

## The day before yesterday

Basics of 6d  $N=(2,0)$  theory. S-duality of 4d  $N=4$ .

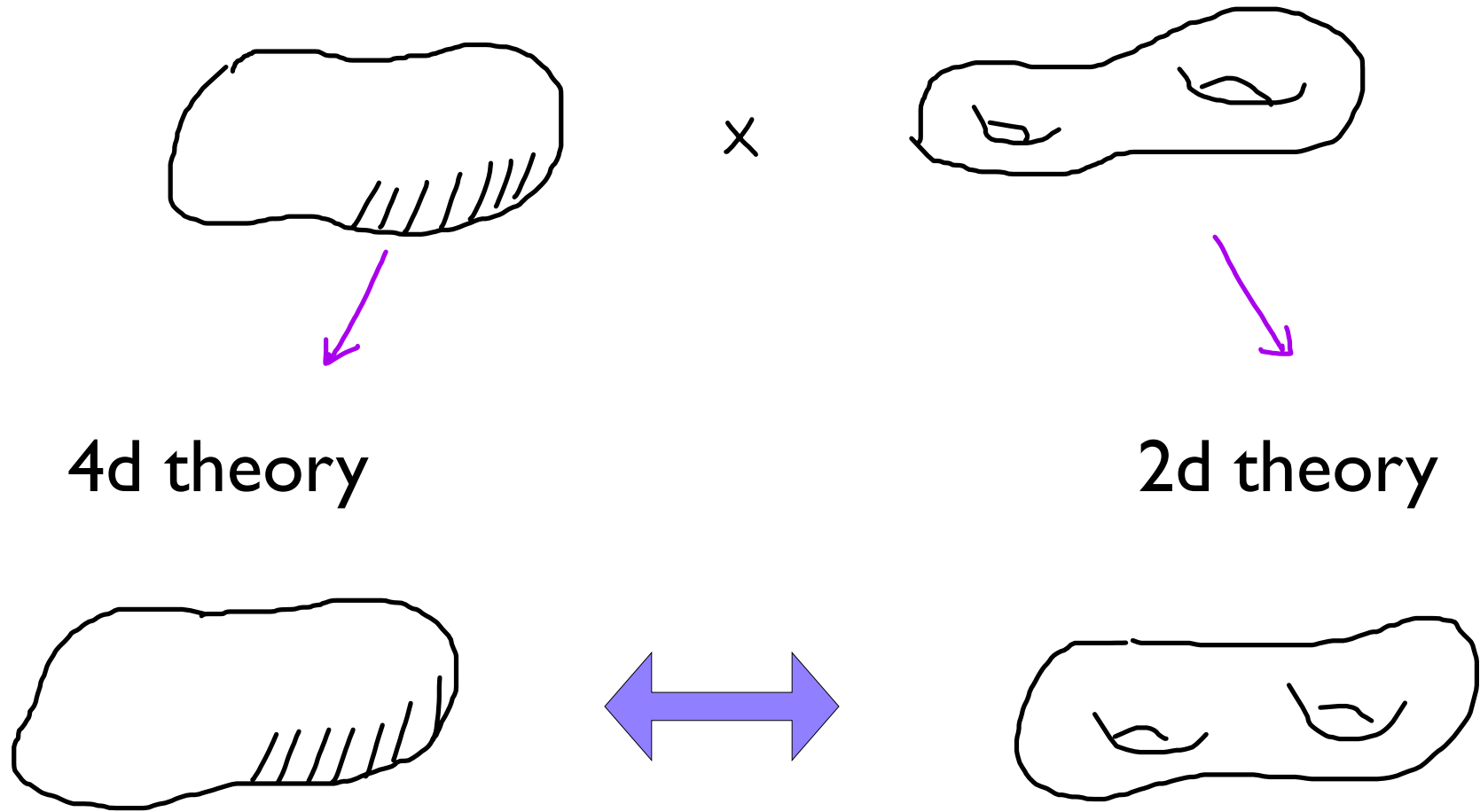
## Yesterday

4d  $N=2$  as 6d  $N=(2,0)$  compactified on  $C$

## Today

Relation with 2d CFT

# 6d $N=(2,0)$ theory



4d theory

2d theory

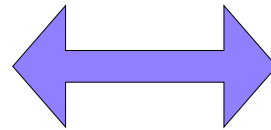
M on K3

0 1 2 3 4 5 6 | 7 8 9 10  
K3

$E_8 \times E_8$  Heterotic on  $T^3$

0 1 2 3 4 5 6 | 7 8 9  
 $T^3$

1 M5  
wrapped on K3



unwound  
heterotic string,  
that has a complicated  
worldsheet spectrum!

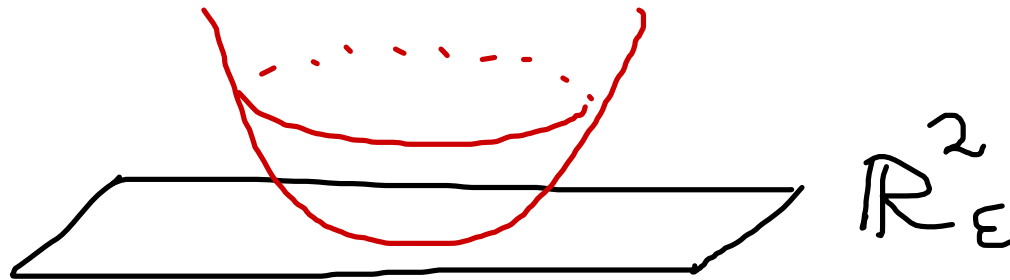
n M5  
wrapped on K3

???

Today I study a “simpler” compactification:

K M5s on  $R^4_{\epsilon_1, \epsilon_2}$

$R^4_{\epsilon_1, \epsilon_2}$  sounds non-compact, but  $\epsilon_{1,2}$   
introduce an effective centripetal potential



The result is the **2d Toda theory of type  $A_{K-1}$** ,  
with  $b^2 = \epsilon_1 / \epsilon_2$ .

2d Toda theory of type  $A_1$  is the Liouville theory.

I need to talk about

- A bit more about 6d  $N=(2,0)$  theory
- What's the 2d Toda theory
- Why you care about this funny compactification on  $R^4_{\epsilon_1, \epsilon_2}$

I'm afraid I don't have enough time.

Hopefully you'll be well-motivated and well-prepared by then, and can start reading papers on arXiv.

A bit more about 6d N=(2,0) theory

6d  $N=(2,0)$  theory is **chiral**.

Chiral fermions can have anomalies.

Self-dual tensor fields have anomalies, too.

For one free tensor multiplet,

$$I_8 = \begin{array}{|c|} \hline \text{green diagonal lines} \\ \hline \end{array} + \begin{array}{|c|} \hline \text{red diagonal lines} \\ \hline \end{array}$$

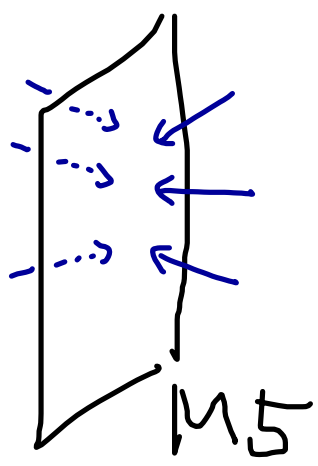
The part. func. **depends on** the choice of the gauge of  $SO(1,5)$  Lorentz rotation and  $SO(5)_R$  symmetry.

OK for field theory purposes.

The part. func. **depends on** the choice of the gauge of  $SO(1,5)$  Lorentz rotation and  $SO(5)_R$  symmetry.

**Not OK** for the full M-theory.

We integrate over the 11d metric!



$$I_8 = \underbrace{\square}_{\text{canceled by}} \int_{11d} C_3 \wedge \text{tr} R^4 + \underbrace{\square}_{\text{canceled by}} \int_{11d} C_3 \wedge dC_3 \wedge dC_3$$

Called as the **anomaly inflow**.

Goes back to **[Callan-Harvey, '85]**.



$$I_8 [\text{an M5}] = \underbrace{\int C_3 \wedge \text{tr} R^4}_{\text{green box}} + \underbrace{\int C_3 \wedge dC_3 \wedge dC_3}_{\text{red box}}$$

What happens for K M5s? We don't know the action.  
We can't calculate  $I_8$  directly.

But we know how much anomaly inflow there is.

$$I_8 [K \text{ M5}] = K \underbrace{\int C_3 \wedge \text{tr} R^4}_{\text{green box}} + K^3 \underbrace{\int C_3 \wedge dC_3 \wedge dC_3}_{\text{red box}}$$

because M5 sources  $G_4 = dC_3 \overset{*}{\leftrightarrow} G_7 = dC_6$ .

[Harvey-Minasian-Moore '98]

Anomaly  $\sim$  (left-moving dof) - (right-moving dof)

$$\#\text{dof} \sim K^3$$

$$I_8 [K M5] = K \begin{array}{|c|} \hline \text{green diagonal} \\ \hline \end{array} + K^3 \begin{array}{|c|} \hline \text{red diagonal} \\ \hline \end{array}$$

$$= K \left( \begin{array}{|c|} \hline \text{green diagonal} \\ \hline \end{array} + \begin{array}{|c|} \hline \text{red diagonal} \\ \hline \end{array} \right) + (K^3 - K) \begin{array}{|c|} \hline \text{red diagonal} \\ \hline \end{array}$$

So

$$I_8 [A_{K-1}] = (K-1) \left( \begin{array}{|c|} \hline \text{green diagonal} \\ \hline \end{array} + \begin{array}{|c|} \hline \text{red diagonal} \\ \hline \end{array} \right) + (K^3 - K) \begin{array}{|c|} \hline \text{red diagonal} \\ \hline \end{array} .$$

$$I_8 [D_K] = 2K \left( \begin{array}{|c|} \hline \text{green diagonal} \\ \hline \end{array} + \begin{array}{|c|} \hline \text{red diagonal} \\ \hline \end{array} \right) \quad [P.Yi '01]$$

$$+ (2K-2)(2K-1) K \begin{array}{|c|} \hline \text{red diagonal} \\ \hline \end{array} .$$

found by carefully studying inflows  
to  $2K$  M5s and M-orientifolds.

$$I_8 [G] = \text{rank } G \left( \begin{array}{|c|} \hline \text{green box} \\ \hline \end{array} + \begin{array}{|c|} \hline \text{red box} \\ \hline \end{array} \right) + h^v_G \dim G \begin{array}{|c|} \hline \text{red box} \\ \hline \end{array}$$

conjectured by [Intriligator '00]

	SU(K)	SO(2K)	E <sub>6</sub>	E <sub>7</sub>	E <sub>8</sub>
rank G	K-1	K	6	7	8
h <sup>v</sup> <sub>G</sub>	K	2K-2	12	18	30
dim G	K <sup>2</sup> -1	K(2K-1)	78	133	248

$$I_8 [G] = \text{rank } G \left( \begin{array}{|c|} \hline \text{green box} \\ \hline \end{array} + \begin{array}{|c|} \hline \text{red box} \\ \hline \end{array} \right) + h_G^V \dim G \begin{array}{|c|} \hline \text{red box} \\ \hline \end{array}$$

The same combination was known from late 80s:

$$c = \text{rank } G + h_G^V \dim G \left( b + \frac{1}{b} \right)^2$$

The central charge of the  $\overbrace{\text{Toda}}^{2d}$  theory of type G.

[Hollowood-Mansfield '90]

(similarity noted by [Bonneli-Tanzini '09] )

Is there any relation?

6d  $N=(2,0)$  theory on type  $G$  on  $R^4_{\epsilon_1, \epsilon_2}$



2d Toda theory of type  $G$  with  $b^2 = \frac{\epsilon_1}{\epsilon_2}$

KK-reduction of the 6d anomaly reproduces  
the 2d anomaly “ $c$ ”.

[Alday-Benini-YT '09]

## What's a 2d Toda theory?

[Bouwknegt-Schoutens '92]  
is the standard ref. on W-alg.

Consider a 2d free boson w/ background charge:

$$S = \int d^2x \sqrt{g} \left( \partial_\mu \phi \partial_\mu \phi + \sqrt{2} Q \phi \mathcal{R} \right)$$

The central charge is

$$c = 1 + 6Q^2$$

The operator  $e^{-\sqrt{2}b\phi}$  has  $\text{dim} = 2 + b(Q - b)$ .

An operator is marginal when  $\text{dim} = 2$ .

So let's take  $Q = b + \frac{1}{b}$  and add  $e^{-\sqrt{2}b\phi}$  to  $S$ .

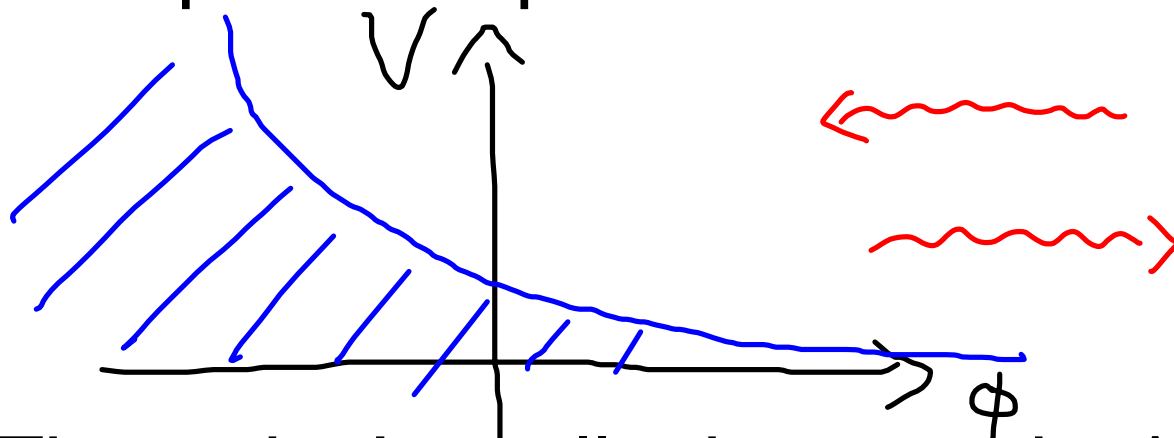
Believed to define an interacting CFT.

The action is

$$S = \int d^2x \sqrt{g} \left( \partial_\mu \phi \partial_\mu \phi + \sqrt{2} Q \phi R + \mu e^{-\sqrt{2} b \phi} \right)$$

central charge  $c = 1 + 6 Q^2 = 1 + 6 \left( b + \frac{1}{b} \right)^2$ .

It describes a wave in the  $\Phi$  space reflecting off an exponential potential wall



This is the Liouville theory = the  $A_1$  Toda theory.

Which part of this is  $A_1$  ?



Use two bosons  $\Phi_1, \Phi_2$

$$S = \int d^2x \sqrt{g} (\partial_\mu \phi_i \partial_\mu \phi_i + Q_i \phi_i R)$$

The potential  $e^{b(\Phi_1 - \Phi_2)}$  is marginal when

$$(Q_1, Q_2) = (b + \frac{1}{b}) (1, -1).$$

$$C = \sum_i (1 + 3Q_i^2) = 1 + \left[ 1 + 6(b + \frac{1}{b})^2 \right]$$

$\text{dim} = b(Q_1 - b)$   
 $+ (-b)(Q_2 + b)$

$\Phi_1 + \Phi_2$  is free;  $\Phi_1 - \Phi_2$  is interacting.

$\Phi_1$  is reflected off to be  $\Phi_2$ .

Think of  $\text{diag}(\Phi_1, \Phi_2)$ .  $\Phi_1 \leftrightarrow \Phi_2$  is the Weyl reflection.

Think of them as the diagonal of  $2 \times 2$  matrix.

Use  $K$  bosons  $\Phi_1, \Phi_2, \dots, \Phi_K$

$$S = \int d^2x \sqrt{g} (\partial_\mu \phi_i \partial_\mu \phi_i + Q_i \phi_i R).$$

The potentials  $e^{b(\phi_i - \phi_{i+1})}$  is marginal when

$\vec{Q} = (b + \frac{1}{b}) (K-1, K-3, \dots, 1-K).$

$\left. \begin{array}{l} e^{b(\phi_1 - \phi_2)} \\ e^{b(\phi_2 - \phi_3)} \\ \vdots \\ e^{b(\phi_{K-1} - \phi_K)} \end{array} \right\}$

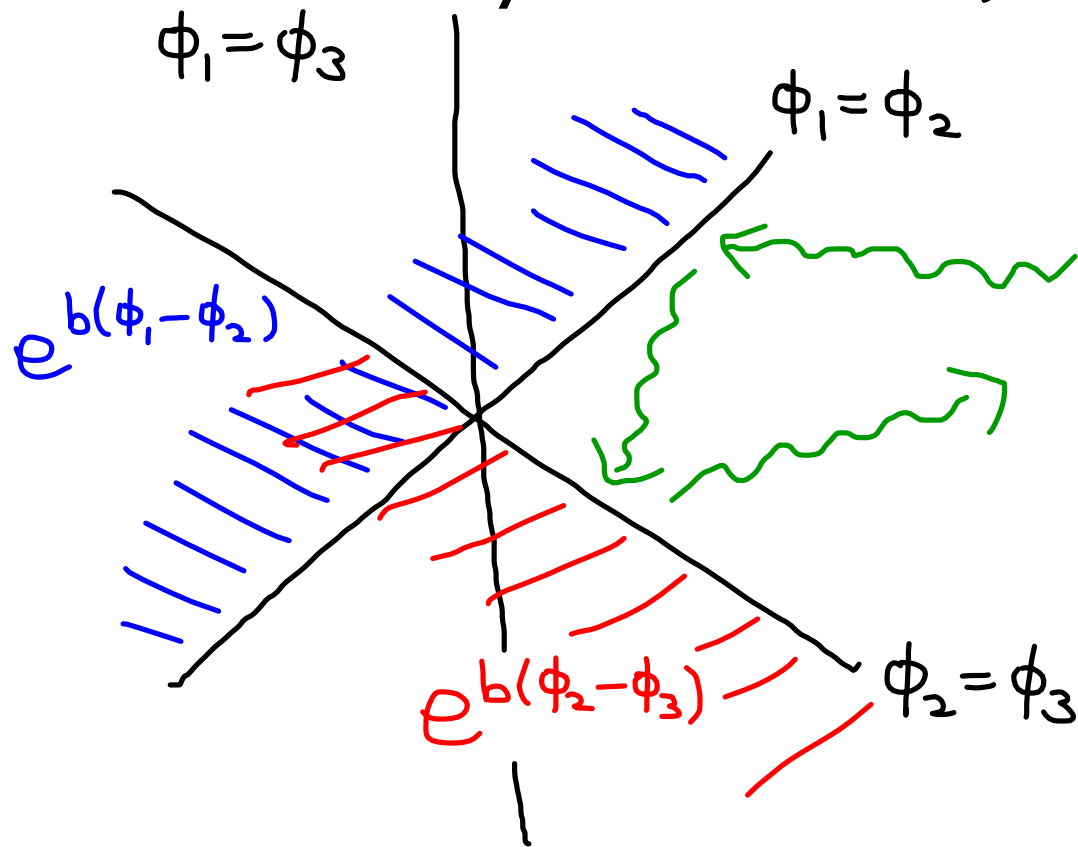
$\underbrace{\quad \quad \quad}_{2} \quad \underbrace{\quad \quad \quad}_{2} \quad \dots \quad \underbrace{\quad \quad \quad}_{2}$

$\sum \Phi_i$  is free; the others are interacting.

Potentials realize the Weyl reflections  $\Phi_i \leftrightarrow \Phi_{i+1}$

$$\begin{aligned}
 c &= K + 3 \left[ (K-1)^2 + (K-3)^2 + \dots + (1-K)^2 \right] \left( b + \frac{1}{b} \right)^2 \\
 &= \underbrace{1}_{\text{free part}} + \underbrace{(K-1) + (K^3 - K) \left( b + \frac{1}{b} \right)^2}_{\text{interacting part}}.
 \end{aligned}$$

$A_2$  Toda theory describes a wave bouncing off the wall of the Weyl chamber:  $\mathfrak{sl}(3)$ .



Use  $r$  bosons  $\Phi = (\Phi_1, \Phi_2, \dots, \Phi_r)$

$$S = \int d^2x \sqrt{g} (\partial_\mu \phi_i \partial_\mu \phi_i + Q_i \phi_i R)$$

Introduce the potentials  $e^{b\vec{\alpha} \cdot \vec{\Phi}}$  for the simple roots.

For them to be marginal, the background charge

$$\vec{Q} = 2(b + \frac{1}{b}) \vec{\rho}$$

needs to satisfy

$$\vec{\alpha} \cdot \vec{\rho} = 1 \quad \text{for all simple roots.}$$

Potentials realize the Weyl reflections.

$$\begin{aligned} c &= r + 12(b + \frac{1}{b})^2 |\vec{\rho}|^2 \\ &= \text{rank } G + h_G^\vee \dim G (b + \frac{1}{b})^2. \end{aligned}$$

Toda theories are not just CFT.

For type  $A_{K-1}$ , we had  $\phi_1, \dots, \phi_K$ .

Define  $T(z) = W_2(z), W_3(z), \dots, W_K(z)$  via

$$\begin{aligned} & : (\partial_z - \partial_z \phi_1) (\partial_z - \partial_z \phi_2) \cdots (\partial_z - \partial_z \phi_K) : \\ & = \partial_z^K + T(z) \partial_z^{K-2} + W_3(z) \partial_z^{K-3} + \cdots + W_K(z). \end{aligned}$$

They are conserved currents,  
and generates the  $W(A_{K-1})$ -algebra.  
You saw something similar yesterday.

K M5 on  $\mathbb{C}$  was described by

$$\begin{aligned} & (\lambda - \phi_{(1)}(z)) (\lambda - \phi_{(2)}(z)) \cdots (\lambda - \phi_{(k)}(z)) \\ & = \lambda^k + u_2(z) \lambda^{k-2} + u_3(z) \lambda^{k-3} + \cdots + u_k(z). \end{aligned}$$

positions of  
M5s

$A_{k-1}$  Toda theory has

$$\begin{aligned} & :(\partial_{\bar{z}} - \partial_z \phi_1) (\partial_{\bar{z}} - \partial_z \phi_2) \cdots (\partial_{\bar{z}} - \partial_z \phi_k): \\ & = \partial_{\bar{z}}^k + W_2(z) \partial_{\bar{z}}^{k-2} + W_3(z) \partial_{\bar{z}}^{k-3} + \cdots + W_k(z). \end{aligned}$$

We postulate  $u_i(z) \longleftrightarrow \langle W_i(z) \rangle dz^i$   
when compactified on  $\mathbb{R}^4_{\epsilon_1, \epsilon_2}$ .

This underlies all of the correspondence  
(which people call in the way I can't).

What is this compactification on  $\mathbb{R}^4_{\varepsilon_1, \varepsilon_2}$ , anyway?

Suppose you're asked the volume of  $\mathbb{R}^2$ .

$$\int dx dy = \infty \dots$$

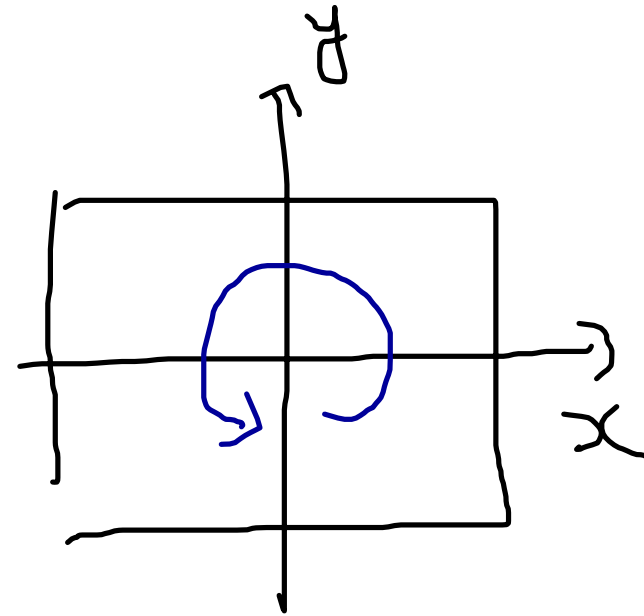
You have a rotational symmetry.

Think of  $x$  &  $y$  canonically conjugate.

Rotation is generated by  $x^2 + y^2$ .

Regularize by it:

$$\int dx dy \exp(-\epsilon(x^2 + y^2)) \\ = \pi / \epsilon.$$



We get something meaningful.

and diverges when  $\epsilon$  is taken away.



In general, for a (path) integral,

$$Z = \int [D\phi] \exp(-S)$$

If the integration region has

- the structure of the phase space
- symmetries generated by  $H_i$

You can **regularize** it using the Hamiltonians:

$$Z_{\epsilon_i} = \int [D\phi] \exp(-S + \sum \epsilon_i H_i)$$

Compactification on  $R^4_{\epsilon_1, \epsilon_2}$  is one instance of this.

$$\begin{cases} \epsilon_1 : \text{rotation of } x^1 - x^2 \text{ plane} \\ \epsilon_2 : \text{rotation of } x^3 - x^4 \text{ plane} \end{cases}$$

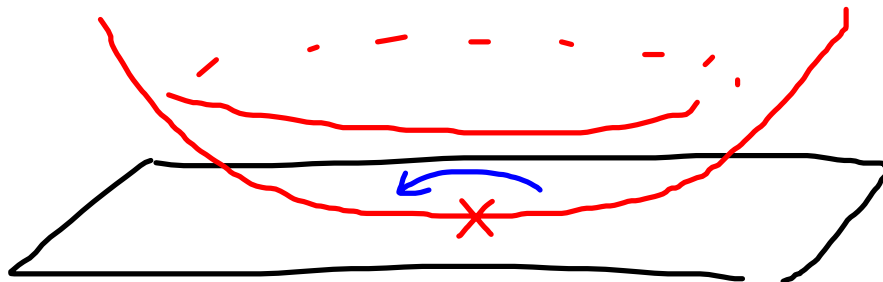
Rotational symmetries of  $R^4$  induce symmetries on the space of configurations of fields.

$$\phi(x_i) \rightarrow \phi(x_i)' \equiv \phi(R^j_i x_j).$$

You add the “Hamiltonians” for that symmetry to the action.

$$Z_{\epsilon_1, \epsilon_2} = \int [D\phi] \exp(-S + \epsilon_1 H_1 + \epsilon_2 H_2).$$

Note that  $H_i$  breaks Lorentz Invariance.



Why do we care?

For an N=2 gauge theory, the partition function on  $\mathbb{R}^4$

$$Z_{\varepsilon_1, \varepsilon_2} = \int [DA D\psi D\phi] \exp(-S - \varepsilon_1 H_1 - \varepsilon_2 H_2)$$

is finite, and behaves in the limit  $\varepsilon_1, \varepsilon_2 \rightarrow 0$  as

$$\log Z_{\varepsilon_1, \varepsilon_2} = \frac{1}{\varepsilon_1 \varepsilon_2} F(a_1, \dots, a_r) + \text{finite terms}$$

where  $F(\underbrace{a_1, a_2, \dots, a_r}_{\text{vev of the vector-multiplet scalar}})$  is the low-energy prepotential. [Nekrasov '03]

And it's computable.

[Moore-Nekrasov-Shatashvili '97]

(Knowing prepotential = knowing the SW curve)

For pure N=2 gauge theory, Nekrasov's Z

$$\mathcal{Z}_{\epsilon_1, \epsilon_2} = \int [DAD\psi D\phi] \exp(-\mathcal{S} - \epsilon_1 H_1 - \epsilon_2 H_2)$$

becomes

$$= \sum_k \int d^{4Nk} X \exp(-\mathcal{S}[A[X; \vec{x}]] + \epsilon_1 H_1[A[X; \vec{x}]] + \epsilon_2 H_2[A[X; \vec{x}]])$$

↑  
instanton  
number

$$k = \frac{1}{32\pi^2} \int \text{tr} F \wedge F$$

parametrize the instanton  
configurations solving

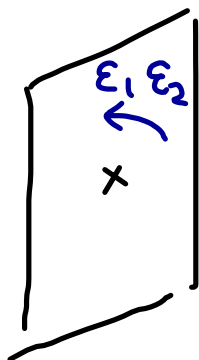
$$F_{\mu\nu}^a = \frac{1}{2} \epsilon_{\mu\nu\rho\sigma} F_{\rho\sigma}^a$$

= the sum of

the regularized volumes of

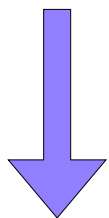
the instanton moduli spaces of G.

# 6d $N=(2,0)$ theory

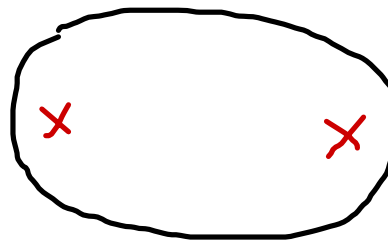


4d theory

pure  $N=2$   $SU(N)$   
on  $\mathbb{R}^4_{\epsilon_1, \epsilon_2}$

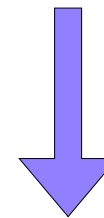


$\sum_k \Lambda^{4Nk}$  (reg. vol. of  
inst. moduli  
with #inst =  $k$ )



2d theory

$A_{N-1}$  Toda  
on two-punc. sphere



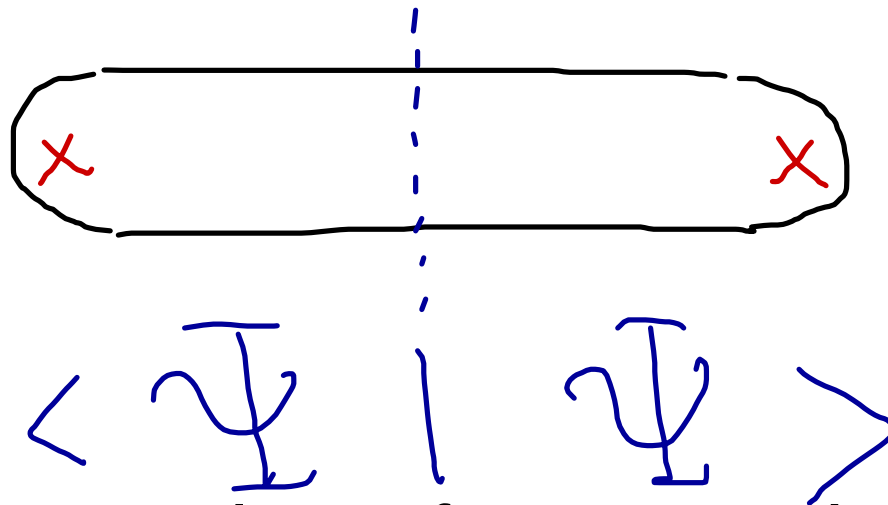
???

The M5 configuration was

$$\lambda^N + u_2(z) \lambda^{N-2} + u_3(z) \lambda^{N-3} + \dots + u_N(z) = 0$$

where

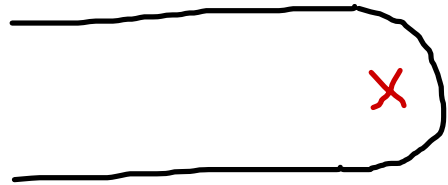
$$u_2(z) = \underline{u_2} \left(\frac{dz}{z}\right)^2, \quad u_3(z) = \underline{u_3} \left(\frac{dz}{z}\right)^3, \quad \dots, \quad u_N(z) = \left(\frac{\Lambda^N}{z} + \underline{u_N} + \Lambda^N z\right) \left(\frac{dz}{z}\right)^N.$$



This is an inner product of a state with itself.

What is the state?

For simplicity, consider  $A_1$  theory (i.e. 2 M5 branes.)



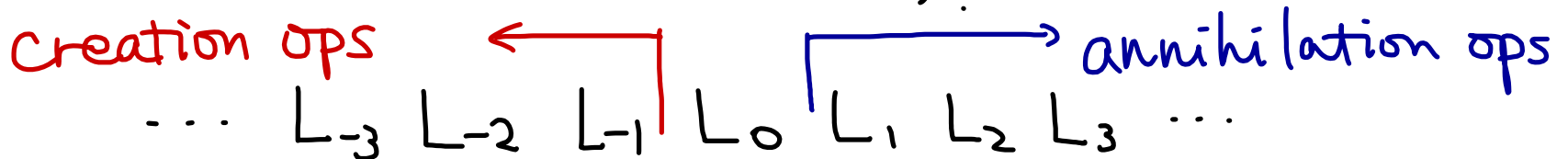
$$u_2(z) \sim \underbrace{u_2}_{\text{blue}} \frac{dz^2}{z^2} + \underbrace{\Lambda^2}_{\text{green}} \frac{dz^2}{z^3} \quad \text{at } z \sim 0$$

We identify  $u_2(z)$  with  $T(z)dz^2$ :  $|\psi\rangle$

$$T(z)dz^2 \sim \underbrace{L_0}_{\text{blue}} \frac{dz^2}{z^2} + \underbrace{L_1}_{\text{green}} \frac{dz^2}{z^3} + L_2 \frac{dz^2}{z^4} + \dots$$

$$\longrightarrow L_0 |\psi\rangle \sim \underbrace{u_2}_{\text{blue}} |\psi\rangle$$

$$L_1 |\psi\rangle \sim \underbrace{\Lambda^2}_{\text{green}} |\psi\rangle$$



This is a coherent state of the Virasoro algebra.

A bit more detail: a rep. of Virasoro is generated from

$$L_0 |\Delta\rangle = \Delta |\Delta\rangle, \quad L_1 |\Delta\rangle = 0, \quad L_2 |\Delta\rangle = 0, \quad \dots$$

Then

$$\begin{array}{ccccccc}
 & & \xrightarrow{L_{-1}} & & \xrightarrow{L_{-1}} & & \\
 |\Delta\rangle & & L_{-1} |\Delta\rangle & & (L_{-1})^2 |\Delta\rangle & & \dots \\
 & & & & L_{-2} |\Delta\rangle & & \\
 & \xrightarrow{L_{-2}} & & & & & 
 \end{array}$$

Take  $|\psi\rangle = |\Delta\rangle + \boxed{\text{hatched}} L_{-1} |\Delta\rangle + \textcircled{\text{green}} L_{-1}^2 |\Delta\rangle + \textcircled{\text{red}} L_{-2} |\Delta\rangle + \dots$

Demand

$$L_1 |\psi\rangle = g |\psi\rangle.$$

Then

$$\boxed{\text{hatched}} L_1 L_{-1} |\Delta\rangle = g |\Delta\rangle \quad \longrightarrow \quad \boxed{\text{hatched}} = \frac{g}{2\Delta}$$

$$\therefore \langle \psi | \psi \rangle = 1 + \frac{g^2}{2\Delta} + \dots$$



On the instanton side, the 1-inst. moduli space of  $SU(2)$  is

$$\mathbb{R}^4 \times \mathbb{R}^4 / \mathbb{Z}_2$$

↖  $\varepsilon_1, \varepsilon_2$       ↖  $\left(\frac{\varepsilon_1 + \varepsilon_2}{2} + a\right), \left(\frac{\varepsilon_1 + \varepsilon_2}{2} - a\right)$

↙  $\begin{pmatrix} a \\ -a \end{pmatrix}$

$$\therefore \text{reg. vol.} = \frac{1}{\varepsilon_1 \varepsilon_2} \cdot \frac{1}{2} \frac{1}{\frac{\varepsilon_1 + \varepsilon_2}{2} + a} \cdot \frac{1}{\frac{\varepsilon_1 + \varepsilon_2}{2} - a}$$

$$\therefore \sum_k \Lambda^{4k} (\text{reg. vol.}) = 1 + \frac{\Lambda^4}{(\varepsilon_1 \varepsilon_2)^2} \frac{1}{2} \frac{1}{\frac{(\varepsilon_1 + \varepsilon_2)^2}{\varepsilon_1 \varepsilon_2} - \frac{a^2}{\varepsilon_1 \varepsilon_2}} + \dots$$

$$g = \Lambda^2 / \varepsilon_1 \varepsilon_2$$



$$\Delta = \frac{(\varepsilon_1 + \varepsilon_2)^2}{\varepsilon_1 \varepsilon_2} - \frac{a^2}{\varepsilon_1 \varepsilon_2}$$

$$\langle \psi | \psi \rangle = 1 + \frac{g^2}{2\Delta} + \dots$$

Of course the 1st terms agree by choosing the dictionary carefully. The point is that the subleading corrections also work.

Virasoro: easily calculable.

$$\langle \psi | \psi \rangle = 1 + \frac{g^2}{2\Delta} + \frac{g^4 (c + 8\Delta)}{4\Delta [(1+2\Delta)c - 10\Delta + 16\Delta^2]} + \dots$$

Instantons: use the formula of Moore-Nekrasov-Shatashvili

$$\sum_k \Lambda^{4k} (\text{reg. vol.})$$

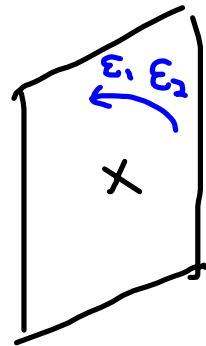
$$= 1 + \frac{\Lambda^4}{2\epsilon_1 \epsilon_2} \frac{1}{(\epsilon_1 + \epsilon_2)^2 - a^2}$$

$$+ \frac{\Lambda^8 \left[ (\epsilon_1 + \epsilon_2)^2 + \frac{\epsilon_1 \epsilon_2}{g} - a^2 \right]}{\dots} + \dots$$

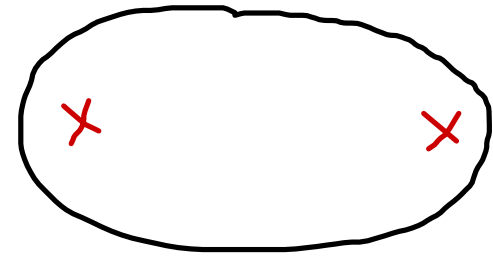
$$g (\epsilon_1 \epsilon_2)^2 \left( \left( \frac{\epsilon_1 + \epsilon_2}{2} \right)^2 - a^2 \right) \left( \left( \epsilon_1 + \frac{\epsilon_2}{2} \right)^2 - a^2 \right) \left( \left( \frac{\epsilon_1}{2} + \epsilon_2 \right)^2 - a^2 \right)$$

$$\begin{aligned} g &= \Lambda^2 / \epsilon_1 \epsilon_2 \\ c &= 1 + 6(\epsilon_1 + \epsilon_2)^2 / \epsilon_1 \epsilon_2 \\ \Delta &= \frac{(\epsilon_1 + \epsilon_2)^2}{\epsilon_1 \epsilon_2} - \frac{a^2}{\epsilon_1 \epsilon_2} \end{aligned}$$

# 6d N=(2,0) theory



$\times$

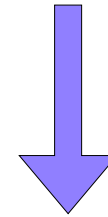
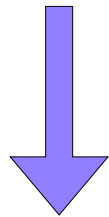


4d theory

2d theory

$\mathcal{N}=2$  pure  $SU(N)$   
on  $\mathbb{R}^4_{\epsilon_1, \epsilon_2}$

$A_{N-1}$  Toda theory  
on two-punc. sphere



$\sum_k$  (reg. vol. inst. mod.)  
#inst = k



$\langle \psi | \psi \rangle$   
a special coherent state.

The sum of  
the regularized volumes of  
the instanton moduli spaces of  $G$ .

should be equal to

The inner product with itself of  
the coherent state in the Verma module of  
the  $W$ -algebra associated to  $G$ .

[Gaiotto, '09]  
Aug.

Calculating them in both sides, order by order, is easy.

Algorithms are both known; you just have to implement them in Mathematica/Maple, or if you're a faculty, let the student do it.

I don't have the luxury yet.

Anyway. Both sides produce horrible expressions, but they should agree.

For  $SU(2)$ , the equality was proved. [Fateev-Litvinov, '09]

For  $SU(N)$ , even the order-by-order check ~~was~~ not done.  
has been

Please do if you're interested.

It would be a good exercise for you to learn

- details of the analysis of instantons in 4d
- details of the structure of the  $W$ -algebras in 2d