# A topologically twisted index for three dimensional gauge theories

Alberto Zaffaroni

Università di Milano-Bicocca

Strings 2015, Bangalore

[work in collaboration with F. Benini]

In recent years we have seen many progresses in the exact computation of partition functions of supersymmetric field theories on curved spaces

- sphere partition functions
- superconformal indices
- many others

Here we consider a very simple example, a 3d gauge theory on  $S^2\times S^1$  where susy is preserved by a twist on  $S^2$ 

$$(
abla_{\mu} - iA^{R}_{\mu})\epsilon \equiv \partial_{\mu}\epsilon = 0, \qquad \qquad \int_{S^{2}}F^{R} = 1$$

[Witten '88]

The result becomes interesting when supersymmetric backgrounds for the flavor symmetry multiplets  $(A^F_{\mu}, \sigma^F, D^F)$  are turned on:

$$u^F = A_t^F + i\sigma^F$$
,  $q^F = \int_{S^2} F^F = iD^F$ 

and the path integral becomes a function of a set of magnetic charges  $q^F$  and chemical potentials  $u^F$ . We can also add a refinement for angular momentum. [Benini-AZ: arXiv 1504.03698]

Notice: we are not computing the superconformal index of the 3d gauge theory.

It is rather a twisted index: a trace over the Hilbert space  $\mathcal{H}$  of states on a sphere in the presence of a magnetic background for the R and the global symmetries,

$$\operatorname{Tr}_{\mathcal{H}}\left((-1)^{F}e^{iJ_{F}A^{F}}e^{-\beta H}\right)$$

$$Q^{2} = H - \sigma^{F}J_{F}$$
holomorphic in  $u^{F}$ 

where  $J_F$  is the generator of the global symmetry.

We can go up and down in dimension

- ► In a (2, 2) theory in 2d on S<sup>2</sup> we are computing amplitudes in gauged linear sigma models
- ▶ In a  $\mathcal{N} = 1$  theory on  $S^2 \times T^2$  we are computing an elliptically generalized twisted index

We can explore the large N limit of these partition functions

Holographic duals to AdS<sub>4</sub> static BPS black holes

# The background

Consider an  $\mathcal{N}=2$  gauge theory on  $S^2 imes S^1$ 

$$ds^{2} = R^{2} (d\theta^{2} + \sin^{2}\theta \, d\varphi^{2}) + \beta^{2} dt^{2}$$

with a background for the R-symmetry proportional to the spin connection:

$$A^{R} = -\frac{1}{2}\cos\theta \, d\varphi = -\frac{1}{2}\omega^{12}$$

so that the Killing spinor equation

$$D_{\mu}\epsilon = \partial_{\mu}\epsilon + \frac{1}{4}\omega_{\mu}^{ab}\gamma_{ab}\epsilon - iA_{\mu}^{R}\epsilon = 0 \qquad \Longrightarrow \qquad \epsilon = \text{const}$$

・ 同 ト ・ ヨ ト ・ ヨ ト

The path integral for an  $\mathcal{N} = 2$  gauge theory on  $S^2 \times S^1$  with gauge group G localizes on a set of BPS configurations specified by data in the vector multiplets  $V = (A_{\mu}, \sigma, \lambda, \lambda^{\dagger}, D)$ 

- A magnetic flux on  $S^2$ ,  $\mathfrak{m} = \frac{1}{2\pi} \int_{S^2} F$  in the co-root lattice
- A Wilson line  $A_t$  along  $S^1$
- $\blacktriangleright$  The vacuum expectation value  $\sigma$  of the real scalar

Up to gauge transformations, the BPS manifold is

$$(u = A_t + i\sigma, \mathfrak{m}) \in \mathcal{M}_{\mathsf{BPS}} = (H \times \mathfrak{h} \times \Gamma_{\mathfrak{h}})/W$$

・ 何 ト ・ ヨ ト ・ ヨ ト

The path integral reduces to a the saddle point around the BPS configurations

$$\sum_{\mathfrak{m}\in\Gamma_{\mathfrak{h}}}\int dud\,\bar{u}\,\mathcal{Z}^{\mathsf{cl}\,+1\text{-loop}}(u,\bar{u},\mathfrak{m})$$

- The integrand has various singularities where chiral fields become massless
- There are fermionic zero modes

The two things nicely combine and the path integral reduces to an r-dimensional contour integral of a meromorphic form

$$\boxed{\frac{1}{|W|}\sum_{\mathfrak{m}\in\Gamma_{\mathfrak{h}}}\oint_{C}Z_{\mathrm{int}}(u,\mathfrak{m})}$$

The classical and 1-loop contribution give a meromorphic form

 $Z_{int}(u, \mathfrak{m}) = Z_{class}Z_{1-loop}$ 

in each sector with gauge flux  $\mathfrak{m}$ , where

$$\boxed{Z_{\text{class}}^{\text{CS}} = x^{k\mathfrak{m}}} \qquad \qquad x = e^{iu}$$

$$Z_{1\text{-loop}}^{\text{chiral}} = \prod_{\rho \in \mathfrak{R}} \left[ \frac{x^{\rho/2}}{1 - x^{\rho}} \right]^{\rho(\mathfrak{m}) - q + 1} \qquad \qquad q = \text{R charge}$$

$$\boxed{Z_{1\text{-loop}}^{\text{gauge}} = \prod_{\alpha \in \mathcal{G}} (1 - x^{\alpha}) (i \, du)^{r}}$$

(人間) トイヨト イヨト

The magnetic flux on  $S^2$  generates Landau levels. Massive bosons and fermions cancel in pairs, while zero modes give

 $egin{aligned} &|
ho(\mathfrak{m})-q+1| & ext{Fermi multiplets on } S^1 & & 
ho(\mathfrak{m})-q+1 < 0 \ &|
ho(\mathfrak{m})-q+1| & ext{Chiral multiplets on } S^1 & & 
ho(\mathfrak{m})-q+1 > 0 \end{aligned}$ 

reduces to Witten index of (0,2) Quantum Mechanics

$$\operatorname{Tr}_{\mathcal{H}}\left((-1)^{F}e^{iJ_{F}A_{t}^{F}}e^{-\beta H}\right)\Big|_{\mathfrak{R}} = \prod_{\rho\in\mathfrak{R}}\left[\frac{x^{\rho/2}}{1-x^{\rho}}\right] \quad , \quad \prod_{\rho\in\mathfrak{R}}\left[\frac{1-x^{\rho}}{x^{\rho/2}}\right]$$
  
Chiral Fermi

[compare with Hori,Kim,Yi '14]

< 同 ト く ヨ ト く ヨ ト

The magnetic flux on  $S^2$  generates Landau levels. Massive bosons and fermions cancel in pairs, while zero modes

 $egin{aligned} &|
ho(\mathfrak{m})-q+1| & \mbox{Fermi multiplets on } S^1 & & 
ho(\mathfrak{m})-q+1 < 0 \ &|
ho(\mathfrak{m})-q+1| & \mbox{Chiral multiplets on } S^1 & & 
ho(\mathfrak{m})-q+1 > 0 \end{aligned}$ 

$$Z_{1\text{-loop}}^{\mathsf{chiral}} = \prod_{\rho \in \mathfrak{R}} \Big[ \frac{x^{\rho/2}}{1-x^{\rho}} \Big]^{\rho(\mathfrak{m})-q+1}$$

Due to magnetic flux for R-symmetry, R charges of the fields must be integer.

#### The contour

 $Z_{int}(u, \mathfrak{m})$  has pole singularities at

- ▶ along the hyperplanes  $x^{\rho} = e^{i\rho(u)} = 1$  determined by the chiral fields
- ▶ at the boundaries of  $H \times \mathfrak{h}$  (Im $(u) = \pm \infty$ ,  $x = e^{iu} = 0, \infty$ )

Supersymmetric localization selects a particular contour of integration C and picks some of the residues of the form  $Z_{int}(u, \mathfrak{m})$ .

< 回 ト < 三 ト < 三 ト

#### The contour

Consider a U(1) theory with chiral fields with charges  $Q_i$ . We can use the prescription: sum the residues

▶ at the poles of fields with positive charge, at x = 0 if k<sub>eff</sub>(+∞) < 0 and at x = ∞ if k<sub>eff</sub>(-∞) > 0

where the effective Chern-Simons coupling is defined as

$$k_{eff}(\sigma) = k + \frac{1}{2} \sum_{i} Q_i^2 \operatorname{sign}(Q_i \sigma)$$

< 回 ト < 三 ト < 三 ト

#### The contour

The prescription can be written in a compact form by using the so-called Jeffrey-Kirwan residue

$$\mathsf{JK-Res}(Q,\eta)\frac{dy}{y} = \theta(Q\eta)\mathrm{sign}(Q)$$

as

 $\frac{1}{|W|} \sum_{\mathfrak{m} \in \Gamma_{\mathfrak{h}}} \left[ \sum_{x_{*} \in \mathfrak{M}_{sing}} \mathsf{JK-Res}_{x=x_{*}} \left( \mathsf{Q}(x_{*}), \eta \right) Z_{int}(x; \mathfrak{m}) + \left. \mathsf{JK-Res}_{x=0,\infty}(Q_{x}, \eta) Z_{int}(x; \mathfrak{m}) \right] \right]$ 

where

$$Q_{\mathrm{x}=0} = -k_{\mathrm{eff}}(+\infty) \ , \qquad \qquad Q_{\mathrm{x}=\infty} = k_{\mathrm{eff}}(-\infty)$$

Similar to the localization of the elliptic genus for 2d theories and of the Witten index in 1d  $_{\rm [Benini, Eager, Hori, Tachikawa '13; Hori, Kim, Yi '14]}$ 

イロト 不得 トイヨト イヨト

# A Simple Example: $U(1)_{1/2}$ with one chiral

The theory has just a topological  $U(1)_{\mathcal{T}}$  symmetry:  $J_{\mu} = \epsilon_{\mu
u au} F_{
u au}$ 

$$Z = \sum_{\mathfrak{m}\in\mathbb{Z}} \int \frac{dx}{2\pi i x} x^{\mathfrak{t}} (-\xi)^{\mathfrak{m}} x^{\mathfrak{m}/2} \left(\frac{x^{1/2}}{1-x}\right)^{\mathfrak{m}} = \frac{\xi}{(1-\xi)^{\mathfrak{t}+1}}$$
$$k_{\text{eff}}(\sigma) = \frac{1}{2} + \frac{1}{2} \text{sign}(\sigma) \quad \to \quad Q_{x=0} = -1, \ Q_{x=\infty} = 0$$

Consistent with duality with a free chiral.

	$U(1)_g$	$U(1)_T$	$U(1)_R$
Х	1	0	1
Т	0	1	0
Ť	$^{-1}$	$^{-1}$	0

イロト 不得下 イヨト イヨト 二日

#### Aharony and Giveon-Kutasov dualities

The twisted index can be used to check dualities: for example,  $U(N_c)$  with  $N_f = N_c$  flavors is dual to a theory of chiral fields  $M_{ab}$ , T and  $\tilde{T}$ , coupled through the superpotential  $W = T\tilde{T} \det M$ 

$$Z_{N_{f}=N_{c}} = \left(\frac{y}{1-y^{2}}\right)^{(2\mathfrak{n}-1)N_{c}^{2}} \left(\frac{\xi^{\frac{1}{2}}y^{-\frac{N_{c}}{2}}}{1-\xi y^{-N_{c}}}\right)^{N_{c}(1-\mathfrak{n})+\mathfrak{t}} \left(\frac{\xi^{-\frac{1}{2}}y^{-\frac{N_{c}}{2}}}{1-\xi^{-1}y^{-N_{c}}}\right)^{N_{c}(1-\mathfrak{n})-\mathfrak{t}}$$

Aharony and Giveon-Kutasov dual pairs for generic  $(N_c, N_f)$  have the same partition function.

< 同 ト く ヨ ト く ヨ ト

# Refinement by angular momentum

Adding a fugacity  $\zeta = e^{i\varsigma/2}$  for the angular momentum on  $S^2$ : the Landau zero-modes on  $S^2$  form a representation of SU(2).

$$Z_{1\text{-loop}}^{\text{chiral}} = \prod_{\rho \in \mathfrak{R}} \prod_{j=-\frac{|B|-1}{2}}^{\frac{|B|-1}{2}} \left(\frac{x^{\rho/2}\zeta^j}{1-x^\rho\zeta^{2j}}\right)^{\text{sign }B}$$

$$\mathsf{B}=
ho(\mathfrak{m})-q_
ho+1$$

< 回 ト < 三 ト < 三 ト

As noticed in other contexts: the refined partition function factorizes into the product of two vortex partition functions

$$Z = Z_{1-\text{loop}} Z_{ ext{vortex}}(\zeta) Z_{ ext{vortex}}(\zeta^{-1})$$

[Pasquetti '11;Beem-Dimofte-Pasquetti '12;Cecotti-Gaiotto-Vafa '13,···]

We can consider other dimensions too: (2, 2) theories in 2d on  $S^2$ 

The BPS manifold is now  $\mathfrak{M} = (\mathfrak{h} \times \mathfrak{h})/W$  and the 1-loop determinants

$$Z_{ ext{1-loop}}^{ ext{chiral}} = \prod_{
ho \in \mathfrak{R}} \Big[ rac{1}{
ho(\sigma)} \Big]^{
ho(\mathfrak{m})-q+1}$$

$$Z^{\mathsf{gauge}}_{1\text{-loop}} = (-1)^{\sum_{\alpha>0}\alpha(\mathfrak{m})} \prod_{\alpha\in \mathcal{G}} \alpha(\sigma) \, (d\sigma)^r$$

(日) (周) (三) (三)

We are just repackaging results about the A-twist of gauged linear sigma models

For examples, for U(1) with N flavors, 2d amplitudes compute the quantum cohomology of  $\mathbb{P}^{N-1}$ 

$$\langle \sigma_1 \cdots \sigma_n \rangle = \sum_{\mathfrak{m}} \int \frac{dx}{2\pi i} \frac{1}{x^{(\mathfrak{m}+1)N}} q^{\mathfrak{m}} x^n = \sum_{\mathfrak{m}} q^{\mathfrak{m}} \delta_{N(\mathfrak{m}+1)-n-1,0}$$

$$\boxed{\sigma^N = q}$$

$$\boxed{\prod_{j=1}^{N} (\sigma - \mu_j) = q}$$

 $\Omega$ -background and non abelian G can be considered [related work by Cremonesi, Closset, Park '15]

We can consider other dimensions too:  $\mathcal{N} = 1$  theories in 4d on  $S^2 \times T^2$ , and obtain an elliptical generalization of our index.

The BPS manifold is now  $\mathfrak{M} = (H \times H)/W$  and the 1-loop determinants

$$Z_{1-\text{loop}}^{\text{chiral}} = \prod_{\rho \in \mathfrak{R}} \prod_{j=-\frac{|\mathcal{B}|-1}{2}}^{\frac{|\mathcal{B}|-1}{2}} \left(\frac{i\eta(q)}{\theta_1(q, x^{\rho}\zeta^{2j})}\right)^{\text{sign}(\mathcal{B})}$$

$$Z_{1\text{-loop}}^{\text{gauge, off}} = (-1)^{\sum_{\alpha>0} \alpha(\mathfrak{m})} \prod_{\alpha \in G} \frac{\theta_1(q, x^{\alpha} \zeta^{|\alpha(\mathfrak{m})|})}{i\eta(q)} (du)^r$$

[also Closset-Shamir '13;Nishioka-Yaakov '14]

A B F A B F

[related work by Yoshida-Honda '15]

The index on  $S^2 \times T^2$  reduces to the elliptic genus of a flux dependent collection of (0,2) multiplets on  $T^2$ 

$$\begin{split} |\rho(\mathfrak{m})-q+1| & \text{Fermi multiplets on } \mathcal{T}^2 & \rho(\mathfrak{m})-q+1 < 0 \\ |\rho(\mathfrak{m})-q+1| & \text{Chiral multiplets on } \mathcal{T}^2 & \rho(\mathfrak{m})-q+1 > 0 \end{split}$$

- Again R-charges should be integer.
- It can be tested against Seiberg's dualities.
- It adds to and complete the list of existing tools (superconformal indices, sphere partition functions) for testing 4d dualities.

(人間) トイヨト イヨト

## Conclusions

We gave a general formula for the topologically twisted path integral of 2d (2,2), 3d N = 2 and 4d N = 1 theories.

< 3 ×

## Conclusions

We gave a general formula for the topologically twisted path integral of 2d (2,2), 3d N = 2 and 4d N = 1 theories.

▶ Many generalizations: higher genus Riemann surfaces, ···

## Conclusions

We gave a general formula for the topologically twisted path integral of 2d (2,2), 3d N = 2 and 4d N = 1 theories.

- Many generalizations: higher genus Riemann surfaces, · · ·
- Many questions also

# Question: role of gauge fluxes?

Sometime they cancel

- ▶ Particular choices of background fluxes; reduction 4d N = 1 to 2d (0,2)
- Particular choices of background fluxes: 3d index reduces to Hilbert series for Higgs branch of N = 4 theories [Gadde,Razamat,Willett '15]

Sometime they are crucial

- checking 3d and 4d dualities
- Particular choices of background fluxes: 3d index reduces to the formula for the Hilbert series for Coulomb branch of N = 4 theories [Cremonesi,Hanany,AZ '13]

[Observations made with N. Mekareeya]

• • = • • = •

Part of the original motivation for this work comes from holography.

[Thanks for many related discussions to K. Hristov, A. Tomasiello]

CFTs on curved space-times described by dual regular asymptotically AdS backgrounds

$$ds_4^2 = \frac{dr^2}{r^2} + (r^2 ds_{M_3}^2 + O(r))$$
  $A = A_{M_3} + O(1/r)$ 

Classifications of  $M_3$  supersymmetric backgrounds (transverse holomorphic foliations)

[Klare-Tomasiello-AZ '12; Closset-Dumitrescu-Festuccia-Komargodski '12]

- 4 同 6 4 日 6 4 日 6

Twisted  $M_3 = S^2 \times S^1$  leads to 1/4 BPS asymptotically AdS<sub>4</sub> static black holes

- ► solutions asymptotic to *magnetic*  $AdS_4$  and with horizon  $AdS_2 \times S^2$
- Characterized by a collection of magnetic charges  $\int_{S^2} F$
- preserving supersymmetry via a twist

 $(
abla_{\mu} - iA_{\mu})\epsilon = \partial_{\mu}\epsilon \qquad \Longrightarrow \qquad \epsilon = \text{cost}$ 

Various regular solutions with horizon, some embeddable in  $AdS_4 \times S^7$ .

[Cacciatori, Klemm '09; Gnecchi, Dall'Agata '10; Hristov, Vandoren '10];

- 4 回 ト 4 三 ト - 三 - シックマ

Should we expect that the partition function of ABJM on twisted  $S^2 \times S^1$  knows about

- black hole free energy?
- black hole entropy?

Preliminary analysis shows that it scale indeed as  $N^{3/2}$  [F. Benini, K. Hristov, AZ]

Should we expect that the partition function of ABJM on twisted  $S^2 \times S^1$  knows about

- black hole free energy?
- black hole entropy?

Preliminary analysis shows that it scale indeed as  $N^{3/2}$  [F. Benini, K. Hristov, AZ]

Hope to report more in the future  $\cdots$