# A pseudo-mathematical pseudo-review on 4d $\mathcal{N}=2$ supersymmetric quantum field theories

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#### abstract

Supersymmetric quantum field theories in four spacetime dimensions with  $\mathcal{N}=2$  supersymmetry will be introduced in a pseudo-mathematical language. Topics covered include the idea of categories of quantum field theories, general properties of  $\mathcal{N}=2$  supersymmetric theories and their relation to W-algebras and to elliptic generalizations of Macdonald functions. This is a write-up of the lectures given by the author at IPMU and at RIMS in 2012.

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# 0 Introduction

#### 0.1 Useless forewords

The study of supersymmetric (SUSY) quantum field theory (QFT) by physicists has led to a few mathematical conjectures, such as mirror symmetry and the relation of instantons and vertex operator algebras. This clearly shows that the QFT itself should be a rich subject for mathematicians. Indeed, there have been many mathematical formulations of QFTs. But none of them really explains how physicists can sometimes mysteriously come up with new mathematical results, because the formulations so far available were based on QFTs as understood by physicists a few decades ago. It seems to the author, therefore, that it would not be completely useless if someone tries to formulate the concept of QFTs mathematically once again, so that it captures what physicists do with them in this 21st century. The author likes to compare mathematicians with civilized city-dwellers and physicists with barbaric tribes in the rainforests. Civilized city-dwellers are puzzled how

those barbarians, speaking a strange tongue, can sometimes dig out precious stones from their soil. However, these should not stop civilized city-dwellers to try to make contact with them. Every language has a grammar, even the one spoken by unseemly barbarians. With the general method of linguistics at hand, civilized city-dwellers can start deciphering their language, and communicating with them. It might even happen that some of the barbarians have already learnt to speak English, albeit with a very strong accent, and that s/he can help explain barbarians' cultures to the city-dwellers. Once the city-dwellers are somewhat acquainted with the barbaric way of life, they can directly come to the rainforests, introduce the civilization to the barbarians, and effectively excavate all the precious materials from their land. As a barbarian who has a partial knowledge of English, the author thinks that he might be able to help the city-dwellers understand how barbarians speak to each other. This lecture note contains the author's first attempt in this direction. It does not contain a fully developed grammar of the barbarians' language, because it is clearly beyond the author's ability. The real grammar of the barbarians' language needs to be written by civilized city-dwellers themselves in the future. Hopefully that will not induce civilized city-dwellers coming to the rainforests en masse, burning down all the beautiful trees here without caring the rights of the barbaric inhabitants here...

Let us now turn to a more practical side. There are many mathematical papers where QFTs are analyzed using the language of (higher) categories. The prototypical example is the Atiyah-Segal formulation of the topological QFT, where the TQFT is formulated as a functor between two categories.

The author's opinion is that we need to push this view point one step further, by regarding QFTs themselves as objects in something like a category. The important point is that one can think of a QFT (although not usually rigorously constructed mathematically) a mathematical object, much like a group, a space or an algebra. Then, similarly to those more familiar mathematical objects, we can consider morphisms between two QFTs and various operations on QFTs. In this review a central role is played by the concept of a G-symmetric QFT, for a group G. This is not a QFT with a G-action in a naive sense. But it has almost all the familiar properties of "something with G-action". For example, given a G-symmetric Q and a subgroup  $H \subset G$ , one can be forgetful and think Q as an H-symmetric QFT. One can construct from  $G_i$ -symmetric QFTs  $Q_i$  a  $G_1 \times G_2$ -symmetric  $Q_1 \times Q_2$ , and from  $G \times H$ -symmetric QFT Q we can construct H-symmetric Q/H, once the operations  $\times$  and / need to be defined with care. One can also extract various invariants from Q. One is the vacuum map  $\mathcal{M}_{\text{vac}}(Q)$ , which gives a Riemanninan manifold with G-action from a G-symmetric QFT Q. Then  $\mathcal{M}_{\text{vac}}$  can be thought of as a functor from the category of QFTs to the category of Riemanninan manifolds.

Another point is that the difficulty of QFTs is often associated to the difficulty of making sense of the concept of the path integrals, i.e. an infinite-dimenisonal integral over the space of maps. There is definitely a lot of truths in this statement, but physicists have learned a lot from experience when the path integrals make sense to which extent, and these properties can be stated quite precisely. Then mathematicians might be able to work on them as a

kind of a set of axioms from which one can be inspired, rather as in the situation when Weil supposed the existence of a certain good cohomology theory yet to be constructed, but with a good properties, to deduce many interesting conjectures. Also, not all QFTs can be defined as a path integral, and there are many QFTs which can be at present only defined as something which satisfies the basic axioms of QFTs with a certain number of additional known properties. Therefore, there seem to be many parts of the QFTs which even mathematicians can learn, formalize and work on without completely ironing out the details of what a path integral is.

#### 0.2 Organization of the contents

In Sec. 1 we develop a pseudo-mathematical language describing quantum field theories (QFTs) in general. We basically follow the formulation of Atiyah and Segal, adopted to QFTs in the presence of the Riemannian metric. A d-dimensional G-symmetric QFT Q. Very naively, it gives a complex number  $Z_Q(X)$  given a d-dimensional manifold X with Riemannian metric, together with a G-bundle with connection on it. We call  $Z_Q(X)$  the partition function of Q on X. We introduce three central concepts:

- The product of two QFTs  $Q_1$  and  $Q_2$ . It is simply given by  $Z_{Q_1 \times Q_2}(X) = Z_{Q_1}(X)Z_{Q_2}(X)$ .
- The operation which we call gauging. Given a  $G \times F$ -symmetric QFT Q, this operation produces Q/G, which is an F-symmetric QFT.
- The functors called free bosons  $B_d$  and free fermions  $F_d$ . They map a finite-dimensional representations of V to d-dimensional QFTs. Moreover,  $B_d(V \oplus W) = B_d(V) \times B_d(W)$ , and similarly for  $F_d$ .

In this section we state the properties of QFTs matter-of-factly, and the reader is not expected to understand this section. The section concludes with the discussion of the Standard Model of the particle physics phrased in the language of this review.

In Sec. 2, we develop the concept of four-dimensional  $\mathcal{N}=2$  supersymmetric QFTs. For each such QFT Q, we discuss the Donagi-Witten integrable system  $DW(Q) \to \mathcal{M}_{\text{Coulomb}}(Q)$  and the Higgs branch  $\mathcal{M}_{\text{Higgs}}(Q)$  which is a hyperkähler manifold, and various other invariants associated to Q. Correspondingly to the three operations in Sec. 1, we will discuss

- The product of two  $\mathcal{N}=2$  supersymmetric QFTs. This is just the same as the non-supersymmetric version.
- $\mathcal{N}=2$  supersymmetric version of the gauging. Given a  $G\times F$ -symmetric  $\mathcal{N}=2$  supersymmetric QFT Q, this operation creates  $Q/\!/\!/G$  which is an F-symmetric  $\mathcal{N}=2$  supersymmetric QFT.
- The functor called Hyp. Given a pseudoreal representation V of G, Hyp(V) is a G-symmetric  $\mathcal{N}=2$  supersymmetric QFT.

An  $\mathcal{N}=2$  supersymmetric QFT of the form  $\mathrm{Hyp}(V)/\!/\!/G$  is called an  $\mathcal{N}=2$  supersymmetric gauge theory. To determine its Donagi-Witten integrable system is what is usually referred to as the Seiberg-Witten theory in the physics literature. This is related but distinct from what is called the theory of the Seiberg-Witten invariants of four-dimensional manifolds, about which we do not have the space to discuss in this review. We discuss many examples of the Donagi-Witten integrable system for the theories of the form  $\mathrm{Hyp}(V)//\!/\!/G$ , and discuss the relation to the Hitchin system on an auxiliary Riemann surface with punctures.

In Sec. 3, we first introduce the concept of the dimensional reduction. Very roughly, the idea is the following. We start from a d-dimensional QFT Q and a d'-dimensional manifold Y. Then we define the d-d'-dimensional QFT Q[Y] by declaring  $Z_{Q[Y]}(X) = Z_Q(X \times Y)$ . We introduce a class of six-dimensional theory  $S_{\Gamma}$ , where  $\Gamma$  is a simply-laced Dynkin diagram. Let G be a simple group of type  $\Gamma$ . Given a Riemann surface C with punctures  $p_i$  labeled by nilpotent elements  $e_i$ , the dimensional reduction  $S_{\Gamma}[C, \{e_i\}]$  is a four-dimensional  $\mathcal{N} = 2$  supersymmetric  $\prod_i G^{e_i}$ -symmetric theory. These are the class S theories. In particular, when e = 0 the symmetry is  $G^e = G$  itself. One of the most important features is Gaiotto's gluing operation, which maps the gluing of two Riemann surfaces to the gauging of the product of  $\mathcal{N} = 2$  QFTs:

$$\left[S_{\Gamma}[] \times S_{\Gamma}[]\right] \times S_{\Gamma}[] = S_{\Gamma}[]$$

$$(0.1)$$

We also explain various cases when  $S_{\Gamma}[C, \{e_i\}]$  is an  $\mathcal{N}=2$  gauge theory. Together with the general fact that the Donagi-Witten system of  $S_{\Gamma}[C, \{e_i\}]$  is the G-Hitchin system on C with singularities given by the dual orbit of  $e_i$ , it explains the form of many of the Donagi-Witten system of  $\mathcal{N}=2$  gauge theories.

After these preparations, we discuss in Sec. 4 and in Sec. 5 two applications. In Sec. 4 we study of Nekrasov's partition function of the class S theories. Nekrasov's partition function of an  $\mathcal{N}=2$  gauge theory is a certain equivariant integral over the moduli space of instantons. When the  $\mathcal{N}=2$  gauge theory is a class S theory, we will argue, based on the general properties developed in the preceding sections, that Nekrasov's partition function of it has another interpretation as the conformal block of the W-algebra. In Sec. 5, we consider the partition function of class S theories on  $S^3 \times S^1$ . We explain that this is governed by an elliptic generalization of Macdonald functions. In a certain limit, this provides an explicit formula of the Hilbert series of various hyperkhaler cones, including instanton moduli spaces of exceptional groups.

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# 1 QFTs

Pick an integer d, and an additional structure S one can put on a compact manifold of dimension d. Here, S can be a Riemannian metric, or a G-bundle together with a connection, or just a smooth structure, etc. A d-dimensional S-structured QFT Q is a mathematical object, consisting of its partition function  $Z_Q$ , its space of states  $\mathcal{H}_Q$ , and its submanifold operators  $\mathcal{V}_Q$ , satisfying various axioms.

#### 1.1 Partition function

First, we have the partition function

$$Z_Q \in \Gamma(\mathcal{M}, L)$$
 (1.1)

where  $\mathcal{M}$  is the moduli space of the d-dimensional compact manifold with structure S without boundary and L is a line bundle with connection on  $\mathcal{M}$ . When L is a trivial line bundle with trivial connection, Q is called S-anomaly-free, and  $Z_Q$  is really a function

$$Z_Q: \mathcal{M} \to \mathbb{C}, \quad X \mapsto Z_Q(X).$$
 (1.2)

We will consider extensions to noncompact X in Sec. 1.19.

#### 1.2 Space of states

Second, for a compact (d-1)-dimensional manifold Y with structure S, we have a vector space

$$Y \mapsto \mathcal{H}_Q(Y)$$
 (1.3)

such that  $\mathcal{H}_Q(Y_1 \sqcup Y_2) = \mathcal{H}_Q(Y_1) \otimes \mathcal{H}_Q(Y_2), \ \mathcal{H}_Q(\varnothing) = \mathbb{C}, \ \mathcal{H}_Q(-Y) = \mathcal{H}_Q(Y)^*.$ 

Given S-structured  $Y_1$  and  $Y_2$ , consider an S-structured manifold X such that  $\partial X = Y_1 \sqcup -Y_2$ . We call components of  $Y_1$ ,  $Y_2$  the incoming and the outgoing boundaries, respectively. Let  $\mathcal{M}_{Y_1,Y_2}$  be the moduli space of S-structured compact d-dimensional manifold with incoming boundaries  $Y_1$  and outgoing boundaries  $Y_2$ . Then we have

$$Z_{Q,Y_1,Y_2} \in \Gamma(\mathcal{M}_{Y_1,Y_2}, V) \tag{1.4}$$

where V is a  $\text{Hom}(\mathcal{H}_Q(Y_1), \mathcal{H}_Q(Y_2)) = \mathcal{H}_Q(Y_1 \sqcup -Y_2)$  bundle with a connection.

This  $Z_{Q,Y_1,Y_2}$  should behave naturally with respect to the gluing of d-dimensional manifolds with boundary, and reassignment of boundary components from incoming to outgoing, i.e. we require a natural identification

$$Z_{Q,Y_1,Y_2} \simeq Z_{Q,Y_1 \sqcup -Y_2,\varnothing} \tag{1.5}$$

and

$$Z_{Q,Y_1,Y_2}Z_{Q,Y_2,Y_3} \simeq \iota^* Z_{Q,Y_1,Y_3}$$
 (1.6)

where  $\iota: \mathcal{M}_{Y_1,Y_2} \times \mathcal{M}_{Y_2,Y_3} \to \mathcal{M}_{Y_1,Y_3}$  comes from the gluing of two d-dimensional manifolds at a common subset of boundary  $Y_2$ .

When Q is anomaly-free, for  $\partial X = Y_1 \sqcup -Y_2$  we have

$$Z_Q(X) \in \text{Hom}(\mathcal{H}_Q(Y_1), \mathcal{H}_Q(Y_2))$$
 (1.7)

satisfying the gluing axiom. This will make the QFT Q a functor from the category of cobordisms with structure S to the category of vector spaces. The non-triviality of the bundle L over  $\mathcal{M}$  when Q is not anomaly-free will play a crucial role in our discussion in this review.

#### 1.3 Submanifold operators

Third, a QFT Q comes with a 'space' of submanifold operators

$$\mathcal{V}_{Q}^{0}, \quad \mathcal{V}_{Q}^{1}, \dots, \mathcal{V}_{Q}^{d-2}, \quad \mathcal{V}_{Q,Q'}^{d-1}$$
 (1.8)

so that the whole structures described so far can be generalized to the moduli space of d-dimensional compact manifold X with a subspace  $W = \sqcup_i W_i$  with markings  $v_i \in \mathcal{V}_Q^{\dim W_i}$  for each of the connected component  $W_i$ . We allow W to intersect transversally with the boundary of X. Therefore, for Y of dimension (d-1) with submanifolds  $W = \sqcup_i W_i$ , we have a vector space

$$\mathcal{H}_{\mathcal{O}}(Y, (W_i, v_i)) \tag{1.9}$$

where  $v_i \in \mathcal{V}_Q^{1+\dim W_i}$ , and we have the section

$$Z_{Q;Y,(W_i,v_i);Y',(W'_i,v'_i)} \in \Gamma(\mathcal{M}_{Y,(W_i,v_i);Y',(W'_i,v'_i)}, V)$$
(1.10)

where V is an  $\text{Hom}(\mathcal{H}_Q(Y,(W_i,v_i)),\mathcal{H}_Q(Y',(W_i',v_i')))$ -bundle over the moduli space, etc.

The author does not understand yet how to precisely formulate the mathematical nature of  $\mathcal{V}_Q^d$  in general. The axioms of  $\mathcal{V}_Q^0$ , when the structure S is the complex structure for real two-dimensional surfaces, are those of the vertex operator algebras. We discuss in Sec. 1.7 a possible formulation of  $\mathcal{V}_Q^0$  when S is the Riemannian structure with the metric. The objects  $\mathcal{V}_Q^d$  for d>1 are some version of (higher) categories. We will abbreviate  $\mathcal{V}_Q^0$  by  $\mathcal{V}_Q$ . The (d-1)-dimensional submanifold observables in  $\mathcal{V}^{d-1}$  is somewhat special, as a

The (d-1)-dimensional submanifold observables in  $\mathcal{V}^{d-1}$  is somewhat special, as a (d-1)-dimensional submanifold cuts the original manifold X into two:  $X = X_1 \sqcup_Y X_2$  where  $Y \subset \partial X_1$  and  $-Y \subset \partial X_2$ . Then we can consider putting the QFT  $Q_1$  on  $X_1$ , and the  $Q_2$  on  $X_2$ . Then for  $v \in \mathcal{V}_{Q_1,Q_2}^{d-1}$  we have

$$Z_{Q_1,v,Q_2} \in \Gamma(\mathcal{M},L) \tag{1.11}$$

where  $\mathcal{M}$  is now the moduli space of X with a splitting  $X = X_1 \sqcup_Y X_2$ . This  $\mathcal{V}_{Q,Q'}^{d-1}$  associated to (d-1)-dimensional manifolds needs to be distinguished from  $\mathcal{H}_Q$  which are associated to (d-1)-dimensional boundaries, as the (d-1)-dimensional submanifold of which  $v \in \mathcal{V}_{Q,Q'}^{d-1}$  is a mark can intersect transversally with the boundary of X. So, for a (d-1) dimensional manifold with a splitting,  $Y = Y_1 \sqcup_Z Y_2$ , we have a vector space

$$\mathcal{H}_{Q_1,v,Q_2}(Y_1 \sqcup_Z Y_2).$$
 (1.12)

The point is that  $\mathcal{V}_{Q_1,Q_2}^{d-1}$  is the space of morphisms between  $Q_1$  and  $Q_2$  in the category of QFTs, and the category of d-dimensional QFTs themselves is in some sense the space  $\mathcal{V}^d$  of d-dimensional observables.

## 1.4 Generalized QFTs

We can also consider generalized QFTs with S structure, where we associate

$$Z_Q \in \Gamma(\mathcal{M}, E)$$
 (1.13)

where the vector bundle E has rank more than one even for the moduli space  $\mathcal{M}$  of the d-dimensional compact space without boundary. The formulation of the gluing law is beyond the author's comprehension.

## 1.5 Products of QFTs

Given two d-dimensional S-structured QFTs  $Q_1$  and  $Q_2$ , its product  $Q_1 \times Q_2$  is defined by an obvious formula

$$Z_{Q_1 \times Q_2} = Z_{Q_1} Z_{Q_2}, \qquad \mathcal{H}_{Q_1 \times Q_2} = \mathcal{H}_{Q_1} \otimes \mathcal{H}_{Q_2}.$$
 (1.14)

There is a natural unit object, triv, in the category of QFTs where  $\mathcal{H}_{triv}(Y) = \mathbb{C}$ ,  $L \to \mathcal{M}$  is trivial and  $Z_{triv}$  is just a constant map. This is a unit of the multiplication of the QFTs. An element of  $\mathcal{V}_{triv,Q}^{d-1}$  is called a boundary condition of Q, or just a brane of Q.

## 1.6 Physical unitary QFTs

Mathematicians are already familiar with the topological QFTs where the structure S above is the smooth structure, or the two-dimensional conformal QFTs where the structure S on a two-dimensional manifold is the complex structure. In these cases, the axioms in the previous section, once precisely formulated, should reduce to the Atiyah's axioms of TQFT and the Segal's axioms of conformal field theory, respectively.

In the high energy physics theory community, people mostly care about the case when the structure S consists of a spin structure, a Riemannian metric together with a G-bundle with a connection. Let us call a d-dimensional QFT with this structure S a d-dimensional G-symmetric QFT. It is easy to see that if  $H \subset G$  there is a forgetful map which makes a G-symmetric QFT a H-symmetric QFT. Also, the product of a  $G_1$ -symmetric  $G_1$  and  $G_2$ -symmetric  $G_1$  is  $G_1 \times G_2$ -symmetric. When  $G_1 = G_2 = G$ , we can take the diagonal subgroup  $G \subset G \times G$  and consider  $G_1 \times G_2$  as G-symmetric.

Physicists also usually impose the unitarity condition, which says that

- $\mathcal{H}_Q(Y)$  has the Hilbert space structure (i.e. a positive definite sesquilinear form on it) and therefore there is a canonical conjugate-linear identification  $\mathcal{H}_Q(Y) \simeq \mathcal{H}_Q(-Y)$ .
- This conjugate linear identification is compatible with the sections

$$Z_{Q,Y} \in \Gamma(\mathcal{M}_Y, V), \qquad Z_{Q,-Y} \in \Gamma(\mathcal{M}_Y, \bar{V}).$$
 (1.15)

This is called the reflection positivity.

In the following, we only deal with unitary QFTs.

## 1.7 Point operators

Let us discuss the properties of the space of operators  $\mathcal{V}_Q = \mathcal{V}_Q^0$  for a G-symmetric QFT Q. This is a  $\mathbb{C}$ -linear space with the following properties

•  $\mathcal{V}$  is a representation of  $G \times \text{Spin}(d)$ , and is filtered by  $D \in \mathbb{R}_{\geq 0}$ 

$$\mathcal{V}_D \subset \mathcal{V}_{D'} \subset \mathcal{V}, \quad (d < d')$$
 (1.16)

such that  $\mathcal{V}_D$  is a finite-dimensional representation of  $G \times \text{Spin}(d)$ . When  $v \in \mathcal{V}_D$  it is said that v has mass dimension less than or equal to D.

• There is a linear map  $\nabla$ 

$$v \in \mathcal{V} \mapsto \nabla v \in \mathbb{R}^d \otimes \mathcal{V}. \tag{1.17}$$

This satisfies

$$\nabla \mathcal{V}_D \subset \mathbb{R}^d \otimes \mathcal{V}_{D+1}. \tag{1.18}$$

 $<sup>^{1}</sup>$ Comparison against experiments require a QFT when S consists of a four-dimensional Lorentzian metric of signature (-+++), instead of a Euclidean Riemannian metric. As there is a one-to-one map between unitary Lorentzian QFTs and unitary Euclidean QFTs, we formulate everything in terms of Euclidean QFTs in this review.

•  $\mathcal{V}$  has a family of non-commutative products  $\circ_x$  parameterized by  $x \in \mathbb{R}^d \setminus \{0\}$ :

$$(v, w, x) \in \mathcal{V} \times \mathcal{V} \times \mathbb{R}^d \setminus \{0\} \mapsto v \circ_x w \in \mathcal{V}$$
(1.19)

called the operator product expansion. This is continuous in x, compatible with the  $\mathrm{Spin}(d)$  action on  $\mathcal{V}$  and  $\mathbb{R}^d$ , and when  $v \in V_D$  and  $v' \in V_{D'}$  the limit

$$\lim_{x \to 0} |x|^{D+D'} v \circ_x v' \tag{1.20}$$

exists.

• The family of products  $\circ_x$  are associative in the following sense:

$$(v \circ_x v') \circ_{x'} v'' = v \circ_{x+x'} (v' \circ_{x'} v''). \tag{1.21}$$

• The product  $\circ_x$  and the derivative  $\nabla$  is compatible, in the sense that

$$\partial(v \circ_x w) = (\nabla v) \circ_x w \tag{1.22}$$

where  $\partial$  on the left hand side is the partial derivative with respect to x.

#### 1.8 Multipoint functions

Let X be a d-dimensional compact spin manifold with a metric with distinct marked points  $p_1, \ldots, p_n$ , with a G-bundle P with connection. Let

$$F_{G \times \mathrm{Spin}(d)} X = P \times_X F_{\mathrm{Spin}(d)} X \to X$$
 (1.23)

where  $F_{\text{Spin}(d)}X$  is the frame bundle of the spin structure, together with the connection determined by the metric. Then consider the bundle

$$\mathcal{V}^* X = \mathcal{V}^* \times_{G \times \text{Spin}(d)} F_{G \times \text{Spin}(d)} X. \tag{1.24}$$

Then the markings for the marked points  $p_i$  are given by  $v_i^* \in \mathcal{V}^*X|_{p_i}$  for each i. We then have

$$Z_Q(p_1, v_1^*, p_2, v_2^*, \dots, p_n, v_n^*) \in \Gamma(\mathcal{M}, L).$$
 (1.25)

The left hand side determines a section of a bundle

$$\underbrace{\mathcal{V}X \boxtimes \mathcal{V}X \boxtimes \cdots \boxtimes \mathcal{V}X}_{n \text{ times}} \to X^n \tag{1.26}$$

which we denote by

$$\langle v_1(p_1)v_2(p_2)\cdots v_n(p_n)\rangle_X. \tag{1.27}$$

This is called the *n*-point function. Note that for vector bundles  $E_i \to X_i$ , i = 1, 2 and  $p_i : X_1 \times X_2 \to X_i$ , we define  $E_1 \boxtimes E_2 = p_1^*(E_1) \otimes p_2^*(E_2)$ .

The n-point function is compatible with the product structure on  $\mathcal V$  in the following sense: Then

• The derivative  $\nabla$  satisfy

$$\langle (\nabla v)(p_1) \cdots v_n(p_n) \rangle_X = \nabla \langle v(p_1) \cdots v_n(p_n) \rangle_X \tag{1.28}$$

where  $\partial$  on the right hand side is the covariant derivative with respect to  $p_1$ .

• Pick  $v \in \mathcal{V}_d$  and  $v' \in \mathcal{V}_{d'}$ . Pick a patch of X by taking  $\{0\} \subset U \subset \mathbb{R}^D$  and  $\iota : U \to X$ . Then we have

$$|x|^{d+d'} \langle v(\iota(x))v'(\iota(0)) \cdots v_n(p_n) \rangle_X \tag{1.29}$$

and

$$|x|^{d+d'}\langle (v \circ_x v')(\iota(0)) \cdots v_n(p_n) \rangle_X$$
(1.30)

become the same in the limit  $x \to 0$ .

#### 1.9 Energy-momentum tensor and currents

Given a d-dimensional QFT Q, let us consider the behavior of  $Z_Q((X, g_X))$  under an infinitesimal change of the metric

$$g_X \to g_X + \epsilon \delta g$$
 (1.31)

where  $\delta g$  is a section of  $\operatorname{Sym}^2 TX$ . The dependence of  $Z_Q$  with respect to  $\delta g$  is given by an element  $T \in \mathcal{V}_{Q,d-2}$ , transforming as  $\operatorname{Sym}^2 \mathbb{R}^d$  under the  $\operatorname{Spin}(d)$  action, as follows:

$$Z_{Q}((X, g_{X} + \epsilon \delta g)) = \langle 1 \rangle_{X,g_{X}} + \epsilon \int_{p \in X} (\langle T(p) \rangle_{X,g_{X}}, \delta g) d \operatorname{vol}_{X}$$

$$+ \frac{\epsilon^{2}}{2} \int_{(p,q) \in X \times X} (\langle T(p)T(q) \rangle_{X,g_{X}}, \delta g \boxtimes \delta g) d \operatorname{vol}_{X \times X}$$

$$+ \frac{\epsilon^{3}}{6} \int_{(p,q,r) \in X \times X \times X} (\langle T(p)T(q)T(r) \rangle_{X,g_{X}}, \delta g \boxtimes \delta g \boxtimes \delta g) d \operatorname{vol}_{X \times X} + \cdots . \quad (1.32)$$

This point operator T is called the energy momentum tensor. The leading divergence of  $T \circ_x T$  when  $x \to 0$  has the form

$$\lim_{x \to 0} |x|^{2(d-2)} T \circ_x T \to c(Q) X \tag{1.33}$$

where c(Q) is a positive real number called the c central charge of Q, and X is a certain  $\mathrm{Spin}(d)$ -invariant element in  $\mathrm{Sym}^2(\mathrm{Sym}^2\,\mathbb{R}^d)$  fixed by convention. This c is additive:  $c(Q_1\times Q_2)=c(Q_1)+c(Q_2)$ .

For some choice of  $\delta g$ ,  $(X, g_X)$  and  $(X, g_X + \epsilon \delta g)$  can correspond to isometric manifolds related by a certain diffeomorphism on X. This implies that  $\operatorname{div} T = 0$ , where  $\operatorname{div} T$  is a projection to  $\mathbb{R}^d$  of  $\nabla T$ , which transforms as  $\mathbb{R}^d \otimes \operatorname{Sym}^2 \mathbb{R}^d$  under  $\operatorname{Spin}(d)$ .

Similarly, given a d-dimensional G-symmetric QFT Q and a manifold X with G-bundle  $P \to X$  with connection D, we consider an infinitesimal change

$$D \to D + \epsilon \delta A \tag{1.34}$$

where  $\delta A$  is a  $\mathfrak{g}$ -valued one-form. We have an element  $J \in \mathcal{V}_{Q,d-1}$ , transforming as  $\mathfrak{g} \otimes \mathbb{R}^d$  under the  $G \times \text{Spin}(d)$  action, such that

$$Z_{Q}((P, D + \epsilon \delta A)) = \langle 1 \rangle_{P,D} + \epsilon \int_{p \in X} (\langle J(p) \rangle_{P,D}, \delta A) d \operatorname{vol}_{X}$$

$$+ \frac{\epsilon^{2}}{2} \int_{(p,q) \in X \times X} (\langle J(p) J(q) \rangle_{P,D}, \delta A \boxtimes \delta A) d \operatorname{vol}_{X \times X}$$

$$+ \frac{\epsilon^{3}}{6} \int_{(p,q,r) \in X \times X \times X} (\langle J(p) J(q) J(r) \rangle_{P,D}, \delta A \boxtimes \delta A \boxtimes \delta A) d \operatorname{vol}_{X \times X} + \cdots . \quad (1.35)$$

This operator J is called the G-current. The leading divergence of  $J \circ_x J$  when  $x \to 0$  has the form

$$\lim_{x \to 0} |x|^{2d-2} J \circ_x J = \langle , \rangle \otimes \mathrm{id} \in (\mathrm{Sym}^2 \mathfrak{g}) \otimes (\mathrm{Sym}^2 \mathbb{R}^d)$$
 (1.36)

where  $\langle , \rangle$  is a positive bilinear form on  $\mathfrak{g}$ , and id is the standard bilinear form on  $\mathbb{R}^d$ . When  $\mathfrak{g}$  is simple, the form  $\langle , \rangle$  is just given by a positive number, and is denoted by  $k_G(Q)$ . This  $k_G$  is additive:  $k_G(Q_1 \times Q_2) = k_G(Q_1) + k_G(Q_2)$ .

For some choice of  $\delta A$ , (P, D) and  $(P, D + \epsilon \delta A)$  can correspond to isometric manifolds related by a gauge transformation on P. This implies that  $\operatorname{div} J = 0$ , where  $\operatorname{div} J$  is a projection to  $\mathfrak{g}$  of  $\nabla J$ , which transforms as  $\mathbb{R}^d \otimes \mathfrak{g} \otimes \mathbb{R}^d$  under  $G \times \operatorname{Spin}(d)$ .

#### 1.10 CPT conjugation

When the theory is unitary, the Spin(d)  $\mathbb{C}$ -representation on  $\mathcal{V}$  is extended to Pin(d)  $\mathbb{R}$ representation such that elements in Pin(d) connected to the identity is represented  $\mathbb{C}$ linearly and those not connected to the identity is represented conjugate-linearly, i.e. an
element  $g \in Pin(d) \setminus Spin(d)$  determines a conjugate linear map

$$\mathcal{V} \ni v \mapsto \bar{v} \in \mathcal{V}. \tag{1.37}$$

This map is called the CPT conjugation. This Pin(d) action is compatible with the filteration by the mass dimension, the derivative, and the product. Most importantly, this is compatible with the reflection positivity of the n-point function, i.e.

$$\overline{\langle v_1(p_1)v_2(p_2)\cdots v_n(p_n)\rangle_X} = \langle \bar{v}_1(p_1)\bar{v}_2(p_2)\cdots \bar{v}_n(p_n)\rangle_{-X}$$
(1.38)

where -X is X with the reverse orientation, and the conjugate linear map  $v_i \mapsto \bar{v}_i$  are chosen according to the orientation reversal at  $p_i$ .

On Spin(d)-invariant part of  $\mathcal{V}$ , the part of the Pin(d) action disconnected to the identity gives a unique real structure

$$\bar{\bullet}: \mathcal{V}^{\mathrm{Spin}(d)} \to \mathcal{V}^{\mathrm{Spin}(d)}.$$
 (1.39)

The subspace Re  $\mathcal{V}^{\text{Spin}(d)}$  fixed by  $\bar{\cdot}$  plays an important role in Sec. 1.16.

## 1.11 Renormalization Group

We have an action of the multiplicative group  $\mathbb{R}_{>0}$  on the space of Riemannian QFTs. Namely, given a QFT Q, we define  $\mathcal{RG}_t Q$  via the formula

$$Z_{\mathcal{RG}_t Q}((X,g)) = Z_Q((X,tg)).$$
 (1.40)

If  $Q \simeq \mathcal{RG}_t Q$  the theory Q is called scale-invariant. In this case the space of operators become not just filtered but graded, and we have

$$\mathcal{V}_Q = \bigoplus_d \mathcal{V}_{Q,d}. \tag{1.41}$$

Then  $\mathcal{RG}_t$  acts on  $\mathcal{V}_{Q,d}$  by the multiplication by  $t^{-d}$ . When Q is unitary, a scale-invariant Q is automatically conformally invariant, in the sense that  $Z_Q((X, e^{-f}g))$  for a function  $f: X \to \mathbb{R}$  can be written in terms of  $Z_Q((X, g))$ . Furthermore  $\mathcal{V}$  has an action of the conformal group  $\mathrm{Spin}(d, 1)$ .

#### 1.12 Free Bosons

After all these abstract discussions, it would be appropriate to discuss a few examples. First is the free boson theory. Let V be a real representation of a group G. For any d > 2, there is a d-dimensional G-symmetric QFT  $B_d(V)$ , called a real boson valued in V. For a compact Riemannian manifold X with a G-bundle with connection  $P \to X$ , we define the partition function of  $B_d(V)$  there via

$$Z_{B_d(V)}(X) = \frac{1}{\det \triangle_V} \tag{1.42}$$

where  $\triangle_V$  is the natural Laplacian on the real vector bundle  $V \times_G P \to X$ , and det is a regularized determinant. We have

$$B_d(V \oplus W) = B_d(V) \times B_d(W). \tag{1.43}$$

The space of operators  $\mathcal{V}_{B_d(V)}$  is, as a vector space, equal to

$$\mathcal{V}_{B_d(V)} = \mathbb{C} \otimes \operatorname{Sym}^{\bullet}[\operatorname{Sym}^{\bullet}[\mathbb{R}^d] \otimes_{\mathbb{R}} V], \tag{1.44}$$

i.e. a polynomial algebra on V together with an action of a formal differential operator  $\nabla$  in the vector representation of SO(d). Here V is in  $\mathcal{V}_{d/2-1}$ . The CPT conjugation fixes V. For  $v_i \in V^*$ , we can consider a multi-point function

$$Z_{B_d(V)}(P \to X; x_1, v_1; x_2, v_2; \dots; x_{2n}, v_{2n}) = \langle v_1(x_1) \dots v_{2n}(x_{2n}) \rangle_X$$
 (1.45)

$$= \frac{1}{\det \triangle_V} \sum \prod \langle v_i, K(x_i, x_j) v_j \rangle \qquad (1.46)$$

where K is the Green function of  $\triangle_V$ , and  $\sum \prod$  is taken as in a Gaussian integral.

When V is a complex representation of a group G, we define  $B_d(V)$  mostly similarly. This is called a complex boson. For a real representation V and its complexification we have

$$B_d(V_{\mathbb{C}}) = B_d(V) \times B_d(V). \tag{1.47}$$

When G is simple,  $k_G(V)$  for a complex representation V is given as follows. We decompose

$$V = \bigoplus_{i} R_i \tag{1.48}$$

into irreducible G representations  $R_i$ , and then

$$k_G(B(V)) = \frac{2}{3} \sum c_2(R_i)$$
 (1.49)

where  $c_2(R)$  is the eigenvalue of the quadratic Casimir operator normalized so that  $c_2(\mathfrak{g}_{\mathbb{C}}) = h^{\vee}(G)$ .

#### 1.13 Free Fermions

Another fundamental example is the free-fermion theory. As its property is intrinsically linked to that of spinors, its precise definition depends on  $d \mod 8$ . Here we just discuss the case d=4 and d=6.

In four dimensions Recall that Spin(4) has two spinor representations  $S^{\pm}$  such that  $S^{+*} = S^{+}$  and  $S^{-*} = S^{-}$ . Given a spin 4-manifold X with G connection, let  $F_{G \times Spin(4)} X$  be its frame bundle. Given a complex representation V of G, consider the Dirac operator D which is a linear operator

$$D : \Gamma(V \otimes S^{+} \times_{G \times \text{Spin}(4)} F_{G \times \text{Spin}(4)} X) \to \Gamma(V \otimes S^{-} \times_{G \times \text{Spin}(4)} F_{G \times \text{Spin}(4)} X)$$
 (1.50)

Using this we define the free fermion theory  $F_4(V)$  by

$$Z_{F_4(V)} \in \Gamma(\mathcal{M}, \text{Det } D)$$
 (1.51)

where Det  $\not \! D$  is the determinant line bundle and  $Z_{F_4(V)}$  is the natural section. We have the property

$$F_4(V \oplus W) = F_4(V) \times F_4(W). \tag{1.52}$$

The point operators are given by

$$\mathcal{V}_{F_4(V)} = \Lambda^{\bullet}[\operatorname{Sym}^{\bullet}[\mathbb{R}^d]_{\mathbb{C}} \otimes (V \otimes S^+ \oplus \bar{V} \otimes S^-)]. \tag{1.53}$$

The CPT conjugation maps  $V \otimes S^+$  to  $\bar{V} \otimes S^-$ .

We then have

$$Z_{F_4(V)}(x_1, v_1; y_1, w_1; \dots; x_n, v_n; y_n, w_n) = Z_{F_4(V)} \sum \prod \langle w_i, K(y_i, x_j) v_j \rangle$$
 (1.54)

where  $v_i \in V \otimes S^+$  and  $w_i \in \bar{V} \otimes S^-$ . Again K(x,y) is the Green function of the Dirac operator  $\not \!\!\!D$ , which is a section of

$$\bar{V} \otimes S^+ \times_{G \times \text{Spin}(4)} F_{G \times \text{Spin}(4)} X \boxtimes V \otimes S^+ \times_{G \times \text{Spin}(4)} F_{G \times \text{Spin}(4)} X.$$
 (1.55)

Finally we note that  $F_4(V)$  is not generally equivalent to  $F_4(\bar{V})$ .

When G is simple,  $k_G(F(V))$  is given as in the free boson case. We have

$$k_G(F_4(V)) = 2k_G(B_4(V)).$$
 (1.56)

In six dimensions Recall that  $Spin(6) \simeq SU(4)$  has two spinor representations  $S^{\pm}$  such that  $S^{+*} = S^{-}$  and  $S^{-*} = S^{+}$ .

Given a spin 6-manifold X with G connection and a representation V of G, consider the Dirac operators  $\not \! D^{\pm}$ 

Based on these we can define two distinct free fermion theories  $F_6^{\pm}(V)$ , via the relation

$$Z_{F_6^{\pm}(V)} \in \Gamma(\mathcal{M}, \text{Det } \not D^{\pm}).$$
 (1.59)

For simplicity of the exposition, we only discuss  $F_6^+(V)$  below.

We have the property

$$F_6^+(V \oplus W) = F_6^+(V) \times F_6^+(W).$$
 (1.60)

The point operators are given by

$$\mathcal{V}_{F_6(V)^+} = \Lambda^{\bullet}[\operatorname{Sym}^{\bullet}[\mathbb{R}^d]_{\mathbb{C}} \otimes (V \otimes S^+ \oplus \bar{V} \otimes S^+)]. \tag{1.61}$$

The CPT conjugation maps  $V \otimes S^+$  to  $\bar{V} \otimes S^+$ .

We then have

$$Z_{F_6(V)^+}(x_1, v_1; y_1, w_1; \dots; x_n, v_n; y_n, w_n) = Z_{F_6(V)^+} \sum \prod \langle w_i, K^+(y_i, x_j) v_j \rangle$$
 (1.62)

where  $v_i \in V \otimes S^+$  and  $w_i \in \bar{V} \otimes S^+$ . Again K(x,y) is the Green function of the Dirac operator  $\not D^+$ , which is a section of

$$\bar{V} \otimes S^{-} \times_{G \times \text{Spin}(6)} F_{G \times \text{Spin}(6)} X \boxtimes V \otimes S^{-} \times_{G \times \text{Spin}(6)} F_{G \times \text{Spin}(6)} X. \tag{1.63}$$

We note that  $F_6^+(V)$  is equivalent to  $F_6^+(\bar{V})$ .

#### 1.14 Anomaly polynomial

For a G-symmetric d-dimensional QFT Q, recall

$$Z(X) \in \Gamma(\mathcal{M}, L)$$
 (1.64)

where  $\mathcal{M}$  is the moduli space of compact spin d-manifolds with Riemannian metric and G bundle with connection. The anomaly polynomial  $A_Q$  encodes  $c_1(L)$  in the following way. We have the universal G-bundle  $\mathcal{P}$  over the universal family  $\mathcal{X}$  of d-dimensional spin manifold over  $\mathcal{M}$ ,

$$\mathcal{P} \to \mathcal{X} \to \mathcal{M}.$$
 (1.65)

Then  $A_Q$  is a degree (d+2) characteristic class on  $\mathcal{X}$  of  $T\mathcal{X}$  and  $\mathcal{P}$  such that the push-forward  $\pi_*A(Q) = c_1(L)$ .

B(V) is an anomaly-free theory, so  $A_{B(V)} = 0$ . F(V) is not in general anomaly-free. The anomaly polynomial is given by the family index theorem,

$$A_{F_4(V)} = (\hat{A}(\mathcal{X})\operatorname{ch}(\mathcal{V}))_6 \tag{1.66}$$

where  $\mathcal{V} = V \times_G \mathcal{P}$ . Note that  $A_Q = 0$  does not necessarily mean Q is anomaly-free. There can still be an anomaly, called Witten's global anomaly. Take  $G = \operatorname{Sp}(n)$  and  $V = \mathbb{C}^{2n}$ , the defining vector representation. One can consider a family of G-connections on  $S^4$  parameterized by  $S^1$ , corresponding to the nontrivial generator  $KSp(S^5) \simeq \mathbb{Z}/\mathbb{Z}_2$ . In this case the determinant line bundle  $\operatorname{Det} \mathcal{D} \to S^1$  has a nontrivial holonomy -1 around it.

## 1.15 Path integrals and QFTs

The free boson theory B(V) has a path-integral definition. Namely, we consider the space of maps

$$\mathcal{B} = \Gamma(X, V \times_G P) \tag{1.67}$$

and the action functional S on it

$$S(\phi) = -\frac{1}{2} \int_{X} \langle D\phi, D\phi \rangle d \operatorname{vol}_{X}$$
 (1.68)

Then we have

$$\langle v_1(x_1)\cdots v_{2n}(x_{2n})\rangle_X = \int_{\mathcal{B}} v_1(\phi(x_1))\cdots v_{2n}(\phi(x_{2n}))e^{-S(\phi)}d\operatorname{vol}_{\mathcal{B}}.$$
 (1.69)

The integration measure needs to be defined that a formal Gaussian integral can be then applied.

The free fermion theory  $F_4(V)$  has a path integral definition too. Namely, we take

$$\mathcal{F} = \Gamma(X, V \otimes S^{+} \times_{G \times \text{Spin}(4)} F_{G \times \text{Spin}(4)} X) \tag{1.70}$$

and

$$\bar{\mathcal{F}} = \Gamma(X, \bar{V} \otimes S^{-} \times_{G \times \text{Spin}(4)} F_{G \times \text{Spin}(4)} X). \tag{1.71}$$

Then for  $\psi \oplus \bar{\psi} \in \mathcal{F} \oplus \bar{\mathcal{F}}$  we define the action functional

$$S(\psi, \bar{\psi}) = \int_{X} \langle \bar{\psi}, \not D \psi \rangle d \operatorname{vol}_{X}. \tag{1.72}$$

Then the Berezin integration over  $\mathcal{F}$  and  $\bar{\mathcal{F}}$  gives

$$Z_{F_4(V)}(P \to X; x_1, v_1; y_1, w_1; \dots; x_n, v_n; y_n, w_n)$$

$$= \int v_1(\psi(x_1)) w_1(\bar{\psi}(y_1)) \dots v_n(\psi(x_n)) w_n(\bar{\psi}(y_n)) e^{-S(\psi, \bar{\psi})} d \operatorname{vol}_{\bar{\mathcal{F}}} d \operatorname{vol}_{\bar{\mathcal{F}}}. \quad (1.73)$$

In view of the path integral definitions of the free fields above, it is tempting to pick V, W, consider a more general functional  $S(\phi, \psi, \bar{\psi})$  on

$$\mathcal{B}(V) \oplus \mathcal{F}(W) \oplus \bar{\mathcal{F}}(W)$$
 (1.74)

and try to define a QFT Q(S) via

$$Z_{Q(S)}(X) = \int_{\mathcal{B}(V) \oplus \mathcal{F}(W) \oplus \bar{\mathcal{F}}(W)} e^{-S(\phi, \psi, \bar{\psi})} d\operatorname{vol}_{\mathcal{B}(V)} d\operatorname{vol}_{\mathcal{F}(W)} d\operatorname{vol}_{\bar{\mathcal{F}}(W)}.$$
(1.75)

Physicists have accumulated knowledge when and to what degree and in which sense this is possible, for which class of functionals S. A rather literal pseudo-mathematical translation of what physicists usually say is the following. We pick an element  $L(\phi, \psi, \bar{\psi}) \in \text{Re } \mathcal{V}_{B(V) \times F(W)}^{\text{Spin}(d)}$ , and consider  $S(\phi, \psi, \bar{\psi}) = \int_X L(\phi, \psi, \bar{\psi}) d \operatorname{vol}_X$ . We also pick something called a renormalization-regularization scheme  $\mathcal{RRS}$  which encapsulates various algorithmic procedure which removes infinities appearing in the intermediate computations. The famous ones are the "naive momentum cutoff", MS,  $\overline{MS}$ , DR,  $\overline{DR}$ , etc. Then we say

• (Perturbative renormalizability)  $Q(L, \mathcal{RRS})$  can be defined as an effective QFT:

$$Z_{Q(L,\mathcal{RRS})}(P \to X) = \int_{\mathcal{B}(V) \oplus \mathcal{F}(W) \oplus \bar{\mathcal{F}}(W)} \mathcal{RRS}[e^{-S(\phi,\psi,\bar{\psi})} d\operatorname{vol}_{\mathcal{B}(V)} d\operatorname{vol}_{\mathcal{F}(W)} d\operatorname{vol}_{\bar{\mathcal{F}}(W)}].$$
(1.76)

Here the effectiveness is used in the technical sense that things make sense only as an asymptotic series of various parameters. QFTs, when emphasized against effective QFTs, are often called ultraviolet-complete QFTs.

• (Regularization independence) If  $L \in \text{Re } \mathcal{V}_{B(V) \times F(W)}^{\text{Spin}(d)}{}_{,d}$ , then for any other regularization scheme  $\mathcal{RRS}'$  we have another  $L' \in \mathcal{V}_{B(V) \times F(W)}^{\text{Spin}(d)}{}_{,d}$  such that

$$Q(L, \mathcal{RRS}) = Q(L', \mathcal{RRS}'). \tag{1.77}$$

Recall that the subscript d is the degree in the filtration, called the mass dimensions.

These properties are well-established mathematically, in the sense that at least there should not be any serious obstacles to make the physics statements into a rigorous mathematics. Usually experimental results are reported by specifying L and  $\mathcal{RRS}$ .

## 1.16 Deformations of QFTs

An equivalent but more invariant statement, perhaps preferable to mathematicians, is as follows. Given a QFT Q (not necessary defined via path integrals as above), there is a family of effective QFTs  $Q_{u\in\mathcal{U}}$  such that  $Q=Q_0$  at  $0\in\mathcal{U}$  and moreover

$$T\mathcal{U}|_{u=0} \simeq \operatorname{Re} \mathcal{V}_{Q,d}^{\operatorname{Spin}(d)}.$$
 (1.78)

The statements in the previous sections are what we would get when Q is a free theory,  $Q = B(V) \times F(W)$ .

For a G-symmetric QFT Q, there is a natural action of G on  $\mathcal{U}$  which is compatible with the identification (1.78), so that there is an equivalence

$$Q_u \simeq Q_{qu} \tag{1.79}$$

for  $g \in G$ . Also, there is a subfamily of effective G-symmetric QFTs  $Q_{u \in \mathcal{U}^G}$  where

$$T\mathcal{U}^G|_{u=0} \simeq \operatorname{Re} \mathcal{V}_{Q,d}^{\operatorname{Spin}(d) \times G}.$$
 (1.80)

## 1.17 Gauging of QFTs

Another important operation we need to discuss is the coupling to the gauge field, or gauging in short. This is an operation creating a  $G \times H$ -symmetric QFT Q creating a family of H-symmetric effective QFT Q/G. This is defined via a path integral. Denote by F the curvature of a G-bundle with connection  $P \to X$ . For simplicity assume G is simple or U(1). Then we try to define a one-parameter family  $Q/G_{u \in \mathbb{R}_{>0}}$ 

$$Z_{Q/G_u}(X) = \int_{\mathcal{M}_{G,X}} \mathcal{RRS}[Z_Q(P \to X)e^{-\frac{1}{g}^2 \int_X \langle F, \wedge *F \rangle} d\operatorname{vol}_{\mathcal{M}_G}]$$
 (1.81)

where  $\mathcal{M}_{G,X}$  is the moduli space of G-bundles with connections on X, and u and  $1/g^2$  are related by  $\mathcal{RRS}$ . For this to make sense, first of all we need to require that Q is G-anomaly-free so that  $Z_Q(P \to X)$  is really a function.<sup>2</sup> We then have

- (Perturbative renormalizability) The left hand side exists as an effective theory when  $d \leq 4$ . This is proved.
- (Nonperturbative existence, d < 4) The left hand side exists as a UV-complete theory when d < 4. It should not be hard to prove this.
- (Nonperturbative existence, d=4) The left hand side exists as a UV-complete theory when d=4 and

$$k_G(Q) \le \frac{22}{3} h^{\vee}(G).$$
 (1.82)

<sup>&</sup>lt;sup>2</sup>It is often suggested by the audience that one might be able to choose  $d \operatorname{vol}_{\mathcal{M}_G}$  to be a section of a compensating bundle to allow for non-anomaly-free Q. We consider such nontrivial  $d \operatorname{vol}_{\mathcal{M}_G}$  to be another QFT Q' by definition. Then it is a gauging of  $Q \times G'$  which is anomaly free.

The last item implies that  $\operatorname{triv}_4/G$  for any simple G should exist since  $k_G(\operatorname{triv}_4) = 0$ . Any reader is encouraged to prove this statement and receive the Clay prize. The RG acts within the family  $\operatorname{triv}/G_{u \in \mathbb{R}_{>0}}$  by changing u.

The space of operators is given by

$$\mathcal{V}_{Q/G} = (\operatorname{Sym}^{\bullet}[\mathfrak{g} \otimes \wedge^{2}\mathbb{R}^{d}] \otimes \mathcal{V}_{Q})^{G}. \tag{1.83}$$

The elements in  $\mathfrak{g} \otimes \wedge^2 \mathbb{R}^d$  correspond to the curvature of the G-connection.

When d = 4, we can slightly generalize the construction so that we have

$$Z_{Q/G_{u,\theta}}(X) = \int_{\mathcal{M}_{G,X}} \mathcal{RRS}[Z_Q(P \to X)e^{-\frac{1}{g}^2 \int_X \langle F, \wedge *F \rangle + i\theta \int_X \langle F \wedge F \rangle} d\operatorname{vol}_{\mathcal{M}_G}]$$
(1.84)

where  $\theta$  takes values in  $\mathbb{R}/\mathbb{Z}$ . When d=3 we can instead consider

$$Z_{Q/G_{u,k}}(X) = \int_{\mathcal{M}_{G,X}} \mathcal{RRS}[Z_Q(P \to X)e^{-\frac{1}{g}^2 \int_X \langle F, \wedge *F \rangle + ikCS(P)} d\operatorname{vol}_{\mathcal{M}_G}]$$
(1.85)

where CS(P) is the Chern-Simons invariant of P, and k takes values in  $\mathbb{Z}$ . The usual Chern-Simons theory with group G of level k is in this notation  $\operatorname{triv}_3/G_{0,k}$ .

The discussions above can be generalized to the case when G is reductive and Q itself comes in a G-symmetric family  $Q_{u\in U}$ . Then there is a family  $Q/G_{x\in\mathcal{X}}$  where there is a non-canonical identification

$$\mathcal{X} \simeq \mathcal{U} \times \text{(space of invariant positive bilinear form on } \mathfrak{g}).$$
 (1.86)

#### 1.18 The Standard Model

After all these preparations, we can state what is the Standard Model, which describes all of the real world, including you who is reading this review, and the activity in the neurons in your brain trying to make out the meaning of this sentence.

Take  $G_0 = \mathrm{Spin}(10)$  and its irreducible spinor representation  $\mathcal{S}$  of dimension 16. Take a standard subgroup U(5)  $\subset \mathrm{Spin}(10)$ , whose embedding is induced from  $\mathbb{C}^5 \simeq \mathbb{R}^{10}$  as  $\mathbb{R}$  vector spaces. Let G be the Levi subgroup

$$G = U(1) \times SU(2) \times SU(3) \subset U(5)$$
(1.87)

which is the stabilizer of  $U(1) \subset G \subset U(5)$ , where we embed  $e^{\sqrt{-1}t} \in U(1)$  to

$$e^{\sqrt{-1}\operatorname{diag}(2,2,2,-3,-3)t} \in \mathrm{U}(5).$$
 (1.88)

Under G, the representation  $\mathcal{S}$  decomposes as

$$\mathcal{S} = (\bar{W} \otimes V \otimes T) \oplus (W \otimes T^{\otimes -4}) \oplus (W \otimes T^{\otimes 2}) \oplus (V \otimes T^{\otimes -3}) \oplus T \oplus \mathbb{C}$$
 (1.89)

where  $T \simeq \mathbb{C}$ ,  $V \simeq \mathbb{C}^2$  and  $W \simeq \mathbb{C}^3$  are the defining representations of U(1), SU(2), SU(3) respectively.

We consider a G-symmetric four-dimensional QFT

$$F_4(\mathcal{S} \oplus \mathcal{S} \oplus \mathcal{S}) \times B_4(V \otimes T^{\otimes 3}).$$
 (1.90)

This is anomaly-free, because  $F_4(\mathcal{S} \oplus \mathcal{S} \oplus \mathcal{S})$  is anomaly-free as a  $G_0$ -symmetric theory, since  $[\hat{A}(T\mathcal{X})\operatorname{ch}(\mathcal{S} \times_{G_0} \mathcal{P})]_6 = 0$  due to the simple reason that there is no characteristic class of Spin(10) of degree 2 or 6.

Then we can form the family

$$SM_{\alpha_1,\alpha_2,\alpha_3} = [F_4(\mathcal{S} \oplus \mathcal{S} \oplus \mathcal{S}) \times B_4(V \otimes T^{\otimes 3}))/G]_{\alpha_1,\alpha_2,\alpha_3}. \tag{1.91}$$

over  $(\alpha_1, \alpha_2, \alpha_3) \in \mathbb{R}^3_{>0}$ . This family is a subfamily of a bigger family  $SM_{u \in U}$  where U is of real dimension 39. The real world is a fiber of this family  $SM_{u_0}$  at a particular point  $u_0 \in U$ .

The deformations of this family can be found by studying

$$\operatorname{Re} \mathcal{V}_4(SM_{\alpha_1,\alpha_2,\alpha_3})^{SO(4)}. \tag{1.92}$$

Recall  $(S \oplus S \oplus S) \otimes S^+ \in \mathcal{V}_{F_4(S \oplus S \oplus S),3/2}$ . We denote an element of it by  $\psi_1 \oplus \psi_2 \oplus \psi_3$ . We further decompose  $\psi_i$  according to (1.89) and denote

$$\psi_i = Q_i \oplus \bar{u}_i \oplus \bar{d}_i \oplus E_i \oplus \bar{e}_i \oplus \bar{\nu}_i. \tag{1.93}$$

Note that  $F_4(\mathcal{S} \oplus \mathcal{S} \oplus \mathcal{S})$  is a  $G \times \mathrm{U}(3)_Q \times \mathrm{U}(3)_{\bar{u}} \times \mathrm{U}(3)_{\bar{d}} \times \mathrm{U}(3)_E \times \mathrm{U}(3)_{\bar{e}} \times \mathrm{U}(3)_{\bar{\nu}}$ -symmetric theory, where  $\mathrm{U}(3)_X$  acts on  $X_{i=1,2,3}$ .

Recall also  $V \otimes T^{\otimes 3} \in \mathcal{V}_{B(V \otimes T^{\otimes 3}),1}$ . We denote an element of it by  $\phi$ . Next, recall  $(\mathfrak{g} \otimes \Lambda^2(\mathbb{R}^d))^G \in \mathcal{V}_{Q/G,2}$ , corresponding to invariant polynomials of curvatures of the G-connection. We denote an element of  $\mathfrak{g} \otimes \Lambda^2(\mathbb{R}^d)$  by  $F_1 \oplus F_2 \oplus F_3$ , according to the direct product structure  $G = \mathrm{U}(1) \times \mathrm{SU}(2) \times \mathrm{SU}(3)$ . Terms in  $\mathcal{V}_4$  involving  $\nabla$  are known not to generate genuine deformations. Then, possible deformations in (1.92) are

$$m^2|\phi^2|, \quad \lambda|\phi^2|^2 \tag{1.94}$$

called the Higgs mass and the Higgs quartic coupling,

$$\operatorname{Re} \sum_{ij} y_{ij}^{u} \phi Q_{i} \bar{u}_{j}, \quad \operatorname{Re} \sum_{ij} y_{ij}^{d} \bar{\phi} Q_{i} \bar{d}_{j}, \quad \operatorname{Re} \sum_{ij} y_{ij}^{e} \phi E_{i} \bar{e}_{j}, \quad \operatorname{Re} \sum_{ij} y_{ij}^{\nu} \bar{\phi} E_{i} \bar{\nu}_{j}$$

$$(1.95)$$

called the up-type Yukawa couplings, the down-type Yukawa couplings, the lepton Yukawa couplings, and the Dirac neutrino mass terms, and

$$\operatorname{Re} \sum_{ij} \mu_{ij} \bar{\nu}_i \bar{\nu}_j \tag{1.96}$$

called the Majorana neutrino mass terms, and

$$\alpha_i \langle F_i, \wedge * F_i \rangle, \quad \theta_i \langle F_i, \wedge F_i \rangle$$
 (1.97)

called the gauge coupling constants, and the theta angles.

 $m^2$ ,  $\lambda$ ,  $\alpha_i$  and  $\theta_i$  are real, and  $y_{ij}^{u,d,e,\nu}$  and  $\mu_{ij}$  are complex.  $\mu_{ij}$  is symmetric in its two subscripts.  $U(3)_Q \times U(3)_{\bar{u}} \times U(3)_{\bar{d}}$  acts on on the space of  $y_{ij}^u$  and  $y_{ij}^d$ . The stabilizer of a typical point is  $U(1)_B$ , which is called the baryon number symmetry.  $U(1)_B$  acts on  $\theta_2$  by shifting it, due to 't Hooft anomalies. This effect is not explained in this review.  $U(3)_E \times U(3)_{\bar{e}} \times U(3)_{\bar{\nu}}$  acts on on the space of  $y_{ij}^e$  and  $y_{\nu}^d$ . The stabilizer of a typical point is again  $U(1)_L$ , which is called the lepton number symmetry. This  $U(1)_L$  acts on the space of  $\mu_{ij}$ . So in total we have

$$2 + 6 + 72 + 12 - 54 = 38 \tag{1.98}$$

parameters in the Standard Model.

#### 1.19 Vacua of QFT

So far in this review, given a QFT Q,  $Z_Q(X)$  is defined only for compact X. When Q is unitary, by studying the large volume behavior of  $Z_Q(X)$ , one can extract a finite-dimensional Riemannian manifold

$$\mathcal{M}_{\text{vac}}(Q) \tag{1.99}$$

called the moduli space of vacua of Q. A point  $u \in \mathcal{M}_{\text{vac}}(Q)$  is called a vacuum of Q. Then, for (d-1)-dimensional noncompact Y with infinite volume,

$$\mathcal{H}_O(Y, u) \tag{1.100}$$

can be defined. For d-dimensional noncompact X with infinite volume, we can also define

$$Z_{Q,u} \in \Gamma(\mathcal{M}_X, L)$$
 (1.101)

where  $\mathcal{M}$  is the moduli space of d-dimensional noncompact spin manifolds X' such that

$$X \setminus K = X' \setminus K' \tag{1.102}$$

for compact submanifolds K and K', respectively. L is a line bundle with connection on  $\mathcal{M}_X$ .

The vacua and the OPE algebra  $\mathcal{V}$  are related as follows:

• The continuous functions on  $\mathcal{M}_{\text{vac}}(Q)$  is a subspace of  $\mathcal{V}$ :

$$C^{\infty}(\mathcal{M}_{\text{vac}}(Q)) \subset \mathcal{V}.$$
 (1.103)

The action of  $\mathrm{Spin}(D)$  on  $C^{\infty}(\mathcal{M}_{\mathrm{vac}}Q)$  is trivial. The algebra structure does not necessarily match.

• For  $f \in C^{\infty}(\mathcal{M}_{vac}(Q)) \subset \mathcal{V}$ , we have

$$\langle f(p)\rangle_{Xu} = f(u). \tag{1.104}$$

The left hand side is the one-point function  $Z_{Q,u}(X; p, f)$ , and the right hand side is the evaluation of a function at u.

The theorem by Coleman, Mermin and Wagner states that when  $d \leq 2$ ,  $\mathcal{M}_{\text{vac}}(Q)$  is discrete

From the axioms it follows that  $\mathcal{H}_Q(\mathbb{R}^{d-1}, u)$  with a standard flat metric on  $\mathbb{R}^{d-1}$  carries an action of its isometry  $\mathrm{Spin}(d-1)$ . This is known to enhance to an action of  $\mathrm{Spin}(d,1)$ . Once the contents of this section are fully formally developed, it should be straightforward to restrict the axioms to the case where  $X = \mathbb{R}^d$ , which should reproduce the standard Osterwalder-Schroeder axioms.

## 2 Supersymmetric QFTs

#### 2.1 Generalities

A supersymmetric d-dimensional QFT is, morally speaking, a QFT for a d-dimensional manifold with super-Riemannian structure. In each spacetime dimension d, there are a few kinds of super-Riemannian structure, first of all labeled by  $\mathcal{N}$ , the so-called the number of the supersymmetry. Even with d and  $\mathcal{N}$  fixed, there are usually several different super-Riemannian structures known in the physics literature, usually called the off-shell supergravity multiplets. However the author does not know a concise definition of what a super-Riemannian structure on a manifold is, encompassing various known version. Therefore the discussions that follow are phrased in a rather ad-hoc manner. The structure of the supersymmetry also depends strongly on d mod 8, and it only exists when  $d \leq 11$ . In the following we only discuss the case d = 4. In the next section we will have a little to say about the d = 6 case.

An  $\mathcal{N}$ -extended supersymmetric QFT Q is a QFT with a lot of additional properties. First, Q is  $SU(\mathcal{N})$ -symmetric. We write by  $\mathcal{R} \simeq \mathbb{C}^{\mathcal{N}}$  the defining representation of this  $SU(\mathcal{N})$ . Second, the space of point operators  $\mathcal{V}_Q$  has an action of the super Lie algebra

$$(\mathfrak{su}(\mathcal{N}) \times \mathfrak{so}(d)) \ltimes (\mathbb{R}^4 \oplus S^+ \otimes \mathcal{R} \oplus S^- \otimes \bar{\mathcal{R}})$$
 (2.1)

where the even part  $\mathbb{R}^4$  corresponds to the action of  $\nabla$ , the part  $S^+ \otimes \mathcal{R} \oplus S^- \otimes \bar{\mathcal{R}}$  is the odd part. The commutator between an element in  $S^+ \otimes \mathcal{R}$  and  $S^- \otimes \bar{\mathcal{R}}$  is given by the tensor of the natural maps  $S^+ \otimes S^- \simeq \mathbb{R}^4$  and  $\mathcal{R} \otimes \bar{\mathcal{R}} \to \mathbb{C}$ . Therefore they map an element of  $\mathcal{V}_D$  to  $\mathcal{V}_{D+1/2}$ . The elements in  $S^+ \otimes \mathcal{R} \oplus S^- \otimes \bar{\mathcal{R}}$  are called supersymmetry generators. An  $\mathcal{N}$ -extended supersymmetric QFT is automatically  $\mathcal{N}'$ -extended supersymmetric QFT for any  $\mathcal{N}' < \mathcal{N}$ . A 1-extended, 2-extended or 4-extended QFT is usually called an  $\mathcal{N} = 1$ ,  $\mathcal{N} = 2$ ,  $\mathcal{N} = 4$  supersymmetric QFT, respectively.

An  $\mathcal{N}$ -extended super-Riemannian structure on a 4-manifold X includes at least an  $SU(\mathcal{N})$ -bundle with connection. Write its frame bundle as  $F_{SU(\mathcal{N})\times Spin(4)}X\to X$ . Then, from (2.1) we have the vector bundle

$$TX \oplus \mathcal{S}^{+}X \oplus \mathcal{S}^{-}X = (\mathbb{R}^{4} \oplus S^{+} \otimes \mathcal{R} \oplus S^{-} \otimes \bar{\mathcal{R}}) \times_{\mathfrak{su}(\mathcal{N}) \times \mathfrak{so}(d)} F_{SU(\mathcal{N}) \times Spin(4)}X \tag{2.2}$$

over X. This determines three vector bundles TX,  $S^+X$  and  $S^-X$  over X. The first is the standard tangent bundle; the second and the third are what can be called the super-tangent

bundles. A certain nice section of TX is an infinitesimal isometry; similarly, a certain nice section of  $S^+X$  or  $S^-X$  is an infinitesimal super-isometry. A subcase is when the section is in fact convariantly constant. In this review we only explicitly use this case. The partition function  $Z_Q(X)$  and the n-point functions of a supersymmetric QFT Q is invariant under the action of a super-isometry, just as those of a Riemannian-structured QFT are invariant under the action of an isometry.

A G-symmetric  $\mathcal{N}$ -extended supersymmetric QFT Q is an  $\mathcal{N}$ -extended supersymmetric QFT where G-action commutes with the action of the supersymmetry generators. The  $SU(\mathcal{N})$  symmetry acting on  $\mathcal{R}$  is called the  $SU(\mathcal{N})$  R-symmetry to distinguish from the non-R symmetry G just introduced above. A U(1) R-symmetric  $\mathcal{N}$ -extended supersymmetric QFT Q is one where Q is U(1)-symmetric such that it acts on  $\mathcal{R}$  by a scalar multiplication.

## 2.2 $\mathcal{N} = 1$ supersymmetric QFTs

There are many interesting topics with  $\mathcal{N}=1$  supersymmetry, but we state only the bare basics to study  $\mathcal{N}=2$  supersymmetric QFTs. Let us consider  $\mathcal{N}=1$  susy QFT. Take a supersymmetry generator  $\delta \in S^+ \otimes \mathcal{R}$  and fix it. We have  $\delta^2=0$  from the super-Lie-algebra structure mentioned above. Furthermore,  $\delta$  has the following properties with respect to the OPE product, namely

- If  $v, w \in \mathcal{V}_Q$  are  $\delta$ -closed,  $v \circ_x w$  is finite when  $x \to 0$ .
- If furthermore w is  $\delta$ -exact,  $v \circ_x w$  is 0 when  $x \to 0$ .

This means that the OPE product  $\circ_x$  with  $x \to 0$  induces a standard super algebra structure on  $H(\mathcal{V}_Q, \delta)$ . This is called the chiral ring  $\chi_Q$  of the theory.

These properties follow by considering n-point functions on a flat  $\mathbb{R}^4$ , where  $\delta$  generates a superisometry. As the OPE product is determined by the short-distance behavior of n-point functions on arbitrary manifold, we can extract the statements above from the properties on  $\mathbb{R}^4$ .

The vacuum  $\mathcal{M}_{\text{vacuum}}(Q)$  should be thought of as the bosonic part of a supermanifold  $\mathcal{M}'(Q)$ , on which there is a natural action of the supersymmetry. The fixed loci of the supersymmetry action,  $\mathcal{M}_{\text{susyvac}}(Q) \subset \mathcal{M}'(Q)$ , is then a non-super manifold which is a submanifold of  $\mathcal{M}_{\text{vacuum}}(Q)$ . This subspace is naturally Kähler. It satisfies the important relation

$$H(\mathcal{V}, \delta)^{\mathfrak{so}(d)} = \mathbb{C}[\mathcal{M}_{\text{susyvac}}(Q)]$$
 (2.3)

and this is compatible with the property

$$\mathcal{V} \supset C^{\infty}(\mathcal{M}_{\text{vac}}(Q)) \tag{2.4}$$

as vector spaces.

## 2.3 $\mathcal{N} = 2$ supersymmetric QFTs

Below, we deal with  $\mathcal{N}=2$  supersymmetric QFTs with and without U(1) R-symmetry. An  $\mathcal{N}=2$  supersymmetric QFT with U(1) R-symmetry is called a superconformal  $\mathcal{N}=2$  supersymmetric QFT.

Given a G-symmetric  $\mathcal{N}=2$  QFT Q, its vacuum moduli space  $\mathcal{M}_{\text{susyvac}}(Q)$  has two projections

$$\mathcal{M}_{\text{susvvac}}(Q) \to \mathcal{M}_{\text{Coulomb}}(Q), \qquad \mathcal{M}_{\text{susvvac}}(Q) \to \mathcal{M}_{\text{Higgs}}(Q)$$
 (2.5)

such that

$$\mathcal{M}_{\text{susvvac}}(Q) \to \mathcal{M}_{\text{Coulomb}}(Q) \times \mathcal{M}_{\text{Higgs}}(Q)$$
 (2.6)

is an embedding.

The Coulomb branch  $\mathcal{M}_{\text{Coulomb}}(Q)$  is a base of a holomorphic integrable system as discussed below. As a complex variety it is an affine space  $\simeq \mathbb{C}^r$ , although there is no canonical vector space structure on it. The number r is called the rank of Q. The G action on it is trivial. The Higgs branch  $\mathcal{M}_{\text{Higgs}}(Q)$  is a hyperkähler manifold with a triholomorphic G action with moment maps.  $\mathrm{SU}(2) \simeq \mathrm{SO}(3)$  R-symmetry acts on  $\mathcal{M}_{\mathrm{Higgs}}(Q)$  by rotating three complex structures.

When Q has U(1)-symmetry, we can define more invariants. First, we have numbers

$$n_v(Q), \qquad n_h(Q) \tag{2.7}$$

If Q is G-symmetric, we have numbers

$$k_{G_0}(Q) (2.8)$$

for each simple factor  $G_0 \subset G$ . They are coefficients of the anomaly polynomial of Q as a linear combination of a conventionally-chosen characteristic classes. Namely, A(Q) is a degree-6 characteristic class in terms of  $T\mathcal{X}$ ,  $\mathcal{P}_{\mathrm{U}(1)}$ ,  $\mathcal{P}_{\mathrm{SU}(2)}$ ,  $\mathcal{P}_G$ :

$$A(Q) = \sum_{G} \frac{k_{G}}{2} c_{1}(\mathcal{P}_{U(1)}) c_{2}(\mathcal{P}_{G}) + (n_{v} - n_{h}) \left[ -\frac{1}{12} c_{1}(\mathcal{P}_{U(1)}) p_{1}(T\mathcal{X}) + \frac{1}{3} c_{1}(\mathcal{P}_{U(1)})^{3} \right] + n_{v} c_{1}(\mathcal{P}_{U(1)}) c_{2}(\mathcal{P}_{SU(2)}).$$
 (2.9)

 $k_G$  is also given by the short-distance behavior of two G-currents, and similarly  $c = n_v/6 + n_h/12$  is given by the short-distance behavior of two energy-momentum tensor. They are the same quantities discussed in Sec. 1.9.

In this case  $\mathcal{M}_{\text{Coulomb}} \simeq \mathbb{C}^r$  has an action of U(1) R-symmetry. In other words there is a natural  $\mathbb{C}^{\times}$  action giving a degree on its function ring. Let us write, then,

$$\mathbb{C}[\mathcal{M}_{\text{Coulomb}}] = \mathbb{C}[u_1, \dots, u_r]$$
 (2.10)

where  $u_i$  has well-defined degrees. Then

$$n_v(Q) = \sum_{i} (2\deg(u_i) - 1)$$
 (2.11)

in a standard convention where  $\mathcal{R}$  in (2.1) has degree 1/2 as always.

For  $Q_1 \times Q_2$ ,  $n_v$ ,  $n_h$ ,  $k_G$  are additive

$$n_v(Q_1 \times Q_2) = n_v(Q_1) + n_v(Q_2), \qquad n_h(Q_1 \times Q_2) = n_h(Q_1) + n_h(Q_2), \qquad (2.12)$$

$$k_G(Q_1 \times Q_2) = k_G(Q_1) + k_G(Q_2),$$
 (2.13)

whereas  $\mathcal{M}_{\mathrm{Higgs}}$  and  $\mathcal{M}_{\mathrm{Coulomb}}$  are multiplicative

$$\mathcal{M}_{\text{Coulomb}}(Q_1 \times Q_2) = \mathcal{M}_{\text{Coulomb}}(Q_1) \times \mathcal{M}_{\text{Coulomb}}(Q_2),$$
 (2.14)

$$\mathcal{M}_{\text{Higgs}}(Q_1 \times Q_2) = \mathcal{M}_{\text{Higgs}}(Q_1) \times \mathcal{M}_{\text{Higgs}}(Q_2). \tag{2.15}$$

#### 2.4 Hypermultiplets

Let us take a pseudoreal representation V of G, or equivalently if V has a quaternionic structure and we have a homomorphism  $G \to \operatorname{Sp}(V)$ . Then there is a natural complex action of  $G \times \operatorname{SU}(2)$  on V. We denote this  $G \times \operatorname{SU}(2)$  representation by V'; the underlying vector space is the same as V. Then there is a free G-symmetric  $\mathcal{N}=2$  QFT which we denote by  $\operatorname{Hyp}(V)$ :

$$Hyp(V) = B_4(V') \oplus F_4(V). \tag{2.16}$$

This is called a half-hypermultiplet based on V. When  $V = W \oplus \bar{W}$  for a complex representation W of G,  $\text{Hyp}(W \oplus \bar{W})$  is called a hypermultiplet based on W.

We have

$$\mathcal{M}_{\text{Coulomb}}(\text{Hyp}(V)) = \{pt\},$$
 (2.17)

$$\mathcal{M}_{\text{Higgs}}(\text{Hyp}(V)) = V,$$
 (2.18)

$$n_v(\mathrm{Hyp}(V)) = 0, (2.19)$$

$$n_h(\mathrm{Hyp}(V)) = \dim_{\mathbb{H}} V. \tag{2.20}$$

For a simple component  $G_0 \subset G$ ,  $k_{G_0}(\mathrm{Hyp}(V))$  is given as follows. We decompose

$$V = \bigoplus_{i} R_i \tag{2.21}$$

into irreducible  $G_0$  representations  $R_i$ , and then

$$k_{G_0}(\text{Hyp}(V)) = 2\sum c_2(R_i)$$
 (2.22)

where  $c_2(R)$  is the eigenvalue of the quadratic Casimir operator normalized so that  $c_2(\mathfrak{g}_{0,\mathbb{C}}) = h^{\vee}(G_0)$ . This also follows from  $k_{G_0}(B(V))$  and  $k_{G_0}(F(V))$  given in Sec. 1.12 and Sec. 1.13.

A hypermultiplet Hyp(V) is G-anomaly-free, unless G has a simple component  $G_0 = \text{Sp}(n)$  and  $k_{G_0}(\text{Hyp}(V))$  is odd. This is related to Witten's global anomaly discussed previously in Sec. 1.14.

#### 2.5 Quotients

Given a  $G \times F$ -symmetric  $\mathcal{N} = 2$  QFT Q with no G-anomaly, we consider

$$[Q \times F_4(\mathfrak{g}_{\mathbb{C}} \otimes \mathcal{R}) \times B_4(\mathfrak{g}_{\mathbb{C}})/G]_{\alpha \in \mathbb{R}_{>0}, \theta \in \mathbb{R}/2\pi\mathbb{Z}}.$$
 (2.23)

For simplicity we assume G is simple. This family of effective QFT is embedded in a bigger family of QFT, whose complex-dimension-1 subfamily is again  $\mathcal{N}=2$  supersymmetric; one needs to add a deformation to (2.23) given by  $|\vec{\mu}_G|^2 \subset \mathcal{V}_Q$ , where

$$\vec{\mu}_G: \mathcal{M}_{\text{Higgs}}(Q) \to \mathfrak{g} \otimes \mathbb{R}^3$$
 (2.24)

is the hyperkähler moment map of the G action. This is an F-symmetric effective QFT which we denote by

$$[Q///G]_{\tau}. \tag{2.25}$$

This is a UV complete QFT if  $k_G(Q) \leq 4h^{\vee}(G)$ . Suppose Q is U(1) R-symmetric. Then  $(Q//\!/G)_{\tau}$  is scale invariant if and only if  $k_G(Q) = 4h^{\vee}(G)$ . Otherwise the U(1) R-symmetry acts nontrivially on  $\tau$ . The action is given as follows: define q and  $\Lambda$  via

$$q = e^{2\pi i \tau} = \Lambda^{2h^{\vee}(G) - k_G(Q)/2}$$
 (2.26)

and say that  $\Lambda$  has degree 1.

Let Q' = Q///G. Then

$$n_v(Q') = n_v(Q) + \dim G, \quad n_h(Q') = n_h(Q)$$
 (2.27)

and

$$\mathcal{M}_{\text{Higgs}}(Q') = \mathcal{M}_{\text{Higgs}}(Q) / / / G.$$
 (2.28)

Here on the right hand side the symbol /// stands for a hyperkähler quotient. As complex varieties

$$\mathcal{M}_{\text{Coulomb}}(Q') = \mathcal{M}_{\text{Coulomb}}(Q) \times \text{Spec} \,\mathbb{C}[\mathfrak{g}_{\mathbb{C}}]^{G_{\mathbb{C}}}$$
 (2.29)

where  $\mathfrak{g}_{\mathbb{C}}$  has degree one. This is compatible with (2.11) because

$$\mathbb{C}[\mathfrak{g}_{\mathbb{C}}]^{G_{\mathbb{C}}} = \mathbb{C}[u_1, \dots, u_r]$$
 (2.30)

where  $\deg u_i = e_i + 1$  and  $e_i$  is the *i*-th exponent of G, and

$$\dim G = \sum_{i} [2(e_i + 1) - 1]. \tag{2.31}$$

# 2.6 Examples of $\mathcal{N}=2$ gauge theories

A straightforward subclass of effective  $\mathcal{N}=2$  supersymemtric QFTs are the set of

$$Hyp(V)///G \tag{2.32}$$

for all possible V and G. These are called  $\mathcal{N}=2$  gauge theories. We are mostly interested in UV complete ones, i.e. those with  $k_{G_0}(\mathrm{Hyp}(V)) \leq 4h^{\vee}(G_0)$  for all simple component  $G_0$  of G. Let us see some examples.

#### 2.6.1 Pure theory

Take a simple gauge group G. The pure theory is

$$\operatorname{triv}_4 / / / G|_{\tau}. \tag{2.33}$$

This is a special case of (2.32) where V is zero dimensional, so that  $Hyp(V) = triv_4$ .

#### 2.6.2 $\mathcal{N}=4$ theory

Take a simple gauge group G, and consider

$$(\mathcal{N} = 4, G)_{\tau} := \operatorname{Hyp}(\mathfrak{g}_{\mathbb{C}} \oplus \mathfrak{g}_{\mathbb{C}}) / / / G|_{\tau}. \tag{2.34}$$

As  $k_G(\mathfrak{g}_{\mathbb{C}} \oplus \mathfrak{g}_{\mathbb{C}}) = 4h^{\vee}(G)$ , this gauge theory is conformal. By decomposing we see that

$$(\mathcal{N} = 4, G)_{\tau} := (B(\mathfrak{g}_{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{R}^{6}) \times F(\mathfrak{g}_{\mathbb{C}} \otimes_{\mathbb{C}} \mathbb{C}^{4}))/G|_{\tau, \text{propertly deformed}}$$
(2.35)

and there is in fact an action of  $\mathcal{N}=4$  supersymmetry; the SU(4) R-symmetry acts naturally on  $\mathbb{C}^4$  and on  $\mathbb{R}^6$  via the isomorphism SU(4)  $\simeq$  Spin(6).

It is believed

$$(\mathcal{N} = 4, G)_{\tau} = (\mathcal{N} = 4, G^{\vee})_{-1/(n\tau)}$$
 (2.36)

where  $G^{\vee}$  is the group Langlands-dual to G and n is the ratio of the length squared of long roots and short roots. This is called the S-duality of the  $\mathcal{N}=4$  super Yang-Mills theory, and underlies the proposed relation between geometric Langlands program and the gauge theory.

#### 2.6.3 SQCD

Let  $V \simeq \mathbb{C}^{N_c}$  and  $W \simeq \mathbb{C}^{N_f}$ . Let  $G = \mathrm{SU}(V)$  and  $F = \mathrm{SU}(W)$ . We have

$$k_G(V \otimes \bar{W} \oplus W \otimes \bar{V}) = 2N_f.$$
 (2.37)

Then we can consider the theory

$$Hyp(V \otimes \bar{W} \oplus W \otimes \bar{V}) / / / G$$
 (2.38)

when  $2N_f \leq 4N_c$ , i.e.  $N_f \leq 2N_c$ . These are called  $\mathcal{N} = 2$  supersymmetric quantum chromodynamics (SQCD).  $N_c$  and  $N_f$  are called the number of colors and of flavors, respectively.

Similarly, let  $V \simeq \mathbb{R}^N$  and  $W \simeq \mathbb{H}^M$ . Then  $\mathrm{Hyp}(V \otimes_{\mathbb{R}} W)$  is  $\mathrm{SO}(V) \times \mathrm{Sp}(W)$ -symmetric. We find

$$k_{\mathrm{SO}(V)}(\mathrm{Hyp}(V \otimes_{\mathbb{R}} W)) = 4M, \qquad k_{\mathrm{Sp}(W)}(\mathrm{Hyp}(V \otimes_{\mathbb{R}} W)) = N.$$
 (2.39)

Since  $h^{\vee}(SO(V)) = N - 2$  and  $h^{\vee}(Sp(W)) = M + 1$ , we find

$$\operatorname{Hyp}(V \otimes_{\mathbb{R}} W) / / / \operatorname{SO}(V)|_{\tau} \tag{2.40}$$

for  $M \leq N-2$  and

$$\operatorname{Hyp}(V \otimes_{\mathbb{R}} W) / / / \operatorname{Sp}(W)|_{\tau} \tag{2.41}$$

for  $N \leq 4(M+1)$ , N even, are UV complete. Note that in the latter case odd N is not allowed due to the anomaly.

#### 2.6.4 Quiver gauge theory

Let  $\Gamma$  be an unoriented graph

$$\begin{array}{cccc}
\mathbf{v}_{\mathbf{i}} & & & \\
\mathbf{v}_{\mathbf{i}} & & & \\
\mathbf{v}_{\mathbf{i}} & & & \\
\end{array} \tag{2.42}$$

For each vertex v, introduce complex vector spaces  $V_v$  and  $W_v$ . Let

$$V_{\Gamma} := \bigoplus_{e} (V_{h(e)} \otimes \bar{V}_{t(e)} \oplus V_{t(e)} \otimes \bar{V}_{h(e)}) \oplus \bigoplus_{v} (V_{v} \otimes \bar{W}_{v} \oplus W_{v} \otimes \bar{V}_{v}), \tag{2.43}$$

$$G_{\Gamma} := \prod_{v} \mathrm{SU}(V_v). \tag{2.44}$$

We want to consider

$$\operatorname{Hyp}(V_{\Gamma}) / / / G_{\Gamma}|_{(\tau_v) \in \text{(upper half plane)}^{\#\text{vertices}}}. \tag{2.45}$$

This is UV complete when

$$2\dim V_v \ge \dim W_{v'} + \sum_{v'} \dim V_{v'} \tag{2.46}$$

for all v, where the summation on the right hand side is over the vertices v' connected to v via an edge. This means that  $\Gamma$  is either a Dynkin graph or an affine Dynkin graph. In the latter case we also see  $W_v$  is all zero dimensional.

#### 2.6.5 An enumeration problem

As shown, the classification of UV-complete  $\mathcal{N}=2$  gauge theory  $\mathrm{Hyp}(V)/\!/\!/G$ , if we restrict V and G to be associated to a quiver as above, is equivalent to the classification of the affine and non-affine Dynkin diagram. Therefore the classification of all UV-complete  $\mathrm{Hyp}(V)/\!/\!/G$  is a natural enumerative problem generalizing that question. It should not be too difficult a problem but this classification has not been done to the author's knowledge. Let us see below a few typical examples of a UV-complete  $\mathcal{N}=2$  gauge theory.

#### 2.6.6 Trivalent gauge theory

Here we consider a different way to associate V and G given a combinatorial object. Let  $\Gamma$  be a trivalent graph

i.e. we only allow univalent or trivalent vertices. An edge connected to two trivalent vertices is called internal, and an edge connected to a univalent vertex and a trivalent vertex is called

external. For each edge e, introduce  $V_e \simeq \mathbb{C}^2$ , and let

$$V_{\Gamma} := \bigoplus_{v: \text{trivalent}} V_{e_1(v)} \otimes_{\mathbb{C}} V_{e_2(v)} \otimes_{\mathbb{C}} V_{e_3(v)}, \tag{2.48}$$

$$G_{\Gamma} := \prod_{e:\text{internal}} \text{SU}(V_e).$$
 (2.49)

where  $e_{1,2,3}(v)$  are the three edges connected to a trivalent vertex v. Then we consider

$$\operatorname{Hyp}(V_{\Gamma}) / / / G_{\Gamma}|_{(\tau_e) \in (\text{upper half plane})^{\# \text{int. edges}}}. \tag{2.50}$$

This is a  $F_{\Gamma}$  symmetric theory, where

$$F_{\Gamma} := \prod_{e:\text{external}} \text{SU}(V_e). \tag{2.51}$$

As we have

$$k_{SU(V_e)}(V_\Gamma) = 8 = 4h^{\vee}(SU(2)),$$
 (2.52)

this theory is always conformal with respect to all  $SU(V_e)$ . This does not generalize to any bigger simple group  $G_0$  if we only consider Hyp(V)///G.

#### 2.6.7 Exceptional gauge theories

Let  $G = E_6$ ,  $V \simeq \mathbb{C}^{27}$  its miniscule representation. This is a complex representation, with  $k_{E_6}(\mathrm{Hyp}(V\oplus \bar{V}))=12$ . As  $h^{\vee}(E_6)=12$ , we can consider

$$\operatorname{Hyp}(V \otimes \mathbb{C}^{N_f} \oplus \bar{V} \otimes \bar{\mathbb{C}}^{N_f}) / / / E_6|_{\tau}$$
(2.53)

for  $0 \le N_f \le 4$ . This is an  $U(N_f)$ -symmetric theory.

Let  $G = E_7$ ,  $V \simeq \mathbb{H}^{28} \simeq \mathbb{C}^{56}$  its miniscule representation. This is a pseudoreal representation, with  $k_{E_7}(\text{Hyp}(V)) = 12$ . As  $h^{\vee}(E_7) = 18$ , we can consider

$$\operatorname{Hyp}(V \otimes_{\mathbb{R}} \mathbb{R}^{N_f}) / / / E_7 |_{\tau} \tag{2.54}$$

for  $0 \le N_f \le 6$ . This is an  $SO(N_f)$ -symmetric theory.

Let  $G = F_4$ ,  $V \simeq \mathbb{R}^{26}$  its nontrivial real 26-dimensional representation. We find  $k_{F_4}(\mathrm{Hyp}(V\otimes_{\mathbb{R}}\mathbb{H}))=12.$  As  $h^{\vee}(F_4)=9,$  we can consider

$$\operatorname{Hyp}(V \otimes_{\mathbb{R}} \mathbb{H}^{N_f}) / / / F_4|_{\tau} \tag{2.55}$$

for  $0 \le N_f \le 3$ . This is an  $Sp(N_f)$ -symmetric theory.

Let  $G = G_2$ ,  $V \simeq \mathbb{R}^7$  its nontrivial real 7-dimensional representation. We find  $k_{G_2}(\text{Hyp}(V \otimes_{\mathbb{R}}$  $\mathbb{H}$ )) = 4. As  $h^{\vee}(G_2) = 4$ , we can consider

$$\operatorname{Hyp}(V \otimes_{\mathbb{R}} \mathbb{H}^{N_f}) / / / G_2|_{\tau} \tag{2.56}$$

for  $0 \le N_f \le 4$ . This is an  $Sp(N_f)$ -symmetric theory.

#### 2.7 Mass deformations

When Q is F-symmetric, there is a standard deformation  $Q_m$  where m is a semisimple element of  $\mathfrak{g}_{\mathbb{C}}$ . The parameter m is called the mass.  $Q_m$  and  $Q_{m'}$  are equivalent if m and m' are conjugate.  $Q_m$  is  $F^m$ -symmetric. When Q is U(1) R-symmetric, the mass m has degree 1 under the U(1) R-symmetry.

As a complex manifold we have

$$\mathcal{M}_{\text{Coulomb}}(Q_m) \simeq \mathcal{M}_{\text{Coulomb}}(Q)$$
 (2.57)

but other structures on them are different. The most important one is the following.

#### 2.8 Donagi-Witten integrable system

Let Q be an F-symmetric  $\mathcal{N}=2$  supersymmetric QFT. We have the Donagi-Witten integrable system

$$DW(Q_m) \to \mathcal{M}_{\text{Coulomb}}(Q_m).$$
 (2.58)

The basic requirements are that

- $\dim DW(Q_m) = 2 \dim \mathcal{M}_{Coulomb}(Q_m) = 2r$ .
- The generic fiber is an r-dimensional principally polarized Abelian variety.
- There is a holomorphic symplectic form  $\Omega$  on DW such that its restriction to a generic fiber T is trivial:  $\Omega|_T = 0$ . These are why it is called an integrable system.
- There is a meromorphic one-form  $\lambda_{SW}$ , called the Seiberg-Witten differential, such that  $\Omega = d\lambda_{SW}$ .
- The polar divisor D of  $\lambda_{SW}$  has the structure

$$D = \bigcup_{w \in P_F} D_w. \tag{2.59}$$

Some of  $D_w$  can be empty.

We let  $L = H_1(T \setminus D)$ , with a skew-symmetric form  $\langle , \rangle$  on it given by the polarization. There is a sequence

$$\mathsf{P}_F \to H_1(T \setminus D) \to H_1(T). \tag{2.60}$$

Here and in the following,  $P_F$  and  $Q_F$  stands for the weight and the root lattice of F. Therefore L has a skew-symmetric form with signature  $(+^r, -^r, 0^{\operatorname{rank} F})$ . Denote by  $\operatorname{Sp}(\mathsf{L})$  the group of automorphism of L preserving this skew symmetric form. The differential  $\lambda_{SW}$  determines a homomorphism  $a: \mathsf{L} \to \mathbb{C}$ . Its restriction on  $\mathsf{P}_F$  is constant on  $\mathcal{M}_{\operatorname{Coulomb}}$ , as  $d\lambda_{SW}$  is holomorphic. This constant homomorphism  $\mathsf{P}_F \to \mathbb{C}$  is identified with  $m \in \mathfrak{f}_{\mathbb{C}}$  up to conjugation.

Let  $Disc(Q_m)$  be the discriminant of the fibration. We have an Sp(L) local system over

$$\mathcal{M}_{\text{Coulomb}}(Q_m) \setminus \text{Disc}(Q_m).$$
 (2.61)

Locally we can take a basis of  $\Lambda$ 

$$\alpha_1, \dots, \alpha_r; \beta_1, \dots, \beta_r; \gamma_1, \dots, \gamma_{\text{rank}\,F}$$
 (2.62)

such that  $\langle \alpha_i, \beta_j \rangle = \delta_{ij}$ , otherwise = 0. We let

$$a_i = a(\alpha_i), \quad a_i^D = a(\beta_i), \quad m_i = a(\gamma_i).$$
 (2.63)

We identify the sublattice generated by  $\gamma_i$  with  $\mathsf{P}_F$ . Then  $(m_1, \ldots, m_{\operatorname{rank} F})$  is identified with m of  $Q_m$ . We denote by  $\mathsf{L}_E$  the maximally isotropic sublattice generated by  $\{alpha_i\}$  and  $\{\gamma_j\}$ .

Locally  $(a_1, \ldots, a_r)$  gives a coordinate system on  $\mathcal{M}_{\text{Coulomb}}(Q_m)$ . As the fiber is a polarized Abelian variety, we find that there is a holomorphic function  $\mathcal{F}(a_1, \ldots, a_r; m_1, \ldots, m_{\text{rank } F})$  such that

$$a_i^D = \frac{\partial F}{\partial a_i} \tag{2.64}$$

and furthermore

$$\tau_{ij} = \frac{\partial^2 \mathcal{F}}{\partial a_i \partial a_j} \tag{2.65}$$

is the period matrix of T, and in particular Im  $\tau_{ij}$  is symmetric positive definite.

The prepotential F is defined with respect to the choice of the maximally isotropic sublattice  $L_E \subset L$ . The relation (2.64) means that when we change the choice of  $L_E$  the prepotential is transformed by a Legendre transformation.

## 2.9 Donagi-Witten integrable system and gauging

It would be useful to consider a further fibration

$$\widetilde{DW}_F(Q) \to \mathfrak{f}_{\mathbb{C}}/F_{\mathbb{C}}$$
 (2.66)

where the fiber at  $m \in \mathfrak{f}_{\mathbb{C}}$  is  $DW(Q_m)$ . When Q is  $G \times F$ -symmetric, it should be possible to characterize  $\widetilde{DW}_F(Q/\!/\!/G|_{\tau})$  in terms of  $\widetilde{DW}_{F\times G}(Q)$ , but the author does not currently know how to do it. Instead let us just state the condition when  $Q = \mathrm{Hyp}(V)$  where V is a pseudoreal representation of  $G \times F$ .

Let us then consider  $\operatorname{Hyp}(V)/\!/\!/ G|_{\tau,m}$ . Here  $\tau$  can be non-canonically identified with invariant positive bilinear form (,) on  $\mathfrak{g}$ . Let us write  $G=\prod_x G_x$  where  $G_x$  is simple. Define  $\tau_x$  by  $(,)|_{\mathfrak{g}_x}=\tau_x(,)_0$  where  $(,)_0$  is the invariant product normalized so that the length squared of the long root is 2. Note that  $\operatorname{Im} \tau_x$  is positive. We then set  $q_x=e^{2\pi\sqrt{-1}\tau_x}$ . As stated in (2.26),  $q_x$  has degree  $2h^\vee(G_x)-k_{G_x}(\operatorname{Hyp}(V))/2$  under U(1) R-symmetry, whereas a and m has degree one.

Then our aim is to find the fibration is

$$DW(\text{Hyp}(V)///G) \to \mathcal{M}_{\text{Coulomb}}(\text{Hyp}(V)///G) = \mathfrak{h}_{\mathbb{C}}/W$$
 (2.67)

where  $\mathfrak{h}$  is the Cartan subalgebra of  $\mathfrak{g}$  and W is the Weyl group. This fibration depends furthermore on  $q_x$  and m. We pull back this family to

$$DW \to \mathfrak{h}_{\mathbb{C}}.$$
 (2.68)

Let

$$\mathfrak{h}_{\mathbb{C}} \supset U_K = \{ \underline{a} \in \mathfrak{h}_{\mathbb{C}} \mid |\alpha(\underline{a})| > K \text{ and } |w(\underline{a} \oplus m)| > K \}$$
 (2.69)

where  $\alpha$  runs over all roots of G and w is over all weights of V. Note that  $\underline{a} \oplus m$  is in the Cartan of  $G \times F$  and therefore there is a natural pairing with a weight w of V.

A standard perturbative computation shows that the family restricted to  $U_K$  for a sufficiently large K,

$$DW \to U_K$$
 (2.70)

satisfies the following properties.

- The monodromy of the local system on  $U_K$  preserves an isotropic sublattice  $P_G \times P_F \subset L$ , where we identify  $P_G$  with the weight lattice of  $\mathfrak{g}$ .
- Let us then take a basis  $\alpha_1, \ldots, \alpha_r$  of  $\mathsf{P}_G$ . Locally on  $U_K$ , we can choose  $\beta_1, \ldots, \beta_r$  generating the complementary sublattice  $\mathsf{Q}_\Gamma$  such that  $\mathsf{L} = \mathsf{P}_G \oplus \mathsf{Q}_\Gamma \oplus \mathsf{P}_F$ . We identify  $\mathsf{Q}_\Gamma$  with the root lattice of  $\mathfrak{g}$ .
- We let  $\underline{a}_i = \alpha_i(\underline{a})$  be the coordinate functions of  $\underline{a} \in \mathfrak{h}_{\mathbb{C}}$ . We also introduce  $a \in \mathfrak{h}_{\mathbb{C}}$  via  $\alpha_i(a) = a_i = \int_{\alpha_i} \lambda_{SW}$ . Both the set  $\{\underline{a}_i\}$  and the set  $\{a_i\}$  give a coordinate system in  $U_K$ .
- The most crucial condition is that the prepotential F(a) has the power series expansion in terms of  $\{q_x\}$

$$F(a,m) = \sum_{d_x \ge 0} F_{\{d_x\}}(a,m) \prod_x q_x^{d_x}$$
 (2.71)

such that the leading term is

$$F_{\{d_x=0\}}(a,m) = (a,a) - \sum_{v:\text{roots of }\mathfrak{g}} f(v(a)) + \frac{1}{2} \sum_{w:\text{weights of }V} f(w(a \oplus m))$$
 (2.72)

where

$$f(x) = \frac{1}{2\pi\sqrt{-1}} \left[ \frac{x^2}{2} \log x - \frac{3}{4}x^2 \right]$$
 (2.73)

is a function such that f'''(x) = 1/x, and other  $F_{\{d_x\}}(a, m)$  are rational functions of  $\{a_j\}$  and  $\{m_k\}$ . The degree of F(a, m) under U(1) R-symmetry should be two. Recall that a and m has degree 1 and  $q_x$  has degree  $2h^{\vee}(G_x) - k_{G_x}(\mathrm{Hyp}(V))/2$ .

Note that the branch cut of f(x) together with (2.64) determines the Sp(L) local system on  $U_K$  uniquely.

• The next condition is not so crucial as the previous one. It is on the property of  $\underline{a}_i$  as a function of  $a_j$ ,  $q_x$  and m. Namely,  $\underline{a}_i$  has a power series expansion in terms of  $q_x$ 

$$\underline{a}_i = \sum_{d_x \ge 0} f_{i,\{d_x\}}(a,m) \prod_x q_x^{d_x}$$
(2.74)

such that the leading term is

$$f_{i,\{d=0\}}(a,m) = a_i (2.75)$$

and other  $f_{i,d}(a,m)$  are rational functions of  $\{a_j\}$  and  $\{m_k\}$ .  $\underline{a}_i$  should furthermore have degree 1 under the U(1) R-symmetry. This just says that the coordinate  $a_i$  defined by  $\lambda_{SW}$  and  $\underline{a}_i$  defined by the underlying  $\mathfrak{h}$  are not very different.

The physics intuition says that such fibration should exist and is furthermore essentially unique, in the sense that if we have two solutions

$$F(a, m, \{q_x\}), \qquad \tilde{F}(a, m, \{q_x\})$$
 (2.76)

then there are power series with a definite degree under U(1) R-symmetry,

$$\tilde{q}_x = \sum_{d_y \ge 0} \tilde{q}_{x, \{d_y\}}(m) \prod_y q_y^{d_y}$$
(2.77)

where

$$\tilde{q}_{x,\{d_y=0\}} = q_x \tag{2.78}$$

and other  $\tilde{q}_{x,\{d_y\}}(m)$  are rational in m, so that

$$F(a, m, \{q_x\}) = \tilde{F}(a, m, \{\tilde{q}_x\}). \tag{2.79}$$

Before proceeding it is to be mentioned that there is a one-parameter family of hyperkähler structure on  $DW(Q_m)$  which is compatible with the holomorphic symplectic structure discussed above.

## 2.10 Examples of Donagi-Witten integrable systems

It is not known how to construct the DW integrable system given Hyp(V)///G in complete generality. Even describing them is tricky. The methods often employed are the following.

1. One can start from a family of curves

$$\Sigma_{SW} \to \mathcal{M}_{\text{Coulomb}}$$
 (2.80)

and take the Jacobian (or a nice subspace of it such as Prym) at each point on the base. In this case one needs to check that the resulting family is integrable.  $\Sigma_{SW}$  is called the Seiberg-Witten curve.

- 2. One can start from a Riemann surface C and a G'-Hitchin system on it, where G' is a group related to G. Then  $DW \to \mathcal{M}_{\text{Coulomb}}$  is identified with a small modification of the Hitchin fibration. Given a representation R of G, one can construct an associated spectral curve  $\Sigma_R \to \mathcal{M}_{\text{Coulomb}}$  which can then be regarded as the Seiberg-Witten curve.
- 3. One can also start from a family of compact Calabi-Yau 3-fold over the moduli space of its complex structure. In this case the fibration of its intermediate Jacobian is an integrable system but it is not principally poralized and Im τ<sub>ij</sub> is not positive definite. One needs to take a certain limit to extract a positive-definite subsystem. We usually end up with a family of non-compact 3-fold which is a fibration of deformed simple singularities over a Riemann surface C, X → M<sub>Coulomb</sub>. This family can also arise as a spectral geometry of a Hitchin system on C.
- 4. Finally there are also cases where DW(Q) is given by the moduli space of anti-self-dual G'-connections on a certain open four-manifold.

We review below some of the typical Donagi-Witten integrable system of  $\text{Hyp}(V)/\!/\!/G$ . We do not explain how to check that the conditions explained in Sec. 2.9 are satisfied. In the literature some of them were checked. There are some cases where the conditions have not been checked, although DW(Q) is believed to be correct from various other considerations.

#### 2.10.1 G-Hitchin system

We begin by a quick review of the Hitchin system. Let C be a Riemann surface with punctures  $p_1, \ldots p_k$  with labels. Let  $P \to C$  be a  $G_{\mathbb{C}}$ -bundle with a reference connection d''. We take

$$\phi \in \Omega^{1,0}(\mathfrak{g}_{\mathbb{C}} \times_{G_{\mathbb{C}}} P \to C), \quad A'' \in \Omega^{0,1}(\mathfrak{g}_{\mathbb{C}} \times_{G_{\mathbb{C}}} P \to C).$$
 (2.81)

D'' = d'' + A'' is a connection. Labels determine the singularities allowed for  $\phi$  and A. Suppose a singularity p is at the origin of a local coordinate z = 0. A tame (or regular) singularity is labeled by a  $\mathfrak{g}_{\mathbb{C}}$ -orbit O, and  $\phi$  is of the form

$$\phi \sim X \frac{dz}{d} + \text{less singular terms}, \qquad X \in O.$$
 (2.82)

A wild (or irregular) singularity is one where  $\phi$  has a pole of order more than one. We let

$$\mathcal{G} = \{ f : C \to G_{\mathbb{C}} \}. \tag{2.83}$$

Then

$$\{D''\phi = 0\}/\mathcal{G} =: \mathcal{M}_G(C) \tag{2.84}$$

is a holomorphic symplectic manifold and there is the Hitchin map

$$\pi: \mathcal{M}_G(C) \to \bigoplus_a H^0(K_C^{\otimes d_a} + p_{(a)})$$
(2.85)

where  $p_{(a)}$  is a linear combination of  $p_1, \ldots, p_k$  determined by the labels. The Hitchin map  $\pi$  is given by

$$\pi: \phi \mapsto u_1(\phi) \oplus \cdots \oplus u_r(\phi) \tag{2.86}$$

where we fixed the isomorphism

$$\mathbb{C}[\mathfrak{g}_{\mathbb{C}}^*]^{G_{\mathbb{C}}} \simeq \mathbb{C}[u_1, \dots, u_r] \tag{2.87}$$

so that  $u_a$  has degree  $d_a$ . Given a representation R of G we can consider the spectral curve of the Hitchin system. For example, when  $G = A_{N-1}$ , we take the vector representation as R and consider

$$\det_{R}(\lambda - \phi) = \lambda^{N} + u_{2}(\phi)\lambda^{N-2} + \dots + u_{N}(\phi) = 0$$
(2.88)

as an equation giving a curve within  $T^*C$ , where  $\lambda$  is the tautological one-form on  $T^*C$ .  $\mathcal{M}_G(C)$  is recovered as its Jacobian.

The spectral curve has a spurious dependence on R. When G is simply-laced, a more invariant object is its spectral geometry. Let us illustrate the construction by considering two cases. First consider the case  $G = E_6$ . The deformation of the simple singularity of type  $E_6$  is given by

$$W_{E_6} = x_1^4 + x_2^3 + x_3^2 + u_2 x_1^2 x_2 + u_5 x_1 x_2 + u_6 x_1^2 + u_8 x_2 + u_9 x_1 + u_{12}$$
 (2.89)

where  $x_1$ ,  $x_2$  and  $x_3$  have degree 3, 4, 6 respectively and  $u_k$  are the generators as in (2.87) where the subscripts are renamed to correspond to the degree. The whole expression has the degree  $h^{\vee}(E_6) = 11$ .

Then, given  $\phi$  as in (2.81), we consider a three-fold X in the total space of the vector bundle

$$K_C^{\otimes 3} \oplus K_C^{\otimes 4} \oplus K_C^{\otimes 6} \to C$$
 (2.90)

given by

$$0 = x_1^4 + x_2^3 + x_3^2 + u_2(\phi)x_1^2x_2 + u_5(\phi)x_1x_2 + u_6(\phi)x_1^2 + u_8(\phi)x_2 + u_9(\phi)x_1 + u_{12}(\phi)$$
 (2.91)

where  $x_1$ ,  $x_2$ ,  $x_3$  are now sections of  $K_C^{\otimes 3}$ ,  $K_C^{\otimes 4}$ ,  $K_C^{\otimes 6}$ , respectively. Then the fiber of the Hitchin system is given by the intermediate Jacobian of X.

Next, let us consider the case  $G = A_{N-1}$ . In this case the spectral geometry is given by

$$0 = x_2 x_3 + x_1^N + u_2(\phi) x_1^{N-2} + \dots + u_N(\phi)$$
 (2.92)

where  $x_1, x_2, x_3$  are sections of  $K_C, K_C^{\otimes 2}, K_C^{\otimes (N-2)}$ , respectively. Note that this is essentially equivalent to the spectral curve (2.88).

#### 2.10.2 Pure theory

For a simple gauge group G, consider the pure theory  $Q = \text{triv}_4 / |/G|_{\tau}$ . We use the parameter  $q = \Lambda^{2h^{\vee}} = e^{2\pi\sqrt{-1}\tau}$  introduced in (2.26). This has degree  $2h^{\vee}$  under the U(1) R-symmetry.

Its Donagi-Witten integrable system DW(Q) is the Toda integrable system of type G when G is simply-laced. For non-simply-laced G, it is the twisted Toda system associated to the Langlands dual of  $\hat{G}$ .

For type  $A_{N-1}$ ,

$$\mathcal{M}_{\text{Coulomb}}(Q) = \text{Spec} \,\mathbb{C}[\mathfrak{g}_{\mathbb{C}}]^{G_{\mathbb{C}}} = \text{Spec} \,\mathbb{C}[u_2, \dots, u_N].$$
 (2.93)

and the Seiberg-Witten curve is the spectral curve of the Toda system of type  $A_{N-1}$  given by

$$\Lambda^{N} z + \frac{\Lambda^{N}}{z} = x^{N} + u_{2} x^{N-2} + \dots + u_{N}.$$
 (2.94)

By defining the one-form  $\lambda = xdz/z$  we have

$$\lambda^{N} + u_{2}(\frac{dz}{z})^{2}\lambda^{N-2} + \dots + (u_{N} + \Lambda^{N}z + \frac{\Lambda^{N}}{z})(\frac{dz}{z})^{N} = 0.$$
 (2.95)

This is of the form of a spectral curve of SU(N)-Hitchin system on a sphere, with two marked points at z=0 and  $z=\infty$ .  $u_i(\phi)$  for i< N has degree  $\leq i$  poles at 0 and  $\infty$ , but  $u_N(\phi)$  has order N+1 poles there. The points 0 and  $\infty$  are therefore irregular (wild) singularities.

For type  $E_6$ , say, the Seiberg-Witten geometry is given by

$$\Lambda^{12}z + \frac{\Lambda^{12}}{z} = x_1^4 + x_2^3 + x_3^2 + u_2x_1^2x_2 + u_5x_1x_2 + u_6x_1^2 + u_8x_2 + u_9x_1 + u_{12}$$
 (2.96)

and this is of the form of the spectral geometry of the  $E_6$ -Hitchin system on a sphere with two marked points at z = 0 and  $z = \infty$ , with

$$u_i(\phi) = u_i \frac{dz^i}{z^i}, \quad (i \neq 12), \qquad u_{12}(\phi) = (u_{12} + \Lambda^{12}z + \frac{\Lambda^{12}}{z}) \frac{dz^{12}}{z^{12}}.$$
 (2.97)

The points 0 and  $\infty$  are again irregular (wild) singularities.

#### 2.10.3 $\mathcal{N}=4$ theory and $\mathcal{N}=2^*$ theory

Pick a simple  $\mathfrak{g}$ . Consider the  $\mathcal{N}=4$  system introduced in Sec. 2.6.2:

$$Q_{\tau} = \text{Hyp}(\mathfrak{g}_{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{H}) / / / G|_{\tau}. \tag{2.98}$$

This is a Sp(1)-symmetric  $\mathcal{N}=2$  supersymmetric theory, and therefore one can consider the mass deformation  $Q_{\tau,m}$  where m is in the Cartan subalgebra of  $\mathfrak{su}(2)_{\mathbb{C}}$ , i.e. a complex number up to sign. The theory when  $m \neq 0$  is called the  $\mathcal{N}=2^*$  theory. Here  $q = e^{2\pi\sqrt{-1}\tau}$  is degree zero. The Donagi-Witten integrable system for simply-laced G when m=0 is the G-Hitchin system on the elliptic curve with modulus q without any puncture. When G is not simply-laced, it is given by the twisted Hitchin system associated to the Langlands dual of  $\hat{G}$ . In either case, the prepotential is just given by

$$F(a) = \tau(a, a)_0. (2.99)$$

When  $m \neq 0$ ,  $DW(Q_{\tau,m})$  is given by the elliptic Calogero-Moser system of type G when G is simply-laced, and by the twisted version associated to the Langlands dual of  $\hat{G}$  when G is non-simply-laced. When  $G = A_{N-1}$  it is given by a SU(N)-Hitchin system on a torus with one puncture at z = 0, such that the  $\mathfrak{g}_{\mathbb{C}}$ -valued one-form  $\phi$  at z = 0 has a residue conjugate to

Res 
$$\phi \sim m \operatorname{diag}(1, 1, \dots, 1, 1 - N)$$
. (2.100)

There is no known way to construct (twisted) elliptic Calogero-Moser systems of other types as a Hitchin system.

#### 2.10.4 SQCD

Consider the SQCD introduced in Sec. 2.6.3:

$$Q_{\tau} = \text{Hyp}(V \otimes \bar{W} \oplus W \otimes \bar{V}) / / / \text{SU}(V)_{\tau}$$
(2.101)

where  $V \simeq \mathbb{C}^N$  and  $W \simeq \mathbb{C}^{N_f}$ . This is an U(W)-symmetric theory, and therefore we can introduce mass deformations by  $m = (m_1, \dots, m_{N_f})$ . The maximum  $N_f$  allowed is 2N.

The Seiberg-Witten curve is given by the family

$$\Sigma_{SW} \ni (z, x) : \quad z + \frac{q \prod_{i=1}^{N_f} (x - \underline{m}_i)}{z} + x^N + u_2 x^{N-2} + \dots + u_N = 0$$
 (2.102)

and  $\lambda = xdz/z$ . Here z has degree N and  $q = \text{has degree } 2N - N_f$ . From this one can construct

$$DW := Jac \to M_{\text{Coulomb}}.$$
 (2.103)

The one-form on DW is induced from the one-form  $\lambda = xdz/z$  on  $\Sigma_{SW}$ . It is a good exercise to check that indeed this fibration satisfies the defining conditions stated in Sec. 2.9. We note that for  $N_f < 2N$  we can identify  $m_i = \underline{m}_i$ , but for  $N_f = 2N$ ,  $\underline{m}_i = m_i + O(q)$ .

Consider the conformal case  $N_f = 2N$ . Let us rewrite (2.102) as the spectral curve of the Hitchin system. We first redefine z to have

$$z \prod_{i=1}^{N_c} (x - \underline{m}_i) + \frac{q}{z} \prod_{i=N_c+1}^{2N_c} (x - \underline{m}_i) + x^N + u_2 x^{N-2} + \dots + u_N = 0.$$
 (2.104)

We make a few rewrites: first, we gather the same powers of x to have

$$(z + \frac{q}{z} + 1)x^N + \hat{u}_1(z)x^{N-1} + \dots + \hat{u}_N(z) = 0.$$
 (2.105)

By dividing by z + q/z + 1 and redefining  $x_{\text{new}} = x_{\text{old}} - u_1(z)/(z + q/z + 1)/N$ , we have

$$x^{N} + \tilde{u}_{2}(z)x^{N-2} + \dots + \tilde{u}_{N}(z) = 0.$$
(2.106)

Now  $\tilde{u}_k(z)$  has degree k poles at  $z_{\pm}$ , where  $z_{\pm}$  are two zeros of z + q/z + 1 = 0. This last expression is of the form of the spectral curve of a Hitchin system,

$$\lambda^{N} + u_{2}(\phi)\lambda^{N-2} + \dots + u_{N}(\phi) = 0.$$
 (2.107)

where  $\lambda = xdz/z$  and  $u_k(\phi) = \tilde{u}_k(z)dz^k/z^k$ . The field  $\phi$  has four singularities on a sphere parameterized by z, all of which are regular. The cross ratio of four points is a function of q. When all  $m_i$  are generic, we find the following:

• At  $z=0,\infty$ , we have a pole of the form

$$\phi \sim \operatorname{diag}(\tilde{m}_1, \dots, \tilde{m}_N) dz/z, \quad \phi \sim \operatorname{diag}(\hat{m}_1, \dots, \hat{m}_N) dz/z,$$
 (2.108)

so that  $\sum \tilde{m}_i = \sum \hat{m}_i = 0$ .

• At  $z=z_{\pm}$ , we have a pole of the form

$$\phi \sim \tilde{m} \operatorname{diag}(1, 1, \dots, 1, 1 - N) \frac{dz}{z - z_{+}}, \quad \phi \sim \hat{m} \operatorname{diag}(1, 1, \dots, 1, 1 - N) \frac{dz}{z - z_{-}}.$$
 (2.109)

We thus see that there are two types of residues with distinct Levi types.

When some of the parameter, say  $\tilde{m}$ , is taken to zero, the residue of  $\phi$  is no longer semisimple. Instead, we have

$$\phi \sim (J_2 \oplus \underbrace{J_1 \oplus \cdots \oplus J_1}_{N-2}) \frac{dz}{z - z_+}, \tag{2.110}$$

where  $J_k$  is a  $k \times k$  Jordan block. We will have more to say about it in the next section.

Note that in the pure case we find wild singularities. An experimental fact is that when we write the Seiberg-Witten curve in terms of a Hitchin system we usually have

- some wild singularities if  $2h^{\vee}(G) > k_G$  and
- all singularities are tame when  $2h^{\vee}(G) = k_G$ .

Let us consider a particularly simple case where N=2 and  $N_f=4$ . Then (1,1-N)=(1,-1) are both generic and the four singularities at  $z=0,\infty,z_+,z_-$  are all of the same type. This is in fact the simplest case of the trivalent theory, with

$$\Gamma = \sum_{\mathbf{v_k}}^{\mathbf{v_l}} \mathbf{v_k} \quad . \tag{2.111}$$

#### 2.10.5 Trivalent theory

Let us then consider a general trivalent theory  $Q_{\Gamma,\tau}$  introduced in Sec. 2.6.6. Given

$$\Gamma = \underbrace{e^{\text{v'}}_{\text{v'}}}_{\text{criternal}}, \qquad (2.112)$$

recall we have the theory  $Q = \text{Hyp}(V_{\Gamma})/G_{\Gamma}$  which is  $F_{\Gamma}$ -symmetric, see (2.48), (2.49) and (2.51). Note that mass deformation is given by  $m = \{m_e\}_{e:\text{external}}$ . We associate to  $\Gamma$  a Riemann surface by picking a three-punctured sphere  $P^1$  for each vertex v, and for each edge with  $\tau_e$  associated, we make the identification  $zz' = q_e = e^{2\pi\sqrt{-1}\tau_e}$ :

$$C = \sqrt{\frac{1}{2}} \sqrt{\frac{1}{2}} \sqrt{\frac{1}{2}} e^{2\pi i z} . \qquad (2.113)$$

Note that each external edge e becomes a puncture  $p_e$  on C. Let us say  $p_e$  is at the origin of the local coordinate  $z_e = 0$ . Then we consider an SU(2)-Hitchin system on this Riemann surface with the boundary condition

$$\phi \sim \frac{dz_e}{z_e} \operatorname{diag}(m_e, -m_e) \tag{2.114}$$

at each puncture. This gives the Donagi-Witten integrable system of  $Q_{\Gamma,\tau,m}$ .

#### 2.10.6 An exceptional gauge theory

Consider the theory

$$\operatorname{Hyp}(V \otimes \mathbb{C}^{N_f} \oplus \bar{V} \otimes \bar{\mathbb{C}}^{N_f}) / / / E_6|_{\tau}$$
(2.115)

as introduced in Sec. 2.6.7, where  $V \simeq \mathbb{C}^{27}$  is the miniscule representation of  $E_6$ , and  $0 \le N_f \le 4$ . As this is  $\mathrm{U}(N_f)$ -symmetric, introduce the mass deformation  $m = (m_1, \ldots, m_{N_f})$ .  $q = e^{2\pi\sqrt{-1}\tau}$  has degree  $24 - 6N_f$ .

The Seiberg-Witten geometry is given by

$$z + \frac{q \prod_{i}^{N_f} X(\{x_1, x_2, x_3\}, \{u_d\}, \underline{m_i})}{z} = W_{E_6}(\{x_1, x_2, x_3\}, \{u_d\})$$
 (2.116)

where  $W_{E_6}$  was given in (2.89) and

$$X(\lbrace x_1, x_2, x_3 \rbrace, \lbrace u_d \rbrace, m) = -8(x_1^2 - \sqrt{-1}x_3 + \frac{1}{2}u_6) - 4u_2x_2 + 4mu_5 + m^2(u_2^2 - 12x_2) - 8m^3x_1 + 2m^4w_2 + m^6.$$
 (2.117)

Note first that when  $N_f = 0$  it reduces to the geometry of the pure theory, (2.96). In particular it is the spectral geometry of a  $E_6$ -Hitchin system with two wild singularities.

The polynomial X above has the following important property. Consider

$$zX(\lbrace x_1, x_2, x_3 \rbrace, \lbrace u_d \rbrace, m) = W_{E_6}(\lbrace x_1, x_2, x_3 \rbrace, \lbrace u_d \rbrace)$$
(2.118)

as defining a family  $\mathcal{X}$  of three-dimesional hypersurface in  $(z, x_1, x_2, x_3) \in \mathbb{C}^4$  parameterized by m and  $\{u_d\}$ . By the identification  $\mathbb{C}[\mathfrak{h}_{\mathbb{C}}]^W = \mathbb{C}[u_d]$  where  $\mathfrak{h}_{\mathbb{C}}$  is the Cartan subalgebra of  $E_6$  and W the Weyl group, we can think of  $\mathcal{X}$  as a family

$$\mathcal{X} \to \mathbb{C} \oplus \mathfrak{h} \ni m \oplus a. \tag{2.119}$$

Then the fiber develops a singularity of the form  $x^2 + y^2 + z^2 + w^2 = 0$  if and only if there is a weight of V such that m = w(a).

Let us next consider the case  $N_f = 4$  so that the theory is conformal. Here it is more convenient to rewrite (2.116) to

$$zX(\underline{m_1})X(\underline{m_2}) + \frac{qX(\underline{m_3})X(\underline{m_4})}{z} = W_{E_6}.$$
(2.120)

As in the rewriting in the conformal SU(N) case starting at (2.104), we can transform it into the spectral geometry of a Hitchin system on a sphere with four tame singularities:

• At z=0 and  $z=\infty$ , the Hitchin field behaves as

$$\phi \sim [3(m_1 + m_2)(v_2 - v_4) + (m_1 - m_2)(v_2 + v_4)] \frac{dz}{z}, \qquad (2.121)$$

$$\phi \sim [3(m_3 + m_4)(v_2 - v_4) + (m_3 - m_4)(v_2 + v_4)] \frac{dz}{z}$$
 (2.122)

respectively, where  $v_i$  is the *i*-th fundamental weight where the ordering of the nodes is given by 12345. When  $m \to 0$  the residue is nilpotent, whose Bala-Carter label is  $A_4 + A_1$ .

• At  $z = z_+$  and  $z = z_-$  at the zeroes of z + q/z + 1 = 0, we have

$$\phi \sim E_{\alpha} \frac{dz}{z - z_{+}}, \qquad \phi \sim E_{\alpha} \frac{dz}{z - z_{-}}.$$
 (2.123)

where  $E_{\alpha}$  is an element in the SL(2) triple  $(E_{\alpha}, H_{\alpha}, F_{\alpha})$  associated to a simple root. The Bala-Carter label is  $A_1$ .

#### 2.10.7 Affine quiver theory

As a final example, consider the quiver gauge theory  $Q_{\Gamma}$  introduced in Sec. 2.6.4, in a particular case when the underlying graph  $\Gamma$  is an affine Dynkin diagram, of type  $\hat{\Gamma}_r$  where  $\Gamma_r = A_r$ ,  $D_r$  or  $E_r$ . The gauge group is

$$G_{\Gamma} = \prod_{i=0}^{r} SU(Na_i) \tag{2.124}$$

where  $d_i$  are the marks of the Dynkin diagram so that  $\sum d_i = h^{\vee}(\Gamma_r)$ . The flavor symmetry  $F_{\Gamma}$  is  $\tilde{G}_{\Gamma}/G_{\Gamma}$  where

$$\tilde{G}_{\Gamma} = \prod_{i=0}^{r} U(Na_i). \tag{2.125}$$

The gauge couplings are given by  $q_i = e^{2\pi\sqrt{-1}\tau_i}$  for i = 0, ..., r. Then the Donagi-Witten integrable system DW(Q) is given by the moduli space of anti-self-dual  $\Gamma_r$ -connections of instanton number N on  $\mathcal{E}_q \times \mathbb{C}$  where  $\mathcal{E}_q$  is an elliptic curve with the complex structure  $q = q_0 \cdots q_r$ .

The fibration  $DW(Q_{\Gamma}) \to \mathcal{M}_{\text{Coulomb}}(Q_{\Gamma})$  is given using Loojienga's theorem, which states that the moduli of holomorphic  $\Gamma_r$ -bundle on  $\mathcal{E}$  is isomorphic to the weighted projective space  $\mathbb{WP}_{a_0,\dots,a_r}$ . Let us denote by x the coordinate on  $\mathbb{C}$ . Then, restricting the  $\Gamma_r$ -bundle on the fiber  $\mathcal{E}_q$  at x, one has a holomorphic degree-N quasimap from  $\mathbb{C}$  to  $\mathbb{WP}_{a_0,\dots,a_r}$ . More explicitly, we have r+1 polynomials  $\chi_i$  of degree  $Na_i$  of x:

$$\chi_i(x) = q_i x^{Na_i} + m_i x^{Na_i-1} + u_{i,2} x^{Na_i-2} + \dots + u_{i,Na_i}$$
(2.126)

so that  $[\chi_0(x):\chi_1(x):\dots:\chi_r(x)] \in \mathbb{WP}_{a_0,\dots,a_r}$ . The coefficients are naturally associated to the coupling constants  $q_i$ , masses  $m_i$  of  $F_{\Gamma}$ , and the coordinates  $u_{i,2},\dots,u_{i,Na_i}$  which comes from  $\mathbb{C}[SU(Na_i)]^{\mathfrak{su}(Na_i)}$ .

When  $\Gamma_r = A_r$  or  $D_r$ , one can also describe the same integrable system as an SU(N)-Hitchin system or a twisted SU(2N)-Hitchin system, respectively. For  $\Gamma_r = A_r$ , we have an SU(N)-Hitchin system on  $T^2$  with complex structure q as above, with r+1 punctures with residue of the form (2.109). For  $\Gamma_r = D_r$ , we have a twisted SU(2N)-Hitchin system on a sphere in the following sense. In addition to r singularities where the residue of  $\phi$  is of the form (2.109), there are four singularities around which there is a monodromy by the outer automorphism of SU(2N). These descriptions when the Dynkin diagram is of type A or D are obtained by applying the Nahm transformation to the descriptions given above in terms of instantons on  $T^2 \times \mathbb{R}^2$ .

# 2.11 BPS states and Wall crossing

Given a F-symmetric  $\mathcal{N}=2$  supersymmetric theory Q, consider  $\mathcal{H}_{Q_m}(\mathbb{R}^3,p)$  for  $p\in \mathcal{M}_{\text{Coulomb}}(Q_m)\setminus \text{Disc}(Q_m)$ . This is an infinite dimensional Hilbert space, graded by L

$$\mathcal{H}_{Q_m}(\mathbb{R}^3, p) = \bigoplus_{l \in L} \mathcal{H}_l(p). \tag{2.127}$$

There is an action of the supersymmetry  $S^+ \otimes \mathcal{R} \oplus S^- \otimes \bar{\mathcal{R}}$  on  $\mathcal{H}_{Q_m}(\mathbb{R}^3, p)$  compatible with the grading by L. Recall that we introduced a map  $a : \mathsf{L} \to \mathbb{C}$ . Pick  $\delta^+ \in S^+ \otimes \mathcal{R}$  and  $\delta^- \in S^- \otimes \mathcal{R}$  and let

$$\delta_{\varphi} = \delta^{+} + e^{i\varphi}\delta^{-} \tag{2.128}$$

for  $\varphi \in \mathbb{R}$ . It is known that

$$[\delta_{\varphi}, \delta_{\varphi}^{\dagger}]_{+} = \delta_{\varphi} \delta_{\varphi}^{\dagger} + \delta_{\varphi}^{\dagger} \delta_{\varphi} = t - \operatorname{Re}(e^{-i\varphi}a). \tag{2.129}$$

where t is an Hermitean operator on  $\mathcal{H}_{Q_m}(\mathbb{R}^3, p)$  called the Hamiltonian.

Therefore, the eigenvalue of t on  $\mathcal{H}_{\lambda}$  is bounded below by |a(l)|. Let  $\varphi = \operatorname{Arg} a(\lambda)$ . Then  $\delta_{\varphi}v = 0$  for  $v \in \mathcal{H}_{l}(p)$  if and only if tv = |a(l)|v. The subspace of  $\mathcal{H}_{l}(p)$  satisfying this condition is called the space of BPS states and we denote it by  $\operatorname{BPS}_{l}(p)$ . BPS<sub>l</sub>(p) is a  $\mathbb{Z}/\mathbb{Z}_{2}$ -graded finite-dimensional vector space. BPS<sub>l</sub>(p) is locally constant but it can jump at real-codimension-1 walls. Its wall-crossing behavior is intensively studied.

### 2.12 Topological twisting

Let Q a  $\mathcal{N}=2$  supersymmetric QFT. We define a new QFT  $Q_{\text{top}}$ , which is not a supersymmetric QFT, as follows. First, the space of point operators is given by

$$\mathcal{V}_{Q_{\text{top}}} = H(\mathcal{V}_Q, \delta) \tag{2.130}$$

where  $\delta$  is a fixed element in  $S^+ \otimes \mathcal{R}$ . Next, recall an  $\mathcal{N} = 2$  supersymmetric QFT is SU(2) R-symmetric. Given a spin 4-manifold X, we decompose the frame bundle  $F_{\text{Spin}(4)} \to X$  to  $P_{\text{SU}(2)} \times_X P'_{\text{SU}(2)} \to X$ , and then we feed it to  $Z_Q$  to define  $Z_{Q_{\text{top}}}$ :

$$Z_{Q_{\text{top}}}(X) = Z_Q(P_{\text{SU}(2)} \to X).$$
 (2.131)

In this way we choose a homomorphism

$$\varphi: SU(2)_R \to Spin(4). \tag{2.132}$$

This  $Q_{\text{top}}$  satisfies remarkable properties, due to the following reason. The supertangent to X as defined in (2.2) is now, due to the identification of  $SU(2) \subset Spin(4)$  and the SU(2) R-symmetry, given by

$$S^{+}X \oplus S^{-}X = (\mathbb{C} \oplus \Lambda^{2+}TX) \oplus TX \tag{2.133}$$

where  $\Lambda^{2+}TX$  is the bundle of self-dual two-forms. Therefore there is a trivial subbundle of the supertangent bundle, which then has a covariantly constant section. This gives a superisometry  $\delta$ .

Using this superisometry  $\delta$ , we can show the following:

•  $Z_{Q_{\text{top}}}(X)$  depends only on smooth structure on X. To show this, consider changing the metric of X from g to  $g + \epsilon \delta g$ . Then, from the analysis in Sec. 1.9, we have

$$\frac{\partial}{\partial \epsilon} Z_{Q_{\text{top}}}(X) = \int_{X} (\langle T(p) + \varphi(\nabla J(p)) \rangle_{X}, \delta g(p)) d \operatorname{vol}_{X}$$
 (2.134)

where T is the energy-momentum tensor, J is the SU(2) R-current, and  $\varphi$  is the map  $\mathbb{R}^4 \times \mathfrak{su}(2)_R \to \operatorname{Sym}^2 \mathbb{R}^4$  induced from (2.132). Now it turns out the point operator  $T + \varphi(\nabla J)$  is  $\delta$ -exact, and therefore its one-point function on the right hand side of (2.134) vanishes. Therefore  $Z_{Q_{\text{top}}}(X)$  does not depend on the continuous deformation of the metric.

• For the quotient  $Q///G|_{\tau}$  we have

$$Z_{Q///G|_{\tau,\text{top}}} = \sum_{n} q^{n} \int_{\mathcal{M}_{n}} Z_{Q_{\text{top}}}(P_{G} \to X)$$
 (2.135)

where  $q = e^{2\pi\sqrt{-1}\tau}$  and  $\mathcal{M}_n$  is the moduli space of ASD G-connections on X with  $c_2 = n$ . Morally speaking, this happens as there is an action of  $\delta$  on the integration domain of the path integral which is a supermanifold based on the moduli space  $\mathcal{M}$  of G-bundles with connections. Then the integral localizes to the integral over the  $\delta$ -fixed points, which happen to be given by the ASD G-connections. As a corollary, we see  $(\text{triv}/// \text{SU}(2))^{\text{top}}$  is the Donaldson invariant.

# 3 6d theory and 4d theories of class S

In this section we study four-dimensional  $\mathcal{N}=2$  supersymmetric QFTs arising from the so-called dimensional reduction of a class of six-dimensional  $\mathcal{N}=(2,0)$  supersymmetric QFTs.

#### 3.1 Dimensional reduction

In general, given a d-dimensional QFT Q and a d' < d dimensional Riemannian manifold K, we can define a d - d' dimensional QFT Q[K] via the relation

$$Z_{Q[K]}(X) = Z_Q(K \times X). \tag{3.1}$$

The definition of the space of operators  $\mathcal{V}_{Q[K]}$  requires more care. This operation is called the dimensional reduction. The resulting theory Q[K] depends on the Riemannian metric on K, and is too detailed. We want an operation which depends only on rougher structures on K so that it is more tractable. This can often be done if the original d-dimensional QFT is supersymmetric.

For definiteness, we start from a six-dimensional supersymmetric theory. A six-dimensional supersymmetry algebra is of the form

$$(\mathfrak{sp}(\mathcal{N}^+) \times \mathfrak{sp}(\mathcal{N}^-) \times \mathfrak{so}(d)) \ltimes (\mathbb{R}^6 \oplus S^+ \otimes \mathcal{R}^+ \oplus S^- \otimes \mathcal{R}^-)$$
(3.2)

where  $\mathcal{R}^{\pm} \simeq \mathbb{H}^{\mathcal{N}^{\pm}}$ . The R-symmetry group acting on  $\mathcal{R}^{\pm}$  is only  $\mathfrak{sp}(\mathcal{N}^{\pm})$ , not  $\mathfrak{u}(2\mathcal{N}^{\pm})$ , in order for the action to be compatible with the CPT conjugation action on the superalgebra, which as introduced in Sec. 1.10 is an action of Pin(6) where the element disconnected from the identity acts by a conjugate-linear map.

This is called the  $(\mathcal{N}^+, \mathcal{N}^-)$ -extended six-dimensional supersymmetry, and the  $\mathfrak{sp}(\mathcal{N}^+) \times \mathfrak{sp}(\mathcal{N}^-)$  part is the R-symmetry. An  $(\mathcal{N}^+, \mathcal{N}^-)$ -extended theory and an  $(\mathcal{N}^-, \mathcal{N}^+)$ -extended theory are essentially the same by a change of convention. We only deal with the case  $(\mathcal{N}^+, \mathcal{N}^-) = (2, 0)$ , for which the supersymmetry algebra is

$$(\mathfrak{sp}(2) \times \mathfrak{so}(d)) \ltimes (\mathbb{R}^6 \oplus S^+ \otimes \mathcal{R}^+). \tag{3.3}$$

Note that  $\mathfrak{sp}(2) \simeq \mathfrak{so}(5)$  in our convention. This is usually called the six-dimensional  $\mathcal{N} = (2,0)$  supersymmetric theory.

Given a six-dimensional spin manifold Y with a Riemannian metric, together with an  $\mathrm{Sp}(2)$  R-symmetry bundle with connection, we have the frame bundle  $F_{\mathrm{Sp}(2)\times\mathrm{Spin}(6)}Y\to Y$ . Then the algebra bundle (3.3) gives rise to the supertangent space

$$TY \oplus \mathcal{S}^+Y = (\mathbb{R}^6 \oplus S^+ \otimes \mathcal{R}^+) \times_{\operatorname{Sp}(2) \times \operatorname{Spin}(6)} F_{\operatorname{Sp}(2) \times \operatorname{Spin}(6)} Y.$$
 (3.4)

Now, given a d'-dimensional manifold K, we pick a homomorphism  $\varphi : \mathfrak{so}(d') \to \mathfrak{sp}(2)$ . Then we have an  $\mathfrak{sp}(2)$  bundle  $\varphi(F_{\mathfrak{so}(d')}K \times X)$  over  $K \times X$  constructed from the frame bundle of TK, which we use to define  $Q[K_{\varphi}]$ 

$$Z_{Q[K_{o}]}(X) := Z_{Q}(\varphi(F_{\mathfrak{so}(d')}K) \times X). \tag{3.5}$$

When  $\varphi(\mathfrak{so}(d'))$  has a nontrivial stabilizer G in  $\mathfrak{sp}(2)$  R-symmetry group,  $Q[K_{\varphi}]$  becomes a d-d' dimensional supersymmetric theory with G R-symmetry. This procedure is called the partial twisting.

In this review we only consider the case when d'=2 and the homomorphism  $\varphi$  is given by the diagonal embedding

$$\varphi: \mathfrak{so}(2) \to \mathfrak{so}(5) \simeq \mathfrak{sp}(2).$$
 (3.6)

Its stabilizer is  $\mathfrak{so}(2) \times \mathfrak{so}(3) \simeq \mathfrak{u}(1) \times \mathfrak{su}(2)$ . Then the theory  $Q[K_{\varphi}]$  is a four-dimensional  $\mathcal{N}=2$  supersymmetric theory with  $\mathrm{SU}(2) \times \mathrm{U}(1)$  R-symmetry. Indeed, one can check that the supertangent bundle (3.4) over  $K \times X$  contains a subbundle pulled back from the supertangent bundle (2.2) over X of an  $\mathcal{N}=2$  theory with  $\mathrm{SU}(2) \times \mathrm{U}(1)$  R-symmetry. The properties of  $Q[K_{\varphi}]$  we study only depends only on the complex structure and the total area of K. This can be shown as in the derivation of the independence of  $Q_{\mathrm{top}}$  from the metric given in Sec 2.12.

# 3.2 6d $\mathcal{N} = (2,0)$ theory

Now we need  $6d \mathcal{N} = (2,0)$  supersymmetric theory to be used in the dimensional reduction just introduced above. They are known to have an ADE classification, namely, for each Dynkin diagram  $\Gamma = A_n, D_n, E_n$ , we have a  $6d \mathcal{N} = (2,0)$  supersymmetric theory  $S_{\Gamma}$ . This is  $\text{Out}(\Gamma)$ -symmetric, where  $\text{Out}(\Gamma)$  is the graph automorphism of  $\Gamma$ . The theory  $S_{\Gamma}$  itself is constructed by a dimensional reduction starting from 10d quantum gravity system called string theories.

A d-dimensional gauge theory of the form Q/G involves a path integral over the moduli space of the G-bundles with connections on a d-dimensional manifold X. A d-dimensional quantum gravity theory should involve a path integral over the moduli space of the Riemannian manifolds of dimension d. But physicists learned that it is almost impossible to start from a QFT Q and form Q/(diffeo. on metric). A quantum gravity theory is constructed in a rather indirect way, and only a few of them are known to exist. Also, as we need to

G	$\operatorname{rank} G$	$\dim G$	$h^{\vee}(G)$
$A_{N-1}$	N-1	$N^2 - 1$	$\overline{N}$
$D_N$	N	N(2N - 1)	2N - 2
$E_6$	6	78	12
$E_7$	7	133	18
$E_8$	8	248	30

Table 1: Data of the simply laced groups.

perform an integral over the Riemannian manifolds, we do not expect that a quantum gravity theory gives a number given a compact d-dimensional Riemannian manifold. Rather, given a d-1 dimensional Riemannian manifold Y, we expect that the path integral over the moduli space of d-dimensional Riemannian manifolds with metric whose boundary is Y would give rise to a number.

A well-established supersymmetric quantum gravity theory is the Type IIB string theory  $St_{\text{IIB}}$  in 10 dimensions. This means that it can produce a number given a 9-dimensional Riemannian manifold. We can then perform a dimensional reduction to define

$$S_{\Gamma} = St_{\text{IIB}}[S^3/\Gamma] \tag{3.7}$$

where  $\Gamma$  is identified with the corresponding finite subgroup of SU(2). This is a 6d  $\mathcal{N} = (2,0)$  supersymmetric QFT.

Its space of point operators is not completely known, but it at least satisfies

$$\mathcal{V}_{S_{\Gamma}} \supset \mathbb{C}[\mathfrak{h} \otimes_{\mathbb{R}} (\mathbb{C} \oplus \mathbb{C} \oplus \mathbb{R})]^{W}. \tag{3.8}$$

Here,  $\mathfrak{h}$  is the Cartan subalgebra of the Lie algebra of type  $\Gamma$ , Spin(5) acts naturally on  $\mathbb{C} \oplus \mathbb{C} \oplus \mathbb{R} \simeq \mathbb{R}^5$ , and  $\mathbb{C}[\mathfrak{h} \otimes \mathbb{R}^3]^W$  comes from the deformation parameters of a hyperkähler asymptotically-flat metric filling  $S^3/\Gamma$ .

 $S_{\Gamma}$  is a Spin(5)-symmetric QFT. Then its anomaly polynomial  $A(S_{\Gamma})$  is a degree-8 characteristic class in TX and  $\mathcal{P}_{\text{Spin}(5)}$ , known to be of the form

$$A(S_{\Gamma}) = (\operatorname{rank} G)I_8 + \dim Gh^{\vee}(G)\frac{p_2(\mathcal{P}_{\mathrm{Spin}(5)})}{24}$$
(3.9)

where G is a Lie group of type  $\Gamma$  and

$$I_8 = \frac{1}{48} \left[ p_2(\mathcal{P}_{\text{Spin}(5)}) - p_2(T\mathcal{X}) + \frac{1}{4} (p_1(\mathcal{P}_{\text{Spin}(5)}) - p_1(T\mathcal{X}))^2 \right]. \tag{3.10}$$

The data of simply-laced groups are given in Table 1. Note that dim  $G = \operatorname{rank} G(h^{\vee}(G) + 1)$ .

### 3.3 Dimensional reduction on $S^1$

Before studying  $S_{\Gamma}$  compactified on a Riemann surface, let us study  $S_{\Gamma}^{6d}[S_{\ell}^{1}]$  where the subscript  $\ell$  denotes the circumference of the circle. This turns out to be a 5d gauge theory.

Let G be the simply-laced group of type  $\Gamma$ . Then

$$S_{\Gamma}[S_{\ell}^{1}] = \left[ B_{d=5}(\mathfrak{g}_{\mathbb{R}} \otimes \mathbb{R}^{5}) \times F_{d=5}(\mathfrak{g}_{\mathbb{C}} \otimes \mathbb{H}^{2}) / G \right]_{\ell \in \mathbb{R}_{>0, \text{ properly deformed}}}$$
(3.11)

This is the  $\mathcal{N}=2$  supersymmetric 5d gauge theory with  $\mathrm{Spin}(5)\simeq\mathrm{Sp}(2)$  R-symmetry, which acts on  $\mathbb{R}^5$  and  $\mathbb{H}^2$  in a natural way. This has a path integral expression:

$$Z_{S_{\Gamma}[S_{\ell}^{1}]}(X) = \int_{\mathcal{M}} e^{-I} d\operatorname{vol}_{\mathcal{M}}$$
(3.12)

where

$$I = \int_{X} \frac{1}{\ell} \left[ \langle \bar{\phi}, \triangle \phi \rangle + \langle F, \star F \rangle + \langle \bar{\psi} \not D \psi \rangle + \cdots \right] d \operatorname{vol}_{X}, \tag{3.13}$$

 $\mathcal{M}$  is the moduli space of principal G-bundles  $P \to X$  with connection, and sections  $\phi$  of  $(\mathfrak{g}_{\mathbb{R}} \otimes \mathbb{R}^5) \times_G P \to X$ , and sections  $\psi$  of  $(\mathfrak{g}_{\mathbb{C}} \otimes \mathbb{C}^4) \times_G P \times \mathbb{C}^4 \times P_{\mathrm{Spin}(5)}X$ .

When  $X = S^1_{\ell'} \times Y$ , and take the limit  $\ell' \ell \to 0$  keeping  $\ell' / \ell$  fixed, we have

$$Z_{S_{\Gamma}[S_{\ell}^{1}]}(X) \to \int_{\mathcal{M}} e^{-I} dvol_{\mathcal{M}}$$
 (3.14)

where  $\mathcal{M}$  is now the moduli space of P,  $\phi$ ,  $\psi$  over Y, and

$$I = \int_{Y} \frac{\ell'}{\ell} \left[ \langle \bar{\phi}, \triangle \phi \rangle + \langle F, \star F \rangle + \langle \bar{\psi} \not\!\!D \psi \rangle + \cdots \right] d \operatorname{vol}_{Y}. \tag{3.15}$$

The holonomy of G-connection around  $S^1_{\ell'}$  gives another  $\mathfrak{g}_{\mathbb{R}}$ -valued function on X, and so  $\phi$  is now a section of  $\mathfrak{g}_{\mathbb{R}} \otimes \mathbb{R}^6$ . In total we have

$$S_{\Gamma}[S_{\ell}^{1} \times S_{\ell'}^{1}] \to [B(\mathfrak{g}_{\mathbb{R}} \otimes \mathbb{R}^{6}) \times F(\mathfrak{g}_{\mathbb{C}} \otimes \mathbb{C}^{4})/G]_{\tau = i\ell'/\ell, \text{properly deformed}} = \text{Hyp}(\mathfrak{g}_{\mathbb{C}} \oplus \mathfrak{g}_{\mathbb{C}}) /\!//G|_{\tau = i\ell'/\ell}. \quad (3.16)$$

This is the four-dimensional  $\mathcal{N}=4$  supersymmetric Yang-Mills with simply-laced gauge group G, introduced in Sec. 2.6.2. From the 6d construction we have the symmetry  $\ell \leftrightarrow \ell'$ , which is a nontrivial symmetry  $\tau \leftrightarrow -1/\tau$  from the 4d point of view.

# 3.4 Properties of nilpotent orbits

Before continuing it is necessary to gather here the properties of nilpotent orbits and other conjugacy classes of  $\mathfrak{g}$ . Given an element  $x \in \mathfrak{g}$ , it can be uniquely decomposed to x = e + m where e is nilpotent and m is semisimple and is in  $\mathfrak{g}^e$ . A subalgebra  $\mathfrak{l}$  of  $\mathfrak{g}$  of the form  $\mathfrak{l} = \mathfrak{g}^m$  for a semisimple m is called a Levi subalgebra.

We denote the  $\mathfrak{g}$ -orbit containing x by  $O_x$ . This has a natural holomorphic symplectic structure on it. There is only a finite number of nilpotent orbits. Given two nilpotent orbits  $O_e$  and  $O_{e'}$ , we define a partial ordering  $O_e \leq O_{e'}$  if and only if  $O_e \subset \overline{O}_{e'}$ . There is a maximal object in this partial order called the principal orbit. The minimal object in

the partial order is of course the zero orbit, and the next-to-minimal object is the minimal nilpotent orbit.

Below, we often use the generators of  $\mathfrak{su}(2)$  given by (e, h, f) with the commutation relations

$$[h, e] = 2e, \quad [h, f] = -2f, \quad [e, f] = h.$$
 (3.17)

A triple (e, h, f) in  $\mathfrak{g}$  satisfying the relations above is called an SL(2) triple. The theorem of Jacobson and Morozov says that any nilpotent element e in  $\mathfrak{g}$  can be completed to an SL(2) triple unique up to conjugation, and that classifying an SL(2) subalgebra in  $\mathfrak{g}$  up to conjugation is equivalent to classifying e up to conjugation. Given e, the subspace

$$e + S_e = \{e + x \mid [f, x] = 0, x \in \mathfrak{g}\}\$$
 (3.18)

is called the Slodowy slice at e.

A nilpotent element of  $\mathfrak{g}=A_{N-1}$  is classified by its Jordan normal form, i.e. by a partition of N which we denote by  $[n_1,n_2,\ldots]$  where  $N=\sum n_i$  and  $n_1\leq n_2\leq \cdots$ . Nilpotent elements in classical algebras are similarly labeled by partitions with certain constraints. In general, a nilpotent orbit is specified by picking a nilpotent element e in it and specifying the smallest Levi subalgebra which contains e. This Levi subalgebra does not always uniquely specify a nilpotent orbit, in which case we add a discrete label. This pair of a Levi subalgebra and a discrete label if needed is the Bala-Carter label of a nilpotent orbit. The weighted Dynkin diagram is just the element h as specified as the set of  $\alpha_i(h)$ , where  $\alpha_i$  is the i-th simple root and h is conjugated to the positive Weyl chamber.

Given a Levi subalgebra  $\mathfrak{l}$  and an element  $x \in \mathfrak{l}$ , it is known that x + e where e is a generic nilpotent element outside of  $\mathfrak{l}$  is in a fixed conjugacy class. This conjugacy class is denoted by  $\operatorname{Ind}_{\mathfrak{l}}^{\mathfrak{g}} x$  and called the induced orbit. There is an order-reversing map  $d_{LS}$  on the set of nilpotent orbits of  $\mathfrak{g}$  called Lusztig-Spaltenstein map. This satisfies

$$d_{LS}^2 = id (3.19)$$

when  $\mathfrak{g}$  is type A but it only satisfies

$$d_{LS}^3 = d_{LS} (3.20)$$

if not. When  $\mathfrak{g}$  is type A,  $d_{LS}$  is given by the transpose of the partition specifying the nilpotent orbit. One important property of  $d_{LS}$  is its compatibility with the induction,

$$\operatorname{Ind}_{\mathfrak{l}}^{\mathfrak{g}} d_{LS}^{\mathfrak{l}}(O_e) = d_{LS}^{\mathfrak{g}}(\operatorname{Ind}_{\mathfrak{l}}^{\mathfrak{g}} O_e). \tag{3.21}$$

A nilpotent orbit which is in the image of  $d_{LS}$  is called special. Given a special orbit  $O_e$ , the set of nilpotent orbits  $O_{e'}$  such that  $d_{LS}^2(O_{e'}) = O_e$  is the special piece of  $O_e$ . Within the special piece of  $O_e$ ,  $O_e$  itself is the maximal element. The partial order among the special piece is encoded in a subgroup  $\mathcal{C}(O'_e) \subset \bar{A}(O_e)$ , where  $\bar{A}(O_e)$  is a reflection group defined as a certain quotient of the component group  $A(O_e) = G^e/(G^e)^{\circ}$ . Then when two orbits in the special piece of  $O_e$  then

$$O_{e'} \le O_{e''} \leftrightarrow \mathcal{C}(O_{e'}) \supset \mathcal{C}(O_{e''}).$$
 (3.22)

In particular  $\mathcal{C}(O_e) = \{id\}.$ 

### 3.5 4d operator of 6d theory

From now on we fix a simply-laced Dynkin diagram  $\Gamma$  and a corresponding group G. We know that the theory  $S_{\Gamma}$  has various 4d operators, and therefore we have

$$Z_{S_{\Gamma}}(X^6 \supset D_1^4 \sqcup D_2^4 \sqcup \cdots) \tag{3.23}$$

where each four-dimensional submanifold  $D_i^4$  carries a certain label. So far two classes of labels are known:

- Tame or regular operators. The label is a pair  $(\mathcal{O}_e, m)$  up to conjugacy, where  $\mathcal{O}_e$  is a nilpotent orbit of  $\mathfrak{g}_{\mathbb{C}}$  and m a semisimple element of  $G_{\mathbb{C}}^e$ .
- Wild or irregular operators. The author does not quite know what are the available labels.

In this review we mainly talk about the regular operators. In the following we sometimes indicate the dimension of a manifold by putting the dimension as a superscript.

To study a 4d operator, we consider the following setup:

We can dimensionally reduce around  $S^1$  of the cigar. Then we can study  $S_{\Gamma}[S^1]$  on

$$X^5 = Y^4 \times \text{segment} \supset Y^4 \times \bullet = D^4.$$
 (3.25)

Now we have a four-dimensional operator at a boundary of five-dimensional spacetime. We have a boson  $B(\mathfrak{g}_{\mathbb{R}} \otimes \mathbb{R}^5)$  on  $X^5$  and a G-bundle  $P \to X$  with the connection. We decompose

$$\mathfrak{g}_{\mathbb{R}} \otimes \mathbb{R}^5 = \mathfrak{g}_{\mathbb{C}} \oplus \mathfrak{g}_{\mathbb{R}} \otimes \mathbb{R}^3, \tag{3.26}$$

and denote the section of  $\mathfrak{g}_{\mathbb{C}} \times_G P$  by  $\Phi$  and the section of  $(\mathfrak{g}_{\mathbb{R}} \otimes \mathbb{R}^3) \times_G P$  by  $(\phi_1, \phi_2, \phi_3)$ . The  $\mathfrak{so}(2) \simeq \mathfrak{u}(1)$  R-symmetry acts on  $\Phi$  and the  $\mathfrak{so}(3) \simeq \mathfrak{su}(2)$  R-symmetry acts on  $(\phi_1, \phi_2, \phi_3)$ .

Let us introduce a coordinate s perpendicular to the boundary so that the boundary is at s = 0. A regular four-dimensional boundary operator is defined by the requirement that the fields  $\phi_{1,2,3}$  to approach a singular solution of the Nahm equation

$$\frac{d}{ds}\phi_1 = [\phi_2, \phi_3], \quad \frac{d}{ds}\phi_2 = [\phi_3, \phi_1], \quad \frac{d}{ds}\phi_3 = [\phi_1, \phi_2]$$
(3.27)

given by

$$\phi_i = \rho(\sigma_i)/s \tag{3.28}$$

where  $\sigma_{1,2,3}$  are the standard generators of  $\mathfrak{su}(2)$  and

$$\rho: \mathfrak{su}(2) \to \mathfrak{g} \tag{3.29}$$

is a homomorphism. We then require

$$\Phi \to m \in \mathfrak{g}^{\rho}_{\mathbb{C}}.\tag{3.30}$$

By the Jacobson-Morozov theorem, we can use the nilpotent element  $\rho(e)$  instead of  $\rho$  to label a regular 4d operator. We often just write e instead of  $\rho(e)$ .

Note that with nonzero m we do not have U(1) R-symmetry any more, as nonzero m is not fixed by U(1) action. In constrast, even with nonzero  $\rho$ , the SU(2) R-symmetry action can be absorbed by a gauge transformation of the G-bundle P thanks to the form (3.28). Also note that when m=0, one can introduce  $G^{\rho}$ -bundle with connection on the boundary  $D^4$  without ruining the boundary condition above. This means that the 4d operator  $(\rho,0)$  is a  $G^{\rho}$ -symmetric 4d operator. We note that  $G^{\rho}$ 

Two extreme types of regular 4d operators are:

- e = 0, i.e.  $\rho : \mathfrak{sl}_2 \to \mathfrak{g}_{\mathbb{C}}$  is the zero map. Then  $G^e = G$ . So, if we insert a 4d operator with the label (e = 0, m = 0), there is an additional G-symmetry. Under an  $S^1$  reduction, this corresponds to the Neumann boundary condition for  $\phi_{1,2,3}$  and the Dirichlet boundary condition for  $\Phi$  at s = 0.
- $e = e_{\text{prin}}$ , a principal nilpotent element, and  $\rho_{\text{prin}} : \mathfrak{sl}_2 \to \mathfrak{g}_{\mathbb{C}}$  is a principal embedding.  $G^{\text{prin}} = \{1\}$ . This 4d operator corresponds to the absence of a 4d operator in 6d:

$$X^6 = Y^4 \times \tag{3.31}$$

and its  $S^1$  reduction is

$$X^5 = Y^4 \times \text{segment} \tag{3.32}$$

with the boundary condition  $\phi_i \to \rho_{\text{prin}}(\sigma_i)/s$ . We have Neumann boundary condition for  $\Phi$ .

It might be slightly counter-intuitive that nothing in 6d corresponds to a principal embedding, and that a G-symmetry in 6d corresponds to a zero embedding.

A 4d operator with a label  $(\rho, 0)$  has its own anomaly polynomial of degree 6, in terms of characteristic polynomial of  $\mathcal{P}_{\text{Spin}(3)}$ ,  $\mathcal{P}_{\text{Spin}(2)}$ ,  $\mathcal{P}_{G^{\rho}}$ , TD and ND where ND is the normal bundle of D within X. The coefficients are known to be given by formulas involving  $\rho(h)$ .

# 3.6 4d theory of class S

Given a Riemann surface C with points  $x_1, \ldots, x_k$  and labels  $(e_1, m_1), \ldots, (e_k, m_k)$ , let us define a 4d QFT  $Q = S_{\Gamma}[C; x_1, (e_1, m_1), \ldots, x_k, (e_k, m_k)]$  via

$$Z_Q(Y^4) = Z_{S_{\Gamma}}(Y^4 \times C \supset \sqcup_i Y^4 \times \{x_i\})$$
(3.33)

with the given labels. We implicitly perform the topological twisting by  $\varphi$  given in (3.6), but for simplicity we do not explicitly denote them in the expressions. A 4d theory of class

S is an  $\mathcal{N}=2$  supersymmetric QFT Q obtained this way. When  $m_i=0$  for all i, this is a  $\prod_i G^{\rho_i}$ -symmetric  $\mathcal{N}=2$  supersymmetric QFT with U(1) R-symmetry. Apart from the labels, the theory depends only on the complex structure of the Riemann surface C with punctures and the total area.

The anomaly polynomial of  $Q = S_{\Gamma}[C; x_i, (e_i, 0)]$  is obtained from the anomaly polynomial of  $S_{\Gamma}$  integrating over C summed to the contributions of 4d operators. We have

$$n_v(Q) = \sum_i n_v(e_i) + (g-1)(\frac{4}{3}h^{\vee}(G)\dim G + \operatorname{rank} G),$$
 (3.34)

$$n_h(Q) = \sum_i n_h(e_i) + (g-1)(\frac{4}{3}h^{\vee}(G)\dim G). \tag{3.35}$$

where

$$n_h(e) = 8\rho \cdot (\rho - \frac{h}{2}) + \frac{1}{2}\dim \mathfrak{g}_{1/2}, \quad n_v(e) = 8\rho \cdot (\rho - \frac{h}{2}) + \frac{1}{2}(\operatorname{rank} G - \dim \mathfrak{g}_0).$$
 (3.36)

Here  $\rho$  is the Weyl vector and h is the element in  $\mathfrak{h}$  so that (e, h, f) is the SL(2) triple. The terms proportional to g-1 in (3.34) and (3.35) can be easily obtained by integrating  $A(S_{\Gamma})$ , (3.9), over C, taking into account the homomorphism (3.6), and reading off  $n_v$  and  $n_h$  from the resulting anomaly polynomial by (2.9).

When e is principal,  $h = 2\rho$ , and therefore  $n_v(e) = n_h(e) = 0$ . This is consistent with the fact that a 4d operator with the label  $e = \rho_{\text{prin}}$  corresponds to the absence of any puncture. Therefore it should not add anything to  $n_v(Q)$  or  $n_h(Q)$ . When e = 0, we instead find

$$n_v(e=0) = 8\rho \cdot \rho + \frac{1}{2}(\operatorname{rank} G - \dim G), \quad n_h(e=0) = 8\rho \cdot \rho$$
 (3.37)

where  $\rho \cdot \rho = h^{\vee}(G) \dim G/12$ .

As for the flavor symmetry,  $k_F(Q)$  for a simple component  $F \subset G^{e_i}$  associated to the puncture at  $x_i$  is given by  $k_F(Q) = k_F(e)$  where

$$k_F(e) = 2\sum_j c_2(R_j), \qquad \mathfrak{g}_{\mathbb{C}} = \bigoplus_j V_j \otimes R_j$$
 (3.38)

where the direct sum decomposition on the right hand side is with respect to  $\rho(SU(2)) \times F \subset G$  such that  $V_j$  is the 2j + 1-dimensional irreducible representation of SU(2) and  $R_j$  is a representation of F. As always we normalize the quadratic Casimir  $c_2$  by  $c_2(\mathfrak{f}_{\mathbb{C}}) = h^{\vee}(F)$ . For example, F = G when e = 0, and  $k_G(e) = 2h^{\vee}(G)$ .

#### 3.7 Gaiotto construction

The most important observation by Gaiotto is pictorially given by

$$S_{\Gamma}[] \times S_{\Gamma}[] \times S_{\Gamma}[] ///G_{\operatorname{diag}}|_{\tau} = S_{\Gamma}[]$$
(3.39)

where on the right hand side two Riemann surfaces are connected via the identification of the local coordinates z, z' around the punctures. The area of the surface on the right hand side is the sum of the area of the two surfaces on the left hand side. This procedure is only possible when two punctures both have the label (e = 0, m = 0).

Let us describe the operation more carefully. Let us take two class S theories

$$Q_L = S_{\Gamma}[C_L; x_0, (e = 0, m = 0), x_i, (e_i, m_i)], \tag{3.40}$$

$$Q_R = S_{\Gamma}[C_R; x_0', (e = 0, m = 0), x_i', (e_i', m_i')]. \tag{3.41}$$

Both  $Q_L$  and  $Q_R$  is G-symmetric, associated to the puncture  $x_0$  and  $x'_0$  respectively. Then we can form a family

$$Q_{\tau} = (Q_L \times Q_R) / / / G_{\text{diag}}|_{\tau}. \tag{3.42}$$

When all  $m_i$  and  $m_i'$  are zero, both  $Q_L$  and  $Q_R$  are U(1) R-symmetric. As  $k_{G_{\text{diag}}}(Q_L \times Q_R) = k_G(Q_L) + k_G(Q_R) = 4h^{\vee}(G)$ , this family is also U(1) R-symmetric. Let us introduce  $q_{\text{gauge}} = e^{2\pi\sqrt{-1}\tau}$ .

Let us a family of Riemann surfaces  $C_q$  from  $C_L$  and  $C_R$  by gluing them at  $x_0$ ,  $y_0$  by the identification  $zz' = q_{\text{geometric}}$ , where  $x_0$  is at z = 0 and  $x_0$  is at z' = 0. The area of  $C_q$  is the sum of the area of  $C_L$  and  $C_R$ . We take another family of class S theory

$$\tilde{Q}_{q_{\text{geometric}}} = S_{\Gamma}[C; x_i, (e_i, m_i), x_i', (e_i', m_i')]. \tag{3.43}$$

When all  $m_i$  and  $m'_i$  are zero, this family is U(1) R-symmetric.

Then these two families are equivalent

$$Q_{\tau} \simeq \tilde{Q}_{q_{\text{geometric}}}$$
 (3.44)

under the identification

$$q_{\text{gauge}} = q_{\text{geometric}} + \sum_{n>1} c_n q_{\text{geometric}}^n$$
 (3.45)

where  $c_n$  is a complicated function of the complex structure moduli of  $C_L$  and  $C_R$ , etc. There is not much use in specifying  $c_n$  precisely, because neither of  $q_{\text{gauge}}$  and  $q_{\text{geometry}}$  are canonically defined.

The reasoning behind this important relation is as follows. Start from the right hand side:

$$(3.46)$$

and perform the  $S^1$  reduction around the neck:

we have a 5d super-Yang-Mills on the neck. Let us cut at two points slightly within the neck. Then the boundary condition for  $\phi_i$  there is regular finite. Then this is further equal to

Let us check that

$$n_{v,h}(Q_L \times Q_R / / / G) = n_{v,h}(Q).$$
 (3.49)

The left hand side can be computed using (3.34), (3.35) and (2.27). The right hand side can be computed using (3.34) and (3.35). Noting that the genus of C is the sum of the genus of  $C_L$  and  $C_R$ , the equality (3.49) boils down to the statement (3.37).

### 3.8 Donagi-Witten integrable system

For  $Q = S_{\Gamma}[C; x_i, (e_i, m_i)]$  its Donagi-Witten integrable system  $DW(Q) \to \mathcal{M}_{Coulomb}(Q)$  is given as follows. Consider G-Hitchin system on C, with the following singularities at  $x_i$ :

$$\Phi \simeq \alpha_i \frac{dz_i}{z_i} + \text{regular} + \cdots$$
 (3.50)

where  $z_i$  is a local coordinate such that  $x_i$  is at  $z_i = 0$  and

$$\alpha_i \in \operatorname{Ind}_{\mathfrak{l}}^{\mathfrak{g}}(m_i + d_{LS}^{\mathfrak{l}}(e_i)). \tag{3.51}$$

where  $\mathfrak{l}$  is the smallest Levi subalgebra containing  $e_i$ . Two common cases are

- When  $e_i = 0$ , we just have  $\alpha_i = m_i$ , and
- When  $m_i = 0$ , we just have  $\alpha_i \in d_{LS}(e_i)$ .

The Coulomb branch has the dimension

$$\dim \mathcal{M}_{\text{Coulomb}}(S_{\Gamma}[C; x_i, (e_i, m_i)]) = (g - 1) \dim G + \sum_{i} \frac{1}{2} \dim_{\mathbb{C}} \mathcal{O}_{\alpha_i}.$$
 (3.52)

In the following we concentrate on the case  $m_i = 0$ . Not all of the group of gauge transformation

$$\mathcal{G} = \{ f : C \to G_{\mathbb{C}} \} \tag{3.53}$$

preserves the boundary condition. We let

$$\mathcal{G}_0 = \{ f : C \to G_{\mathbb{C}} \mid f(x_i) \in G_{\mathbb{C}}^{\alpha_i} \}. \tag{3.54}$$

Then we can consider the Hitchin map

$$\pi: \{D''\Phi = 0\}/\mathcal{G}_0 \to \bigoplus_a H^0(K_C^{\otimes d_a} + (d_a - 1)\sum x_i).$$
 (3.55)

but this is not quite the Donagi-Witten integrable system.

First, let us describe the situation for type  $A_{N-1}$ . A label e is given by a nilpotent orbit, or equivalently a partition  $[n_i]$  of N. The dual  $\alpha$  is given by the transpose partition  $[a_i]$ . From this we define integers  $p_d(\alpha) = d - \nu_d(\alpha)$  where

$$(\nu_1(\alpha), \nu_2(\alpha), \dots, \nu_N(\alpha)) = (\underbrace{1, \dots, 1}_{a_1}, \underbrace{2, \dots, 2}_{a_2}, \dots).$$
(3.56)

Then we find that the image of the Hitchin map  $\pi$  is in fact onto

$$\pi: \{D''\Phi = 0\}/\mathcal{G}_0 \to \bigoplus_{d=2}^N H^0(K_C^{\otimes d} + \sum_i p_d(\alpha_i)x_i).$$
 (3.57)

The right hand side is an affine space whose dimension is given by (3.52), and we identify it with  $\mathcal{M}_{\text{Coulomb}}(S_{\Gamma}[C; x_i, (e_i, m_i)])$ .

When G is not of type A and with general choice of labels  $e_i$ , the image of the Hitchin map  $\pi$  is not in itself affine. Instead we have the following structure. There is a natural projection

$$\pi: \mathcal{G}_0 \to \prod_i A(\alpha_i) \to \prod_i \bar{A}(\alpha_i)$$
 (3.58)

where  $A(\alpha) = G^{\alpha}/G^{\alpha \circ}$  is the component group of the stabilizer of  $\alpha$ , and  $\bar{A}(\alpha)$  is the Lusztig's component group. We introduced  $C(e) \subset \bar{A}(\alpha)$  in Sec. 3.4. Then we take

$$\mathcal{G}_0' = \pi^{-1} \prod_i \mathcal{C}(e_i). \tag{3.59}$$

Then we finally have

$$DW(Q) = \{D''\Phi = 0\}/\mathcal{G}_0' \to \mathcal{M}_{\text{Coulomb}}(Q)$$
(3.60)

where  $\mathcal{M}_{\text{Coulomb}}(Q)$  is affine and is of dimension (3.52), such that the Hitchin map

$$\pi: DW(Q) \to \bigoplus_{a} H^0(K_C^{\otimes d_a} + (d_a - 1) \sum_{i} x_i)$$
(3.61)

factors through  $\mathcal{M}_{\text{Coulomb}}$  via a finite map:

$$\pi: DW(Q) \to \mathcal{M}_{\text{Coulomb}}(Q) \xrightarrow{\text{finite}} \pi(DW(Q)).$$
 (3.62)

### 3.9 On degrees of generators

Let  $Q = S_{\Gamma}[C; x_i, (e_i, m_i = 0)]$  a class S theory. The number  $n_v(Q)$  is given by the formula (3.34) as a class S theory. From the general property of  $\mathcal{N} = 2$  theory it is given also by (2.11) applied to  $\mathcal{M}_{\text{Coulomb}}(Q)$ . For C with genus g without any punctures, the Donagi-Witten integrable system  $DW(S_{\Gamma}[C])$  is the standard G-Hitchin system on C, and

$$\mathcal{M}_{\text{Coulomb}}(S_{\Gamma}[C]) = \bigoplus_{a} H^{0}(K_{C}^{\otimes d_{a}}).$$
 (3.63)

Then it has  $(2d_a - 1)(g - 1)$  generators of degree  $d_a$ , and so

$$n_v(S_{\Gamma}[C]) = \sum_a (2d_a - 1)^2 (g - 1) = (g - 1)(\frac{4}{3}h^{\vee}(G)\dim G + \operatorname{rank} G).$$
 (3.64)

In general, we conjecture there is a non canonical way to write

$$\mathcal{M}_{\text{Coulomb}}(S_{\Gamma}[C; x_i, e_i]) = \left[\bigoplus_{a} H^0(K_C^{\otimes d_a})\right] \oplus \bigoplus_{i} V(e_i)$$
 (3.65)

where V(e) is a  $\mathbb{Z}$ -graded affine space. Here the gradation is by the U(1) R-symmetry. Furthermore, to be compatible with the structure (3.59) and (3.60), we demand that for a special orbit e, there is a linear action of the reflection group  $\bar{A}(d_{LS}(e))$  on V(e) compatible with the grading such that

$$V(e') = V(e)/\mathcal{C}(e') \tag{3.66}$$

when  $d_{LS}(e) = d_{LS}(e')$ .

We deduce the following properties from (3.52) and (3.34). Its dimension is

$$\dim V(e) = \frac{1}{2} \dim_{\mathbb{C}} \mathcal{O}_{\alpha} \tag{3.67}$$

where  $\mathcal{O}_{\alpha}$  is the Lusztig-Spaltenstein dual orbit of e. Let us call the basis of V(e) with definite degrees as  $u_i$ ,  $i = 1, \ldots, \dim V(e)$ . Then

$$\sum_{i} (2 \deg u_i - 1) = n_v(e) = 8\rho \cdot (\rho - \frac{h}{2}) + \frac{1}{2} (\operatorname{rank} G - \dim \mathfrak{g}_0).$$
 (3.68)

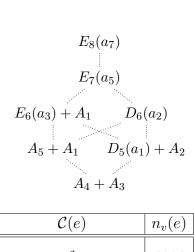
This is interesting because the structure of V(e) is governed both by e and its Lusztig-Spaltenstein dual  $\alpha$ .

For type A we know what V(e) is thanks to the explicit description of the base of the Hitchin fibrtion (3.57). The degree-d piece has the dimension

$$V(e)_d = p_d(\alpha) \tag{3.69}$$

where  $\alpha$  is the dual orbit of e. Then the properties (3.67) and (3.68) are straightforward to check.

As a very nontrivial example, consider  $G = E_8$ , C a genus g Riemann surface with a puncture with a label e in a special piece of  $e_0 = E_8(a_7)$ . Basic properties of each e are displayed in Table 2. The Spaltenstein dual is  $e_0$  for all e in the table.  $\bar{A}(e_0)$  is  $S_5$ , and the subgroup of  $S_5$  assigned to each of the 7 nilpotent orbits by Sommers is also shown in the table, in terms of the generating reflections (i, i + 1), which act on the set  $\{1, 2, 3, 4, 5\}$ . Using (3.34) one can compute  $n_v(e)$  for each nilpotent orbit, as h for each e is known. Since  $\dim_{\mathbb{C}} O_{e_0} = 208$ ,  $\dim V(e) = 104$  for all e. The degrees of four of the bases can be determined as follows.



e	h	$\mathcal{C}(e)$	$n_v(e)$	known ops	known $n_v$
$E_8(a_7)$	0002000	Ø	4064	6, 6, 6, 6	44
$E_7(a_5)$	0010100	(12)	4076	6, 6, 6, 12	56
$D_6(a_2)$	0100010	(12), (34)	4088	6, 6, 12, 12	68
$E_6(a_3) + A_1$	0101001	(12), (23)	4100	6, 6, 12, 18	80
$A_5 + A_1$	1000101	(12), (23), (45)	4112	6, 12, 12, 18	92
$D_5(a_1) + A_2$	1010010	(12), (23), (34)	4136	6, 12, 18, 24	116
$A_4 + A_3$	0100100	(12), (23), (34), (45)	4184	12, 18, 24, 30	164

Table 2: A special piece in the set of nilpotent orbits of  $E_8$ , h given as the inner products of h with simple roots, the corresponding subgroups of  $S_5 = \bar{A}(E_8(a_7))$ ,  $n_v$  and the degrees of bases governed by subgroups of  $S_5$ . The sixth column shows the contribution to  $n_v$  just from the known 4 bases.

Since  $\bar{A}(E_8(a_7))$  is  $S_5$ , for the special nilpotent orbit  $e_0$  we expect

$$V(e_0) = V \oplus V' \tag{3.70}$$

with dim V = 4, dim V' = 100 so that  $S_5$  acts as the Weyl group of  $A_4$  on V and acts trivially on V'. Let us say the degree of the bases of V is d. For Then, for  $e = A_4 + A_3$  degrees of V are replaced by  $\{2d, 3d, 4d, 5d\}$ . These four numbers should be degrees of Casimir invariants of  $E_8$ ,  $\{2, 8, 12, 14, 18, 20, 24, 30\}$ . The only possibility is d = 6. Then, for each of the 7 choices in the table, C(e) determines the degrees of these four generators, which are listed in the fourth column of Table 2, while the contribution to  $n_v$  from just these four generators is listed in the fifth column. The contribution from V' is not known but they should be completely the same for the 7 nilpotent elements. As a consistency check, the difference between  $n_v(e)$  and the contribution to  $n_v$  from just the known 4 bases should be a constant. This is indeed so. The difference between entries on the same row in the third and fifth columns of Table 2 is always 4020.

### 3.10 Higgs branches

Let us study the Higgs branch of the class S theories

$$\mathcal{M}_{\text{Higgs}}(S_{\Gamma}[C; x_i, (e_i, m_i = 0)]). \tag{3.71}$$

The right hand side is a hyperkähler manifold, which depends on the area  $\mathcal{A}$  of C but is independent of the complex structure of the punctured surface C. We denote this space by just

$$\eta_G(C, e_i, \mathcal{A}). \tag{3.72}$$

The dependence on  $\mathcal{A}$  is also known to be simple, as the underlying space of  $\eta_G(C, e_i, \mathcal{A})$  is independent of  $\mathcal{A}$  and the metric  $g_{\mathcal{A}}$  on it satisfies

$$g_{\mathcal{A}} = \mathcal{A}^{-1} g_{\mathcal{A}=1}. \tag{3.73}$$

The holomorphic symplectic structure does not depend on A.

Let us describe  $\eta_G(S^2, e, e', \mathcal{A})$  explicitly. We put e and e' at the two poles of  $S^2$ , and perform the dimensional reduction around  $S^1$ . We have the  $\mathcal{N}=2$  supersymmetric Yang-Mills theory on a segment of length proportional to  $\mathcal{A}$ , with the boundary conditions given by (3.28) at both ends. The Higgs branch of this system is known to be given by the moduli space of the Nahm equation with this boundary condition. When e = e' = 0 it is particularly simple, the result as a holomorphic symplectic manifold is just

$$T^*G_{\mathbb{C}} \simeq G_{\mathbb{C}} \times \mathfrak{g}_C \ni (g, x) \tag{3.74}$$

which has an action of  $G \times G$ . The holomorphic moment maps are given by x and  $gxg^{-1}$ . The property (3.73) can be checked easily. A more general case is given by

$$\eta_G(S^2, e = 0, e') = \{(g, x) \subset G_{\mathbb{C}} \times \mathfrak{g}_{\mathbb{C}} \mid x \in e' + S_{e'}\} \subset T^*G_{\mathbb{C}}$$
(3.75)

where  $e' + S'_e$  is the Slodowy slice at e'. The most general case is then

$$\eta_G(S^2, e, e') = \{(g, x) \subset G_{\mathbb{C}} \times \mathfrak{g}_{\mathbb{C}} \mid gxg^{-1} \in e + S_e, \ x \in e' + S_{e'}\} \subset T^*G_{\mathbb{C}}.$$
 (3.76)

Using the gluing property (3.39) of the class S theories and the behavior of the Higgs branch under the gauging (2.28), we have

$$[\eta_G(C_L, e = 0, e_i) \times \eta_G(C_R, e' = 0, e_i')] / / / G = \eta_G(C, e_i, e_i')$$
(3.77)

where C is obtained by gluing  $C_L$  and  $C_R$  at the two punctures with labels e = 0 and e' = 0. As  $\eta_G(S^2, e = 0, e')$  is already known (3.75), it suffices to know

$$W_{G,g,n} := \eta_G(C_g, n \text{ points with } e = 0)$$
(3.78)

where  $C_g$  is a genus-g surface. This is a hypekähler space with a triholomorphic action of

$$S_n \wr G = S_n \ltimes \underbrace{[G \times G \times \dots \times G]}_{n \text{ times}}$$
 (3.79)

where the permutation group  $S_n$  acts on  $G^n$  by permuting them.

These properties, together with the known case (3.74), uniquely fixes the dimension of  $\eta_G$ . We have

$$\dim_{\mathbb{H}} \eta_G(C; e_i) = \operatorname{rank} G + \sum_{i} \frac{1}{2} (\dim G - \operatorname{rank} G - \dim_{\mathbb{C}} \mathcal{O}_{e_i}). \tag{3.80}$$

By the pants decomposition, the determination of  $X_{G,g,n}$  boils down to the determination of

$$W_G := W_{G,q=0,n=3}. (3.81)$$

In an unpublished work Ginzburg and Kazhdan constructed  $W_{G,g=0,n}$  in general and showed that they satisfy (3.77). Therefore in principle we know arbitrary  $\eta_G(C, e_i)$ .

For  $G = A_1$ , it is known that

$$W_{A_1} = V_1 \otimes_{\mathbb{C}} V_2 \otimes_{\mathbb{C}} V_3 \tag{3.82}$$

where  $V_i \simeq \mathbb{C}^2$  so that  $V_i$  is acted naturally by SU(2). It is instructive to check that this action of  $S_3 \wr \mathrm{SU}(2)$  preserves the holomorphic symplectic structure. By the gluing property, we have

$$W_{A_1,g=0,n=4} = \eta_{A_1}(\mathbb{C}_{\mathbf{y}}) = [V_x \otimes V_y \otimes V \oplus V \otimes V_u \otimes V_v] / / / \operatorname{SU}(V). \tag{3.83}$$

The right hand side should be invariant under the exchange  $V_y \leftrightarrow V_u$  but this is not obvious in this notation. The right hand side, when written as

$$V \otimes_{\mathbb{R}} \mathbb{R}^8 / / / \operatorname{SU}(V),$$
 (3.84)

is the ADHM construction of the minimal nilpotent orbit of  $SO(8) \supset SU(V_x) \times SU(V_y) \times SU(V_u) \times SU(V_v)$ , and the exchange  $V_y \leftrightarrow V_u$  is given by an outer automorphism of SO(8). For  $G = A_2$ , it is conjectured that

$$W_{A_2} = \eta_{A_2}(\bigcirc) = \text{minimal nilpotent orbit of } E_6.$$
 (3.85)

This has  $S_3 \wr SU(3) \subset E_6$  triholomorphic action. Then

$$\eta_{A_2}(\underbrace{\hspace{-2pt} \begin{array}{c} \begin{array}{c} \\ \\ \end{array}}^{\star}) = \eta_{A_2}(\underbrace{\hspace{-2pt} \begin{array}{c} \\ \end{array}}) \times \eta_{A_2}(\underbrace{\hspace{-2pt} \begin{array}{c} \\ \end{array}}) / / / \operatorname{SU}(3). \tag{3.86}$$

The action of  $S_4 \wr SU(3)$  is not manifest.

As a natural generalization of (3.83) and (3.85), it is known that

$$\mathcal{M}_{\text{Higgs}}(S_{A_{2n-1}}[S^2; [n^2], [n^2], [n^2]) = \tilde{\mathcal{M}}_{D_4, n},$$
 (3.87)

$$\mathcal{M}_{\text{Higgs}}(S_{A_{3n-1}}[S^2; [n^3], [n^3], [n^3]) = \tilde{\mathcal{M}}_{E_6, n},$$
 (3.88)

$$\mathcal{M}_{\text{Higgs}}(S_{A_{4n-1}}[S^2; [2n^2], [n^4], [n^4]) = \tilde{\mathcal{M}}_{E_7, n},$$
 (3.89)

$$\mathcal{M}_{\text{Higgs}}(S_{A_{6n-1}}[S^2; [3n^2], [2n^3], [n^6]) = \tilde{\mathcal{M}}_{E_8, n}$$
 (3.90)

where  $\tilde{\mathcal{M}}_{G,n}$  is the centered framed moduli space of G-instantons on  $\mathbb{R}^4$  with instanton number n, with real dimension  $4h^{\vee}(G)(n-1)$ ; note that the minimal nilpotent orbit of G is the centered framed one-instanton moduli space of G.

### 3.11 When $S_{\Gamma}[C]$ is Hyp(V)

Let us consider when  $Q = S_{\Gamma}[C] = \text{Hyp}(V)$ . If this is the case, we should have

- $n_v(Q) = 0$ ,
- rank  $Q = \dim_{\mathbb{C}} \mathcal{M}_{\text{Coulomb}}(Q) = 0$ ,
- and  $n_h(Q) = \dim_{\mathbb{H}} \mathcal{M}_{\text{Higgs}}(Q)$ .

It is believed that any one of these conditions implies all the others. Let us enumerate a few known cases. Enumerating all possible cases would be an interesting exercise.

#### 3.11.1 Trifundamental of $A_1$

For  $G = A_1$ , the basic case is

$$S_{A_1}[\bigcirc] = \operatorname{Hyp}(V_1 \otimes V_2 \otimes V_3)$$
 (3.91)

where  $V_i \simeq \mathbb{C}^2$ . From this we can construct  $\mathcal{N}=2$  gauge theories associated to trivalent graphs introduced in Sec. 2.6.6 by Gaiotto's gluing (3.39). Then the Donagi-Witten integrable system of the trivalent theories, discussed in Sec. 2.10.5, naturally follows from the property of the class S theory, discussed in Sec. 3.8. The residue of the Hitchin field  $\phi$  at the punctures are given by the formula (3.51), but it just becomes a semisimple element in  $\mathfrak{su}(2)$ , giving (2.114).

#### 3.11.2 Bifundamental of $A_{N-1}$

One natural generalization of the trifundamental for  $A_1$  in Sec. 3.11.1 is the bifundamental for or  $G = A_{N-1}$ . We have

$$S_{A_{N-1}}[\underbrace{:}, e = [N-1, 1], e = 0, e = 0] = \operatorname{Hyp}(V_1 \otimes \overline{V}_2 \otimes W \oplus \overline{V}_1 \otimes V_2 \otimes \overline{W}). \tag{3.92}$$

Here  $V_i \simeq \mathbb{C}^N$  on which  $\mathrm{SU}(V_i)$  acts, and  $W \simeq \mathbb{C}$  has an action of  $G^{[N-1,1]} = \mathrm{U}(1)$ . A Cartan element m of this  $\mathrm{U}(1)$  is given by

$$m = \mu \operatorname{diag}(1, 1, \dots, 1, 1 - N).$$
 (3.93)

Let us compute  $n_v(Q)$  and  $n_h(Q)$  in two ways. As Hyp(V), it is determined as in Sec. 2.4, then we should have  $n_v(Q) = 0$  and  $n_h(Q) = N^2$ . As a class S theory, we start from

$$n_v(e=0) = \frac{1}{6}N(N-1)(4N+1), \quad n_h(e=0) = \frac{2}{3}N(N-1)(N+1)$$
 (3.94)

and

$$n_v(e = [N-1, 1]) = N^2 - 1, \quad n_h(e = [N-1, 1]) = N^2.$$
 (3.95)

Plugging them to the formulas (3.34) and (3.35), we again find  $n_v(Q) = 0$  and  $n_h = N^2$ . As for the symmetry  $SU(N) \times SU(N)$ , we find  $k_{SU(N)}(Q) = k_{SU(N)}(e = 0) = 2h^{\vee}(SU(N)) = 2N$  as a class S theory. As Hyp(V), we already studied it in Sec. 2.6.3 and found it is 2N. Let us take two copies and apply Gaiotto's gluing construction. We find

$$S_{A_{N-1}}(\underbrace{\mathbf{x}}_{\mathbf{g}}, e = [N-1, 1], e = [N-1, 1], e = 0, e = 0) = \text{Hyp}(V \otimes \overline{W} \oplus \overline{V} \otimes W) / / / \text{SU}(V)]_{\tau}$$
(3.96)

where

$$W = V_x \otimes W_y \oplus V_u \otimes W_v \simeq \mathbb{C}^{2N}. \tag{3.97}$$

This is the SQCD introduced in Sec. 2.6.3, with  $N_f = 2N$ . Its Donagi-Witten integrable system was discussed in Sec. 2.10.4. This now follows from the property of the Donagi-Witten integrable system of a class S theory, discussed in Sec. 3.8. For example, at the puncture e = [N-1,1], the residue  $\alpha$  of the Hitchin field should be in its Lusztig-Spaltenstein orbit. The dual partition to [N-1,1] is  $[2,1^{N-2}]$ , which describes the Jordan block decomposition of  $\alpha$ , and indeed it agrees with what we saw in (2.110). With the mass deformation of the form (3.93) at this puncture, the residue  $\alpha$  of the Hitchin field is given by the formula (3.51), which just gives  $\alpha = m$ . This again reproduces what we saw in (2.109).

We can also construct a gauge theory of the form

$$\operatorname{Hyp}(\bigoplus_{i=1}^{n} V_{i} \otimes \bar{V}_{i+1} \oplus \bar{V}_{i} \otimes V_{i+1}) / / / \prod_{i=1}^{n} \operatorname{SU}(V_{i})|_{\{\tau_{i}\}}$$
(3.98)

where we set  $V_{n+1} = V_n$ , via Gaiotto's gluing (3.39). This theory is therefore

$$= S_{A_{N-1}}[T^2, \underbrace{[N-1,1], \dots, [N-1,1]}_{n}]$$
(3.99)

where  $\tau_i$  is encoded in the complex structure of the elliptic curve with n punctures.

This is a case of the quiver gauge theory introduced in Sec. 2.6.4, where the underlying graph is of type  $\hat{A}_{n-1}$ . Its Donagi-Witten integrable system discussed in Sec. 2.10.7, in the Hitchin system formulation, immediately follows from this construction. Consider in particular It is known how to represent other quiver gauge theories as a class S theory, if the underlying graph is not of type E or  $\hat{E}$ , but we will not detail the construction here.

The Higgs branch of the theory above is

$$\left[\bigoplus_{i=0}^{n} V_{i} \otimes \bar{V}_{i+1} \oplus \bar{V}_{i} \otimes V_{i+1}\right] / / / \prod_{i=1}^{n} \operatorname{SU}(V_{i}). \tag{3.100}$$

This is an SU version of a quiver variety.

#### **3.11.3** $E_6$

As an example of enumeration of all class S theories which are Hyp(V), let us consider  $Q = S_{E_6}[(\cdot,\cdot); e_1, e_2, e_3 = 0]$ . From the formula above,

$$n_v(Q) = -\left(\frac{4}{3}h^{\vee}(G)\dim G + \operatorname{rank} G\right) + n_v(e_1) + n_v(e_2) + n_v(e_3) = 0.$$
 (3.101)

Scanning through the list of nilpotent orbits of  $E_6$ , one finds that there is only one solution to  $n_v(Q) = 0$ , namely with

$$e_1 = E_6(a_1), \quad e_2 = A_2 + 2A_1.$$
 (3.102)

Here the notation  $E_6(a_1)$  and  $A_2 + 2A_1$  are the standard Bala-Carter labels. We then have

$$\dim \mathcal{M}_{\text{Coulomb}}(Q) = 0, \quad \dim \mathcal{M}_{\text{Higgs}} = n_h(Q) = 54 = 27 \times 2. \tag{3.103}$$

Recall that the miniscule representation of  $E_6$  is  $V_{\min} \simeq \mathbb{C}^{27}$ . It is likely, from the numerical data above, that

$$Q = \operatorname{Hyp}(V_{\min} \otimes F \oplus \bar{V}_{\min} \otimes \bar{F}) \tag{3.104}$$

with  $F \simeq \mathbb{C}^2$ . This has a natural pseuroreal action of  $E_6 \times \mathrm{U}(2)$ . And indeed,  $G^{E_6(a_1)} = 1$  and  $E_6^{A_2 + 2A_1} = \mathrm{SU}(2) \times \mathrm{U}(1)$ .

Let us first compute  $k_{E_6}(Q)$  in two ways. As a class S theory, this is  $k_{E_6}(e=0) = 2h^{\vee}(E_6) = 24$ . As Hyp(V), we saw in Sec. 2.6.7

$$k_{E_6}(\mathrm{Hyp}(V_{\mathrm{min}} \otimes F \oplus \bar{V}_{\mathrm{min}} \otimes \bar{F})) = 24$$
 (3.105)

and they nicely match.

We can also compute  $k_{SU(2)}(Q)$  in two ways, using the formula as class S theory and using the formula for Hyp(V). In the former, we need to decompose  $\mathfrak{e}_6$  by

$$G^{e_2} \otimes \rho_{e_2}(\mathrm{SU}(2)) \simeq \mathrm{SU}(2) \otimes \rho_e(\mathrm{SU}(2)).$$
 (3.106)

We find

$$\mathfrak{e}_6 = V_5 \otimes V_3 \oplus V_3 \otimes V_5 \oplus V_4 \otimes V_2 \oplus V_2 \otimes V_4 \oplus V_3 \otimes V_3 \oplus V_1 \otimes V_3 \oplus V_3 \otimes V_1. \tag{3.107}$$

It turns out that  $SU(2) \subset G^{e_2} \subset G$  is also of type  $A_2 + 2A_1$ , explaining the symmetry. We find

$$k_{SU(2)}(A_1 + 2A_2) = 54.$$
 (3.108)

In the other way of computation,

$$k_{\text{SU}(2)}(\text{Hyp}(V_{\text{min}} \otimes F \oplus \bar{V}_{\text{min}} \otimes \bar{F})) = 27 \times 2 = 54.$$
 (3.109)

We can use this to determine the Donagi-Witten integrable system of some  $E_6$  gauge theory. Namely, we have

 $DW[\operatorname{Hyp}(V_{\min} \otimes F \oplus \bar{V}_{\min} \otimes \bar{F}) / / / E_6]$ 

$$= \mathcal{M}_{\text{Hitchin}}(\underbrace{\mathbf{x}}_{\mathbf{x}}, E_6(a_1), E_6(a_1), A_2 + 2A_1, A_2 + 2A_1). \quad (3.110)$$

According to the property of the Hitchin system associated to the class S theories discussed in Sec. 3.8, the Hitchin system should have two regular singularities with residues in

$$d_{LS}(E_6(a_1)) = A_1 (3.111)$$

and two more regular singularities with residues in

$$d_{LS}(A_2 + 2A_1) = A_4 + A_1 (3.112)$$

when there is no mass deformation. For either puncture of type  $e = A_2 + 2A_1$ , we can add a mass deformation m in  $\mathfrak{g}^e$ . They can be conjugated to  $av_2 + bv_4$  where  $v_i$  is the i-th fundamental weights where we labeled the nodes as  ${}_{12345}^6$ . Then the residue should be given by the formula (3.51):

$$\operatorname{Ind}_{A_2+2A_1}^{\mathfrak{e}_6} m + d_{LS}^{A_2+2A_1}(A_2+2A_1) = \operatorname{Ind}_{A_2+2A_1}^{\mathfrak{e}_6} m = m. \tag{3.113}$$

This is exactly what we saw in Sec. 2.10.6 previously.

#### 3.11.4 $E_7$

Let  $Q = S_{E_7}[:]; e_1, e_2, e_3 = 0]$ . As in the  $E_6$  case, we find only one combination where  $n_v(Q) = 0$ , namely with

$$e_1 = E_7(a_1), \quad e_2 = A_3 + A_2 + A_1.$$
 (3.114)

One can check that automatically we have

$$\dim \mathcal{M}_{\text{Coulomb}}(Q) = 0, \quad \dim \mathcal{M}_{\text{Higgs}} = n_h(Q) = 84 = 28 \times 3. \tag{3.115}$$

The miniscule representation of  $E_7$  is  $V_{\min} \simeq \mathbb{H}^{28} \simeq \mathbb{C}^{56}$  and is pseudoreal. It is likely, from the numerical data above, that

$$Q = \operatorname{Hyp}(V_{\min} \otimes_{\mathbb{R}} \mathbb{R}^3). \tag{3.116}$$

This has a natural pseuroreal action of  $E_7 \otimes SO(3)$ . And indeed,  $G^{E_7(a_1)} = 1$  and  $E_7^{A_3 + A_2 + A_1} = SO(3)$ .  $k_{E_7}(Q)$  can be computed both as a class S theory and as Hyp(V) and they agree; it is 36.

We can compute  $k_{SO(3)}(Q)$  in two ways, using the formula as class S theory and using the formula for Hyp(V). In the former, we need to decompose  $\mathfrak{e}_7$  by

$$G^{e_2} \otimes \rho_{e_2}(SU(2)) \simeq SO(3) \otimes SU(2).$$
 (3.117)

We find

$$\mathbf{e}_7 = V_5 \otimes V_7 \oplus V_7 \otimes V_5 \oplus V_5 \otimes V_3 \oplus V_3 \otimes V_5 \oplus V_1 \otimes V_3 \oplus V_3 \otimes V_1 \oplus V_9 \otimes V_3, \tag{3.118}$$

It happens that  $SO(3) \simeq G^{e_2}$  has the type  $A_4 + A_2$ . We find

$$k_{SO(3)}(e_{A_3+A_2+A_1}) = 224.$$
 (3.119)

In the latter,

$$k_{SO(3)}(Hyp(V \otimes_{\mathbb{R}} \mathbb{R}^3)) = 28 \times 8 = 224.$$
 (3.120)

The Donagi-Witten integrable system of  $E_7$  gauge theory is then

 $DW[\operatorname{Hyp}(V_{\min} \otimes_{\mathbb{R}} \mathbb{R}^6) / / / E_7]$ 

$$= \mathcal{M}_{\text{Hitchin}}(\underbrace{\mathbf{u}}_{\mathbf{g}}, E_7(a_1), E_7(a_1), A_3 + A_2 + A_1, A_3 + A_2 + A_1). \quad (3.121)$$

The spectral geometry of this Hitchin system agrees with what was found by Terashima and Yang via totally different methods.

# 4 Nekrasov partition functions and the W-algebras

In the last section we obtained a 4d QFT  $S_{\Gamma}[C^2]$  by dimensionally reducing a 6d theory  $S_{\gamma}$  on a two-dimensional surface  $C_2$ . The partition function was given schematically by

$$Z_{S_{\Gamma}[C^2]}(X^4) = Z_{S_{\Gamma}}(X^4 \times C^2) \tag{4.1}$$

We can switch the role of  $X^4$  and  $C^2$ , and consider the 2d theory  $S_{\Gamma}[X^4]$ , whose partition function is again given by

$$Z_{S_{\Gamma}[X^4]}(C^2) = Z_{S_{\Gamma}}(X^4 \times C^2). \tag{4.2}$$

Therefore we see the equality

$$Z_{S_{\Gamma}[C^2]}(X^4) = Z_{S_{\Gamma}[X^4]}(C^2) \tag{4.3}$$

which relates two-dimensional QFTs and four-dimensional QFTs. This is not surprising from the six-dimensional point of view, but for a person who only knows the theories  $S_{\Gamma}[C^2]$  and  $S_{\Gamma}[X^4]$  as defined intrinsically in respective dimensions, this is a rather mysterious relation.

As seen in the last section, the behavior of  $S_{\Gamma}[C^2]$  under the cutting and the pasting of the two-dimensional surface is relatively well understood. It would be nice to have a way to understand  $S_{\Gamma}[X^4]$  in a similar manner. Currently we have not come to this point. Instead, what has been done is to guess  $S_{\Gamma}[X^4]$  by studying  $Z_{S_{\Gamma}[C^2]}(X^4)$  using the knowledge of  $S^G[C^2]$ .

So far we have the understanding of  $S_{\Gamma}[X^4]$  for basically two classes:

- 1.  $\mathbb{R}^4$  with equivariance,  $S^4$ , and their variants
- 2.  $S^1 \times S^3$  and its variants

In this section we discuss the former, and in the next section we discuss the latter.

# 4.1 Nekrasov's partition function

#### 4.1.1 Definition

We first introduce the concept of Nekrasov's partition function of an  $\mathcal{N}=2$  supersymmetric F-symmetric QFT Q, which is basically  $Z_Q(\mathbb{R}^4)$  with a few qualifications.

- We consider a general mass deformation  $Q_m$  for  $m \in \mathfrak{f}$ .
- We consider  $\mathbb{R}^4 \simeq \mathbb{C}^2$  with equivariance under a natural  $\mathrm{U}(1)^2$  action. We have an equality

$$H_{\mathrm{U}(1)^2}^*(pt) = \mathbb{C}[\epsilon_1, \epsilon_2]. \tag{4.4}$$

We call  $\epsilon_1$  and  $\epsilon_2$  the equivariant parameters.

- As  $\mathbb{R}^4$  is noncompact, we need to specify a vacuum  $p \in \mathcal{M}_{\text{susyvac}}(Q)$ .
- We perform the topological twists to the theory as in Sec. 2.12. Then the partition function only depends on the projection of p to  $\mathcal{M}_{\text{Coulomb}}(Q_m)$ .
- We pick an maximally isotropic sublattice  $L_E \subset L$  and introduce the coordinates  $a_i = \int_{\alpha_i} \lambda$  of  $\mathcal{M}_{\text{Coulomb}}$  and parameterize the mass deformation by  $m_j = \int_{\gamma_j} \lambda$  as explained in Sec. 2.8.

Then we define

$$Z_Q^{\text{Nek}}(\epsilon_1, \epsilon_2; a_1, \dots, a_r; \{m_j\}) := Z_{Q_{m,\text{top}}}(\mathbb{R}^4_{\epsilon_1, \epsilon_2}, p). \tag{4.5}$$

It is known that the prepotential as introduced in Sec. 2.8 is obtained from Nekrasov's partition function:

$$\lim_{\epsilon_1, \epsilon_2} \epsilon_1 \epsilon_2 Z_Q^{\text{Nek}}(\epsilon_1, \epsilon_2; a_1, \dots, a_r; \{m\}) = F(a_1, \dots, a_r; \{m\}). \tag{4.6}$$

The transformation of  $F(a_1, \ldots, a_r; \{m\})$  under the change of  $\mathsf{L}_E \subset \mathsf{L}$  was via the Ledendre transformation. To reproduce it in the limit  $\epsilon_{1,2} \to 0$ ,  $Z^{\mathrm{Nek}}(a_1, \ldots, a_r; \{m\})$  should transform under the change of  $\mathsf{L}_E \subset \mathsf{L}$  via the Fourier transformation, but the contour to be used in this Fourier transformation is not well understood. As the properties of  $Z^{\mathrm{Nek}}$  globally over  $\mathcal{M}_{\mathrm{Coulomb}}(Q)$  is not understood, we fix a patch of  $\mathcal{M}_{\mathrm{Coulomb}}(Q)$  on which the monodromy of the  $\mathrm{Sp}(\mathsf{L})$  local system preserves the sublattice  $\mathsf{L}_E$ .

This is the formalization of Nekrasov's partition function as used in physics literature, but it is convenient for our purposes to extend the concept slightly. Namely, For an F-symmetric QFT Q, we can consider

$$Z_{Q_{\text{top}}}(P_F \to \mathbb{R}^4, p)$$
 (4.7)

where  $P_F$  is an F-bundle with connection over  $\mathbb{R}^4$ . The object (4.7) determines a section of a bundle over the moduli space of F-bundles. When  $P_F \to \mathbb{R}^4$  is further assumed to be anti-self-dual, this section descends to a closed equivariant differential form on  $\mathcal{M}_F$ , the moduli space of framed anti-self-dual F-connections on  $\mathbb{R}^4$ . We denote it by

$$Z^{\text{Nek}}(Q) \in H_{F \times \text{II}(1)^2}^*(\mathcal{M}_F) \otimes \text{Frac}(H_{F \times \text{II}(1)^2}^*(pt)) \otimes \mathbb{C}(a_1, \dots, a_r)$$

$$\tag{4.8}$$

where we identify

$$H_{F \times \mathrm{U}(1)^2}^*(pt) = \mathbb{C}[m_1, \dots, m_F][\epsilon_1, \epsilon_2]. \tag{4.9}$$

Note that

$$H_{F \times \mathrm{U}(1)^2}^*(\mathcal{M}_F) = \bigoplus_{n \ge 0} H_{F \times \mathrm{U}(1)^2}^*(\mathcal{M}_{F,n})$$
 (4.10)

where n is the instanton number and

$$\dim_{\mathbb{R}} \mathcal{M}_{F,n} = 4h^{\vee}(F)n. \tag{4.11}$$

We can obtain the standard Nekrasov function (4.5) by projecting the object (4.8) to the n=0 component in the decomposition (4.10), and evaluating the formal variables  $\epsilon_{1,2}$  and  $m_i$  in (4.9) by assigning numbers. The integer  $k_F(Q)$  determines the degree of  $Z_Q^{\text{Nek}}$ :

$$\deg Z^{\text{Nek}}(Q)|_{H_{F\times U(1)^2}^*(\mathcal{M}_{F,n}(\mathbb{R}^4))} = k_F(Q)n. \tag{4.12}$$

Therefore when  $k_F(Q) = 2h^{\vee}(F)$ ,  $Z_Q^{\text{Nek}}$  determines a middle-dimensional class on  $\mathcal{M}_{ASD,F}$ , and when  $k_F(Q) = 4h^{\vee}(F)$ ,  $Z_Q^{\text{Nek}}$  is a top form on  $\mathcal{M}_{ASD,F}$ .

### **4.1.2** For $\operatorname{Hyp}(V \oplus \bar{V})$

Let  $Q = \text{Hyp}(V \oplus \overline{V})$  for a complex F-representation V.  $\mathcal{M}_{\text{Coulomb}}$  is a point. Then

$$Z^{\text{Nek}}(\text{Hyp}(V \oplus \bar{V})) \in H_{F \times \text{U}(1)^2}^*(\mathcal{M}_F) \otimes \text{Frac}(H_{F \times \text{U}(1)^2}^*(pt))$$
(4.13)

is given by

$$Z^{\text{Nek}}(\text{Hyp}(V \oplus \bar{V})) = \prod_{w: \text{weights of } V} \Gamma_B(w(m)|\epsilon_1, \epsilon_2) \times e(\text{Ind } \not \!\!\!D_V)$$
(4.14)

where  $\not \! D_V$  is the Dirac operator associated to the F-bundle

$$V \times_F P_F \to \mathbb{R}^4,$$
 (4.15)

Ind  $\not \!\! D_V$  is the index bundle determined by  $\not \!\!\! D_V$  over  $\mathcal M_{F,n}, \, e$  is the equivariant Euler class, and

$$\Gamma_B(x|\epsilon_1, \epsilon_2) = \text{regularized version of } \prod_{m,n\geq 0} \frac{1}{x + n\epsilon_1 + m\epsilon_2}$$
(4.16)

is the Barnes double gamma function.

#### 4.1.3 For the products

For  $Q = Q_1 \times Q_2$  Nekrasov's partition function behaves multiplicatively:

$$Z^{\text{Nek}}(Q) = Z^{\text{Nek}}(Q_1) \times Z^{\text{Nek}}(Q_2). \tag{4.17}$$

#### 4.1.4 For the quotients

Let Q be  $G \times F$ -symmetric, and suppose we know

$$Z^{\text{Nek}}(Q) \in H^*_{G \times F \times \mathrm{U}(1)^2}(\mathcal{M}_G \times \mathcal{M}_F) \otimes \operatorname{Frac} H^*_{G \times F}(pt) \otimes \mathbb{C}(a_1, \dots, a_{\operatorname{rank} Q})$$

$$= H^*_{G \times F \times \mathrm{U}(1)^2}(\mathcal{M}_G \times \mathcal{M}_F) \otimes \operatorname{Frac} H^*_F(pt) \otimes \mathbb{C}(a_1, \dots, a_{\operatorname{rank} Q}; a'_1, \dots, a'_{\operatorname{rank} G}) \quad (4.18)$$

where we introduced the variables  $a_1', \ldots, a_{\operatorname{rank} G}'$  via

$$H_G^*(pt) \simeq \mathbb{C}[a_1', \dots, a_{\text{rank }G}']^{W_G}$$
(4.19)

where  $W_G$  is the Weyl group of G.

Recall that the Coulomb branches of Q and Q///G satisfy the relation (2.29)

$$\mathcal{M}_{\text{Coulomb}}(Q/\!/\!/G) = \mathcal{M}_{\text{Coulomb}}(Q) \times \text{Spec} \,\mathbb{C}[\mathfrak{g}_{\mathbb{C}}]^{G_{\mathbb{C}}}.\tag{4.20}$$

Then Nekrasov's partition function for  $(Q///G)_{\tau}$  for us is defined over the patch

$$\mathcal{M}_{\text{Coulomb}}(Q///G) \supset \mathcal{M}_{\text{Coulomb}}(Q) \times U_K$$
 (4.21)

for large K, where  $U_K$  was defined in (2.69). Then the algebras of functions on  $U_K$  we are interested in is contained in

$$\mathbb{C}(a_1', \dots, a_{\operatorname{rank} G}') = \operatorname{Frac} H_G^*(pt), \tag{4.22}$$

and Nekrasov's partition function for Q///G takes values in

$$H_{F \times \mathrm{U}(1)^2}^*(\mathcal{M}_F) \otimes \mathbb{C}(a_1, \dots, a_{\mathrm{rank}\,Q}; a_1', \dots, a_{\mathrm{rank}\,G}')$$
 (4.23)

Then  $Z^{\text{Nek}}(Q/\!/\!/G|_{\tau})$  is obtained by a natural operation which sends an element in (4.18) to (4.23). Such a map is defined by using the fundamental class

$$[\mathcal{M}_G] = \bigoplus_{n \ge 0} [\mathcal{M}_{G,n}] \tag{4.24}$$

and we have

$$Z^{\text{Nek}}((Q/\!/\!/G)_{\tau}) = q^{\frac{1}{\epsilon_1 \epsilon_2} \langle a, a \rangle|_0}$$

$$\times \prod_{\alpha: \text{pos. roots}} \frac{1}{\Gamma_B(\alpha(a)|\epsilon_1, \epsilon_2) \Gamma_B(\epsilon_1 + \epsilon_2 - \alpha(a)|\epsilon_1, \epsilon_2)} \times \langle q^{\mathsf{N}}[\mathcal{M}_G], Z_G^{\text{Nek}} \rangle \quad (4.25)$$

where N is an operator which is a multiplication by n on  $H_G^*(\mathcal{M}_{G,n})$  and as always  $q = e^{2\pi\sqrt{-1}\tau}$ .

Combining (4.14) and (4.25) we can define and compute Nekrasov's partition function for  $\mathcal{N}=2$  gauge theory  $\text{Hyp}(V)/\!/\!/G$ , assuming that there is a good control of the moduli space  $\mathcal{M}_G$  of antiselfdual G connections and the determinant line bundle  $\text{Ind } \not \!\!\!D_V$  on it. the Donagi-Witten integrable system of  $\text{Hyp}(V)/\!/\!/G$  can then be recovered by studying its small  $\epsilon_1\epsilon_2$  behavior, (4.6). This is best developed when G is of type A, and there are a few scattered works for other classical G's.

# 4.2 Nekrasov's partition function for class S theories

Now we would like to study  $Z^{\text{Nek}}(S_{\Gamma}[C])$ . Its  $\epsilon_1, \epsilon_2 \to 0$  limit determines  $DW(S_{\Gamma}[C]) = \mathcal{M}_{\text{Hitchin}}(C)$ . Therefore it should be some kind of a quantization of the Hichin system.

First we consider the case when all the punctures are with the label e=0. With n punctures the theory  $S_{\Gamma}[C_{g,n}]$  is  $G^n$  symmetric. We write

$$\operatorname{Frac} H_{G^n \times \mathrm{U}(1)^2}^*(pt) = \operatorname{Frac} H_{G \times \mathrm{U}(1)^2}^* {}^{\otimes n}$$
(4.26)

in the understanding that each of G appearing on the right hand side refers to an isomorphic but different groups, and that the tensor product is with respect to the base field

$$K = \mathbb{C}(\epsilon_1, \epsilon_2) = \operatorname{Frac} H_{\mathrm{U}(1)^2}^*(pt). \tag{4.27}$$

In the following we regard that we fixed an evaluation homomorphism  $K \to \mathbb{C}$  which sends  $\epsilon_{1,2}$  to generic complex numbers.

First let us consider the three-punctured sphere:

$$Z^{\text{Nek}}(S_{\Gamma}[(:])) \in V_G^{\otimes 3} \otimes X_G \tag{4.28}$$

where

$$V_G = H_{G \times \mathrm{U}(1)^2}^*(\mathcal{M}_G) \otimes \operatorname{Frac} H_G^*(pt)$$
(4.29)

and

$$X_G = \mathbb{C}(a_1, \dots, a_x) \tag{4.30}$$

with the coordinates  $a_1, \ldots, a_x$  of a patch of  $\mathcal{M}_{\text{Coulomb}}(S_{\Gamma}[(\cdot)])$ . Therefore

$$x = \dim_{\mathbb{C}} \mathcal{M}_{\text{Coulomb}}(S_{\Gamma}[\bigodot])) = \frac{1}{2} \dim G - \frac{3}{2} \operatorname{rank} G. \tag{4.31}$$

We then have, from (3.39) and (4.25),

$$Z^{\text{Nek}}(S_{\Gamma}[\underbrace{\Sigma}_{\mathbf{g}}]) = Z^{\text{Nek}}(S_{\Gamma}[\underline{\odot}] \times S_{\Gamma}[\underline{\odot}] / / / G_{\text{diag}\tau})$$

$$(4.32)$$

$$= (\prod \frac{1}{\Gamma_B \Gamma_B}) \langle [M_G]_\tau, Z^{\text{Nek}}(S_\Gamma[\bigcirc]) Z^{\text{Nek}}(S_\Gamma[\bigcirc]) \rangle$$
 (4.33)

where the product of  $(\Gamma_B\Gamma_B)^{-1}$  stands for the factor in (4.25). This takes values in

$$V_G^{\otimes 4} \otimes X_G^{\otimes 2} \otimes \operatorname{Frac} H_G^*(pt)$$
 (4.34)

In more generality, we have

$$Z^{\text{Nek}}(S_{\Gamma}[C_{q,n}]) \in V_G^{\otimes n} \otimes X_G^{\otimes 2(g-1)+n} \otimes \operatorname{Frac} H_G^*(pt)^{\otimes 3(g-1)+n}. \tag{4.35}$$

This can be thought of as defining a 2d holomorphic generalized QFT  $Q_{\Gamma}$  on the Riemann surface C via

$$Z_{Q_{\Gamma}}[C_{g,n}] := Z^{\text{Nek}}(S_{\Gamma}[C_{g,n}]).$$
 (4.36)

As  $Z^{\text{Nek}}$  is basically the partition function on  $\mathbb{R}^4_{\epsilon_1,\epsilon_2}$  as explained in (4.5), we regard

$$Q_{\Gamma} = S_{\Gamma}[\mathbb{R}^4_{\epsilon_1, \epsilon_2}]. \tag{4.37}$$

To study  $Q_{\Gamma}$ , first let us discuss the properties of a 2d holomorphic QFT in general. Regard a three-punctured sphere  $\bigcirc$  to be equipped with three local coordinates  $z_{1,2,3}$  so that the punctures are at  $z_i = 0$ , respectively. Now let us assume that the local coordinates are such that the circles  $|z_i| = 1$  do not intersect and do not contain each other. Therefore

this is now a sphere with three holes as in . A sphere with two holes with parameter

q, in this description, has two local coordinates z and z' and zz' = q with two circles |z| = 1 and |z'| = 1. The gluing operation in this language is always done by identifying two local coordinates  $z_1$  and  $z_2$  associated to two punctures by  $z_1z_2 = 1$ , so that the circles at  $|z_1| = 1$  and  $|z_2| = 1$  are identified.

This 2d theory  $Q_{\Gamma}$  should have a space of states  $\mathcal{H}_{Q_{\Gamma}}(S^1)$ . We take it to be

$$\mathcal{H}_{Q_{\Gamma}}(S^1) = V_G = H_G^*(\mathcal{M}_G) \otimes \operatorname{Frac} H_G^*(pt)$$
(4.38)

with the inner product

$$V_G \ni v, w \mapsto (v, w) = \langle [\mathcal{M}_G], v \land w \rangle \in H_G^*(pt). \tag{4.39}$$

Then we have

$$Z^{\text{Nek}}(S_{\Gamma}[\bigcirc]) = Z_{Q_{\Gamma}}(\bigcirc) : \mathcal{H}_{Q_{\Gamma}}(S^{1}) \to \mathcal{H}_{Q_{\Gamma}}(S^{1})^{\otimes 2} \otimes X_{G}, \tag{4.40}$$

$$Z^{\text{Nek}}(S_{\Gamma}[\bigcirc]) = Z_{Q_{\Gamma}}(\bigcirc) : \mathcal{H}_{Q_{\Gamma}}(S^{1})^{\otimes 2} \to \mathcal{H}_{Q_{\Gamma}}(S^{1}) \otimes X_{G}$$

$$(4.41)$$

where  $X_G$  was introduced in (4.30). Here,  $\mathcal{H}_{Q_{\Gamma}}(S^1) = H_G^*(\mathcal{M}_G) \otimes \operatorname{Frac} H_G^*(pt)$  appearing in the right hand side of each equation are considered with respect to three copies of distinct but isomorphic groups G.

Furthermore, we introduce

$$q^{\mathsf{N}} = Z_{Q_{\Gamma}}(\bigcirc)_{q} : \mathcal{H}_{Q_{\Gamma}}(S^{1}) \to \mathcal{H}_{Q_{\Gamma}}(S^{1}),$$
 (4.42)

Here two  $\mathcal{H}_{Q_{\Gamma}}(S^1) = H_G^*(\mathcal{M}_G) \otimes \operatorname{Frac} H_G^*(pt)$  appearing in the right hand side are considered with respect to the same group G.

Then the gluing formula (4.33) can be understood as the decomposition of

$$Z_{Q_{\Gamma}}()$$
 :  $\mathcal{H}_{Q_{\Gamma}}(S^1)^{\otimes 2} \to \mathcal{H}_{Q_{\Gamma}}(S^1)^{\otimes 2} \otimes K_G^{\otimes 2} \otimes \operatorname{Frac} H_G^*(pt)$  (4.43)

to

$$Z_{Q_{\Gamma}}(\bigcirc) = Z_{Q_{\Gamma}}(\bigcirc) Z_{Q_{\Gamma}}(\bigcirc)_{q} Z_{Q_{\Gamma}}(\bigcirc). \tag{4.44}$$

What is this 2d holomorphic extended QFT  $Q_{\Gamma}$ ? There are two immediate clues:

ullet For a genus-g surface  $C_g$  with no puncture, we have

$$Z_{Q_{\Gamma}}(C_g) = Z^{\operatorname{Nek}}(S_{\Gamma}[C_g]) \in X_G^{\otimes 2(g-1)} \otimes (\operatorname{Frac} H_G^*(pt))^{\otimes 3(g-1)}$$
(4.45)

which has transcendental degree  $(g-1) \dim G$ , as easily follows from (4.30). This is the dimension of the conformal block of the  $W_G$  algebra on a genus g Riemann surface.

• Also, the anomaly polynomial of  $Q_{\Gamma} = S_{\Gamma}[\mathbb{R}^4_{\epsilon_1,\epsilon_2}]$  can be obtained by integrating the anomaly polynomial  $A(S_{\Gamma})$  of the 6d theory  $S_{\Gamma}$ , (3.9), over  $\mathbb{R}^4_{\epsilon_1,\epsilon_2}$  in the equivariant sence. As  $Q_{\Gamma}$  is a 2d holomorphic QFT, it should have an action of the Virasoro algebra on its space of states  $\mathcal{H}_{Q_{\Gamma}}(S^1)$ . The central charge c of this Virasoro algebra is encoded in the anomaly polynomial, and we find

$$c = \operatorname{rank} G + \frac{(\epsilon_1 + \epsilon_2)^2}{\epsilon_1 \epsilon_2} h^{\vee}(G) \operatorname{dim} G.$$
(4.46)

This is closely related to the formula of the central charge c of the  $W_G$  algebra in the free field representation:

$$c = \operatorname{rank} G + (b + \frac{1}{b})^2 h^{\vee}(G) \dim G.$$
 (4.47)

where b is the background charge.

These two points strongly suggests that  $Q_{\Gamma}$  is in fact the theory of  $W_G$  conformal blocks itself, with the identification

$$b^2 = \frac{\epsilon_1}{\epsilon_2}. (4.48)$$

# 4.3 W-algebras and Drinfeld-Sokolov reduction

Before continuing let us recall the basics of the W-algebras. Given a finite-dimensional group G, we consider the affine Lie algebra  $\hat{\mathfrak{g}}$ . For simplicity we assume  $\mathfrak{g}$  to be simply-laced. There is a way to construct  $\hat{\mathfrak{g}}$  as a subalgebra of tensor products of  $r = \operatorname{rank} \mathfrak{g}$  free bosons, with background charge b, which is related to the level k of the affine algebra via

$$k = -h^{\vee}(G) + \frac{1}{b^2}. (4.49)$$

Given a nilpotent element e, one can construct from  $\hat{\mathfrak{g}}$  a vertex operator algebra  $W(\mathfrak{g}, e)$  by a method called Drinfeld-Sokolov reduction. The central charge of the Virasoro subalgera is

$$c = \dim \mathfrak{g}_{h=0} + \frac{1}{2} \dim \mathfrak{g}_{h=1} + 24(\frac{\rho}{h} + \frac{bh}{2}) \cdot (\frac{\rho}{h} + \frac{bh}{2})$$
 (4.50)

where h is the Cartan element so that (e, h, f) is an SL(2) triple, and  $\rho$  is the Weyl vector. Let  $\mathfrak{f} \subset \mathfrak{g}^e$  is the centralizer of (e, h, f). Denote by  $\rho_{\mathfrak{f}}$  the Weyl vector of  $\mathfrak{f}$ .  $W(\mathfrak{g}, e)$  has a subalgebra  $\hat{\mathfrak{f}}$ . For a simple component  $\mathfrak{f}_0 \subset \mathfrak{f}^e$  the level is

$$k_{\mathfrak{f}_0}^{2d} = -\sum_{d} c_2(R_d) + b^2 \frac{1}{h^{\vee}(\mathfrak{f}_0)} \sum_{d} dc_2(R_d)$$
(4.51)

where

$$\mathfrak{g}_{\mathbb{C}} = \bigoplus_{d} R_d \otimes V_d \tag{4.52}$$

as before. In particular,  $W(\mathfrak{g}, e = 0) = \hat{\mathfrak{g}}$  and  $W_G = W(\mathfrak{g}, e_{\text{principal}})$ . Note that in the latter case  $h_{\text{principal}}/2 = \rho$  and many of the formulas below simplify. We note that the  $W_G$  algebra has Virasoro quasi-primary fields

$$W_{d_a}, \quad (a = 1, \dots, \operatorname{rank} G) \tag{4.53}$$

of dimension  $d_a$ , where  $d_a$  is the a-th exponent of G plus one. In particular,  $W_2 = T$  is the energy momentum tensor.

There is a functor which sends a highest-weight  $\hat{\mathfrak{g}}$  representation to a highest-weight  $W(\mathfrak{g},e)$  representation. A highest weight irreducible representation of  $\hat{\mathfrak{g}}$  is labeled by k and an element  $\lambda \in \mathfrak{h}$  where  $\mathfrak{h}$  is the Cartan subalgebra of  $\mathfrak{g}$ . We denote it by  $\mathcal{L}_{\lambda}$ . Let us denote its image under the functor by  $\mathcal{W}_{b\lambda}$ . All highest weight irreducible representation of  $W(\mathfrak{g},e)$  is obtained in this manner. In particular, the vacuum representation is the image of the vacuum representation  $\mathcal{L}_0$  and therefore is  $\mathcal{W}_0$ . The operator  $L_0$  in the Virasoro subalgebra of  $W(\mathfrak{g},e)$  acts on the highest weight vector of  $\mathcal{W}_a$  by a scalar multiplication by

$$L_0 = -\frac{1}{2}a \cdot a + a \cdot \left(\frac{\rho}{b} + \frac{bh}{2}\right) \tag{4.54}$$

The important feature is the shifted Weyl invariance of  $V_a$ :

$$\mathcal{W}_{a+\rho/b+bh/2} = \mathcal{W}_{wa+\rho/b+bh/2} \tag{4.55}$$

where w is a Weyl group element of  $\mathfrak{f}$ . The invariance of (4.54) is just one consequence.

We mainly consider the case when b is real. When

$$a = \sqrt{-1}m + (\frac{\rho}{b} + \frac{bh}{2}), \qquad m \in \mathfrak{h}_{\mathbb{R}}$$
 (4.56)

the eigenvalues of  $L_0$  on  $W_a$  is manifestly nonnegative. In this case there is a unitary structure on it and furthermore  $W_a$  is just the Verma module.

For  $W(\mathfrak{g}, e_{\text{principal}})$ , given another SL(2) triple (e, h, f), we also consider representations  $\mathcal{W}_a$  where a is of the form

$$a = \sqrt{-1}m + (b + \frac{1}{b})(\rho - \frac{h}{2}), \quad m \in \mathfrak{h}_{\mathbb{R}}^{e}.$$
 (4.57)

This is again a unitary representation. Note that the case (4.56) is when e = 0. We call these representations semi-degenerate.

### 4.4 Class S theories and W-algebras

Let us come back to the study of  $Q_{\Gamma} = S_{\Gamma}[\mathbb{R}^4_{\epsilon_1,\epsilon_2}]$ , which we guess is the theory of  $W_G = W(\mathfrak{g}, e_{\text{principal}})$  algebra, with the parameter b given as in (4.48). Its space of states  $\mathcal{H}_{Q_{\Gamma}}(S^1) = V_G$  was given in (4.29). This involved

$$H_G^*(pt) = \mathbb{C}[m_1, \dots, m_{\text{rank }G}]^W. \tag{4.58}$$

We consider an evaluation

$$m: H_G^*(pt) \to \mathbb{C} \tag{4.59}$$

which we regard as an element  $m \in \mathfrak{h}$  in the Cartan subalgebra. We thus obtain an infinite dimensional space  $\mathcal{V}_m$  from  $\mathcal{V}_G$ . Our conjecture is that this  $\mathcal{V}_m$  is, when m is generic, the Verma module of the  $W_G$  algebra, under the following matching of parameters:

$$\mathcal{V}_m = \mathcal{W}_{m'}, \quad m' = \frac{m}{\sqrt{\epsilon_1 \epsilon_2}} + \rho(\sqrt{\frac{\epsilon_1}{\epsilon_2}} + \sqrt{\frac{\epsilon_1}{\epsilon_2}}).$$
 (4.60)

We now have a proof of this statement when G is of type A.

Nekrasov's partition function of a three-punctured sphere gives the following element:

$$Z^{\text{Nek}}(S_{\Gamma}[\widehat{\ldots}]) \in \mathcal{V}_{m_1} \otimes \mathcal{V}_{m_2} \otimes \mathcal{V}_{m_3} \otimes X_G \tag{4.61}$$

which define an intertwiner

$$Z_{Q_{\Gamma}}()) \in \mathcal{V}_{m_1} \otimes \mathcal{V}_{m_2} \to \mathcal{V}_{m_3} \otimes X_G.$$
 (4.62)

Here  $m_{1,2,3}$  are three evaluations of  $H_G^*(pt)$ . In the theory of  $W_G$  algebras, it is known that the space of intertwiners among three generic Verma modules has transcendental degree

$$\frac{1}{2}(\dim G - 3\operatorname{rank} G). \tag{4.63}$$

which is equal to the transcendental degree of  $X_G$  as shown in (4.30). For a closed Riemann surface  $C_g$  of genus g without puncture, we have

$$Z^{\text{Nek}}(S_{\Gamma}[C_q]) = Z_{Q_{\Gamma}}(C_q) \in X_G^{\otimes 2(g-1)} \otimes \text{Frac } H_G^*(pt)^{3(g-1)}.$$
 (4.64)

The right hand side has transcendental degree

$$(g-1)(\frac{4}{3}h^{\vee}(G)\dim G + \operatorname{rank} G), \tag{4.65}$$

and is the transcendental degree of the space of the conformal blocks of  $W_G$  algebra with generic c on the Riemann surface of genus g > 1. Therefore, our conjecture is that Nekrasov's partition function of class S theory provides the space of conformal blocks of  $W_G$  algebras.

So far we only considered Riemann surfaces with punctures with label e=0 only. For other regular punctures labeled by (e,m), let us denote the space we obtain from the consideration of the  $S_{\Gamma}$  theory by  $\mathcal{V}_{m}^{e}$ . Again, when m is a generic element in  $\mathfrak{g}^{e}$ , we conjecturally identify it as a semi-degenerate representation of the  $W_{G}$  algebra as defined in (4.57):

$$\mathcal{V}_{m}^{e} = \mathcal{W}_{m'}, \quad m' = \frac{m}{\sqrt{\epsilon_{1}\epsilon_{2}}} + (\rho - \frac{h}{2})(\sqrt{\frac{\epsilon_{1}}{\epsilon_{2}}} + \sqrt{\frac{\epsilon_{1}}{\epsilon_{2}}}.$$
 (4.66)

As an example, consider a puncture labeled by the principal element  $e_{\text{principal}}$ . It is equivalent to not having a puncture. m is necessarily 0, and

$$\mathcal{V}_0^e = \mathcal{W}_0 \tag{4.67}$$

which is the vacuum representation of the  $W_G$  algebra. This agrees with the idea that without any puncture in the 2d QFT, the only operation doable on a Riemann surface is to insert a vacuum representation.

As another example, let us recall that we have, for  $G = A_{N-1}$ ,

$$S_{\Gamma}[\widehat{\ldots}; e = 0, e = 0, e = [N - 1, 1]] = \text{Hyp}(V_1 \otimes \bar{V}_2 \oplus V_2 \otimes \bar{V}_1).$$
 (4.68)

where  $V_i \simeq \mathbb{C}^N$ . Then

$$Z^{\text{Nek}}(S_{\Gamma}[\widehat{\ldots}); (e=0, m_1), (e=0, m_2), (e=[N-1, 1], \mu)]) : \mathcal{V}_{m_1} \otimes \mathcal{V}_{\mu}^{[N-1, 1]} \to \mathcal{V}_{m_2}$$
 (4.69)

and  $\mu$  is the equivariant parameter  $H^*_{G^{[N-1,1]}}(pt) \simeq \mathbb{C}[\mu]$ . The intertwiner here is uniquely determined, as  $\mathcal{M}_{\text{Coulomb}}(\text{Hyp}(V_1 \otimes \bar{V}_2 \oplus V_2 \otimes \bar{V}_1)))$  is a point. It was given in (4.14) as the Euler class of the determinant line bundle of the Dirac operator associated to  $V_1 \otimes \bar{V}_2$ . It is satisfying to know that the space of the intertwiner (4.69) above, under the identification (4.60) and (4.66), is known to be unique.

In general, we can consider the theory

$$Q = S_{\Gamma}[(:); (e_1, m_1), (e_2, m_2), (e_3, m_3)]$$
(4.70)

and the element

$$Z^{\text{Nek}}(Q): \mathcal{V}_{m_1}^{e_1} \otimes \mathcal{V}_{m_2}^{e_2} \to \mathcal{V}_{m_3}^{e_3} \otimes X_{G,e_1,e_2,e_3}.$$
 (4.71)

Here we have

$$X_{G,e_1,e_2,e_3} = \mathbb{C}(a_1,\dots,a_{\text{rank }Q})$$
 (4.72)

is the algebra of holomorphic functions on a patch of  $\mathcal{M}_{\text{Coulomb}}(Q)$ , and rank Q was given in (3.52). When  $G = A_{N-1}$ , the transcendental dimension of the space of the intertwiner of  $W_G$  algebra among the representations  $\mathcal{V}_{m_i}^{e_i}$ , (i = 1, 2, 3) is known and it agrees with rank Q. This is another check of our proposed identification (4.66).

We can also consider irregular punctures. The only irregular puncture discussed in this review is the one introduced in Sec. 2.10.2. There, we saw that the Donagi-Witten integrable system of triv///G for simply-laced G is given by a G-Hitchin system on a sphere with two irregular punctures at  $z = 0, \infty$ . Correspondingly, we expect that Nekrasov's partition function has the form

$$(q^{\mathsf{N}/2}\psi, q^{\mathsf{N}/2}\psi) \tag{4.73}$$

where  $\psi$  is a state in the representation corresponding to the irregular puncture. The formula for Nekrasov's partition function (4.25), when applied to the pure theory triv///G, gives

$$Z^{\text{Nek}}(\text{triv}///G) \sim \langle [\mathcal{M}_G], q^{\mathsf{N}} \cdot 1 \rangle.$$
 (4.74)

Therefore, we find the representation to be  $\mathcal{V}_a$  we already discussed, where

$$a: H_G^*(pt) \to \mathbb{C}$$
 (4.75)

is a point on the Coulomb branch  $\mathcal{M}_{\text{Coulomb}}(\text{triv}/\!/\!/Q) \simeq \mathbb{C}[\mathfrak{g}_{\mathbb{C}}]^{G_{\mathbb{C}}}$ , and

$$\psi = [\mathcal{M}_G] = \bigoplus_{n \ge 0} [\mathcal{M}_{G,n}]. \tag{4.76}$$

The boundary condition of the Hitchin field, after the application of the Hitchin map, is in general given by

$$u_{d_a} \sim O(1)(\frac{dz}{z})^{d_a}, \ (d_a \neq h^{\vee}(G)), \qquad u_{h^{\vee}(G)} \sim \frac{\Lambda^{h^{\vee}(G)}}{z}(\frac{dz}{z})^{h^{\vee}(G)}.$$
 (4.77)

We propose in general that  $u_{d_a}$  is the expectation value of  $W_G$  quasiprimary fields  $W_{d_a}(z)$  (4.53). In terms of Fourier modes, the standard convention is

$$W_{d_a}(z) \sim \sum \frac{W_{d_a,i}}{z^{d_a+i}} dz^{d_a}$$
 (4.78)

which means that the state  $\psi' = q^{N}\psi$  corresponding to the pure theory is given by the condition

$$W_{d_a,i}\psi' = 0$$
,  $((d_a \neq h^{\vee}(G) \text{ and } i \geq 1) \text{ or } i \geq 2)$ ,  $W_{h^{\vee}(G),1}\psi' = \Lambda^{h^{\vee}(G)}\psi'$ . (4.79)

This is the condition of a Whittaker state in the representation. Note that  $q = \Lambda^{2h^{\vee}(G)}$  as seen in (2.26), and recall that we identified N and  $L_0$ . Then the conditions (4.79) boils down to the conditions

$$W_{d_a,i}\psi = 0$$
,  $((d_a \neq h^{\vee}(G) \text{ and } i \geq 1) \text{ or } i \geq 2)$ ,  $W_{h^{\vee}(G),1}\psi = \psi$ . (4.80)

Indeed, when G is type A, this statement that  $\psi$  given geometrically by (4.76) is a Whittaker state given by these conditions is already proved.

# 4.5 Nekrasov's partition function with surface operator

So far we considered the 6d theory  $S_{\Gamma}$  on  $\mathbb{R}^4_{\epsilon_1,\epsilon_2} \times C$ . Let us pick a subspace  $\mathbb{R}^2_{\epsilon_1} \times \{0\} \subset \mathbb{R}^4_{\epsilon_1,\epsilon_2}$  and introduce a 4d operator with the label (e,m) on  $\mathbb{R}^2_{\epsilon_1} \times \{0\} \times C$ . Then we can repeat our analysis above, and there should be a 2d theory

$$Q_{\Gamma,(e,m)} = S_{\Gamma}[\mathbb{R}^4_{\epsilon_1,\epsilon_2} \supset \mathbb{R}^2_{\epsilon_1}; (e,m)]$$

$$\tag{4.81}$$

satisfying the defining relation

$$Z_{Q_{\Gamma,(e,m)}}(C) = Z^{\text{Nek}}(S_{\Gamma}[C])(\mathbb{R}^4_{\epsilon_1,\epsilon_2} \supset \mathbb{R}^2_{\epsilon_1}; (e,m)). \tag{4.82}$$

The questions then are

- What is the theory  $Q_{\Gamma,(e,m)}$ ?
- What is the 2d operator labeled by (e, m) on  $\mathbb{R}^2_{\epsilon_1} \times \{0\} \subset \mathbb{R}^4_{\epsilon_1, \epsilon_2}$  of the 4d theory  $S_{\Gamma}[C]$ ?

For the former question, an obvious guess is the W-algebra  $W(\mathfrak{g}, e)$  given by the Drinfeld-Sokolov reduction, briefly recalled in Sec. 4.3. From the formula of the central charge (4.50), we see that

$$c(W(\mathfrak{g}, e)) - c(W(\mathfrak{g}, e_{\text{principal}}))$$

$$= (\dim \mathfrak{g}_{h=0} - \operatorname{rank} G) - \frac{1}{2} \dim \mathfrak{g}_{h=1} - 12\rho \cdot (\rho - \frac{h}{2}) + \frac{\epsilon_2}{\epsilon_1} (\frac{h}{2} \cdot \frac{h}{2} - \rho \cdot \rho). \quad (4.83)$$

where we used the relation (4.48). This should be given by the integral of the anomaly polynomial of the 4d operator of label e integrated over  $\mathbb{R}^2_{\epsilon_1}$ . Note that this is given by a linear combination of terms

$$\dim \mathfrak{g}_{h=0} - \operatorname{rank} G, \quad \dim \mathfrak{g}_{h=1}, \quad \rho \cdot (\rho - \frac{h}{2}), \quad \frac{h}{2} \cdot \frac{h}{2} - \rho \cdot \rho. \tag{4.84}$$

The quantities  $n_{v,h}(e)$  given in (3.36), which are contributions of a 4d operator to the central charges  $n_{v,h}$ , are also given as linear combinations of the same four terms. This is consistent to the idea that both  $n_{v,h}(e)$  and  $c(W(\mathfrak{g}, e)) - c(W(\mathfrak{g}, e_{\text{principal}}))$  are given by integrating the anomaly polynomials of the 4d operator of type e. Note that the integrals

$$\int_{\mathbb{R}^4_{\epsilon_1, \epsilon_2}} 1 = \frac{1}{\epsilon_1 \epsilon_2}, \quad \int_{\mathbb{R}^2_{\epsilon_1}} 1 = \frac{1}{\epsilon_1}$$

$$(4.85)$$

would naturally provide coefficients of the form  $1/(\epsilon_1 \epsilon_2)$  or  $1/\epsilon_1$  in the linear combination. Here the fact that the formula (4.83) has terms of the form  $1/\epsilon_1$  and no terms of the form  $1/(\epsilon_1 \epsilon_2)$  agrees with the fact that the 4d operator is on  $\mathbb{R}^2_{\epsilon_1} \times C$ .

The algebra  $W(\mathfrak{g}, e)$  contains the affine subalgebra  $\hat{\mathfrak{g}}^e$ . For a simple component  $\mathfrak{f} \subset \mathfrak{g}^e$ , its level  $k_{\mathfrak{f}}^{2d}$  is given by (4.51). Similarly, a 4d operator of type e gave rise to a  $G^e$ -symmetric 4d theory, whose  $k^{4d}$  is given in (3.38). Again, we see that these two expressions are extremely similar, and in terms of  $b^2 = \epsilon_2/\epsilon_1$  we only see the coefficients of the form  $1/\epsilon_1$ . This again gives a small piece of evidence to our general proposal.

To answer the latter question, let us recall the discussions in Sec. 3.8. There, we considered the 4d operator with label (e, m) on

$$X^4 \times C^2 \supset X^4 \times \{pt\}. \tag{4.86}$$

There, we saw that the Hitchin field  $\phi$  had the residue of the form

$$\phi \sim \alpha \frac{dz}{z} \tag{4.87}$$

where  $\alpha$  was given by the formula (3.51). In particular, consider the case when  $\alpha$  is semisimple. Let  $\mathfrak{l}$  be the Levi subalgebra commuting with  $\alpha$ . Then e is given by a principal nilpotent element of  $\mathfrak{l}$ .

The setup here just has a different four-dimensional subspace

$$\mathbb{R}^4 \times C^2 \supset \mathbb{R}^2 \times \{pt\} \times C^2. \tag{4.88}$$

Therefore the behavior of the fields transverse to the 4d subspace should be the same. Then, a natural generalization of the conjecture is that there is a natural action of  $W(\mathfrak{g}, e)$  on

$$H_{G \times \mathrm{U}(1)^2}^*(\mathcal{M}_{ASD,G,\alpha}) \tag{4.89}$$

where  $\mathcal{M}_{ASD,G,\alpha}$  is the moduli space of the ASD connection on  $\mathbb{R}^4$  with a singularity transverse to  $\mathbb{R}^2 \subset \mathbb{R}^4$  given by a semisimple conjugacy class  $\alpha$ . When there is no singularity,  $\alpha = 0$ , and e is the principal nilpotent element of  $\mathfrak{g}$ . Then  $W(\mathfrak{g}, e)$  is just  $W_G$ , and we come back to the original conjecture. When the singularity  $\alpha$  is a regular semisimple element, i.e. when the Levi subalgebra  $\mathfrak{l}$  is Abelian of rank rank G, then e is zero. Then  $W(\mathfrak{g}, e)$  is just the affine Lie algebra  $\hat{\mathfrak{g}}$ . The action of  $\mathfrak{g}$  with the level (4.49) on the space (4.89) has been constructed.

### 4.6 $S^4$ partition function

Recall that in 2d WZW model for the affine Lie algebra  $\mathfrak{g}$  of positive integral level k, we first constructed a finite-dimeniosnal vector bundle over the moduli of the Riemann surface. This vector bundle had a finite number of natural sections  $\chi_i(\tau)$ , where i labels the sections and  $\tau$  denotes the complex structure of the surface. These are the conformal blocks of  $\mathfrak{g}$  at level k. The mapping class group naturally acts on the space of sections.

The 2d conformal field theory on the torus is a modular invariant combination

$$\sum c_{i\bar{j}}\chi_i(\tau)\overline{\chi_j(\tau)} \tag{4.90}$$

where  $c_{i\bar{j}}$  is an integer valued matrix. Usually we have a choice where

$$\sum_{i} \chi_i(\tau) \overline{\chi_i(\tau)} \tag{4.91}$$

which is called the diagonal modular invariant.

The 2d WZW models of  $\mathfrak{g}$  at level k are called rational CFTs. Here rationality refers to the finite dimensionality of the space of conformal blocks. In the case of  $W_G$  algebra at generic c, the dimension of the space of the conformal blocks is infinite dimensional, but we can still form a diagonal invariant. We see in the following that such a diagonal invariant naturally arises by considering the partition function of  $S_{\Gamma}[C]$  on the sphere.

Let Q an  $\mathcal{N}=2$  supersymmetric theory. Consider the following squashed four-sphere

$$S_b^4 := \{ (x, z, w) \in \mathbb{R} \times \mathbb{C} \times \mathbb{C} \mid x^2 + b|z|^2 + \frac{1}{b}|w|^2 = 1 \}.$$
 (4.92)

This only specifies the metric. The  $\mathcal{N}=2$  supersymmetric extension of the concept of the metric has a complex function in it, and we choose it appropriately so that the supermetric has a superisometry. It is known that that

$$Z_Q(S_b^4) = \int_{\Gamma} Z^{\text{Nek}}(Q)(a) \overline{Z^{\text{Nek}}(Q)(a)} da_1 \dots da_{\text{rank } Q}$$
(4.93)

where  $\Gamma$  is a specific real rank Q dimensional cycle in  $\mathcal{M}_{\text{Coulomb}}$ .

When  $Q = S_{\Gamma}[C_{g,n}]$ ,  $Z_Q(S_b^4)$  determines a function on the moduli space  $\mathcal{M}_{g,n}$  of genusg Riemann surface with n marked punctures, and is the diagonal invariant of the  $W_G$  conformal block, if we assume our conjecture that  $Z^{\text{Nek}}(Q)$  gives a natural section of the conformal blocks.

This 2d CFT is called the Toda theory for general G, and the Liouville theory in the simplest case  $G = A_1$ . The cycle  $\Gamma$  in this case is determined as follows: on  $\mathcal{V}_m$  with  $m \in \mathfrak{h}_{\mathbb{C}}$ , the Virasoro subalgebra acts with

$$L_0 = -\langle m, m \rangle + \frac{h^{\vee}(G) \dim G}{24} (b + \frac{1}{b})^2$$
 (4.94)

as already discussed in (4.54). We only pick unitary representations where  $L_0 \ge 0$ . Then it is natural to take  $m \in \sqrt{-1}\mathfrak{h}_{\mathbb{R}}$ .

In particular, for  $G = A_1$  and  $Q = S_{A_1}$  [ , using Gaiotto's gluing (3.39) and the formula for Nekrasov's partition function (4.25), we have

$$Z_Q(S_b^4) = \int_{\mathbb{R}} da \frac{\prod_{\pm \pm \pm} \Gamma_B(\pm m_1 \pm m_2 \pm a) \prod_{\pm \pm \pm} \Gamma_B(\pm m_3 \pm m_e \pm a)}{\prod_{pm} \Gamma_B(\pm 2a) \Gamma_B(\epsilon_1 + \epsilon_2 \pm 2a)} \times e^{-4\pi \operatorname{Im} \tau \langle a \rangle} Z_{\operatorname{inst}}(a, m_i; \tau) \overline{Z_{\operatorname{inst}}(a, m_i; \tau)}$$
(4.95)

where

$$Z_{\text{inst}}(a, m_1, m_2; \tau) = \langle [\mathcal{M}_{A_1}], q^{\mathsf{N}} Z^{\text{Nek}}(\text{Hyp}(V_a \otimes V_{m_1} \otimes V_{m_2})) \rangle.$$
(4.96)

where  $V_x \simeq \mathbb{C}^2$  has an action of SU(2) with  $H^*_{\mathrm{SU}(2)}(pt) = \mathbb{C}[x]$ . As  $Z^{\mathrm{Nek}}$  is given in (4.14), this is a explicitly computable quantity, and is known as the Liouville four-point functions in the 2d CFT literature.

# 5 Superconformal indices and Macdonald polynomials

#### 5.1 Definition

For a G-symmetric  $\mathcal{N}=2$  supersymmetric theory Q with  $\mathrm{U}(1)_R$  symmetry, let us consider its partition function on  $S^1\times S^3$  with the following flat bundle on it. Namely, we start from  $\mathbb{R}\times S^3$ , and when we identify  $\{x\}\times S^3$  and  $\{x+\beta\}\times S^3$ , we use the transformations

$$g \in G$$
,  $s \in \mathrm{U}(1)$ ,  $t \in \mathrm{U}(1) \subset \mathrm{SU}(2)$ ,  $(p,q) \in \mathrm{U}(1)^2 \subset \mathrm{Spin}(4)$  (5.1)

where  $U(1) \times SU(2)$  is the R-symmetry and SO(4) is the isometry of  $S^3$ . Then we have

$$Z_Q(S^1 \times S^3; \beta, p, q, s, t, g) = \operatorname{str}_{\mathcal{H}_Q(S^3)} e^{-\beta H} pqtsg$$
(5.2)

where on the left hand side p, q, t and s are considered as complex numbers with absolute number one, and on the right hand side they are considered elements of the groups acting on  $\mathcal{H}_{\mathcal{Q}}(S^3)$ . Also,

$$e^{-\beta H}: \mathcal{H}_Q(S^3) \to \mathcal{H}_Q(S^3)$$
 (5.3)

is the operator defined by  $Z_Q([0,\beta] \times S^3)$ . This supertrace becomes computable when the background has a superisometry. This translates to the condition that two specific linear combinations of  $\beta$ ,  $\log t$ ,  $\log s$ ,  $\log p$  and  $\log q$  to vanish. We write  $\beta$  and s in terms of p, q and t, and write the resulting partition function as  $Z_Q^{\rm SCI}(p,q,t,g)$ . This is called the superconformal index of the theory Q. We use physicists normalization of t, so that  $\operatorname{tr}_{\mathbb{C}^2} t = t^{1/2} + t^{-1/2}$ . Therefore the expressions below are Laurent polynomials of p, q,  $t^{1/2}$ .

Note that for general d-dimensional conformal QFT Q, there is the identification

$$\mathcal{H}_Q(S^{d-1}) = \mathcal{V}_Q \tag{5.4}$$

where the left hand side is the state of states on  $S^{d-1}$  and the right hand side is the space of point operators. This is called the state-operator correspondence. The element  $e^{-H}$ defined in (5.3) acting on  $\mathcal{H}_Q(S^3)$  can be identified with the grading on  $\mathcal{V}_Q$ . Therefore, the superconformal index is basically the graded virtual character of  $\mathcal{V}_Q$ , or an element in the representation ring of  $\mathrm{U}(1)^3 \times G$ .

### 5.2 Basic properties

For Q = Hyp(V) for a pseudoreal representation V of a group G, we have

$$Z_{\text{Hyp}(V)}^{\text{SCI}}(p,q,t,z) = \prod_{w:\text{weights of } V} \Gamma_{p,q}(t^{1/2}z^w)$$
(5.5)

where  $\Gamma_{p,q}(x)$  is the elliptic gamma function

$$\Gamma_{p,q}(x) = \prod_{m,n\geq 0} \frac{1 - x^{-1} p^{m+1} q^{n+1}}{1 - x p^m q^n}$$
(5.6)

and we regard  $z \in G$  as an element in the Cartan torus  $z = (z_1, \ldots, z_r) \in T^r$  and  $z^w = \prod_i z_i^{w_i}$  for a weight  $w = (w_1, \ldots, w_r)$ . This can be checked by recalling that a hypermultiplet consists of a free boson and a free fermion Sec. 2.4, and that the partition function of a free boson and a free fermion is given by the spectrum of the Laplacian and the Dirac operator, respectively, as we saw in Sec. 1.12 and in Sec. 1.13.

Equivalently, we can say that

$$[\mathcal{H}_{\mathrm{Hyp}(V)}(S^3)] = \bigotimes_{m,n \ge 0} [\mathrm{Sym}^{\bullet}(T^{\otimes 1/2} \otimes P^{\otimes m} Q^{\otimes n} \otimes V) \otimes \wedge^{\bullet}(T^{\otimes -1/2} \otimes P^{\otimes (m+1)} Q^{\otimes (n+1)} \otimes V)]$$
(5.7)

as an element in the representation ring, where T, P, Q are the one-dimensional representations for  $(t, p, q) \in U(1)^3$  respectively.

Next, the superconformal index behaves multiplicatively under the multiplication of QFTs:

$$Z_{\text{SCI}}(Q \times Q') = Z_{\text{SCI}}(Q)Z_{\text{SCI}}(Q'). \tag{5.8}$$

Also, for a  $G \times F$ -symmetric theory Q,  $(Q///G)_{\tau}$  is F-symmetric and its superconformal index is independent of  $\tau$  and is given by

$$Z_{\text{SCI}}((Q/\!/\!/G)_{\tau}) = \left(\frac{1}{\Gamma_{p,q}(t)\Gamma'_{p,q}(1)}\right)^{r} \frac{1}{|W|} \int_{T^{r}} \prod_{i=1}^{r} \frac{dz_{i}}{2\pi\sqrt{-1}z_{i}} \left(\prod_{\substack{q \text{ proofs of } G}} \frac{1}{\Gamma_{p,q}(z^{\alpha})\Gamma_{p,q}(tz^{\alpha})}\right) Z_{\text{SCI}}(Q). \quad (5.9)$$

where  $z \in T^r \subset G$  and |W| is the order of the Weyl group. At the level of the representation ring the operation  $|W|^{-1} \int_{T^r} \prod dz_i/(2\pi\sqrt{-1}z_i)$  is to take out the invariant part under G.

### 5.3 Application to the theories of class S

Recall

$$S_{A_1}[(\cdot,\cdot)] = \text{Hyp}(V_1 \otimes V_2 \otimes V_3) \tag{5.10}$$

where  $V_i \simeq \mathbb{C}^2$  is the defining representation of  $A_1$ . Then

$$Z_{\text{SCI}}(S_{A_1}[\bigcirc]) = \prod_{\pm \pm \pm} \Gamma_{p,q}(t^{1/2}u^{\pm}v^{\pm}z^{\pm})$$
 (5.11)

where  $u, v, w \in \mathrm{U}(1)^3 \subset \mathrm{SU}(2)^3$ . Then, from the gluing axiom, we have

$$Z_{\text{SCI}}(S_{A_{1}}[\underbrace{\zeta_{\mathbf{g}}^{\dagger}}]) = \frac{1}{\Gamma_{p,q}(t)\Gamma'_{p,q}(1)} \frac{1}{2} \oint \frac{dz}{2\pi\sqrt{-1}z} \prod_{\pm} \frac{1}{\Gamma_{p,q}(z^{\pm 2})\Gamma_{p,q}(tz^{\pm 2})} \times \prod_{\pm \pm \pm} \Gamma_{p,q}(t^{1/2}u^{\pm}v^{\pm}z^{\pm}) \prod_{\pm \pm \pm} \Gamma_{p,q}(t^{1/2}x^{\pm}y^{\pm}z^{\pm}). \quad (5.12)$$

It should be symmetric under the exchange  $u \leftrightarrow x$ , which is not apparent from the integral form on the right hand side.

The measure appearing in (5.9) is an elliptic generalization of the Macdonald inner product. When p = 0, it becomes

$$\left(\prod_{n>0} \frac{1-q^{n+1}}{1-tq^n}\right)^r \frac{1}{|W|} \prod_{i=1}^r \frac{dz_i}{2\pi\sqrt{-1}z_i} \prod_{\alpha} \prod_{n>0} \frac{1-q^n z^{\alpha}}{1-tq^n z^{\alpha}} K(z)^{-2}$$
(5.13)

where

$$K(z) = \left(\prod_{n>0} \frac{1}{1 - tq^n}\right)^r \prod_{\alpha} \prod_{n>0} \frac{1}{1 - tq^n z^{\alpha}}.$$
 (5.14)

and

$$\frac{1}{|W|} \prod_{i=1}^{r} \frac{dz_i}{2\pi\sqrt{-1}z_i} \prod_{\alpha} \prod_{n>0} \frac{1 - q^n z^{\alpha}}{1 - tq^n z^{\alpha}}$$
 (5.15)

is the standard measure appearing in the theory of Macdonald polynomials. This means that the orthonormal polynomials under (5.13) are

$$K(z)\underline{P}_{\lambda}(z) \tag{5.16}$$

where

$$\underline{P}_{\lambda}(z) = \left(\prod_{n>0} \frac{1 - q^{n+1}}{1 - tq^n}\right)^{-r/2} N_{\lambda}^{-1/2} P_{\lambda}(z). \tag{5.17}$$

Here,  $P_{\lambda}(z)$  is the standard Macdonald polynomial and

$$N_{\lambda} = \frac{1}{|W|} \int_{T^r} \prod_{i=1}^r \frac{dz_i}{2\pi\sqrt{-1}z_i} \prod_{\alpha} \prod_{n>0} \frac{1 - q^n z^{\alpha}}{1 - tq^n z^{\alpha}} P_{\lambda}(z) P_{\lambda}(z^{-1})$$
 (5.18)

is the norm of the Macdonald polynomial, which has an explicit infinite-product form.

Consider a class theory  $Q = S_{\Gamma}[C_g, e_1, \dots, e_n]$  associated to a curve C of genus g with n punctures labeled by  $e_1, \dots, e_n$ . This is a  $\prod_i G^{e_i}$  symmetric theory. Then the superconformal index is a function of p, q, t and  $z_i$ , where  $z_i$  is an element of the Cartan torus of  $G^{e_i}$ , which we further regard as an element of the Cartan torus of G.

Then the superconformal index of Q, when p = 0, is conjecturally given by

$$Z_Q^{\text{SCI}}(p=0,q,t,\{z_i\}) = \frac{\prod_{i=1}^n K_{e_i}(z)}{K_{\rho}^{2g-2+n}} \sum_{\lambda} \frac{\prod_{i=1}^n \underline{P}_{\lambda}(z_i t^{h_i/2})}{\underline{P}_{\lambda}(t^{\rho})^{2g-2+n}}$$
(5.19)

Here, at each puncture labeled by  $e_i$ , we pick an SL(2) triple  $(e_i, h_i, f_i)$ . We regarded  $z_i \in G^{e_i}$ . As we have the map

$$G^e \times \rho_e(SU(2)) \to G$$
 (5.20)

which sends

$$(z,t) \mapsto zt^{h/2}. \tag{5.21}$$

To define  $K_e(z)$ , let us make the decomposition

$$\mathfrak{g}_{\mathbb{C}} = \bigoplus_{d} R_d \otimes V_d \tag{5.22}$$

as always, where  $V_d$  is an irreducible representation of dimension d of  $\rho_e(SU(2))$ . Then

$$K_e(z) = \prod_{d} \prod_{n=0}^{\infty} \prod_{w: \text{weights of } R_d} \frac{1}{1 - t^{(d+1)/2} q^n z^w}.$$
 (5.23)

Note that  $K_{e=0}(z) = K(z)$  defined above. The form (5.19) makes the associativity transparent.

When the class S theory becomes just Hyp(V), the general formula (5.19) gives conjectural formula rewriting an infinite product determined by the weights of V into a sum over  $\lambda$ . We discussed many such cases in Sec. 3.11. Let us consider the simplest case (5.10). We now have an identity

$$\prod_{\pm \pm \pm} \prod_{n \ge 0} \frac{1}{1 - t^{1/2} a_1^{\pm} a_2^{\pm} a_3^{\pm} q^n} = 
\prod_{n \ge 0} \prod_{i=1}^{3} \frac{1}{1 - t a_i^2 q^n} \frac{1}{1 - t} \frac{1}{1 - t a_i^2 q^n} \sum_{\lambda = 0}^{\infty} \frac{\prod_{i=1}^{3} \underline{P}_{\lambda}(a_i, a_i^{-1}; q, t)}{\underline{P}_{\lambda}(t^{1/2}, t^{-1/2}; q, t)} \quad (5.24)$$

where  $\underline{P}_{\lambda}$  is the  $A_1$  Macdonald polynomial in a nonconventional normalization (5.17).

When q = t, the Macdonald polynomial just becomes the character, and the formula (5.19) becomes the partition function of a 2d theory called q-deformed Yang-Mills theory on C:

$$Z_{S_{\Gamma}[C,e_i]}(S^1 \times S^3) = Z_{q\text{-deformed }G \text{ Yang-Mills}}(C). \tag{5.25}$$

This means that

$$S_{\Gamma}[S^1 \times S^3_{q=t,p=0}] = 2d$$
 q-deformed Yang-Mills. (5.26)

When  $p \neq 0$  the generalization will be to set

$$K_e(z) = \prod_{d} \prod_{m,n \ge 0} \prod_{w: \text{weights of } R_d} \frac{1 - t^{(d-1)/2} p^{m+1} q^{n+1} z^w}{1 - t^{(d+1)/2} p^m q^n z^w}.$$
 (5.27)

and replace  $\underline{P}_{\lambda}$  by  $\underline{\Psi}_{\lambda}$  which is orthonormal under the elliptic measure

$$\left(\frac{\prod_{m,n\geq0,\ (m,n)\neq(0,0)}(1-p^{m}q^{n})}{\prod_{m,n\geq0}(1-tp^{m}q^{n})(1-t^{-1}p^{m+1}q^{n+1})}\right)^{r} \times \frac{1}{|W|} \prod_{i=1}^{r} \frac{dz_{i}}{2\pi\sqrt{-1}z_{i}} \prod_{\alpha} \prod_{m,n\geq0} \frac{1-p^{m}q^{n}z^{\alpha}}{(1-tp^{m}q^{n}z^{\alpha})(1-t^{-1}p^{m+1}q^{n+1}z^{\alpha})} \quad (5.28)$$

The problem is that the existence and the properties of  $\underline{\Psi}_{\lambda}$  is not quite known in the mathematical literature yet. At least the associativity of the case  $\Gamma = A_1$ , (5.12), is shown by a different method.

# 5.4 A limit and the Hilbert series of the Higgs branch

Another interesting subcase is when p = q = 0. Then

$$Z_{\text{SCI}}(\text{Hyp}(V)) = \prod_{w} \frac{1}{1 - \tau z^w}$$

$$(5.29)$$

where we set  $\tau = t^{1/2}$ . This is the graded character of  $\mathbb{C}[V]$ . Note also that

$$Z_{\text{SCI}}(\text{Hyp}(V)/\!/\!/G) = \frac{1}{|W|} \int \prod \frac{dz}{2\pi\sqrt{-1}z} \prod (1-z^{\alpha})(1-\tau^{2})^{r} \prod (1-\tau^{2}z^{\alpha}) \prod \frac{1}{1-\tau z^{w}}$$
(5.30)

is the graded character of  $\mathbb{C}[V///G]$  under favorable conditions. Note that the factor  $(1 - \tau^2)^r \prod_{\alpha} (1 - \tau^2 z^{\alpha})$  provides the relation imposed by  $\mu_{\mathbb{C}} = 0$  in the hyperkähler quotient. The conjecture is that in general

$$Z_Q^{\text{SCI}}(p=0, q=0, t=\tau^2, z) = \operatorname{ch} \mathbb{C}[\mathcal{M}_{\text{Higgs}}(Q)] = \operatorname{tr}_{\mathbb{C}[\mathcal{M}_{\text{Higgs}}(Q)]} \tau z$$
 (5.31)

under favorable conditions. Here  $\tau$  is the grading on the Higgs branch and z is in the Cartan torus of G.

In this case,  $K_e(z)$  becomes

$$K_e(z) = \prod_{d \text{ w:weights of } R_d} \frac{1}{1 - \tau^{d+1} z^w}.$$
 (5.32)

and  $\underline{P}_{\lambda}$  is replaced by  $\underline{H}_{\lambda}$  which is orthornomal with respect to

$$\left(\frac{1}{1-\tau^2}\right)^r \frac{1}{|W|} \prod_{i=1}^r \frac{dz_i}{2\pi\sqrt{-1}z_i} \prod_{\alpha} \frac{1-z^{\alpha}}{1-\tau^2 z^{\alpha}}.$$
 (5.33)

The standard Hall-Littlewood polynomial is orthogonal with respect to this measure.

This can be used to obtain a conjectural formula of the graded character of the centered instanton moduli spaces of  $E_r$  gauge group, since we believe that these spaces arise as the Higgs branch of particular class S theories, as we saw in Sec. 3.10. For the instanton number 1, we just have

$$Z^{\text{SCI}}(S_{A_2}[S^2; [1^3], [1^3][1^3]]; p = q = 0) = \operatorname{ch} \mathbb{C}[\tilde{\mathcal{M}}_{E_6, n=1}]$$
(5.34)

$$Z^{\text{SCI}}(S_{A_3}[S^2; [2^2], [1^4][1^4]]; p = q = 0) = \operatorname{ch} \mathbb{C}[\tilde{\mathcal{M}}_{E_7, n=1}]$$
(5.35)

$$Z^{\text{SCI}}(S_{A_5}[S^2; [3^2], [2^3][1^6]]; p = q = 0) = \operatorname{ch} \mathbb{C}[\tilde{\mathcal{M}}_{E_8, n=1}].$$
 (5.36)

On the right hand side the character is with respect to  $\mathbb{C}^{\times} \times E_r$ , and on the left hand side it is with respect to  $\mathbb{C}^{\times} \times \mathrm{SU}(3)^2$ ,  $\mathbb{C}^{\times} \times \mathrm{SU}(2) \times \mathrm{SU}(4)^2$ ,  $\mathbb{C}^{\times} \times \mathrm{SU}(2) \times \mathrm{SU}(3) \times \mathrm{SU}(6)$ . Note that the rank of the both sides agree.

Although we believe that the instanton moduli spaces are obtained as in (3.90) for general n, they are not in favoroable conditions where the equality of the superconformal indices and the graded character of the Higgs branch is applicable. A seemingly related fact is that  $\mathcal{M}_{E_r,n}$  with n > 1 has a nontrivial triholomorphic action of  $SU(2) \times E_r$ , where SU(2) comes from a triholomorphic action of SU(2) on  $\mathbb{R}^4$  preserving its hyperkähler structure.

Instead, we have the relation

$$\mathcal{M}_{\text{Higgs}}(S_{A_{3n-1}}[S^2; [n^2, n-1, 1], [n^3], [n^3]) = \mathbb{C}^2 \times \tilde{\mathcal{M}}_{E_6, n} = \mathcal{M}_{E_6, n},$$
 (5.37)

$$\mathcal{M}_{\text{Higgs}}(S_{A_{4n-1}}[S^2; [2n, 2n-1, 1], [n^4], [n^4]) = \mathbb{C}^2 \times \tilde{\mathcal{M}}_{E_7, n} = \mathcal{M}_{E_7, n},$$
 (5.38)

$$\mathcal{M}_{\text{Higgs}}(S_{A_{6n-1}}[S^2; [3n, 3n-1, 1], [2n^3], [n^6]) = \mathbb{C}^2 \times \tilde{\mathcal{M}}_{E_8, n} = \mathcal{M}_{E_8, n}$$
 (5.39)

where  $\mathcal{M}_{E_r,n}$  is the noncentered moduli space. Then we have

$$Z_{SCI}(S_{A_{3n-1}}[S^2; [n^2, n-1, 1], [n^3], [n^3]) = \operatorname{ch} \mathcal{M}_{E_6, n},$$
 (5.40)

$$Z_{\text{SCI}}(S_{A_{4n-1}}[S^2; [2n, 2n-1, 1], [n^4], [n^4]) = \operatorname{ch} \mathcal{M}_{E_7, n},$$
 (5.41)

$$Z_{SCI}(S_{A_{6n-1}}[S^2; [3n, 3n-1, 1], [2n^3], [n^6]) = \operatorname{ch} \mathcal{M}_{E_8, n}$$
 (5.42)

On the right hand side the character is with respect to  $\mathbb{C}^{\times} \times \mathrm{SU}(2) \times E_r$ , and on the left hand side it is with respect to  $\mathbb{C}^{\times} \times \mathrm{U}(1)^2 \times \mathrm{SU}(2) \times \mathrm{SU}(3)^2$ ,  $\mathbb{C}^{\times} \times \mathrm{U}(1)^2 \times \mathrm{SU}(4)^2$ ,  $\mathbb{C}^{\times} \times \mathrm{U}(1)^2 \times \mathrm{SU}(3) \times \mathrm{SU}(6)$ . Note that the rank of the both sides agree.

### References