

Multiplicative vertex algebras and wall-crossing in equivariant K-theory

Henry Liu

Kavli IPMU

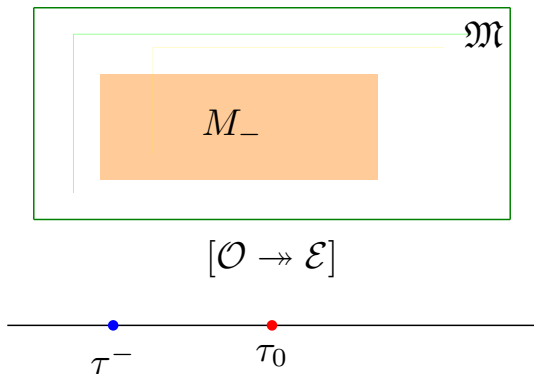
January 23, 2025

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Study of how the geometry of (semi)stable loci in moduli spaces \mathfrak{M} changes upon varying the stability condition.

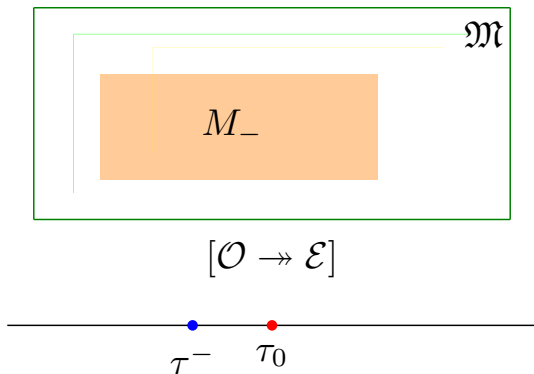
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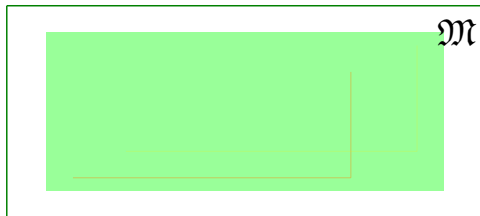
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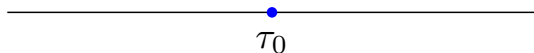


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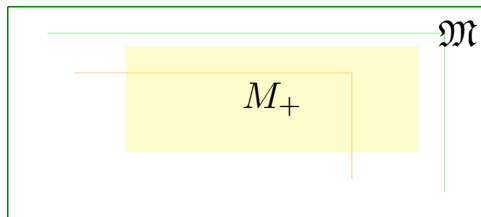


$$[\mathcal{O} \rightarrow \mathcal{E}] \oplus [0 \rightarrow \mathcal{Q}_1] \oplus \cdots \oplus [0 \rightarrow \mathcal{Q}_k]$$

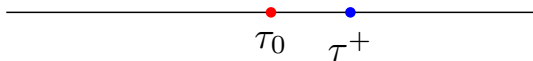


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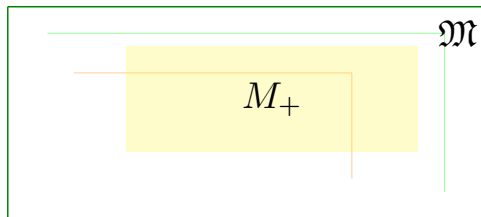


$$[0 \rightarrow \mathcal{I}_C \rightarrow \mathcal{O} \rightarrow \mathcal{E} \rightarrow \mathcal{Q} \rightarrow 0]$$

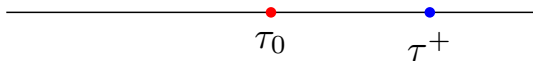


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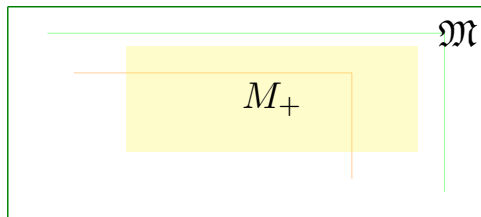


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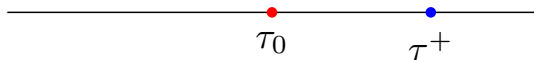


What is wall-crossing?

Study of how **enumerative invariants** of (semi)stable loci in moduli spaces \mathfrak{M} changes upon varying the stability condition.



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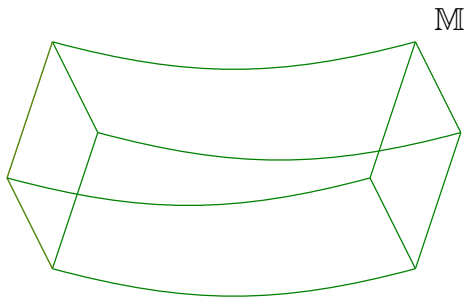
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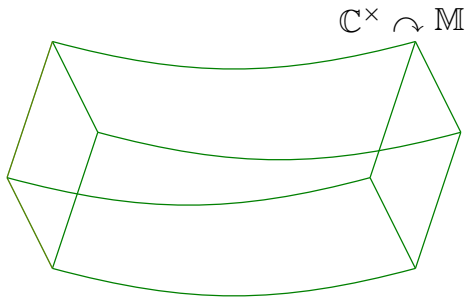
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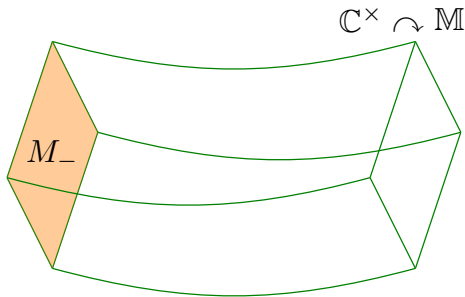
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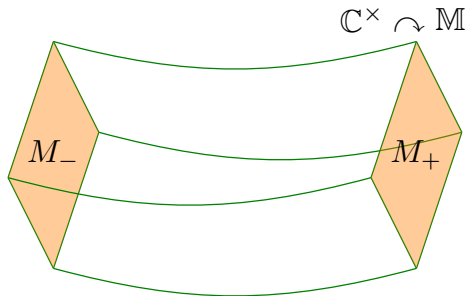
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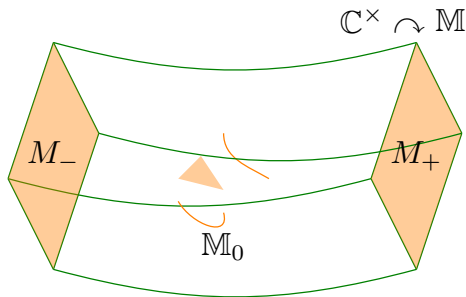
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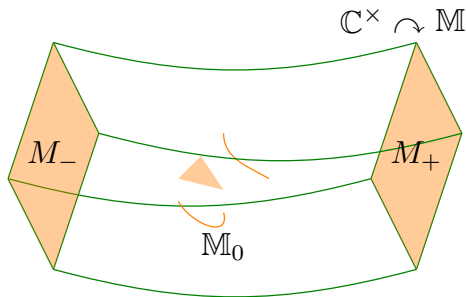
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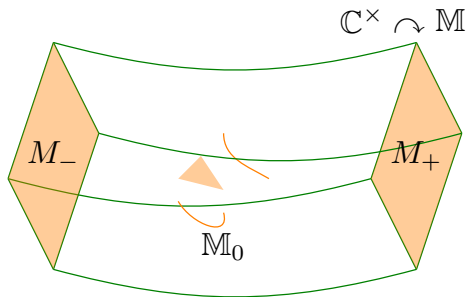
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Important: \mathbb{M} must be smooth and compact,
 $M_\pm = \{s^\pm = 0\} \subset \mathbb{M}$ must be divisors.

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Under what conditions is \mathbb{M} a smooth scheme?

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$$\int_{\mathbb{M}} \xi = \int_{M_-} \frac{\xi|_{M_-}}{e(\mathcal{N}_{M_-/\mathbb{M}})} + \int_{M_+} \frac{\xi|_{M_+}}{e(\mathcal{N}_{M_+/\mathbb{M}})} + \int_{\mathbb{M}_0} \frac{\xi|_{\mathbb{M}_0}}{e(\mathcal{N}_{\mathbb{M}_0/\mathbb{M}})}$$

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Relates desired invariants via a complicated quantity.

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This strategy may be generalized to **virtual enumerative invariants**,
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The challenge is to gain reasonable control over the term

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Example

Recall the Jacobi theta function

$$\vartheta(x; \tau) := (1 - z^{-1}) \prod_{n>0} (1 - q^n z)(1 - q^n z^{-1})$$

where $z := \exp(2\pi i x)$ and $q := \exp(\pi i \tau)$. Then for any $k \in \mathbb{Z}$,

$$(\text{Res}_{u+x_1=0} + \cdots + \text{Res}_{u+x_N=0}) \left(\prod_{i=1}^N \frac{\vartheta(u + y + x_i; \tau)}{\vartheta(u + x_i; \tau)} \right) \Big|_{y=\frac{k}{N}} = 0$$

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Theorem (L. '24)

Let $\mathcal{N}_{\mathbb{M}_0/\mathbb{M}} = \bigoplus_{n \in \mathbb{Z}} \mathcal{N}_{\mathbb{M}_0/\mathbb{M}}^{(n)}$ be the \mathbb{C}^\times -weight decomposition. If

$$\sum_{n \in \mathbb{Z}} n \cdot \text{rank} \mathcal{N}_{\mathbb{M}_0/\mathbb{M}}^{(n)} \equiv 0 \pmod{N}$$

for some integer $N > 1$, then

$$(\text{Elliptic genus})_{y,q}(M_-) \Big|_{y=\frac{k}{N}} = (\text{Elliptic genus})_{y,q}(M_+) \Big|_{y=\frac{k}{N}}.$$

Joyce's vertex algebra

Joyce applies master space wall-crossing in great generality...

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Assumption 5.1. (General set-up.) Let \mathcal{A} be a noetherian \mathbb{C} -linear abelian category, and $\mathcal{B} \subseteq \mathcal{A}$ be an exact subcategory. Assume:

(a) \mathcal{B} is closed under isomorphisms in \mathcal{A} (i.e. if $E \cong F \in \mathcal{A}$ with $E \in \mathcal{B}$ then $F \in \mathcal{B}$) and closed under direct sums in \mathcal{A} (i.e. if $E, F \in \mathcal{A}$ with $E \oplus F \in \mathcal{B}$ then $E, F \in \mathcal{B}$).

(b) The inclusion $i: \mathcal{B} \rightarrow \mathcal{A}$ induces a morphism $i_*: K_0(\mathcal{B}) \rightarrow K_0(\mathcal{A})$. We should be given a surjective quotient $K_0(\mathcal{A}) \rightarrow K(\mathcal{A})$, which we use for defining (weak) stability conditions on \mathcal{A} as in §3.1. Write $K(\mathcal{B})$ for the image of $i_*(K_0(\mathcal{B}))$ in $K(\mathcal{A})$, so we have a commutative diagram of abelian groups:

$$\begin{array}{ccc} K_0(\mathcal{B}) & \xrightarrow{i_*} & K_0(\mathcal{A}) \\ \downarrow & & \downarrow \\ K(\mathcal{B}) & \hookrightarrow & K(\mathcal{A}). \end{array}$$

We use the quotient $K_0(\mathcal{B}) \rightarrow K(\mathcal{B})$ in the vertex and Lie algebra theory for \mathcal{B} in §4.2–§4.3. Note in particular that $C(\mathcal{B}) \subseteq C(\mathcal{A}) \subset K(\mathcal{A})$, where $C(\mathcal{A}) = \{[E]: 0 \neq E \in \mathcal{A}\}$ and $C(\mathcal{B}) = \{[E]: 0 \neq E \in \mathcal{B}\}$.

(c) Assumption 4.4 holds for \mathcal{B} , with $K_0(\mathcal{B}) \rightarrow K(\mathcal{B})$ and $C(\mathcal{B})$ as above. We will freely use the notation $\mathcal{M}_i, \mathcal{M}^{\text{pt}}, \mathcal{M}_i, \mathcal{M}_i^{\text{pt}}, \mathcal{E}_i^{\text{pt}}, \mathcal{X}_i, \dots$ of Assumption 4.4 and Definition 4.7, and the Lie algebra $\mathcal{H}_{\text{conn}}(\mathcal{M}^{\text{pt}})$ of Theorem 4.8.

(d) We are given a subset $C(\mathcal{B})_{\text{per}} \subseteq C(\mathcal{B})$ of permissible classes.

(e) For each $\alpha \in C(\mathcal{B})_{\text{per}}$ we are given the following data:

- (i) Open \mathbb{C} -substacks $\mathcal{M}_\alpha^{\text{pt}} \subseteq \mathcal{M}_\alpha^{\text{pt}}$ and $\mathcal{M}_\alpha = (\mathbb{P}^1)^{-1}(\mathcal{M}_\alpha^{\text{pt}}) \subseteq \mathcal{M}_\alpha$, where we write $\mathbb{P}^1 = \mathbb{P}^1_{[\lambda_1]}$; $\mathcal{M}_\alpha \rightarrow \mathcal{M}_\alpha^{\text{pt}}$. Then $\mathbb{P}^1: \mathcal{M}_\alpha \rightarrow \mathcal{M}_\alpha^{\text{pt}}$ is a principal $[+/\mathbb{G}_m]$ -bundle, and so is smooth of relative dimension -1 .
- (ii) Perfect obstruction theories $\phi_\alpha: \mathcal{F}_\alpha^* \rightarrow \mathcal{L}_{\mathcal{M}_\alpha}$, and $\psi_\alpha: \mathcal{G}_\alpha^* \rightarrow \mathcal{L}_{\mathcal{M}_\alpha^{\text{pt}}}$ on the Artin \mathbb{C} -stacks $\mathcal{M}_\alpha, \mathcal{M}_\alpha^{\text{pt}}$ as in Definition 2.9, where $\phi_\alpha: \mathcal{F}_\alpha^* \rightarrow \mathcal{L}_{\mathcal{M}_\alpha}$ should be obtained by pulling back $\psi_\alpha: \mathcal{G}_\alpha^* \rightarrow \mathcal{L}_{\mathcal{M}_\alpha^{\text{pt}}}$ along the smooth morphism $\mathbb{P}^1: \mathcal{M}_\alpha \rightarrow \mathcal{M}_\alpha^{\text{pt}}$, as in Definition 2.13.
- (iii) A finite-dimensional \mathbb{C} -vector space U_α with $\dim U_\alpha = \alpha_\alpha \geq 0$, and a distinguished triangle in $\text{Perf}(\mathcal{M}_\alpha)$:

$$U_\alpha \otimes \mathcal{O}_{\mathcal{M}_\alpha}[1] \xrightarrow{\text{res}_\alpha} \Delta_{\mathcal{M}_\alpha}^*(\mathcal{E}_{\alpha, \alpha}^*[-1]) \xrightarrow{\text{res}_\alpha} \mathcal{F}_\alpha^* \xrightarrow{\text{res}_\alpha} U_\alpha \otimes \mathcal{O}_{\mathcal{M}_\alpha}[2]. \quad (5.1)$$

where $\Delta_{\mathcal{M}_\alpha}^*: \mathcal{M}_\alpha \rightarrow \mathcal{M}_\alpha \times \mathcal{M}_\alpha \subseteq \mathcal{M}_\alpha \times \mathcal{M}_\alpha$ is the diagonal morphism and $\mathcal{E}_{\alpha, \alpha}^* \rightarrow \mathcal{M}_\alpha \times \mathcal{M}_\alpha$ is as in Assumption 4.4(f). It follows that $\text{rank } \mathcal{F}_\alpha^* = \alpha_\alpha - \chi(\alpha, \alpha)$, so $\text{rank } \mathcal{G}_\alpha^* = \alpha_\alpha + 1 - \chi(\alpha, \alpha)$ by (i), (ii).

... (+6 more pages).

(f) Suppose $\alpha, \beta \in C(\mathcal{B})_{\text{per}}$ with $\alpha + \beta \in C(\mathcal{B})_{\text{per}}$. Define an open substack $\mathcal{M}_{\alpha, \beta} = (\mathcal{M}_\alpha \times \mathcal{M}_\beta) \cap \Phi_{\alpha, \beta}^{-1}(\mathcal{M}_{\alpha, \beta}) \subseteq \mathcal{M}_\alpha \times \mathcal{M}_\beta$, for $\Phi_{\alpha, \beta}$ as in Assumption 4.4(b), (d), and write $\Phi_{\alpha, \beta}: \mathcal{M}_{\alpha, \beta} \rightarrow \mathcal{M}_{\alpha, \beta}$. Then the following should commute in $\text{Perf}(\mathcal{M}_{\alpha, \beta})$:

$$\begin{array}{ccc} (\Delta_{\mathcal{M}_{\alpha, \beta}} \circ \Phi_{\alpha, \beta}^*)^* & & \Phi_{\alpha, \beta}^*(\mathbb{L}_{\mathcal{M}_{\alpha, \beta}}) \\ (\mathcal{E}_{\alpha, \beta}^*[-1]) & \xrightarrow{\Phi_{\alpha, \beta}^*(\psi_{\alpha, \beta})} & \downarrow \\ \downarrow \text{from (4.3)–(4.4)} & & \downarrow \text{is}_{\alpha, \beta} \\ (\Delta_{\mathcal{M}_\alpha} \circ \mathbb{P}_{\mathcal{M}_\alpha}^*)^*(\mathcal{E}_{\alpha, \alpha}^*[-1]) \oplus (\Delta_{\mathcal{M}_\beta} \circ \mathbb{P}_{\mathcal{M}_\beta}^*)^*(\mathcal{E}_{\beta, \beta}^*[-1]) & & \downarrow \\ \oplus \mathcal{E}_{\alpha, \beta}^*[\mathcal{X}_{\alpha, \beta}[-1]] \oplus \sigma_{\alpha, \beta}^*(\mathcal{E}_{\beta, \alpha}^*[\mathcal{X}_{\alpha, \beta}[-1]]) & & \downarrow \\ \downarrow \text{project to first two factors} & & \downarrow \\ (\Delta_{\mathcal{M}_\alpha} \circ \mathbb{P}_{\mathcal{M}_\alpha}^*)^*(\mathcal{E}_{\alpha, \alpha}^*[-1]) & \oplus & \mathbb{L}_{\mathcal{M}_{\alpha, \beta}} \cong \\ \oplus (\Delta_{\mathcal{M}_\beta} \circ \mathbb{P}_{\mathcal{M}_\beta}^*)^*(\mathcal{E}_{\beta, \beta}^*[-1]) & & \mathbb{P}_{\mathcal{M}_{\alpha, \beta}}^*(\mathbb{L}_{\mathcal{M}_\alpha}) \oplus \mathbb{P}_{\mathcal{M}_{\alpha, \beta}}^*(\mathbb{L}_{\mathcal{M}_\beta}). \end{array} \quad (5.2)$$

We also require that $\dim U_\alpha + \dim U_\beta \geq \dim U_{\alpha, \beta}$, that is, $\alpha_\alpha + \alpha_\beta \geq \alpha_{\alpha, \beta}$.

(g) We are given a set $\{(B_k, F_k, \lambda_k): k \in K\}$, where for each $k \in K$:

- (i) $B_k \subseteq \mathcal{B} \subset \mathcal{A}$ is a full exact subcategory, closed under isomorphisms and direct summands in \mathcal{A} as in (a), such that $E \in B_k$ is an open condition on \mathbb{C} -points $[E]$ in $\mathcal{M}, \mathcal{M}^{\text{pt}}$.
- (ii) $\mathcal{B}_k \subseteq \mathcal{M}^{\text{pt}}$ which are the moduli stacks of objects in B_k . We write $\mathcal{M}_{k, \alpha} = \mathcal{M}_\alpha \cap \mathcal{M}_k$, $\mathcal{M}_{k, \alpha}^{\text{pt}} = \mathcal{M}_\alpha^{\text{pt}} \cap \mathcal{M}_k^{\text{pt}}$ for $\alpha \in K(B_k)$. We write $C(\mathcal{B}_k) = \{[E]: 0 \neq E \in B_k\} \subseteq C(\mathcal{B})$.
- (iii) $F_k: \mathcal{A} \rightarrow \text{Vect}_{\mathbb{C}}$ is a \mathbb{C} -linear functor. Its restriction $F_k|_{B_k}: B_k \rightarrow \text{Vect}_{\mathbb{C}}$ is an exact functor (i.e. it preserves short exact sequences), which extends to moduli functors, so it induces morphisms of moduli stacks

$$\begin{aligned} f_k: \mathcal{M}_k &\rightarrow \mathcal{M}_{\text{Vect}_{\mathbb{C}}} = \prod_{\mathbb{C}[d]}^* / \text{GL}(d, \mathbb{C}), \\ f_k^*: \mathcal{M}_k^{\text{pt}} &\rightarrow \mathcal{M}_{\text{Vect}_{\mathbb{C}}}^{\text{pt}} = \prod_{\mathbb{C}[d]}^* / \text{PGL}(d, \mathbb{C}). \end{aligned}$$

There is a tautological vector bundle $V_{\text{Vect}_{\mathbb{C}}} \rightarrow \mathcal{M}_{\text{Vect}_{\mathbb{C}}}$. We write $V_k = f_k^*(V_{\text{Vect}_{\mathbb{C}}})$. Then $V_k \rightarrow \mathcal{M}_k$ is a vector bundle with canonical isomorphisms $V_k|_{[E]} \cong F_k(E)$ for all $E \in B_k$. We write $V_{k, \alpha} = V_k|_{\mathcal{M}_{k, \alpha}}$.

Since F_k takes direct sums to direct sums, and is \mathbb{C} -linear, and is similar w.r.t. to (4.3)–(4.6), for all $\alpha, \beta \in K(B_k)$ we have isomorphisms

$$\begin{aligned} \Phi_{\alpha, \beta}^*(V_{k, \alpha, \beta}) &\cong V_{k, \alpha} \otimes V_{k, \beta}, & (5.3) \\ \Phi_{\alpha}^*(V_{k, \alpha}) &\cong L_{\alpha} \otimes_{\mathbb{C}[1]} \otimes V_{k, \alpha}. & (5.4) \end{aligned}$$

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(b) The inclusion $i: \mathcal{B} \rightarrow \mathcal{A}$ induces a morphism $i_*: K_0(\mathcal{B}) \rightarrow K_0(\mathcal{A})$. We should be given a surjective quotient $K_0(\mathcal{A}) \rightarrow K(\mathcal{A})$, which we use for defining (weak) stability conditions on \mathcal{A} as in §3.1. Write $K(\mathcal{B})$ for the image of $i_*(K_0(\mathcal{B}))$ in $K(\mathcal{A})$, so we have a commutative diagram of abelian groups:

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We use the quotient $K_0(\mathcal{B}) \rightarrow K(\mathcal{B})$ in the vertex and Lie algebra theory for \mathcal{B} in §4.2–§4.3. Note in particular that $C(\mathcal{B}) \subseteq C(\mathcal{A}) \subset K(\mathcal{A})$, where $C(\mathcal{A}) = \{[E]: 0 \neq E \in \mathcal{A}\}$ and $C(\mathcal{B}) = \{[E]: 0 \neq E \in \mathcal{B}\}$.

(c) Assumption 4.4 holds for \mathcal{B} , with $K_0(\mathcal{B}) \rightarrow K(\mathcal{B})$ and $C(\mathcal{B})$ as above. We will freely use the notation $\mathcal{M}_i, \mathcal{M}^{\text{pr}}, \mathcal{M}_{\text{cl}}, \mathcal{M}_{\text{cl}}^{\text{pr}}, \mathcal{E}^{\text{pr}}, \mathcal{X}, \dots$ of Assumption 4.4 and Definition 4.7, and the Lie algebra $\mathcal{H}_{\text{cons}}(\mathcal{M}^{\text{pr}})$ of Theorem 4.8.

(d) We are given a subset $C(\mathcal{B})_{\text{per}} \subseteq C(\mathcal{B})$ of permissible classes.

(e) For each $\alpha \in C(\mathcal{B})_{\text{per}}$ we are given the following data:

- (i) Open \mathbb{C} -substacks $\mathcal{M}_\alpha^{\text{pr}} \subseteq \mathcal{M}_\alpha^{\text{cl}}$ and $\mathcal{M}_{\alpha,0} = (\Pi_{\mathbb{C}}^{\text{pr}})^{-1}(\mathcal{M}_\alpha^{\text{pr}}) \subseteq \mathcal{M}_{\alpha,0}$, where we write $\Pi_{\mathbb{C}}^{\text{pr}} = \Pi_{\mathbb{C}}^{\text{pr}}|_{\mathcal{M}_{\alpha,0}}: \mathcal{M}_{\alpha,0} \rightarrow \mathcal{M}_\alpha^{\text{pr}}$. Then $\Pi_{\mathbb{C}}^{\text{pr}}: \mathcal{M}_{\alpha,0} \rightarrow \mathcal{M}_\alpha^{\text{pr}}$ is a principal $[\pm]/G_{\text{pr}}$ -bundle, and α is smooth of relative dimension -1 .
- (ii) Perfect obstruction theories $\phi_\alpha: \mathcal{F}_\alpha^* \rightarrow \mathcal{L}_{\mathcal{M}_{\alpha,0}}$ and $\psi_\alpha: \mathcal{G}_\alpha^* \rightarrow \mathcal{L}_{\mathcal{M}_\alpha^{\text{pr}}}$ on the Artin \mathbb{C} -stacks $\mathcal{M}_{\alpha,0}, \mathcal{M}_\alpha^{\text{pr}}$ as in Definition 2.9, where $\phi_\alpha: \mathcal{F}_\alpha^* \rightarrow \mathcal{L}_{\mathcal{M}_{\alpha,0}}$ should be obtained by pulling back $\psi_\alpha: \mathcal{G}_\alpha^* \rightarrow \mathcal{L}_{\mathcal{M}_\alpha^{\text{pr}}}$ along the smooth morphism $\Pi_{\mathbb{C}}^{\text{pr}}: \mathcal{M}_{\alpha,0} \rightarrow \mathcal{M}_\alpha^{\text{pr}}$, as in Definition 2.13.
- (iii) A finite-dimensional \mathbb{C} -vector space U_α with $\dim U_\alpha = \alpha_\alpha \geq 0$, and a distinguished triangle in $\text{Perf}(\mathcal{M}_{\alpha,0})$:

$$U_\alpha \otimes \mathcal{O}_{\mathcal{M}_{\alpha,0}}[1] \xrightarrow{\text{res}_\alpha} \Delta_{\mathcal{M}_{\alpha,0}}^*(\mathcal{E}_{\alpha,0}^*)[-1] \xrightarrow{\text{res}_\alpha} \mathcal{F}_\alpha^* \xrightarrow{\text{res}_\alpha} U_\alpha \otimes \mathcal{O}_{\mathcal{M}_{\alpha,0}}[2]. \quad (5.1)$$

where $\Delta_{\mathcal{M}_{\alpha,0}}^*: \mathcal{M}_{\alpha,0} \rightarrow \mathcal{M}_\alpha \times \mathcal{M}_\alpha \subseteq \mathcal{M}_\alpha \times \mathcal{M}_\alpha$ is the diagonal morphism and $\mathcal{E}_{\alpha,0}^* \rightarrow \mathcal{M}_\alpha \times \mathcal{M}_\alpha$ is as in Assumption 4.4(f). It follows that $\text{rank } \mathcal{F}_\alpha^* = \alpha_\alpha - \chi(\alpha, \alpha)$, so $\text{rank } \mathcal{G}_\alpha^* = \alpha_\alpha + 1 - \chi(\alpha, \alpha)$ by (i), (ii).

(f) Suppose $\alpha, \beta \in C(\mathcal{B})_{\text{per}}$ with $\alpha + \beta \in C(\mathcal{B})_{\text{per}}$. Define an open substack $\mathcal{M}_{\alpha,\beta} = (\mathcal{M}_\alpha \times \mathcal{M}_\beta) \cap \Phi_{\alpha,\beta}^{-1}(\mathcal{M}_{\alpha,\beta}) \subseteq \mathcal{M}_\alpha \times \mathcal{M}_\beta$, for $\Phi_{\alpha,\beta}$ as in Assumption 4.4(b), (d), and write $\Phi_{\alpha,\beta}: \mathcal{M}_{\alpha,\beta} \rightarrow \mathcal{M}_{\alpha,\beta}$. Then the following should commute in $\text{Perf}(\mathcal{M}_{\alpha,\beta})$:

$$\begin{array}{ccc} (\Delta_{\mathcal{M}_{\alpha,\beta}} \circ \Phi_{\alpha,\beta})^* & & \Phi_{\alpha,\beta}^*(\mathbb{L}_{\mathcal{M}_{\alpha,\beta}}) \\ (\mathcal{E}_{\alpha,\beta}^* \oplus \mathcal{E}_{\alpha,\beta}^*)[-1] & \xrightarrow{\Phi_{\alpha,\beta}^*(\psi_{\alpha,\beta} \oplus \psi_{\beta,\alpha,\beta})} & \Phi_{\alpha,\beta}^*(\mathbb{L}_{\mathcal{M}_{\alpha,\beta}}) \\ \downarrow \text{[from (4.3)–(4.4)]} & & \downarrow \text{[5.2]} \\ (\Delta_{\mathcal{M}_\alpha} \oplus \Pi_{\mathcal{M}_\alpha}^*)^*(\mathcal{E}_{\alpha,\alpha}^*)[-1] \oplus (\Delta_{\mathcal{M}_\beta} \oplus \Pi_{\mathcal{M}_\beta}^*)^*(\mathcal{E}_{\beta,\beta}^*)[-1] & & \mathbb{L}_{\mathcal{M}_{\alpha,\beta}} \\ \oplus \mathcal{E}_{\alpha,\beta}^* \oplus \mathcal{E}_{\beta,\alpha}^*[-1] \oplus \sigma_{\alpha,\beta}^*(\mathcal{E}_{\beta,\alpha}^*) \oplus \mathcal{E}_{\alpha,\beta}^*[-1] & & \\ \downarrow \text{[project to first two factors]} & & \\ (\Delta_{\mathcal{M}_\alpha} \oplus \Pi_{\mathcal{M}_\alpha}^*)^*(\mathcal{E}_{\alpha,\alpha}^*)[-1] & \oplus & \Pi_{\mathcal{M}_\beta}^*(\mathcal{E}_{\beta,\beta}^*) \oplus \Pi_{\mathcal{M}_\alpha}^*(\mathbb{L}_{\mathcal{M}_\beta}) \\ \oplus (\Delta_{\mathcal{M}_\beta} \oplus \Pi_{\mathcal{M}_\beta}^*)^*(\mathcal{E}_{\beta,\beta}^*)[-1] & & \Pi_{\mathcal{M}_\alpha}^*(\mathbb{L}_{\mathcal{M}_\alpha}) \oplus \Pi_{\mathcal{M}_\beta}^*(\mathbb{L}_{\mathcal{M}_\beta}). \end{array}$$

We also require that $\dim U_\alpha + \dim U_\beta \geq \dim U_{\alpha,\beta}$, that is, $\alpha_\alpha + \alpha_\beta \geq \alpha_{\alpha,\beta}$.

(g) We are given a set $\{(B_k, F_k, \lambda_k): k \in K\}$, where for each $k \in K$:

- (i) $B_k \subseteq \mathcal{B} \subset \mathcal{A}$ is a full exact subcategory, closed under isomorphisms and direct summands in \mathcal{A} as in (a), such that $E \in B_k$ is an open condition on \mathbb{C} -points $[E]$ in $\mathcal{M}, \mathcal{M}^{\text{pr}}$.
- (ii) $B_k \subseteq \mathcal{M}^{\text{pr}}$ which are the moduli stacks of objects in B_k . We write $\mathcal{M}_{k,0} = \mathcal{M}_\alpha \cap \mathcal{M}_k$, $\mathcal{M}_{k,0}^{\text{pr}} = \mathcal{M}_\alpha^{\text{pr}} \cap \mathcal{M}^{\text{pr}}$ for $\alpha \in K(B_k)$. We write $C(B_k) = \{[E]: 0 \neq E \in B_k\} \subseteq C(\mathcal{B})$.
- (iii) $F_k: \mathcal{A} \rightarrow \text{Vect}_{\mathbb{C}}$ is a \mathbb{C} -linear functor. Its restriction $F_k|_{B_k}: B_k \rightarrow \text{Vect}_{\mathbb{C}}$ is an exact functor (i.e. it preserves short exact sequences), which extends to moduli functors, so it induces morphisms of moduli stacks

$$\begin{aligned} f_k: \mathcal{M}_k &\rightarrow \mathcal{M}_{\text{Vect}_{\mathbb{C}}} = \prod_{\mathbb{C}[d]} \text{GL}(d, \mathbb{C}), \\ f_k^{\text{pr}}: \mathcal{M}_k^{\text{pr}} &\rightarrow \mathcal{M}_{\text{Vect}_{\mathbb{C}}}^{\text{pr}} = \prod_{\mathbb{C}[d]} \text{PGL}(d, \mathbb{C}). \end{aligned}$$

There is a tautological vector bundle $V_{\text{Vect}_{\mathbb{C}}} \rightarrow \mathcal{M}_{\text{Vect}_{\mathbb{C}}}$. We write $V_k = f_k^*(V_{\text{Vect}_{\mathbb{C}}})$. Then $V_k \rightarrow \mathcal{M}_k$ is a vector bundle with canonical isomorphisms $V_k|_{[E]} \cong F_k(E)$ for all $E \in B_k$. We write $V_{k,\alpha} = V_k|_{\mathcal{M}_{k,\alpha}}$.

Since F_k takes direct sums to direct sums, and is \mathbb{C} -linear, and is similar w.r.t. to (4.3)–(4.6), for all $\alpha, \beta \in K(B_k)$ we have isomorphisms

$$\Phi_{\alpha,\beta}^*(V_{k,\alpha+\beta}) \cong V_{k,\alpha} \oplus V_{k,\beta}, \quad (5.3)$$

$$\Phi_{\alpha,\beta}^*(V_{k,\alpha}) \cong \mathcal{L}_{\sigma_{\alpha,\beta}^*} \oplus V_{k,\alpha}. \quad (5.4)$$

... (+6 more pages). We will work in an easy case for simplicity.

Joyce's vertex algebra

Let X be a smooth projective variety, and \mathfrak{M}_α be a moduli of coherent sheaves \mathcal{E} on X of class α .

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Fact (about (Mochizuki-style) master spaces in such a setting)

*Assume that the wall is **simple**, i.e.*

- ▶ *strictly τ_0 -semistable objects split into ≤ 2 τ_0 -stable pieces.*

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Then a master space \mathbb{M} exists and

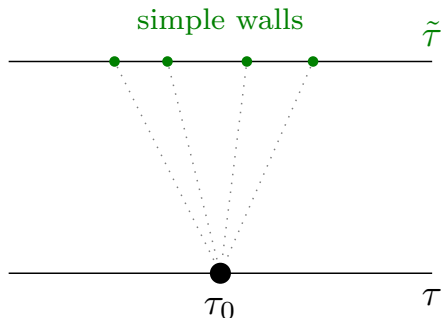
$$\begin{aligned}\mathbb{M}_0 &= \{\mathcal{E} = \mathcal{E}_1 \oplus \mathcal{E}_2 : \tau_0(\mathcal{E}_1) = \tau_0(\mathcal{E}_2)\} \\ &= \bigsqcup_{\substack{\alpha = \alpha_1 + \alpha_2 \\ \tau_0(\alpha_1) = \tau_0(\alpha_2)}} \mathfrak{M}_{\alpha_1}^{\text{sst}}(\tau_0) \times \mathfrak{M}_{\alpha_2}^{\text{sst}}(\tau_0)\end{aligned}$$

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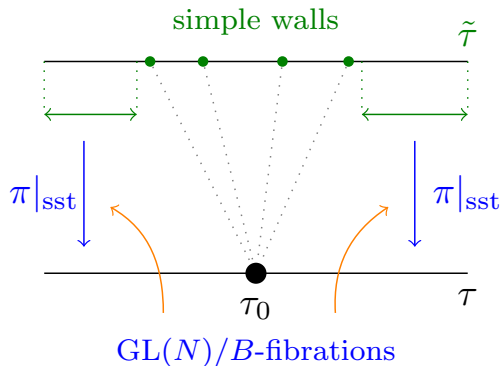
$$\mathfrak{M}_{\alpha, \mathbf{d}}^{Q(N)}$$

$$\pi$$

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Joyce's vertex algebra

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Joyce's vertex algebra

Let $\mathbb{M}_0^{\alpha_1, \alpha_2} := \mathfrak{M}_{\alpha_1}^{\text{sst}}(\tau_0) \times \mathfrak{M}_{\alpha_2}^{\text{sst}}(\tau_0)$ for short.

Theorem (Joyce, '21)

Let $Y(-, u): H_*(\mathfrak{M}_{\alpha_1}) \otimes H_*(\mathfrak{M}_{\alpha_2}) \rightarrow H_*(\mathfrak{M}_{\alpha})((u))$ be the natural operation such that

$$\int_{\mathbb{M}_0^{\alpha_1, \alpha_2}} \frac{\xi|_{\mathbb{M}_0^{\alpha_1, \alpha_2}}}{e(\mathcal{N}_{\mathbb{M}_0^{\alpha_1, \alpha_2}/\mathbb{M}})} = \left(Y([\mathfrak{M}_{\alpha_1}^{\text{sst}}(\tau_0)], u) [\mathfrak{M}_{\alpha_2}^{\text{sst}}(\tau_0)] \right) \cap \xi.$$

Then $H_*(\mathfrak{M})$ has a **vertex algebra** structure with state-field correspondence $Y(-, u)$.

An explicit formula for $Y(-, u)$

Let

$$\oplus: \mathfrak{M}_\alpha \times \mathfrak{M}_\beta \rightarrow \mathfrak{M}_{\alpha+\beta}$$

$$\Psi: [\text{pt}/\mathbb{C}^\times] \times \mathfrak{M}_\alpha \rightarrow \mathfrak{M}_\alpha$$

be the **direct sum** and the **scaling of automorphisms** on objects.

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$$(Y(a, u)b) \cap \xi := (a \boxtimes b) \cap \left(\frac{1}{e(\mathcal{N}_\oplus)} \cup (\Psi \times \text{id})^* \oplus^* \xi \right).$$

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Exercise (Proof of Joyce's theorem)

Verify, using this explicit formula, that $Y(-, u)$ satisfies the vertex algebra axioms.

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Verify, using this explicit formula, that $Y(-, u)$ satisfies the vertex algebra axioms.

This is purely formal and uses only that the normal bundle \mathcal{N}_\oplus is a **bilinear function** of \mathbb{C}^\times -weight 1 objects.

An explicit formula for $Y(-, u)$

Example

Let \mathcal{E} be the universal sheaf on $\mathfrak{M} \times X$. Then

$$\tau(\gamma) := \text{pr}_{1*}(\text{ch}(\mathcal{E}) \cup \text{pr}_2^*(\gamma)) \in H^*(\mathfrak{M})$$

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$$\oplus^* \mathcal{E} = \text{pr}_{12}^* \mathcal{E} \oplus \text{pr}_{13}^* \mathcal{E}$$

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$$\oplus^* \tau(\gamma) = \tau(\gamma) \boxtimes 1 + 1 \boxtimes \tau(\gamma)$$

$$\Psi^* \tau(\gamma) = e^u \tau(\gamma).$$

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Theorem (J. Gross, '19)

Suppose X is of *class D*; roughly:

- ▶ $\mathfrak{M} = \bigsqcup_{\alpha \in K_0^{\text{Top}}(X)} \mathfrak{M}_\alpha$ is a decomposition into connected components;
- ▶ $H^*(\mathfrak{M}_\alpha)$ is a free \mathbb{Q} -algebra generated by descendents.

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Then Joyce's vertex algebra on $H_*(\mathfrak{M})$ is a (*super-*)lattice vertex algebra on the (*super-*)lattice $(K_0^{\text{Top}}(X) \oplus K_1^{\text{Top}}(X), \chi)$.

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Proof.

By reconstruction theorem and explicit calculation. □

Joyce's vertex algebra

Problem

Where is the conformal field theory? What is the physical meaning of all this?

Lifting from cohomology to K-theory

The “cohomology to K-theory” dictionary:

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$$\begin{array}{c} H_*^{\text{BM}}(\mathfrak{M}) \\ \int_M \xi \\ e(\mathcal{N}) = \prod_{\mathcal{L}} c_1(\mathcal{L}) \\ H_*(\mathfrak{M}) \end{array} \left| \right.$$

Lifting from cohomology to K-theory

The “cohomology to K-theory” dictionary:

$$\begin{array}{l|l} H_*^{\text{BM}}(\mathfrak{M}) & K(\mathfrak{M}) := K_0(\text{Coh}(\mathfrak{M})) \\ \int_M \xi & \chi(M, \mathcal{F}) \\ e(\mathcal{N}) = \prod_{\mathcal{L}} c_1(\mathcal{L}) & \wedge_{-1}^{\bullet}(\mathcal{N}^{\vee}) = \prod_{\mathcal{L}} (1 - \mathcal{L}^{\vee}) \\ H_*(\mathfrak{M}) & \text{a K-homology group } K_0(\mathfrak{M}) \end{array}$$

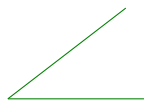
Lifting from cohomology to K-theory

The “cohomology to **equivariant** K-theory” dictionary:

$$\begin{array}{l} H_*^{\text{BM}}(\mathfrak{M}) \\ \int_M \xi \\ e(\mathcal{N}) = \prod_{\mathcal{L}} c_1(\mathcal{L}) \\ H_*(\mathfrak{M}) \end{array} \left| \begin{array}{l} K_{\mathbb{T}}(\mathfrak{M}) := K_0(\text{Coh}_{\mathbb{T}}(\mathfrak{M})) \\ \chi(M, \mathcal{F}) \\ \wedge_{-1}^{\bullet}(\mathcal{N}^{\vee}) = \prod_{\mathcal{L}} (1 - \mathcal{L}^{\vee}) \\ \text{a K-homology group } K_{\circ}^{\mathbb{T}}(\mathfrak{M}) \end{array} \right.$$

$\mathbb{T} = (\mathbb{C}^{\times})^r$ ($r \geq 0$) is a split torus.

Lifting from cohomology to K-theory



$$\begin{aligned} \text{Spec } H_{\mathbb{C}^\times}(\text{pt}) \\ = \mathbb{C} \end{aligned}$$

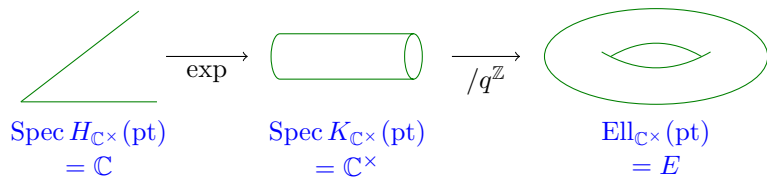


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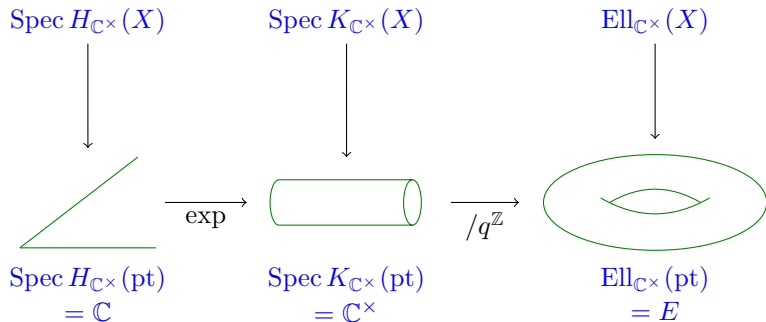


$$\begin{aligned} \text{Ell}_{\mathbb{C}^\times}(\text{pt}) \\ = E \end{aligned}$$

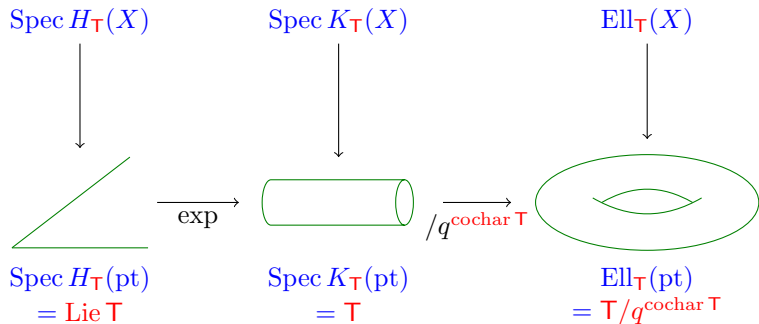
Lifting from cohomology to K-theory



Lifting from cohomology to K-theory



Lifting from cohomology to K-theory



Joyce's vertex algebra, in equivariant K-theory

Theorem (L. '22)

Let

$Y(-, z): K_{\circ}^T(\mathfrak{M}_{\alpha_1}) \otimes K_{\circ}^T(\mathfrak{M}_{\alpha_2}) \rightarrow K_{\circ}^T(\mathfrak{M}_{\alpha})[[1-z]][(1-zt^{\mu})^{-1}]$
be the natural operation such that

$$\chi \left(\mathbb{M}_0^{\alpha_1, \alpha_2}, \frac{\mathcal{F}|_{\mathbb{M}_0^{\alpha_1, \alpha_2}}}{\wedge_{-1}^{\bullet}(\mathcal{N}_{\mathbb{M}_0^{\alpha_1, \alpha_2}/\mathbb{M}}^{\vee})} \right) = (Y(Z_{\alpha_1}(\tau_0), z)Z_{\alpha_2}(\tau_0))(\mathcal{F})).$$

Then $K_{\circ}^T(\mathfrak{M})$ has a *equivariant multiplicative vertex algebra* structure with state-field correspondence $Y(-, z)$.

Equivariant multiplicative vertex algebras

Definition

A **vertex algebra** is the data of:

- ▶ a \mathbb{Z} -module V and a **vacuum vector** $\mathbf{1} \in V$;
- ▶ a **translation operator** $D(u): V \rightarrow V[[u]]$;
- ▶ a **state-field correspondence**

$$Y(-, u): V \otimes V \rightarrow V((u)).$$

This data must satisfy the axioms:

1. (vacuum) $Y(\mathbf{1}, u) = \text{id}$ and $Y(a, u)\mathbf{1} = D(u)a$;
2. (skew symmetry) $Y(a, u)b = D(u)Y(b, u)a$;
3. (weak associativity) $Y(Y(a, u)b, v) \equiv Y(a, u + v)Y(b, v)$

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Equivariant multiplicative vertex algebras

Definition (L. '22)

A **T-equivariant multiplicative vertex algebra** is the data of:

- ▶ a $\mathbb{Z}[t^\mu]$ -module V and a **vacuum vector** $\mathbf{1} \in V$;
- ▶ a **translation operator** $D(z): V \rightarrow V[[1 - z]]$;
- ▶ a **state-field correspondence**

$$Y(-, z): V \otimes V \rightarrow V[[1 - z]] \left[(1 - z^i t^\mu)^{-1} \right].$$

This data must satisfy the axioms:

1. (vacuum) $Y(\mathbf{1}, z) = \text{id}$ and $Y(a, z)\mathbf{1} = D(z)a$;
2. (skew symmetry) $Y(a, z)b = D(z)Y(b, z)a$;
3. (weak associativity) $Y(Y(a, z)b, w) \equiv Y(a, zw)Y(b, w)$
where \equiv means matrix elements of both sides are the **multiplicative** expansion of the same element in

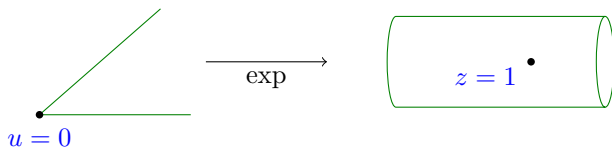
$$\mathbb{Z}[[1 - z, 1 - w]] \left[(1 - z^i t^\mu)^{-1}, (1 - w^j t^\nu)^{-1}, (1 - z^i w^j t^\rho)^{-1} \right].$$

Equivariant multiplicative vertex algebras

In other words, singularities exist at:

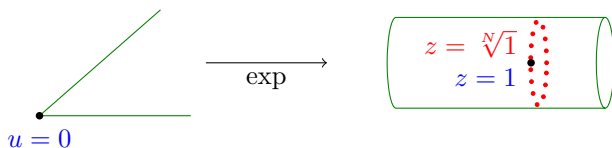
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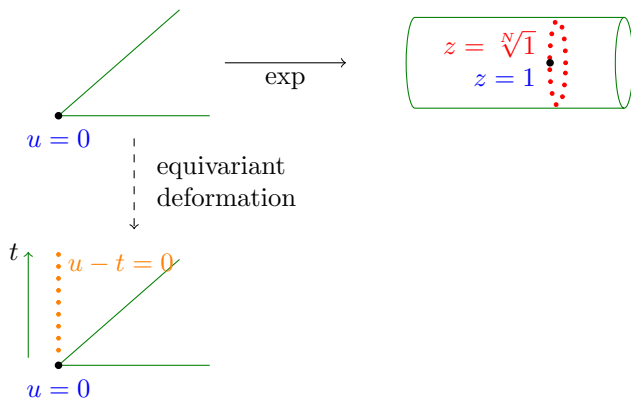
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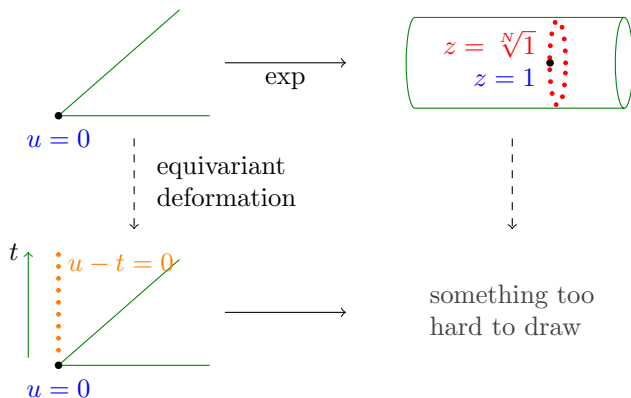
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Equivariant multiplicative vertex algebras

Many familiar structures/results lift, e.g.:

- ▶ (locality) $Y(a, z)Y(b, w) \equiv Y(b, w)Y(a, z)$;
- ▶ (OPE)

$$:Y(a, z)Y(b, w): := \text{singular terms } \frac{f(w)}{(1-z^i w^j t^\mu)^n} \\ \text{in } Y(Y(a, zw)b, w)$$

Equivariant multiplicative vertex algebras

But there is still much work that can be done!

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Problem

Define a *multiplicative* (“quantized”?) *Zhu algebra* and associated variety.

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Prove a *reconstruction theorem*. What is a (equivariant) *multiplicative lattice vertex algebra*?

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Define a *multiplicative* (“*quantized*”?) *Zhu algebra* and associated variety.

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Prove a *reconstruction theorem*. What is a (equivariant) *multiplicative lattice vertex algebra*?

Problem (Possibly harder...)

Does it make sense to introduce a *conformal element*? What are *equivariant multiplicative/K-theoretic Virasoro constraints*?

K-homology groups

What is $K_o^T(\mathfrak{M})$?

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- ▶ allow for **equivariant localization**;
- ▶ (!) have a (non-equivariant) evaluation pairing $K_0(\mathfrak{M}) \otimes K^\circ(\mathfrak{M}) \rightarrow K^\circ(\text{pt})$ such that

$$\phi \otimes (1 - \mathcal{L})^{\otimes N} \mapsto 0 \quad \forall N \gg 0.$$

Here $K^\circ(\mathfrak{M}) := K_0(D_{\text{Perf}}(\mathfrak{M}))$.

K-homology groups

Definition (L. '22)

Roughly,

$$K_{\circ}^T(\mathfrak{M}) \subset \text{Hom}_{K_T(\text{pt})}(K_T^{\circ}(\mathfrak{M}), K_T(\text{pt}))$$

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So it contains “**universal**” **enumerative invariants**

$$Z_{\alpha}(\tau) := \left[\mathcal{E} \mapsto \chi(\mathfrak{M}_{\alpha}^{\mathrm{sst}}(\tau), \mathcal{E}) \right]$$

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This module is **basically uncomputable**.

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Problem

Find a smaller, more explicitly computable $K_T(\text{pt})$ -module $K_O^T(\mathfrak{M})$ which still satisfies all the desired properties.

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What is the analogue of Gross's theorem?

Universal wall-crossing formulas

Returning to wall-crossing...

Proposition (Borcherds '86)

Let $(V, \mathbf{1}, D(u), Y(-, u))$ be a vertex algebra. Then

$$[a, b] := \operatorname{Res}_u Y(a, u)b \, du$$

is a Lie bracket on $V / \operatorname{im}(\operatorname{id} - D(u))$.

Universal wall-crossing formulas

Returning to wall-crossing...

Proposition (L. '22)

Let $(V, \mathbf{1}, D(z), Y(-, z))$ be an equivariant multiplicative vertex algebra. Suppose it is *reduced*, i.e. $Y(-, z)$ takes values in

$$V[[1-z]] \left[(1-zt^\mu)^{-1} \right] \subset V[[1-z]] \left[(1-z^i t^\mu)^{-1} \right].$$

Then

$$[a, b] := \sum_{\mu} \operatorname{Res}_{zt^\mu=1} Y(a, z)b \frac{dz}{z}$$

is a Lie bracket on $V / \operatorname{im}(\operatorname{id} - D(z))$.

Universal wall-crossing formulas

Theorem (Joyce '21, L. '22)

For $\dim X \leq 2$ and appropriately-defined enumerative invariants $Z_\alpha(\tau)$, in (equivariant) (K-)homology,

$$Z_\alpha(\tau') = \sum_{\substack{n \geq 1 \\ \alpha_1 + \dots + \alpha_n = \alpha \\ \forall i: \tau(\alpha_i) = \tau(\alpha)}} \tilde{U}(\alpha_1, \dots, \alpha_n; \tau, \tau') \cdot [[\dots [Z_{\alpha_1}(\tau), Z_{\alpha_2}(\tau)], \dots], Z_{\alpha_n}(\tau)]$$

where the \tilde{U} are *universal wall-crossing coefficients*.

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Theorem (Bojko–Kuhn–L.–Thimm, later in '25)

The *same* also holds for $\dim X = 4$, assuming (???)

Universal wall-crossing formulas

Defining and computing the “coproduct”

$$[a, b] \cap \xi =: (a \boxtimes b) \cap \Delta \xi,$$

and its iterates $\Delta^{k+1} := (\Delta \otimes \text{id})^k \Delta$, is therefore of utmost importance for wall-crossing applications.

Universal wall-crossing formulas

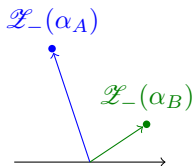
Example

Let α_A and α_B be classes such that:

Universal wall-crossing formulas

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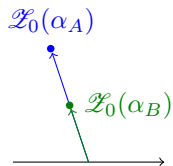
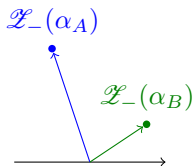
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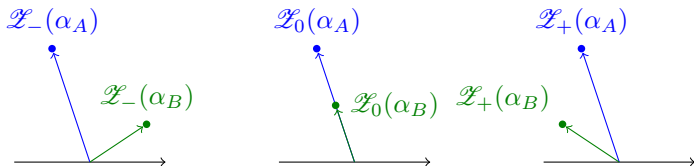
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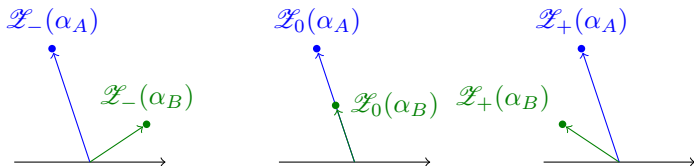
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Simplest possible wall-crossing formula:

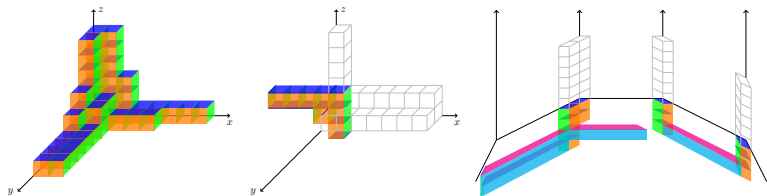
$$Z_n(\tau_+) = \exp\left(\text{ad}_{Z_0(\tau_-)}\right) Z_n(\tau_-)$$

where $Z_n(\tau) := \sum_{m \in \mathbb{Z}} Q^m Z_{n\alpha_A + m\alpha_B}(\tau)$.

Universal wall-crossing formulas

Example (continued)

In fact, such wall-crossings occur in real life, e.g. in the study of vertices in Donaldson–Thomas-like theories.



Universal wall-crossing formulas

Example (continued)

Here, for any integer partitions λ, μ, ν :

$$Z_{\lambda, \mu, \nu}(\tau^{\text{DT}}) = \exp\left(\text{ad}_{Z_0(\tau^{\text{PT}})}\right) Z_{\lambda, \mu, \nu}(\tau^{\text{PT}}).$$

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Using $\Delta(1) = (\text{scalar}) \cdot (1 \boxtimes 1)$ and

$$Z_{\emptyset, \emptyset, \emptyset}(\tau^{\text{PT}})(\xi) = \begin{cases} 1 & \xi = 1 \\ 0 & \text{otherwise,} \end{cases}$$

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one immediately obtains the

DT/PT vertex correspondence

[Pandharipande–Thomas '09, Nekrasov–Okounkov '15, proved in Kuhn–L.–Thimm '23 (messy) '25 (cleaner)]

$$\begin{aligned} Z_{\lambda, \mu, \nu}(\tau^{\text{DT}})(1) &= \left(\exp\left(\text{ad}_{Z_0(\tau^{\text{PT}})}\right) Z_{\lambda, \mu, \nu}(\tau^{\text{PT}}) \right) (1) \\ &= Z_{\emptyset, \emptyset, \emptyset}(\tau^{\text{DT}})(1) \cdot Z_{\lambda, \mu, \nu}(\tau^{\text{PT}})(1) \end{aligned}$$

Universal wall-crossing formulas

Example (continued)

In fact, in this sort of setting,

$$\Delta(1) = \text{rank}(\mathcal{N}_{\oplus}^{\text{vir}, \frac{1}{2}}) \cdot (1 \boxtimes 1)$$

so that the cohomological Lie bracket is

$$[a, b](1) = \text{rank}(\mathcal{N}_{\oplus}^{\text{vir}, \frac{1}{2}}) a(1) b(1).$$

Universal wall-crossing formulas

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In fact, in this sort of setting,

$$\Delta(1) = [\text{rank}(\mathcal{N}_{\oplus}^{\text{vir}, \frac{1}{2}})]_{\kappa} \cdot (1 \boxtimes 1)$$

so that the **K-theoretic** Lie bracket is

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Here $[n]_{\kappa}$ is a **quantum integer**, quantizing n .

Vertex coalgebras and quantum groups

Theorem (L. '22)

Let $\lambda: V^* \rightarrow (V^* \otimes V^*)((1-z)^{-1})$ be the natural operation such that

$$Y(a, z)b \cap \xi =: (a \boxtimes b)\lambda\xi.$$

Then V^* has a *(multiplicative) vertex coalgebra* structure with *vertex coproduct* λ .

Vertex coalgebras and quantum groups

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Theorem (Latyntsev '21 (cohomology), L. '22 ((critical) K-theory))

If V^* has the structure of

- ▶ a *(multiplicative) vertex coalgebra* with *vertex coproduct* λ ,
and

- ▶ a *(K-theoretic) Hall algebra* with *Hall product* $*$,

and both structures are defined using \mathcal{N}_{\oplus} , then they are compatible.

Vertex coalgebras and quantum groups

Problem

Can one use the quantum group to gain a better understanding of the vertex coproduct, beyond the compatibility theorem?

Vertex coalgebras and quantum groups

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Can one use the quantum group to gain a better understanding of the vertex coproduct, beyond the compatibility theorem?

For instance, quantum group description of the “Ext operator” $e(\mathcal{N}_\oplus)$ or $\wedge_{-1}^\bullet(\mathcal{N}_\oplus^\vee)$ should not be difficult.

Finale: some ambitious problems

Problem

Does the (multiplicative) vertex (co)algebra act on H^ or K of $\mathfrak{M}^{\text{sst}}(\mathcal{T})$?*

Finale: some ambitious problems

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Does the (multiplicative) vertex (co)algebra act on H^ or K of $\mathfrak{M}^{\text{sst}}(\tau)$?*

Possible application: enumerative invariants similar to $Z_{\lambda,\mu,\nu}(\tau^{\text{DT}})$ are conjectured to be modular or Jacobi forms (e.g. [S-duality in Vafa–Witten theory](#)); can vertex algebra techniques be used to attack such conjectures?

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Possible application: better understanding of wall-crossing for invariants in elliptic cohomology.

Finale: some ambitious problems

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Possible application: better understanding of wall-crossing for invariants in elliptic cohomology. E.g. topological conditions are known such that equivariant (virtual chiral) elliptic genus has trivial wall-crossing [L. '24].

Thank you!