

# SUSY and its mediation, in string theory

---

Based on:

Diaconescu, Florea, SK, Svrcek 0512170

SK, McGreevy, Svrcek 0601111

Florea, SK, McGreevy, Sanlina 06xxxxx

## Introduction

The LHC may uncover evidence of low-energy SUSY. It then becomes interesting to ask (as people have for 20+ years):

- How did SUSY occur? And, in "natural" theories  $\langle F \rangle \approx (10^{11} \text{ GeV})^2$  -- what dynamics  $\rightarrow \sqrt{F} \ll M_{\text{pl}}$ ?
- How was SUSY mediated to the SM?

In many interesting cases, answers are UV sensitive  
 $\rightarrow$  makes sense to study in string theory.

I plan to discuss:

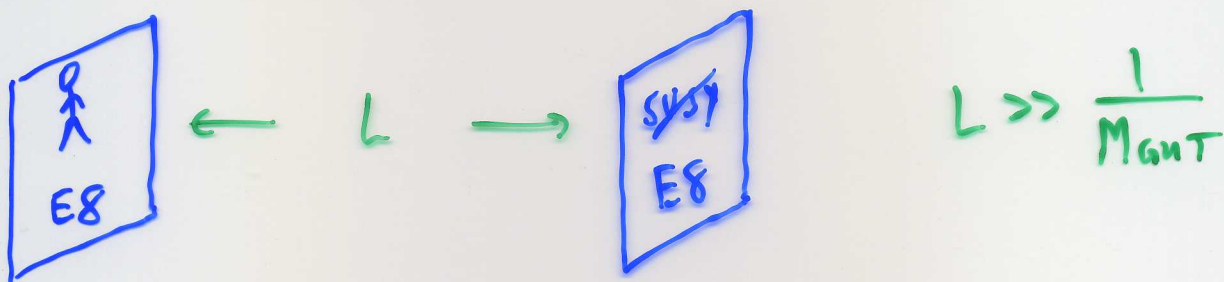
- elementary observations about mediation mechanisms in string compactifications
- New "geometrical" criterion for DSB, suggested by study of branes in string theory

②

## Mediation of SUSY in String Theory

Fairly typical set-up for SUSY GUTs:

Heterotic M-theory



• 6 other compact dims on Calabi-Yau  $X$

• Moduli of metric on  $X$

$h^{1,1}(X)$  size moduli

$h^{2,1}(X)$  shape moduli

+ Dilaton ( $L$ )

→ many scalar moduli fields  $\phi_i$

• Known mechanisms for generating moduli

potential (fluxes, instantons, Kähler corrections)

③

⇒ typically many  $\phi_i$  have

$$M_{\phi_i} \ll \text{KK scales}$$

[ This kind of analysis  $\rightarrow$  same conclusion in  
II B, II A and M-theory models ].

- Also, in heterotic picture, no light fields  
charged under both E8s.

Therefore :

- Gauge mediation doesn't occur in vanilla  
heterotic setup
- Anomaly mediation is also disfavored by  
light bulk fields, unless they have special  
constraints on their couplings to MSSM.

Then, vanilla models have gravity mediation.

④  
PROBLEM:

Say  $X = \dots + \theta^2 F_X$  has dominant F-term.

Terms of the form

$$\mathcal{L} \supset \int d^4\theta \sum_i c_i \frac{X^\dagger X}{M_P^2} Q_i^\dagger Q_i$$

with  $O(1)$   $c_i$  will be generated, coupling SUSY to squarks.

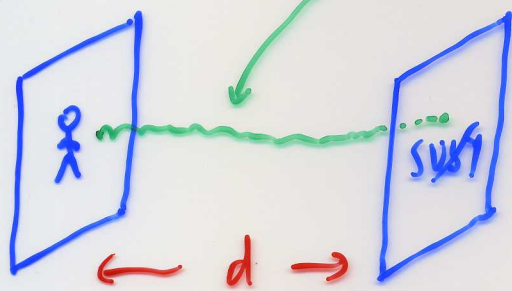
FCNC bounds  $\Rightarrow$   
squarks should have  
equal masses  $\Rightarrow$   
 $c_1 = c_2 = c_3$

But WHY?? Without special assumptions,  
 $X$  in general doesn't couple universally.

5

Gauge - mediation does allow natural sol'n to flavor problem, and does NOT require absence of bulk fields below  $k_{\text{TeV}}$  scale  $\rightarrow$  natural to look for modifications of vanilla scenario that allow gauge mediation.

Cartoon:



Lightest stretched strings have mass  $\sim d$   
 $\Rightarrow$  want  $d \ll l_s$ .

This suggests we should:

- Find ways to realize SM/GUTs on D-branes (lots of work on this !!)
- Find natural DSB models that arise on D-branes ( $\leftarrow$  our focus)

6

## DSB from D-branes

There are a few known "general classes" of  $\mathcal{N}=1$  QFTs that should  $\Rightarrow$  DSB (developed by e.g. Affleck-Dine-Seiberg + ... starting  $\sim 1984$ ).

### Example: "Non-calculable models"

- Consider an  $\mathcal{N}=1$  gauge theory with no flat directions at tree level, and with sufficiently little matter that it should confine in the IR.
- 't Hooft anomaly matching can constrain possible IR pion Lagrangians. In some cases, the required field content (assuming global symmetries are unbroken) is so contrived looking that one must postulate global symmetry in IR.

7

• This means that there must be Goldstone bosons.

But unbroken SUSY  $\Rightarrow$  they must be complexified into full chiral mul. which are flat dirs.

This is very implausible in a theory w/o tree

lvl flat directions  $\Rightarrow$  theory must have DSB.

Simplest examples: (ADS)

•  $SO(10)$  with 1 16.

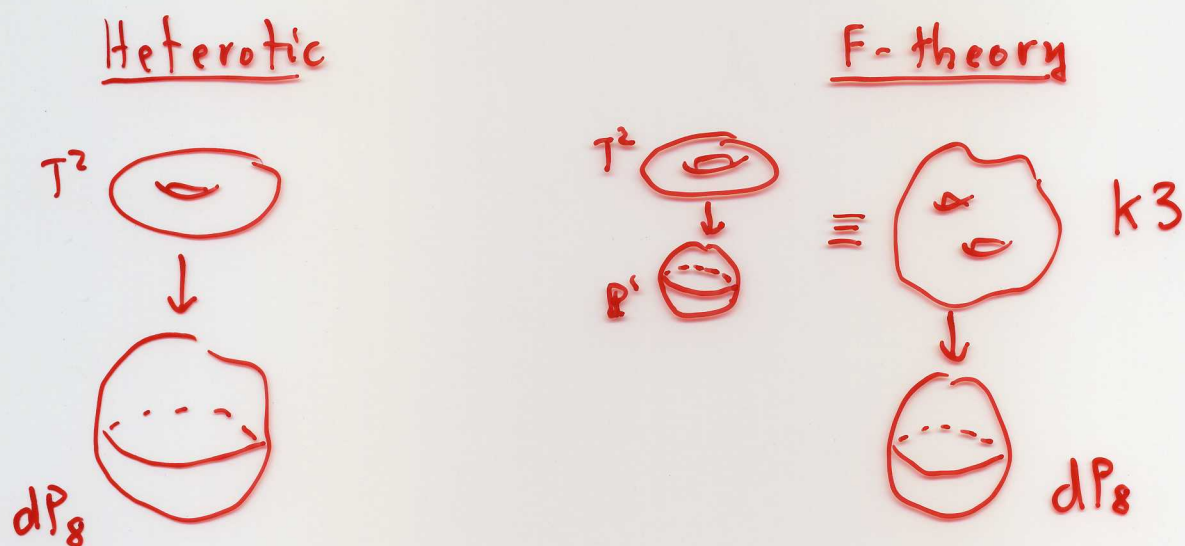
•  $SU(5)$  with 1  $\bar{5} + 10$ .

Murayama gave additional decisive evidence that these theories break SUSY by studying theories w/ additional vector-like matter, and decoupling it...



8

These are naturally engineered in heterotic / F-theory dual pairs. E.g.



The heterotic  $E_8$ s  $\Rightarrow$  D7 stacks in F-theory.

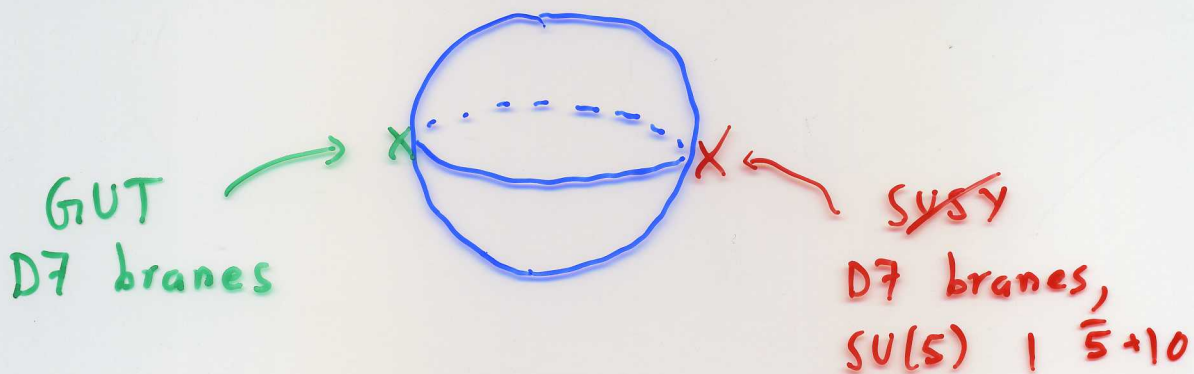
- Using technology of Donagi / Pantev / Ovrut and Friedman / Morgan / Witten, we can:
- Find bundles  $V_{1,2}$  to embed in  $E_8 \times E_8$  with structure group  $SU(5)$

$$ch_3(V_1) = \pm 1 \quad ch_3(V_2) = \pm 3$$

+ satisfying anomaly cancellation.

9

Dualize to IIB / F-theory picture  $\Rightarrow$



In F-theory,  $\exists$  complex structure moduli that allow us to move the SUSY brane stack close to SM stack  $\rightarrow$  control messenger masses.

With natural choices, find

$$\langle F \rangle \sim \left( \frac{\Lambda_{\text{hidden}}}{4\pi} \right)^2 \sim (10^{10} \text{ GeV})^2$$

$\rightarrow$  need messengers  $M \sim 10^{15} \text{ GeV}$

[ Messengers in  $(5, \bar{5}) \oplus (\bar{5}, 5)$  at this  $M$

$\rightarrow$  no problem with unification].

OBVIOUSLY, NOT REALISTIC. But systematic

(16)

improvement using same techniques is possible.

Using the IIB or F-theory dual picture  $\rightarrow$

- Can control limit where  $M \ll M_s$
- Can stabilize closed string moduli as in KKLT (this fourfold seems appropriate for that, i.e. has plentiful  $X=1$  divisors).

Related work:  
Garcia-Etxebarria,  
Saud, Uranga

---

Can string theory suggest new classes of DSB models on branes?

The answer seems to be yes. Recent work of several groups highlights a promising geometrical criterion for ~~SVSY~~.

Berenstein, Herzog, Ouyang, Pinnacker ;  
Franco, Hanany, Saud, Uranga ;  
Bertolini, Bigazzi, Cozzani

(11)

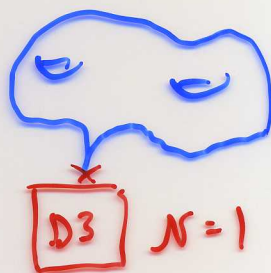
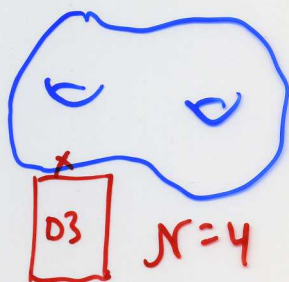
## Basic intuition:

D3 branes @ smooth point on Calabi-Yau

→ (in IR)  $\mathcal{N}=4$  SYM. But at a singular

point SUSY is reduced even locally → can

get interesting  $\mathcal{N}=1$  SCFTs:



Douglas / Moore  
Sk / Silverstein  
Lawrence,  
Nekrasov, Vafa

To break conformal invariance, you can

add "fractional branes" ( $\sim$  D5s wrapping

collapsed rigid  $\mathbb{P}^1$ s in singular locus).

Famous example: D3s @ conifold

Klebanov,  
Witten

(conifold): 
$$\sum_{i=1}^4 W_i^2 = 0$$

(12)

The conifold is a cone over  $S^3 \times S^2$ :



$N$  D3s @ tip  $\Rightarrow$  an  $SU(N) \times SU(N)$  CFT  
matter  $2 \times (N, \bar{N}) \quad 2 \times (\bar{N}, N) +$  quartic  $W$ .

Klebanov + Strassler (also Vafa):

Add D5s ( $M$ ) wrapping  $\mathbb{P}^1$  at tip  $\Rightarrow$   
end up with an RG cascade. For  $N = \kappa M$ ,  
after  $\kappa$  Seiberg dualities, result is pure  
 $\mathcal{N}=1$  SYM.

$$\delta W = \Lambda_{SU(N)}^3 + U(1)_R \text{ breaking}$$



Deformation of  
geometry:  $\sum W_i^2 = \mathcal{O}(\Lambda)$

(13)

Important point:

- Moduli space of CFT  $\sim$  conifold geometry
- Moduli space of fractional brane theory (+ probe)  $\sim$  deformed conifold geometry

Also generally in a SUSY gauge theory

Moduli space  $\mathcal{M}$  of  
SUSY vacua  $\approx$  Variety given by  
chiral ring of  
gauge invt ops /  
relations, dW

The several groups I cited previously noted the suggestive fact:

Rather large classes of CY  
singularities = incomplete  
intersections

Altmann

E.g. when a  $dP_1$  ( $\mathbb{P}^2$  blown up @ pt) collapses in CY, the singularity is of this type.

Incomplete  $\Rightarrow$   $N$  variables  $\phi_1 \dots \phi_N$   
 $K$  equations

$$\mathcal{M} : P_1(\phi) = 0 \dots P_K(\phi) = 0$$

but  $\dim(\mathcal{M}) > N - K$

Intuitively, "equations aren't independent," but you cannot remove any & get same  $\mathcal{M}$ .

SO WHAT?

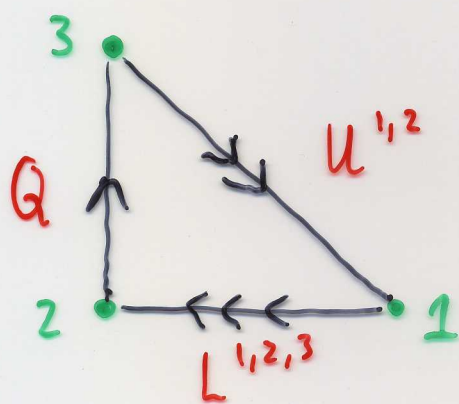
- $N$  D3s probe singularity  $\rightarrow$  moduli space is ( $N$  copies of)  $\mathcal{M}$  above
- But adding fractional branes (rigid)  $\Rightarrow$  ??

Intuitively, the singularity will "want" to deform due to gaugino condensates / IR dynamics on D5s ; but it can't!

Conclusion: { pts satisfying  $dW = 0$  empty }  
 $\Rightarrow$  ~~SUSY~~, dynamically.

[ Obvious generalization: Singularities with  $n$  deformations but  $m > n$  types of fractional branes. ]

Simplest Example: (subquiver of  $dp_1$  quiver)



$$W \sim Q U L + \dots$$

(preserves global  $SU(2)$ )

(reminiscent of Affleck-Dine-Seiberg 3-2 model)



(16)

- $SU(3)$  has  $N_f = N_c - 1 \rightarrow$  instanton generates non-perturbative  $\Delta W_{SU(3)}$ .

## Resulting vacuum structure?

- The nodes  $\rightarrow U(3) \times U(2) \times U(1)$  gauge group
- 2  $U(1)$ s are anomalous (3<sup>rd</sup> decouples); anomalies are cancelled via Green-Schwarz mechanism in string theory

$$\text{RR axion(s)} \rightarrow a \text{ FNF} \rightarrow (a + \delta a) \text{ FNF}$$

$$\text{so } \delta_{\text{gauge}} \mathcal{L} + (\delta a) \text{ FNF} = 0$$

- $U(1)$  gauge bosons get mass,  $M$  (can be  $\ll M_s$  in these theories)

IF you take  $M \rightarrow \infty$ ,  $U(1)$ s decouple.

Resulting theory: no vacuum.

Intriligator,  
Seiberg

(17)

In compact realizations,  $M$  is finite.

In a theory with such a massive  $U(1)$ , even integrating it out, the  $U(1)$  D-term constraints need to be imposed.

Arkani-Hamed  
Dine, Martin

$$V = \sum_i \left| \frac{\partial W}{\partial \phi_i} \right|^2 - \frac{1}{2g_x^2} D_x^2 - D_x \left( \sum_i q_i |\phi_i|^2 + \xi^2 \right)$$

↑  
Kähler modulus  
partner of  $\alpha$

At a stationary pt of  $V$ , gauge inv.  $\rightarrow$

$$\langle D_x \rangle = - \frac{g_x^2}{M_x^2} \sum_i q_i |\langle F_i \rangle|^2$$

$$M_x^2 = g_x^2 \sum_i q_i^2 |\phi_i|^2 \quad \left. \vphantom{M_x^2} \right\} \begin{array}{l} \text{mass of} \\ U(1) \text{ gauge fld} \end{array}$$

Integrating out  $U(1)_x$  field  $\Rightarrow$

$$\Delta K = - \frac{g_x^2}{M_x^2} q_i q_j \phi_i^* \phi_i \phi_j^* \phi_j$$

and in the presence of any nonzero  $F_{\phi_i}$ , this-

(18)

soft masses :

$$M_{\phi_i}^2 = -q_i \langle D_x \rangle$$

identical to keeping D-term constraint around.

So, the behavior of this system for large, finite  $M_x$  differs from its behavior at  $M_x = \infty$ .

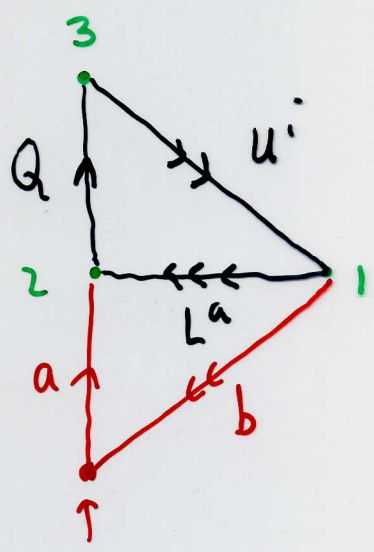
---

Imposing  $U(1)$  D-terms with fixed FI parameters  $\xi \Rightarrow$  DSB.

But  $\xi$  = Kähler modulus partners of the  $U(1)$  charged axions

So to properly understand these theories, we need to analyze instantons in the geometries with dP singularities.

Simplest e.g.:



Euclidean D3 on node 3

Instantons are  
 Euclidean D3s w/  
 bundles on them; they  
 have strings stretching  
 to space-filling branes!

Ganor '96

$$L_{disc} \sim a (Q \cdot u^i) b$$

c.f. Bershadsky et al

$$\Delta W \sim \int da db e^{-L_{disc}} \sim \frac{1}{\det Q \cdot u}$$

But more general D3s, which are NOT  
 gauge instantons, can also have such Ganor  
 strings & contribute to W.

Questions:

- How do the selection rules for Euclidean D3 contributions to  $W$  get modified for instantons that intersect space-filling branes?

Witten '96 " $\chi = 1$ "  $\rightarrow$  ??

- Do these contributions change qualitative physics or vacuum structure of e.g. the (compactified) dP quiver theories or their more general F-theory cousins?

These questions ... and more ... will be discussed in McGreevy's talk tomorrow.