{N}}$  ; L. Susskind, IK, IMSY

What is phase II?

The free energy needed to split off a wound closed string, i.e. to Higgs  $SU(N) \rightarrow SU(N-1) \times U(1)$

$$\text{is } \Delta F = L \left( \frac{1}{4\pi d_e} - 2\pi T^2 \right)$$

$\Delta F = 0$  precisely at  $T = T_H$ .

For  $T > T_H$  a fraction  $\nu$  of closed strings has been freed.



$N-K$   
units of  
flux

$K$  free  
strings

Phase II is the Higgs phase

$$SU(N-K) \times U(1)^K$$

where  $K$  is of order  $N$ .

To calculate  $\nu = \frac{K}{N}$ , set

$$L \left( \frac{1}{4\pi} \frac{g_{YM}^2}{(N-K)^2} - 2\pi T^2 \right) = 0$$

$$\text{Find } \nu = \frac{T - T_H}{T};$$



$$-\frac{\partial F}{\partial T} = S = 4\pi N \nu T = 4\pi N (T - T_H)$$

$$F(T > T_H) = F(T = T_H) - 2\pi N (T - T_H)^2;$$

$F(T = T_H)$  is of order 1 (it is free energy of weakly interacting open strings).

1. A T-dual of the  $(N, 1)$  bound state is a D0-brane with  $N$  units of KK momentum. Critical  $F_0$  is T-dual to the speed of light. Hence NCOS is T-dual to DLCQ.

Winding states of closed strings transform into momentum states.

Do they decouple?

2. We may start with  $M$  D1-branes.

$$\text{Then } G_0^2 = \frac{M}{N}; \quad \frac{1}{\alpha_e'} = g_{\text{YM}}^2 \frac{M^2}{N^2}.$$

3. Some of our conclusions, such as the presence of wound closed strings around the electric direction, carry over to NCOS in arbitrary dimension  $p+1$ .

$U(1)$  modes moving along the E-field always decouple.