

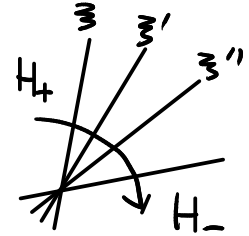
# REVIEW OF THE 1ST LECTURE

- (generalised) Donaldson invariant

$$\Phi_H^{r, c_1}(\exp \sum_{p=1}^{\infty} \alpha_p) := \sum_c \Lambda^{\dim M_H(c)} \int_{M_H(c)} \exp\left(\sum_{p=1}^{\infty} \mu_p(\alpha_p)\right) \quad (c_1, r : \text{fix})$$

- Theorem (Mochizuki) (rank = 2)

$$\Phi_{H_+}^{c_1, 2}(\exp \sum \alpha_p) - \Phi_{H_-}^{c_1, 2}(\exp \sum \alpha_p) = \sum_{\mathbb{Z} \in B_+} \delta_{\mathbb{Z}}^X(\exp \sum \alpha_p)$$



where  $\delta_{\mathbb{Z}}^X = \text{coeff of } t^{-1} \text{ in } \delta_{\mathbb{Z}, t}^X$

$$\delta_{\mathbb{Z}, t}^X := \sum_{l \geq 0} \Lambda^{4l - \mathbb{Z}^2 - 3} \int_{X_2^{[c]}} \frac{\exp\left(\sum (-1)^p [d_1(g_1) e^{\frac{\mathbb{Z}-t}{2}} + d_1(g_2) e^{-\frac{\mathbb{Z}-t}{2}}]_{p+1} / \alpha_p\right)}{e(-\text{Ext}_p^*(g_2, g_1(\mathbb{Z})) e^t) e(-\text{Ext}_p^*(g_1(\mathbb{Z}), g_2) e^{-t})}$$

- Theorem (Ellingsrud - Göttsche - Lehn, GNY)

$$(-1)^{\chi(\mathcal{O}_X) + 3(3-K_X)/2} t^{-\mathbb{Z}^2 - 2\chi(\mathcal{O}_X)} \Lambda^{\mathbb{Z}^2 + 3\chi(\mathcal{O}_X)} \delta_{\mathbb{Z}, t}^X \quad \equiv \text{universal } A_1, A_2, \dots, A_8^p$$

$$= \exp \left[ A_1 \int_X \mathbb{Z}^2 + A_2 \int_X c_1(X) \cdot \mathbb{Z} + A_3 \int_X c_1(X)^2 + A_4 \int_X c_2(X) \right. \\ \left. + \sum_p A_5^p \int_X \mathbb{Z} \cdot \alpha_p + A_6^p \int_X c_1(X) \cdot \alpha_p + A_7^{p, p'} \int_X \alpha_p \alpha_{p'} + A_8^p \int_X \alpha_p \right]$$

- $X$ : toric surface  $X^T = \{p_1, \dots, p_{24}\}$

$$\tilde{\delta}_{\mathbb{Z}, t}^X (\text{equivariant lift}) = \prod_{i=1}^{\chi} \delta_{\mathbb{Z}|_{p_i}, t}^X = \mathbb{C}^2 \quad (\text{product of local contributions at } p_i)$$

THIS IS THE INSTANTON COUNTING PARTITION FUNCTION.

# PLAN OF THE 2<sup>ND</sup> LECTURE

## PART II. Instanton Counting

2.1. Definition

2.2. Seiberg - Witten curve

mirror of the Donaldson invariants  
on  $\mathbb{C}^2 = \mathbb{R}^4$

2.3. Computation of Wall-crossing terms

2.4. A DETOUR : Geometric Engineering

~~2.5. A conjectural link to Khovanov homology~~

## PART 2. Instanton counting

### 2.1. Definition

$$X = \mathbb{C}^2 = \mathbb{R}^4$$

$M_0^{\text{reg}}(n, r)$  : framed **moduli space** of  $U(r)$ -**anti-self-dual connections** on  $\mathbb{R}^4$  with  $C_2 = n$

$$= \left\{ A : G\text{-connection on } S^4 \mid \begin{array}{l} *F_A = -F_A \\ \int_{S^4} |F_A|^2 = n < \infty \end{array} \right\} / \text{gauge transf} \\ \text{sit. } \gamma(\infty) = \text{id}$$

$\mathcal{C}^\infty$ -mfd of  $\dim_{\mathbb{R}} = 4nr$  noncompact

**Kobayashi-Hitchin correspondence :**

$$M_0^{\text{reg}}(n, r) = \text{framed moduli space of locally free sheaves on } \mathbb{P}^2 = \mathbb{C}^2 \cup \infty \\ = \left\{ (E, \Phi) \mid \begin{array}{l} E : \text{locally free sheaf, rk} = r, C_2 = n \\ \Phi : E|_{\infty} \cong \mathcal{O}_{\infty}^{\oplus r} \end{array} \right\} / \text{isom.}$$

There are TWO partial compactifications.

•  $M_0(n, r)$  : **Ohlenbeck partial compactification**

$$= \coprod_{m=0}^n M_0^{\text{reg}}(n-m, r) \times S^m \mathbb{R}^4$$

$$S^m \mathbb{R}^4 = (\mathbb{R}^4)^m / \mathbb{S}_m$$

•  $M(n, r)$  : **Gieseker partial compactification**

= framed moduli space of **torsion-free** sheaves on  $\mathbb{P}^2 = \mathbb{C}^2 \cup \ell_\infty$

**FACT.** ①  $M(n, r) \xrightarrow{\exists \pi} M_0(n, r)$  projective morphism  
 $(E, \Phi) \longmapsto (E^w, \Phi) \times \text{Supp } E^w / E$

②  $M_0(n, r)$  is an affine algebraic variety  
 $M(n, r)$  is a smooth variety

$\tilde{T} := T^{r-1} \times T^2 \leadsto M(n, r)$  by  $T^A \subset SL(r)$   
 $T^2$ : change of framing  
 $T^2$ : via the action on  $\mathbb{C}^2 = \mathbb{R}^4$

We consider the equivariant cohomology  $H_T^*(M(n, r))$ .

This is a module over  $H_T^*(\text{point}) = \mathbb{C}[\varepsilon_1, \varepsilon_2, a_1, \dots, a_r]$

(Lie  $T^2 \otimes \mathbb{C} = \mathbb{C}[\varepsilon_1, \varepsilon_2]$ , Lie  $T^{r-1} = \mathbb{C}[a_1, \dots, a_r]$ )

$$a_1 + \dots + a_r = 0$$

**Def.** (Nekrasov instanton partition function)

$$\begin{aligned}
 & \sum^{\text{inst}} (\varepsilon_1, \varepsilon_2, \vec{a}, \vec{\alpha}; \Lambda) \quad \vec{\alpha} = (\alpha_1, \alpha_2, \dots) \\
 & = \sum_{n=0}^{\infty} \Lambda^{2nr} \int_{M(n, r)} \exp\left(\sum_{p=1}^{\infty} (-1)^p \alpha_p \text{ch}_{p+1}(\mathcal{E}) / [\mathbb{C}^2]^{\alpha_p}\right)
 \end{aligned}$$

We will be mainly interested in the case  $\alpha_p \equiv 0 \quad \forall p$

$$\sum^{\text{inst}} (\varepsilon_1, \varepsilon_2, \vec{a}; \Lambda) = \sum_{n=0}^{\infty} \Lambda^{2nr} \int_{M(n, r)} 1$$

(Rem. If we are interested only in  $p=1$  term (as in the ordinary Donaldson invariants),  $\alpha_1$  can be absorbed in the change of  $\Lambda \mapsto \Lambda e^{\alpha_1/4}$ .)

Definition of  $\int_{[\mathbb{C}^2]}$  and  $\int_{M(n,r)} \star$

Localization theorem in equivariant cohomology (Segal, Atiyah-Bott)

$$v: X^T (= \text{fixed pts}) \subset X$$

$$H_T^*(X) \otimes_{H_T^*(\text{pt})} \mathbb{C}[x_1, \dots, x_r] \xleftarrow[\cong]{v^*} H_T^*(X^T) \otimes_{H_T^*(\text{pt})} \mathbb{C}[x_1, \dots, x_r]$$

$$\parallel$$

$$\mathbb{C}[x_1, \dots, x_r]$$

Furthermore, if  $X$  is smooth,  $(v_*)^{-1} = \sum_{X_\alpha \subset X^T} \frac{v_\alpha^*}{e(N_{X_\alpha/X})}$   $v_\alpha: X_\alpha \subset X$

In our cases  $X$  is noncompact, but  $X^T$  is compact.

So we can define  $\int_X \star := p_*(v_*)^{-1}$  ( $p: X^T \rightarrow \text{pt}$ )

Example.  $\int_{\mathbb{C}^2} 1 = \frac{1}{\varepsilon_1 \varepsilon_2}$

Remark  $\int_X$  has values in  $\mathbb{C}[x_1, \dots, x_r]$ , not in  $\mathbb{C}[x_1, \dots, x_r]$ .

*rational function*                      *polynomial*

$$\therefore \int_{M(n,r)} \star = \sum_{\substack{x \in M(n,r) \\ \text{fixed point}}} \frac{\star|_x}{e(T_x M(n,r))}$$

### Fixed points in $M(n,r)$

$$\begin{aligned} M(n,r)^{T^{r-1}} &= \{ (E, \Phi) \mid E = I_1 \oplus \dots \oplus I_r \quad \Phi|_{I_\alpha} : I_\alpha \xrightarrow{\cong} \alpha^{\text{th}} \text{ factor } \mathcal{O}_{\mathbb{P}^2} \} / \cong \\ &\quad \uparrow \text{ ideal sheaf} \quad \uparrow \text{ of } \mathcal{O}_{\mathbb{P}^2} \\ &= \coprod_{n_1 + \dots + n_r = n} (\mathbb{C}^2)^{[n_1]} \times \dots \times (\mathbb{C}^2)^{[n_r]} = (\mathbb{C}^2 \sqcup \dots \sqcup \mathbb{C}^2)^{[n]} \\ &\quad \uparrow \text{ r terms} \end{aligned}$$

$$M(n,r)^{\tilde{T}} = \{ \vec{\gamma} = (\gamma_1, \dots, \gamma_r) \mid \begin{array}{l} r\text{-tuple of Young diagrams} \\ |\vec{\gamma}| = \sum |\gamma_\alpha| = n \end{array} \}$$

$e(T_{\vec{\gamma}} M(n,r)) =$  product of  $\tilde{T}$ -weights of

$$T_{\vec{\gamma}} M(n,r) = \bigoplus_{\alpha, \beta} \text{Ext}^1(I_\alpha, I_\beta(-l_\alpha))$$

From this calculation, it is straightforward

$$\sum_{n=0}^{\infty} \Lambda^{2nr} \int_{M(n,r)} \exp\left[\sum (-1)^p \alpha_p \alpha_{p+1}(E) / [\mathbb{C}^2]\right] = \delta_{3,t}^{X=\mathbb{C}^2} \quad \text{with } \alpha_p \rightsquigarrow \alpha_p[\mathbb{C}^2]$$

(for  $r=2$ )

Thus our remaining task is to compute  $\tilde{\mathcal{Z}}_{3,t}^{X=\mathbb{C}^2}$  when  $\varepsilon_1, \varepsilon_2 \rightarrow 0$ .

Set  $\alpha_p = 0$ .

Nekrasov Conjecture (Proved by N+Yoshioka, Nekrasov-Okounkov, Braverman-Etingof)

$$1) \quad \log \mathcal{Z}^{\text{inst}} \in \frac{1}{\varepsilon_1 \varepsilon_2} \mathbb{C}[\varepsilon_1, \varepsilon_2, \vec{a}] \llbracket \hbar \rrbracket$$

$$2) \quad \varepsilon_1 \varepsilon_2 \log \mathcal{Z}^{\text{inst}} \Big|_{\varepsilon_1 = \varepsilon_2 = 0} = \left( \text{the instanton part of} \right) \\ \text{the Seiberg-Witten prepotential } \mathcal{F}^{\text{SW}}(\vec{a}; \Lambda).$$

Remark. The partition function has the so-called perturbative part given by an explicit elementary function.

$$\varepsilon_1 \varepsilon_2 \log \mathcal{Z}^{\text{inst}} + \text{pert. part} \Big|_{\varepsilon_1 = \varepsilon_2 = 0} = \text{SW prepotential}$$

The Seiberg-Witten prepotential is defined by a period integral over a hyper-elliptic curve.

The conjecture is a kind of "mirror symmetry".



## 2.2. Seiberg - Witten curve

$$\vec{u} = (u_2, \dots, u_r) \in \mathbb{C}^{r-1}$$

$$C_{\vec{u}} : y^2 = P(z)^2 - 4\Lambda^{2r}$$

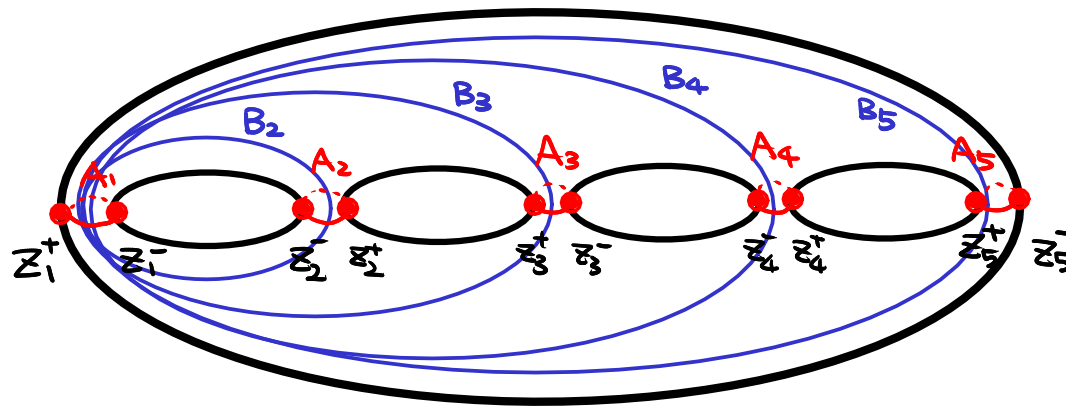
$$P(z) = z^r + u_2 z^{r-2} + \dots + u_r$$

$z_\alpha$  ( $\alpha = 1, \dots, r$ ) : solutions of  $P(z) = 0$

$z_\alpha^\pm$  : solutions of  $P(z) = \pm 2\Lambda^r$

s.t.  $z_\alpha^\pm \approx z_\alpha$

$\Lambda$  : very small



$\curvearrowright$  hyperelliptic involution

Take  $A, B$ -cycles as above.  $(\sum_{\alpha=1}^r A_\alpha = 0)$

$\{A_\alpha, B_\alpha\}_{\alpha=2}^r$  : symplectic base of  $H_1(C_{\vec{u}})$

Seiberg-Witten meromorphic differential

$$dS := -\frac{1}{2\pi} \frac{z P'(z) dz}{y} \quad (\text{poles at } \infty_{\pm})$$

Define

$$a_{\alpha} \equiv a_{\alpha}(\vec{u}) = \int_{A_{\alpha}} dS$$

$$a_{\alpha}^D \equiv a_{\alpha}^D(\vec{u}) = \int_{B_{\alpha}} dS \quad (\alpha=2, \dots, r)$$

$$\frac{\partial a_{\alpha}}{\partial u_p} = \frac{1}{2\pi} \int_{A_{\alpha}} \frac{z^{r-p} dz}{y} \quad \leftarrow \text{a basis of holomorphic differentials}$$

$$\therefore (\tau_{\alpha\beta}) = \left( \frac{\partial a_{\alpha}}{\partial u_p} \right)^{-1} \left( \frac{\partial a_{\beta}^D}{\partial u_p} \right) \text{ is the period matrix of } C_{\vec{u}}.$$

$$= \left( \frac{\partial a_{\beta}^D}{\partial a_{\alpha}} \right) \quad \leftarrow \text{symmetric!}$$

$$\therefore \exists \sigma_F: \text{potential} \quad \text{st.} \quad a_{\alpha}^D = -\frac{1}{2\pi F_1} \frac{\partial \sigma_F}{\partial a_{\alpha}} \quad \text{i.e.} \quad \tau_{\alpha\beta} = -\frac{1}{2\pi F_1} \frac{\partial^2 \sigma_F}{\partial a_{\alpha} \partial a_{\beta}}$$

This is the **SW prepotential**.

## 2.3. Computation of Wall-crossing terms

Let us go back to the wall-crossing term  $\delta_{3,t}^X$  for a toric  $X$ .  
In fact, we need terms up to degree 2:

$$\log \sum^{\text{inst}} (\varepsilon_1, \varepsilon_2, \vec{a}, \Lambda) = \frac{1}{\varepsilon_1 \varepsilon_2} \left( F(\vec{a}, \Lambda) + (\varepsilon_1 + \varepsilon_2) H(\vec{a}, \Lambda) + \varepsilon_1 \varepsilon_2 A(\vec{a}, \Lambda) + \frac{\varepsilon_1^2 + \varepsilon_2^2}{3} B(\vec{a}, \Lambda) + \dots \right)$$

$$\delta_{3,t}^X \left( \begin{array}{c} H_2(X) \\ \downarrow \\ \alpha_1 = \alpha z + p x \\ \alpha_2 = \dots = 0 \end{array} \right) = \prod_i \delta_{3|p_i, t}^{X = \mathbb{C}^2 \text{ around } p_i} \left( \Lambda e^{(\alpha z + p x)|_{p_i}/4} \right) \Big|_{\varepsilon_1 = \varepsilon_2 = 0} \quad (x, z: \text{variable})$$

$$= \prod_i \mathcal{Z}(w(x_i), w(y_i), \frac{t-3|p_i}{2}; \Lambda e^{(\alpha z + p x)|_{p_i}/4}) \Big|_{\varepsilon_1 = \varepsilon_2 = 0}$$

$$= \exp \left[ \sum_i \underbrace{\log \mathcal{Z}(w(x_i), w(y_i), \frac{t-3|p_i}{2}; \Lambda e^{(\alpha z + p x)|_{p_i}/4})}_{\parallel} \right] \Big|_{\varepsilon_1 = \varepsilon_2 = 0}$$

$$\frac{1}{w(x_i)w(y_i)} \left( \underbrace{\sigma_{\mathcal{F}} \left( \frac{t-3|p_i}{2}, \Lambda e^{(\alpha z + p x)|_{p_i}/4} \right)}_{\text{blue}} + (w(x_i) + w(y_i)) \underbrace{H \left( \frac{t-3|p_i}{2}, \Lambda e^{(\alpha z + p x)|_{p_i}/4} \right)}_{\text{red}} \right. \\ \left. + w(x_i)w(y_i) \underbrace{A \left( \frac{t-3|p_i}{2}, \Lambda e^{(\alpha z + p x)|_{p_i}/4} \right)}_{\text{green}} + \frac{w(x_i)^2 + w(y_i)^2}{3} \underbrace{B \left( \frac{t-3|p_i}{2}, \Lambda e^{(\alpha z + p x)|_{p_i}/4} \right)}_{\text{orange}} + \text{higher} \right)$$

Observation Apply the fixed point formula to  $X \rightarrow X^T$  to get

$$\sum \frac{1}{w(x_i)w(y_i)} = 0 \quad \sum \frac{P_i P_j}{w(x_i)w(y_i)} = 1 \quad \text{etc}$$

$$= \exp \left[ \frac{1}{4} \frac{\partial \mathcal{F}}{\partial \log \Lambda} x - \frac{1}{8} \frac{\partial^2 \mathcal{F}}{\partial a \partial \log \Lambda} \int_X \mathfrak{z} \alpha \cdot z + \frac{1}{32} \frac{\partial^3 \mathcal{F}}{\partial (\log \Lambda)^2} \int_X \alpha^2 \cdot z^2 + \frac{1}{8} \frac{\partial^3 \mathcal{F}}{\partial a^2} \int_X \mathfrak{z}^2 \right. \\ \left. + \frac{1}{4} \frac{\partial H}{\partial \log \Lambda} \int_X g(x) \alpha \cdot z + \frac{1}{2} \frac{\partial H}{\partial a} \int_X g(x) \mathfrak{z} + \chi A + \tau B \right]$$

( $\chi$  = Euler number of  $X$   
 $\tau$  = signature of  $X$ )

Since we know  $\mathcal{F}, H, A, B$  in terms of Seiberg-Witten curve [NY], we can express  $\delta_{\mathfrak{z}, t}^x$  in terms of them.

(modular forms, theta functions)

- $H = -\pi \sqrt{E} \langle \vec{a}, \rho \rangle$        $\rho$  = half-sum of positive roots  
 $= -\frac{\pi \sqrt{E}}{2} \sum_{\alpha < \rho} (a_\alpha - q_\beta)$

- $A = \log \det \left( \frac{\partial U_p}{\partial a_\alpha} \right)$ ,  $B = \log \Delta$  discriminant  
up to const      up to const (proved only for  $r=2$  so far)

This is a main result of [GNV].

And it recovers Göttsche's wall-crossing formula, proved under Kotschick-Morgan conjecture.

Remark.  $H = \pi\sqrt{-1} a \Rightarrow \partial H / \partial \log \Lambda = 0$ ,  $\partial H / \partial a = \pi\sqrt{-1}$

This is compatible with the KM conjecture:

$\delta_{3,t}^X$  is a homotopy invariant up to sign

Otherwise  $\delta_{3,t}^X$  may possibly contain  $c(X)$ .

## 2.4. A DETOUR : Geometric Engineering

I postpone to give the proof of Nekrasov's conjecture to the 3<sup>rd</sup> lecture.

In the remaining time, I will explain some results on:

Question What are the meaning of higher terms in

$$\log Z(\varepsilon_1, \varepsilon_2, \vec{a}, \Lambda) = \frac{1}{\varepsilon_1 \varepsilon_2} \left( F(\vec{a}, \Lambda) + (\varepsilon_1 + \varepsilon_2) H(\vec{a}, \Lambda) + \varepsilon_1 \varepsilon_2 A(\vec{a}, \Lambda) + \frac{\varepsilon_1^2 + \varepsilon_2^2}{3} B(\vec{a}, \Lambda) + \dots \right) ?$$

Conjecture All terms can be expressed in terms of the Seiberg-Witten curve.

"Proof". Obvious from the mirror symmetry.

But I will explain other very surprising results suggested from the string theory.

# Geometric Engineering

(Katz-Klemm-Vafa)

$\Gamma \subset SL_2(\mathbb{C})$  finite subgroup  $\longleftrightarrow$  ADE Dynkin diagram  
 e.g.  $\mathbb{Z}_r \leftrightarrow A_{r-1}$

$$X = \mathcal{O}(-1) \oplus \mathcal{O}(-1) \leftarrow \Gamma$$

$$\downarrow$$

$$\mathbb{P}^1$$

$X_\Gamma =$  crepant resolution of  $X/\Gamma$   
 $\downarrow$   
 $\mathbb{P}^1$

fiber = minimal resolution of  $\mathbb{C}^2/\Gamma$



$$H_2(X_\Gamma, \mathbb{Z}) = H_2(\underbrace{\mathbb{P}^1}_{[\mathbb{P}^1]}; \mathbb{Z}) \oplus H_2(\underbrace{\text{fiber}}_{[C_\alpha]}; \mathbb{Z})$$

(toric if  $\Gamma$ : type A)

Consider **Gromov-Witten** invariants for  $X_\Gamma$  (local Calabi-Yau 3-fold).

$$\sum_{i=1, \dots, r-1} \text{GW}(\hbar, \beta_b, \beta_i) = \exp \left[ \sum_{g=0}^{\infty} \hbar^{2g-2} \sum_{d_b=1}^{\infty} \beta_b^{d_b} \sum_{d_i=0}^{\infty} \beta_i^{d_i} \int 1 \right]$$

$\uparrow$   $\uparrow$   
 degree degree

$[M_{g,0}(X_\Gamma, d_b, d_i)]^{\text{vir.}}$

$$\mathbb{Z}^{\text{inst}}(\epsilon_1 = \hbar, \epsilon_2 = -\hbar, \vec{a}, \Lambda) = \text{a certain limit of } \mathbb{Z}^{\text{GW}}(\hbar, g_b, g_i)$$

$$\text{with a substitution } \begin{cases} \Lambda = g_b \\ b_i = e^{a_{i+1} - a_i} \end{cases} \quad (\text{up to const})$$

Remark ① If we consider the K-theoretic integration in the instanton side, we do **not** need to take a limit.

(ie. The parameter corresponds to the parameter for  $K \xrightarrow{\text{gr}} H^*$ )

② For  $\Gamma$ : type A, = is proved in a mathematically rigorous way by Zhou.

Compute both sides.

a) Recall  $\mathbb{Z}^{\text{inst}}$  was given by the fixed point formula  
 $M(n, r)^T = r\text{-tuples of Young diagrams } (Y_1, \dots, Y_r) \sum |Y_i| = n$   
 $\rightarrow$  purely combinatorial expression of  $\mathbb{Z}^{\text{inst}}$

b) GW side : Use the topological vertex.

$\rightarrow$  Get the same combinatorial expression.



③ perturbative part of the instanton partition function  
= GW invariants for  $d_b = 0$   
up to constant map contribution

Note that the parameters  $\epsilon_1, \epsilon_2$  ( $\in \text{Lie } T^2$ ) are specialized to the line  $\epsilon_1 + \epsilon_2 = 0$ , ( $\hbar = \epsilon_1 = -\epsilon_2$ )

So a question still remains .....

What is the meaning of  $\epsilon_1 + \epsilon_2 = \mathbb{Q}_1^* \mathbb{Q}^* (\mathbb{C}^2)$  ?

An explanation was given by Vafa, Gukov ...  
Related to Khovanov link homology!

But it is not precise enough, and a further study is required.

———— This is a good point to stop. ————

## 2.5. A conjectural link to Khovanov homology

Go back to **GW** invariants for  $X_{\Gamma} = \left( \mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1} / \Gamma \right)^{\sim}$ .

How these are computed? (I mentioned the "topological vertex".)

I do not go to the detail.

..... But I want to mention that  
the invariants are related to  
Chern-Simons link invariants,  
(Jones-Witten)

$X$  is a crepant resolution of the conifold.

$$\begin{aligned} \textcircled{\ominus} X = \mathcal{O}_{\mathbb{P}^1}(-1) \oplus \mathcal{O}_{\mathbb{P}^1}(-1) \ni ([z_0 : z_1], \zeta_1, \zeta_2) \\ \longmapsto (x = z_0 \zeta_1, y = z_1 \zeta_2, z = z_0 \zeta_2, w = z_1 \zeta_1) \in \{xy = zw \subset \mathbb{C}^4\} \end{aligned}$$

$\begin{matrix} \uparrow & & \uparrow \\ \mathbb{P}^1 & & \text{fibers} \end{matrix}$

conifold

There is another way to get a smooth manifold from the conifold.  $\longrightarrow$  smoothing.

$$xy = zw + t \quad \text{is smooth if } t \neq 0$$

( $t \in \mathbb{C}$  : parameter)

$$T^*S^3$$

diffieomorphic

Large N duality (Gopakumar-Vafa, Ooguri-Vafa, ...)

closed string theory on  $X_\Gamma =$  open string theory on  $T^*S^3/\Gamma$

GW invariants

open GW invariants  
with lagrangian =  $S^3/\Gamma$

+ Witten  $SU(N)$ - Chern-Simons theory on  $M^3$  (real 3-mfd)  
 $\vdots$  = open string theory on  $T^*M$  (But make  $N$  also as a variable)

This is "computable".

•  $\Gamma = 114$  for simplicity ( $\rightarrow$  No  $\vec{a}$ )

Dictionary

	instanton counting	closed GW for $X$	open GW for $T^*S^3$	CS for $S^3$ = colored HOMFLYPT poly.
specialised at $\epsilon_1 + \epsilon_2 = 0$	$\epsilon_1 = -\epsilon_2, \Delta$	$h, g$ (genus) (degree)	$h, t$ (genus) (# of holes)	$N, k$ (rank) (level)

$\Downarrow$  1 additional variable

$\epsilon_1 + \epsilon_2$

?

?

Poincaré polynomial of triply graded link homology group

(Euler char. of link homology = colored HOMFLYPT)

Unfortunately this is very speculative, as triply graded link homology is defined only for the vector representations (and exterior powers) <sup>probably</sup>

But still, we can make nontrivial checks.

Consider the unknot  in  $S^3$

decorated by a representation  $\lambda$  of  $SU(N)$   
 (Young diagram)

Chem-Simons Jones written inv. = "quantum" dimension of  $\lambda$   
 (deformation of the actual dim.)

In open or closed GW invariants, it is expected that  
 it corresponds to a Lagrangian subvariety  
 in  $X$  or  $T^*S^3$   
 $\cup$  conormal bundle of the link  
 + information of  $\lambda$

In the instanton counting, it is expected that it corresponds to a natural vector bundle over the resolution of the moduli spaces:

$$\begin{aligned}
 U(1)\text{-case: resolution} &= \text{Hilbert scheme of points on } \mathbb{C}^2 \\
 &= \{ I \subset \mathbb{C}[x,y] \mid \text{ideals, } \dim \mathbb{C}[x,y]/I = n \} \\
 M(1,n) &\rightarrow M_0(1,n) = S^n \mathbb{R}^4
 \end{aligned}$$

Let  $E$  be a vector bundle over  $M(1,n)$ , whose fiber at  $I$   
 $= \mathbb{C}[x,y]/I$  (tautological line bundle)

$$\sum_{n=0}^{\infty} \sum_{k} (-1)^k \text{ch}_{T^2} H^k(M(1,n), S^\lambda E) \Delta^{2n} \quad S^\lambda: \text{Schur functor}$$

e.g.  $\wedge^p E, S^p E$  etc

( K-theoretic correlation function  
for  $U(1)$ -gauge theory )

This is essentially equals to the Poincaré polynomial of Khovanov homology of the unknot.  
(not yet defined beyond  $\wedge^p E$ )