

# RELATIONS BETWEEN TRANSPORT & CHAOS IN HOLOGRAPHIC THEORIES

Richard Davison

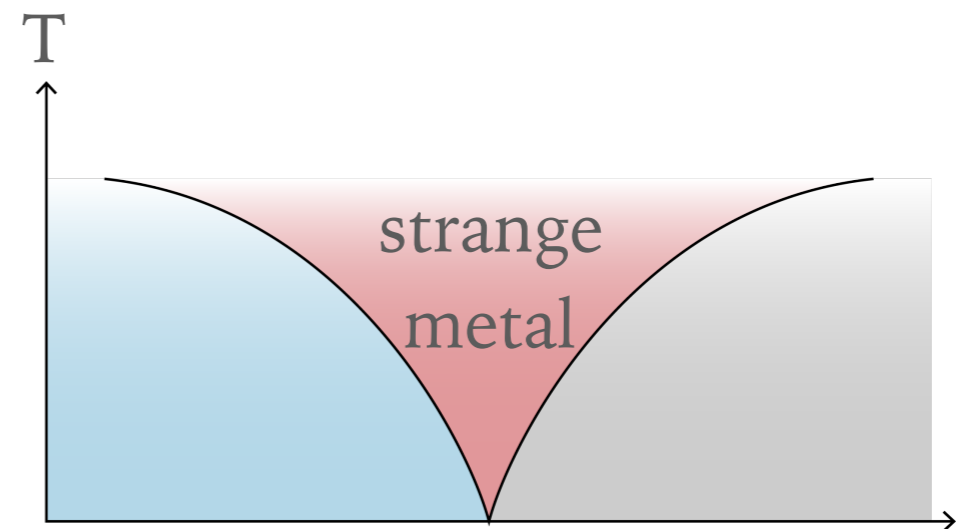
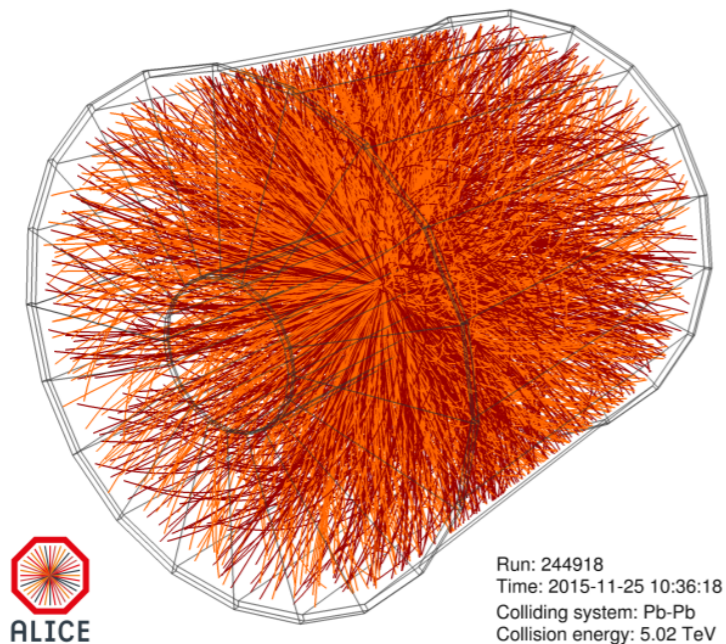
Heriot-Watt University

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based on 1809.01169 (with Mike Blake, Saso Grozdanov, Hong Liu)  
1904.12883 (with Mike Blake, David Vegh)

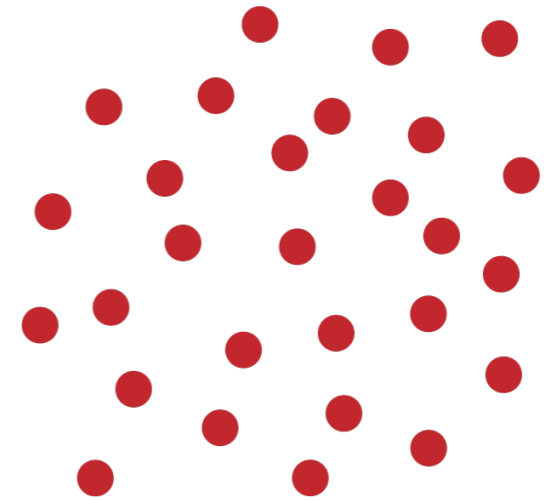
# MOTIVATION

- Quantum field theories with strong interactions are important.  
Significant theoretical role in string theory / quantum gravity.
- They are also relevant to some experimentally accessible systems:  
e.g. quark-gluon plasma 'strange' metals



# NON-QUASIPARTICLE STATES

- Cartoon of a normal metal:
  - \* electron-like excitations with charge  $e$ , mass  $m$ , speed  $v_F$ , lifetime  $\tau$
  - \* properties of these quasiparticles govern the properties of the metal
- Strange metals have properties that seem inconsistent with a quasiparticle-based theory.
- Strongly interacting QFT is a framework for describing non-quasiparticle states.



But it is poorly understood.

# INSIGHT FROM BLACK HOLES

- Holographic duality gives us a handle on some strongly interacting QFTs  
Black holes have proven to be useful toy models of strange metals
- Main reason: black holes exhibit some universal properties  
—————→ help to identify general features of strongly interacting QFTs
- I will describe a new universal property of black holes, and its implications
  - \* Certain features of black hole excitation spectrum depend only on near-horizon physics
  - \* QFT transport properties are related to underlying chaotic dynamics

# TRANSPORT PROPERTIES

- Transport properties characterize the dynamics of a system's conserved charges over long distances and timescales.

i.e. the properties of  $T^{\mu\nu}$  and  $J^\mu$  at small  $(\omega, k)$

- Examples: electrical resistivity, thermal resistivity, shear viscosity, diffusivity of energy,.....
- Transport properties are important experimental observables
  - \* They are relatively easy to measure
  - \* They exhibit universality across different systems

# TRANSPORT PROPERTIES

- There are also two theoretical reasons that transport properties are privileged.

(1) The dynamics of  $T^{\mu\nu}$  and  $J^\mu$  are constrained by symmetries

—————→ governed by a simple effective theory over long distances and timescales: **hydrodynamics**

For a given QFT, we just need to determine the parameters of the effective theory.

(2) Transport is directly related to the dynamics of the basic gravitational variables:

$$T^{\mu\nu} \longleftrightarrow g_{\mu\nu}$$

—————→ there is a degree of universality to transport in holographic theories

# TRANSPORT PROPERTIES: AN EXAMPLE

- **Example:** system whose only conserved charge is the total energy.
- Local thermodynamic equilibrium  $\longrightarrow$  state characterized by slowly-varying energy density:  
$$\varepsilon \equiv T^{00}(t, \underline{x}) \quad \partial\varepsilon \ll 1$$

- Equations of motion:  $\partial_t \varepsilon + \nabla \cdot j = 0 \quad j = -D \nabla \varepsilon - \Gamma \nabla^3 \varepsilon + O(\nabla^5)$

$$\longrightarrow \partial_t \varepsilon = D \nabla^2 \varepsilon + \Gamma \nabla^4 \varepsilon + O(\nabla^6)$$

$$\text{or} \quad \omega = -iDk^2 - i\Gamma k^4 + O(k^6)$$

- Hydrodynamics: energy diffuses over long distances.

What sets the values of the transport parameters  $D$ ,  $\Gamma$ , etc ?

# CHAOTIC PROPERTIES

- Chaotic dynamics are seemingly something very different from transport.

$$C(t, \underline{x}) = - \left\langle [V(t, \underline{x}), W(0, \underline{0})]^2 \right\rangle_T$$

- In theories with a classical gravity dual, these correlations have the form

$$C(t, \underline{x}) \sim e^{\tau_L^{-1}(t - |\underline{x}|/v_B)}$$

\* The timescale is always  $\tau_L = (2\pi T)^{-1}$  Shenker, Stanford (1306.0622)

\* But the “butterfly velocity”  $v_B$  depends on the particular theory.

Roberts, Stanford, Susskind (1409.8180)



# MAIN RESULTS

- In QFTs with a gravity dual, the transport properties are constrained by  $v_B$ ,  $\tau_L$
- The collective modes that transport energy are characterized by their dispersion relations  $\omega(k)$ .

There is always a mode with  $\omega(k_*) = i\tau_L$  where  $k_*^2 = - (v_B\tau_L)^{-2}$ .

- Under appropriate conditions, the diffusivity of energy is set by

$$D \sim v_B^2 \tau_L$$

( In a normal metal,  $D \sim v_F^2 \tau$  )

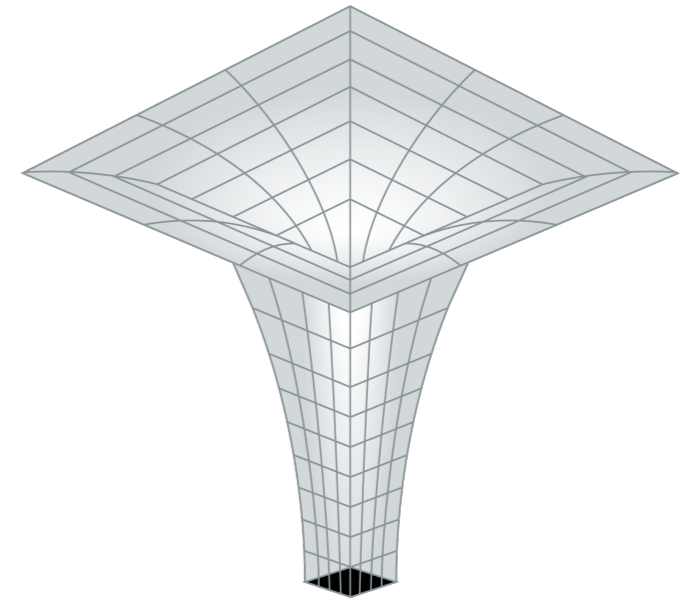
# THE GRAVITATIONAL THEORIES

- I will discuss asymptotically  $\text{AdS}_{d+2}$  black branes supported by matter fields:

$$ds^2 = -f(r)dt^2 + \frac{dr^2}{f(r)} + h(r)d\underline{x}_d^2$$

In ingoing co-ordinates

$$ds^2 = -f(r)dv^2 + 2dvdr + h(r)d\underline{x}_d^2$$



- For definiteness:  $S = \int d^{d+2}x \sqrt{-g} \left( R - Z(\phi)F^2 - \frac{1}{2}(\partial\phi)^2 + V(\phi) \right)$

- Matter fields induce an RG flow from the UV CFT :  $F_{vr}(r) \neq 0$  &  $\phi(r) \neq 0$

Numerical solution of equations of motion yield  $f(r)$ ,  $h(r)$  etc.

# QUASI-NORMAL MODES OF BLACK HOLES

- Focus on one aspect of these spacetimes: **quasi-normal modes**.  
i.e. solutions to linearized perturbation equations, obeying appropriate BCs
  - \* regularity (in ingoing coordinates) at the horizon  $r = r_0$
  - \* normalizability near the AdS boundary  $r \rightarrow \infty$
- e.g. probe scalar field  $\partial_a(\sqrt{-g}\partial^a\delta\varphi) - m^2\sqrt{-g}\delta\varphi = 0$ 
  - \* 2 independent solutions:  $\delta\varphi_{norm}(r, \omega, k)$  and  $\delta\varphi_{non-norm}(r, \omega, k)$
  - \* If  $\delta\varphi_{norm}$  is regular at the horizon  $\longrightarrow$  quasi-normal mode.
- Quasi-normal modes are characterized by their dispersion relations  $\omega(k)$

# QUASI-NORMAL MODES OF BLACK HOLES

- Collective excitations of the dual QFT are encoded in the quasi-normal modes.

quasi-normal modes  
 $\omega(k)$  of a field



poles  $\omega(k)$  of retarded Green's  
function of dual operator

Horowitz, Hubeny (hep-th/9909056)

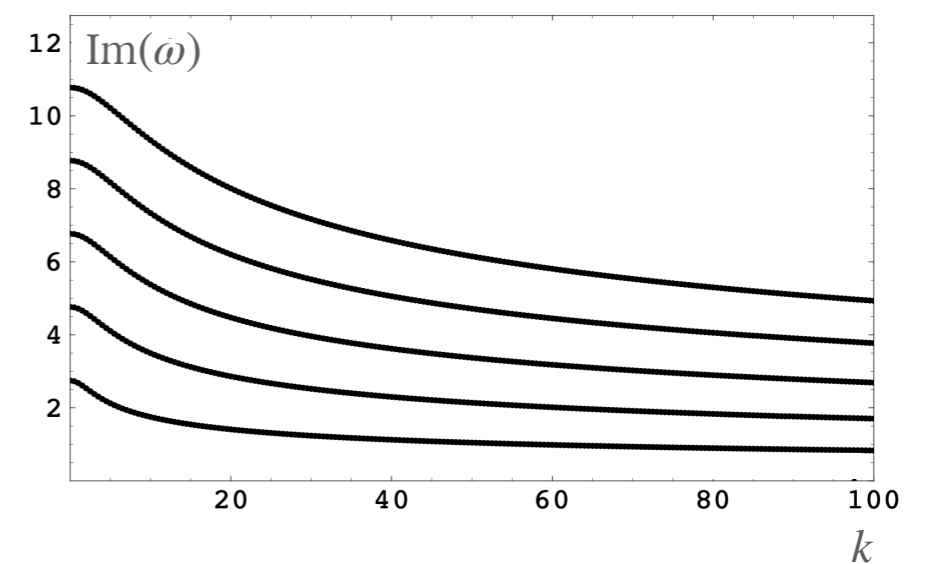
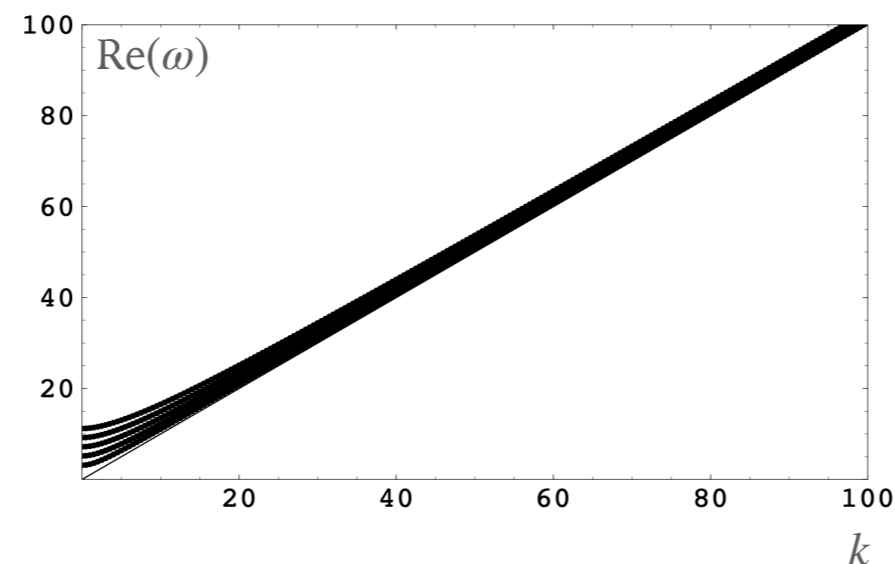
Son, Starinets (hep-th/0205051)

- The spectrum depends in detail on the particular theory, spacetime, field, etc

Numerical computation is required even in very simple cases.

e.g. massless scalar field in  
Schwarzschild-AdS<sub>5</sub>

Plots from hep-th/0207133  
by A. Starinets



# HORIZON CONSTRAINTS ON THE SPECTRUM

- Certain features of the spectrum depend only on the near-horizon dynamics.

Blake, RD, Vegh (1904.12883)  
see also Kovtun et al (1904.12862)

- **Example:** probe scalar field

\* Ansatz: solution that is regular at the horizon  $\delta\varphi(r) = \sum_{n=0}^{\infty} \varphi_n (r - r_0)^n$

\* Solve iteratively for  $\varphi_{n>0}$  :

$$2h(r_0)(2\pi T - i\omega)\varphi_1 = \left( k^2 + m^2h(r_0) + i\omega \frac{dh'(r_0)}{2} \right) \varphi_0 \quad \text{etc.}$$

\* At  $(\omega_1, k_1)$  both solutions are regular at the horizon !

$$\omega_1 = -i2\pi T, \quad k_1^2 = - \left( m^2h(r_0) + d\pi Th'(r_0) \right)$$

# HORIZON CONSTRAINTS ON THE SPECTRUM

- Moving infinitesimally away from  $(\omega_1, k_1)$  yields one regular solution:

$$\begin{array}{l} \omega = \omega_1 + i\delta\omega \\ k = k_1 + i\delta k \end{array} \longrightarrow \frac{\varphi_1}{\varphi_0} = \frac{1}{4h(r_0)} \left( 4ik_1 \frac{\delta k}{\delta\omega} - dh'(r_0) \right)$$

But this regular solution depends on the arbitrary slope  $\delta k/\delta\omega$ .

- Can obtain an arbitrary combination of  $\varphi_{norm}$  and  $\varphi_{non-norm}$  by tuning  $\delta k/\delta\omega$ :

$$\varphi_{ingoing}(\omega_1 + i\delta\omega, k_1 + i\delta k) = \left( 1 - v_z \frac{\delta k}{\delta\omega} \right) \varphi_{norm} + C \left( 1 - v_p \frac{\delta k}{\delta\omega} \right) \varphi_{non-norm}$$

For an appropriate choice of slope ( $\delta\omega = v_p \delta k$ ), there is a quasi-normal mode.

→ there must be a dispersion relation obeying  $\omega(k_1) = \omega_1$

# HORIZON CONSTRAINTS ON THE SPECTRUM

- This feature of the spectrum is independent of the rest of the spacetime.

Near-horizon dynamics yield exact constraints on the dispersion relations  $\omega(k)$

- A more complete analysis of this type yields infinitely many constraints

$$\omega = -i2\pi Tn, \quad k = k_n \quad n = 1, 2, 3, \dots$$

for appropriate values  $k_n$ .

- These points in complex Fourier space are called **pole-skipping points**.

Intersection of a line of poles with a line of zeroes  
in the dual QFT 2-point function

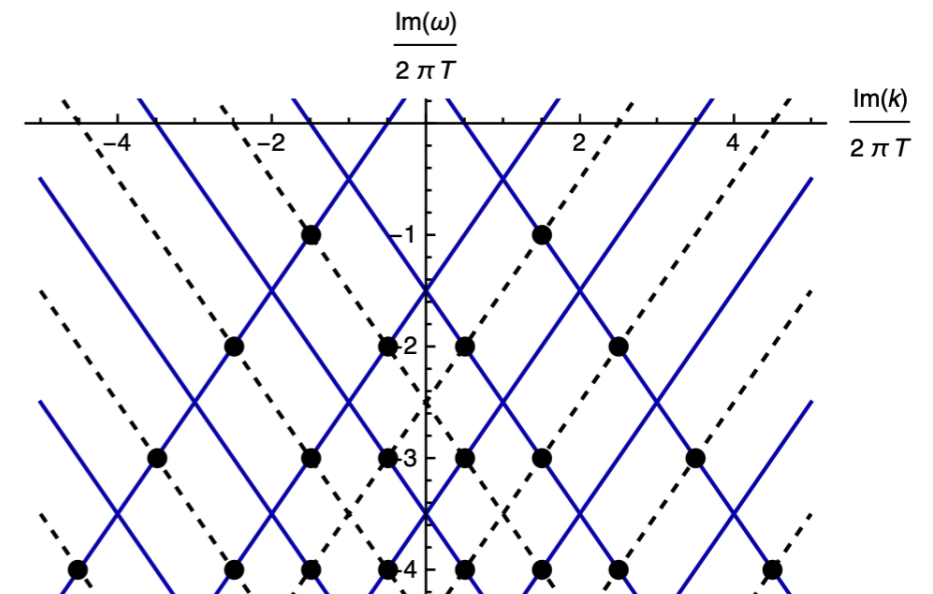
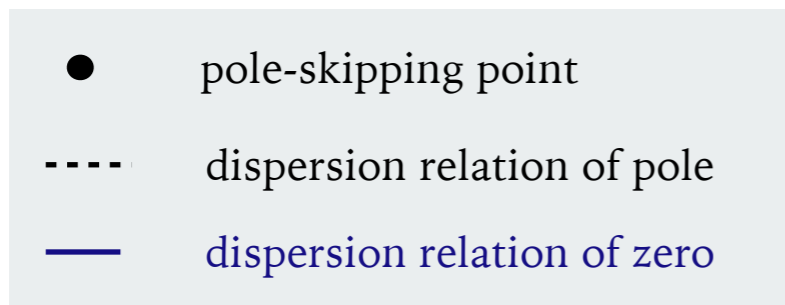
$$G = C \frac{\delta\omega - v_z \delta k}{\delta\omega - v_p \delta k}$$

# POLE-SKIPPING EXAMPLES

- The argument can be generalized to other spacetimes

e.g. BTZ black hole /  $CFT_2$  at non-zero  $T$

$$\Delta = 5/2$$



- And it can be generalized to non-scalar fields/operators, e.g.

\* U(1) Maxwell field: some  $k_n$  are real

\* Fermionic fields: frequencies shifted to  $\omega = -i2\pi T(n + 1/2)$



# CONSTRAINTS ON ENERGY DENSITY MODES

- Usually very complicated to determine the collective modes of energy density  $\delta g_{\nu\nu}$  couples to other metric perturbations and to matter field perturbations

- But in this case, near-horizon Einstein equations yield a simple constraint

$$\omega(k_*) = + i2\pi T \qquad k_*^2 = - d\pi T h'(r_0)$$

Independent of the matter field profiles.

Blake, RD, Grozdanov, Liu (1809.01169)

- Universal constraint on the collective modes of energy density:

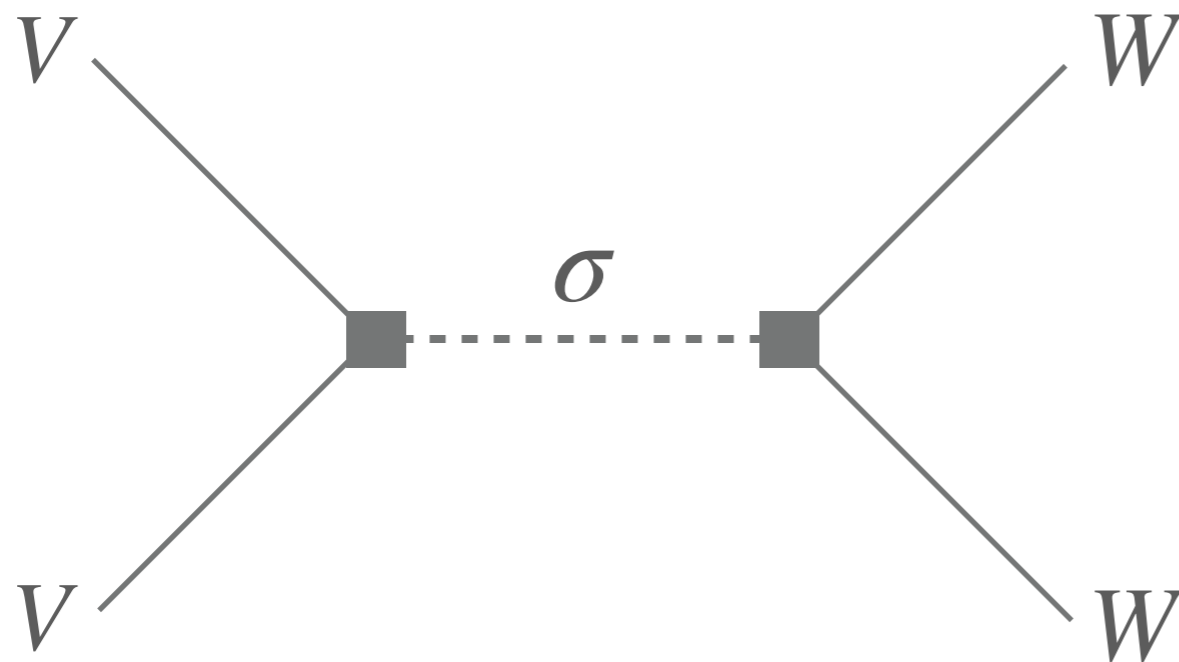
$$\omega(k_*) = + i\tau_L^{-1} \qquad k_*^2 = - (v_B \tau_L)^{-2}$$

First observed numerically in Schwarzschild-AdS<sub>5</sub>: Grozdanov, Schalm, Scopelliti (1710.00921)

# HYDRODYNAMIC INTERPRETATION

- An interpretation: chaotic behavior has hydrodynamic origin

Blake, Lee, Liu  
(1801.00010)



$\sigma$  : hydrodynamic mode of  
energy conservation

See also: Gu, Qi, Stanford (1609.07832), Haehl, Rozali (1808.02898),...

- Conversely, the chaotic behavior constrains the hydrodynamic parameters of theories with holographic duals.

# IMPLICATIONS FOR HYDRODYNAMICS

- There is typically a collective mode of energy density with dispersion relation

$$\omega_{hydro}(k) = -iDk^2 - i\Gamma k^4 + O(k^6)$$

At long distances, this is the hydrodynamic diffusion of energy density.

- $\omega_{hydro}(k_*) = +i\tau_L^{-1} \longrightarrow$  constraint on hydrodynamic parameters.

- Make an additional assumption:

If diffusive approximation  $\omega_{hydro}(k) \approx -iDk^2$  is good up to  $\omega = i\tau_L^{-1}, k = k_*$

$$\longrightarrow D \approx -k_*^{-2}\tau_L^{-1} \longrightarrow$$

$$D \approx v_B^2 \tau_L$$

# LOW TEMPERATURE DIFFUSIVITIES

- Consistent with the diffusivity of energy density at low temperatures
  - \* For a large class of theories with  $\text{AdS}_2 \times \text{R}^d$  IR fixed points

as  $T \rightarrow 0$

$$D = v_B^2 \tau_L$$

Blake, Donos  
(1611.09380)

- \* Generic IR fixed point has symmetry  $t \rightarrow \Lambda^z t$ ,  $\underline{x} \rightarrow \Lambda \underline{x}$

as  $T \rightarrow 0$

$$D = \frac{z}{2(z-1)} v_B^2 \tau_L$$

Blake, RD, Sachdev  
(1705.07896)

- \* When  $z = 1$ , diffusive approximation breaks down at  $\omega \ll \tau_L^{-1}$ .

RD, Gentle, Goutéraux  
(1808.05659)

# SUMMARY

- Near-horizon dynamics yield exact constraints on the dispersion relations of collective modes.
- There is a universal constraint for collective modes of energy density

$$\omega(k_*) = + i\tau_L^{-1} \qquad k_*^2 = - (v_B \tau_L)^{-2}$$

- Under appropriate conditions, this constrains the diffusivity of energy density

$$D \sim v_B^2 \tau_L$$

i.e. transport is related to underlying chaotic properties.

# OPEN QUESTIONS

- How robust is the universal constraint on the energy density collective modes?

More direct evidence of the chaos/hydrodynamics link?

Grozdanov (1811.09641), Ahn et al (1907.08030, 2006.00974), Natsuume, Okamura (1909.09168), Abbasi & Tabatabaei (1910.13696), Liu, Raju (2005.08508), ...

- Regime of validity of (diffusive) hydrodynamics in holographic theories?

Withers (1803.08058), Kovtun et al (1904.01018), ...

- What generalizes to other (non-holographic) strongly interacting systems?

Gu, Qi, Stanford (1609.07832), Patel, Sachdev (1611.00003), ...

- Precise restrictions on transport parameters from near-horizon constraints.

Grozdanov (2008.00888)

- Pole-skipping points in more general spacetimes / field theories ?

Ahn et al (2006.00974)

**THANK YOU!**