

**§ 9. Path integral formulation of QFT's**

**§ 9.1 Repeating the derivation.**

**Example 1** a free scalar boson on  $(d+1)$ -dimensional space-time.

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 - \frac{1}{2} m^2 \phi^2 \iff \mathcal{H} = \frac{1}{2} \pi^2 + \frac{1}{2} (\nabla \phi)^2 + \frac{1}{2} m^2 \phi^2.$$

$$[\phi(x, t), \pi(y, t)] = i \delta^3(\vec{x} - \vec{y})$$

Fourier transformation  $\Rightarrow$  collection of infinitely many harmonic oscillators w/  $\omega = \sqrt{k^2 + m^2}$  labeled by  $\vec{k} \in \mathbb{R}^d$ .

$$\begin{aligned} & \langle \Omega | T \{ \phi(x_1) \phi(x_2) \dots \pi(y_1) \pi(y_2) \dots } | \Omega \rangle \\ &= \frac{\int \mathcal{D}\phi \mathcal{D}\pi_{+i\epsilon} e^{i \int d^d x dt \{ -\mathcal{H} + \pi \partial_t \phi \}} (\phi(x_1) \phi(x_2) \dots \pi(y_1) \pi(y_2) \dots)}{\int \mathcal{D}\phi \mathcal{D}\pi_{+i\epsilon} e^{i \int d^d x dt \{ -\mathcal{H} + \pi \partial_t \phi \}}} \end{aligned}$$

The same story when  $\mathcal{H} = \frac{1}{2} \pi^2 + \frac{1}{2} (\nabla \phi)^2 + \frac{1}{2} m^2 \phi^2 + \frac{\lambda}{4!} \phi^4$ .

**Example 2** Dirac theory  $\mathcal{L} = \bar{\Psi} (i \gamma^\mu \partial_\mu - m) \Psi$ .

$$\begin{aligned} & \langle \Omega | T \{ \bar{\Psi}(x_1) \bar{\Psi}(x_2) \dots \Psi(y_1) \Psi(y_2) \dots } | \Omega \rangle \\ &= \frac{\int \mathcal{D}\Psi \mathcal{D}\bar{\Psi}_{+i\epsilon} e^{i \int d^d x \bar{\Psi} (i \gamma^\mu \partial_\mu - m) \Psi} (\bar{\Psi}(x_1) \bar{\Psi}(x_2) \dots \Psi(y_1) \Psi(y_2) \dots)}{\int \mathcal{D}\Psi \mathcal{D}\bar{\Psi}_{+i\epsilon} e^{i \int d^d x \bar{\Psi} (i \gamma^\mu \partial_\mu - m) \Psi}} \end{aligned}$$

$\Psi$  &  $\bar{\Psi}$  : Grassmann coordinates (fields).

## Supplementary Notes.

scalar:

$$\phi(\vec{x}) = \sum_{\vec{k}} e^{i\vec{k}\cdot\vec{x}} (\phi_{\vec{k}}^R + i\phi_{\vec{k}}^I) \quad \left( \phi_{-\vec{k}}^I = -\phi_{\vec{k}}^I, \phi_{\vec{k}}^R = \phi_{-\vec{k}}^R \right)$$

$$H = \int d^3x \left( \frac{1}{2} (\dot{\phi})^2 + \frac{1}{2} (\nabla\phi)^2 + \frac{1}{2} m^2 \phi^2 \right)$$

$$= \text{vol}(\text{space}) \cdot \sum_{\vec{k}} \left( \frac{1}{2} \left[ (\dot{\phi}_{\vec{k}}^R)^2 + E_{\vec{k}} (\phi_{\vec{k}}^R)^2 \right] + \frac{1}{2} \left[ (\dot{\phi}_{\vec{k}}^I)^2 + E_{\vec{k}} (\phi_{\vec{k}}^I)^2 \right] \right)$$

In the operator formalism.

$$\phi_{\vec{k}}^R = \frac{1}{\sqrt{2E_{\vec{k}}}} \frac{1}{2} (a_{\vec{k}} + a_{\vec{k}}^\dagger + a_{-\vec{k}}^\dagger + a_{-\vec{k}})$$

$$\phi_{\vec{k}}^I = \frac{1}{\sqrt{2E_{\vec{k}}}} \frac{1}{2i} (a_{\vec{k}} - a_{\vec{k}}^\dagger + a_{-\vec{k}}^\dagger - a_{-\vec{k}})$$

$$[a_{\vec{k}}, a_{\vec{q}}^\dagger] = \delta_{\vec{k}, \vec{q}}$$

The path integral measure should be

$$\mathcal{D}\phi \mathcal{D}\pi := \prod_{\vec{k}/\vec{q}/t \neq \pm t} \left[ \mathcal{D}(\phi_{\vec{k}}^R(t)) \mathcal{D}(\pi_{\vec{k}}^R(t)) \mathcal{D}(\phi_{\vec{k}}^I(t)) \mathcal{D}(\pi_{\vec{k}}^I(t)) \right] \approx \prod_{\vec{k}^\mu} \left[ d\phi(\vec{k}) d\pi(\vec{k}) \right]$$

$$\left( \prod_{\vec{k}}^{N/2} = \text{vol}(\text{space}) \dot{\phi}_{\vec{k}}^{N/2} \right)$$

Dirac theory:

In the operator formalism  $\Psi(\vec{x}) = \sum_{\vec{k}, s} \frac{1}{\sqrt{2E_{\vec{k}}}} \left( u_{\vec{k}, s} a_{\vec{k}, s} e^{i\vec{k}\cdot\vec{x}} + v_{\vec{k}, s} b_{\vec{k}, s}^\dagger e^{-i\vec{k}\cdot\vec{x}} \right)$

$$\mathcal{H}_{\text{Hilb. sp.}} \cong \bigotimes_{\substack{\vec{k}, s \neq \pm \vec{k} \\ f = \vec{e}, \vec{e}^\dagger}} \left( \mathbb{C}_{(k, s, f)}^2 \right) \otimes \mathcal{H}_{\text{other}}.$$

$\uparrow$  2-state system in week 2.  $\leftarrow$  s. etc. if there is any.

$\Rightarrow$  Introduce Grassmann-odd variables and make replacements

$$a_{\vec{k}, s} \rightarrow \theta_{\vec{k}, s, \vec{e}} \quad a_{\vec{k}, s}^\dagger \rightarrow \bar{\theta}_{\vec{k}, s, \vec{e}}$$

$$b_{\vec{k}, s} \rightarrow \theta_{\vec{k}, s, \vec{e}^\dagger} \quad b_{\vec{k}, s}^\dagger \rightarrow \bar{\theta}_{\vec{k}, s, \vec{e}^\dagger}$$

In the path integral formulation,

$$\Psi(\vec{x}, t) = \sum_{\vec{k}, s} \frac{1}{\sqrt{2E_{\vec{k}}}} \left( u_{\vec{k}, s} \theta_{\vec{k}, s, \vec{e}}(t) e^{i\vec{k}\cdot\vec{x}} + v_{\vec{k}, s} \bar{\theta}_{\vec{k}, s, \vec{e}^\dagger}(t) e^{-i\vec{k}\cdot\vec{x}} \right)$$

and the measure is

$$\mathcal{D}\Psi \mathcal{D}\bar{\Psi} := \prod_{\substack{\vec{k}, s \neq \pm \vec{k} \\ f = \vec{e}, \vec{e}^\dagger}} \left[ \mathcal{D}\theta_{\vec{k}, s, f}(t) \mathcal{D}\bar{\theta}_{\vec{k}, s, f}(t) \right] \approx \prod_{\substack{\vec{k}, s \neq \pm \vec{k} \\ f = \vec{e}, \vec{e}^\dagger}} \left[ d\theta_{\vec{k}, s, f} d\bar{\theta}_{\vec{k}, s, f} \right]$$

## §9.6 Effective theory and coarse graining

When a system (theory, model) has both heavy particles and light particles ( $\phi$  and  $\psi$ ), it is possible to integrate out  $\phi$  at the very beginning, if you are interested only in correlation functions involving  $\psi$ 's, (not  $\phi$ 's).

$$Z[\chi_H, \chi_L] := \int \mathcal{D}\phi \mathcal{D}\psi e^{i \int d^d x \left[ \frac{1}{2}(\partial\phi)^2 - \frac{1}{2}M^2\phi^2 + \frac{1}{2}(\partial\psi)^2 - g\phi\psi\psi + \phi\chi_H + \psi\chi_L \right] - V(\psi)}$$

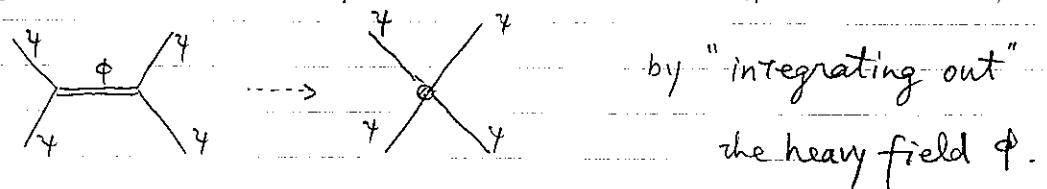
↑ ↗  
external fields (so  $Z$  is a generating functional) of all correlation functions

★ complete a square in the exponent

★ carry out the Gaussian integral.

$$\Rightarrow Z[\chi_H, \chi_L] \propto \int \mathcal{D}\psi e^{i \int d^d x \left[ \frac{1}{2}(\partial\psi)^2 + \psi\chi_L + (g\psi\psi - \chi_H) \frac{1}{\partial^2 + M^2} (g\psi\psi - \chi_H) \right] - V(\psi)}$$

to get the action of the low-energy effective theory.



Also possible (and useful) to integrate out

- only two components [(1-1)-eg space of  $\gamma^0$ ] in the four component Dirac fermion
- only negative frequency modes of a complex scalar

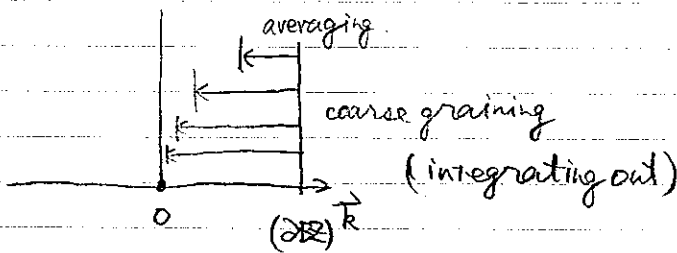
so we obtain a non-relativistic effective theory of  $\left\{ \begin{array}{l} \bar{e} \\ \text{cold atom} \end{array} \right\}$

The idea dates back to Born-Oppenheimer approximation.

Consider a spin system turned into a field theory (eg.  $\phi^4$ -theory,

- spacial momentum:  $|\vec{k}| \leq \text{bdry of the Brillouin zone}$
- scalar field(s): local average of magnetization  
 (already a length scale of smearing is in place)  
 $\sim \pi/a$   
 $> a$  (averaging)
- coarse graining (粗視化) / block spin transformation.

$\Leftrightarrow$  integrating out  $\phi(\vec{k})$ 's with larger  $\vec{k}$ 's



$\Rightarrow$  In the effective action,

new terms may show up,  
and coupling constants may be  
modified accordingly.

- coupling constants: fns of  $|\vec{k}|_{\max}$   $g(|\vec{k}|_{\max})$
- free energy / effective action: depend on  $g(|\vec{k}|_{\max})$  &  $|\vec{k}|_{\max}$   
 (GL potential)                      apparently

but should not dep. on  $|\vec{k}|_{\max}$  in the end

$\frac{\partial g(|\vec{k}|_{\max})}{\partial \ln(|\vec{k}|_{\max})}$  is related to the  $|\vec{k}|_{\max}$  dependence

of the computation of the effective action.

renormalization (group)

## § 9.2 Partition function, free energy, effective action (formal)

We call the followings as the partition function:

$$Z(\beta, \text{theory}) := \text{tr}_{\text{Hilb. sp.}} (e^{-\beta H}) = \sum_{\text{all states}} e^{-\beta E_i}$$

$$= \int \mathcal{D}\pi \mathcal{D}\phi e^{\int d^d x \int_0^\beta d\tau \mathcal{H} + i \int_0^\beta d\tau \int d^d x \pi(\partial_t \phi)}$$

$$Z(\text{theory}) := \int_{i\epsilon \text{ prescri.}} \mathcal{D}\pi \mathcal{D}\phi e^{\int d^d x dt \{-i\mathcal{H} + i\pi(\partial_t \phi)\}}$$

$\beta \rightarrow \infty$   
( $T \rightarrow 0$ )  
limit.

The following generalizations are useful and are also called partition functions.

$$Z(\beta, \text{theory}, J_I(\vec{x})) := \int \mathcal{D}\pi \mathcal{D}\phi e^{\int d^d x \int_0^\beta d\tau \{-\mathcal{H} + i\pi(\partial_t \phi) + \sum_I \mathcal{O}_I(\vec{x}) J_I(\vec{x})\}}$$

$$Z(\text{theory}, J_I(\vec{x}, t)) := \int_{i\epsilon} \mathcal{D}\pi \mathcal{D}\phi e^{i \int d^d x \int dt \{-\mathcal{H} + \pi(\partial_t \phi) + \mathcal{O}_I(\vec{x}, t) J_I(\vec{x}, t)\}}$$

They are the generating functions of various  $\tau$ -ordered

correlation functions.

$$\langle \mathcal{O}_I(\vec{x}) \rangle_{\text{th. eq.}}^{J_I=0} = \frac{1}{\beta} \frac{\partial}{\partial J_I(\vec{x})} \ln \left( Z(\beta, \text{theory}, J_I(\vec{x})) \right) \Big|_{J=0}$$

If  $\langle \mathcal{O}_I(\vec{x}) \rangle_{\text{th. eq.}}^{J_I \neq 0} \sim \text{linear in } J_I(\vec{x}) \approx: \chi \cdot J_I(\vec{x})$

then

$$\chi = \left[ \frac{\partial}{\partial J_I(\vec{y})} \left( \langle \mathcal{O}_I(\vec{x}) \rangle_{\text{th. eq.}}^{J_I \neq 0} \right) \right] \Big|_{J=0} = \left[ \frac{1}{\beta} \frac{\partial^2}{\partial J_I(\vec{y}) \partial J_I(\vec{x})} \ln \left( Z(\beta, J_I) \right) \right] \Big|_{J=0}$$

$$= \beta \left( \langle \mathcal{O}_I(\vec{y}) \mathcal{O}_I(\vec{x}) \rangle_{\text{th. eq.}}^{J=0} - \langle \mathcal{O}_I(\vec{y}) \rangle_{\text{th. eq.}}^{J=0} \langle \mathcal{O}_I(\vec{x}) \rangle_{\text{th. eq.}}^{J=0} \right)$$

The linear response relation.

(The susceptibility is given by a 2-pt function (fluctuation))

Ex: In a free theory  $\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 - \frac{1}{2} m^2 \phi^2$

$$Z(m, J) := \int_{\mathcal{D}\phi} e^{i \int d^d x \left( \frac{1}{2} (\partial\phi)^2 - \frac{1}{2} m^2 \phi^2 + J(x)\phi(x) \right)}$$

$$= \int_{p \in \mathbb{R}^{d+1}} [d\tilde{\phi}(p)] e^{i \int \frac{d^d p}{(2\pi)^{d+1}} \frac{1}{2} \tilde{\phi}(p)^* (p^2 - m^2) \tilde{\phi}(p) + (\tilde{J}(p)^* \tilde{\phi}(p) + \tilde{J}(p) \tilde{\phi}(p)^*) \frac{1}{2}}$$

$$= \int_{p \in \mathbb{R}^{d+1}} [d\tilde{\phi}(p)] e^{i \int \frac{d^d p}{(2\pi)^{d+1}} \frac{1}{2} \left( \tilde{\phi}(p) + \frac{1}{p^2 - m^2} \tilde{J}(p) \right)^* (p^2 - m^2) \left( \tilde{\phi}(p) + \frac{1}{p^2 - m^2} \tilde{J}(p) \right)}$$

$$e^{-i \int \frac{d^d p}{(2\pi)^{d+1}} \frac{1}{2} \tilde{J}(p)^* \frac{1}{p^2 - m^2} \tilde{J}(p)}$$

$$\propto e^{-i \int \frac{d^d p}{(2\pi)^{d+1}} \frac{1}{2} \tilde{J}(p)^* \frac{1}{p^2 - m^2} \tilde{J}(p)} \times \text{const.}(m, J)$$

So

$$\frac{\langle \mathcal{O}_1 \{ \tilde{\phi}(p)^* \tilde{\phi}(p) \} \mathcal{O}_2 \rangle}{\langle \mathcal{O}_1 \mathcal{O}_2 \rangle} = - \frac{\partial^2}{\partial \tilde{J}(p) \partial \tilde{J}(p)^*} \ln(Z(m, J)) \Big|_{J=0}$$

(often  $\langle \mathcal{O}_1 \mathcal{O}_2 \rangle = 1$ )

$$= - \frac{\partial^2}{\partial \tilde{J}(p) \partial \tilde{J}(p)^*} \left( (-i) \int \frac{d^d p}{(2\pi)^{d+1}} \frac{1}{2} \tilde{J}(p)^* \frac{1}{p^2 - m^2} \tilde{J}(p) \right) \Big|_{J=0}$$

$$= (2\pi)^{d+1} \delta^{d+1}(p-p') \frac{i}{(p^2 - m^2)} \quad \text{as expected.}$$

$$Z(\beta, \text{theory}, J_2(\vec{x})) =: e^{-\beta F(\beta, \text{theory}, J_2(\vec{x}))}$$

$$Z(\text{theory}, J_2(\vec{x}^\mu)) =: e^{-i \int d^d x dt \mathcal{F}(\text{theory}, J_2(\vec{y}^\mu t))}$$

$F$ : called the free energy.

Two questions will come to our minds then.

⊙ How is the Landau-Ginzburg theory related to  $F(\beta, \text{thry}, J_2(\vec{x}))$ ?

⊙ In thermodynamics / statistical mechanics, we say that

the "phase 1" is realized when " $F(\beta, \text{thry}, \text{phase 1}) < F(\beta, \text{thry}, \text{phase 2})$ "

How do we compute " $F(\beta, \text{thry}, \text{phase})$ "?

Here is a QFT version of such stories.

a phase  $\Leftrightarrow$  an ansatz on which set of operators have non-zero expectation values.

(order parameters  $\Leftrightarrow$  hypothetically chosen operator expectation values.)

Suppose that there is a choice  $J_2(\vec{x})$  that reproduces

an order parameter  $\langle \mathcal{O}_J(\vec{y}) \rangle_{\text{th. eq.}}^J = - \frac{\partial}{\partial J_J(\vec{y})} (F(J_2(\vec{x})))$ .

(we have a  $J_2(\vec{x}) \Leftrightarrow \langle \mathcal{O}_J(\vec{y}) \rangle_{\text{th. eq.}}$  dictionary then.)

Define

$\Gamma_{\text{th. eq.}}[\langle \mathcal{O}_J(\vec{y}) \rangle_{\text{th. eq.}}] := \left( F[J_2(\vec{x})] + \int d^d \vec{x} J_2(\vec{x}) \langle \mathcal{O}_2(\vec{x}) \rangle_{\text{th. eq.}}^J \right)$  all J's translate to  $\langle \mathcal{O} \rangle_{\text{th. eq.}}$ 's

Legendre transformation w.r.t.  $J_2(\vec{x})$

Then  $\frac{\partial}{\partial \langle \mathcal{O}_J(\vec{y}) \rangle_{\text{th. eq.}}} \left( \Gamma_{\text{th. eq.}}[\langle \mathcal{O}_K(\vec{y}) \rangle_{\text{th. eq.}}] \right) = J_J(\vec{y})$

so the order parameters that may be realized

in the original theory ( $J_2(\vec{x})=0$ ) should satisfy

$$\frac{\partial}{\partial \langle \mathcal{O}_J(\vec{y}) \rangle_{\text{th. eq.}}} \Gamma_{\text{th. eq.}}[\langle \mathcal{O} \rangle_{\text{th. eq.}}] = 0$$

The order parameter  $\langle O_z(\vec{x}) \rangle_{\text{th. eq.}}$  may have  $\vec{x}$ -dependence  
 (eg. Kosterlitz transition)  
 $\langle \text{phonon}(k = \pi/a) \rangle$

In a phase with  $\langle O_z(\vec{x}) \rangle_{\text{th. eq.}}$

$$F_{\text{th. eq.}} =: - \int d^d \vec{x} \underbrace{V_{\text{th. eq.}}(\langle O_z \rangle_{\text{th. eq.}})}$$

↳ the Landau-Ginzburg potential

( $\beta$ , theory,  $\langle O_z \rangle_{\text{th. eq.}}$ )  
 ↑  
 order parameter.

In each phase

$$F(\beta, \text{theory}, \text{phase}) := - \underbrace{P(\beta, \text{theory}, \langle O_z(\vec{x}) \rangle)}_{\text{th. eq.}} \Big|_{\frac{\partial P_{\text{th. eq.}}}{\partial \langle O_z(\vec{x}) \rangle} = 0} \quad \begin{matrix} F = -P \\ \nearrow \\ J = 0 \end{matrix}$$

and we can apply the thermodynamical principle

"phase 1" realized when  $F(\text{phase 1}) < F(\text{phase 2})$

Example: in a condensed matter system with

$$H = \sum_{s=\uparrow, \downarrow} \left( \psi_s^\dagger(\vec{k}) \epsilon(\vec{k}) \psi_s(\vec{k}) \right) + (\psi_\uparrow^\dagger \psi_\uparrow)(\psi_\downarrow^\dagger \psi_\downarrow) c$$

↑  
 induced from (photon / phonon) exchange

magnetic phase  $\langle (\psi_\uparrow^\dagger \psi_\uparrow)(\vec{k}) \rangle \neq 0$ ,  $\langle (\psi_\downarrow^\dagger \psi_\downarrow)(\vec{k}) \rangle = 0$  for some  $\vec{k}$ .

superconducting phase  $\langle (\psi_\uparrow \psi_\downarrow) \rangle \neq 0$

For  $T \rightarrow 0$  ( $\beta \rightarrow \infty$ ) the vacuum case

$$\langle O_2(x^M) \rangle_{vac.} = - \frac{\partial}{\partial J_2(x^M)} F = - \frac{\delta}{\delta J_2(x^M)} \left( \int d^{d+1}x F \right)$$

$$- \Gamma_{vac}[\langle O_2(x^M) \rangle_{vac}] := \int d^{d+1}x \left\{ F + J_2(x^M) \langle O_2(x^M) \rangle_{vac} \right\}$$

(Legendre transformation)

The operator vacuum expectation value

$$\text{should satisfy } \frac{\delta}{\delta \langle O_2(x) \rangle_{vac}} \Gamma[\langle O_2(x) \rangle_{vac}] = 0.$$

$\Gamma_{vac}[\langle O_2(x) \rangle_{vac}]$  : called the effective action.