

# Topology in the Lattice Yang-Mills Theory

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Based on

R. Kitano, N. Yamada + MY

arXiv: 2010.08810 [hep-lat]

R. Kitano, N. Yamada, R. Matsudo + MY

arXiv: 2102.08784 [hep-lat]

R. Kitano, N. Yamada + MY

arXiv: 2403.10767, 2411.00375 [hep-lat]

cf. papers on axions

<Inflation>

K. Yonekura + MY

arXiv:1704.05852 [hep-th]

Y. Nomura, T. Watari + MY

arXiv:1706.08522 [hep-ph]

Y. Nomura + MY

arXiv:1711.10490 [hep-ph]

<Dark Energy>

M. Ibe, T.T. Yanagida + MY

arXiv:1811.04664 [hep-th]

MY

arXiv:1910.08691 [hep-ph]

Yesterday: lattice gauge theories.

Can we prove **confinement** ???

$$\beta \sim \frac{1}{g^2} \sim \text{YM coupling}$$

strong coupling

$$\beta \rightarrow 0$$

(confinement  
proven in general)



interpolate??

weak coupling

$$\beta \rightarrow \infty$$

(continuum limit)

(perturbation theory  
+ resurgence ...)

✘ not always smoothly connected  
e.g. U(1) theory, SU(3) conformal window

When the system confines, we have a “trivial theory”  
below the gap

$$\mu \ll \Lambda$$

↑  
dynamical scale

.. but the “trivial theory” can be a non-trivial **TQFT**,  
once we have a theta-angle

Different topological sectors in 4d pure SU(N) YM:  
 weighted by the  $\theta$ -angle

Callan-Dashen-Gross '76

$$\mathcal{L} \supset \frac{\theta}{32\pi^2} \int \text{Tr} F_{\mu\nu} F^{\mu\nu} = \theta Q$$

$Q \in \mathbb{Z}$

$$e^{i\mathcal{L}} \supset e^{i\theta Q}$$

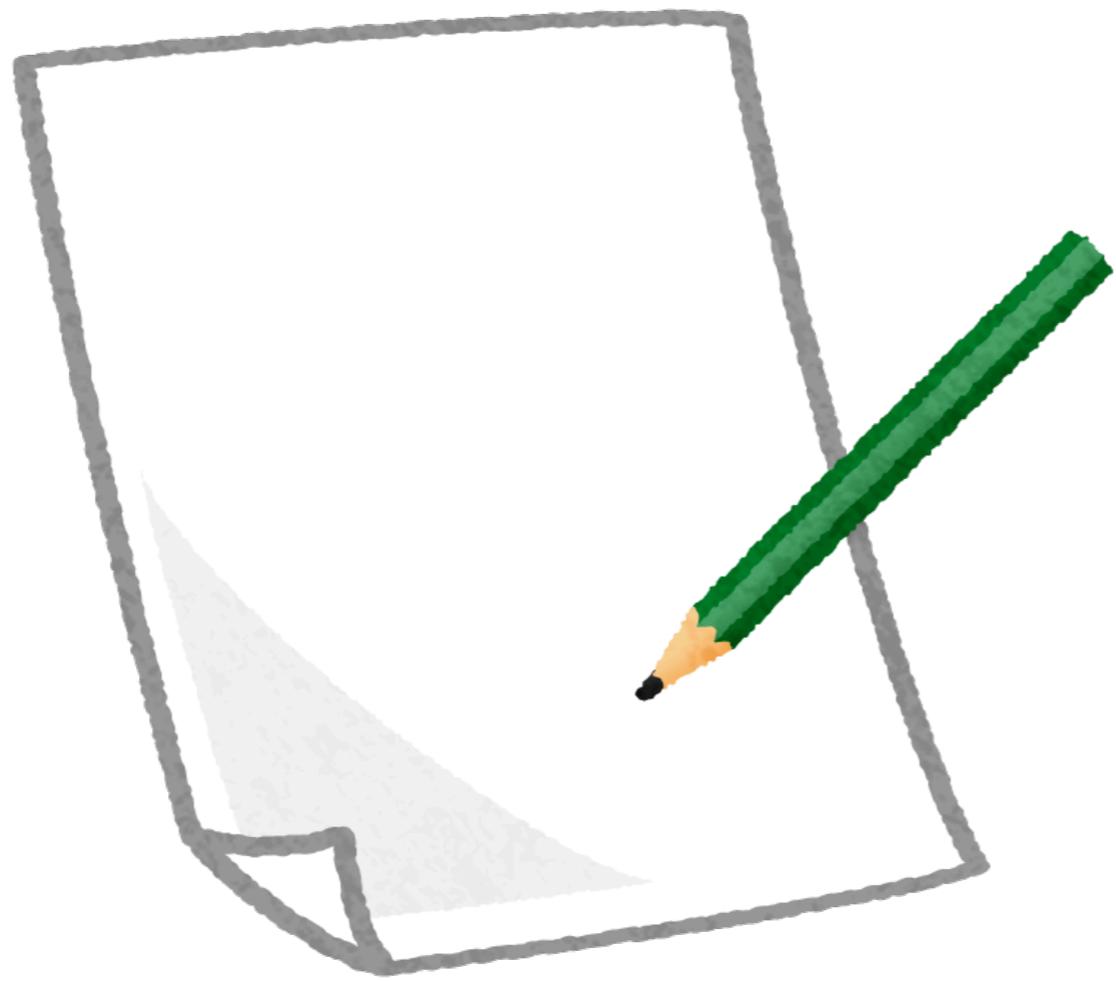
- $\theta \sim \theta + 2\pi$
- ~~CP~~ unless  $\theta = 0, \pi!$

Consider 4d SU(N) pure YM theory +  $\theta$ -angle

Q: Free Energy  $F(\theta) = -\frac{1}{V} \ln \frac{Z(\theta)}{Z(0)}$   
as a function of  $\theta$ ?

Q: Fate of CP-sym. @  $\theta = \pi$  ?  
cf. Gaiotto-Kapustin-Komargodski-Seiberg '17

Q: confinement, i.e.  $\mathbb{Z}_N$  1-form sym.



# Instanton Analysis t' Hooft '76

$$F(\theta) \sim \int_{p \rightarrow \infty: \text{IR}} \frac{dp}{p^5} e^{-\frac{8\pi^2}{g^2} (\mu p)^{\frac{11N}{3}} (1 - \cos \theta)} + \dots$$

(multi instanton)

\*  $2\pi$  - periodic,  $CP$  preserved

# Instanton Analysis t' Hooft '76

$$F(\theta) \sim \int_{\rho \rightarrow \infty: \text{IR}} \frac{d\rho}{\rho^5} e^{-\frac{8\pi^2}{g^2} (\mu\rho)^{\frac{11N}{3}}} (1 - \cos \theta) + \dots$$

(multi instanton)

\*  $2\pi$  - periodic,  $CP$  preserved

\* **Not correct** in general! (for  $T \ll T_c$ )

divergence as  $\rho \rightarrow \infty$ ; IR problem

# Large N 't Hooft '73, ..., Witten '80

$$\mathcal{L} \sim \frac{1}{g^2} \text{Tr} F \wedge *F + \theta \text{Tr} F \wedge F$$

$$\sim \frac{1}{N^4} \left( \underbrace{\frac{1}{g^2 N} \text{Tr} F \wedge *F}_{\text{fixed}} + \underbrace{\frac{\theta}{N} \text{Tr} F \wedge F}_{\text{fixed}} \right)$$

$$\text{" } \hbar \sim \frac{1}{N} \ll 1 \text{"}$$

# Large N

't Hooft '73, ..., Witten '80

$$\hbar \sim \frac{1}{N} \ll 1$$

$$\mathcal{L} \sim \frac{1}{g^2} \text{Tr} F \wedge * F + \theta \text{Tr} F \wedge F$$

$$\sim \frac{1}{N^4} \left( \underbrace{\frac{1}{g^2 N}}_{\text{fixed}} \text{Tr} F \wedge * F + \underbrace{\frac{\theta}{N}}_{\text{fixed}} \text{Tr} F \wedge F \right)$$

$$E(\theta) = N^2 f\left(\frac{\theta}{N}\right) = \frac{1}{2} \chi \theta^2 (1 + b_2 \theta^2 + \dots)$$

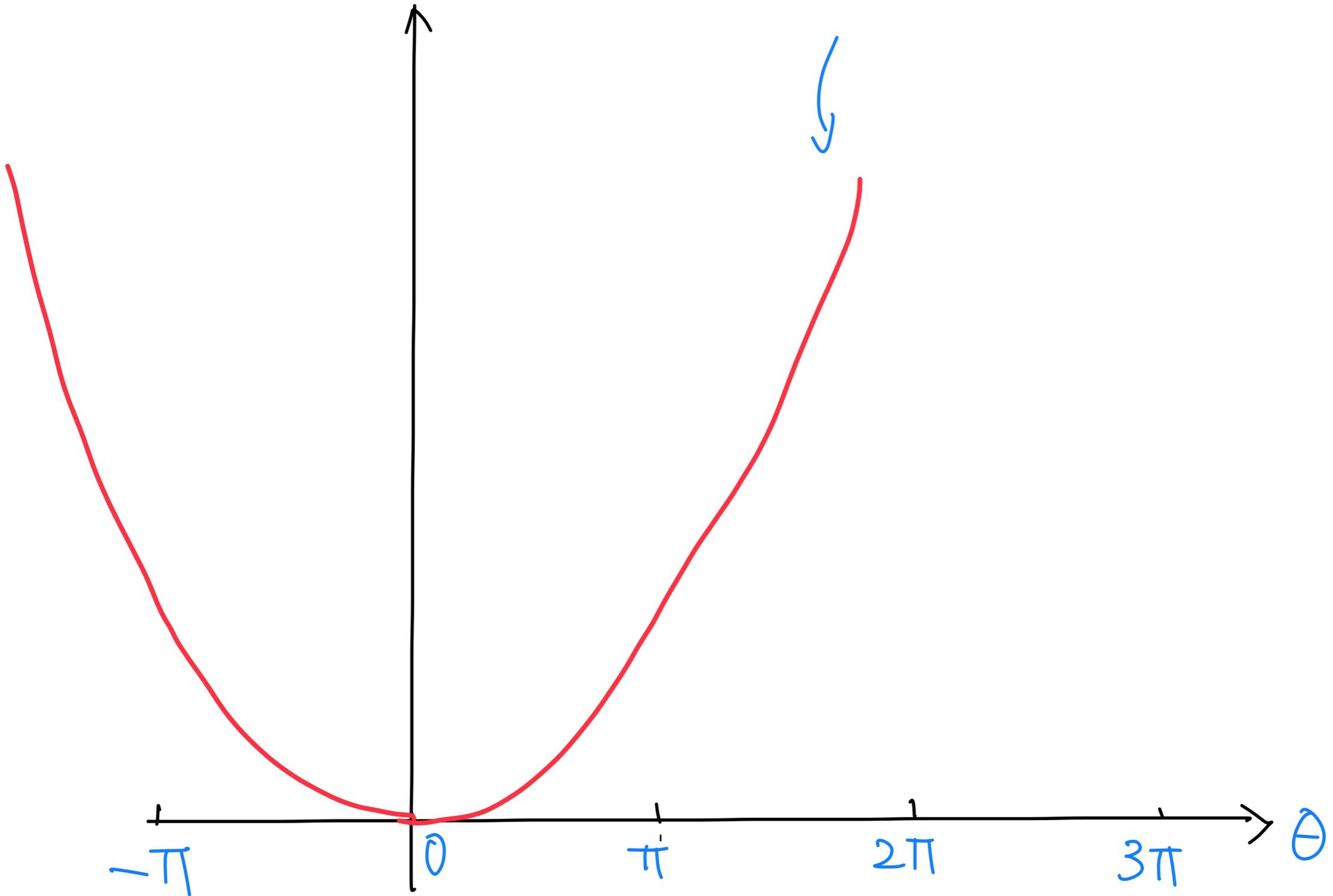
$$\chi = \chi^{(0)} + O\left(\frac{1}{N^2}\right) \rightarrow \chi^0$$

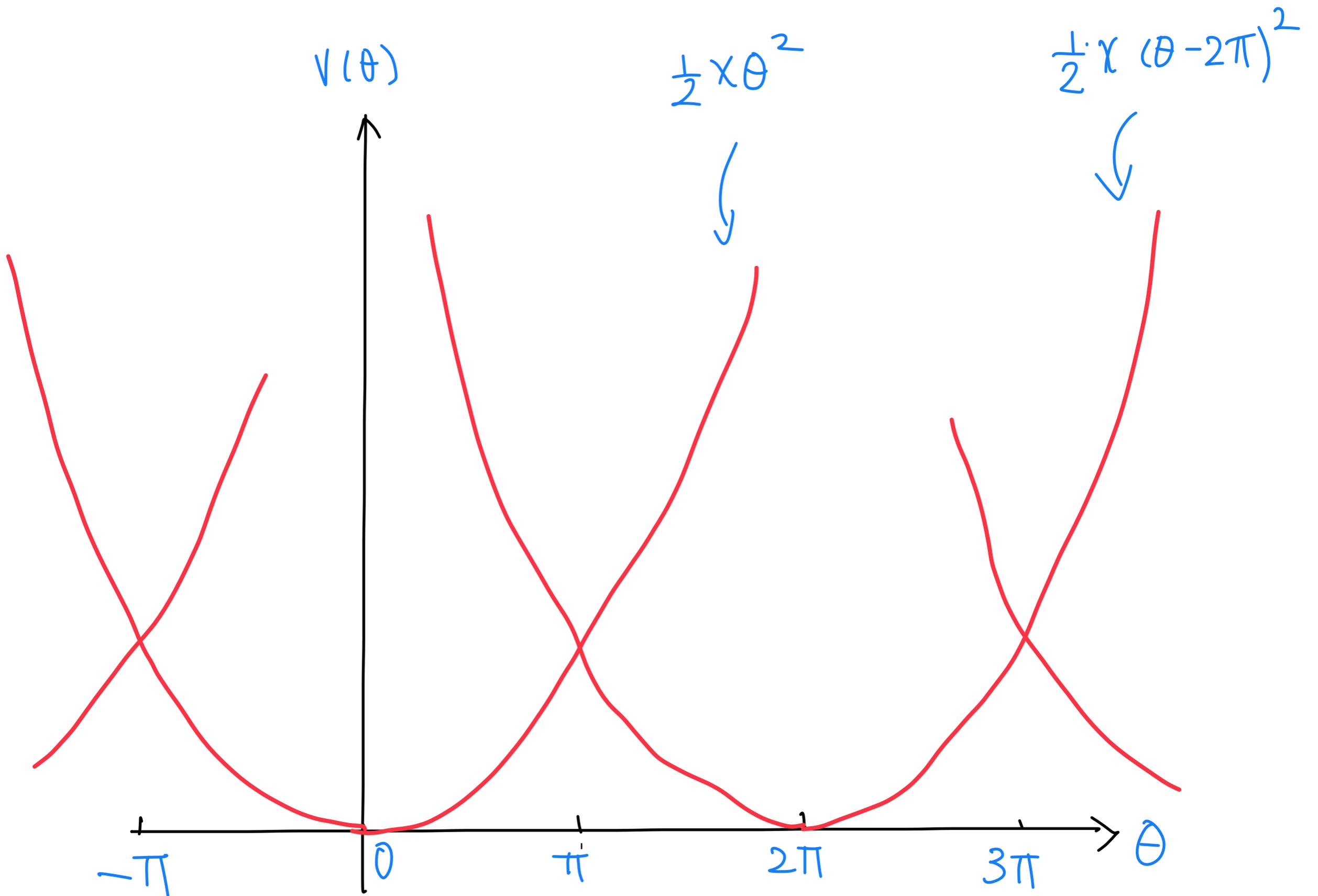
$$b_{2n} = \frac{b_{2n}^{(0)}}{N^{2n}} + O\left(\frac{1}{N^{2n+2}}\right) \rightarrow 0$$

∴ NOT  $2\pi$ -periodic

$V(\theta)$

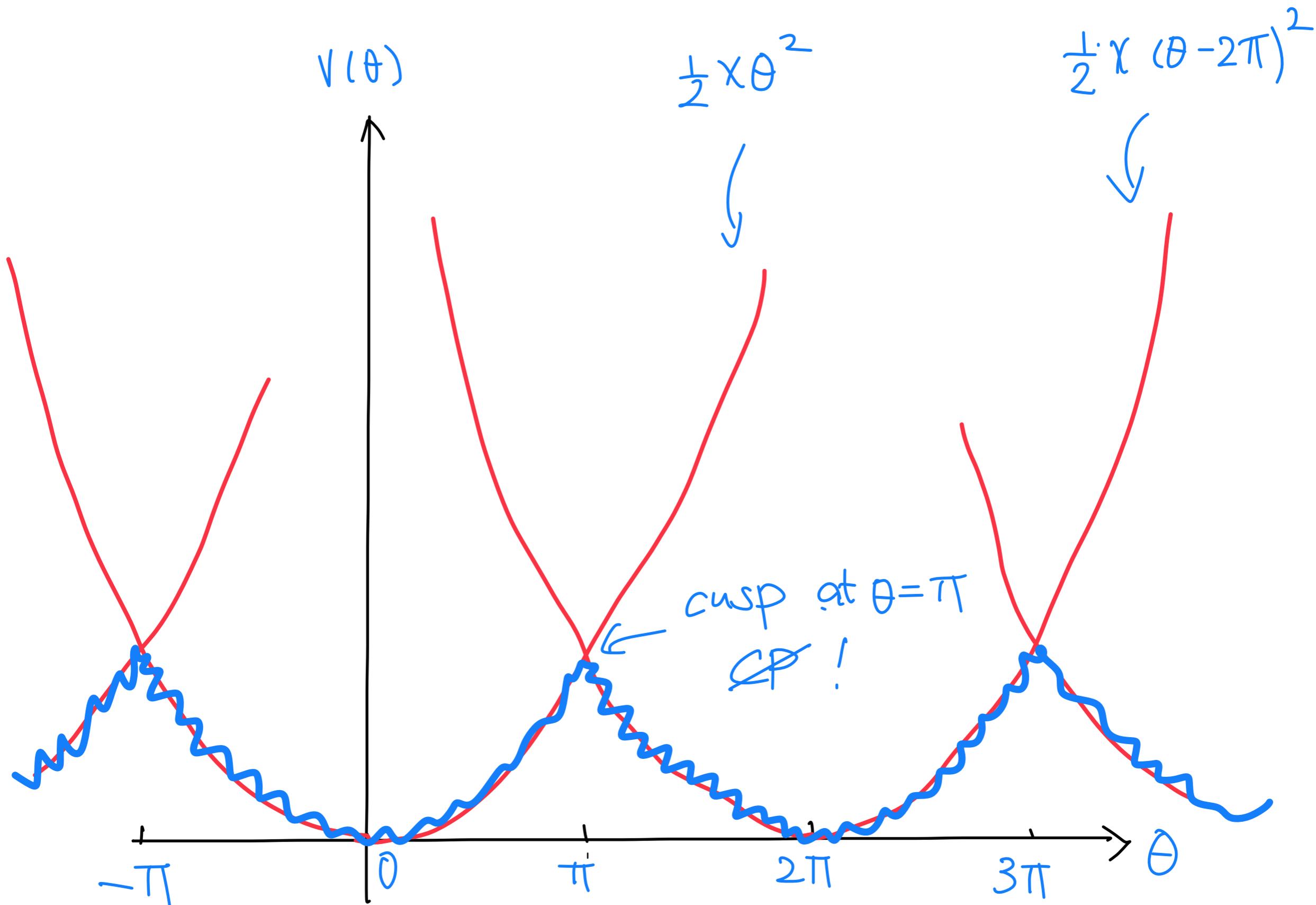
$\frac{1}{2} \times \theta^2$





multiple branches

Witten '80; cf. Dashen '71



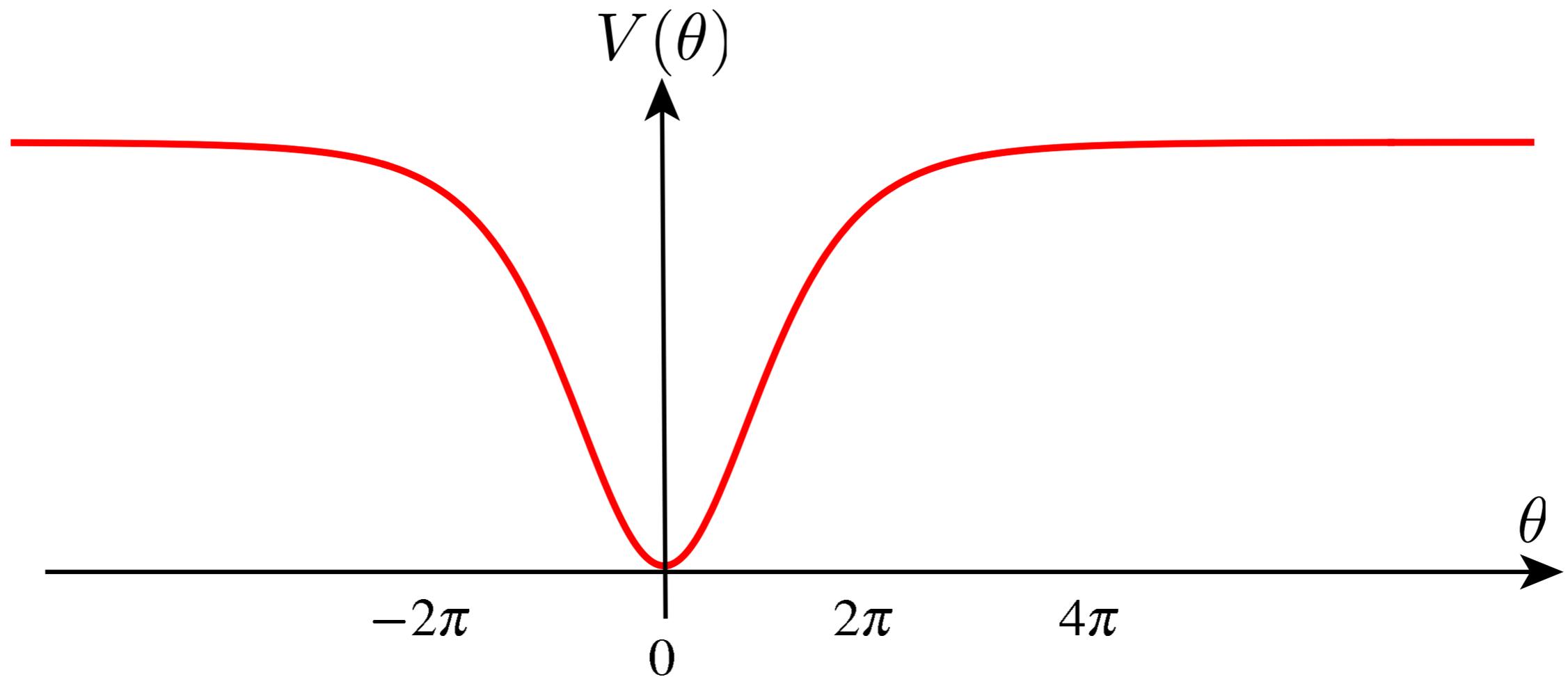
multiple branches

Witten '80; cf. Dashen '71

Finite N?

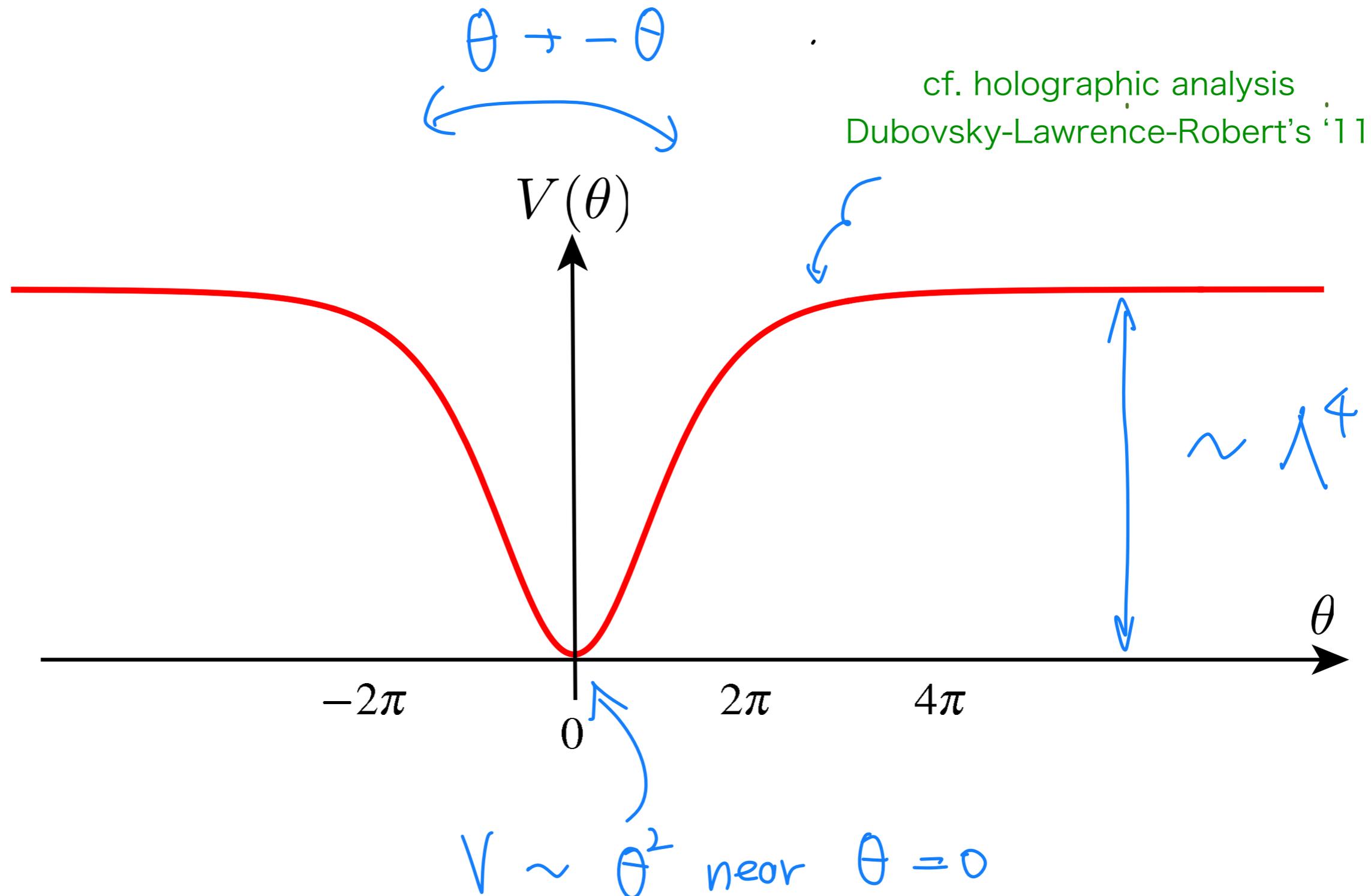
# Expectation for large but finite N

Based on several papers by MY and collaborators



# Expectation for large but finite N

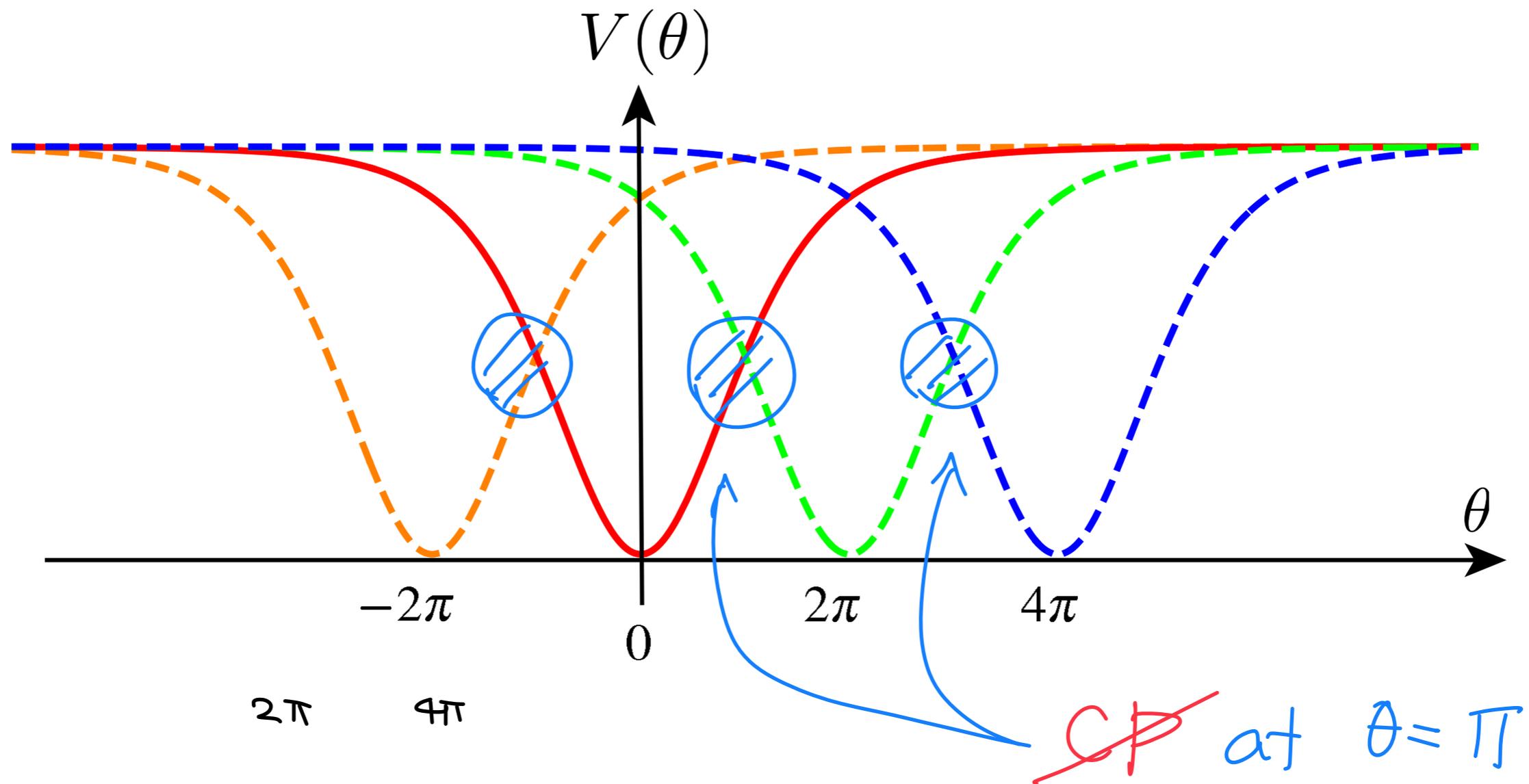
Based on several papers by MY and collaborators



cf. Vafa-Witten '84

# Expectation for large but finite N

Based on several papers by MY and collaborators

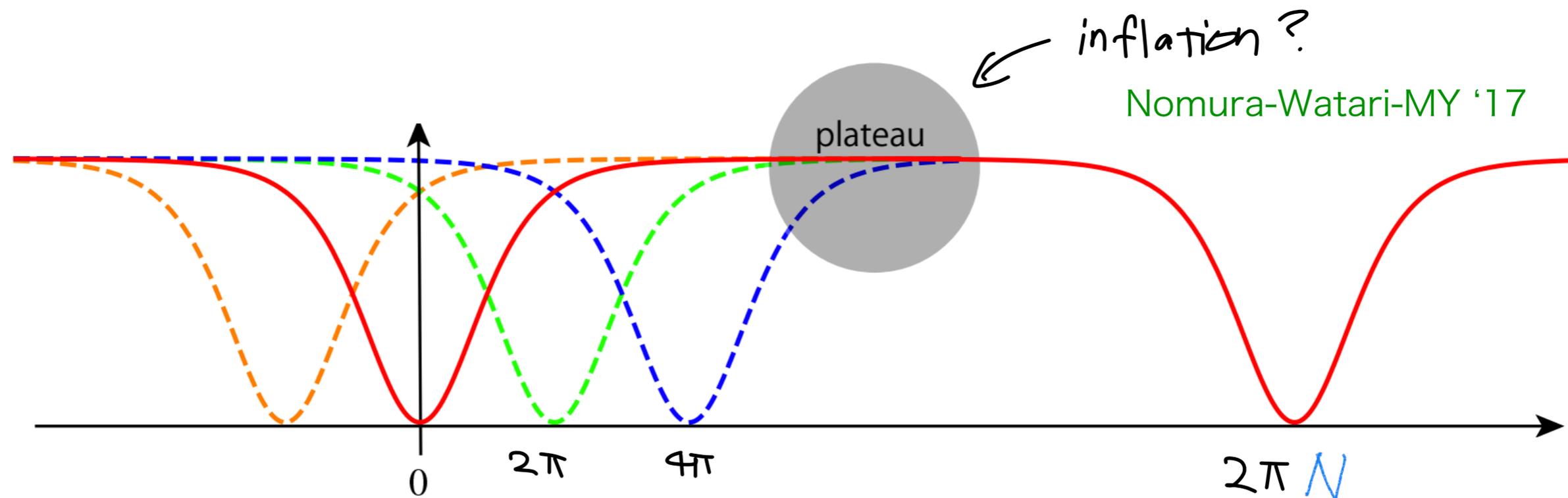


claim:  $N$  branches

Yonekura-MY '17, cf. Witten '80

# Expectation for large but finite $N$

Based on several papers by MY and collaborators



claim:  $N$  branches

Yonekura-MY '17, cf. Witten '80

# 4d vs. 2d

MY + Yonekura, MY '17

\* 4d  $SU(N)$  pure YM ( $\mathbb{Z}_N$  center sym.)



$T^2$

cf. Atiyah '84

Looijenga '77, '80

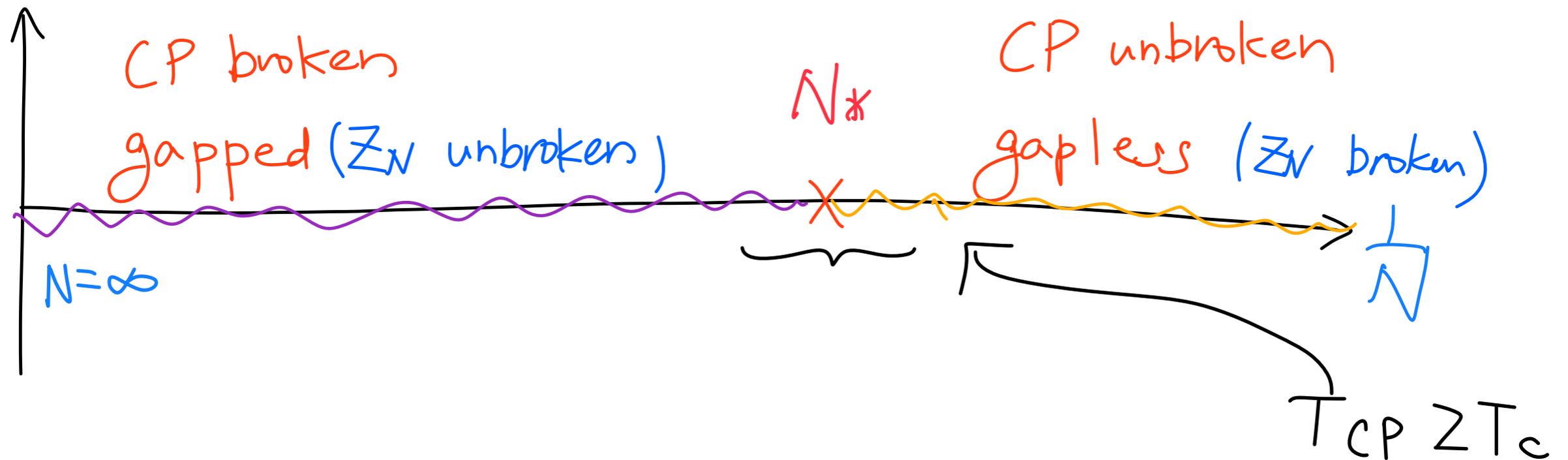
$$\mathcal{M}_{\text{flat}}^{SU(N)}(T^2) \simeq \mathbb{C}P^{N-1}$$

+ (singularities)

\* 2d " $\mathbb{C}P^{N-1}$  - model" ( $\mathbb{Z}_N$  flavor sym.)

# Small N ? $SU(2)$ ??

4d  $SU(N)$  YM ( $\theta = \pi$ )



# 4d vs. 2d

MY + Yonekura, MY '17

\* 4d  $SU(N)$  pure YM ( $\mathbb{Z}_N$  center sym.)



$T^2$

cf. Atiyah '84

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$S^1$

(+  $\mathbb{Z}_N$  twist)

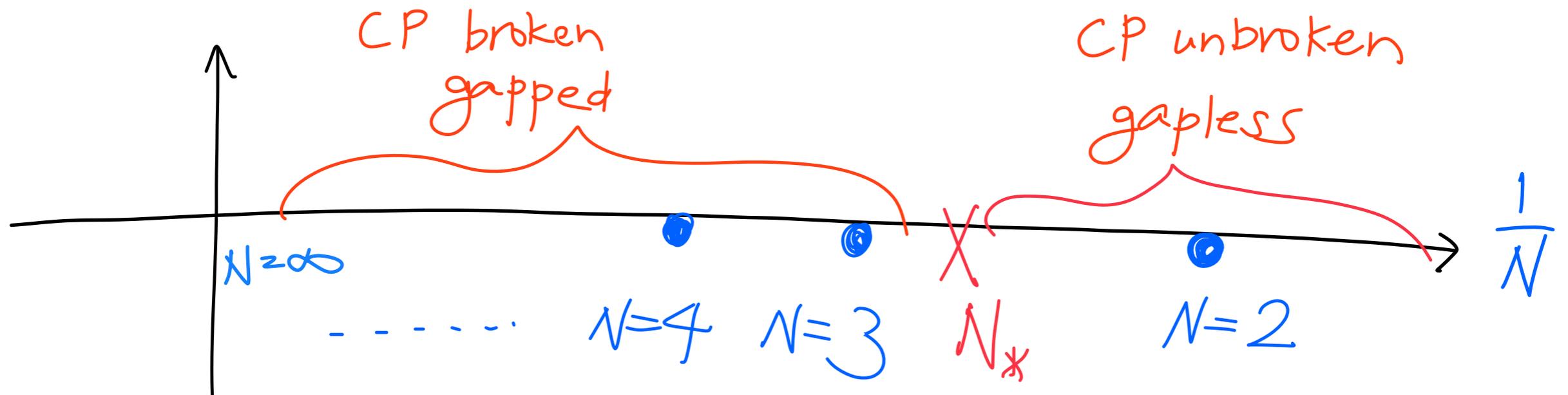
fractional instanton  
≐ renormalon

\* 1d quantum mechanics

cf. Dunne-Unsal '12 for  $\mathbb{C}P^N$  model

# Small N ? $SU(2)??$

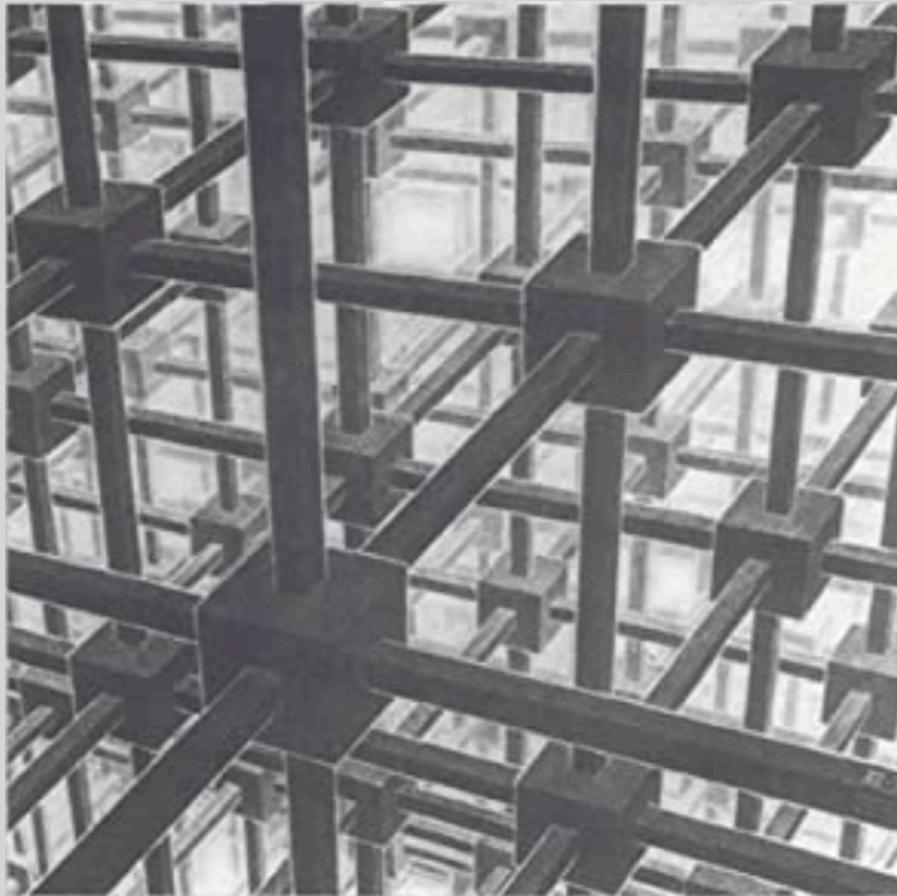
2d  $CP^{N-1}$  model ( $\theta = \pi$ )



Haldane '83, Affleck-Haldane '87, Shankar-Read '90, ...

In view of the remarkable similarities between the sigma model and four-dimensional  $SU(2)$  Yang-Mills theory, it seems very likely that the latter is also massless at  $\theta = \pi$ .

# Computer Simulations



... requires computational resources  
(and several years of my research time!)

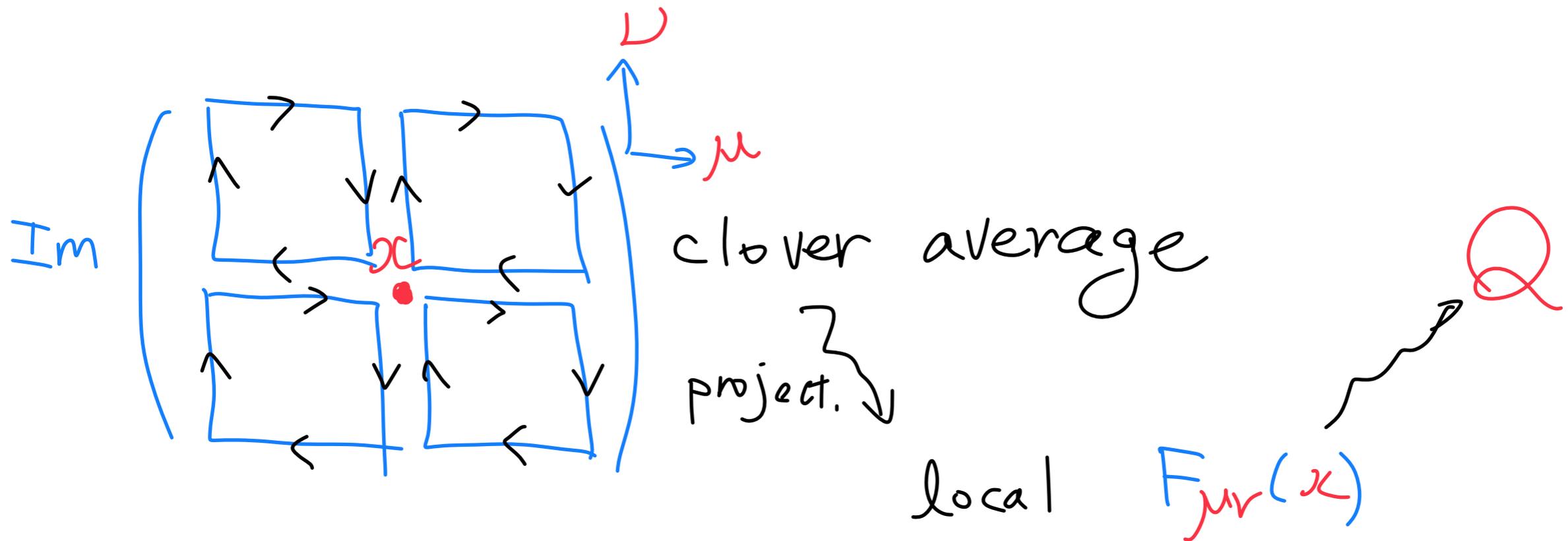
Oakforest-PACS in Kashiwa



Cygnus in Tsukuba



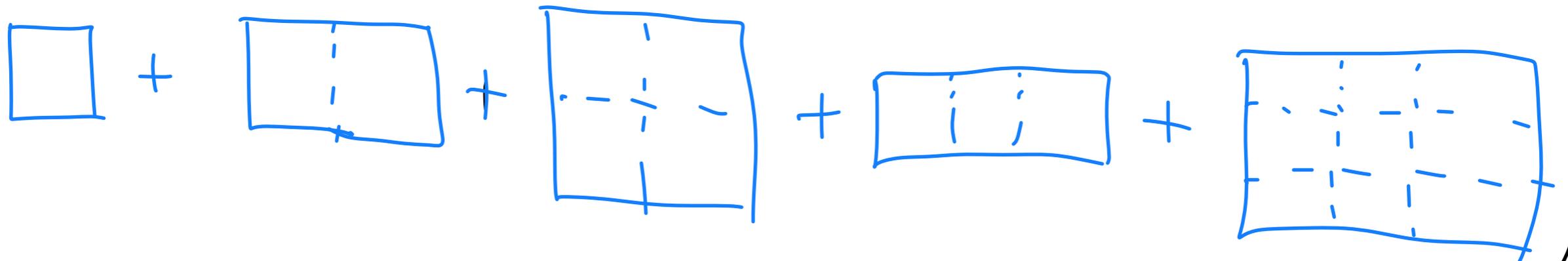
“Just do it” on the lattice?



improvement

no  $\mathcal{O}(a^2), \mathcal{O}(a^4)$

de Forcrand-Garcia Perez-Stamatescu '97

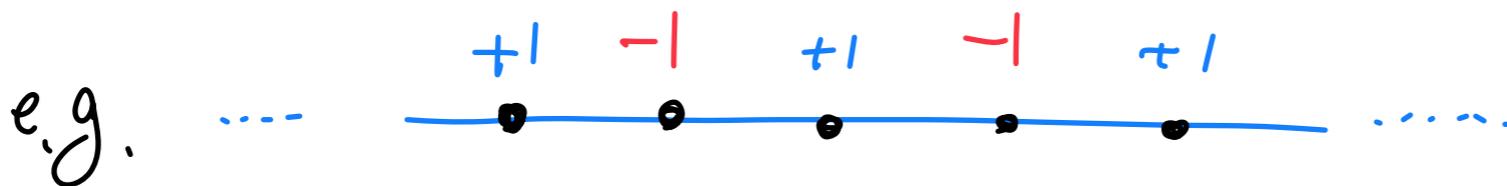


However...



$Q$  is not quantized on the lattice

(short-distance fluctuations)



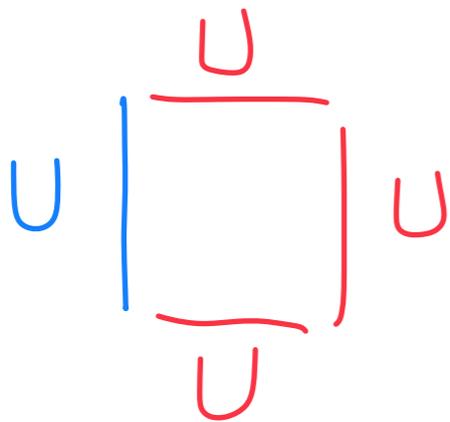
Luscher '82

(cf. definition of  $Q$  in terms of  
overlap fermion does not have this problem  
but computationally very expensive)

# Practical solution: smearing

APE smearing

Albanese+ '87



$$U_{\mu}^{(\text{new})} = \text{Proj} \left[ (1 - \rho) U_{\mu}^{(\text{old})}(\mathbf{x}) + \rho X_{\mu}(\mathbf{x}) \right] ,$$

$$X_{\mu}(x) = \sum_{\nu \neq \mu} \left[ U_{\nu}^{(\text{old})}(x) U_{\mu}^{(\text{old})}(x + \hat{\nu}) U_{\nu}^{(\text{old})\dagger}(x + \hat{\mu}) \right. \\ \left. + U_{\nu}^{(\text{old})\dagger}(x - \hat{\nu}) U_{\mu}^{(\text{old})}(x - \hat{\nu}) U_{\nu}^{(\text{old})}(x - \hat{\nu} + \hat{\mu}) \right] ,$$

gradient flow

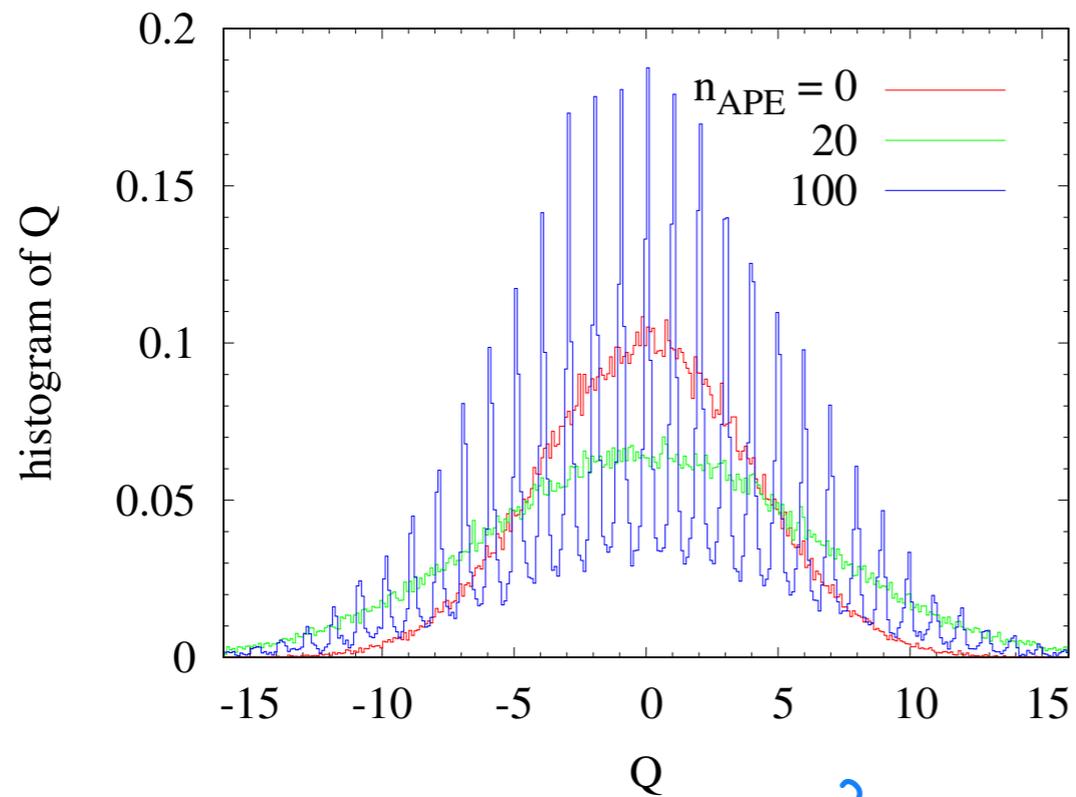
Luscher '10

$$\frac{\partial A_{\mu}(S)}{\partial s} = \frac{\delta \mathcal{L}}{\delta A_{\mu}(S)}$$

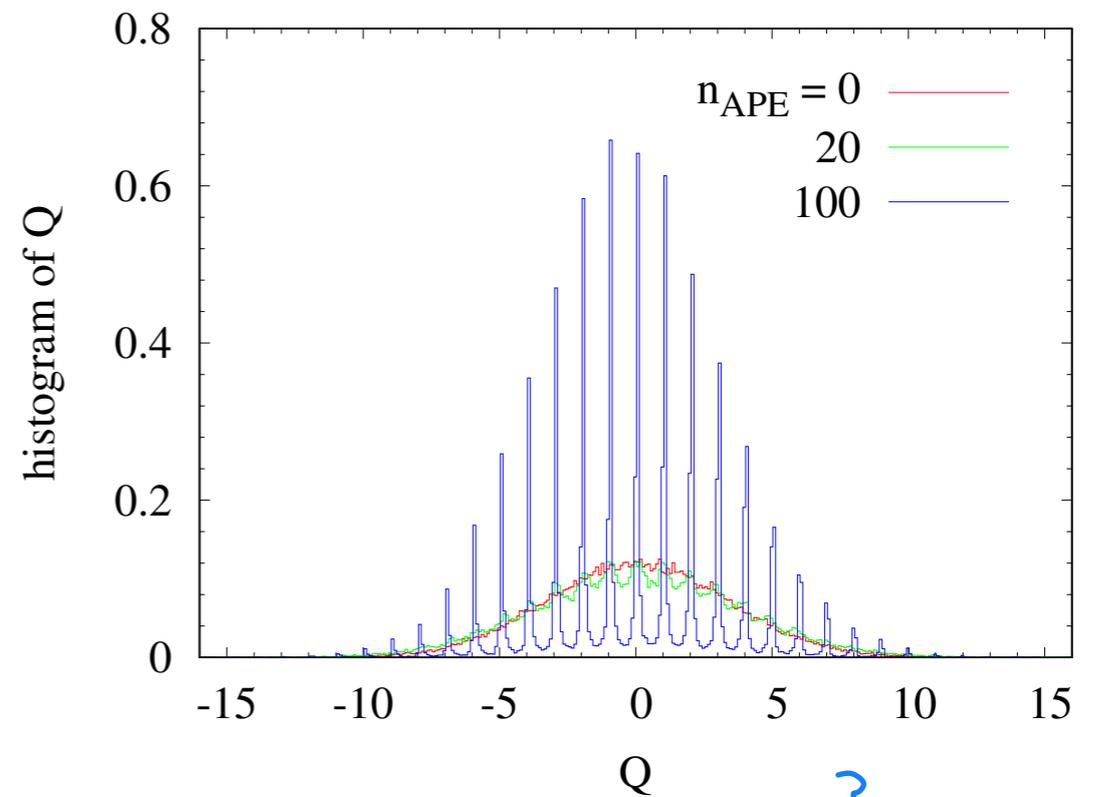
different methods consistent

cf. Alexandrou et al., '17

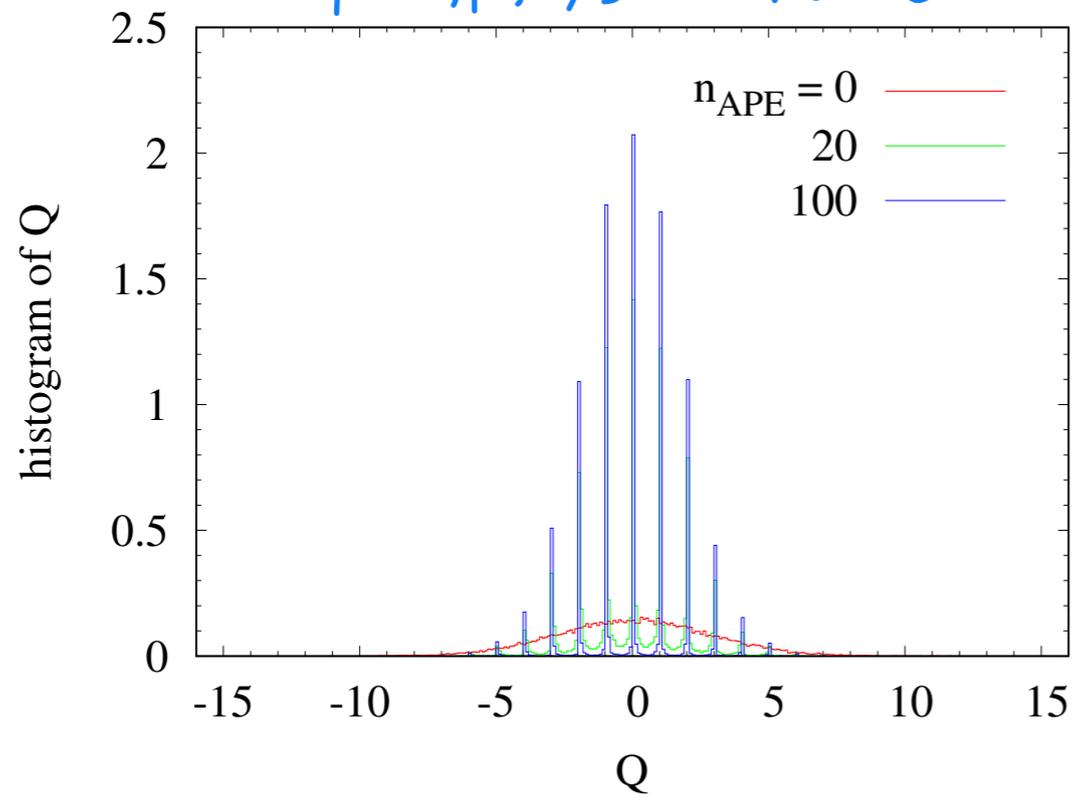
$\beta = 1.75$   $16^3 \times 32$



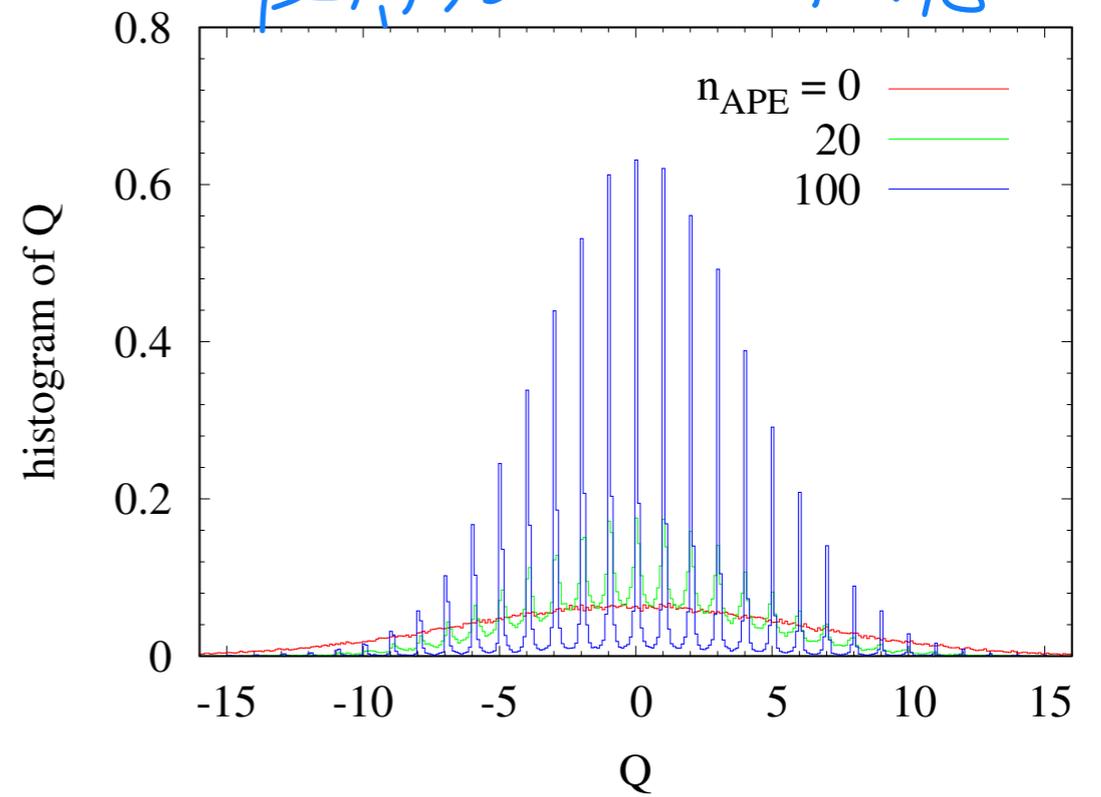
$\beta = 1.85$   $16^3 \times 32$

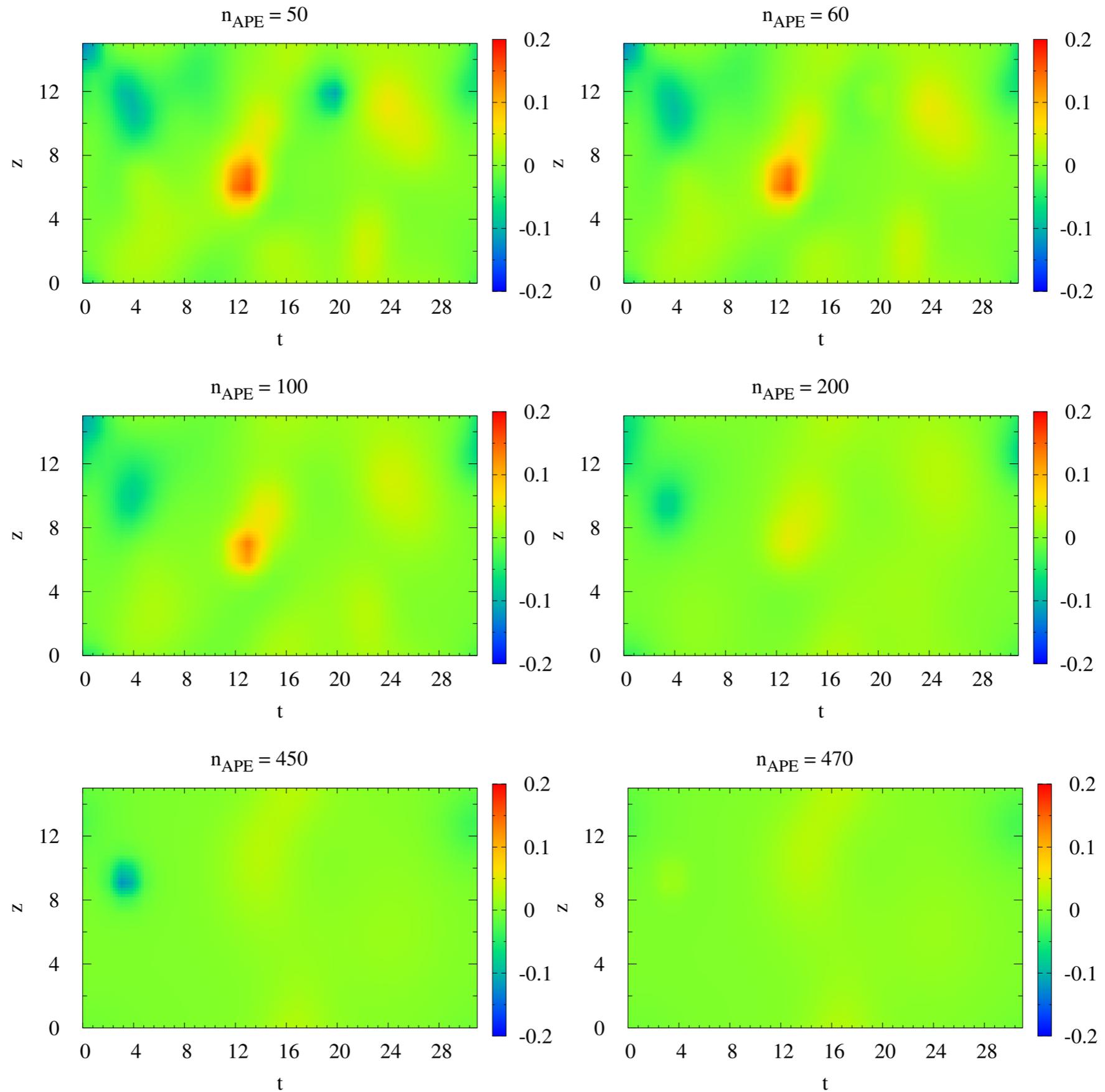


$\beta = 1.975$   $16^3 \times 32$



$\beta = 1.975$   $24^3 \times 48$





cf.[Bilson-Thompson, Leinweber, Williams, Dunne '03]

“Just do it” on the lattice? However...



sign problem

$$e^{-S_g + i\theta Q}$$

widely fluctuating

$$\textcircled{a} \theta \sim \pi$$

expansion around  $\theta = 0$

Kitano-Yamada-MY '20

sub-volume method

Kitano-Yamada-Matsudo-MY '21

cf. analytic continuation in  $\theta^2 \leftarrow$  Masazumi's talk

expansion around  $\theta = 0$

generate gauge conf. at  $\theta = 0$  ← no sign problem

↓  
measure top. charge  $Q$

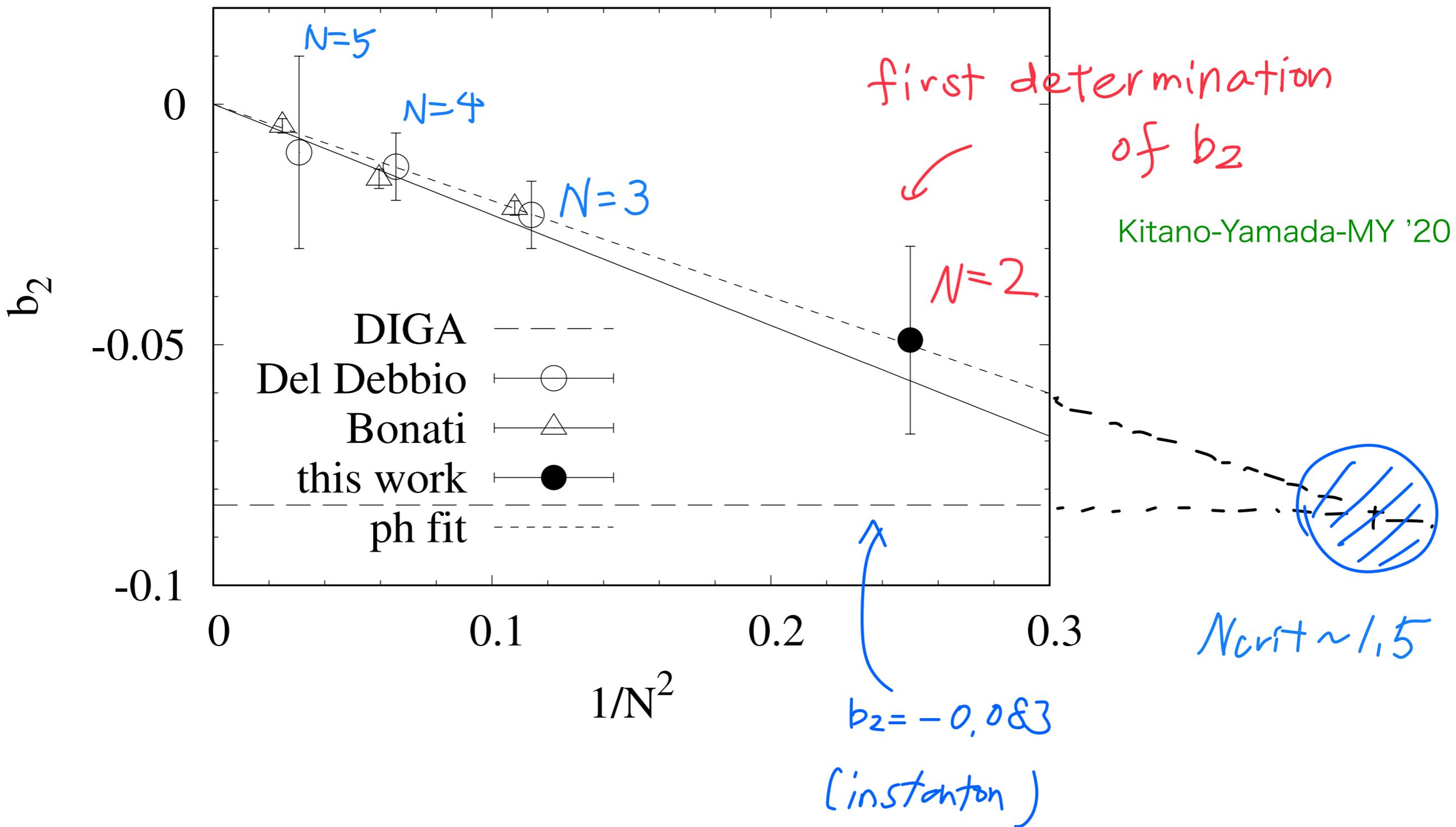
↓  
$$\chi = \frac{\langle Q^2 \rangle_{\theta=0}}{V},$$

$$b_2 = \frac{\langle Q^4 \rangle_{\theta=0} - 3 \langle Q^2 \rangle_{\theta=0}^2}{12 \langle Q^2 \rangle_{\theta=0}},$$

$$b_4 = \frac{\langle Q^6 \rangle_{\theta=0} - 15 \langle Q^2 \rangle_{\theta=0} \langle Q^4 \rangle_{\theta=0} + 30 \langle Q^2 \rangle_{\theta=0}^3}{360 \langle Q^2 \rangle_{\theta=0}},$$

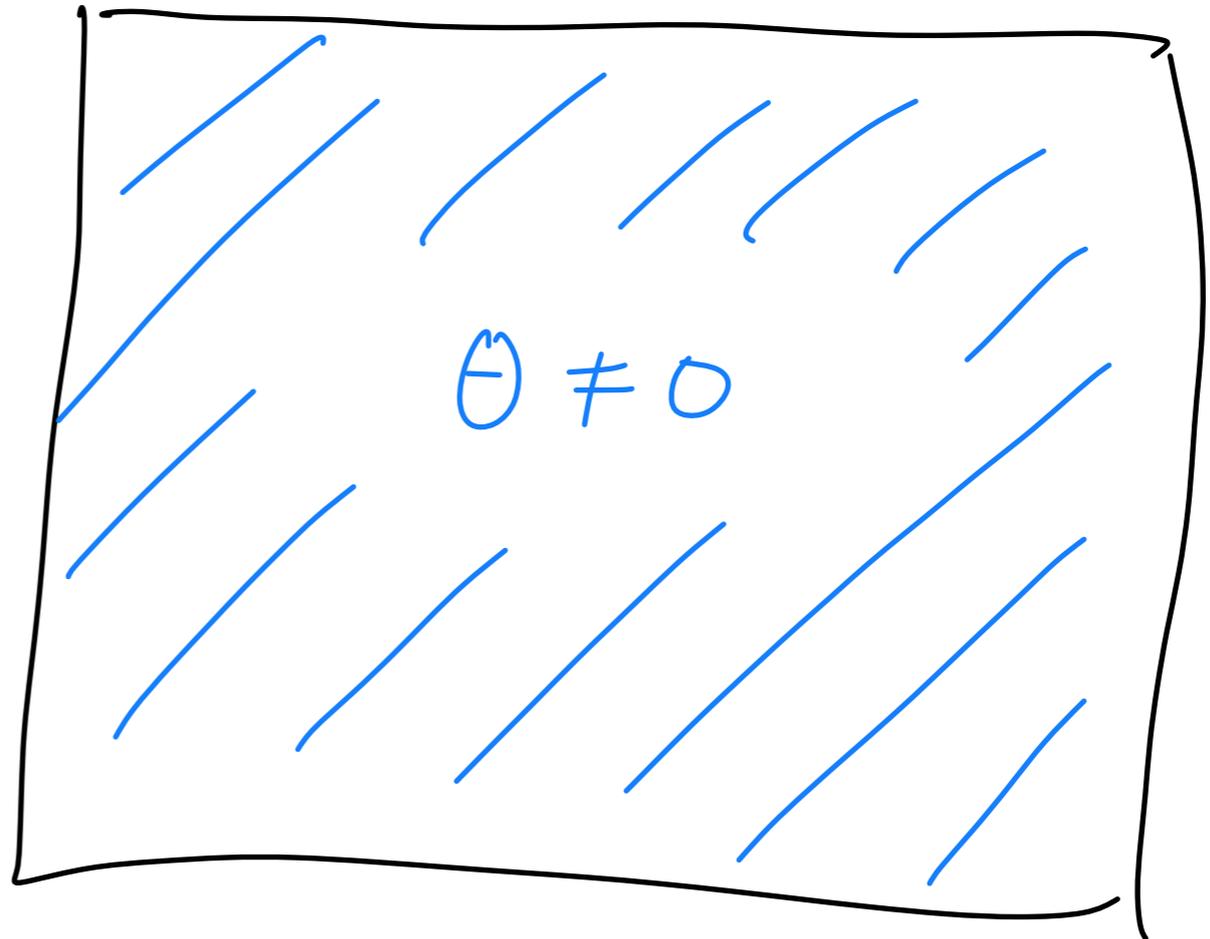
$$F(\theta) = \frac{1}{2} \chi \theta^2 (1 + b_2 \theta^2 + b_4 \theta^4 + \dots)$$

$$F(\theta) = \frac{1}{2} \chi \theta^2 (1 + b_2 \theta^2 + b_4 \theta^4 + \dots)$$



# Subvolume method

Kitano-Yamada-Matsudo-MY '21



$Q \in \mathbb{Z}$

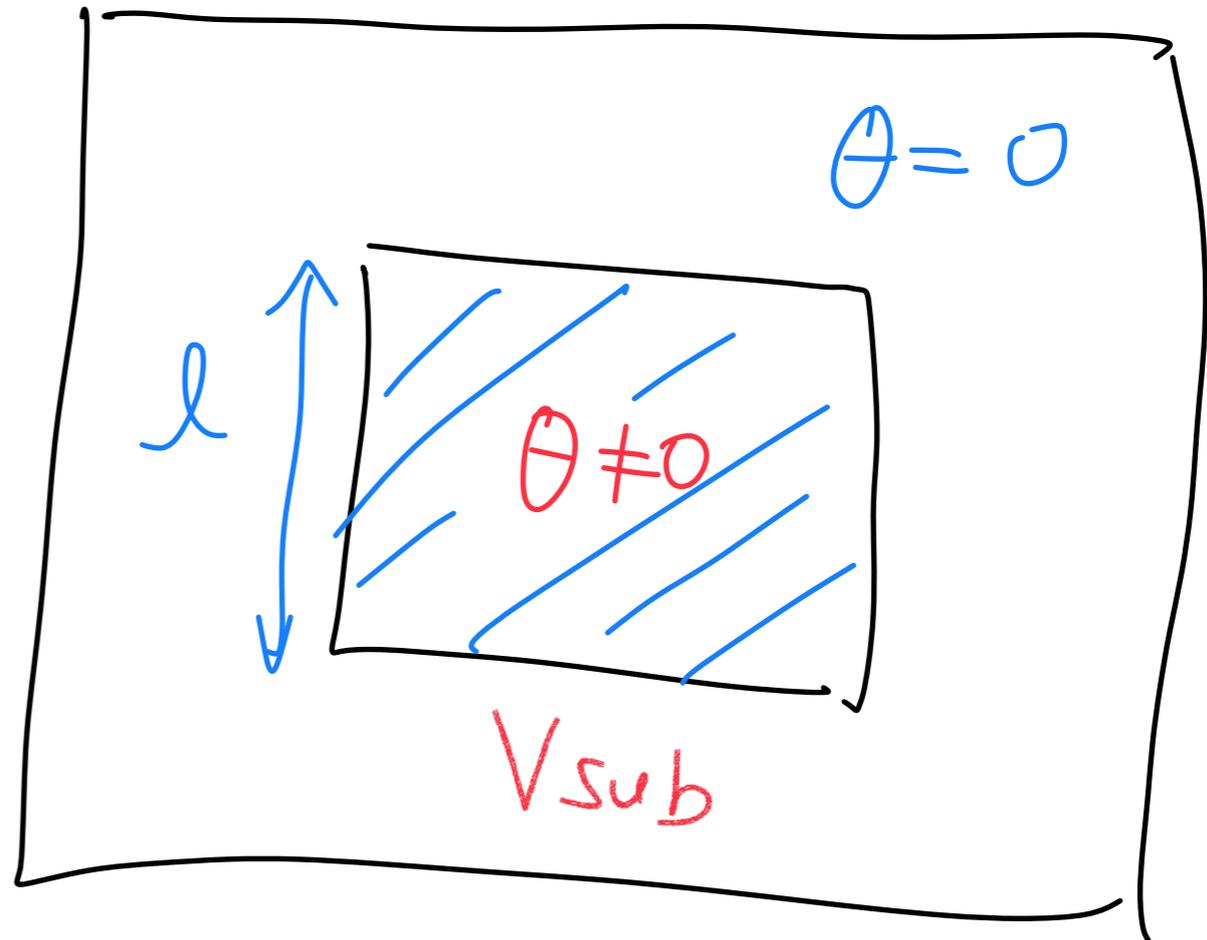
$$\left( e^{iQ\pi} = (-1)^Q = \pm 1 \right)$$

# Subvolume method

Kitano-Yamada-Matsudo-MY '21

Idea

(measure  
 $Q_{sub}$  inside  $V_{sub}$ )



$Q_{sub} \neq \int$

better w/ sign problem!

# Subvolume method

Kitano-Yamada-Matsudo-MY '21

$$e^{-V_{\text{sub}}} F_{\text{sub}}(\theta) = \frac{1}{Z} \int \mathcal{D}U e^{-S_g + i\theta Q_{\text{sub}}}$$

$$= \langle e^{i\theta Q_{\text{sub}}} \rangle$$

↑ "reweighting"

# Subvolume method

Kitano-Yamada-Matsudo-MY '21

$$e^{-V_{\text{sub}}} F_{\text{sub}}(\theta) = \frac{1}{Z} \int \mathcal{D}U e^{-S_g + i\theta Q_{\text{sub}}}$$
$$= \langle e^{i\theta Q_{\text{sub}}} \rangle$$

Fit

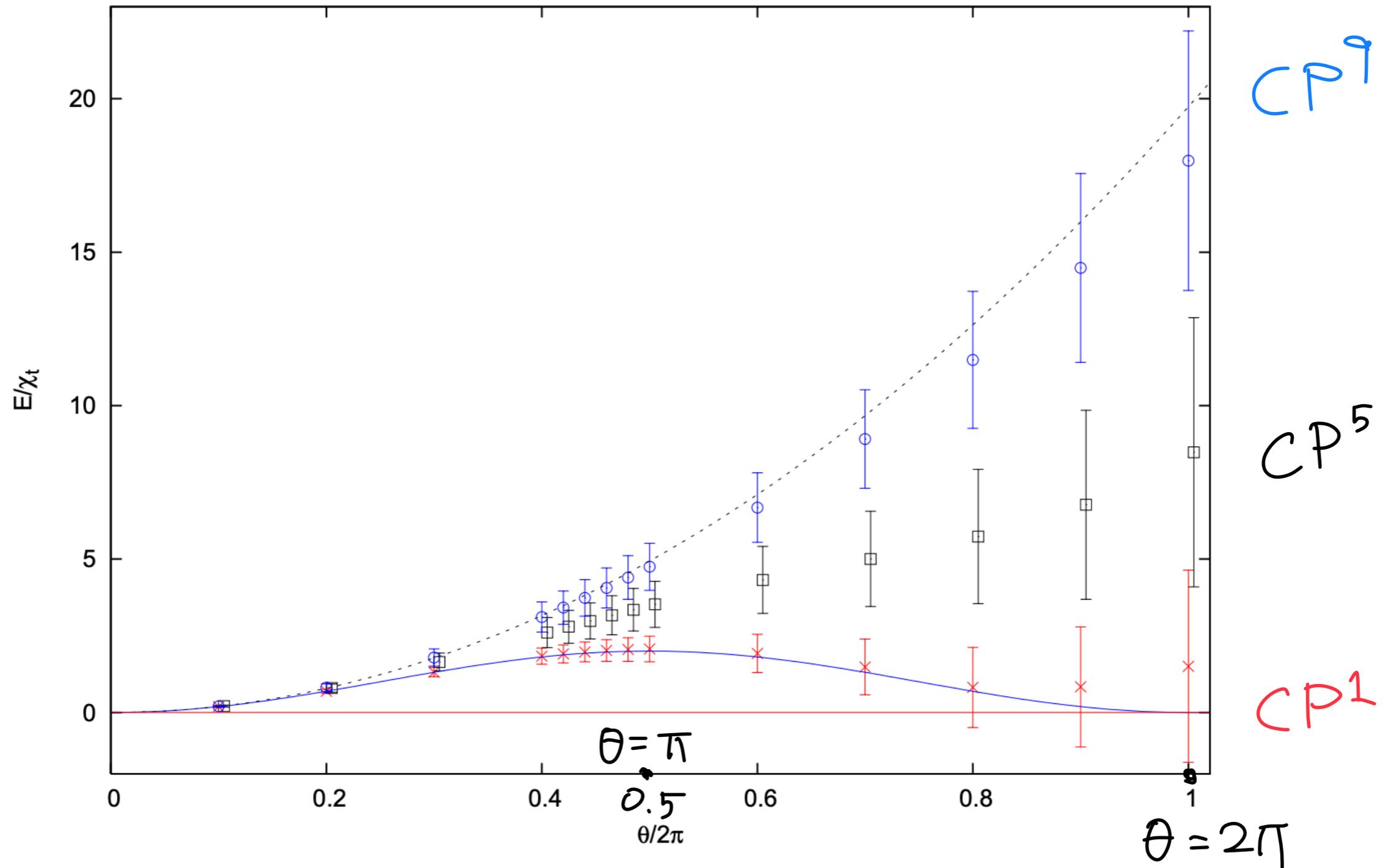
$$F_{\text{sub}}(\theta) \sim F(\theta) + \frac{S(\theta)}{\ell} + \mathcal{O}\left(\frac{1}{\ell^2}\right)$$

Subvol. size      Surface tension

$$(aT_c)^{-4} \ll V_{\text{sub}} \ll V_{\text{full}}$$

# Works for $CP^N$ model

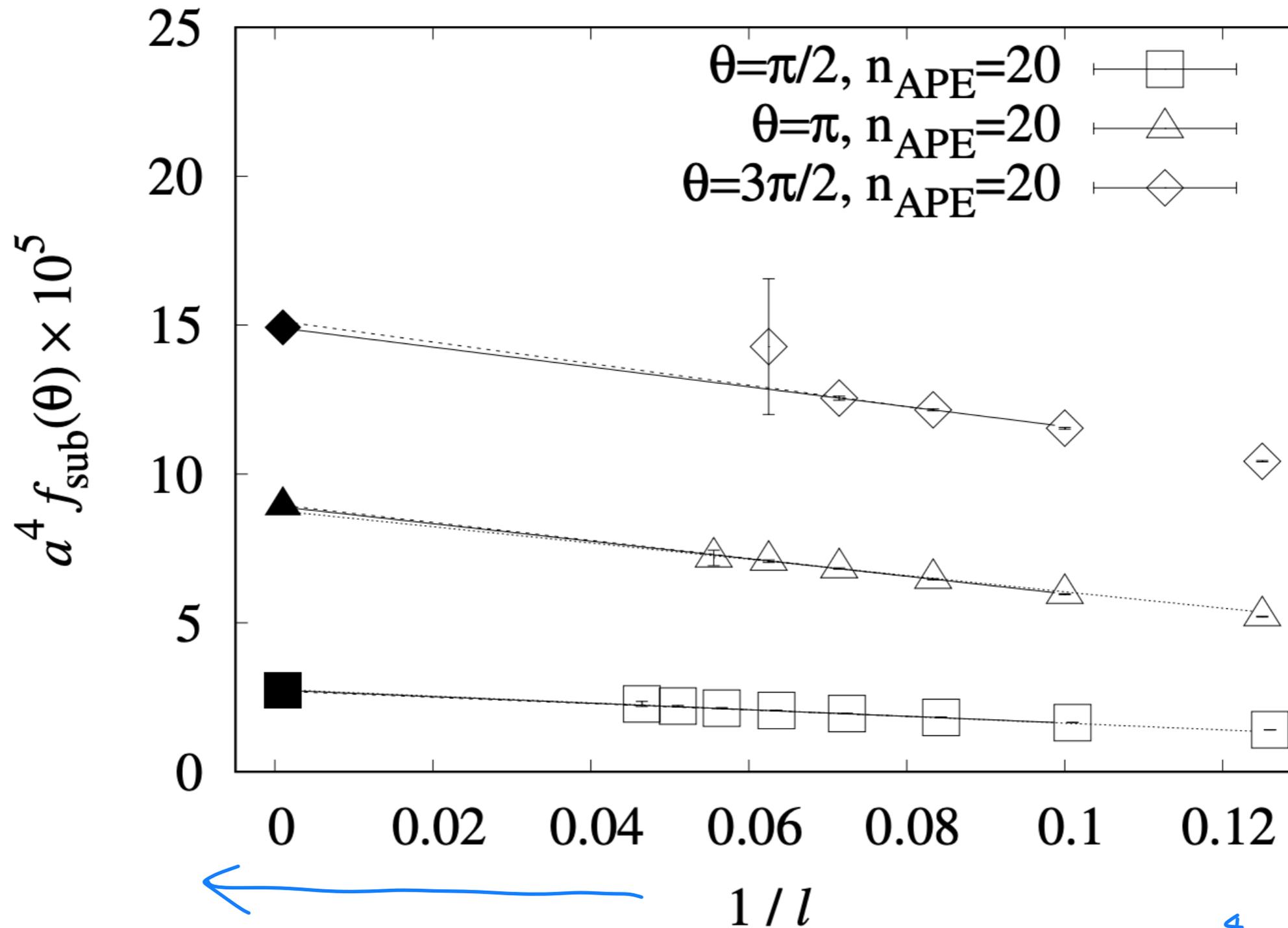
Keith-Hynes & Thacker '08



What about 4d YM? Let's start with  $T=0$

# Linear extrapolation works for subvolume!

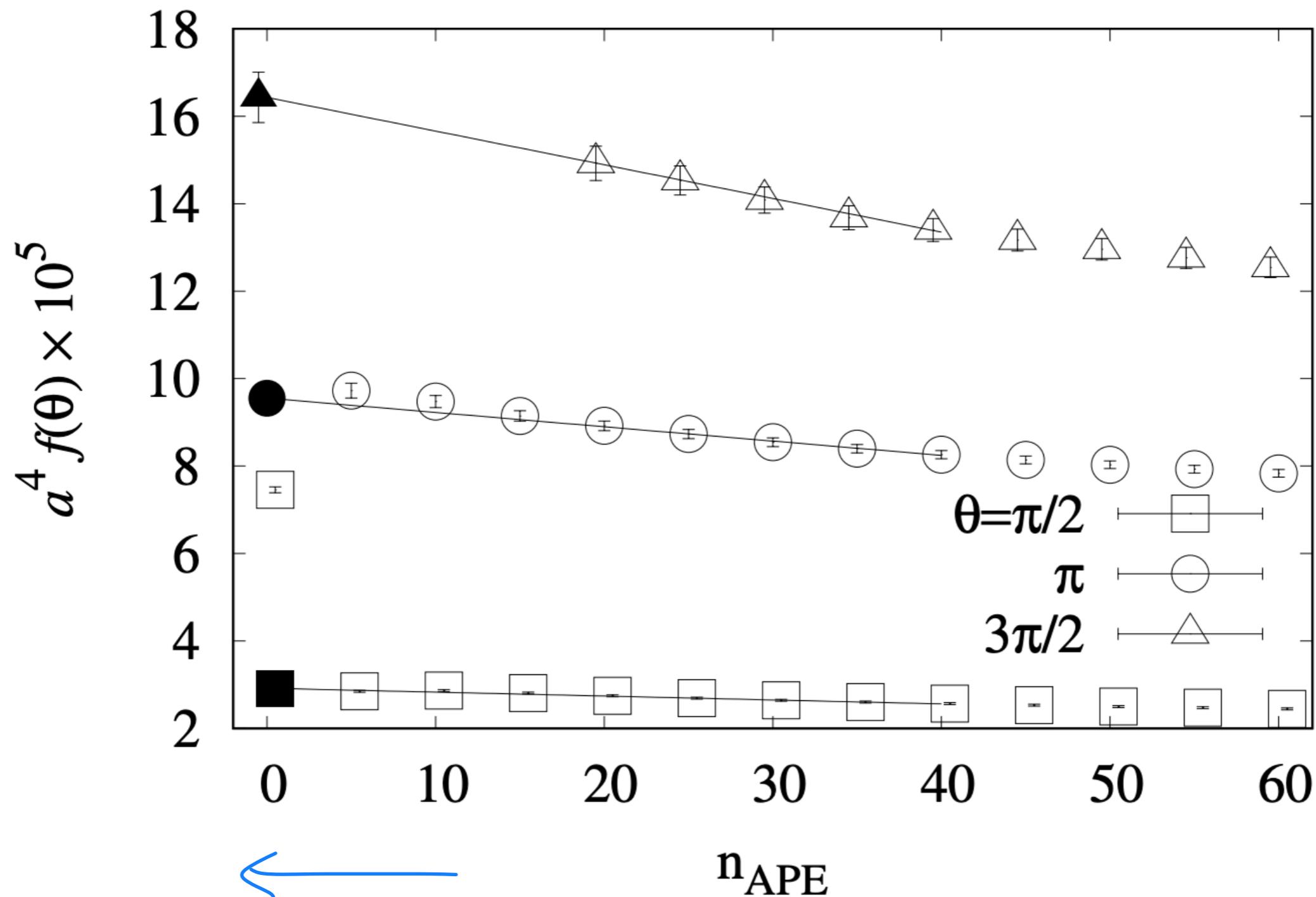
Kitano-Yamada-Matsudo-MY '21



$[10, 12, \dots, 24]^4 \subset 24^3 \times 48$

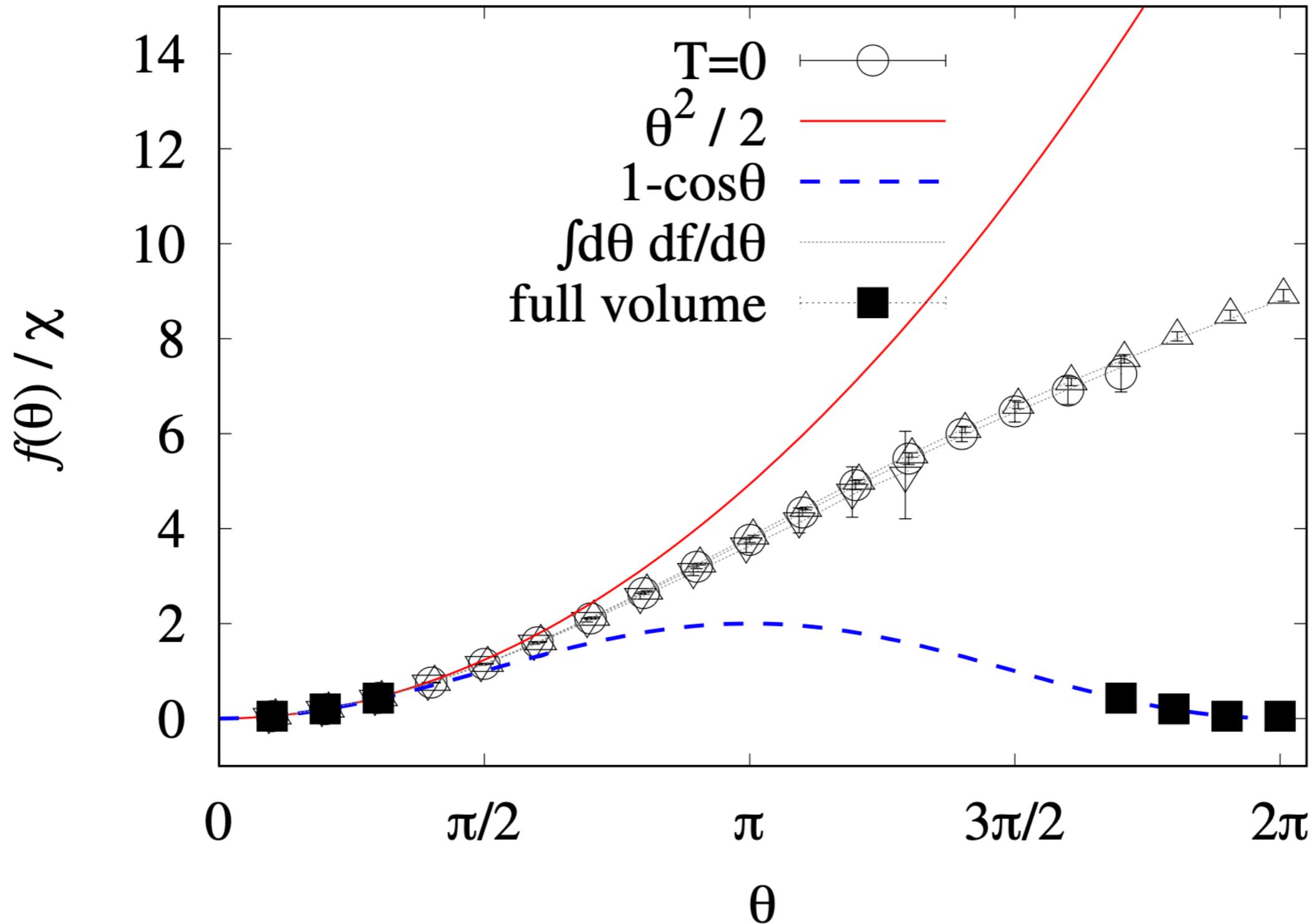
Let's also not forget to extrapolate in the smearing steps

Kitano-Yamada-Matsudo-MY '21



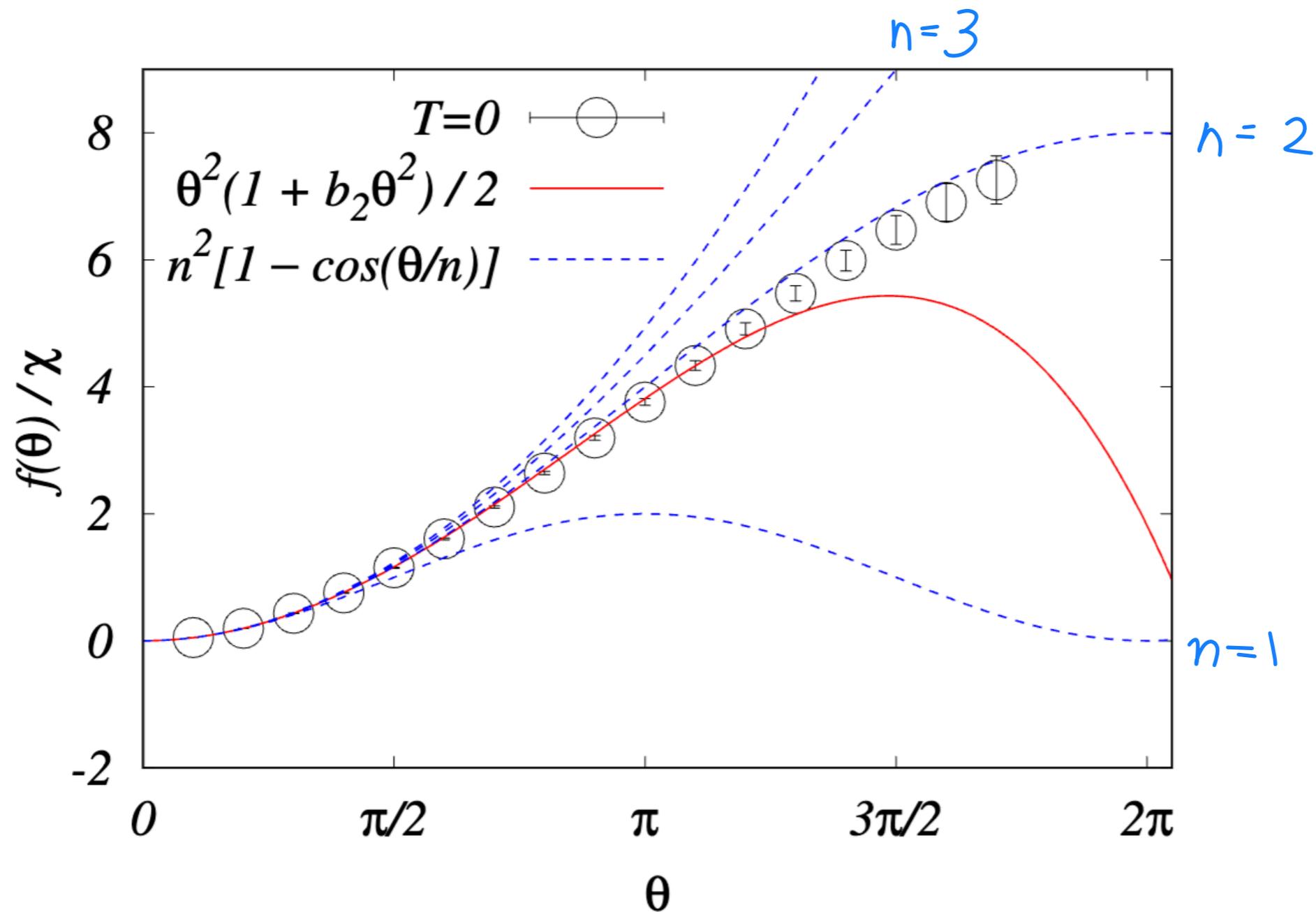
$F(\theta)$  @  $T=0$

clearly NOT  $2\pi$ -periodic !!



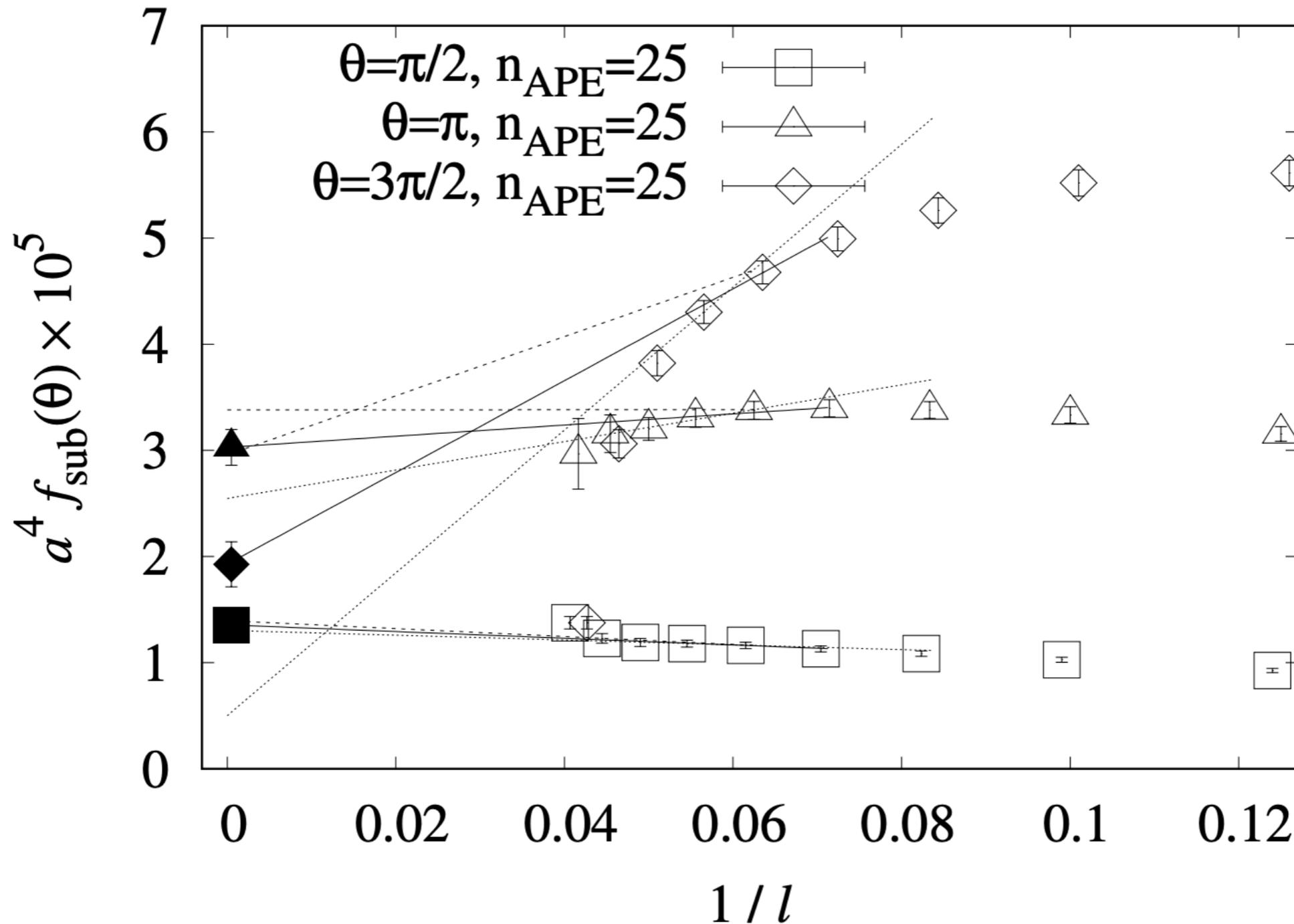
$$f(\theta) \sim 4\chi \left(1 - \cos \frac{\theta}{2}\right)$$

$4\pi$ -periodic, consistent with  
Yonekura-MY, '17



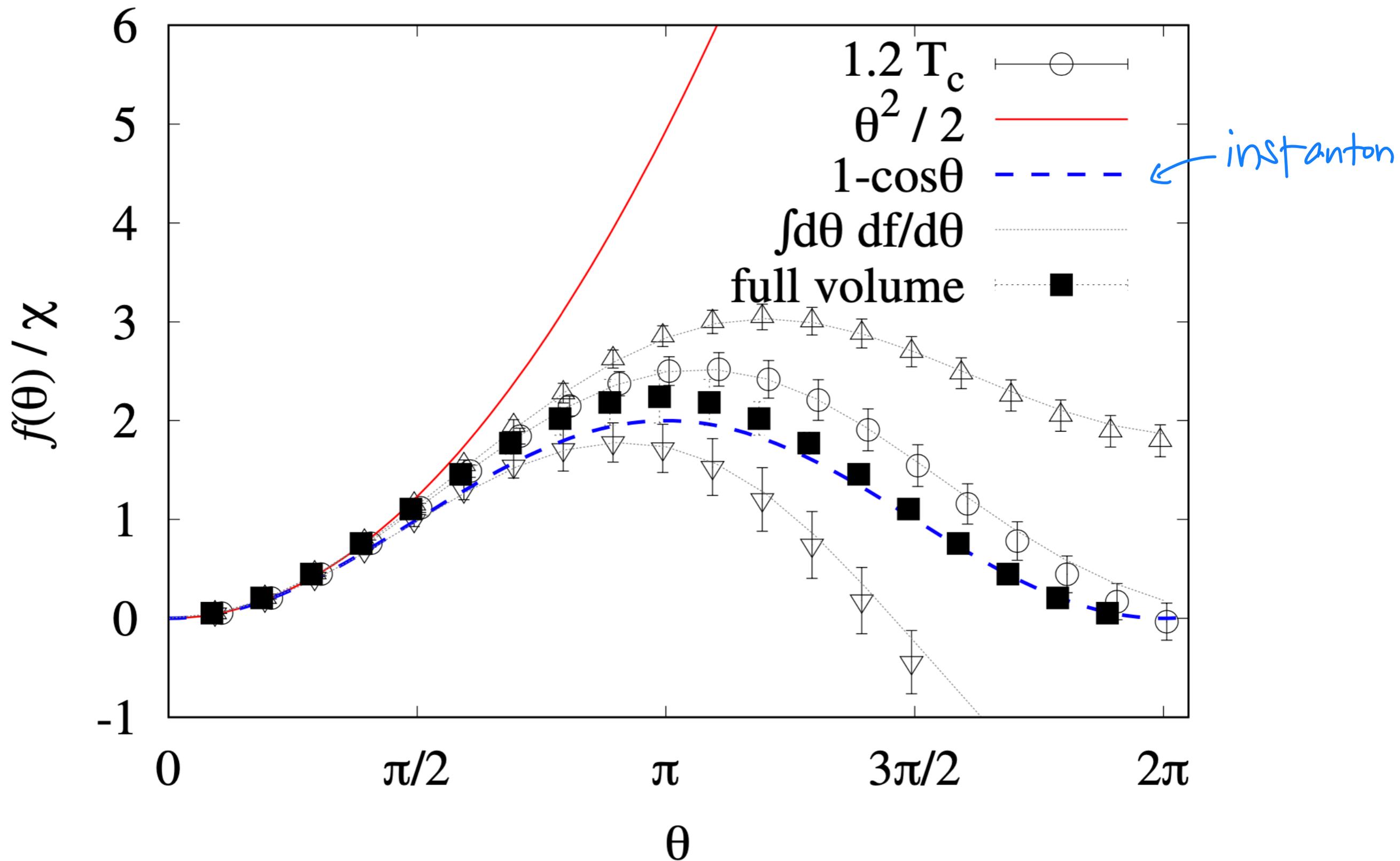
We can also try  $T > T_c$

$(T = 1.2 T_c > T_c)$



$F(\theta)$   $\odot$   $T = 1.2 T_c > T_c$

Kitano-Yamada-Matsudo-MY '21  
Consistent with high T expectation,  
Gross-Pisarsky-Yaffe '81



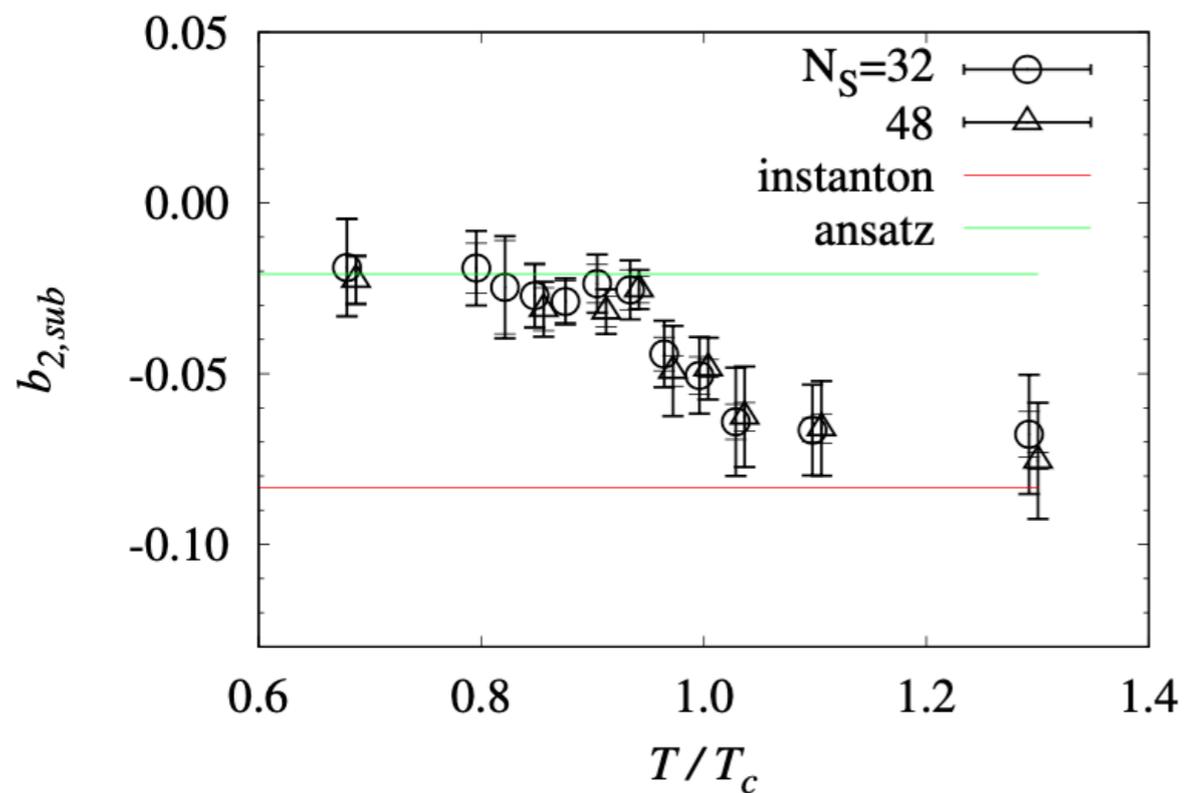
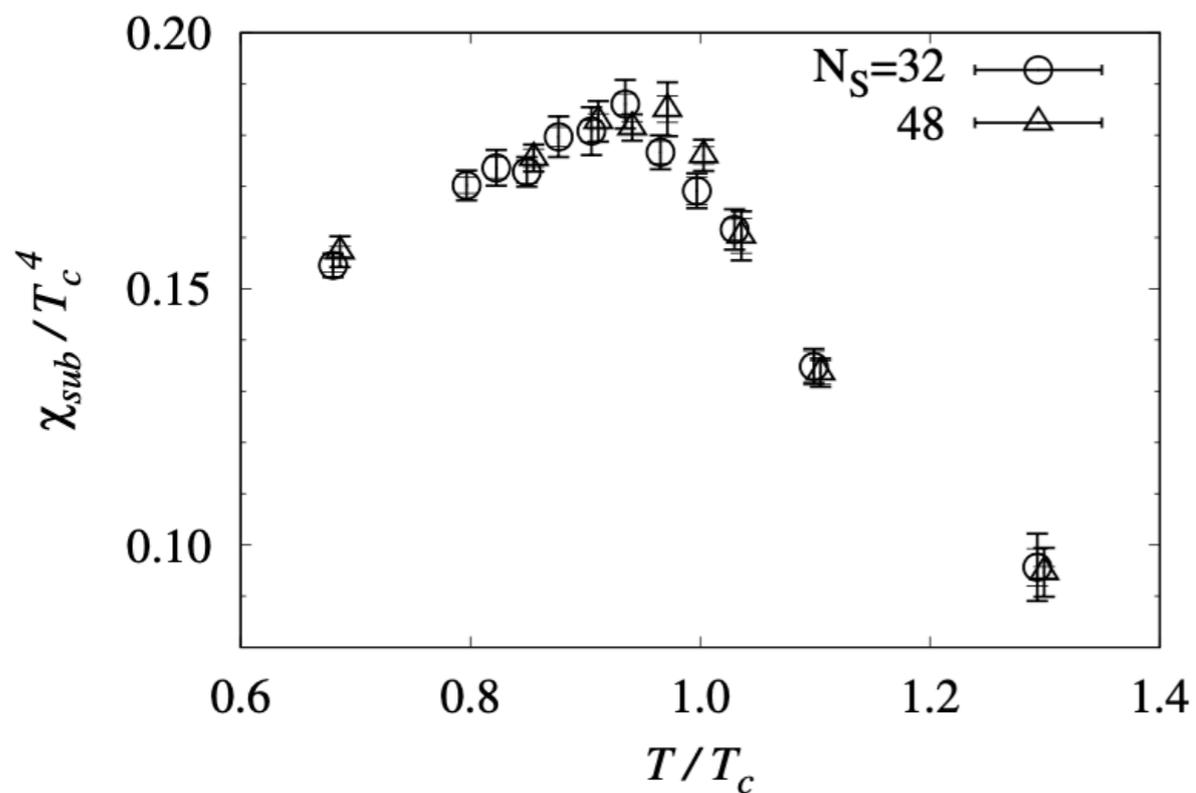
We can now explore  $(T, \Theta)$ -plane!

eg.

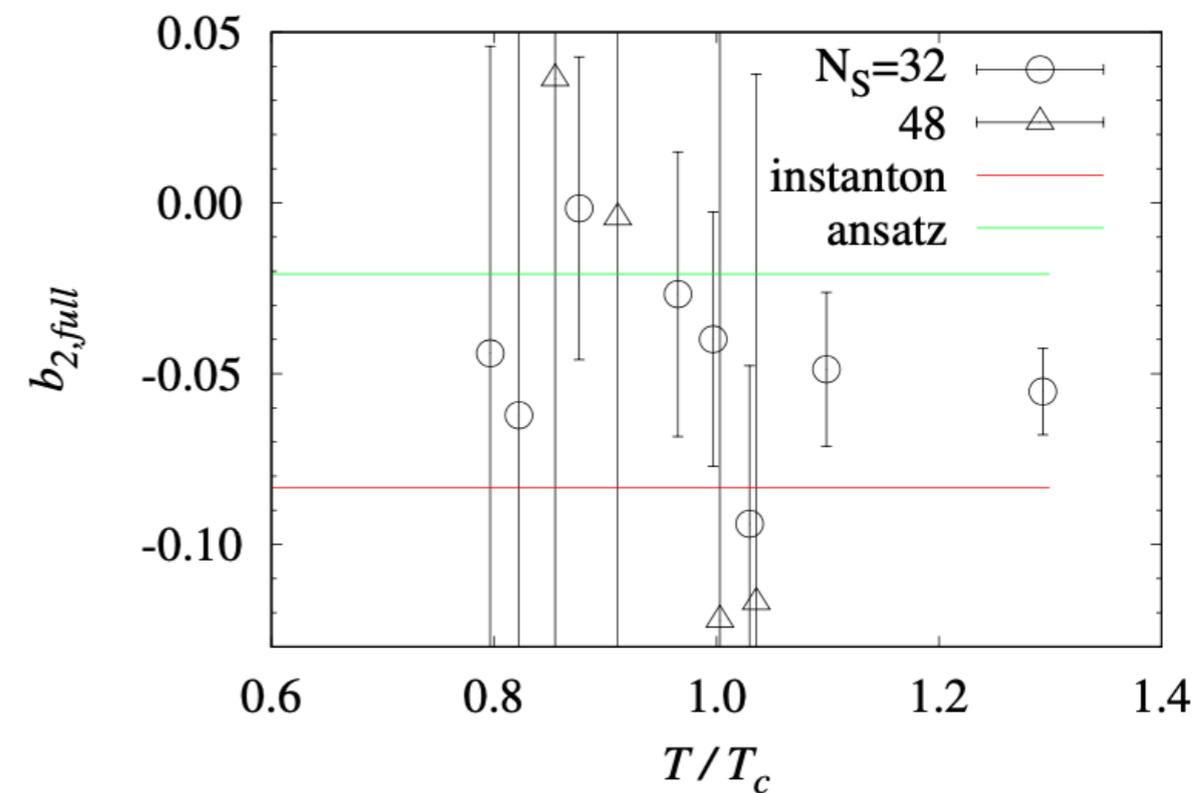
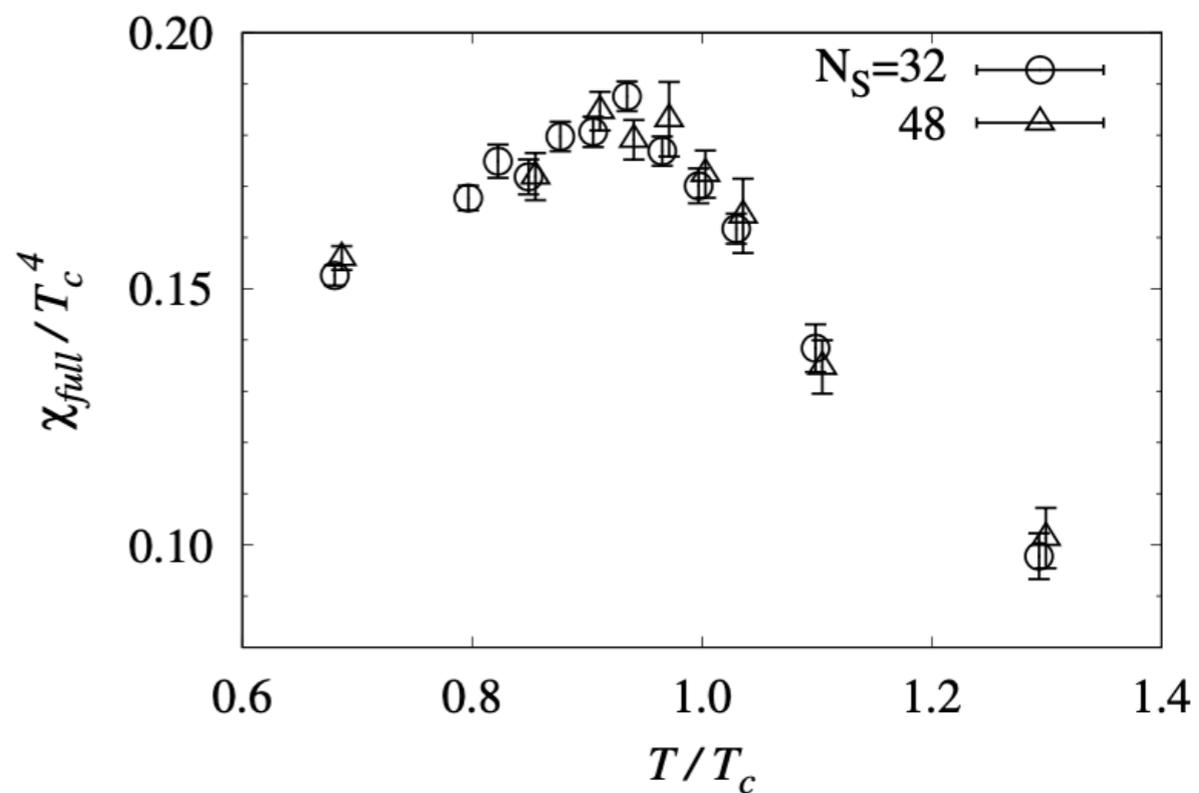
$$F(\Theta, T) = \frac{1}{2} \chi(T) \Theta^2 \left( 1 + b_2(T) \Theta^2 + \dots \right)$$

$$T_c(\Theta)$$

# subvolume method

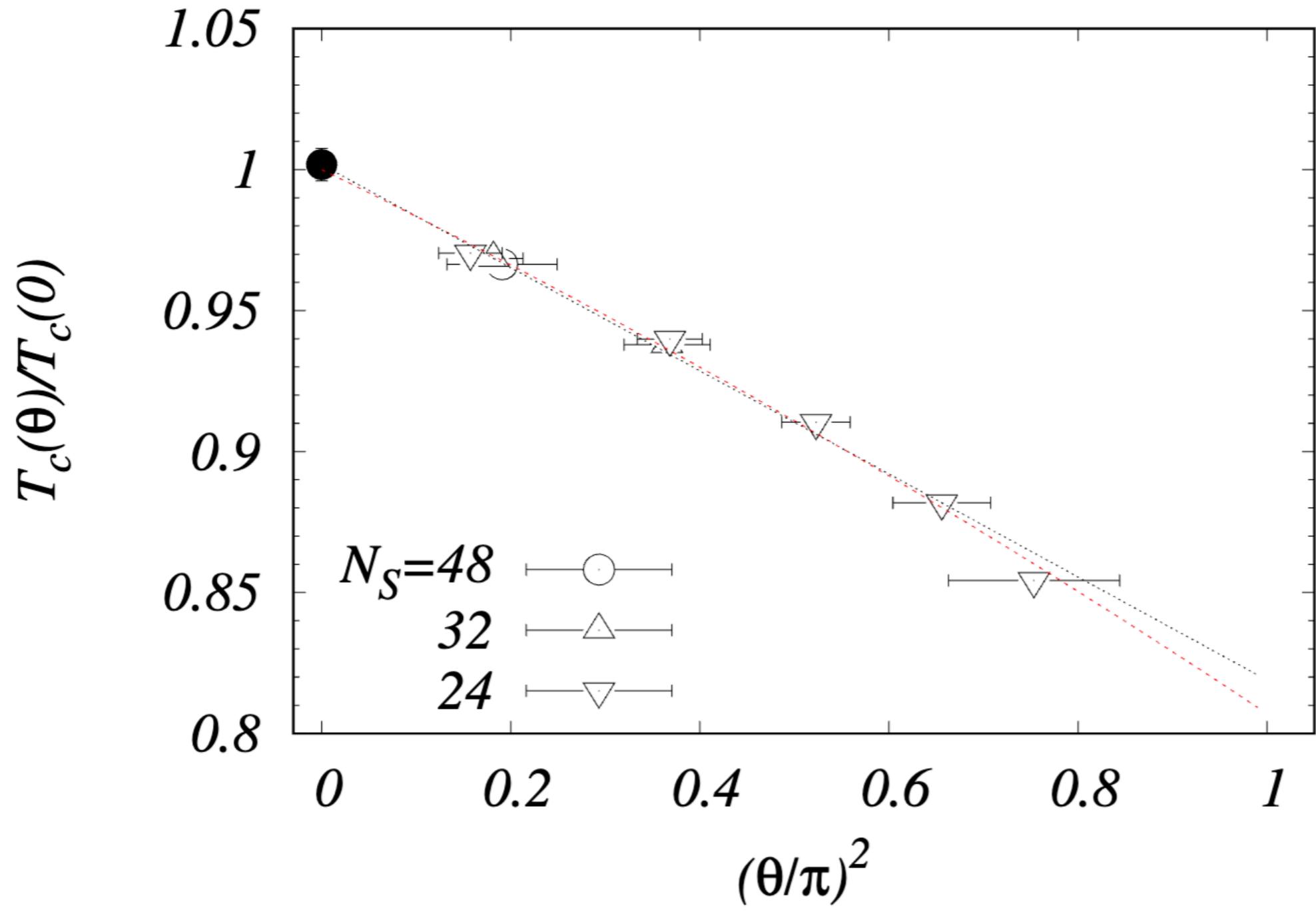


# full volume method



[Kitano-Yamada-MY, 2403.10767]

$$T_c(\theta)/T_c(0) = 1 - 0.16(2) (\theta/\pi)^2 - 0.03(4) (\theta/\pi)^4$$



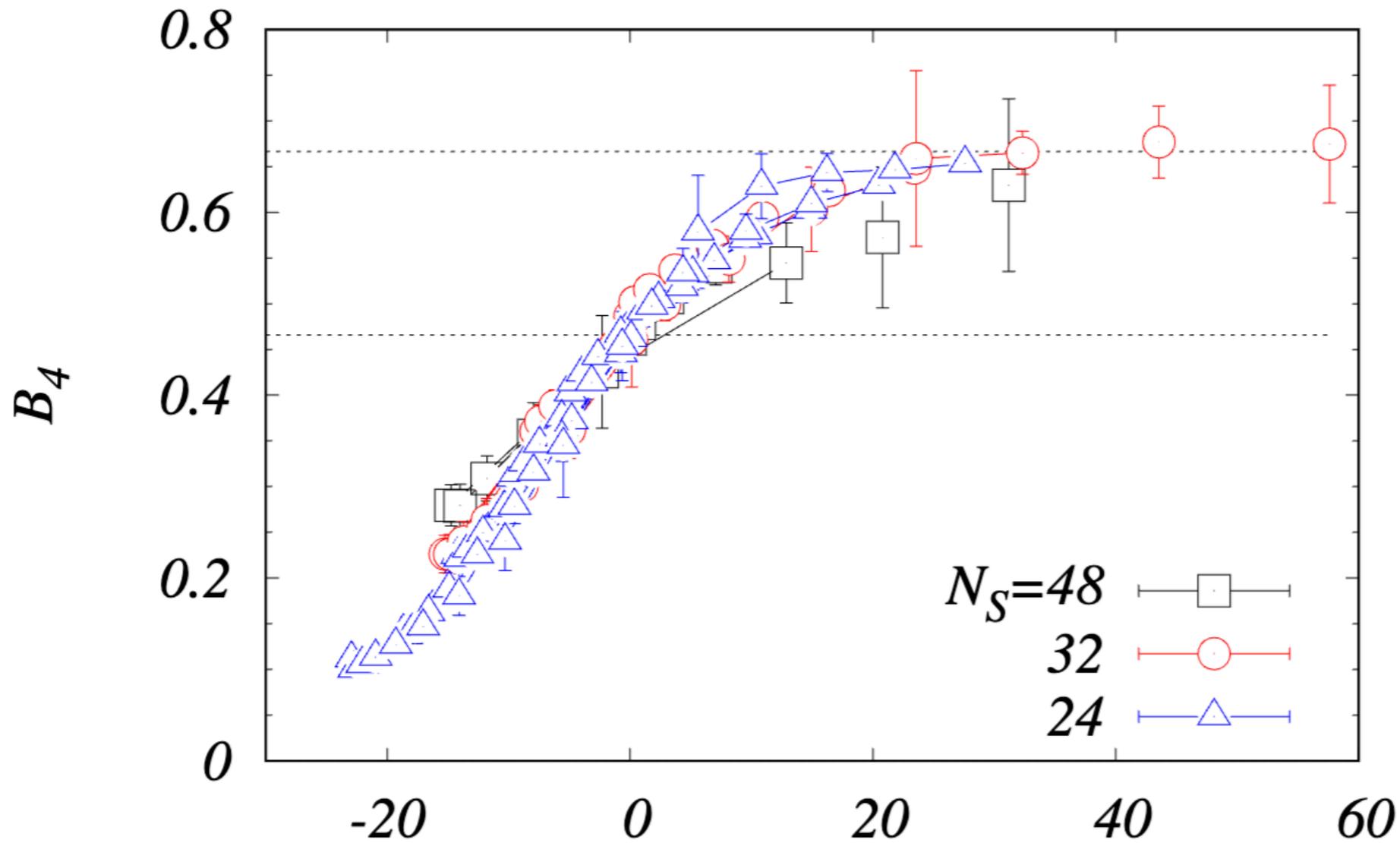
[Kitano-Yamada-MY, 2411.00375]

# check of universality class

$$B_4(\beta_g, \theta) = 1 - \frac{\langle \omega^4 \rangle_{\beta_g, \theta}}{3 \langle \omega^2 \rangle_{\beta_g, \theta}^2}$$

[Kitano-Yamada-MY, 2411.00375]

( $\omega$ : Polyakov loop)



$$t = T(\beta_g) / T(\beta_g^{\text{crit}}(\theta)) - 1$$

$$t N_S^{1/\nu}$$

$\nu = 0.6301$  for 3d Ising