

SUPERGRAVITY DUAL OF A

CASCADING CONFINING

GAUGE THEORY

Igor Klebanov

Talk at Strings 2001

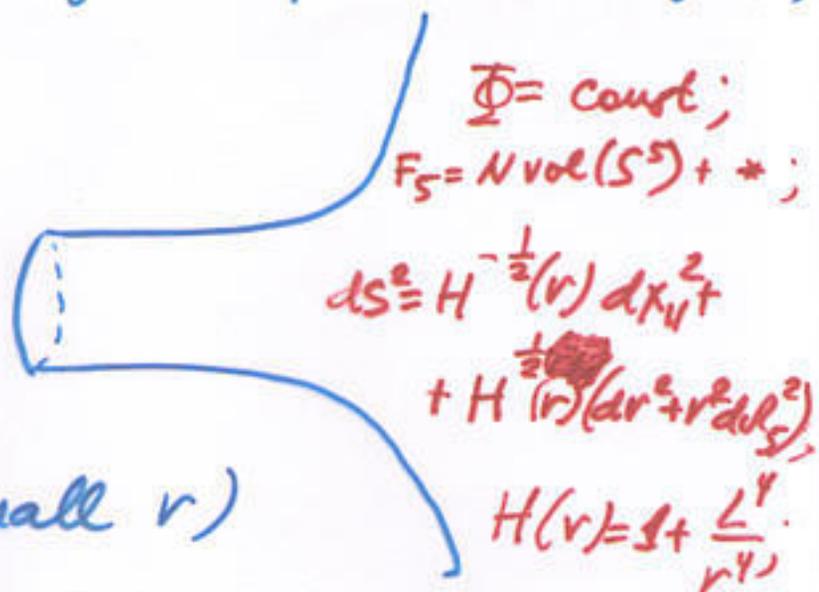
Mumbai, Jan. 9

OUTLINE

1. D3-branes on the conifold.
 $AdS_5 \times T^2$ and its $SU(N) \times SU(N)$ dual.
2. Adding wrapped D5-branes to get
 $SU(N+M) \times SU(N)$
warped conifold and RG cascade.
3. Infrared dynamics \rightarrow warped deformed conifold!
4. Chiral symmetry breaking, confinement, baryons, glueballs, domain walls, etc.
5. 2-point function: dimensional transmutation
6. Gravity duals of fractional Dp branes with $p \neq 3$.

Brief review of the AdS/CFT duality.

Compare a stack of many coincident D3-branes with its supergravity background (Gubser, IK, Tseytlin, ...)



N D3-branes

In the low energy (small r)

limit we expect duality between

$SU(N)$ SYM with $N=4$ SUSY and the $AdS_5 \times S^5$ throat of the 3-brane (Maldacena).

Comparison of tensions in gravity and D-brane theory gives (Gubser, IK, Peet) $L^4 = \frac{kN}{2\pi^{5/2}} = (2g_{YM}^2 N)^{1/2} l_p^2$

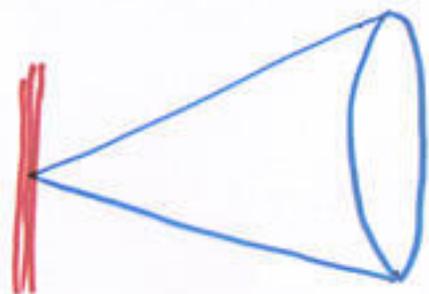
Gravity gives exact predictions in the limit

$L \gg l_s$; i.e. $g_{YM}^2 N \gg 1$ (large 't Hooft coupling)

String α' -model corrections proceed in powers of $\frac{\alpha'}{L^2} \sim (g_{YM}^2 N)^{-1/2}$; string loops in powers of $\frac{k^2}{L^8} \sim \frac{1}{N^2}$.

It is possible to break some of the SUSY without destroying conformal invariance.

Place D3-branes at the apex of a Ricci-flat 6-d cone Y_6 , whose base is X_5 (Kachru, Silverstein, ...)



X_5 is an Einstein manifold:

$$R_{ij} = 4g_{ij};$$

The metric of Y_6 is

$$ds_6^2 = dr^2 + r^2 g_{ij} d\theta^i d\theta^j.$$

The metric produced by N D3-branes is

$$ds^2 = H(r)^{-\frac{1}{2}} dx_{11}^2 + H(r)^{\frac{1}{2}} (dr^2 + r^2 g_{ij} d\theta^i d\theta^j),$$

$$H(r) = 1 + \frac{L^4}{r^4}; \quad L^4 \sim g_s N \alpha'^2;$$

In the throat ($r \rightarrow 0$) limit we find

$$ds^2 \rightarrow \frac{r^2}{L^2} dx_{11}^2 + \frac{L^2}{r^2} dr^2 + L^2 g_{ij} d\theta^i d\theta^j,$$

which describes the space $AdS_5 \times X_5$.

Type IIB theory on this background is expected to be dual to the IR limit of the field theory of N D3-branes at the central singularity.

A rather simple example of Y_6 is the non-compact Calabi-Yau manifold known as the conifold. It is defined on \mathbb{C}^4 by

$$\sum_{i=1}^4 z_i^2 = 0.$$

Before inserting D3-branes we have $N=2$ SUSY in $D=4$; with the D3-branes we find $N=1$.

The base of the conifold is Einstein space

$$T^{11} = (SU(2) \times SU(2)) / U(1);$$

$U(1)$ is generated by $\sigma_L^3 + \sigma_R^3$.

T^{11} is a $U(1)$ bundle over $S^2 \times S^2$, and its

metric is

$$g_{ij} d\theta^i d\theta^j = \frac{1}{9} (d\psi + \cos\theta_1 d\varphi_1 + \cos\theta_2 d\varphi_2)^2 + \frac{1}{6} \sum_{a=1}^2 (d\theta_a^2 + \sin^2\theta_a d\varphi_a^2),$$

$$\theta_a \in [0, \pi], \varphi_a \in [0, 2\pi); \psi \in [0, 4\pi).$$

Type IIB on $AdS_5 \times T^{11}$ should be dual to the IR limit of the $N=1$ field theory on N D3-branes placed at $z_i = 0$ (IK, Witten).

$$ds_{\text{throat}}^2 = \frac{r^2}{L^2} (-dt^2 + dx^i dx^i) + L^2 \left(\frac{dr^2}{r^2} + g_{ij} d\theta^i d\theta^j \right)$$

Construction of the dual field theory is motivated by describing the conifold as

$z_1 z_2 - z_3 z_4 = 0$ (after a linear change of variables). This equation is "solved" by

$$z_1 = A_1 B_1, \quad z_2 = A_2 B_2, \quad z_3 = A_1 B_2, \quad z_4 = A_2 B_1;$$

For N D3-branes we find a $U(N) \times U(N)$ gauge theory with fields

A_1, A_2 in (N, \bar{N}) and

IK, Witten; Morrison, Plesser

B_1, B_2 in (\bar{N}, N) ,

and an exactly marginal superpotential

$$W = \frac{\lambda}{2} \epsilon^{ij} \epsilon^{kl} \text{Tr}(A_i B_k A_j B_l) = \lambda \text{Tr}[A_1 B_1 A_2 B_2 - A_1 B_2 A_2 B_1]$$

W has $SU(2)_L \times SU(2)_R$ symmetry, with $SU(2)_L$ acting on A_i and $SU(2)_R$ acting on B_k .

In the infrared the $U(1)$ factors decouple, and the interacting gauge theory is $SU(N) \times SU(N)$.

The A 's and the B 's have R -charge $\frac{1}{2}$ which insures that the R -symmetry is anomaly free.

W has $R=2$ which is the marginal value.

Topologically, $T^{11} \sim S^2 \times S^3$.

If we add M D5-branes wrapped over the S^2 to the N D3-branes at the singularity, then the gauge group changes to $SU(N+M) \times SU(N)$. (Gubser, IK).

To implement the SUGRA dual of this non-conformal $\mathcal{N}=1$ gauge theory, we add M units of the 3-form R-R flux

$$\int_{S^3} H^{RR} = M \quad (\text{produced by the D5's})$$

to the N units of 5-form flux produced by the regular D3-branes

$$\int_{T^{11}} F_5 = N.$$

H_{RR} induces the radial dependence of

$$\int_{S^2} B^{NS-NS}, \text{ which translates into flow of } \frac{1}{g_1^2} - \frac{1}{g_2^2} \quad (\text{Nekrasov, IK})$$

It is further possible to find exact SUGRA solution including the back-reaction of the 3-form field strengths (IK, Tseytlin)

$$B_{NS-NS} = 3g_s M \ln(r/r_0) \omega_2$$

$$H_{RR} = M e^{\chi} \wedge \omega_2$$

$$ds_{10}^2 = h^{-\frac{1}{2}}(r) (-dt^2 + dx_1^2 + dx_2^2 + dx_3^2) + h^{\frac{1}{2}}(r) (dr^2 + r^2 dS_{TII}^2)$$

$$\text{where } h(r) = b_0 + \frac{4\pi g_s N + \text{const} [(g_s M)^2 \ln(r/r_0) + (g_s M)^2]}{r^4}$$

The "improved" 5-form field strength

$$F_5 = dC_4 + B_{NS-NS} \wedge H_{RR} = \mathcal{F}_5 + * \mathcal{F}_5$$

$$\mathcal{F}_5 = \left[N + \frac{\text{const}}{4\pi} g_s M^2 \ln(r/r_0) \right] \text{vol}(T^{11})$$

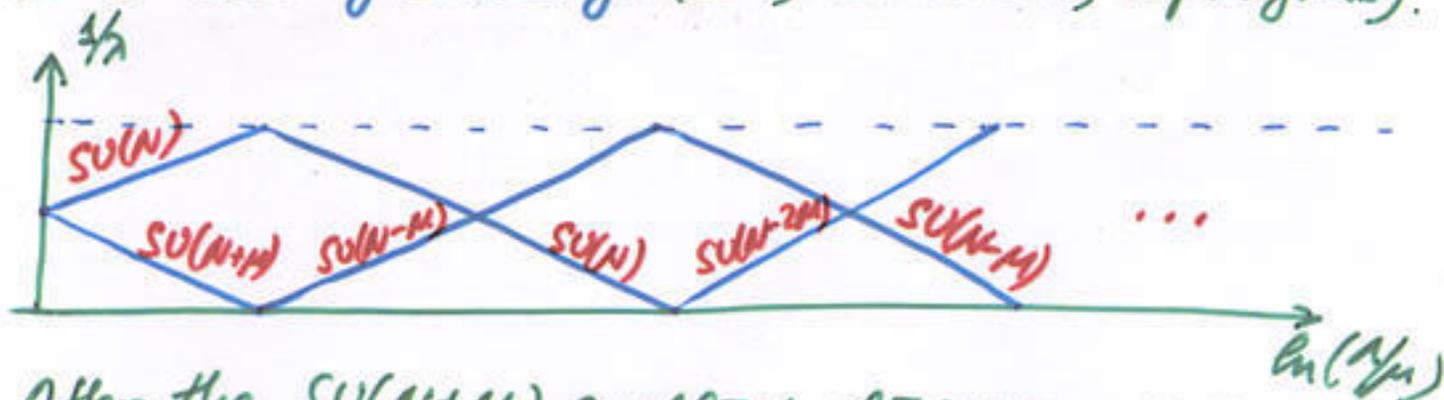
This is the background of N D3's and M D5's wrapped over the S^2 at the conifold singularity.

For $M=0$ it reduces to the standard 3-brane solution.

The effective 3-brane charge $\int_{T^{11}} F_5$ has become r -dependent!

As $\int_{S^2} B_{NS-NS}$ goes through a period, $N \rightarrow N - M$

On the FT side the jumps are due to the $N=1$ Seiberg duality (ITK, Strassler; in progress).



After the $SU(N+M)$ coupling diverges, application of duality gives $SU(N_f - N_c) = SU(N-M)$ group.

Suppose that $N = 10^6 M + p$; $p \sim \mathcal{O}(1)$.

After 10^6 jumps we reach $SU(p) \times SU(M+p)$, and further jumps are impossible.

If $g_s M \gg 1$ then the SUGRA background

$$h(r) \sim \frac{(g_s M)^2 \ln(r/r_*)}{r^4} \text{ appears to have small}$$

curvatures.

Note also the appearance of the $SU(M)$ 't Hooft coupling $g_s M$.

However, there is a naked singularity at

$$r = r_*$$

Consider the case $p=1$: only 1 regular brane is left at the bottom of the cascade.

Find $SU(M+1)$ gauge theory coupled to C_1, C_2 in $M+1$; D_1, D_2 in $\overline{M+1}$

Define gauge invariants $N_{ij} = C_i D_j$.

$$W = \lambda \underbrace{N_{ij} N_{kl} \epsilon^{ik} \epsilon^{jl}}_{2 \det N_{ij} = \mathcal{D}} + (M-1) \left[\frac{2\lambda^{3M+1}}{N_{ij} N_{kl} \epsilon^{ik} \epsilon^{jl}} \right]^{\frac{1}{M-1}}$$

↕ ADS term.

Varying W , find $\mathcal{D}^M = \frac{2\lambda^{3M+1}}{\lambda^{M-1}}$

The M different solutions are related by the non-anomalous Z_{2M} R-symmetry which acts as $\mathcal{D} \rightarrow \mathcal{D} e^{2\pi i/M}$: Z_{2M} is broken to Z_2 .

The deformed equation for SUSY vacua is $\det N_{ij} = \text{const}$

This is the deformed conifold!

For large M , Z_{2M} is essentially $U(1)$.

$$\text{Define } z_{ij} = \frac{1}{\sqrt{2}} \begin{pmatrix} z_3 + iz_4 & z_1 + iz_2 \\ z_1 - iz_2 & -z_3 + iz_4 \end{pmatrix}$$

$$\det z_{ij} = -\frac{\epsilon^2}{2} \Rightarrow \sum_{a=1}^4 z_a^2 = \epsilon^2;$$

The deformation breaks the $U(1)_R$ symmetry

$$z_a \rightarrow e^{id} z_a \text{ down to } \mathbb{Z}_2 \text{ (XSB)}$$

but preserves the global $SU(2) \times SU(2) \sim SO(4)$

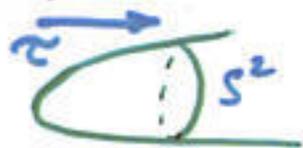
Replacing conifold \rightarrow deformed conifold

cures the naked singularity problem!

I.K., M. Strassler

Geometrical reason: at the apex S^2 shrinks
but S^3 remains finite.

Conserved RR F_3 flux prevents the S^3
from collapsing.



The 10-d metric is a

WARPED DEFORMED CONIFOLD

$$ds_{10}^2 = h^{-\frac{1}{2}}(\tau) dx_{11}^2 + h^{\frac{1}{2}}(\tau) ds_6^2$$

ds_6^2 is the CY metric on $\sum_{a=1}^4 e_a^2 = \epsilon^2$ and

τ is its radial variable.

The complex 3-form $G_3 = F_3 + \frac{i}{g_s} H_3$ is
a $(2,1)$ form.

This ensures $\mathcal{N}=1$ SUSY of the background
Polchinski, Graña
Gubser.

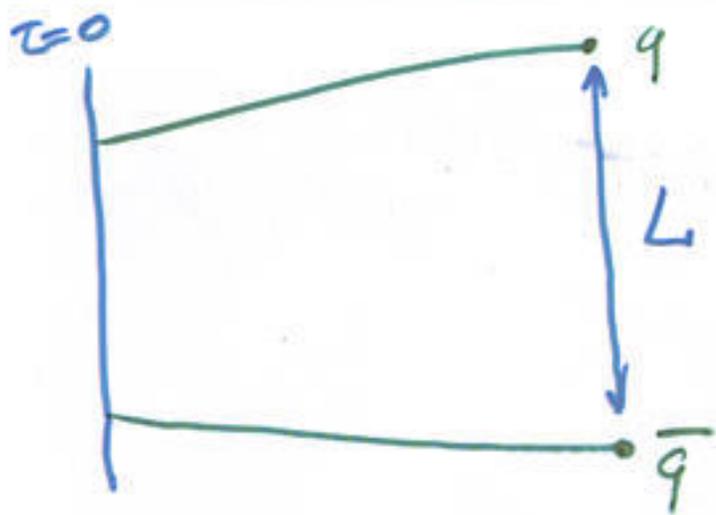
G_3 is known explicitly;

For large τ , $h(\tau) \rightarrow \frac{\ln(r/r_*)}{r^4} (g_s M)^2$

small τ , $h(\tau) \rightarrow \text{const of order } (g_s M)^2$.

$\tau=0$ is neither a naked singularity nor
a horizon!

This implies AREA LAW for Wilson loops.



The $q\bar{q}$ potential is linear since most of the string is near $T=0$ where the longitudinal metric is $\sim \frac{1}{g_s M} dx_{11}^2$.

The spectrum of glueballs (normalizable wave functions) on the entire smooth background is **DISCRETE** (Caceres, Hernandez; Kravtsov)

The presence of a finite S^3 far in the IR (at $\tau=0$) accounts for much of the "phenomenology" of a confining $\mathcal{N}=1$ theory.

1. A D3-brane wrapped over the S^3 is a BARYON VERTEX connecting M strings (external quarks).



2. A D5-brane wrapped over the S^3 is a DOMAIN WALL in $R^{3,1}$ separating two adjacent vacua.

For large $g_s M$ curvatures are small everywhere \Rightarrow we find a reliable SUGRA dual of a cascading, confining theory.

Is the cascading theory a local QFT?

Study the 2-point function of the operator which corresponds to a massless minimally coupled scalar ϕ (M. Krasnitz).

For large τ the equation is determined by the asymptotic metric:

$$\left[r^{-5} \partial_r r^5 \partial_r - A^2 k^2 \frac{r_*^4}{r^4} \ln \frac{r}{r_*} \right] \phi_k(r) = 0;$$

$A \sim g_s M$; k_μ is the 4-momentum.

Introducing $y = \frac{A k r_*^2}{r}$, we have

$$\left[y^3 \partial_y y^{-3} \partial_y - \ln \frac{y}{y} \right] \phi(y) = 0;$$

$$y = A k r_* ;$$

The boundary is at $y=0$.

As for $m=0$ field in AdS_5 we impose the boundary condition $\phi(0) = 1$.

For $y \ll \frac{1}{\sqrt{\ln y}}$, the solution is

$$\phi(y) = 1 - \frac{1}{4} y^2 \ln \frac{y}{y} + y^4 \left[\frac{1}{48} \ln^3 \frac{y}{y} + \frac{1}{64} \ln^2 \frac{y}{y} + \frac{1}{128} \ln \frac{y}{y} + \dots \right]$$

C is determined by matching to the form of the solution for $y \gg \frac{1}{y}$;

$$C = -\frac{1}{16} \ln^3(AkV_*) ; \quad \text{for } AkV_* \gg 1 ;$$

$$\langle \mathcal{O}(k) \mathcal{O}(-k) \rangle \sim \phi r^5 \partial_r \phi \Big|_{r=\infty} \sim g_s^2 M^4 k^4 \ln^3(AkV_*)$$

In position space, for $|x| \ll AV_*$;

$$\langle \mathcal{O}(x) \mathcal{O}(0) \rangle \sim g_s^2 M^4 \frac{\ln^2\left(\frac{|x|}{AV_*}\right)}{|x|^8} \sim N_{\text{eff}}^2(x) |x|^{-8} ;$$

$$\text{where } N_{\text{eff}}(x) \sim g_s M^2 \ln \frac{AV_*}{|x|} ;$$

This suggests that the cascading theory is a local gauge theory with a scale dependent number of colors.

The glueball masses are determined by normalizable solutions to $\square \phi = 0$ in the full metric!

$$m_n = \frac{c_n}{AV_*} ; \quad c_n \text{ is a discrete spectrum.}$$

$$\langle \mathcal{O}(x) \mathcal{O}(0) \rangle \sim e^{-m_0 |x|} \quad \text{for } |x| \gg AV_* ;$$

Pure $N=1$ SUSY $SU(M)$ theory is found for small τ : near the apex.



Cascade jumps occur every $\Delta\tau \sim \frac{1}{g_s M}$.

To zoom in on the pure glue region we need to take the limit $g_s M \rightarrow 0$.

This necessitates studying full STRING σ -MODEL on the WARPED DEFORMED CONIFOLD WITH R-R (and NS-NS) 3-form field strengths.

SUGRA gives the strong coupling limit of an exotic cascading $N=1$ theory which flows to pure $SU(M)$ in the IR.

Gravity Dual of N D $_p$ -branes + M wrapped
 D $_{(p+2)}$ -branes (C. Herzog, I.K.)

Related solutions were found by Cvetič, Lü, Pope.

$$ds_{10}^2 = h(r)^{-\frac{1}{2}} dx_{11}^2 + h(r)^{\frac{1}{2}} (dr^2 + r^2 dS_{8-p}^2) ;$$

$$e^{4\Phi} = h(r)^{3-p} ; \quad F_{0\dots p r} = \partial_r h^{-1}.$$

dS_{8-p}^2 is the Einstein metric on the base of
 the $9-p$ dimensional Ricci flat cone, X_{8-p} .

$$B_{NS-NS} = \mathcal{O} \frac{r^{p-3}}{p-3} \omega_2 ; \quad F_{6-p} \sim \mathcal{O}^* \omega_2$$

ω_2 is the harmonic 2-form dual to the wrapped cycle.

$$h(r) = \frac{P}{r^{7-p}} - \frac{\mathcal{O}^2}{(3-p)(10-2p)r^{10-2p}} ; \quad p < 5.$$

$$P \sim g_s N ; \quad \mathcal{O} \sim g_s M.$$

For $p < 4$ there is a naked singularity in
 the IR, where h vanishes.

An Explicit Example of Duality

$$p=1 ; \quad X_7 = \mathcal{O}^{\text{III}} = \frac{SU(2) \times SU(2) \times SU(2)}{U(1) \times U(1)}$$

\mathcal{O}^{III} has $SU(2)^3 \times U(1)$ symmetry.

The dual $N=2$ 1+1 dimensional theory
has gauge group $U(N+M) \times U(N) \times U(N)$

coupled to A_1, A_2 in $(N+M, \bar{N}, 1)$

B_1, B_2 in $(1, N, \bar{N})$

C_1, C_2 in $(\overline{N+M}, 1, N)$.

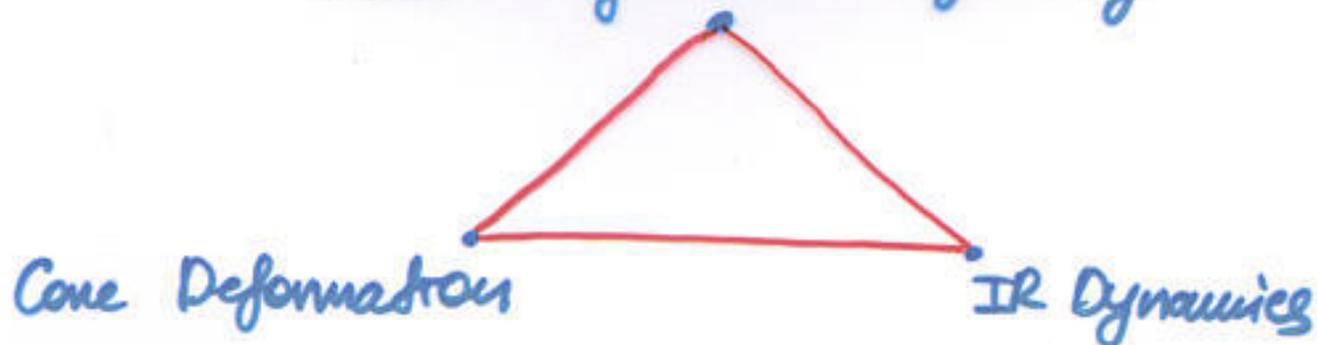
These chiral superfields realize the three
 $SU(2)$'s, respectively.

Varying RR flux \Rightarrow reduction of N in the IR.

This may be due to Higgsing (see Aharony,
hep-th/0101013).

We expect

Resolution of Naked Singularity



To Be Continued...