

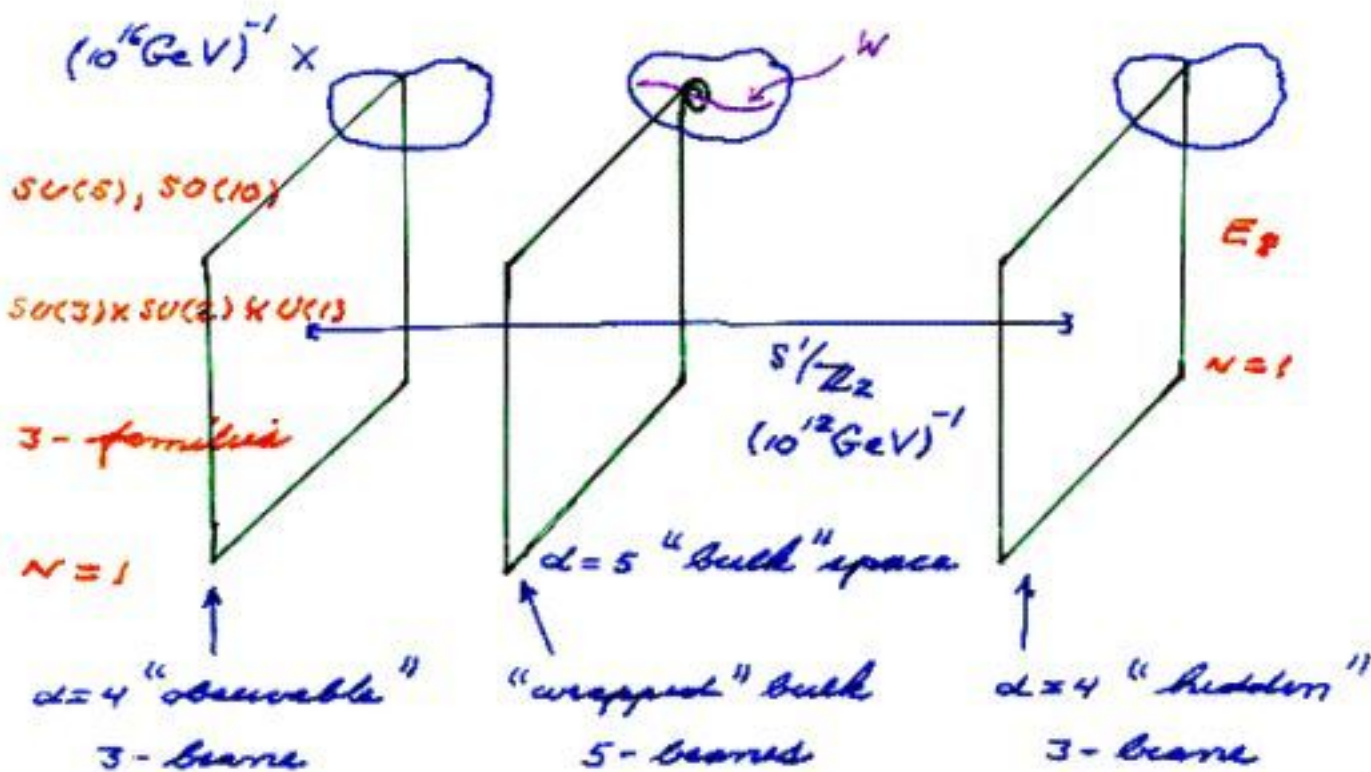
M-Theory compactified on $S^1/\mathbb{Z}_2 \times CY \Rightarrow$

①

a $d=5$ brane universe - "Heterotic M-Theory"

Lukas, Ovrut, Waldram + Stelle + Donagi, Panteu

General Discussion:



A) Supergravity + Branes:

- "discovery" of the $d=5$ phase of M-Theory.

- most gen'l compactification of M-theory on $S^1/\mathbb{Z}_2 \times CY$ ($d=5$, S^1/\mathbb{Z}_2 , $N=2$) consistent with anomaly cancellations (G-flux).
- supports BPS 3-branes with "warped" geometry - not RS branes.

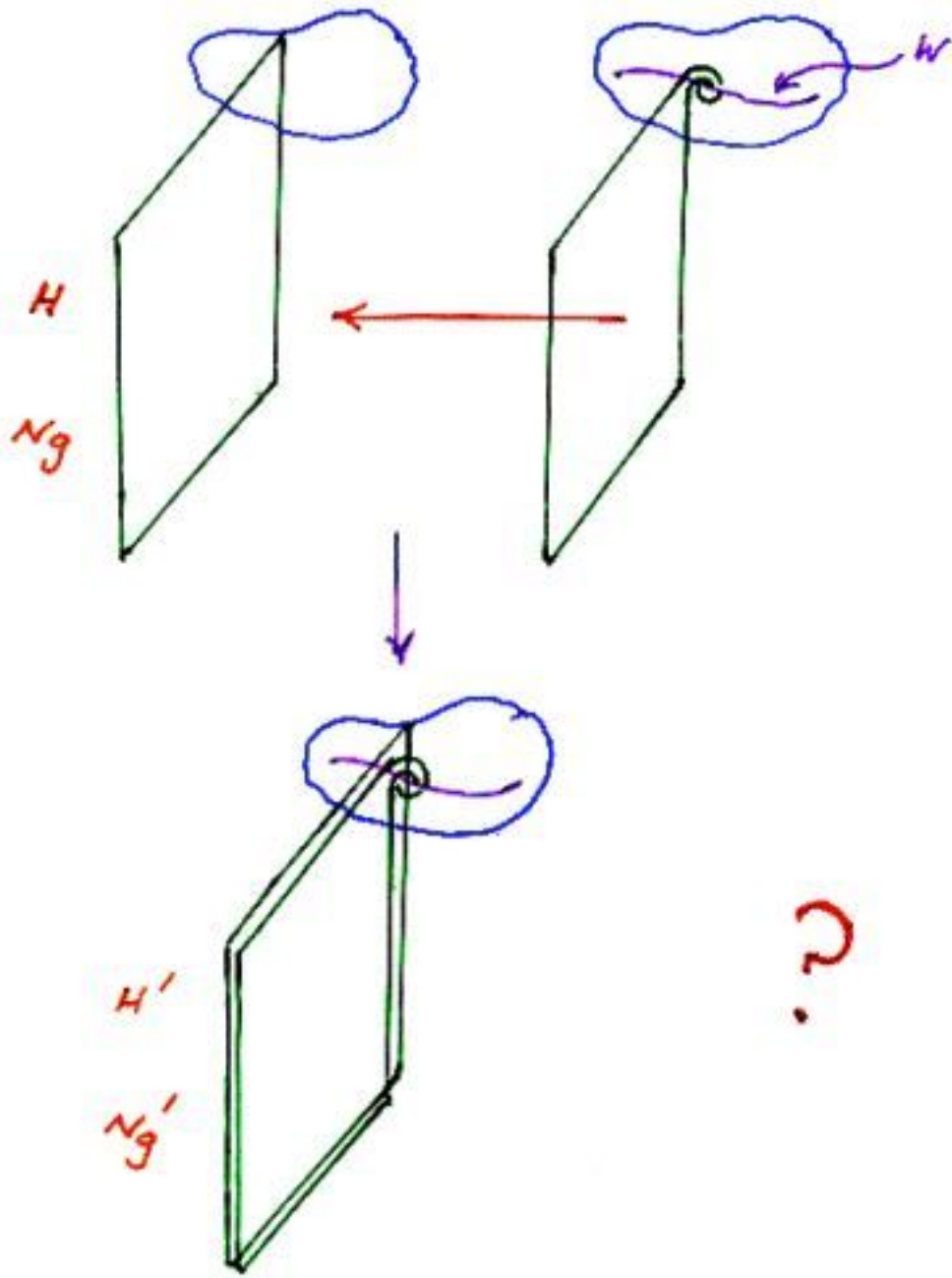
B) Particle Physics:

- softly broken $N=1$, 3 families, GUT and standard model gauge groups.
- suppressed nucleon decay
- hierarchy pattern of Yukawa couplings.

Arnold

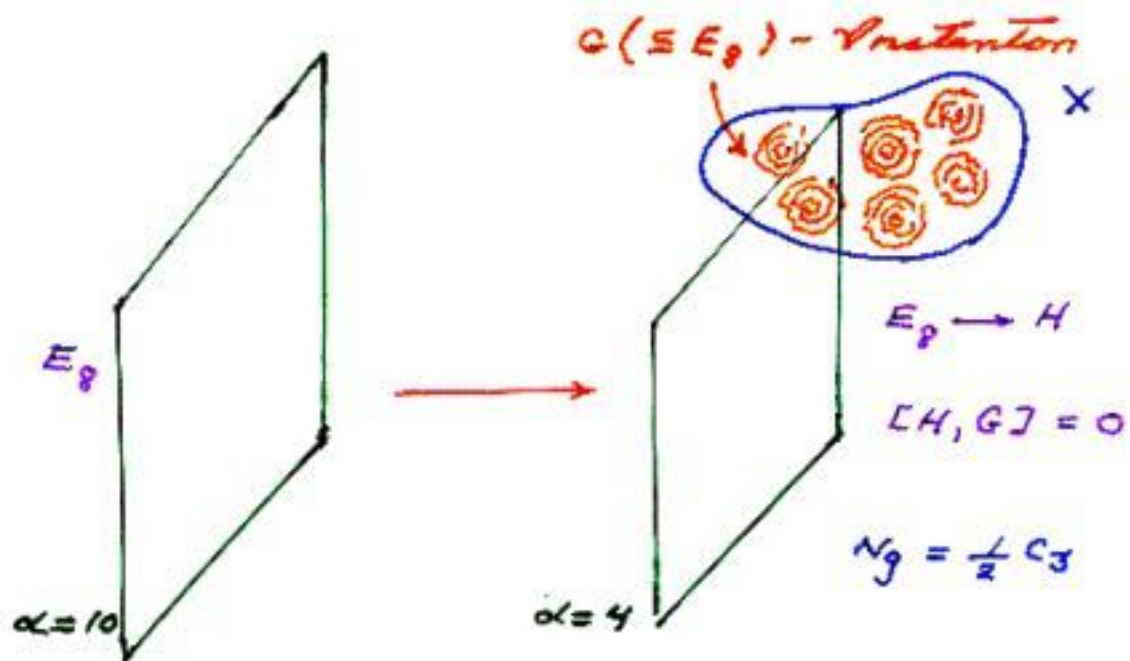
Non-Perturbative Phase Transitions: BAO, Pantev, Park ⁽³⁾

What happens when



I) G -Instantons and Vector Bundles

(2)



Examples:

a) "standard" embedding $F \wedge F = R \wedge R \Rightarrow$

$$G = SU(3) \Rightarrow H = E_6$$

b) non-standard embeddings $F \wedge F \neq R \wedge R \Rightarrow$

$$G = SU(4) \Rightarrow H = SO(10)$$

$$G = SU(5) \Rightarrow H = SU(5)$$

$$G = SU(5) \times \mathbb{Z}_2 \Rightarrow H = SU(3)_C \times SU(2)_L \times U(1)_Y$$

All embeddings are "natural" in Heterotic ⑥

M-Theory! \Rightarrow How does one classify and

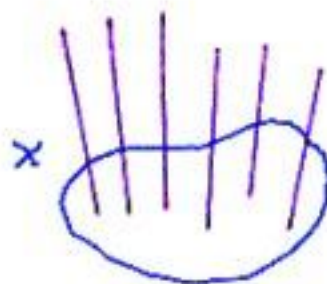
construct G-instantons?

- Donaldson, Uhlenbeck, Yang Theorem:



G-instanton

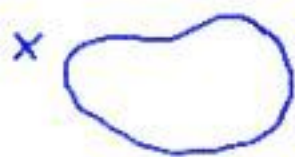
\approx



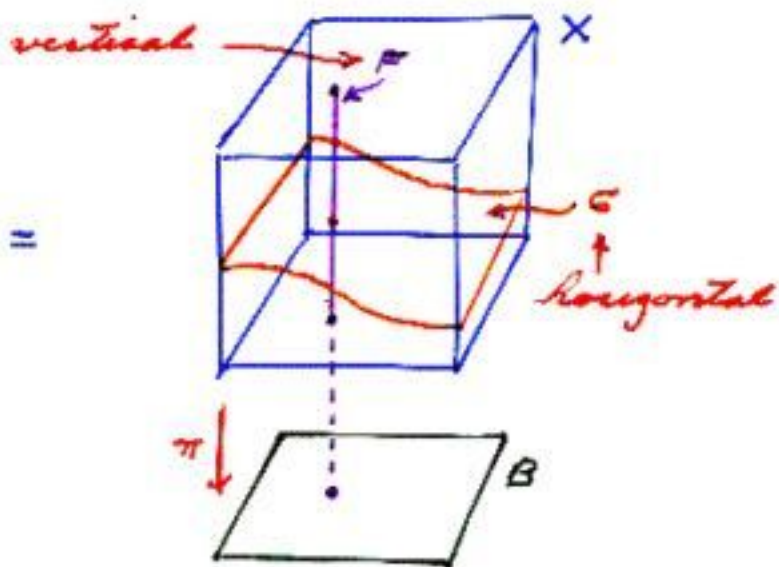
Holomorphic vector bundle

V, structure group G

Take $CY \times X$ to be "elliptically fibred".

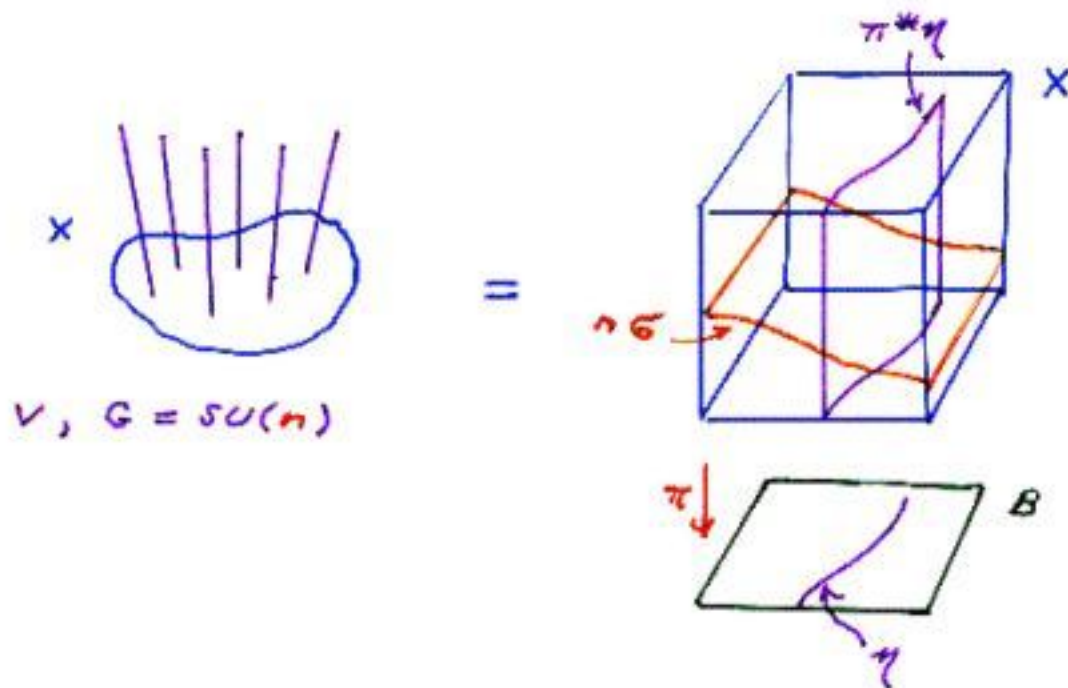


=



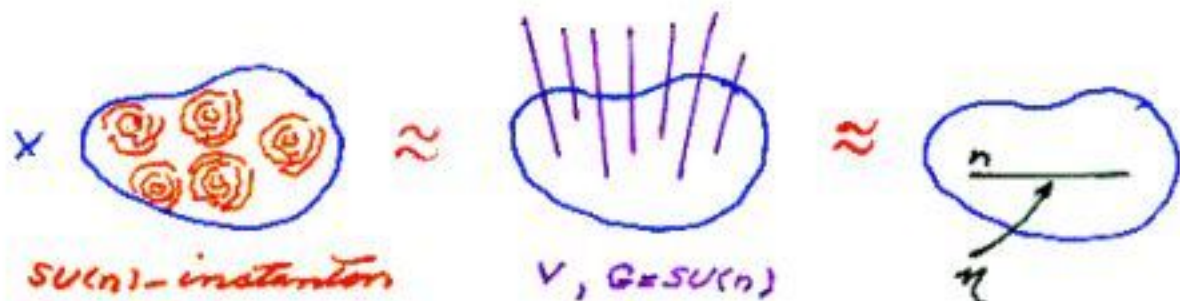
Then FMW \Rightarrow

(6)



Conclusion:

An $SU(n)$ -instanton is equivalent to a horizontal curve η in B . Pictorially



II) Bulk Space 5-Brane :

⑦

Anomaly cancellation \Rightarrow

$$W = c_2(TX) - c_2(V)$$

\Rightarrow

$$W = \pi^* z \cdot \zeta + a_f F$$

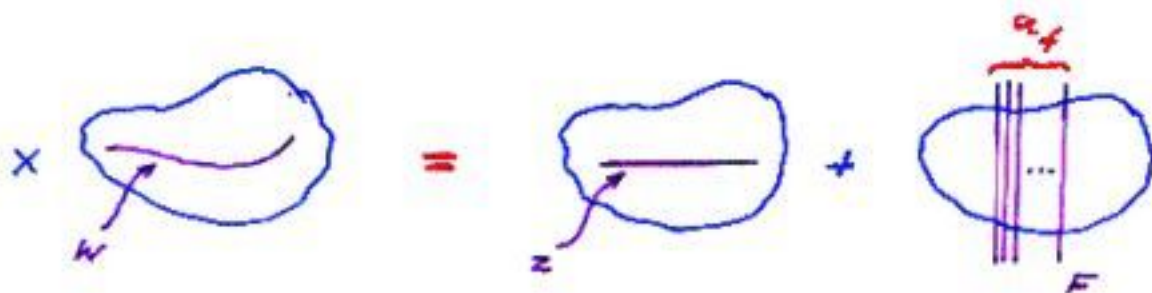
where

$$z = 12c_1(B) - \eta$$

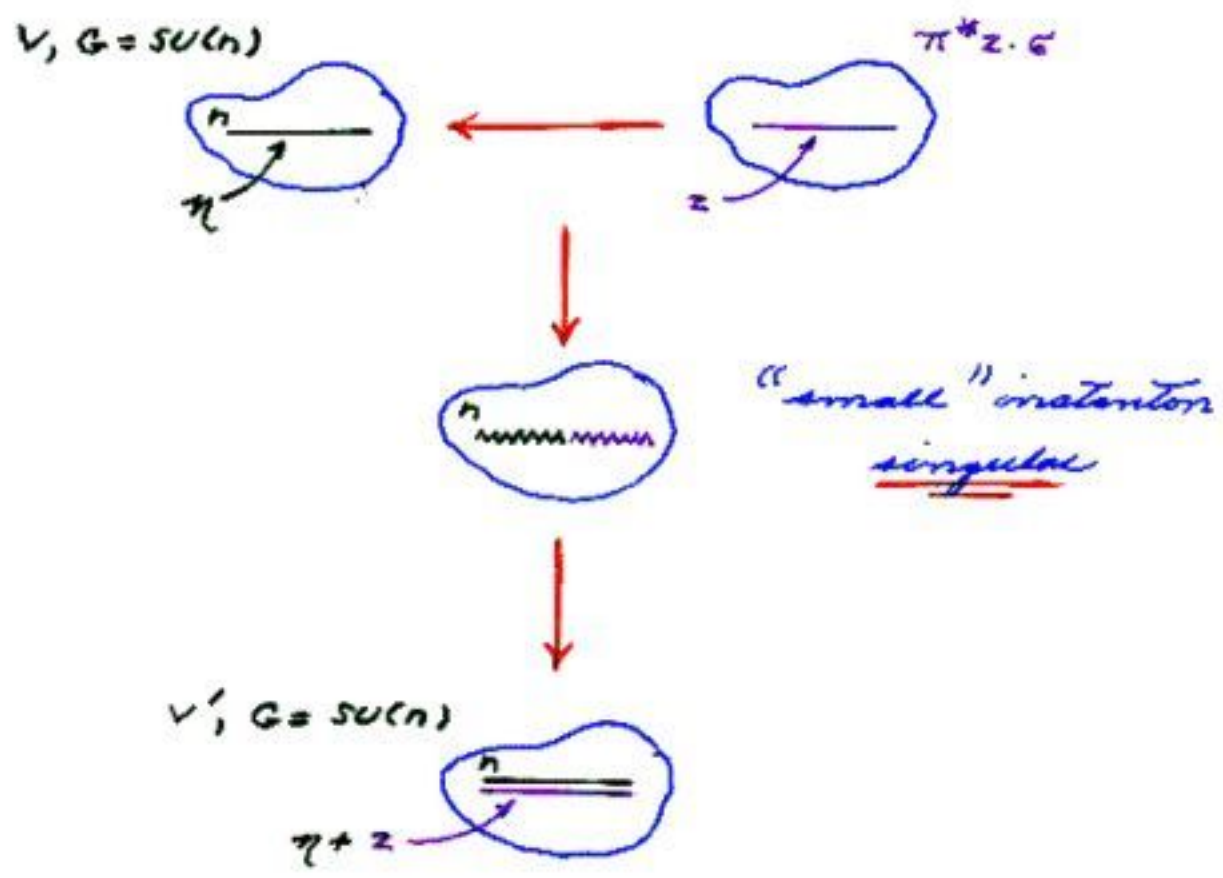
is a curve in B and

$$a_f = f(n, B, \eta)$$

is a non-negative integer. Pictorially



1) Collision of the pure horizontal 5-brane component.



Note: n unchanged, but $\eta \rightarrow \eta + z \Rightarrow$

- structure group $SU(n)$ is unchanged \Rightarrow

$H' = H$

\Rightarrow observable 3-brane gauge group is unchanged during the phase transition

• vector bundle changes since $\eta \rightarrow \eta + z \Rightarrow$

a) $c_2(V') = c_2(V) + \pi^* z \cdot c$

Physically

$$c_2(V) + (\pi^* z \cdot c + a_f F) = c_2(TX)$$

$$\Rightarrow (c_2(V) + \pi^* z \cdot c) + a_f F = c_2(TX)$$

$$\Rightarrow c_2(V') + a_f F = c_2(TX)$$

\Rightarrow horizontal part of W "absorbed" by the bundle.

b) $c_3(V') = c_3(V) + (2\eta + z - n c_1(\theta)) \cdot z$

Physically

$$N_g = \frac{1}{2} c_3(V)$$

$$\Rightarrow N_g' = N_g + \frac{1}{2} (2\eta + z - n c_1(\theta)) \cdot z$$

\Rightarrow The number of quark (lepton) generations

changes during the phase transition.

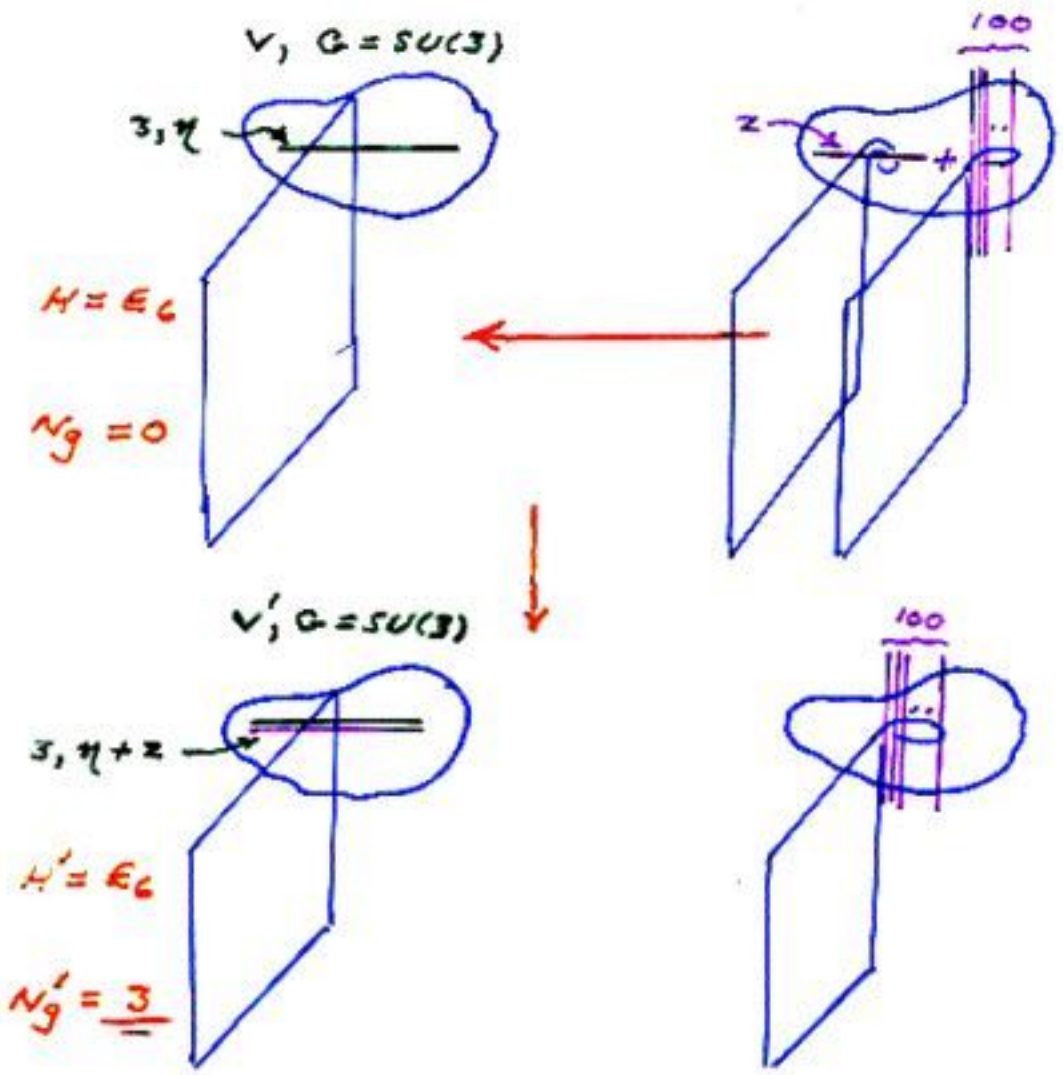
Example:

Choose $B = F_0$. Define the instantons by taking

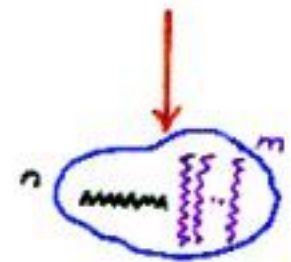
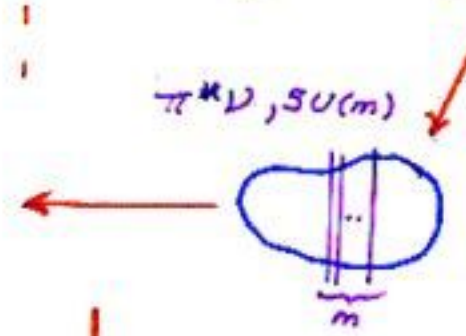
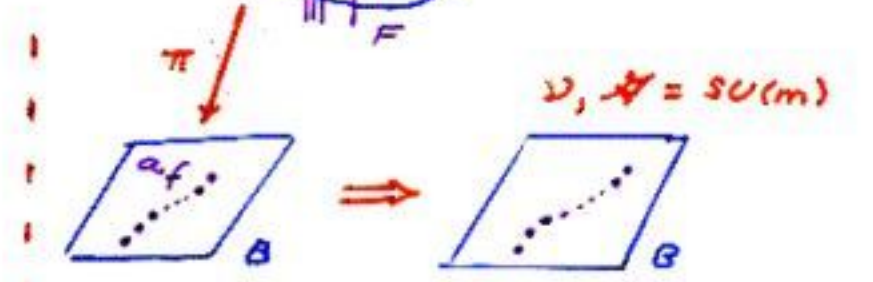
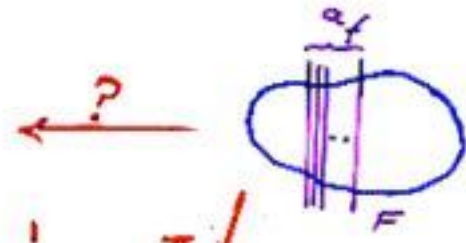
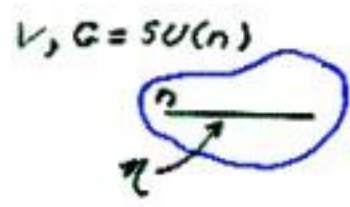
$G = SU(3) \Rightarrow H = E_6$ and $\eta = 6S + 6C \Rightarrow N_g = 0$.

Zero anomaly $\Rightarrow W \neq 0$ with $z = 10S + 10C, a_f = 100$.

Pictorially

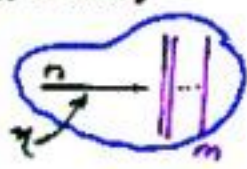


8) Cocision of the pure fiber 5-beam component



"small" instanton
singular

$V \oplus \pi^*V, SU(n) \times SU(m)$



$2 \leq m \leq q_f$

- structure group

$$SU(n) \longrightarrow SU(n) \times SU(m) \quad 2 \leq m \leq a_f$$

\Rightarrow observable 3-brane gauge group changed

$$H \rightarrow H'$$

during the phase transition.

- vector bundle changed \Rightarrow

$$a) \quad c_2(V + \pi^*V) = c_2(V) + a_f F$$

Physically

$$c_2(V) + (\pi^*z \cdot \epsilon + a_f F) = c_2(TX)$$

$$\Rightarrow (c_2(V) + a_f F) + \pi^*z \cdot \epsilon = c_2(TX)$$

$$\Rightarrow c_2(V + \pi^*V) + \pi^*z \cdot \epsilon = c_2(TX)$$

\Rightarrow fiber part of W "absorbed" by the vector bundle.

$$b) \quad c_3(V \oplus \pi^* V) = c_3(V)$$

Physically

$$N_g' = N_g$$

\Rightarrow The number of quark / lepton generations is unchanged during the phase transition.

Example:

Choose $B = 4P_9$. Define the instanton by taking

$$G = SU(4) \Rightarrow H = SO(10) \text{ and } \eta \cdot \Rightarrow N_g = 3.$$

Zero anomaly $\Rightarrow W \neq 0$ with $z \neq 0$, $a_f = 132$

Note that after the phase transition, the structure

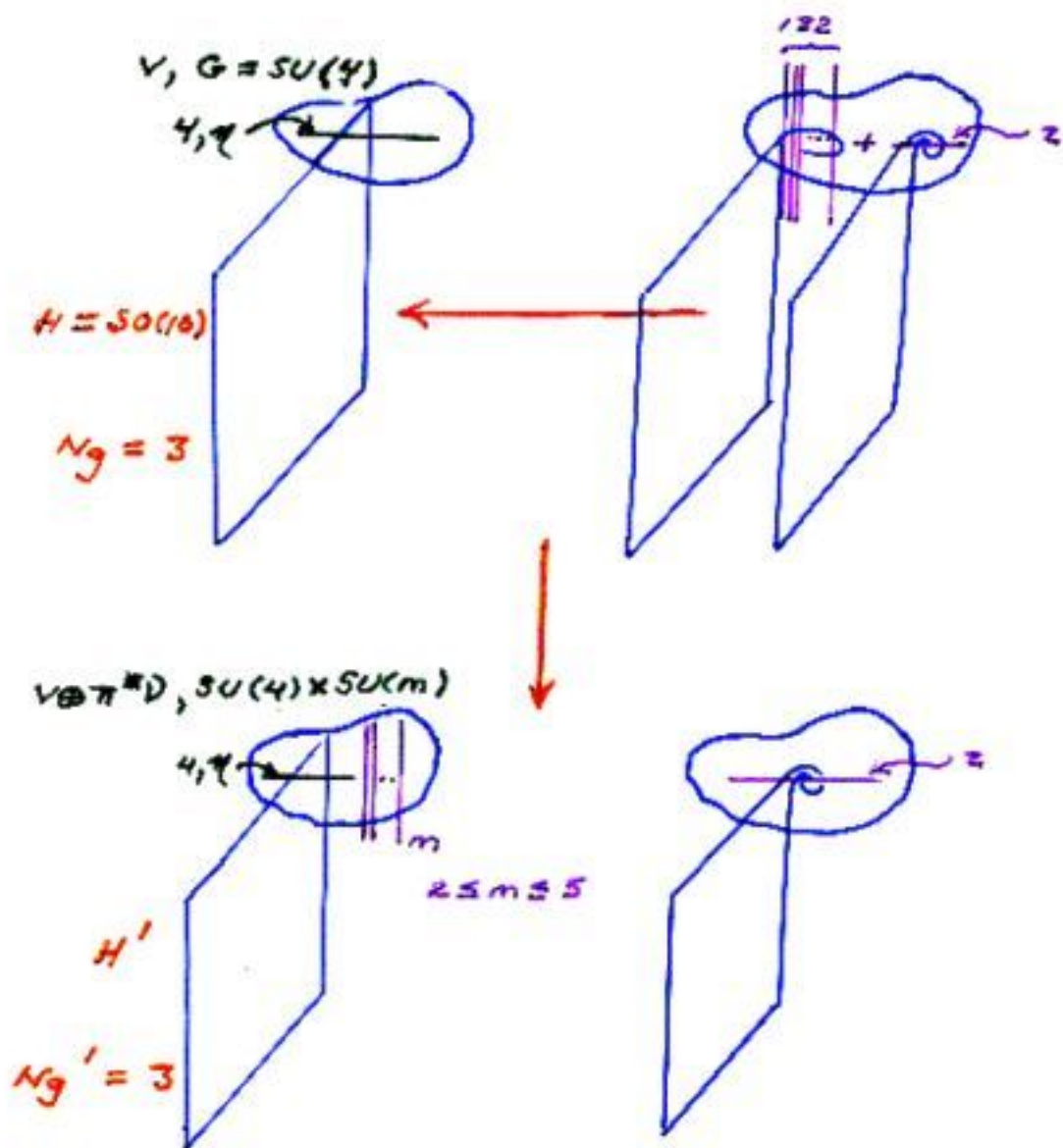
group is $SU(4) \times SU(m)$. Hence $[SU(m), SU(4)] = 0 \Rightarrow$

$$SU(m) \subseteq SO(10)$$

\Rightarrow

$$2 \leq m \leq 5 \quad (\underline{\text{not}} \ a_f = 132)$$

Pictorially



What is H' ?

$m = 2 \Rightarrow H' = SO(7), SU(2) \times SU(4)$

$m = 3 \Rightarrow H' = \mathbb{1}$

$m = 4 \Rightarrow H' = SU(2) \times SU(2)$

$m = 5 \Rightarrow H' = U(1)$