

# Renormalization group

Choices of renormalization conditions:

"On shell", "intermediate", "Another ( $\mu$ )", ...

All these originate from the same classical Lagrangian

→ same physics.

But we need a dictionary:

renormalization condition I →  $\phi_I, \lambda_I, \dots$   
renormalization condition II →  $\phi_{II}, \lambda_{II}, \dots$  } relation?

e.g. in 4d  $\phi^4$  theory

"another R.C." parametrized by a mass scale  $\mu$   
renormalization point

$$\left\{ \begin{array}{l} \Gamma(-p, p) \Big|_{p^2 = \mu^2} = \mu^2 + m^2 \\ \frac{d}{dp^2} \Gamma(-p, p) \Big|_{p^2 = \mu^2} = 1 \\ \Gamma(p_1, p_2, p_3, p_4) \Big|_{p_i \cdot p_j = \begin{cases} \mu^2 & i=j \\ -\mu^2/3 & i \neq j \end{cases}} = \lambda \end{array} \right.$$

at  $\mu$ :  $\phi, m, \lambda$

at  $\mu'$ :  $\phi', m', \lambda'$

To describe the same physics,  
how are they related?

Answer: so that the bare fields/couplings are the same.

$$Z_0^{\frac{1}{2}}(m, \lambda; \mu, \Lambda) \phi = \phi_0 = Z_0^{\frac{1}{2}}(m', \lambda'; \mu', \Lambda) \phi'$$

$$m_0(m, \lambda; \mu, \Lambda) = m_0 = m_0(m', \lambda'; \mu', \Lambda)$$

$$\lambda_0(m, \lambda; \mu, \Lambda) = \lambda_0 = \lambda_0(m', \lambda'; \mu', \Lambda)$$

$$\begin{array}{ccc} & \Gamma_0(\phi_0, m_0, \lambda_0; \Lambda) & \\ & \parallel & \parallel \\ \Gamma(\phi, m, \lambda; \mu, \Lambda) & \star & \Gamma(\phi', m', \lambda'; \mu', \Lambda) \end{array}$$

The change  $(\phi, m, \lambda) \rightarrow (\phi', m', \lambda')$  for  $\mu \rightarrow \mu'$  is called the renormalization group (RG) transformation, and the equality  $\star$  is called the RG equation.

The relation between the renormalized fields/couplings has a limit as  $\Lambda \rightarrow \infty$ , and

$$\Gamma(\phi, m, \lambda; \mu) := \lim_{\Lambda \rightarrow \infty} \Gamma(\phi, m, \lambda; \mu, \Lambda) \text{ satisfies}$$

$$\Gamma(\phi, m, \lambda; \mu) = \Gamma(\phi', m', \lambda'; \mu').$$

The RG transformation may be written as

$$\phi' = Z^{-\frac{1}{2}}(m, \lambda; \mu', \mu) \phi,$$

$$m' = R^m(m, \lambda; \mu', \mu),$$

$$\lambda' = R^\lambda(m, \lambda; \mu', \mu).$$

Put

$$\mu' \frac{\partial}{\partial \mu'} Z^{-\frac{1}{2}}(m, \lambda; \mu', \mu) \Big|_{\mu'=\mu} =: \gamma(m, \lambda; \mu),$$

$$\mu' \frac{\partial}{\partial \mu'} R^m(m, \lambda; \mu', \mu) \Big|_{\mu'=\mu} =: -\gamma_m(m, \lambda; \mu) m,$$

$$\mu' \frac{\partial}{\partial \mu'} R^\lambda(m, \lambda; \mu', \mu) \Big|_{\mu'=\mu} =: \beta(m, \lambda; \mu).$$

Then, the infinitesimal RG transformation (RG flow) is

$$\mu \frac{d}{d\mu} \phi = -\gamma(m, \lambda; \mu) \phi,$$

$$\mu \frac{d}{d\mu} m = -\gamma_m(m, \lambda; \mu) m,$$

$$\mu \frac{d}{d\mu} \lambda = \beta(m, \lambda; \mu),$$

and the infinitesimal RG equation is

$$\left[ -\gamma \phi \cdot \frac{\delta}{\delta \phi} - \gamma_m m \frac{\partial}{\partial m} + \beta \frac{\partial}{\partial \lambda} + \mu \frac{\partial}{\partial \mu} \right] \Gamma(\phi, m, \lambda; \mu) = 0.$$

## Computation in 4d $\phi^4$ theory

$$\text{Recall } Z_0 = 1 + \hbar \lambda a_1 + \hbar^2 \lambda^2 a_2 + \dots$$

$$Z_0 m_0^2 = m^2 + \hbar \lambda b_1 + \hbar^2 \lambda^2 b_2 + \dots$$

$$Z_0^2 \lambda_0 = \lambda + \hbar \lambda^2 c_1 + \hbar^2 \lambda^3 c_2 + \dots$$

$$a_1 = 0$$

$$b_1 = -\frac{1}{2(4\pi)^2} \left[ \Lambda^2 - m^2 \left( \log\left(\frac{\Lambda^2}{m^2}\right) + 1 - \gamma \right) + m^2 O\left(\frac{m^2}{\Lambda^2}\right) \right]$$

$$c_1 = \frac{3}{2(4\pi)^2} \left[ \log\left(\frac{\Lambda^2}{2m^2}\right) - \gamma - 1 - \int_0^1 dx \log\left(1 + x(1-x) \frac{4\mu^2}{3m^2}\right) + O\left(\frac{m^2}{\Lambda^2}\right) \right]$$

Thus, to 1-loop,  $Z_0 = 1 + O(\hbar^2)$ , and

$$0 = \mu \frac{d}{d\mu} \phi_0 = \mu \frac{d}{d\mu} \phi + O(\hbar^2)$$

$$0 = \mu \frac{d}{d\mu} m_0^2 = \mu \frac{d}{d\mu} m^2 + \hbar \mu \frac{d}{d\mu} \lambda b_1 + O(\hbar^2)$$

$$0 = \mu \frac{d}{d\mu} \lambda_0 = \mu \frac{d}{d\mu} \lambda + \hbar \left( \mu \frac{d}{d\mu} \lambda^2 c_1 + \lambda^2 \mu \frac{d}{d\mu} c_1 \right) + O(\hbar^2)$$

$$\Rightarrow \mu \frac{d}{d\mu} \phi = O(\hbar^2)$$

$$\mu \frac{d}{d\mu} \lambda = -\hbar \lambda^2 \mu \frac{d}{d\mu} c_1 + O(\hbar^2)$$

$$\mu \frac{d}{d\mu} m = O(\hbar^2)$$

$$\therefore \gamma(m, \lambda; \mu) = O(\hbar^2)$$

$$\gamma_m(m, \lambda; \mu) = O(\hbar^2)$$

$$\beta(m, \lambda; \mu) = -\hbar \lambda^2 \mu \frac{d}{d\mu} C_1$$

$$= \frac{3\hbar \lambda^2}{2(4\pi)^2} \int_0^1 dx \frac{x(1-x) \frac{8\mu^2}{3m^2}}{1 + x(1-x) \frac{4\mu^2}{3m^2}} + O(\hbar^2)$$

$$= \begin{cases} \frac{3\hbar \lambda^2}{2(4\pi)^2} + O(\hbar^2) & \mu \gg m \\ \frac{2\hbar \lambda^2}{3(4\pi)^2} \frac{\mu^2}{m^2} + O(\hbar^2) & \mu \ll m \end{cases}$$

Let us consider the limit  $m \rightarrow 0$ .

As  $\lambda$  &  $Z$  are dimensionless,  $\beta$  &  $\gamma$  are functions of  $\lambda$  only.

Indeed, in this limit,  $a_1 = 0$ ,  $b_1 = -\frac{\Lambda^2}{2(4\pi)^2}$ .

$$C_1 = \frac{3}{2(4\pi)^2} \left[ \log\left(\frac{\Lambda^2}{2\mu^2}\right) - \gamma - 1 - \int_0^1 dx \log\left(x(1-x)\frac{4}{3}\right) \right]$$

↑ jet

$$\gamma = 0, \quad \beta = \frac{3\lambda^2}{(4\pi)^2} \quad \text{at 1-loop.}$$

The RG flow :  $\mu \frac{d}{d\mu} \lambda = \beta(\lambda)$

$$\mu \frac{d}{d\mu} \phi = -\gamma(\lambda) \phi$$

The RG eqn :

$$\left[ \mu \frac{\partial}{\partial \mu} + \beta(\lambda) \frac{\partial}{\partial \lambda} - \gamma(\lambda) \phi \cdot \frac{\delta}{\delta \phi} \right] \Gamma(\phi, \lambda; \mu) = 0.$$

Instead of  $\mu$ , we use  $t = \log(\mu/\mu_0)$  or  $\mu = e^t \mu_0$ .

Then, the RG flow takes the form

$$\lambda = \bar{\lambda}(t) \quad \left( \leftarrow \text{a solution to } \frac{d}{dt} \lambda = \beta(\lambda) \right)$$

$$\phi = \bar{\phi}(t) = \bar{\phi}(0) \cdot e^{-\int_0^t dt' \gamma(\bar{\lambda}(t'))}$$

and the RGE :

$$\Gamma(\bar{\phi}(t), \bar{\lambda}(t); e^t \mu_0) \text{ is } t\text{-independent.}$$

Write

$$\Gamma = \sum_{n=0}^{\infty} \frac{1}{n!} \int \prod_{i=1}^n \frac{d^4 p_i}{(2\pi)^4} \cdot (2\pi)^4 \delta(p_1 + \dots + p_n)$$

$$\Gamma(p_1, \dots, p_n, \lambda; \mu) \phi(p_1) \dots \phi(p_n)$$

RGE:

$$e^{-n \int_0^t dt' \bar{Y}(\bar{\lambda}(t'))} \Gamma(p_1, \dots, p_n, \bar{\lambda}(t); e^t \mu_0) \quad \text{is } t\text{-independent}$$

$$= \Gamma(p_1, \dots, p_n, \bar{\lambda}(0); \mu_0)$$

On the other hand, the canonical dimensions are

$$[\mu] = 1, [\phi] = 1, [\chi] = 0, [\Gamma] = 0$$

$$\therefore [\Gamma(p_1, \dots, p_n, \lambda; \mu)] = t - n \Rightarrow$$

$$\Gamma(e^t p_1, \dots, e^t p_n, \lambda; e^t \mu) = e^{(4-n)t} \Gamma(p_1, \dots, p_n, \lambda; \mu).$$

Combining,

$$\Gamma(e^t p_1, \dots, e^t p_n, \bar{\lambda}(0); \mu_0)$$

$$\stackrel{\text{RGE}}{=} e^{-n \int_0^t dt' \bar{Y}(\bar{\lambda}(t'))} \Gamma(e^t p_1, \dots, e^t p_n, \bar{\lambda}(t); e^t \mu_0)$$

$$\stackrel{\text{Can. dim}}{=} e^{4t - n \int_0^t dt' (1 + Y(\bar{\lambda}(t')))} \Gamma(p_1, \dots, p_n, \bar{\lambda}(t); \mu_0)$$

This means

① If we uniformly rescale the momenta as

$$p_i \rightarrow e^\tau p_i,$$

the coupling  $\lambda$  effectively changes as

$$\bar{\lambda}(0) \rightarrow \bar{\lambda}(\tau).$$

$\bar{\lambda}(\tau)$  is the "effective coupling constant".

② The dimension of  $\phi$  has also changed as

$$l \rightarrow l + \gamma(\bar{\lambda}(\tau))$$

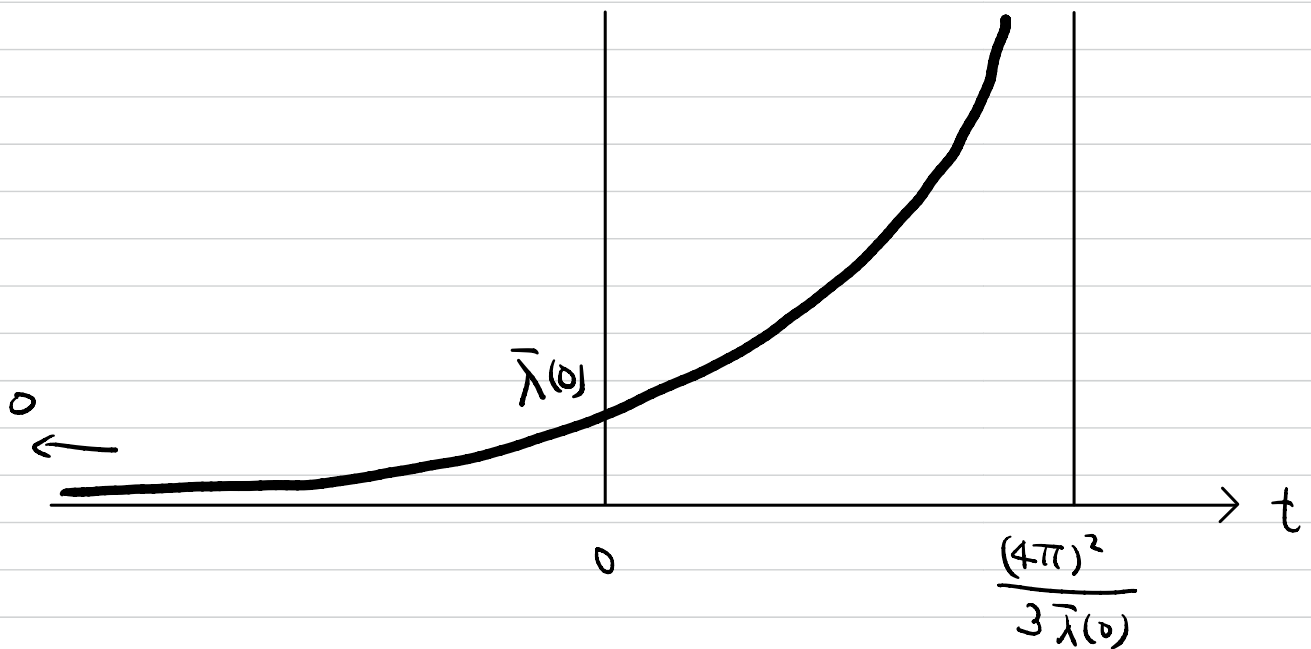
$\gamma(\lambda)$  is the "anomalous dimension" of  $\phi$ .



At 1-loop,  $\frac{d\lambda}{dt} = \frac{3\lambda^2}{(4\pi)^2}$ .

$$\int \frac{d\lambda}{\lambda^2} = \int \frac{3dt}{(4\pi)^2} \sim -\frac{1}{\lambda(t)} + \frac{1}{\lambda(0)} = \frac{3t}{(4\pi)^2}$$

$$\bar{\lambda}(t) = \frac{\bar{\lambda}(0)}{1 - \frac{3t}{(4\pi)^2} \bar{\lambda}(0)}$$



The coupling is weaker at lower energies  
or stronger at higher energies.

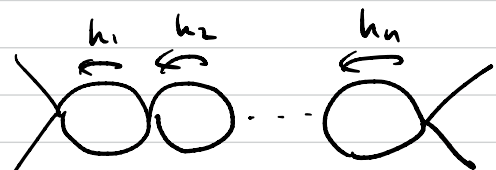
- $$\lambda(\mu) = \frac{\lambda(\mu_0)}{1 - \frac{3\lambda(\mu_0)}{(4\pi)^2} \log(\mu/\mu_0)}$$

is valid for  $\mu \ll \mu_0$  even if  $|\log(\mu/\mu_0)|$  may be large.

- The series expansion

$$\lambda(\mu) = \sum_{n=0}^{\infty} \lambda(\mu_0) \left( \frac{3\lambda(\mu_0)}{(4\pi)^2} \log(\mu/\mu_0) \right)^n$$

has a Feynman diagram interpretation:

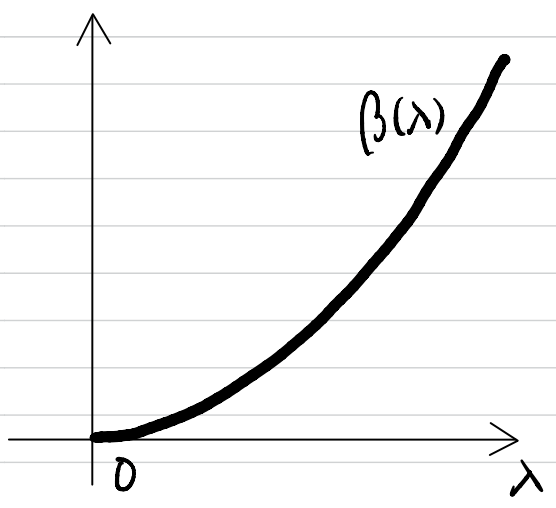


$$\sim \lambda^{n+1} \int_{\mu}^{\mu_0} \frac{d^4 k_1}{(k_1^2)^2} \dots \int_{\mu}^{\mu_0} \frac{d^4 k_n}{(k_n^2)^2}$$

$$\sim \lambda^{n+1} (\log \mu_0/\mu)^n$$

"RG sums up a series of Feynman diagrams"

Various possibilities

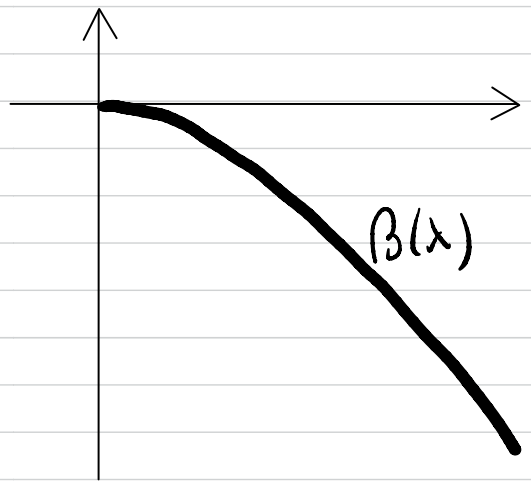


$\lambda \rightarrow 0$  in the IR limit

infra-red free theory

e.g. We've just seen 4d  $\phi^4$  theory, We'll see next QED<sub>4</sub>

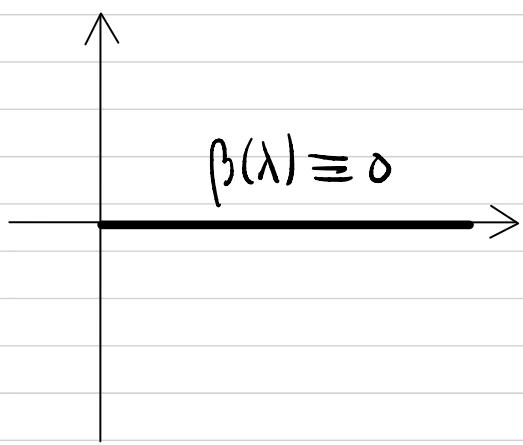
QCD<sub>4</sub> with large # of flavors  
next semester



$\lambda \rightarrow 0$  in the UV limit

asymptotically free theory

e.g. 4d Yang-Mills theory  
QCD<sub>4</sub> with small # of flavors  
next semester

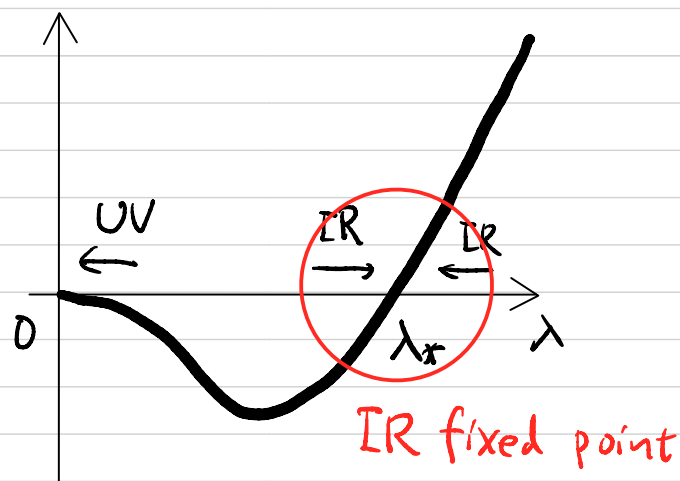
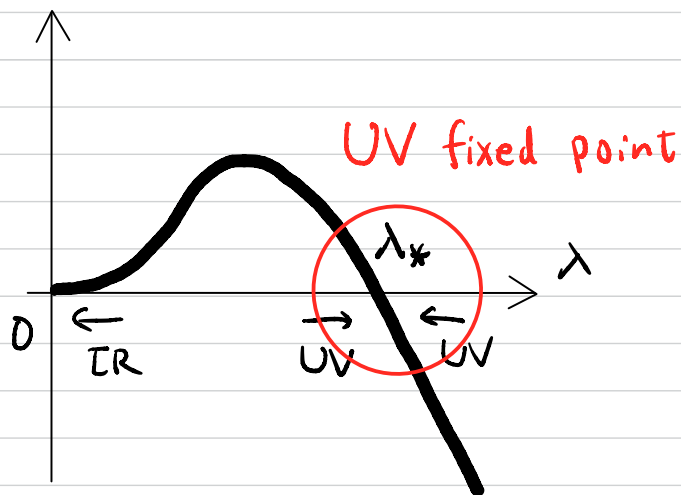


$\lambda$  does not run!

finite theory

e.g. 4d  $\mathcal{N}=4$  supersymmetric Yang-Mills  
next semester

Other possibilities:



...  $\exists$  non-trivial fixed point of RG flow.

At such a point  $\lambda_*$ , with  $\gamma_* := \gamma(\lambda_*)$ ,

$$\begin{aligned} \Gamma(e^t p_1, \dots, e^t p_n, \lambda_*; \mu_0) \\ = e^{(4-n(1+\gamma_*))t} \Gamma(p_1, \dots, p_n, \lambda_*; \mu_0) \end{aligned}$$

Correlation functions scales in a simple way.

“scale invariant theory”

e.g.  $\Gamma(-p, p) = \text{const} \cdot (p^2)^{1-\gamma_*}$

$$\Gamma(p) = \frac{1}{2} \phi_i A_{ij} \phi_j - \sum_{n=0}^{\infty} \frac{1}{n!} \sum_{i_1 \dots i_n} \lambda_{1PI}^{i_1 \dots i_n} \phi_{i_1} \dots \phi_{i_n}$$

$$\Gamma(-p, p) = p^2 - \lambda_{1PI}^{(2)}(p^2)$$

$$\text{---} \textcircled{\text{---}} \text{---} = \text{---} + \text{---} \textcircled{1PI} \text{---} + \text{---} \textcircled{1PI} \textcircled{1PI} \text{---} + \dots$$

$$= \text{---} \left( 1 - \textcircled{1PI} \text{---} \right)^{-1}$$

$$= \frac{1}{p^2} \left( 1 - \lambda_{1PI}^{(2)}(p^2) \frac{1}{p^2} \right)^{-1} = \frac{1}{p^2 - \lambda_{1PI}^{(2)}(p^2)} = \frac{1}{\Gamma(-p, p)}$$

$$\langle \phi(x) \phi(0) \rangle = \int \frac{d^4 p}{(2\pi)^4} \frac{e^{-ipx}}{\Gamma(-p, p)} \propto (p^2)^{1-\gamma_4}$$

$$\propto \frac{1}{|x|^{2+2\gamma_4}}$$

## An application: RG improvement of $U_{\text{eff}}(\phi)$

In 4d  $\phi^4$  theory, we obtained 1-loop effective potential

$$U_{\text{eff}}(\phi) = \frac{m^2}{2} \phi^2 + \frac{\lambda}{4!} \phi^4 + \frac{1}{4(4\pi)^2} \left[ \left( m^2 + \frac{\lambda \phi^2}{2} \right)^2 \log \left( 1 + \frac{\lambda \phi^2}{2m^2} \right) - \frac{\lambda}{2} m^2 \phi^2 - \left( \frac{3}{2} + K \right) \left( \frac{\lambda \phi^2}{2} \right)^2 \right]$$

$$; K = \int_0^1 dx \log \left( 1 + x(1-x) \frac{4\mu^2}{3m^2} \right) \quad \text{in "Another RC."}$$

$$= \int_0^1 dx \log \left( \frac{m^2}{\mu^2} + x(1-x) \frac{4}{3} \right) - \log \left( \frac{m^2}{\mu^2} \right)$$

$$= \frac{m^2}{2} \phi^2 + \frac{\lambda}{4!} \phi^4$$

$$+ \frac{1}{4(4\pi)^2} \left[ \left( m^2 + \lambda m^2 \phi^2 \right) \log \left( 1 + \frac{\lambda \phi^2}{2m^2} \right) - \frac{\lambda}{2} m^2 \phi^2 \right]$$

$$+ \frac{1}{4(4\pi)^2} \left( \frac{\lambda \phi^2}{2} \right)^2 \left[ \log \left( \frac{m^2}{\mu^2} + \frac{\lambda \phi^2}{2\mu^2} \right) - \frac{3}{2} - \int_0^1 dx \log \left( \frac{m^2}{\mu^2} + x(1-x) \frac{4}{3} \right) \right]$$

$\exists m \rightarrow 0$  limit :

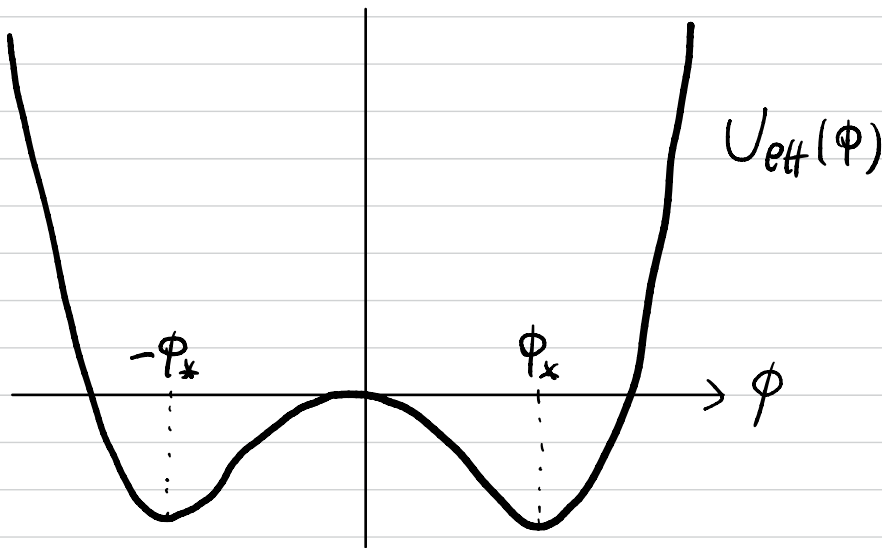
$$U_{\text{eff}}(\phi) = \frac{\lambda}{4!} \phi^4 + \frac{1}{4(4\pi)^2} \left( \frac{\lambda \phi^2}{2} \right)^2 \left( \log \left( \frac{\lambda \phi^2}{2\mu^2} \right) + C \right)$$

$$C = -\frac{3}{2} - \int_0^1 dx \log \left( x(1-x) \frac{4}{3} \right) = \frac{1}{2} - \log \frac{4}{3}$$

$$U_{\text{eff}}(\phi) = 3\phi^3 \left( \frac{\lambda}{4!} + \frac{1}{4(4\pi)^2} \left(\frac{\lambda}{2}\right)^2 \left( \log\left(\frac{\lambda\phi^2}{2\mu^2}\right) + C + \frac{1}{2} \right) \right)$$

$$\stackrel{!}{=} 0 \Leftrightarrow \phi = 0, \pm\phi_* \leftarrow \text{zero of } \otimes$$

$$U_{\text{eff}}(0) = 0, \quad U_{\text{eff}}(\pm\phi_*) = -\frac{1}{8(4\pi)^2} \left(\frac{\lambda\phi_*^2}{2}\right)^2 < 0$$



$\phi = \pm\phi_*$  are the minimum. Are these the VEV of  $\phi$ ?

$Z_2: \phi \rightarrow -\phi$  is spontaneously broken?

$\otimes = 0$ : Cancellation of tree and 1-loop correction.

$$\Rightarrow |\text{tree}| = |1\text{-loop}|$$

Perturbation theory is invalid.

You cannot trust this conclusion.

But then, what is the real conclusion?

$Z_2$  symmetry is spontaneously broken or not?

→ We can answer to this using RG!

RGE on  $\Gamma(\phi, \lambda; \mu) \Rightarrow$

$$\left( \mu \frac{\partial}{\partial \mu} + \beta(\lambda) \frac{\partial}{\partial \lambda} - \gamma(\lambda) \phi \frac{\partial}{\partial \phi} \right) U_{\text{eff}}(\phi, \lambda; \mu) = 0$$

If  $\bar{\lambda}(t), \bar{\phi}(t)$  solve  $\frac{d\lambda}{dt} = \beta(\lambda), \frac{d\phi}{dt} = -\gamma(\lambda)\phi,$

RGE  $\Leftrightarrow U_{\text{eff}}(\bar{\phi}(t), \bar{\lambda}(t); e^t \mu)$  is  $t$ -independent.

By dimensional analysis,  $U_{\text{eff}}(\phi, \lambda; \mu) = \phi^4 u(\phi/\mu, \lambda).$

At 1-loop,  $\beta = \frac{3\lambda^2}{(4\pi)^2}, \gamma = 0,$

$$u(\phi/\mu, \lambda) = \frac{\lambda}{4!} + \frac{1}{4(4\pi)^2} \left(\frac{\lambda}{2}\right)^2 \left( \log\left(\frac{\lambda}{2}\left(\frac{\phi}{\mu}\right)^2\right) + C \right).$$

$$\leadsto \bar{\lambda}(t) = \frac{\lambda}{1 - \frac{3t}{(4\pi)^2} \lambda}, \quad \bar{\phi}(t) = \phi \quad \text{for } (\bar{\lambda}(0), \bar{\phi}(0)) = (\lambda, \phi).$$

Let  $t_\phi$  be st.  $\frac{1}{2} \left(\frac{\phi}{e^{t_\phi} \mu}\right)^2 = 1.$  i.e.  $t_\phi = \frac{1}{2} \log\left(\frac{\phi^2}{2\mu^2}\right).$



$$\text{Then } \bar{\lambda}(t_\phi) = \frac{\lambda}{1 - \frac{3\lambda}{2(4\pi)^2} \log\left(\frac{\phi^2}{2\mu^2}\right)} =: \lambda(\phi)$$

$$U_{\text{eff}}(\phi, \lambda; \mu) = U_{\text{eff}}(\underbrace{\bar{\phi}(t_\phi)}_\phi, \underbrace{\bar{\lambda}(t_\phi)}_{\lambda(\phi)}; e^{t_\phi} \mu)$$

$$= \phi^4 u\left(\frac{\phi}{e^{t_\phi} \mu}, \lambda(\phi)\right)$$

$$= \phi^4 \left[ \frac{\lambda(\phi)}{4!} + \frac{1}{4(4\pi)^2} \left(\frac{\lambda(\phi)}{2}\right)^2 \left( \log\left(\frac{\lambda(\phi)}{2} \left(\frac{\phi}{e^{t_\phi} \mu}\right)^2\right) + C \right) + O(\lambda(\phi)^3) \right]$$

$$= \frac{\lambda(\phi)}{4!} \phi^4 + \frac{1}{4(4\pi)^2} \left(\frac{\lambda(\phi) \phi^2}{2}\right)^2 (\log \lambda(\phi) + C) + \dots$$

This is better and better as  $|\phi/\mu| \rightarrow 0$  where  $\lambda(\phi) \rightarrow 0$

$$\left[ \text{In contrast to } U_{\text{eff}} = \frac{\lambda}{4!} \phi^4 + \frac{1}{4(4\pi)^2} \left(\frac{\lambda \phi^2}{2}\right)^2 \left(\log \frac{\lambda \phi^2}{2\mu^2} + C\right) \right]$$

which breaks down as  $|\phi/\mu| \rightarrow 0$ .

RG improvement of perturbation theory!

Where is the minimum?

$$0 = U'_{\text{eff}} = 4\phi^3 \left[ \frac{\lambda(\phi)}{4!} + \frac{1}{4(4\pi)^2} \left( \frac{\lambda(\phi)}{2} \right)^2 (\log \lambda(\phi) + C) + O(\lambda(\phi)^3) \right]$$

$$+ \phi^4 \left( \frac{1}{4!} + O(\lambda(\phi)) \right) \frac{d\lambda(\phi)}{d\phi} \quad \frac{3\lambda(\phi)^2}{(4\pi)^2} \phi^{-1}$$

$$= \phi^3 \lambda(\phi) \left[ \frac{1}{3!} + \frac{\lambda(\phi)}{4(4\pi)^2} \left( \log \lambda(\phi) + C + \frac{1}{2} \right) + O(\lambda(\phi)^2) \right]$$

$$\underbrace{\log\left(\frac{3\lambda(\phi)}{4}\right) + 1}_{\text{positive } \forall \lambda(\phi) \geq 0}$$

$\phi = 0$  is the unique vacuum for  $\lambda(\phi) < 1$ .

No sign of spontaneous  $\mathbb{Z}_2$  symmetry breaking.

