

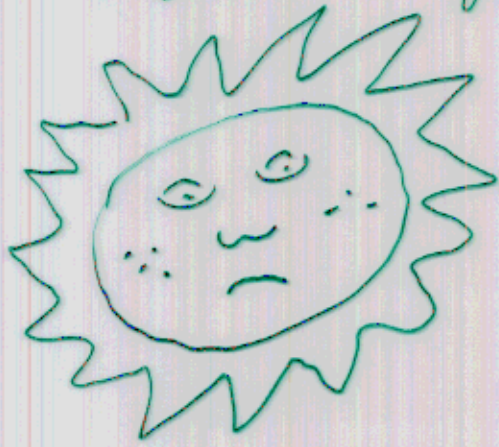
SUPERSYMMETRIC GAUGE THEORIES & MATRIX MODELS

w/ Cumrun Vafa

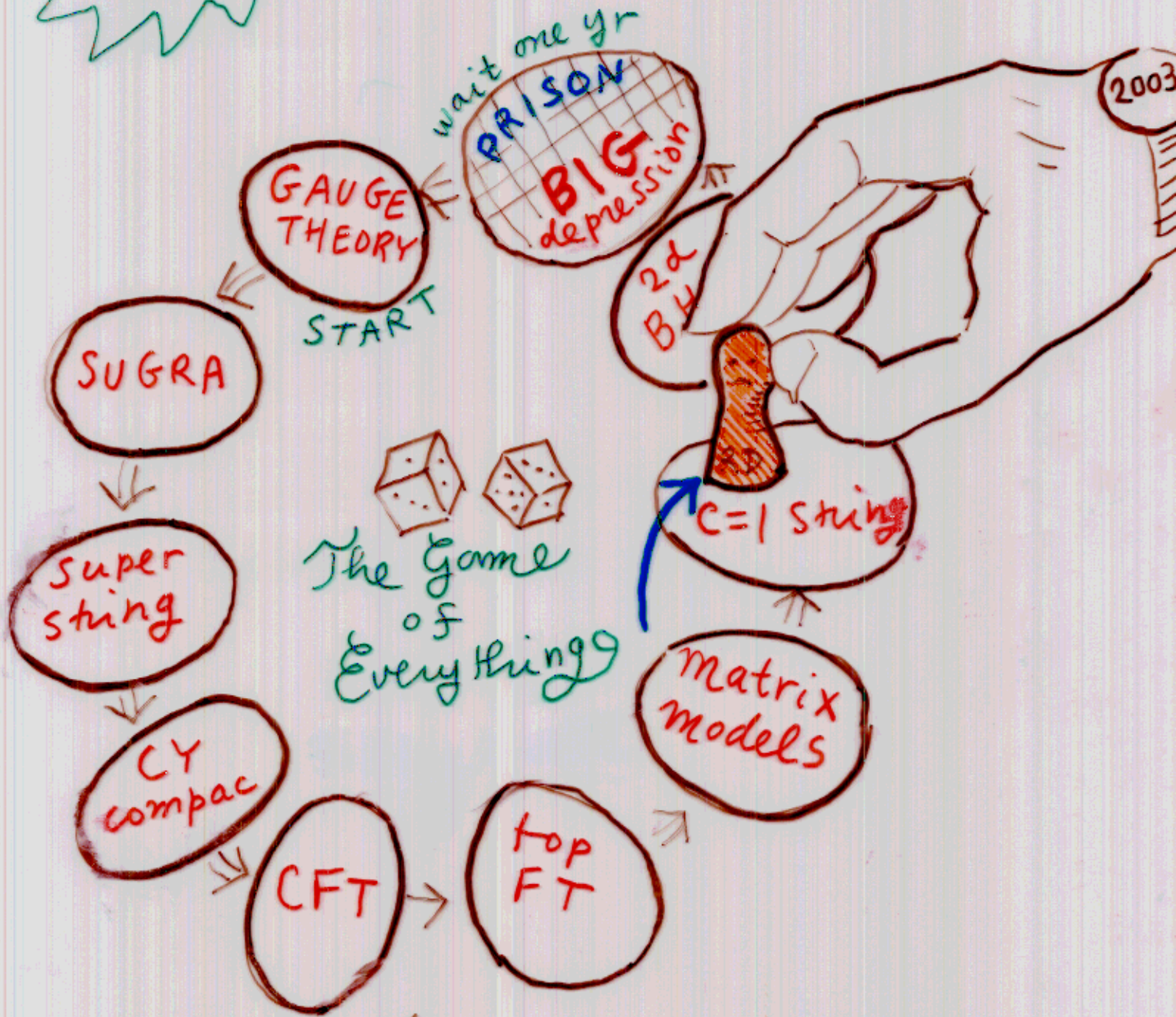
hep-th/0302011

and various effective
approximations

11 yr solar cycle

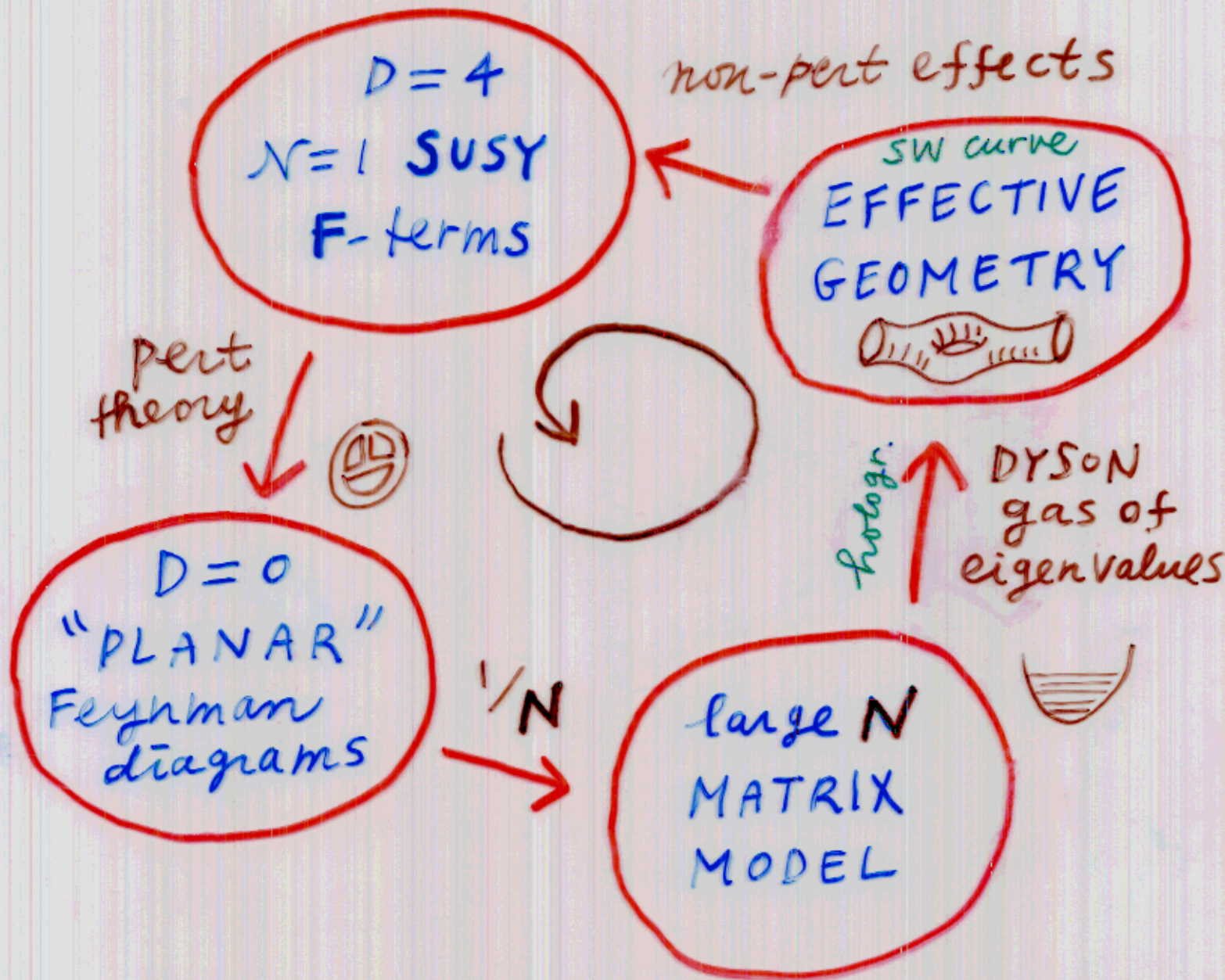


C=1 STRING



Poincaré recurrences
in hep-th space

"SUSY BOOTSTRAP"



[executive summary]

$N=1$ GAUGE THEORY

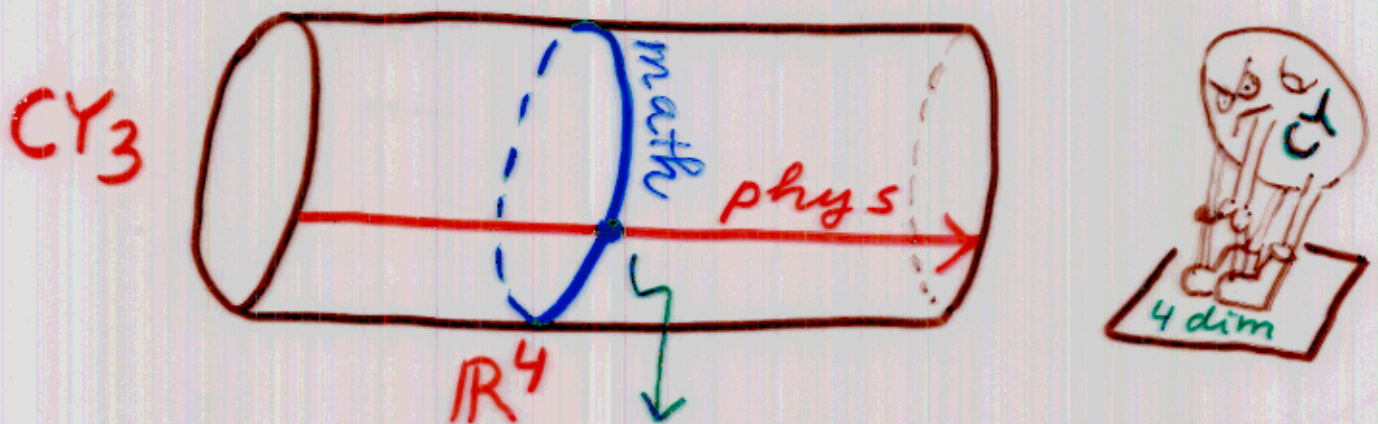
F-terms $\int d^2\theta F(\phi)$

geom.
engineer \uparrow

\downarrow master field

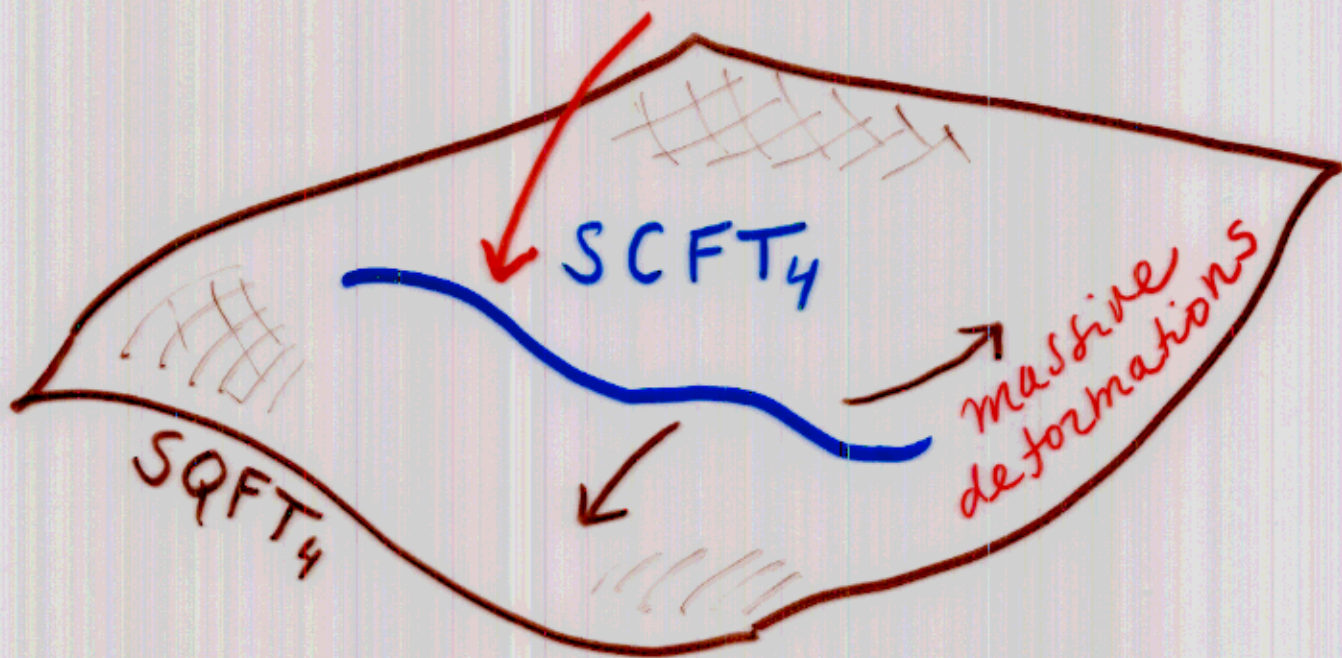
CY₃ QUANTUM ($g_s^2 = \hbar$) GEOMETRY

(non-commutative?)



DO F-TERMS determine the world?

FANTASY: determine IR fixed points



True for $SCFT_2$ $N=(2,2)$

simplest example

$$N=2 \rightarrow N=1$$

[Cachazo-Intiligator-Vafa]

$$U(N) + \text{Adj } \Phi$$

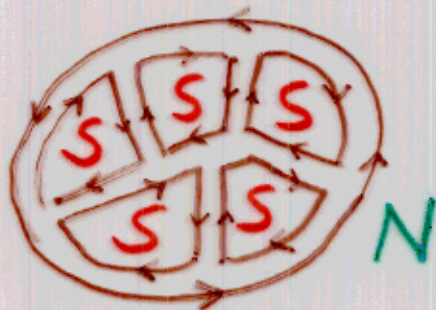
tree level superpotential

$$\text{Tr } W(\Phi)$$

effective superpotential

$$S = \text{Tr } W_\alpha^2 \quad (\text{glueball})$$

$$W_{\text{eff}} = \sum_{\text{planar}} \text{diagram}$$



$$= N \frac{\partial \mathcal{F}_0}{\partial S}$$

$a_n \sim c^n$
ANALYTIC

$$\mathcal{F}_0 = \sum a_n S^n$$

matrix model free energy $\tilde{N} \rightarrow \infty$

$$S = g_s \cdot \tilde{N}$$

MATRIX MODEL $\tilde{N} \times \tilde{N}$

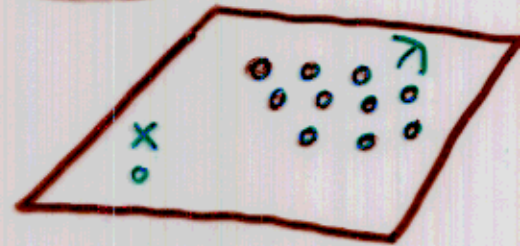
$$e \sim \frac{1}{\text{vol } U(\tilde{N})} \int d\Phi \cdot e^{\frac{1}{g_s} \text{Tr } W(\Phi)}$$

gas of eigenvalues

$$\int d\lambda \prod_{i < j} (\lambda_i - \lambda_j)^2 e^{\frac{1}{g_s} \sum W(\lambda_i)}$$

$$\exp S_{\text{eff}}(\lambda_i)$$

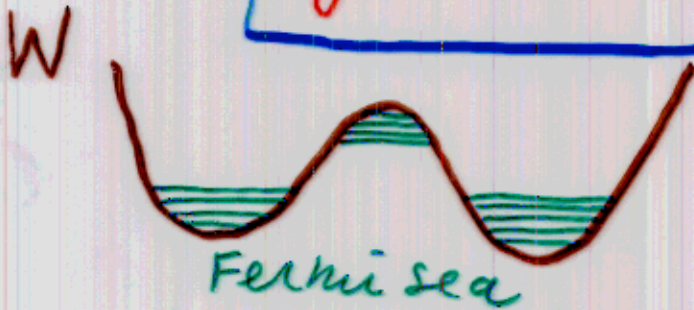
probe eigenvalue



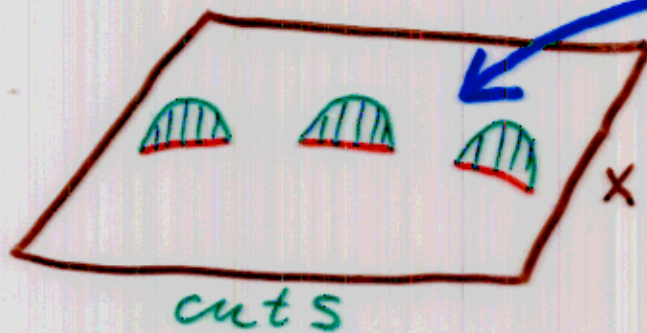
$$y = \frac{\partial S_{\text{eff}}(x, \lambda_1, \dots, \lambda_{\tilde{N}})}{\partial x}$$

loop equation

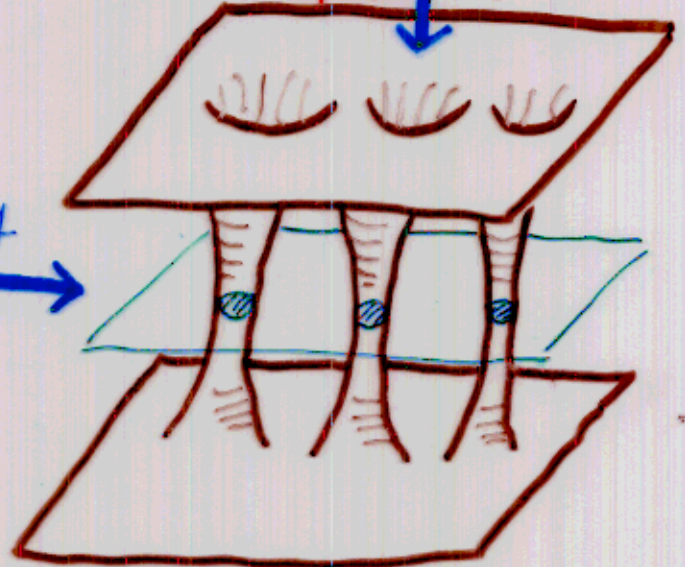
$$y^2 = W'(x)^2 + f_{\text{qu}}$$



density

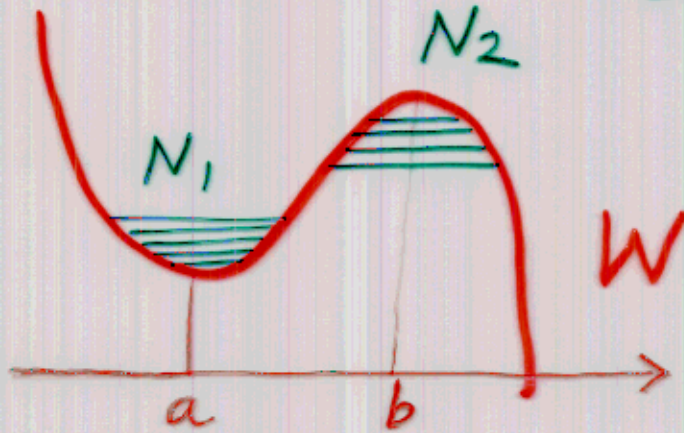


hyperelliptic



SYM BREAKING (multicuts)

[W. Gukov, Kazakov, Vafa]



$$U(N) \longrightarrow U(N_1) \times U(N_2)$$

$$\Phi = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} + \begin{pmatrix} \phi_{11} & \phi_{12} \\ \phi_{21} & \phi_{22} \end{pmatrix}$$

ϕ_{12}, ϕ_{21} pure gauge

fix

$$\phi_{12} = \phi_{21} = 0$$

GHOSTS

$$W = \text{Tr } b [\phi, c]$$

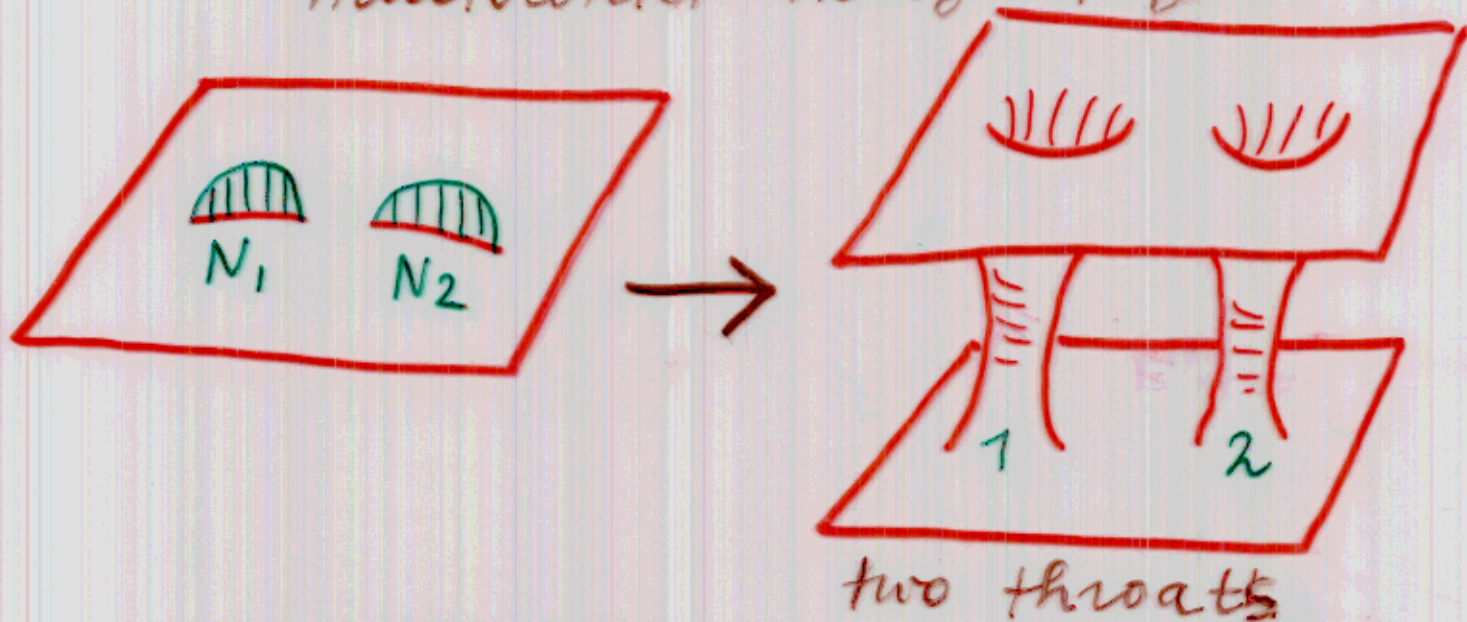
$$b = \begin{pmatrix} 0 & b_{12} \\ b_{21} & 0 \end{pmatrix}, \quad c = \begin{pmatrix} 0 & c_{12} \\ c_{21} & 0 \end{pmatrix}$$

1-2 STRINGS fermions

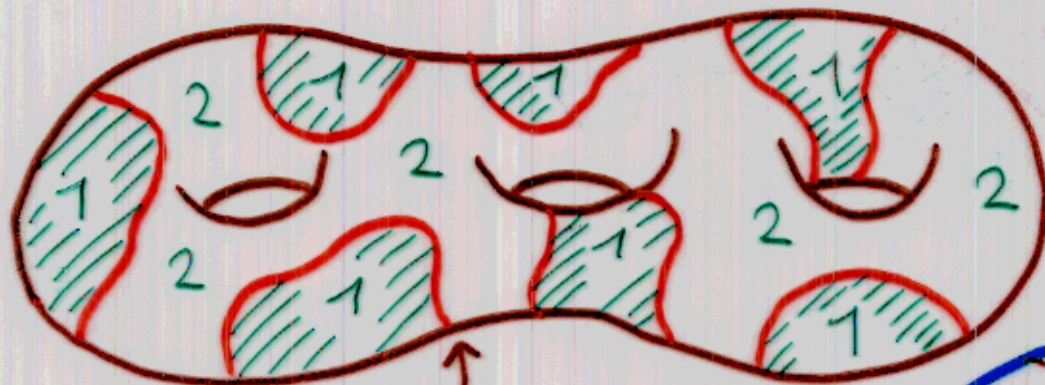
ghost # conserved



multicenter holography



WORLD SHEET

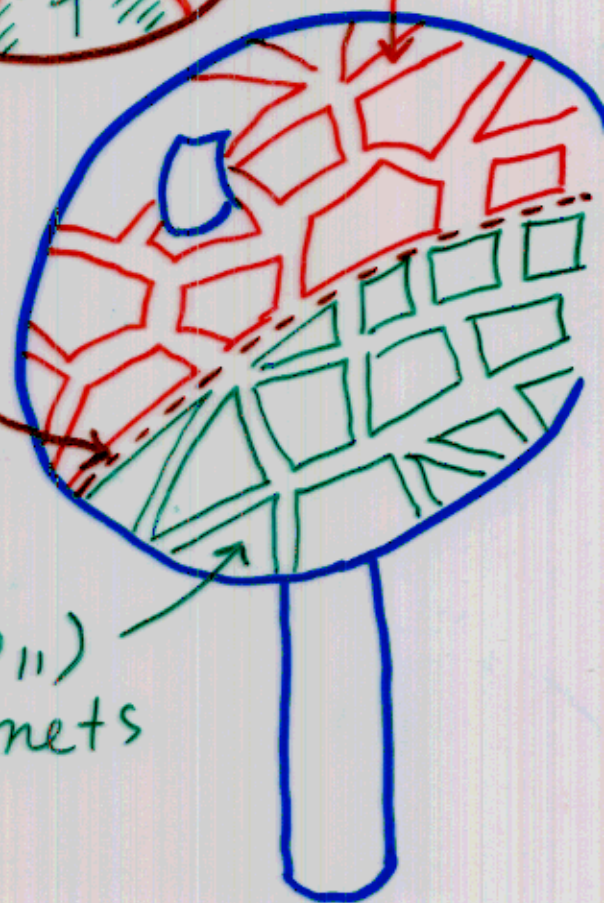


12-domain walls

patching worldsheet CFT's

$\tilde{W}(\phi_{22})$
fishnets

[cf Bachas, de Boer, D, Ooguri]



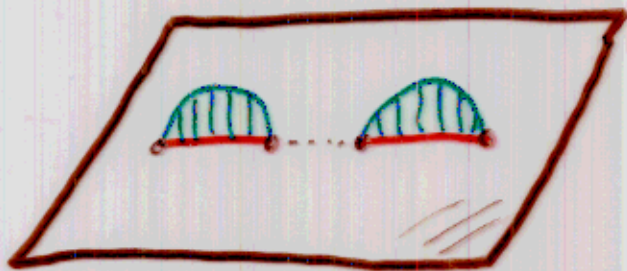
$W(\phi_{11})$
fishnets

LOCAL field theory on effective geometry!

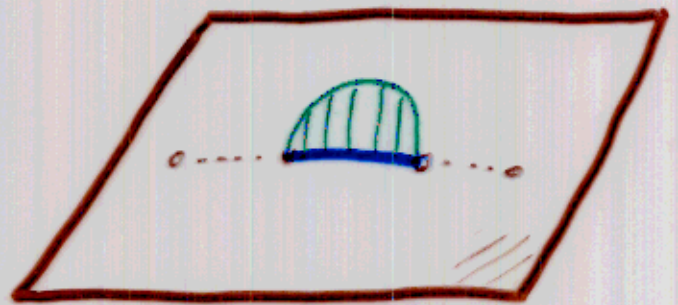
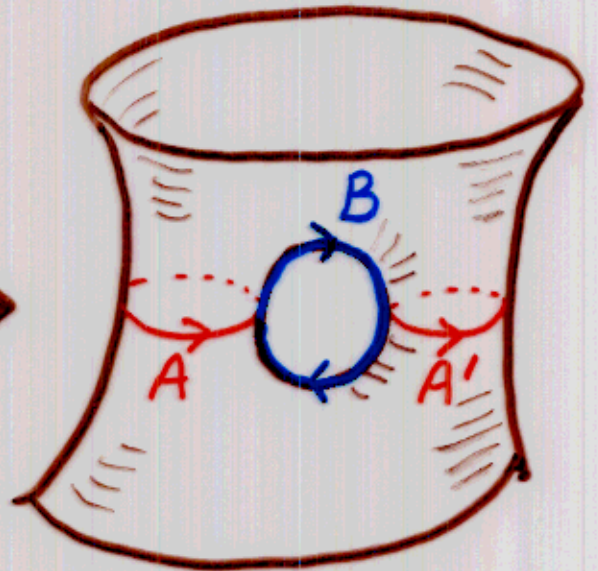
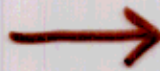
COLLECTIVE field

$$\varphi(x) = \text{Tr} \log (x - \Phi)$$

DUALITIES



electric

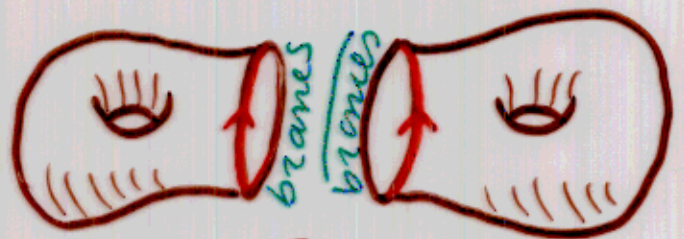


magnetic

dual ?
MM



SW curve



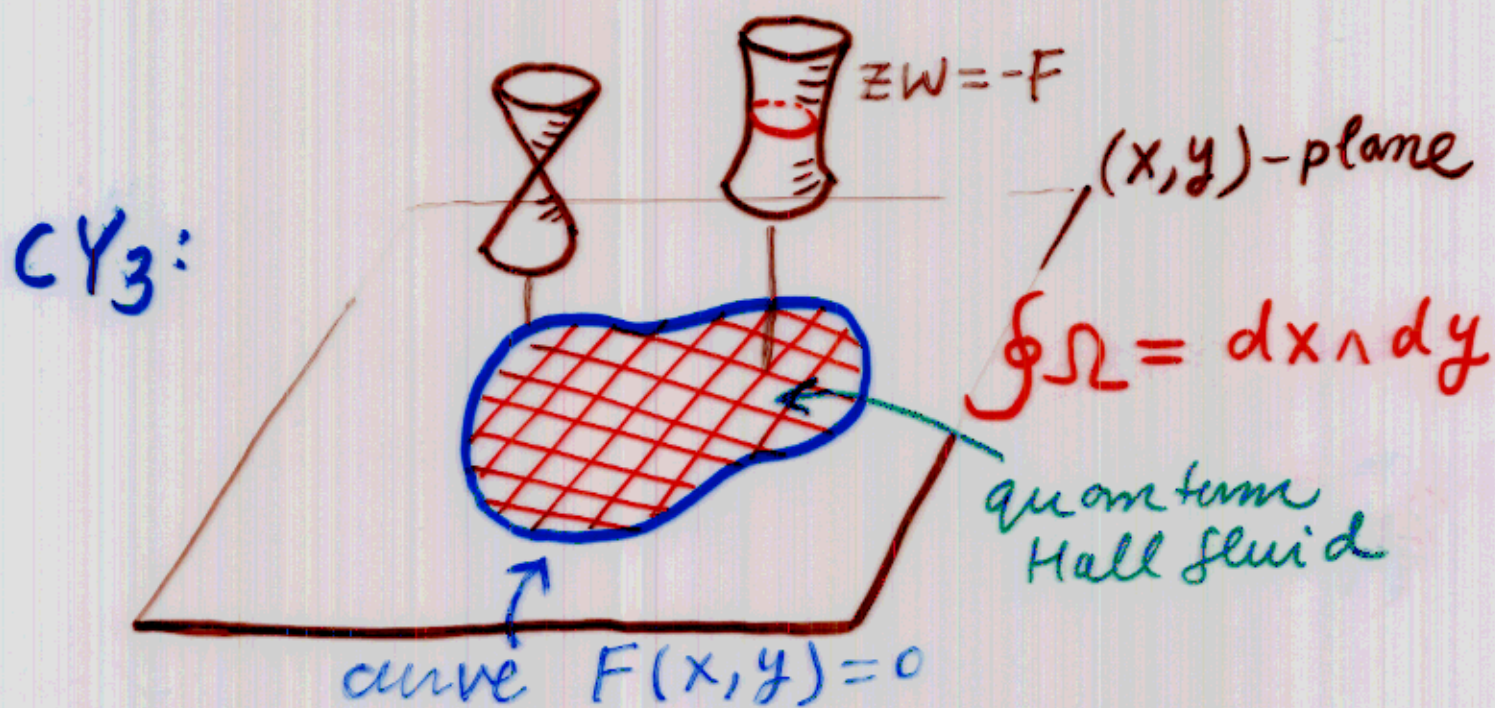
?

CY 3-FOLDS

$$F(x, y) + zw = 0 \quad \text{in } \mathbb{C}^4$$

holomorphic 3-form

$$\Omega = \frac{dz dx dy}{z}$$



(x, y) plane: phase space

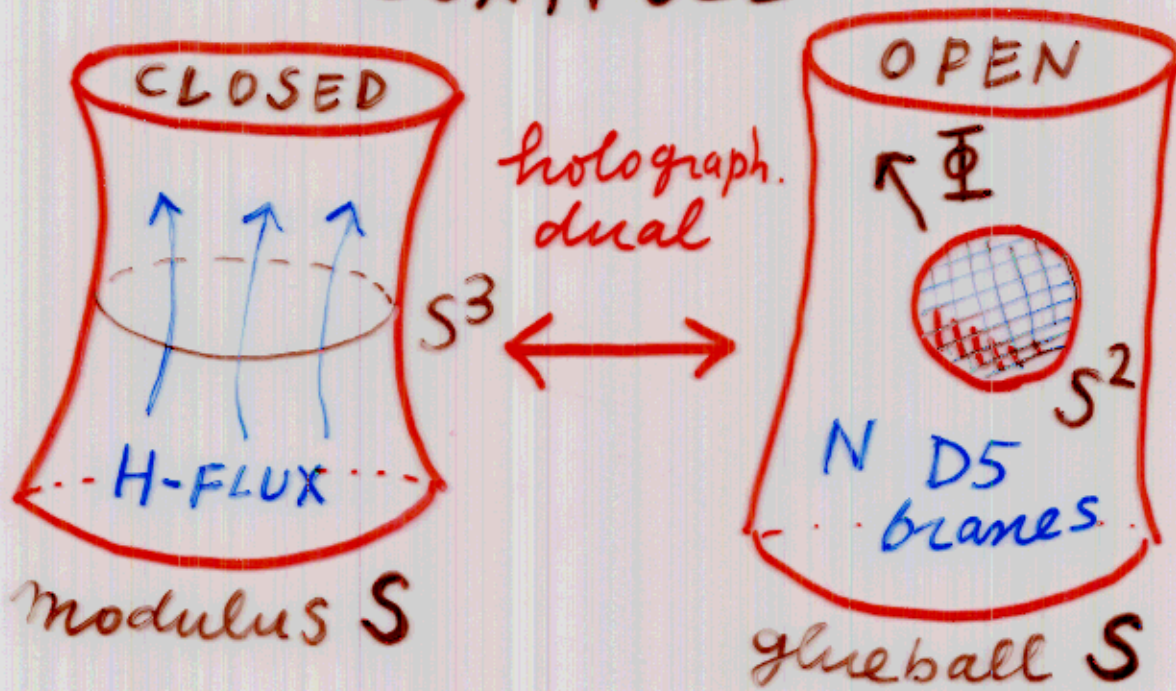
$$y = \frac{\partial S_{\text{eff}}}{\partial x} = p \quad (\text{Ham-Jac})$$

LEVEL SET

$$0 = F(x, y) \quad \text{Hamiltonian}$$

$$\int_{F=0} \Omega = \int y dx = \int dS_{\text{eff}}$$

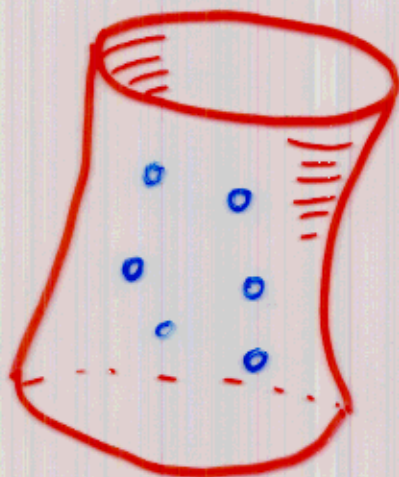
WHERE IS THE CALABI-YAU ? CONIFOLD



gaussian model $W = m \Phi^2$

$$\int d\Phi \cdot e^{-\frac{1}{g_s} \text{Tr}(m \Phi^2)}$$

add D3-branes, $pt \in CY_3$

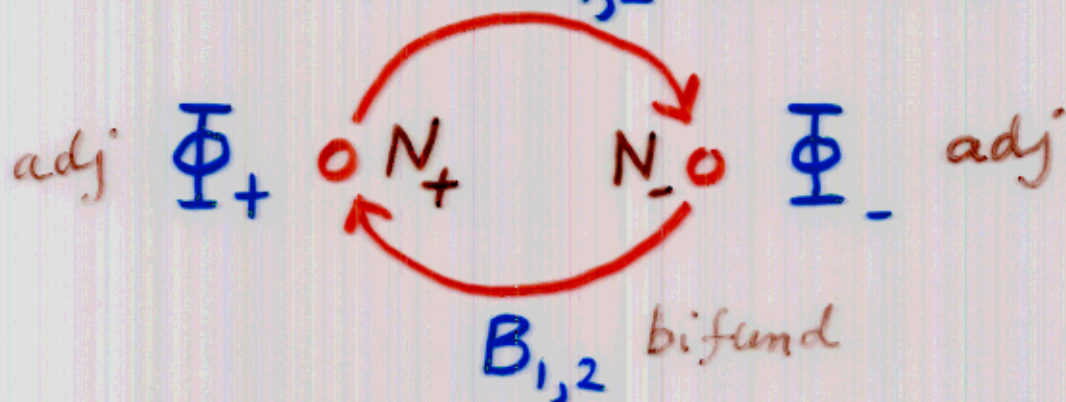


M D3-branes

[Klebanov, Strassler]

\hat{A}_1 QUIVER GAUGE THEORY

$A_{1,2}$ bifund [Klebanov-Witten]



gauge group

$$U(N_+) \times U(N_-)$$

$$N_+ = N + M$$

$$N_- = M$$

Superpotential

$$W = \text{Tr}_+^2 - \text{Tr}_-^2 + B_i \text{Tr}_+ A_i - A_i \text{Tr}_- B_i$$

$$\sim A_1 B_1 A_2 B_2 - A_1 B_2 A_2 B_1$$

RG cascade of SEIBERG dualities

$$(N_+, N_-) \rightarrow (2N_- - N_+, N_-) \dashrightarrow \mathcal{N}=1 \text{ SYM}$$

[Klebanov-Strassler, Cachazo-Fiol-Inhul.-Katz-Vafa]
+ ...

F-terms function $S = S_+ - S_-$

$\equiv U(N)$ theory

QUIVER MATRIX MODEL

$$\int d\Phi_{\pm} dA; dB; e^W$$

integrate out A, B

reduce to eigenvalues

$$\Phi_{\pm} = \begin{pmatrix} \lambda_{\pm}^1 & & 0 \\ & \ddots & \\ 0 & & \lambda_{\pm}^{N_{\pm}} \end{pmatrix}$$

general potential

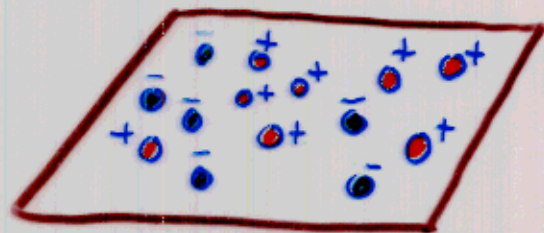
$$W(\Phi_+) - W(\Phi_-)$$

eigenvalue gas

$$\int d\lambda_{\pm} \pi \frac{\overset{\text{Vandermondes}}{\underbrace{(\lambda_i^+ - \lambda_j^+)^2 (\lambda_i^- - \lambda_j^-)^2}}_{\substack{\rightarrow (\lambda_i^+ - \lambda_j^-)^2 \\ \text{bifundamentals}}} e^{\sum_{\pm} W(\lambda_{\pm}^{\pm})} \Phi_{\pm}$$

charged fermions $\pi(\lambda_i - \lambda_j)^{q_i q_j}$

$q_i = \text{charge}$ $\lambda_i^{\pm} = \pm 1$



net charge

$$\sum q_i = \tilde{N}_+ - \tilde{N}_- = \tilde{N}$$

effective action

Coulomb

$$S_{\text{eff}} = \sum q_i W(\lambda_i) + 2g_s q_i q_j \log(\lambda_i - \lambda_j)$$

force $y = \frac{\partial S_{\text{eff}}}{\partial x}$

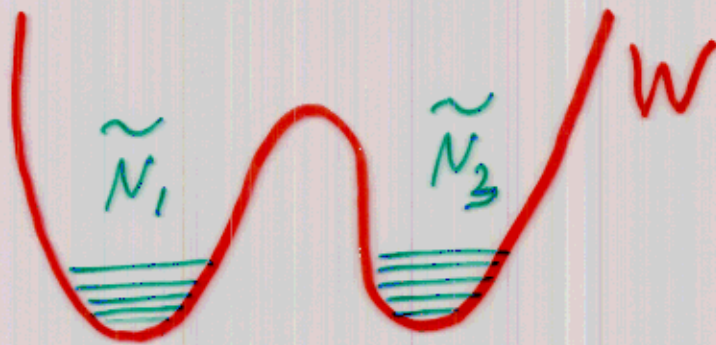
$$y(x) = \pm \left[W'(x) + 2g_s \sum \frac{q_i}{x - \lambda_i} \right]$$

Spectral curve

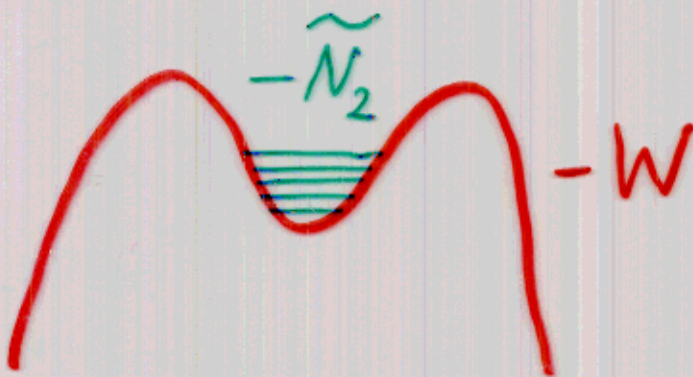
$$y^2 = W'(x)^2 + f(x)$$



$$f_{qu}(x) = \frac{1}{N} \sum_i q_i \frac{W'(x) - W'(\lambda_i)}{x - \lambda_i}$$

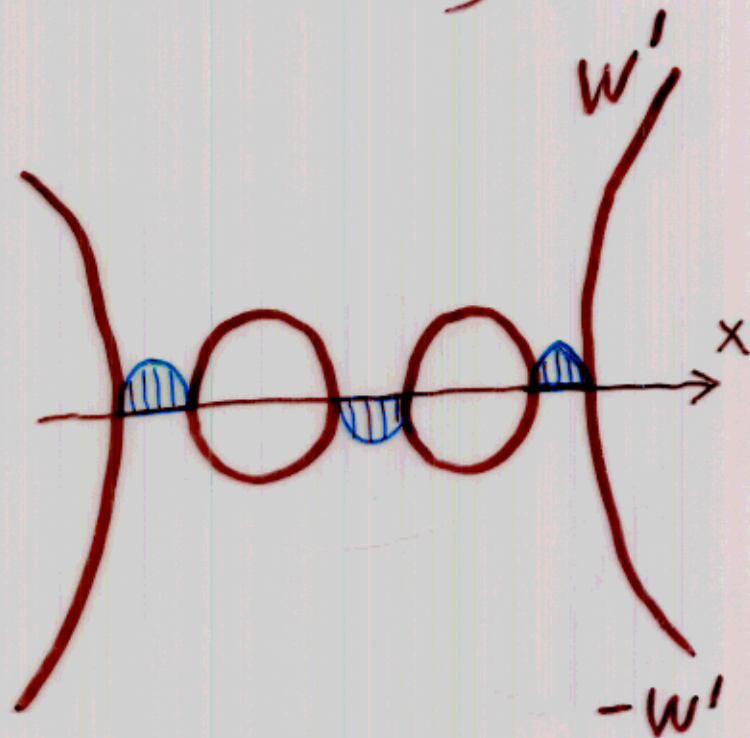


eigenvalues

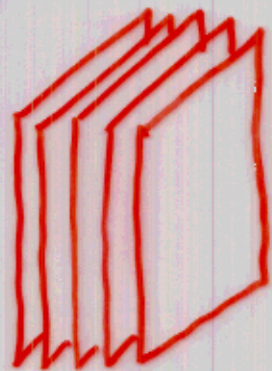


anti-eigenvalues
(holes)

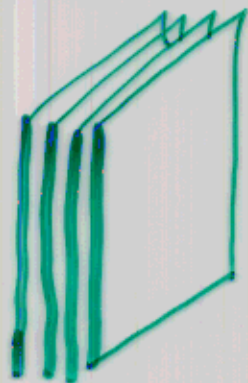
$$y^2 = W'(x)^2 + \dots$$



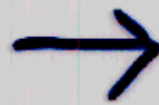
TOPOLOGICAL BRANES - BRANES (Vafa)



N_+



N_-



$N = N_+ - N_-$

SUPERGROUP $U(N_+ | N_-)$

cf K-theory "stabilization"

$$N_+ | N_- \simeq N_+ - N_- | 0$$

Supermatrix

$$\bar{\Phi} = \begin{pmatrix} \bar{\Phi}_+ & * \\ * & \bar{\Phi}_- \end{pmatrix}$$

← odd components

gauge fixing

gauge fix to bosonic field

$$\Phi = \begin{pmatrix} \Phi_+ & 0 \\ 0 & \Phi_- \end{pmatrix}$$

$$U(N_+ | N_-) \rightarrow U(N_+) \times U(N_-)$$

ghosts: chiral fields

$$\text{STr}(b[\Phi, c])$$

here bosonic

$$\text{ghost} = \begin{pmatrix} 0 & A \\ B & 0 \end{pmatrix}$$

superpotential

$$W = \text{str}_{\text{tree}} W(\Phi) + \text{ghosts}$$

$$= \text{Tr} W(\Phi_+) - \text{Tr} W(\Phi_-)$$

$$+ \text{Tr}(B_i \Phi_+ A_i - A_i \Phi_- B_i)$$

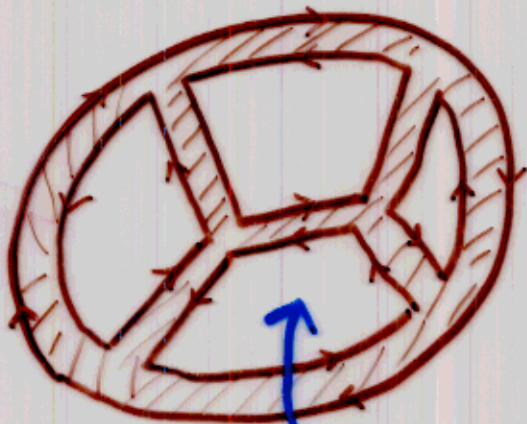
\hat{A} , quiver theory!

SUPERMATRIX MODEL

$$Z = \int_{\tilde{N}_+ | \tilde{N}_-} d\Phi e^{\text{Str } W(\Phi)}$$

reduce

$$= \int_{\tilde{N}_+ - \tilde{N}_-} d\Phi e^{\tau_2 W(\Phi)}$$



$$\text{STr}(\mathbb{1}) = \tilde{N}_+ - \tilde{N}_-$$

STABLE COMPUTATION

1. $S = S_+ - S_-$

rk $S_{\pm} = N_{\pm} \rightarrow \infty$, $N_+ - N_- = N$
finite

no relations $S^N \approx 0$ in
classical chiral ring

$$\mathcal{F}_0 = \sum a_n S^n$$

unambiguous

2. **Stable chiral ring:**

mesons $M_{ij} = A_i B_j \sim X_{ij}$

reconstruct conifold

$$\det X_{ij} = X_{11}X_{22} - X_{12}X_{21} = 0$$

TOPOLOGICAL STRINGS



$C \leq 1$ non-critical STRINGS



DOUBLE SCALING
MATRIX MODELS

KONTSEVICH
MODELS

μ

[random surfaces]

$1/N$

['t Hooft]

$C=1$ string theory (X^0, φ)
(Eucl) time, Liouville

compactify $X^0 \simeq X^0 + 2\pi R$



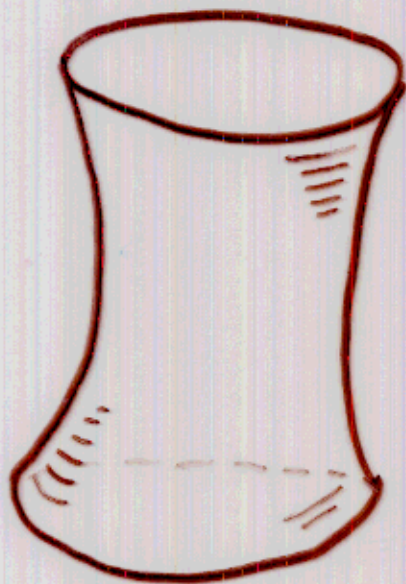
Self-dual radius $R=1$

$SU(2)_L \times SU(2)_R$



[Goshal-Vafa,
Mukhi-Vafa, ...]

topological string on
deformed conifold



$$x_{11} x_{22} - x_{12} x_{21} = \mu$$

$$xy - zw = \mu$$

OBSERVABLES

tachyons e^{ikX}

winding modes $e^{im\tilde{X}}$

$SU(2)_L \times SU(2)_R$ symmetry

$(\frac{k}{2}, \frac{k}{2})$ multiplets \mathcal{O}_k

GROUND RING [Witten]

generators x_{ij}

$$x_{11}x_{22} - x_{12}x_{21} = \mu$$

Quiver Matrix Model

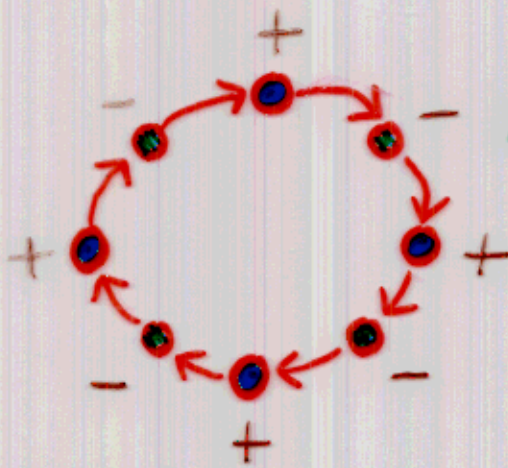
$$\mathcal{O}_k = \text{Tr}_2(A_i B_j \cdots A_{i_k} B_{j_k})$$

DECONSTRUCTING $C=1$

$R=1$ \hat{A}_1 quiver



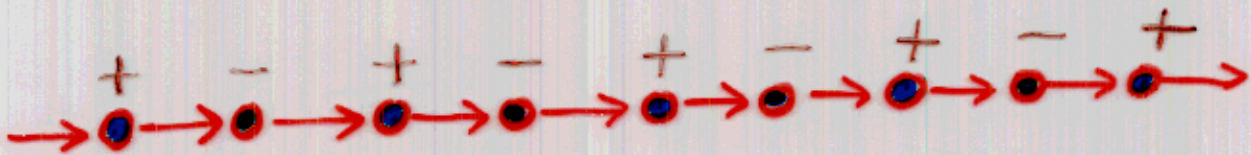
$R=k$ \hat{A}_{2k-1} quiver



\mathbb{Z}_k orbifold

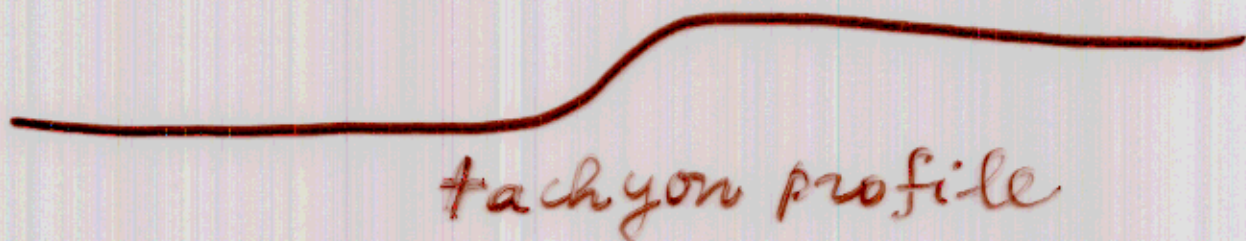
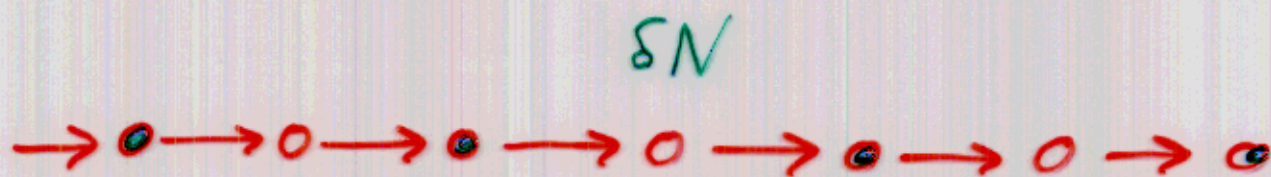
$$\begin{aligned} X_{11} &\rightarrow \omega X_{11} \\ X_{22} &\rightarrow \bar{\omega} X_{22} \end{aligned}$$

$R=\infty$ \hat{A}_∞ quiver

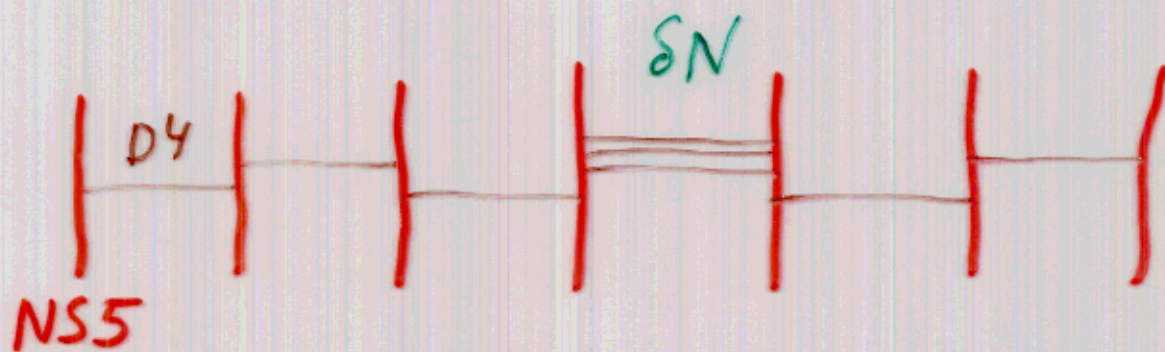


discretizing matrix QM
if all N_i equal

\tilde{N}_i not = added probe branes



deconstructing $(2,0)$ theory $S(CFT_6)$
(with mass perturbation)

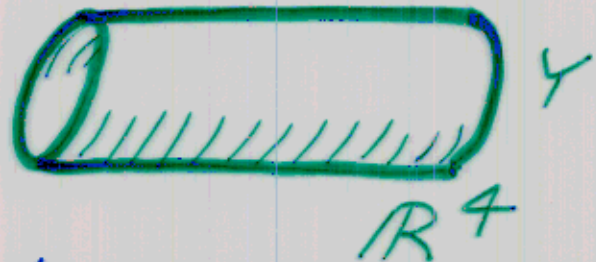


[Arkani-Hamed, Cohen, Kaplan,
Karch, Motl]

HIGHER DIMENSIONAL: $D > 4$

$\mathcal{N}=1$ gauge theory $D = 4 + k$

$$\mathbb{R}^4 \times Y^k$$



use $D=4$, $\mathcal{N}=1$ superfields

$$\Phi(x^M, \theta^\alpha; y^i) \quad y \in Y$$

ACTION: D & F terms

$$\int d^4x d^4\theta \int_Y d^k y \quad K(\Phi, \bar{\Phi})$$

$$+ \int d^4x d^2\theta \int_Y d^k y \quad W(\Phi) + \text{cc}$$

generalized MM: $D=k$ bosonic
gauge theory

$$\begin{array}{ccc} \Phi & \longrightarrow & \Phi(y) \\ \text{"matrix"} & & \text{field} \end{array}$$

$$W(\Phi) \longrightarrow \int d^k y \cdot W(\Phi)$$

$$\left[\begin{array}{l} \mathcal{N}=1 \text{ gauge} \\ \text{theory} \end{array} \right] \longrightarrow \left[\begin{array}{l} \infty \text{ \# massive} \\ \text{KK modes} \end{array} \right]$$

$$e^{-F_0(s)} \sim \lim_{\tilde{N} \rightarrow \infty} \int \mathcal{D}\Phi e^{-\int_Y W(\Phi)}$$

bosonic k -dim^d

example: $D=10$ SYM

$$Y: \text{CY}_3 \quad (y^i, \bar{y}^{\bar{i}}), \quad \bar{\mathcal{I}} = A_{\bar{i}} d\bar{y}^{\bar{i}}$$

$$W = \int_Y \Omega \wedge \text{Tr} \left(A \bar{\partial} A + \frac{2}{3} A^3 \right)$$

holomorphic CS

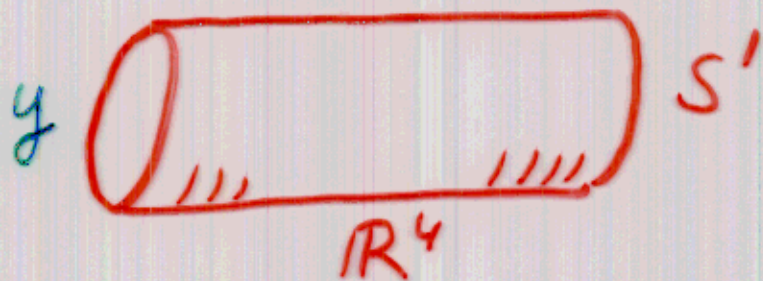
$$\frac{\delta W}{\delta A_{\bar{i}}} = \epsilon_{\bar{i} \bar{j} \bar{k}} F^{\bar{j} \bar{k}}$$

$$\left| \frac{\delta W}{\delta A_{\bar{i}}} \right|^2 = F_{;k}^i \bar{F}^{\bar{j} \bar{k}}$$

YM action

\Rightarrow top open strings

$$D=5, N=2 \rightarrow N=1$$



holonomy

$$U = \exp \int \phi + iA \in U(N)$$

tree-level superpotential

$$\text{Tr} W(U)$$

unitary matrix models

$$\int dU \cdot \exp \text{Tr} W(U)$$

add HYPERS

$$P_i(y), Q^i(y)$$

$$\int dy \left\{ P_i \frac{D Q^i}{D y} + H(P, Q) + W(U) \right\}$$

matrix QM [Hollowood]

TACHYON SCATTERING in $C=1$ at $R=1$

S-MATRIX

$$\langle 0 | e^{\sum t_n^{\text{out}} \alpha_n} S e^{\sum t_n^{\text{in}} \alpha_n} | 0 \rangle$$

Solution to 2-TODA hierarchy
[w. Moore, Plesser]

NORMAL MATRIX MODEL



local P^1



2-matrix model

$$\int dX dY \cdot e$$

$$\frac{1}{g_s} \text{Tr} (XY + W(X) + \tilde{W}(Y))$$

$$W = \sum t_n^{\text{in}} X^n$$

$$\tilde{W} = \sum t_n^{\text{out}} Y^n$$

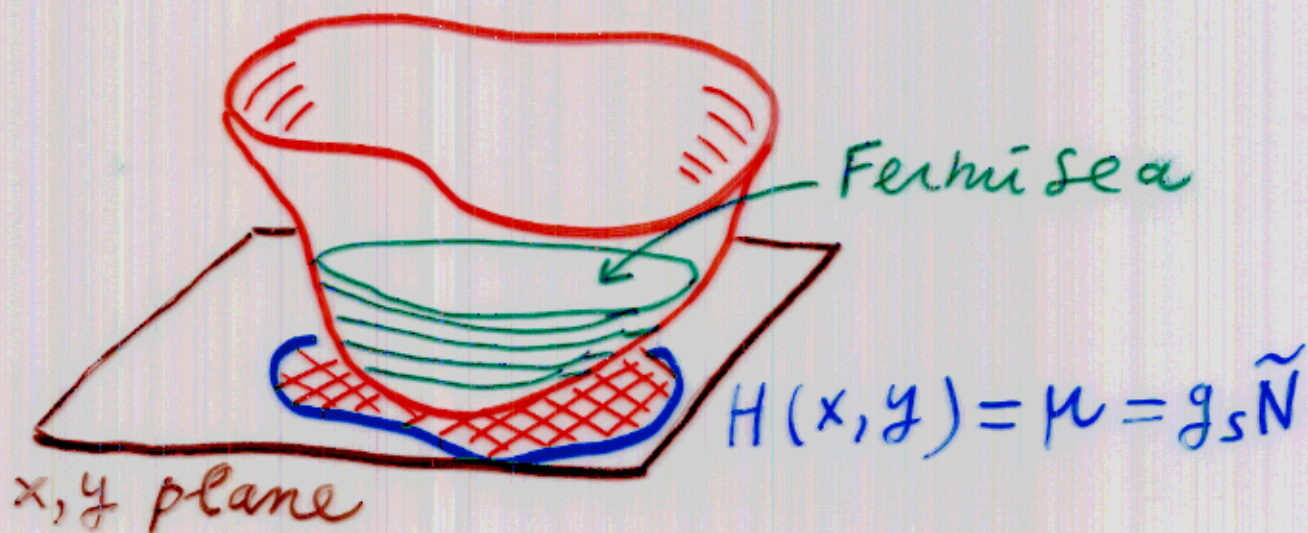
can assume

$$[X, Y] = 0$$

constant eigenvalue density

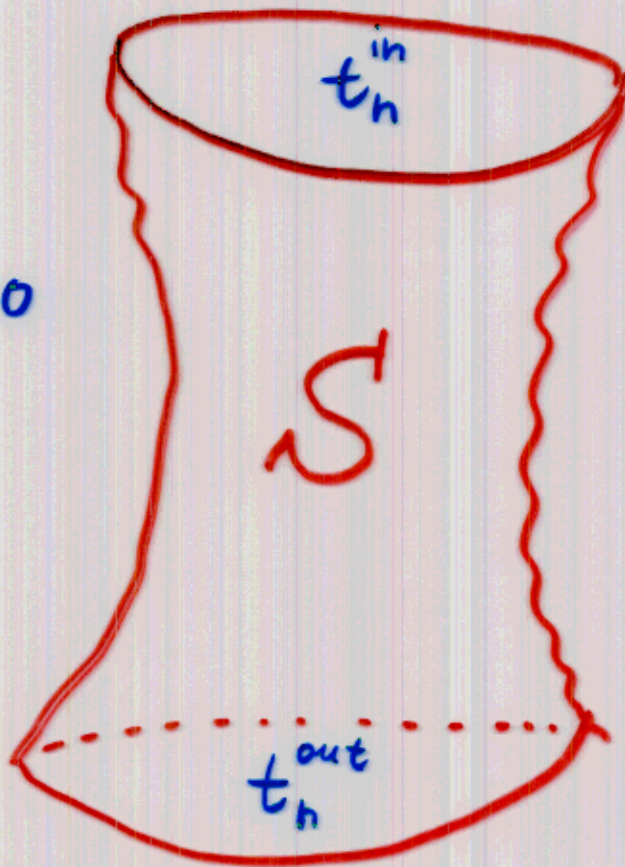
$$g(x, y) = dx \wedge dy$$

effective Hamiltonian $H(x, y)$



SOLUTION $C=1$ at $R=1$ [D, Moore, Plesser]

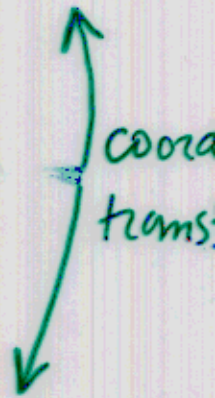
genus 0



$$y = \frac{\mu}{x} + \partial_x \varphi^{in} = f(x)$$

DEFORM

$$xy = \mu$$

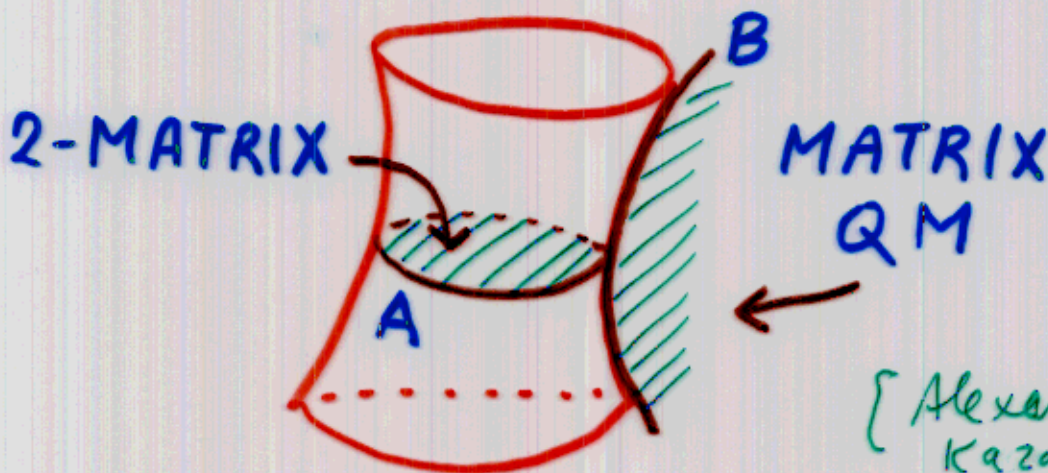


$$x = \frac{\mu}{y} + \partial_y \varphi^{out} = f(y)$$

$$\partial_x \varphi = \sum t_n x^{n-1} + \frac{\partial \mathcal{F}_0}{\partial t_n} x^{-n-1}$$

unform. response

deform its own background



em-dual

[Alexandrov-Kazakov-Kostov]

all genus : FERMIONS / D-BRANES

W_∞ WARD IDENTITIES

$$\begin{aligned}W_{pq} &= \oint dx x^p (\partial\varphi)^q + \dots \\ &= \oint dx \psi^* x^p \partial_x^{q-1} \psi\end{aligned}$$

$$\{x, y\} = 1 \longrightarrow y = -i \frac{\partial}{\partial x}$$

$$W_{pq}^{\text{out}} = S W_{pq}^{\text{in}} S^{-1} = W_{qp}^{\text{in}}$$

$$\psi_n^{\text{out}} = S \psi_n^{\text{in}} S^{-1} = e^{i\delta_n} \psi_n^{\text{in}}$$

phase

S QM realization

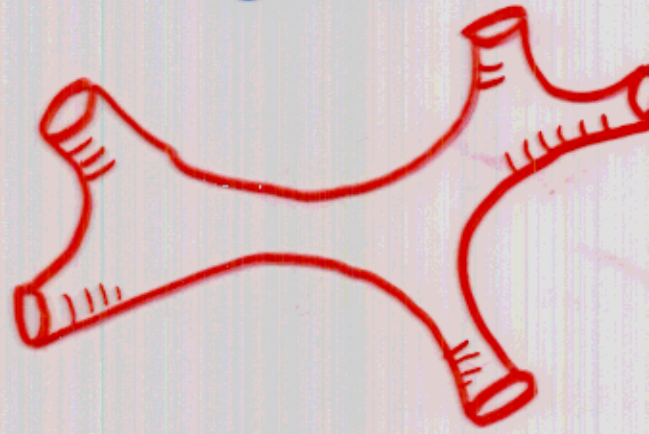
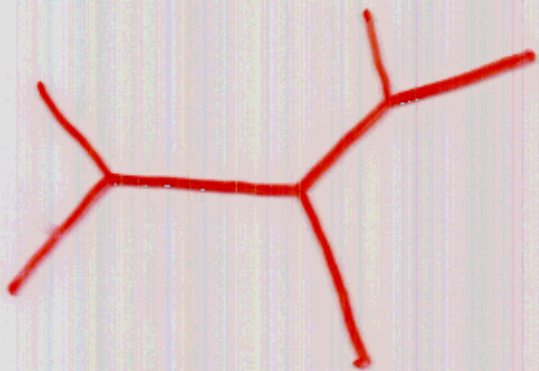
$$(x, y) \xrightarrow[\text{canonical}]{dx \wedge dy} (y, -x)$$

[See Aganagic's talk]

TORIC (\mathbb{T}^3)
CY₃

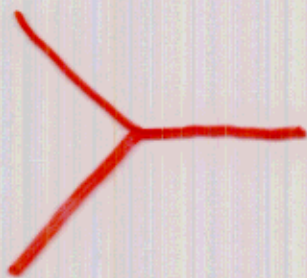
mirror
↔

$F(u,v) + zW = 0$
 u, v periodic

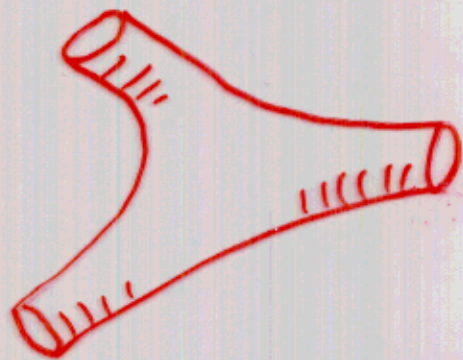


VERTEX

$$F = e^u + e^{-v} + 1$$



↔



VERTEX $\in \mathcal{H}^{\otimes 3}$ "3-TODA"

Ward identities for W_∞ -alg



FREE FERMIONS

[w. Aganagic, Klemm, Marino, Vafa]

WHAT IS STRING THEORY?

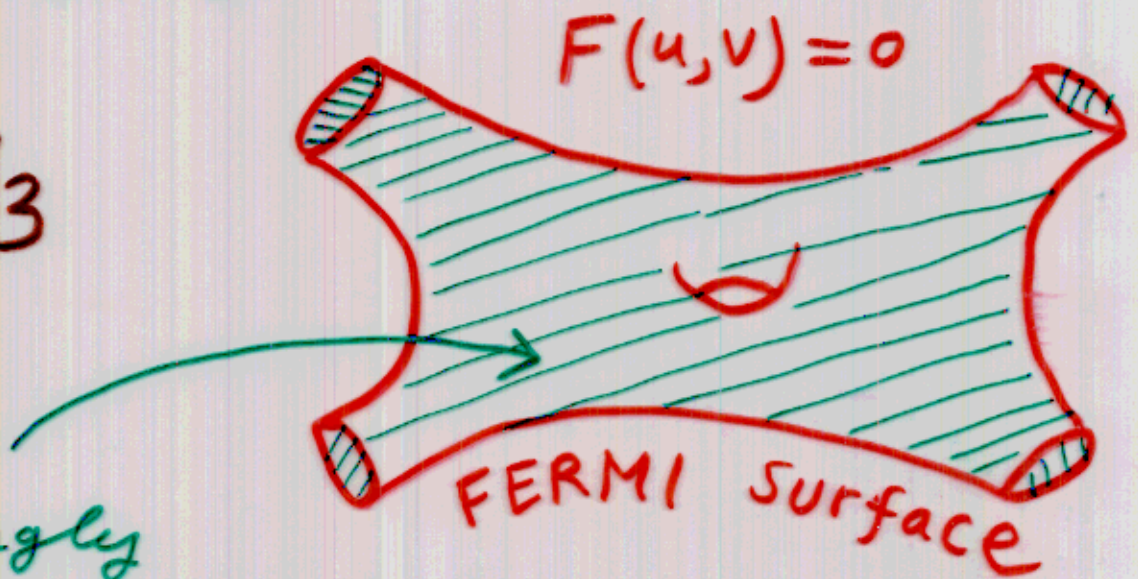
"Fermi liquid in twistor space"

[Polchinski]

"TOPOLOGICAL Fermi liquid"

[Douglas]

CY₃



Strongly interacting D-branes

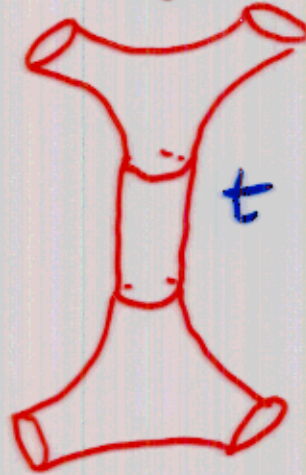
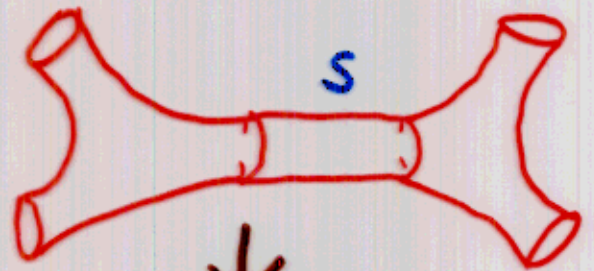
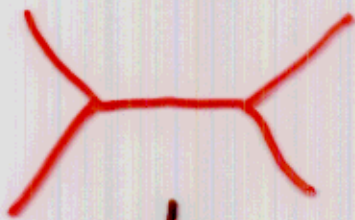


CLOSED STRING COUPLINGS

KS collective field φ

QUASI-PARTICLES = probe
(free fermions) D-branes

GEOMETRIC TRANSITIONS



DUALITY

modular geometry

CY geometries?

