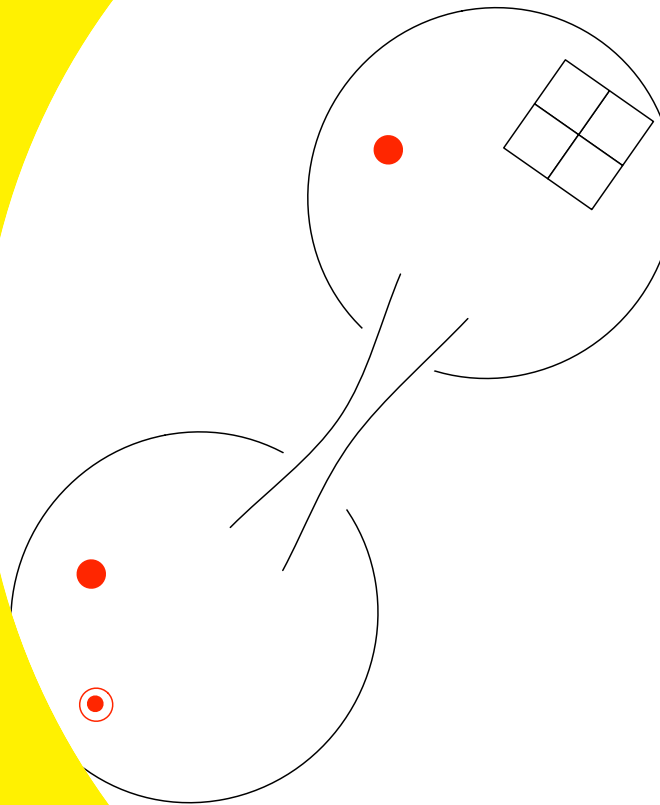


$N=2$ supersymmetric dynamics for DUMMIES

Learn to:

- write down Seiberg-Witten curves
- perform Gaiotto dualities
- live with non-Lagrangian theories

Yuji Tachikawa



$\mathcal{N}=2$ supersymmetric dynamics for dummies

Yuji Tachikawa^{#,b}

- ^b Department of Physics, Faculty of Science,
University of Tokyo, Bunkyo-ku, Tokyo 133-0022, Japan
- [#] Kavli Institute for the Physics and Mathematics of the Universe (WPI),
University of Tokyo, Kashiwa, Chiba 277-8583, Japan

abstract

We give a pedagogical introduction to the dynamics of $\mathcal{N}=2$ supersymmetric systems in four dimensions. The topic ranges from the Lagrangian and the Seiberg-Witten solutions of $SU(2)$ gauge theories to Argyres-Douglas CFTs and Gaiotto dualities, while discussions on instanton integrals are intentionally left out.

This lecture note is a write-up of the author's lectures at Tohoku University, Nagoya University and Rikkyo University.

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0 Introduction

The study of $\mathcal{N}=2$ supersymmetric quantum field theories in four-dimensions has been a fertile field for theoretical physicists for quite some time. These theories always have non-chiral matter representations, and therefore can never be directly relevant for describing the real world. That said, the existence of two sets of supersymmetries allows us to study their properties in much greater detail than both non-supersymmetric theories and $\mathcal{N}=1$ supersymmetric theories. Being able to do so is quite fun in itself, and hopefully the general lessons thus learned concerning $\mathcal{N}=2$ supersymmetric theories might be useful when we study the dynamics of theories with lower supersymmetry. At least, the physical properties of $\mathcal{N}=2$ theories have been successfully used to point mathematicians to a number of new mathematical phenomena unknown to them.

These words would not probably be persuasive enough for non-motivated people to start studying $\mathcal{N}=2$ dynamics. It is not, however, the author's plan to present here a convincing argument why you should want to study it anyway; the fact that you are reading this sentence should mean that you are already somewhat interested in this subject and are looking for a place to start.

There have been many important contributions to the study of $\mathcal{N}=2$ theories since its introduction [1]. The three most significant ones in the author's very personal opinion are the following:

1994 Seiberg and Witten found in [2, 3] exact low-energy solutions to $\mathcal{N}=2$ supersymmetric $SU(2)$ gauge theories using holomorphy.

2002 Nekrasov found in [4] a concise method to obtain the solutions of Seiberg and Witten via the instanton counting.

2009 Gaiotto found in [5] a huge web of S-dualities acting upon $\mathcal{N}=2$ supersymmetric systems.

The developments before 2002 have been described in many nice introductory reviews and lecture notes, e.g. [6, 7, 8, 9, 10]. Newer textbooks also have sections on them, see e.g. Chap. 29.5 of [11] and Chap. 13 of [12]. A short review on the instanton counting will be forthcoming [13]. A comprehensive review on the newer developments since 2009 would then surely be useful to have, but this lecture note is not exactly that. Rather, the main aim of this lecture note is to present the same old results covered in the lectures and reviews listed above under a new light introduced in 2009 and developed in the last few years, so that readers would be naturally prepared to the study of recent works once they go through this note. A good review with an emphasis on more recent developments can be found in [14].

The rest of the lecture note is organized as follows. First three sections are there to prepare ourselves to the study of $\mathcal{N}=2$ dynamics.

- We start in Sec. 1 by introducing the electromagnetic dualities of $U(1)$ gauge theories and recall the basic semiclassical features of monopoles.
- In Sec. 2, we construct the $\mathcal{N}=2$ supersymmetric Lagrangians and studying their classical features. We introduce the concepts of the Coulomb branch and the Higgs branch.

- In Sec. 3, we will first see that the renormalization of $\mathcal{N}=2$ gauge theories are one-loop exact perturbatively. We also study the anomalous R-symmetry of supersymmetric theories. As an application, we will quickly study the behavior of pure $\mathcal{N}=1$ gauge theories.

The next two sections are devoted to the solutions of the two most basic cases.

- In Sec. 4, we discuss the solution to the pure $\mathcal{N}=2$ supersymmetric $SU(2)$ gauge theory in great detail. Two important concepts, the Seiberg-Witten curve and the Gaiotto curve, will be introduced.
- In Sec. 5, we solve the $\mathcal{N}=2$ supersymmetric $SU(2)$ gauge theory with one hypermultiplet in the doublet representation. We will see again that the solution can be given in terms of the curves.

The sections 6 and 7 are again preparatory.

- In Sec. 6, we give a physical meaning to the Seiberg-Witten curves and the Gaiotto curves, in terms of six-dimensional theory. With this we will be able to guess the solutions to $SU(2)$ gauge theory with arbitrary number of hypermultiplets in the doublet representations. This section will not be self-contained at all, but it should give the reader the minimum with which to work from this point on.
- Up to the previous section, we will be mainly concerned with the Coulomb branch. As the analysis of the Higgs branch will become also useful and instructive later, we will study the features of the Higgs branch in slightly more detail in Sec. 7.

We resume the study of $SU(2)$ gauge theories in the next two sections.

- In Sec. 8, we will see that the solutions of $SU(2)$ gauge theories with two or three hypermultiplets in the doublet representation, which we will have guessed in Sec. 6, indeed pass all the checks to be the correct ones.
- In Sec. 9, we first study the $SU(2)$ gauge theory with four hypermultiplets in the doublet representation. We will see that it has an S-duality acting on the $SO(8)$ flavor symmetry via its outer-automorphism. Then the analysis will be generalized, following Gaiotto, to arbitrary theories with gauge group of the form $SU(2)^n$.

We will consider more diverse examples in the final three sections of the main part.

- In Sec. 10, we will study various superconformal field theories of the type first found by Argyres and Douglas, which arises when electrically and magnetically charged particles become simultaneously very light.
- In Sec. 11, the solutions to $SU(N)$ and $SO(2N)$ gauge theories with and without hypermultiplets in the fundamental or vector representation will be quickly described.

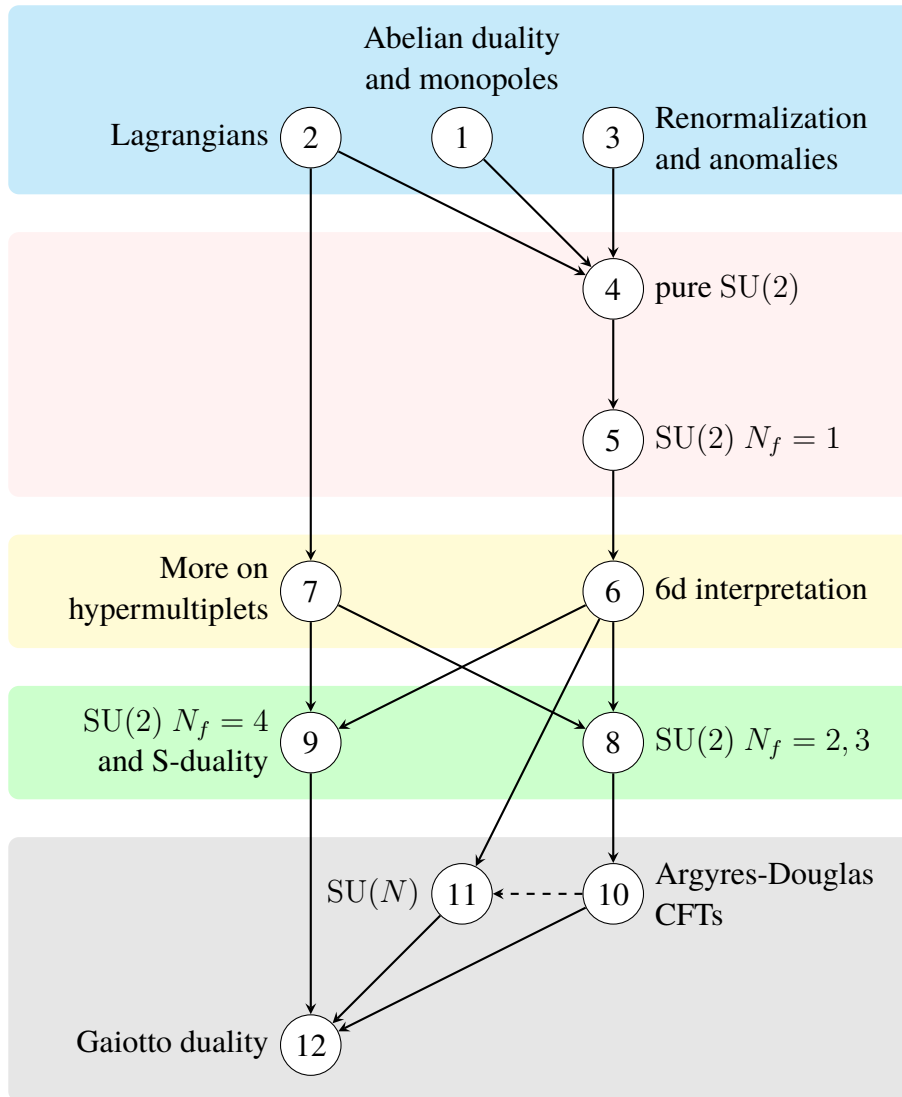


Figure 0.1: Interdependence of sections of this lecture note

- In Sec. 12, we will analyze the S-duality of the $SU(N)$ gauge theory with $2N$ flavors and its generalization. Important roles will be played by punctures on the Gaiotto curve labeled by Young diagrams with N boxes, whose relation to the Higgs branch will also be explained. As an application, we will construct superconformal field theories with exceptional flavor symmetries $E_{6,7,8}$.

We conclude the lecture note by a discussion of further directions of study in Sec. ∞ . The interrelation of the sections within this lecture note is summarized in Fig. 0.1.

Prerequisites, disclaimer, and acknowledgments

A working knowledge of $\mathcal{N}=1$ superfields is required; we set up our notation in Sec. 2. Similarly, a reader should know one-loop renormalization and perturbative anomalies, and should have at least heard about instantons and monopoles, although we give a quick summary and references. No prior knowledge of string theory or M-theory is assumed, but a reader should be open to the concept of theories defined in spacetime whose dimension is larger than four.

Signs and powers of i in the terms in the Lagrangian are not completely consistent or correct, but the overall ideas presented in the lecture note should be alright. The author is sorry that he used the same letter i for the imaginary unit and for the indices, and the same letter θ for the theta angle and for the supercoordinates. In general, readers are encouraged to read not just what is written, but what should be written instead. Presumably there are many other typos, errors, and points to be improved. The author would welcome whatever comments from you, so please do not hesitate to write an email to the author at `yuji.tachikawa@ipmu.jp`.

The deficiencies concerning citations are most obvious, as the number of relevant papers is immense. The author is quite sure that he cited too much of his own papers. Other than that, the author at least tried to give a few pointers to recent papers from whose references the interested readers should be able to start exploring the literature. The author is open to add more references in this lecture note itself, and any reader is again encouraged to send emails.

This lecture note is based on the author's lectures at Nagoya University and Tohoku University on 2011 and at Rikkyo University on 2013. The author thanks the hosts in these three universities for giving him the opportunity to present a review of the $\mathcal{N}=2$ supersymmetric dynamics using new techniques. He also thanks the participants of these lectures for giving him many useful comments along the course of the lectures. The author's approach to this topic has been formed and heavily influenced by the discussions with various colleagues, and most of the new arguments in this note, except for those which are wrong, should not be credited to the author.

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1 Electromagnetic duality and monopoles

The electromagnetic duality of the Maxwell theory, exchanging electric and magnetic fields, plays a central role in this lecture note. It is therefore convenient to review it here, without the extra complication of supersymmetry. The basic features of magnetic monopoles will also be recalled.

1.1 Electric and magnetic charges

Consider a $U(1)$ gauge field, described by the gauge potential $A = A_\mu dx^\mu$ and the field strength $F = \frac{1}{2}F_{\mu\nu}dx^\mu \wedge dx^\nu$, where $F_{\mu\nu} = \partial_{[\mu}A_{\nu]}$. This is invariant under the gauge transformation

$$A \rightarrow A + ig^{-1}dg \tag{1.1.1}$$

where g is a map from the spacetime to complex numbers with absolute value one, $|g| = 1$. We can write $g = e^{i\chi}$ with a real function χ , and we then have a more familiar

$$A \rightarrow A - d\chi, \quad (1.1.2)$$

but it will be important for us that χ can be multi-valued, so that we identify

$$\chi \sim \chi + 2\pi. \quad (1.1.3)$$

Consider a field ϕ , with the gauge transformation given by

$$\phi \rightarrow g^n \phi \quad (1.1.4)$$

We require here that g specifies the transformations of all fields in the system uniquely. Then n needs to be an integer; fractional powers are not uniquely defined.

The covariant derivative given by

$$D_\mu \phi = \partial_\mu \phi + inA_\mu \phi, \quad (1.1.5)$$

and the kinetic term $|D_\mu \phi|^2$ is gauge-invariant. We write the action of the gauge field as

$$S_{\text{Maxwell}} = \int d^4x \frac{1}{2e^2} F_{\mu\nu} F_{\mu\nu}. \quad (1.1.6)$$

The coefficient 2 in the denominator is slightly unconventional, but this choice removes various annoying factors later. Then the force between two particles obtained by quantizing the field ϕ is proportional to $e^2 n^2$. In phenomenological literature the combination en is often called the electric charge, but in this lecture note we call the integer n the electric charge. It might also be tempting to rescale F to eliminate the factor of e^2 from the denominator above. But we stick to the convention that the periodicity of χ is 2π , see (1.1.3).

An electric particle with charge n in the first quantized setup couples to the gauge field via

$$S = in \int_L dx^\mu A_\mu \quad (1.1.7)$$

where L is the worldline. The integrality of n in this approach can be seen as follows. Due to the periodicity of χ (1.1.3), the line integral $\int dx^\mu A_\mu$ is determined only up to an addition of an integral multiple of 2π . Inside the path integral, e^{iS} needs to be well defined. Then n needs to be an integer.

Adding (1.1.6) and (1.1.7) and writing down the equation of motion for A_μ , we see that

$$\int_{S^2} \frac{4\pi}{e^2} \vec{E} \cdot d\vec{n} = \int_{S^2} \frac{4\pi}{e^2} \star F = 2\pi n, \quad (1.1.8)$$

where $E_i = F_{0i}$ are the electric field components, S^2 is the sphere at infinity,

$$\star F = \frac{1}{2} (\star F)_{\mu\nu} dx^\mu \wedge dx^\nu \quad (1.1.9)$$

where

$$(\star F)_{\mu\nu} = \frac{1}{2} \epsilon_{\mu\nu\rho\sigma} F^{\rho\sigma} \quad (1.1.10)$$

is the dual field strength. We also use the notation $\tilde{F} = \star F$ interchangeably.

Next, consider a space with the origin removed. Surround the origin by a sphere. The gauge fields $A_{N,S}$ on the northern and the southern hemispheres are related by gauge transformation:

$$A_N = A_S + ig^{-1}dg \quad (1.1.11)$$

on the equator. Then we have

$$\int_{S^2} F = \int_N F + \int_S F = \int_{\text{equator}} (A_N - A_S) = \int_{\theta=0}^{\theta=2\pi} \frac{d\chi}{d\theta} d\theta = 2\pi m, \quad (1.1.12)$$

where m is an integer. We call m the magnetic charge of the configuration. The energy contained in the Coulombic magnetic field diverges at the origin; but you should not worry too much about it, as the quantized electric particle also has a Coulombic electric field whose energy diverges. They are both rendered finite by renormalization. When m is nonzero, the configuration is called a magnetic monopole. Usually we simply call it a monopole.

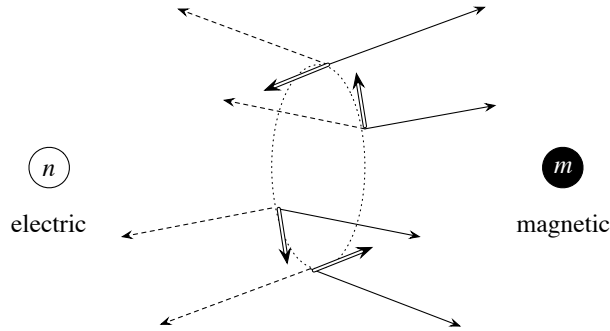


Figure 1.1: Angular momentum generated in the presence of both electric and magnetic particles. The straight, dashed and double arrows are for electric fields, magnetic fields and Poynting vectors, respectively.

Put a particle with electric charge n , and another particle with magnetic charge m on two separate points. The combined electric and magnetic field generate an angular momentum around the axis connecting two points via their Poynting vector, see Fig. 1.1. A careful computation shows that the total angular momentum contained in the electromagnetic field is $\hbar nm/2$, which is consistent with the quantum-mechanical quantization of the angular momentum.

More generally, we can consider dyons, which are particles with both electric and magnetic charges. If we have a particle with electric charge n and magnetic charge m , and another particle with electric charge n' and magnetic charge m' , the total angular momentum is $\hbar/2$ times

$$nm' - m'n. \quad (1.1.13)$$

We call this combination the Dirac pairing of two sets of charges (n, m) and (n', m') .

1.2 The S and the T transformations

The Maxwell equation is given by

$$\partial_{[\mu} F_{\nu\rho]} = 0, \quad \partial_{\mu} F_{\mu\nu} = 0 \quad (1.2.1)$$

or equivalently in the differential form notation by

$$dF = d \star F = 0. \quad (1.2.2)$$

This set of equations is invariant under the exchange

$$F \leftrightarrow \star F. \quad (1.2.3)$$

In terms of the electric field \vec{E} and the magnetic field \vec{B} , which we schematically denote by $F = (\vec{E}, \vec{B})$, the transformation does

$$F = (\vec{E}, \vec{B}) \longrightarrow \star F = (\vec{B}, -\vec{E}) \longrightarrow \star^2 F = (-\vec{E}, -\vec{B}). \quad (1.2.4)$$

This operation is often called the S transformation.

To preserve the quantization of the electric and magnetic charges (1.1.8), (1.1.12), the dual field strength F_D and the dual coupling e_D need to be defined so that

$$F_D = \frac{4\pi}{e^2} \star F, \quad \frac{4\pi}{e^2} \frac{4\pi}{e_D^2} = 1. \quad (1.2.5)$$

Under this transformation, the charge (n, m) is transformed as

$$\begin{array}{l|l} \text{particle 1} & (n, m) \xrightarrow{S} (-m, n), \\ \text{particle 2} & (n', m') \xrightarrow{S} (-m', n'), \\ \text{Dirac pairing} & nm' - n'm = -mn' - (-m')n. \end{array} \quad (1.2.6)$$

Note that the Dirac pairing is preserved under the operation.

Let us suppose that we have a neutral real scalar field ϕ and the action of the U(1) gauge field is given by

$$\frac{1}{2e(\phi)^2} F_{\mu\nu} F_{\mu\nu} + \frac{\theta(\phi)}{16\pi^2} F_{\mu\nu} \tilde{F}_{\mu\nu}. \quad (1.2.7)$$

The Maxwell equation is now

$$\partial_{[\mu} F_{\nu\rho]} = 0, \quad (1.2.8)$$

$$\partial_{\mu} \left[\frac{4\pi}{e(\phi)^2} F_{\mu\nu} + \frac{\theta(\phi)}{2\pi} \tilde{F}_{\mu\nu} \right] = 0. \quad (1.2.9)$$

Decompose $F = (\vec{E}, \vec{B})$ as before. The equations above show that the magnetic field satisfying the Gauss law is still \vec{B} , but the electric field satisfying the Gauss law is now the combination

$$\vec{E}_{\text{conserved}} = \frac{4\pi}{e(\phi)^2} \vec{E} + \frac{\theta(\phi)}{2\pi} \vec{B}. \quad (1.2.10)$$

Therefore , we have

$$\int_{S^2} \vec{B} \cdot d\vec{n} = 2\pi m, \quad \int_{S^2} \vec{E}_{\text{conserved}} \cdot d\vec{n} = 2\pi n \quad (1.2.11)$$

where m and n are the integers introduced in Sec. 1.1. This shows an interesting fact: let us change ϕ adiabatically to change $\theta(\phi)$. As n is an integer, it cannot change. Therefore, \vec{E} gets a contribution proportional to $\theta(\phi)\vec{B}$ to keep $\vec{E}_{\text{conserved}}$ fixed. This is called the Witten effect [15].

The S transformation, then, exchanges $(\vec{E})_{\text{conserved}}$ and \vec{B} . The dual gauge field strength F_D is

$$F_D = \frac{4\pi^2}{e(\phi)^2} \star F + \frac{\theta}{2\pi} F, \quad (1.2.12)$$

and we have

$$\frac{1}{2e(\phi)^2} F_{\mu\nu} F_{\mu\nu} + \frac{\theta(\phi)}{16\pi^2} F_{\mu\nu} \tilde{F}_{\mu\nu} = \frac{1}{2e_D(\phi)^2} F_{D,\mu\nu} F_{D,\mu\nu} + \frac{\theta_D(\phi)}{16\pi^2} F_{D,\mu\nu} \tilde{F}_{D,\mu\nu} \quad (1.2.13)$$

where $e_D(\phi)$, $\theta_D(\phi)$ are given by

$$\tau_D(\phi) = -\frac{1}{\tau(\phi)} \quad (1.2.14)$$

where

$$\tau(\phi) = \frac{4\pi i}{e(\phi)^2} + \frac{\theta(\phi)}{2\pi}, \quad \tau_D(\phi) = \frac{4\pi i}{e_D(\phi)^2} + \frac{\theta_D(\phi)}{2\pi}. \quad (1.2.15)$$

This combination $\tau(\phi)$ is called the complexified coupling.

We also know that, quantum mechanically, $\theta(\phi)$ and $\theta(\phi) + 2\pi$ cannot be distinguished, since the change in the integrand of the Euclidean path integral is

$$\exp \left[i \int d^4x \frac{1}{8\pi} F_{\mu\nu} \tilde{F}_{\mu\nu} \right] \quad (1.2.16)$$

which is always one¹. We call it the T transformation. This does change $\vec{E}_{\text{conserved}}$ by adding \vec{B} , however. Equivalently, it changes the set of charges (n, m) as follows:

$$\begin{array}{l|l} \text{particle 1} & (n, m) \xrightarrow{T} (n+m, m), \\ \text{particle 2} & (n', m') \xrightarrow{T} (n'+m', m'), \\ \text{Dirac pairing} & nm' - n'm = (n+m)m' - (n'+m')m. \end{array} \quad (1.2.17)$$

We see that the Dirac pairing of two particles remain unchanged. On the complexified coupling $\tau(\phi)$, it operates as

$$\tau(\phi)_{\text{old}} \xrightarrow{T} \tau(\phi)_{\text{new}} = \tau(\phi)_{\text{old}} + 1. \quad (1.2.18)$$

¹Strictly speaking this is only true on a spin manifold. Note that $\int d^4x (8\pi)^{-1} F_{\mu\nu} \tilde{F}_{\mu\nu} = \pi \int (F/2\pi)^2 = \pi \int c_1(F)^2$. On a spin manifold, the intersection form is even, and the last expression is an integral multiple of 2π . For the subtlety on non-spin manifolds, see [16].

The transformations S and T generates the action of $\text{SL}(2, \mathbb{Z})$ on the set of charge (n, m) :

$$S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad S \begin{pmatrix} n \\ m \end{pmatrix} = \begin{pmatrix} -m \\ n \end{pmatrix}, \quad S\tau = -\frac{1}{\tau} \quad (1.2.19)$$

$$T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad T \begin{pmatrix} n \\ m \end{pmatrix} = \begin{pmatrix} n+m \\ m \end{pmatrix}, \quad T\tau = \tau + 1. \quad (1.2.20)$$

In general the action on τ is the fractional linear transformation:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}(2, \mathbb{Z}) : \tau \rightarrow \frac{a\tau + b}{c\tau + d}. \quad (1.2.21)$$

1.3 't Hooft-Polyakov monopoles

Here we summarize the features of magnetic monopoles which we will repeatedly quote in the rest of the lecture note. For a detailed exposition of topics discussed in this subsection, the readers should consult the review [17], or the textbook [18]. The review by Coleman [19] is also very instructive.²

1.3.1 Classical features

Consider an $\text{SU}(2)$ gauge theory with a scalar in the adjoint representation, with the action

$$S = \int d^4x \frac{1}{g^2} \left[\frac{1}{2} \text{tr} F_{\mu\nu} F_{\mu\nu} + \text{tr} D_\mu \Phi D_\mu \Phi \right]. \quad (1.3.1)$$

The field Φ is a traceless Hermitean 2×2 matrix.

Consider the vacuum where

$$\Phi = \begin{pmatrix} a & 0 \\ 0 & -a \end{pmatrix}. \quad (1.3.2)$$

When $a \neq 0$, the $\text{SU}(2)$ gauge symmetry is broken to $\text{U}(1)$. Indeed, the vev (1.3.2) commutes with a gauge field strength of the form

$$F_{\mu\nu} = \begin{pmatrix} F_{\mu\nu}^{\text{U}(1)} & 0 \\ 0 & -F_{\mu\nu}^{\text{U}(1)} \end{pmatrix} \quad (1.3.3)$$

where $F_{\mu\nu}^{\text{U}(1)}$ is a $\text{U}(1)$ gauge field strength normalized as in Sec. 1.1. Note that the quanta of the scalar fields Φ has electric charge 2 under this $\text{U}(1)$ field, as can be found by expanding the covariant derivative.

²Unfortunately this review is not in the compilation “Aspects of Symmetry”. A french translation by R. Stora is also available as [20], which was typeset much more beautifully than the version in [19].

We are considering a gauge theory; therefore the field Φ does not have to be given exactly as in the right hand side of (1.3.2). Rather, we just need that Φ has eigenvalues $\pm a$. Then we can consider a configuration of the form

$$\Phi(x) = \frac{x_i \sigma^i}{|x|} f(|x|) a \quad (1.3.4)$$

where $i = 1, 2, 3$ and $f(r)$ is a dimensionless function such that

$$\lim_{r \rightarrow 0} f(r) = 0, \quad \lim_{r \rightarrow \infty} f(r) = 1. \quad (1.3.5)$$

At the spatial infinity, the vev of Φ is conjugate to (1.3.2), and therefore this configuration can be thought of as an excitation of the vacuum given by (1.3.2).

The unbroken U(1) within SU(2) is along Φ . A more general definition of the U(1) field strength $F_{\mu\nu}^{U(1)}$, at least when $r \gg 0$, is then the combination

$$F_{\mu\nu}^{U(1)} := \frac{1}{2a} \text{tr} F_{\mu\nu} \Phi. \quad (1.3.6)$$

In the region $r \gg 0$, let us try to bring the configuration (1.3.4) to (1.3.2) by a gauge transformation. This can be done smoothly except at the south pole, by using the gauge transformation

$$\exp\left[i \frac{\varphi}{2} \left(\frac{\vec{x}}{x} \times \vec{n}_0\right) \cdot \vec{\sigma}\right] \quad (1.3.7)$$

where \vec{n}_0 is the unit vector toward the north pole, φ is the angle between \vec{x} and \vec{n}_0 . This gives a gauge transformation around the south pole given by

$$i(\sigma^1 \cos \theta + \sigma^2 \sin \theta) = \exp[i\theta \sigma^3] \quad (1.3.8)$$

where θ is the longitude around the south pole. As θ goes from 0 to 2π , we see that the U(1) field $F_{\mu\nu}^{U(1)}$ has the magnetic charge $m = 1$, and therefore is a monopole. This was originally found by 't Hooft and Polyakov. Note that its Dirac pairing with the particle of the field Φ is 2, which is twice the minimum allowed value.

Let us evaluate the energy contained in the field configuration. The kinetic energy is $1/g^2$ times

$$\int d^3x [\text{tr} B_i B_i + \text{tr} D_i \Phi D_i \Phi] = \int d^3x [\text{tr}(B_i \mp D_i \Phi)^2 \pm 2 \text{tr} B_i D_i \Phi] \quad (1.3.9)$$

$$\geq \pm 2 \int d^3x \text{tr} B_i D_i \Phi = \pm 2 \int d^3x \partial_i \text{tr} B_i \Phi \quad (1.3.10)$$

$$= \pm 2 \int_{S^2} d\vec{n} \cdot \text{tr} \vec{B} \Phi \quad (1.3.11)$$

where the final integral is over the sphere at the spatial infinity, which according to (1.3.6) evaluates to $\pm 2(2a)(2\pi m)$, where m is the magnetic charge. Therefore we have the bound

$$(\text{energy of the monopole}) \geq \frac{4\pi}{g^2} (2a) |m| \quad (1.3.12)$$

This is called the Bogomolnyi-Prasad-Sommerfield (BPS) bound. The inequality is saturated if and only if

$$B_i = \pm D_i \Phi, \quad (1.3.13)$$

which is called the BPS equation. This fixes the form of the function $f(r)$ in (1.3.4).

1.3.2 Semiclassical features

Given such an explicit monopole solution, there is a way to construct other solutions related by the symmetry. First, the configuration (1.3.4) has a center at the origin of the coordinate system. We can shift the center of the monopole at an arbitrary point \vec{y} of the spatial \mathbb{R}^3 . These give three zero-modes.

Another zero mode is obtained by the gauge transformation:

$$e^{i\alpha\Phi/a} \tag{1.3.14}$$

Note that a gauge transformation which vanishes at infinity is a redundancy of the physical system, but a gauge transformation which does not vanish at infinity is considered to change the classical configuration. For general α , this transformation (1.3.14) changes the asymptotic behavior of $F_{ij}(x)$, but for $\alpha = \pi$, the transformation (1.3.14) trivially acts on the fields in the adjoint representation. Therefore α is an angular variable $0 \leq \alpha < \pi$.

The semiclassical quantization of the monopole involves the Fock space of non-zero modes, together with a wavefunction $\psi(\vec{y}, \alpha)$ depending on the zero modes \vec{y} and α . The wavefunction along \vec{y} represents the spatial motion of the center of mass of the monopole. The wavefunction along α represents the electric charge of the monopole, which can be seen as follows.

By comparing (1.3.14) with (1.3.3) and (1.3.6), we see that the unbroken $U(1)$ global gauge transformation by $e^{i\varphi}$ shifts α by

$$\alpha \rightarrow \alpha + \varphi. \tag{1.3.15}$$

Recall that a state $|\psi\rangle$ with electric charge n behaves under the $U(1)$ global transformation by $e^{i\varphi}$ by

$$|\psi\rangle \rightarrow e^{in\varphi}|\psi\rangle. \tag{1.3.16}$$

Now, as α is a variable with period π , $\psi(\alpha)$ can be expanded as a linear combination of $e^{i2d\alpha}$ where d is an integer. Under (1.3.15) the wavefunction changes as in (1.3.16) with $n = 2d$, therefore we see that the monopole state with this zero-mode wave function has the electric charge $2d$.

Summarizing, the combination of the electric charge and the magnetic charge (n, m) we obtain from the semi-classical quantization has the form $(n, m) = (2d, 1)$ where d is an integer. This was found originally by Julia and Zee: once we quantize the 't Hooft-Polyakov monopole, we not only have a purely-magnetic monopole but a whole tower of dyon states, with $d = -\infty$ to $+\infty$.

Finally let us consider the effect of the fermionic zero modes in the 't Hooft-Polyakov monopole (1.3.4). First let us consider two Weyl fermions $\lambda, \tilde{\lambda}$ in the adjoint representation, with the Lagrangian

$$\text{tr } \bar{\lambda} \not{D} \lambda + \text{tr } \bar{\tilde{\lambda}} \not{D} \tilde{\lambda} + c(\text{tr } \lambda[\Phi, \tilde{\lambda}] + \text{tr } \bar{\lambda}[\Phi, \bar{\tilde{\lambda}}]). \tag{1.3.17}$$

We regard both the gauge potential in the covariant derivative D and the scalar field as backgrounds, and decompose $\lambda, \tilde{\lambda}$ into eigenstates of the angular momentum. The lower bound of the orbital angular momentum is given by the Dirac pairing, which is \hbar here. The spinor fields have spin $\hbar/2$. Therefore the state with lowest angular momenta has spin $\hbar/2$. When the coefficient c takes a value in a certain range, it is known that there is a pair of zero modes b_α where $\alpha = 1, 2$ the spinor

index of the $SO(3)$ spatial rotation. The semiclassical quantization promotes them into a pair of fermionic oscillators

$$\{b_\alpha, b_\beta^\dagger\} = \delta_{\alpha\beta}. \quad (1.3.18)$$

This creates four states starting from one state $|\psi\rangle$ from the semiclassical quantization of the bosonic part:

$$\begin{array}{ccc} \leftarrow & b_1^\dagger |\psi\rangle & \leftrightarrow b_1^\dagger b_2^\dagger |\psi\rangle \\ |\psi\rangle & \leftrightarrow b_2^\dagger |\psi\rangle & \leftarrow \end{array}. \quad (1.3.19)$$

This counts as one complex boson and one fermion.

Suppose we introduce another pair $\lambda', \tilde{\lambda}'$ of the adjoint Weyl fermions. Then we will have another pair of fermionic oscillators b'_α . Together, they generate $2^4 = 16$ states, consisting of one massive vector (with 3 states), four massive spinors (with 8 states) and five massive scalars.

Next, consider having $2N$ Weyl fermions ψ_i^a in the doublet representation where $a = 1, 2$ and $i = 1, \dots, 2N$, with the Lagrangian

$$\bar{\psi}_i \not{D} \psi_i + c' (\psi_i^a \Phi_{(ab)} \psi_i^b + \bar{\psi}_i^a \Phi_{(ab)} \bar{\psi}_i^b). \quad (1.3.20)$$

Note that the Lagrangian has an $SO(2N)$ flavor symmetry acting on the index i .

The electric charge of the quanta of $\psi, \tilde{\psi}$ with respect to the unbroken $U(1)$ is now 1. Then the Dirac pairing is $\hbar/2$. Tensoring with the intrinsic spin $\hbar/2$, we find that the minimal orbital angular momentum is 0. It is known that for a suitable choice of c' , this fermion system has zero modes $\gamma_i, i = 1, \dots, 2N$. After semiclassical quantization, it becomes a set of fermionic operators with the commutation relation

$$\{\gamma_i, \gamma_j\} = \delta_{ij}. \quad (1.3.21)$$

This is the commutation relation of the gamma matrices of $SO(2N)$. Monopole states are representations of γ_i 's, meaning that they transform as a spinor representation of the flavor symmetry $SO(2N)$.

Fields in a doublet representation of the $SU(2)$ gauge symmetry has an another effect. Namely, in the gauge zero mode (1.3.14), $\alpha = \pi$ gives the matrix

$$\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \quad (1.3.22)$$

which acts nontrivially on the fields in the doublet representation. Then the periodicity of the gauge zero mode α is now 2π , and the wavefunction along the α direction can now be $e^{in\alpha}$ for arbitrary integer n . Therefore, the electric charge n can either be even or odd. The operators γ_i come from the modes of the fields in the doublet representation, and therefore it changes the electric charge by ± 1 .

We can define the flavor spinor chirality Γ by

$$\Gamma = \gamma_1 \gamma_2 \cdots \gamma_{2N}, \quad (1.3.23)$$

by which the spinor of $SO(2N)$ can be split into positive-chirality and negative-chirality spinors. The action of the operators γ_i changes the chirality of the flavor spinors. Combined with the

behavior of the U(1) electric charge we saw in the previous paragraph, we conclude that the parity of the U(1) electric charges of the monopole states is correlated with the chirality of the flavor spinor representation.

2 $\mathcal{N}=2$ multiplets and Lagrangians

2.1 Microscopic Lagrangian

2.1.1 $\mathcal{N}=1$ superfields

Let us now move on to the construction of the Lagrangian with $\mathcal{N}=2$ supersymmetry. An $\mathcal{N}=2$ supersymmetric theory is in particular an $\mathcal{N}=1$ supersymmetric theory. Therefore it is convenient to use $\mathcal{N}=1$ superfields to describe $\mathcal{N}=2$ systems. For this purpose let us quickly recall the $\mathcal{N}=1$ formalism. In this section only, we distinguish the imaginary unit by writing it i .

An $\mathcal{N}=1$ vector multiplet consists of a Weyl fermion λ_α and a vector field A_μ , both in the adjoint representation of the gauge group G . We combine them into the superfield W_α with the expansion

$$W_\alpha = \lambda_\alpha + F_{(\alpha\beta)}\theta^\beta + D\theta_\alpha + \dots \quad (2.1.1)$$

where D is an auxiliary field, again in the adjoint of the gauge group. $F_{\alpha\beta} = \frac{i}{2}\sigma^{\mu\nu}_{\dot{\gamma}\gamma}\bar{\sigma}^{\nu\dot{\gamma}}_\alpha F_{\mu\nu}$ is the anti-self-dual part of the field strength $F_{\mu\nu}$.

The kinetic term for a vector multiplet is given by

$$\int d^2\theta \frac{-i}{8\pi} \tau \operatorname{tr} W_\alpha W^\alpha + cc. \quad (2.1.2)$$

where

$$\tau = \frac{4\pi i}{g^2} + \frac{\theta}{2\pi} \quad (2.1.3)$$

is a complex number combining the inverse of the coupling constant and the theta angle. We call it the complexified coupling of the gauge multiplet. Expanding in components, we have

$$\frac{1}{2g^2} \operatorname{tr} F_{\mu\nu} F^{\mu\nu} + \frac{\theta}{16\pi^2} \operatorname{tr} F_{\mu\nu} \tilde{F}^{\mu\nu} + \frac{1}{g^2} \operatorname{tr} D^2 - \frac{2i}{g^2} \operatorname{tr} \bar{\lambda} \not{\partial} \lambda. \quad (2.1.4)$$

We use the convention that $\operatorname{tr} T^a T^b = \frac{1}{2} \delta^{ab}$ for the standard generators of gauge algebras, which explain why we have the factors $1/(2g^2)$ in front of the gauge kinetic term. The θ term is a total derivative of a gauge-dependent term. Therefore, it does not affect perturbative computations. It does affect non-perturbative computations, to which we will come back later.

An $\mathcal{N}=1$ chiral multiplet Q consists of a complex scalar Q and a Weyl fermion ψ_α , both in the same representation of the gauge group. In terms of a superfield we have

$$Q = Q|_{\theta=0} + 2\psi_\alpha \theta^\alpha + F\theta_\alpha \theta^\alpha \quad (2.1.5)$$

where F is auxiliary. The coefficient 2 in front of the middle component is unconventional, but this choice allows us to remove various annoying factors of $\sqrt{2}$ appearing in the formulas later.

The chiral multiplet $Q_{1,\dots}$ can be in an arbitrary complex representation R of the gauge group G . The kinetic term is then

$$\int d^4\theta Q^{\dagger j} e^{V^a \rho_{a j}^i} Q_i + \int d^2\theta W(Q) + \dots \quad (2.1.6)$$

where V is the vector superfield, $\rho_{a j}^i$ is the matrix representation of the gauge algebra, and $W(Q)$ is a gauge invariant holomorphic function of $Q_{1,\dots}$.

The supersymmetric vacua is obtained by demanding that the supersymmetry transformation of various fields are zero. The nontrivial conditions come from

$$\delta\lambda_\alpha = 0, \quad \delta\psi_\alpha = 0 \quad (2.1.7)$$

which give

$$D_a = 0, \quad F_i = 0. \quad (2.1.8)$$

By solving the algebraic equations of motion of the auxiliary fields, we find

$$Q_j^\dagger \rho_a^{\bar{j} i} Q_i = 0, \quad \frac{\partial W}{\partial Q_i} = 0. \quad (2.1.9)$$

2.1.2 Vector multiplets and hypermultiplets

An $\mathcal{N}=2$ vector multiplet consists of the following $\mathcal{N}=1$ multiplets, both in the adjoint of the gauge group G :

$$\begin{array}{ccc} \begin{array}{c} \leftarrow \nearrow \\ \leftarrow \end{array} \lambda_\alpha & \leftrightarrow & A_\mu & \mathcal{N}=1 \text{ vector multiplet,} \\ \Phi & \leftrightarrow & \tilde{\lambda}_\alpha & \leftarrow \nearrow \mathcal{N}=1 \text{ chiral multiplet.} \end{array} \quad (2.1.10)$$

Here, the horizontal arrows signify the $\mathcal{N}=1$ sub-supersymmetry generator manifest in the $\mathcal{N}=1$ superfield formalism, and the slanted arrows are for the second $\mathcal{N}=1$ sub-supersymmetry.

One easy way to construct the second supersymmetry action is to demand that the theory is symmetric under the $SU(2)$ rotation acting on λ_α and $\tilde{\lambda}_\alpha$. A symmetry which does not commute with the supersymmetry generators is called an R-symmetry in general. Therefore this $SU(2)$ symmetry is often called the $SU(2)_R$ symmetry. It is by now a standard technique to combine the supersymmetry manifest in a superfield formalism and an R-symmetry to construct a theory with more supersymmetries, see e.g. [21] for an application. It is also to be kept in mind that there can be and indeed are $\mathcal{N}=2$ supersymmetric theories without $SU(2)_R$ symmetry: there can just be two sets of supersymmetry generators without $SU(2)$ symmetry relating them, see e.g. [22, 23]. That said, for simplicity, we only deal with $\mathcal{N}=2$ supersymmetric systems with $SU(2)_R$ symmetry in this lecture note.

The Lagrangian is then

$$\frac{\text{Im } \tau}{4\pi} \int d^4\theta \text{tr } \Phi^\dagger e^{[V, \cdot]} \Phi + \int d^2\theta \frac{-i}{8\pi} \tau \text{tr } W_\alpha W^\alpha + cc. \quad (2.1.11)$$

The ratio between the prefactors of the Kähler potential and of the gauge kinetic term is fixed by demanding $SU(2)_R$ symmetry.

An $\mathcal{N}=2$ hypermultiplet³ consists of the following fields:

$$\begin{array}{llll} \tilde{\psi}^\dagger & \begin{array}{l} \leftarrow \nearrow \\ \leftrightarrow \end{array} & \begin{array}{l} Q \\ \tilde{Q}^\dagger \end{array} & \begin{array}{l} \leftrightarrow \\ \leftarrow \end{array} \psi & \begin{array}{l} \mathcal{N}=1 \text{ chiral multiplet} \\ \mathcal{N}=1 \text{ antichiral multiplet} \end{array} \end{array} \quad (2.1.12)$$

They are both in the same representation R of the gauge group. Therefore, the $\mathcal{N}=1$ chiral multiplets Q and \tilde{Q} are in the conjugate representations of the gauge group. We demand again that the theory is symmetric under the $SU(2)$ rotation acting on Q and \tilde{Q}^\dagger , to have $\mathcal{N}=2$ supersymmetry.

For definiteness, let us consider $G = SU(N)$ and N_f hypermultiplets Q_a^i, \tilde{Q}_a^i in the fundamental N -dimensional representation, where $a = 1, \dots, N$ and $i = 1, \dots, N_f$. This set of fields is often called N_f flavors of fundamentals of $SU(N)$.

The Lagrangian for the hypermultiplets is

$$c \int d^4\theta (Q^{\dagger i} e^V Q_i + \tilde{Q}^i e^{-V} \tilde{Q}^\dagger_i) + c' \left(\int d^2\theta \tilde{Q}^i \Phi Q_i + cc. \right) + \left(\int d^2\theta \mu_j^i \tilde{Q}^j Q_i + cc. \right) \quad (2.1.13)$$

where we suppressed the gauge index a . The existence of $SU(2)_R$ symmetry fixes the ratio of c and c' : it can be done e.g. by comparing the coefficients of $Q^i \lambda \psi$ from the first term and of $\tilde{Q}^i \tilde{\lambda} \psi$ from the second term. We find the choice $c = c'$ does the job. In the following we take $c = c' = 1$ unless otherwise mentioned. The $SU(2)_R$ symmetry also demands that the mass term μ_j^i satisfies $[\mu, \mu^\dagger] = 0$. Then μ can be diagonalized, and consequently the mass term is often written as

$$\sum_i \int d^2\theta \mu_i Q^i Q_i + cc. \quad (2.1.14)$$

As another example, let us consider the case when we have a hypermultiplet (Z, \tilde{Z}) in the adjoint representation, i.e. they are both $N \times N$ traceless matrices. The following discussion can easily be generalized to arbitrary gauge group too. When the hypermultiplet is massless, the total Lagrangian has the form

$$\begin{aligned} & \int d^2\theta \frac{-i}{8\pi} \tau \text{tr} W_\alpha W^\alpha + cc. + \frac{\text{Im} \tau}{4\pi} \int d^4\theta \text{tr} \Phi^\dagger e^{[V, \cdot]} \Phi \\ & + \frac{\text{Im} \tau}{4\pi} \int d^4\theta (Z^\dagger e^{[V, \cdot]} Z + \tilde{Z} e^{-[V, \cdot]} \tilde{Z}^\dagger) + \frac{\text{Im} \tau}{4\pi} \int d^2\theta \tilde{Z}[\Phi, Z] + cc. \end{aligned} \quad (2.1.15)$$

where we made a different choice of $c = c'$ in (2.1.13). This Lagrangian clearly has $SU(3)_F$ flavor symmetry rotating Φ, Z and \tilde{Z} . This commutes with the $\mathcal{N}=1$ supersymmetry manifest in the superfield formalism. We also know that this theory has an $SU(2)_R$ symmetry rotating Z and \tilde{Z}^\dagger . These two symmetries $SU(3)_F$ and $SU(2)_R$ does not commute: we find that there is an $SO(6)_R$ symmetry, acting on

$$\text{Re} \Phi, \text{Im} \Phi, \text{Re} Z, \text{Im} Z, \text{Re} \tilde{Z}, \text{Im} \tilde{Z}. \quad (2.1.16)$$

³There is a stupid convention that we use a space between vector and multiplets to spell ‘‘vector multiplets’’, but not for ‘‘hypermultiplets’’.

Note that $\text{SO}(6)_R$ can also be regarded as $\text{SU}(4)_R$, as $\text{SO}(6)$ and $\text{SU}(4)$ have the same Lie algebra. Then the $\text{SU}(4)_R$ symmetry acts on the four Weyl fermions

$$\lambda, \tilde{\lambda}, \psi, \tilde{\psi} \quad (2.1.17)$$

in the system, where λ and $\tilde{\lambda}$ are in the $\mathcal{N}=2$ vector multiplet, and $\psi, \tilde{\psi}$ are in the $\mathcal{N}=2$ hypermultiplet. We conclude that this system has in fact $\mathcal{N}=4$ supersymmetry, whose four supersymmetry generators are acted on by $\text{SU}(4)_R \simeq \text{SO}(6)_R$. The argument here is another application of the combination of the manifest and non-manifest symmetries in the superfield formalism.

We can add the mass term $\int d^2\theta \mu Z \tilde{Z} + cc.$ to (2.1.15). This preserves the $\mathcal{N}=2$ supersymmetry but it breaks $\mathcal{N}=4$ supersymmetry. The resulting theory is sometimes called the $\mathcal{N}=2^*$ theory.

Before closing this section, we should mention the concept of half-hypermultiplet. Let us start from a full hypermultiplet (Q_i, \tilde{Q}^i) so that Q_i and \tilde{Q}^i are in the representations R, \bar{R} , respectively. When R is pseudo-real, or equivalently when there is an antisymmetric invariant tensor ϵ_{ij} , we can impose the constraint

$$Q_i = \epsilon_{ij} \tilde{Q}^j \quad (2.1.18)$$

compatible with $\mathcal{N}=2$ supersymmetry, which halves the number of degrees of freedom in the multiplet. The resulting multiplet is called a half-hypermultiplet in the representation R . We will come back to this in Sec. 7.2.

2.2 Vacua

The combined system of the vector multiplet and the hypermultiplets has the Lagrangian which is the sum of (2.1.11) and (2.1.13). The supersymmetric vacua are given by the following conditions.

First, the variation of the D auxiliary fields gives

$$\frac{1}{g^2} [\Phi^\dagger, \Phi] + (Q_i Q^{\dagger i} - \tilde{Q}^{\dagger i} \tilde{Q}^i) \Big|_{\text{traceless}} = 0. \quad (2.2.1)$$

where $X \Big|_{\text{traceless}}$ for an $N \times N$ matrix is defined by

$$X \Big|_{\text{traceless}} = X - \frac{1}{N} \text{tr} X. \quad (2.2.2)$$

We use the convention that a scalar is multiplied by a unit matrix when necessary.

Second, the variation of the F auxiliary field of Φ gives

$$Q_i \tilde{Q}^i \Big|_{\text{traceless}} = 0 \quad (2.2.3)$$

and the F auxiliary fields of Q_i, \tilde{Q}^i give

$$\Phi Q_i + \mu_i^j Q_j = 0, \quad \tilde{Q}^i \Phi + \mu_j^i \tilde{Q}^j = 0 \quad (2.2.4)$$

for all i .

So far we only used the supersymmetry condition with respect to the $\mathcal{N}=1$ supersymmetry manifest in the superfield notation. The total scalar potential is a weighted sum of absolute values squared of (2.2.1), (2.2.3) and (2.2.4). A few terms mixing Φ and Q, \tilde{Q} cancel each other, and we find that the two terms in (2.2.1) vanish separately:

$$[\Phi^\dagger, \Phi] = 0 \quad (2.2.5)$$

and

$$(Q_i Q^{\dagger i} - \tilde{Q}^\dagger_i \tilde{Q}^i)|_{\text{traceless}} = 0. \quad (2.2.6)$$

Note that (2.2.5) and (2.2.6) are the $SU(2)_R$ singlet and triplet parts of the equation (2.2.1), respectively. Furthermore, the equation (2.2.6) together with the real and the imaginary parts of the equation (2.2.3) under the triplet of $SU(2)_R$. Finally, the equations (2.2.4) transform as a doublet of $SU(2)_R$.

Let us summarize. We first demanded that one $\mathcal{N}=1$ sub-supersymmetry is unbroken in (2.2.1), (2.2.3) and (2.2.4). We found the equations satisfied are automatically $SU(2)_R$ invariant, and therefore we see that all the $\mathcal{N}=2$ supersymmetry is automatically unbroken.

One easy way to have supersymmetry is to demand (2.2.5) and set $Q = \tilde{Q} = 0$. This subspace of the supersymmetric vacuum moduli is called the Coulomb branch, since there usually remain a number of Abelian gauge fields in the infrared.

Another extreme is just to demand (2.2.6) and (2.2.3), and set $\Phi = 0$. This is called the Higgs branch. Some people in the field reserves the word the Higgs branch to the branch where the gauge group is completely broken, but theoretically the Higgs branch as defined here behaves more uniformly under various operations.

The branches with when both the hypermultiplet scalars Q, \tilde{Q} and the vector multiplet scalars Φ are nonzero are called the mixed branches.

From (2.2.5) we see that Φ can be diagonalized in the supersymmetric vacua. For definiteness let $G = SU(2)$. Then $\Phi = \text{diag}(a, -a)$. When $a \neq 0$ this breaks the gauge group to $U(1)$. As there is a Coulomb field remaining in the infrared, these vacua are called the Coulomb branch. Let us compute the mass of the resulting W-bosons. From

$$\frac{1}{g^2} \text{tr} |D_\mu \Phi|^2 = \frac{1}{g^2} \text{tr} (\partial_\mu \Phi + [A_\mu, \Phi])^2 \quad (2.2.7)$$

we have a term

$$\frac{1}{g^2} \text{tr} [A_\mu, \langle \Phi \rangle]^2 \quad (2.2.8)$$

in the Lagrangian, which gives a mass to the vector field. Writing

$$A_\mu = \begin{pmatrix} A^0 & W^+ \\ W^- & -A^0 \end{pmatrix}_\mu, \quad (2.2.9)$$

we find

$$\left[\begin{pmatrix} 0 & W^+ \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} a & 0 \\ 0 & -a \end{pmatrix} \right] = 2a \begin{pmatrix} 0 & W^+ \\ 0 & 0 \end{pmatrix}. \quad (2.2.10)$$

The kinetic term in our convention is $\text{tr } F_{\mu\nu}F_{\mu\nu}/(2g^2)$, and therefore this gives the mass

$$M_W = |2a|. \quad (2.2.11)$$

The mass terms of the fields Q_i, \tilde{Q}^i for fixed i are

$$\tilde{Q}^i \Phi Q_i + \mu_i \tilde{Q}^i Q_i = (\tilde{Q}_1^i, \tilde{Q}_2^i) \begin{pmatrix} a + \mu_i & 0 \\ 0 & -a + \mu_i \end{pmatrix} \begin{pmatrix} Q_1^i \\ Q_2^i \end{pmatrix}. \quad (2.2.12)$$

Therefore we have

$$M_{Q_{i,1}} = |a + \mu|, \quad M_{Q_{i,2}} = |-a + \mu|. \quad (2.2.13)$$

We studied the classical mass of the monopole in this model in (1.3.12) when $\theta = 0$. In general, this is given by

$$M_{\text{monopole}} = |2\tau a|. \quad (2.2.14)$$

Classically, there is a general inequality for the mass of a particle

$$M \geq |na + m(2\tau a) + \sum_i f_i \mu_i| \quad (2.2.15)$$

where n, m, f_i are the electric, magnetic and flavor charges of the particle. Here the i -th flavor charges are associated to the symmetry

$$Q_i \rightarrow e^{i\varphi_i} Q_i, \quad \tilde{Q}^i \rightarrow e^{-i\varphi_i} \tilde{Q}^i. \quad (2.2.16)$$

This inequality, called the Bogomolnyi-Prasad-Sommerfield (BPS) bound, persists in the quantum system, once quantum corrections are taken into account to a and $2\tau a$. Let us study this point next.

2.3 BPS bound

The general $\mathcal{N}=2$ supersymmetry algebra has the following form

$$\{Q_\alpha^I, Q_{\dot{\beta}}^{\dagger J}\} = \delta^{IJ} P_\mu \sigma_{\alpha\dot{\beta}}^\mu, \quad (2.3.1)$$

$$\{Q_\alpha^I, Q_\beta^J\} = \epsilon^{IJ} \epsilon_{\alpha\beta} Z. \quad (2.3.2)$$

Here $I = 1, 2$ is the index distinguishing two supersymmetry generators, and Z is a complex quantity which commutes with everything. Let us take the coordinate system where

$$P_\mu = (M, 0, 0, 0). \quad (2.3.3)$$

This choice breaks the Lorentz symmetry $\text{SO}(3, 1)$ to the spatial rotation $\text{SO}(3)$, which allows us to identify the undotted and the dotted spinor indices. Let us then define

$${}^{(\varphi)}Q_\alpha = \frac{1}{\sqrt{2}}(Q_\alpha^1 + e^{-i\varphi} Q_{\dot{\alpha}}^{\dagger 2}) \quad (2.3.4)$$

for which we have

$$\{({}^{(\varphi)}Q_\alpha, {}^{(\varphi)}Q_\beta^\dagger)\} = \delta_{\alpha\beta}(M - \text{Re}(e^{-i\varphi}Z)). \quad (2.3.5)$$

In general, if there is an operator a satisfying $\{a, a^\dagger\} = c$ with a constant c , c is necessarily non-negative. Indeed, take a ket vector $|\psi\rangle$ then

$$|a^\dagger|\psi\rangle|^2 + |a|\psi\rangle|^2 = \langle\psi|aa^\dagger|\psi\rangle + \langle\psi|a^\dagger a|\psi\rangle = c\langle\psi|\psi\rangle, \quad (2.3.6)$$

meaning that $c \geq 0$. From (2.3.5), then, we see

$$M \geq \text{Re}(e^{i\varphi}Z) \quad (2.3.7)$$

for all φ . Choosing $\varphi = \text{Arg } Z$, we find we find the inequality

$$M \geq |Z|. \quad (2.3.8)$$

In general, the multiplet of the supertranslations Q_α^I and $Q_\alpha^{J\dagger}$ generates $2^4 = 16$ states in the supermultiplet. When the inequality (2.3.8) is saturated, c in the equation (2.3.6) for $a_\alpha = ({}^{\text{Arg } Z}Q_\alpha)$ is zero, forcing the operators $({}^{\text{Arg } Z}Q_\alpha)$ themselves to vanish. Then the supertranslations only generate $2^2 = 4$ states. Such multiplets are called BPS, and those multiplets with 16 states under the action of supertranslations are called non-BPS. A BPS state is rather robust: under a generic perturbation, the number of states in a multiplet can not jump. Therefore the BPS state will generically stay BPS.

What is this quantity Z , which commutes with everything? A quantity commuting with everything is by definition a conserved charge. When the low-energy theory is a weakly-coupled $U(1)$ gauge theory, Z is a linear combination of the electric charge n , the magnetic charge m , and the flavor charges f_i . We define the coefficients appearing in the linear combination to be a , a_D and μ_i in the quantum theory:

$$Z = na + ma_D + \sum_i \mu_i f_i. \quad (2.3.9)$$

When the theory is weakly-coupled, we can identify a to be the diagonal entry of the field Φ , a_D to be $2\tau a$, and μ_i to be the coefficients of the mass terms in the Lagrangian, by comparing the quantum BPS mass formula (2.3.8) and its classical counterpart (2.2.15). In the strongly-coupled regime, there is no meaning in saying that a is the diagonal entry of a gauge-dependent field Φ . Rather, we should think of (2.3.9) as the definition of the quantity a .

2.4 Low energy Lagrangian

Let us consider a general effective Lagrangian which describes $U(1)^n$ gauge fields in the infrared. Let us denote n $U(1)$ vector multiplets by

$$\begin{array}{llll} \begin{array}{l} \leftarrow \nearrow \\ a \leftrightarrow \end{array} & \begin{array}{l} \lambda_\alpha \\ \tilde{\lambda}_\alpha \end{array} & \begin{array}{l} \leftrightarrow \\ \leftarrow \nearrow \end{array} & \begin{array}{l} A_\mu \\ \mathcal{N}=1 \text{ chiral multiplet} \end{array} & \begin{array}{l} \mathcal{N}=1 \text{ vector multiplet} \\ \mathcal{N}=1 \text{ chiral multiplet} \end{array} \end{array} \quad (2.4.1)$$

with additional scripts $i = 1, \dots, n$. A general $\mathcal{N}=1$ supersymmetric Lagrangian is given by

$$\frac{1}{8\pi} \int d^4\theta K(\bar{a}_i, a_j) + \int d^2\theta \frac{-i}{8\pi} \tau^{ij}(a) W_{\alpha,i} W^\alpha_j + cc. \quad (2.4.2)$$

Note that we allowed the Kähler potential and the gauge coupling matrix to have nontrivial dependence on a_i .

We demand the existence of the $SU(2)_R$ symmetry rotating λ_α and $\tilde{\lambda}_\alpha$ to guarantee the existence of $\mathcal{N}=2$ supersymmetry. The kinetic matrix of $\tilde{\lambda}_\alpha$ is

$$\frac{1}{4\pi} \frac{\partial^2 K}{\partial a_i \partial \bar{a}_j} \quad (2.4.3)$$

and that of λ is

$$\frac{\text{Im } \tau^{ij}}{2\pi} = \frac{\tau^{ij} - \bar{\tau}^{ij}}{4\pi i}. \quad (2.4.4)$$

Equating them, we have

$$\frac{\tau^{ij} - \bar{\tau}^{ij}}{i} = \frac{\partial^2 K}{\partial a_i \partial \bar{a}_j}. \quad (2.4.5)$$

Taking the derivative of both sides by a_k , we have

$$\frac{\partial}{\partial a_k} \frac{\tau^{ij} - \bar{\tau}^{ij}}{i} = \frac{\partial^3 K}{\partial a_k \partial a_i \partial \bar{a}_j}. \quad (2.4.6)$$

The left hand side is symmetric under $i \leftrightarrow j$, and the right hand side is symmetric under $k \leftrightarrow i$. Therefore, at least locally, τ^{ij} can be integrated twice:

$$\tau^{ij} = \frac{\partial^2 F}{\partial a_i \partial a_j} \quad (2.4.7)$$

for a locally holomorphic function $F(a)$. We define

$$a_D^i = \frac{\partial F}{\partial a_i}, \quad (2.4.8)$$

then we have

$$K = i(\bar{a}_D^i a_i - \bar{a}_i a_D^i). \quad (2.4.9)$$

The notations a_i and a_D^i can be justified as follows. Suppose we have a hypermultiplet Q, \tilde{Q} charged under the i -th vector multiplet only. It has the superpotential

$$W = Q a_i \tilde{Q}, \quad (2.4.10)$$

which gives the mass

$$M_Q = |a_i|. \quad (2.4.11)$$

Therefore, a_i is indeed the coefficient appearing in (2.3.9). To justify the notation a_D^i , write down the Lagrangian for the bosons in components:

$$\frac{\text{Im } \tau^{ij}}{4\pi} \partial_\mu \bar{a}_i \partial^\mu a_j + \frac{\text{Im } \tau^{ij}}{8\pi} F_{\mu\nu i} F_j^{\mu\nu} + \frac{\text{Re } \tau^{ij}}{8\pi} F_{\mu\nu i} \tilde{F}_j^{\mu\nu}. \quad (2.4.12)$$

Generalizing the argument in Sec. 1.2, the dual electromagnetic field F_D is given by

$$F_{D\mu\nu}^i = \text{Im } \tau^{ij} F_{\mu\nu j} + \text{Re } \tau^{ij} \tilde{F}_{\mu\nu j}, \quad (2.4.13)$$

in terms of which the kinetic term of the gauge fields is

$$\frac{1}{8\pi} \left(\text{Im } \tau_{Dij} F_{D\mu\nu}^i F_D^{\mu\nu j} + \text{Re } \tau_{Dij} F_{D\mu\nu i} \tilde{F}_D^{\mu\nu j} \right) \quad (2.4.14)$$

where

$$\tau_{Dij} = (-\tau^{-1})_{ij}. \quad (2.4.15)$$

Then we find

$$\frac{1}{4\pi} \text{Im } \tau^{ij} \partial_\mu \bar{a}_i \partial^\mu a_j = \frac{1}{4\pi} \text{Im } \tau_{Dij} \partial_\mu \bar{a}_D^i \partial^\mu a_D^j \quad (2.4.16)$$

where a_D is as defined in (2.4.8). This means that we have the dual $\mathcal{N}=2$ multiplets

$$\begin{array}{ccc} \begin{array}{c} \leftarrow \nearrow \\ a_D \end{array} & \begin{array}{c} \lambda_{D\alpha} \\ \leftrightarrow \\ \tilde{\lambda}_{D\alpha} \end{array} & \begin{array}{c} \leftrightarrow \\ A_{D\mu} \\ \leftarrow \nearrow \end{array} & \begin{array}{c} \mathcal{N}=1 \text{ vector multiplet} \\ \mathcal{N}=1 \text{ chiral multiplet} \end{array} \end{array} \quad (2.4.17)$$

where $A_{D\mu}$ is the gauge potential of $F_{D\mu\nu}$ introduced above, with additional superscripts i .

The structure of (2.4.2) together with (2.4.7) and (2.4.9) can be understood from the point of view of $\mathcal{N}=2$ vector superfield. Recall that the multiplets (2.4.1) can be summarized in $\mathcal{N}=1$ superfields

$$\Phi_i = a_i + 2\tilde{\lambda}_i^\alpha \theta_\alpha + \dots, \quad W_i = \lambda_{\alpha i} + F_{\alpha\beta} \theta^\beta + \dots. \quad (2.4.18)$$

We can introduce another supercoordinates $\tilde{\theta}_\alpha$ to combine them:

$$\tilde{\Phi}_i = \Phi_i + 2W_{\alpha i} \tilde{\theta}^\alpha = a_i + 2\tilde{\lambda}_{\alpha i} \theta^\alpha + 2\lambda_{\alpha i} \tilde{\theta}^\alpha + 2F_{\alpha\beta i} \theta^{(\alpha} \tilde{\theta}^{\beta)} + \dots. \quad (2.4.19)$$

Then the $SU(2)$ R-symmetry rotating λ and $\tilde{\lambda}$ acts on the two sets of supercoordinates θ_α and $\tilde{\theta}_\alpha$.

Take an arbitrary holomorphic function of n variables $F(a_1, \dots, a_n)$, and consider its integral over the chiral $\mathcal{N}=2$ superspace:

$$\int d^2\theta d^2\tilde{\theta} F(\Phi_1, \dots, \Phi_n) + cc. \quad (2.4.20)$$

It is clear that this gives rise to the structure (2.4.7) for the gauge kinetic matrix. To obtain the Kähler potential (2.4.9) one needs to study the structure of the constraints and the auxiliary fields of the $\mathcal{N}=2$ superfields, see e.g. Sec. 2.10 of [10]. The non-Abelian microscopic action (2.1.11) has the prepotential $F(\Phi) = \frac{1}{2} \tau \text{tr } \Phi^2$. The only thing the author wanted to stress here was that although the prepotential F was introduced in a rather indirectly in this section, it is just the Lagrangian density in the $\mathcal{N}=2$ superspace, just as the Kähler potential is in the $\mathcal{N}=1$ superspace.

3 Renormalization and anomaly

In the last section we constructed the Lagrangian of $\mathcal{N}=2$ supersymmetric field theories. Before going into the analysis of their dynamics, we would like to recall a few basic methods here, namely one-loop renormalization and anomalies.

3.1 Renormalization

Recall the one-loop renormalization of the gauge coupling in a general Lagrangian field theory:

$$\Lambda \frac{d}{d\Lambda} g = -\frac{g^3}{4\pi^2} \left[\frac{11}{3} C(\text{adj}) - \frac{2}{3} C(R_f) - \frac{1}{3} C(R_s) \right]. \quad (3.1.1)$$

Here, we use the convention that all fermions are written in terms of left-handed Weyl fermions. Then R_f and R_s are the representations of the gauge group to which the Weyl fermions and the complex scalars belong, respectively. The quantity $C(\rho)$ is defined so that

$$\text{tr } \rho(T^a) \rho(T^b) = C(\rho) \delta^{ab} \quad (3.1.2)$$

where T^a are the generators of the gauge algebra and $\rho(T^a)$ is the matrix in the representation ρ , normalized so that $C(\text{adj})$ is equal to the dual Coxeter number. For $\text{SU}(N)$, we have

$$C(\text{adj}) = N, \quad C(\text{fund}) = \frac{1}{2}. \quad (3.1.3)$$

In an $\mathcal{N}=1$ gauge theory, the equation simplifies to

$$\Lambda \frac{d}{d\Lambda} g = -\frac{g^3}{(4\pi)^2} [3C(\text{adj}) - C(R)] \quad (3.1.4)$$

or equivalently

$$\Lambda \frac{d}{d\Lambda} \frac{8\pi^2}{g^2} = 3C(\text{adj}) - C(R), \quad (3.1.5)$$

where R is the representation of the chiral multiplet. In an $\mathcal{N}=2$ gauge theory, one adjoint chiral multiplet Φ is considered to be a part of the vector multiplet. Then we have

$$\Lambda \frac{d}{d\Lambda} \frac{8\pi^2}{g^2} = 2C(\text{adj}) - C(R), \quad (3.1.6)$$

where R is now the representation of the $\mathcal{N}=1$ chiral multiplets describing the hypermultiplets of the system. If one has one adjoint hypermultiplet, consisting of two $\mathcal{N}=1$ chiral multiplets A and B , we have zero one-loop beta function. When the mass term for A , B is zero, the system in fact has a further enlarged supersymmetry, and is the $\mathcal{N}=4$ super Yang-Mills. When the mass term is nonzero, it is called the $\mathcal{N}=2^*$ theory.

In an supersymmetric theory, the coupling g is combined with the theta angle θ and enters in the Lagrangian as

$$\int d^2\theta \frac{-i}{8\pi} \tau \text{tr } W_\alpha W^\alpha + cc. \quad (3.1.7)$$

where τ is given by

$$\tau = \frac{4\pi i}{g^2} + \frac{\theta}{2\pi}. \quad (3.1.8)$$

We call this τ the complexified gauge coupling.

We can consider τ to be an expectation value of a background chiral superfield. There is a renormalization scheme where the superpotential remains a holomorphic function of the chiral superfields, including background fields whose vevs are the gauge and superpotential couplings [24]. We call it Seiberg's holomorphy principle.

In this scheme, the one-loop running coupling at the energy scale E can be expressed as

$$\tau(E) = \tau_{UV} - \frac{b}{2\pi i} \log \frac{E}{\Lambda_{UV}} + \dots \quad (3.1.9)$$

where b is the rational number appearing on the right hand side of (3.1.5) or (3.1.6). Note that the coupling τ starts from $1/g^2$, and therefore the n loop diagram would have the dependence $g^{2(n-1)}$. The constant shift as in the imaginary part in (3.1.9) is then a one-loop effect.

Perturbation theory is independent of the θ angle, since $F_{\mu\nu}\tilde{F}_{\mu\nu}$ is a total derivative, although of a gauge-dependent quantity. Therefore the n loop effect is a function of $(\text{Im } \tau)^{1-n}$, which is not holomorphic unless $n = 1$. We conclude that the running (3.1.9) is one-loop exact in the holomorphic scheme. We find that the combination

$$\Lambda^b = E^b e^{2\pi i \tau(E)} \quad (3.1.10)$$

is invariant to all orders in perturbation theory. We call this Λ the complexified dynamical scale of the theory. Note that Λ is a complex quantity, and can be considered as a vev of a background chiral superfield.

This one-loop exactness does not necessarily mean that the physical gauge coupling, which controls the scattering process for example, is one-loop exact. In the holomorphic scheme in generic $\mathcal{N}=1$ supersymmetric theories, we have nontrivial wave-function renormalization factors Z_{ij}

$$\int d^4\theta Z^{\bar{i}j}(E) Q_i^\dagger e^V Q_j \quad (3.1.11)$$

which need to be taken into account by a further field redefinition to compute physical scattering amplitudes. This is known to produce further perturbative contributions to the physical running of the gauge coupling. For more on this point, see e.g. [25].

For $\mathcal{N}=2$ supersymmetric theories, however, one can make a stronger statement. We assume that there is a holomorphic renormalization scheme which is compatible with the existence of $SU(2)_R$ symmetry. Then, the structure of the Lagrangian is restricted to be of the form (2.1.11) for the vector multiplets and of the form (2.1.13) for the hypermultiplets. Considering τ as the vev of a background field, one finds that nontrivial wavefunction renormalization factors Z_{ij} as in (3.1.11) in the vector multiplet Lagrangian (2.1.11). The coupling τ is a chiral field on the side of the vector multiplet, and simply it cannot appear in the hypermultiplet side (2.1.13).

Then, in particular when $b = 0$, the beta function is zero to all orders in perturbation theory. This makes the system conformal, and the value of τ becomes an exactly marginal coupling parameter. The non-perturbative corrections will induce finite renormalization, but are not thought to introduce any additional infinite renormalization.

For example, $\mathcal{N}=4$ super Yang-Mills is automatically superconformal, with one exactly marginal coupling. Another example with $b = 0$ is $\mathcal{N}=2$ supersymmetric $SU(N)$ gauge theory with $2N$ hypermultiplets in the fundamental representation. Indeed, in (3.1.6), we have $C(\text{adj}) = N$ and $C(R) = 2 \cdot 2N \cdot 1/2$.

3.2 Anomalies

3.2.1 Anomalies of global symmetry

Non-abelian gauge theories have an important source of non-perturbative effects, called instantons. This is a nontrivial classical field configuration in the Euclidean \mathbb{R}^4 with nonzero integral of

$$16\pi^2 k := \int_{\mathbb{R}^4} \text{tr} F_{\mu\nu} \tilde{F}^{\mu\nu}. \quad (3.2.1)$$

In the standard normalization of the trace for $SU(N)$, k is automatically an integer, and is called the instanton number. The theta term in the Euclidean path integral appears as

$$\exp \left[i \frac{\theta}{16\pi^2} \text{tr} F_{\mu\nu} \tilde{F}^{\mu\nu} \right]. \quad (3.2.2)$$

Therefore, a configuration with the instanton number k has a nontrivial phase $e^{i\theta k}$. Note that a shift of θ by 2π does not change this phase at all. Therefore, even in a quantum theory, the shift $\theta \rightarrow \theta + 2\pi$ is a symmetry.

Using

$$\text{tr} F_{\mu\nu} F_{\mu\nu} = \frac{1}{2} \text{tr} (F_{\mu\nu} \pm \tilde{F}_{\mu\nu})^2 \mp \text{tr} F_{\mu\nu} \tilde{F}_{\mu\nu} \geq \mp \text{tr} F_{\mu\nu} \tilde{F}_{\mu\nu}, \quad (3.2.3)$$

we find that

$$\int d^4x \text{tr} F_{\mu\nu} F_{\mu\nu} \geq 16\pi^2 |k| \quad (3.2.4)$$

which is saturated only when

$$F_{\mu\nu} + \tilde{F}_{\mu\nu} \propto F_{\alpha\beta} = 0 \quad \text{or} \quad F_{\mu\nu} - \tilde{F}_{\mu\nu} \propto F_{\dot{\alpha}\dot{\beta}} = 0 \quad (3.2.5)$$

depending on the sign of k . Therefore, within configurations of fixed k , those satisfying relations (3.2.5) give the dominant contributions to the path integral. The solutions to (3.2.5) are called instantons or anti-instantons, depending on the sign of k .

In an instanton background, the weight in the path integral coming from the gauge kinetic term is

$$\exp \left[-\frac{1}{2g^2} \int \text{tr} F_{\mu\nu} F^{\mu\nu} + i \frac{\theta}{16\pi^2} \int \text{tr} F_{\mu\nu} \tilde{F}^{\mu\nu} \right] = e^{2\pi i \tau k}. \quad (3.2.6)$$

We similarly have the contribution $e^{2\pi i\bar{\tau}|k|}$ in an anti-instanton background. The fact that we have just τ or $\bar{\tau}$, instead of more complicated combinations, is related to the fact that in the instanton background in a supersymmetric theory, $\delta\lambda_{\dot{\alpha}} = F_{\dot{\alpha}\dot{\beta}}\epsilon^{\dot{\beta}} = 0$ assuming the D-term is also zero, and thus the undotted supertranslation is preserved. Similarly, the undotted supersymmetry is unbroken in the anti-instanton background.

Now, consider charged Weyl fermions ψ_{α} coupled to the gauge field, with the kinetic term

$$\bar{\psi}_{\dot{\alpha}} D_{\mu} \sigma^{\mu\dot{\alpha}\alpha} \psi_{\alpha}. \quad (3.2.7)$$

Let us say ψ_{α} is in the representation R of the gauge group. It is known that the number of zero modes in ψ_{α} minus the number of zero modes in $\bar{\psi}_{\dot{\alpha}}$ is $2C(R)k$. In particular, the path integral restricted to the k -instanton configuration with positive k is non-vanishing unless we insert k additional ψ 's in the integrand. More explicitly,

$$\langle O_1 O_2 \cdots \rangle = \int [D\psi][D\bar{\psi}] O_1 O_2 \cdots e^{-S} = 0 \quad (3.2.8)$$

unless the product of the operators $O_1 O_2 \cdots$ contains $2C(R)k$ more ψ 's than $\bar{\psi}$'s. This is interpreted as follows: the path integral measures $[D\psi]$ and $[D\bar{\psi}]$ contain both infinite number of integrations. However, there is a finite number, $2C(R)k$, of difference in the number of integration variables. Equivalently, under the constant rotation

$$\psi \rightarrow e^{i\varphi} \psi, \quad \bar{\psi} \rightarrow e^{-i\varphi} \bar{\psi}, \quad (3.2.9)$$

the fermionic path integration measure rotates as

$$\begin{aligned} [D\psi] &\rightarrow [D\psi] e^{+\infty i\varphi + 2C(R)ki\varphi}, \\ [D\bar{\psi}] &\rightarrow [D\bar{\psi}] e^{-\infty i\varphi}. \end{aligned} \quad (3.2.10)$$

When combined, we have

$$[D\psi][D\bar{\psi}] \rightarrow [D\psi][D\bar{\psi}] e^{2C(R)ki\varphi} = [D\psi][D\bar{\psi}] \exp \left[2C(R)\varphi \frac{i}{16\pi^2} \int \text{tr} F_{\mu\nu} \tilde{F}^{\mu\nu} \right]. \quad (3.2.11)$$

This can be compensated by a shift of the θ angle, $\theta \rightarrow \theta + 2C(R)\varphi$. As we recalled before, the shift $\theta \rightarrow \theta + 2\pi$ is a symmetry. Therefore, the rotation of the field ψ by $\exp(\frac{2\pi i}{2C(R)})$ is a genuine, unbroken symmetry.

3.2.2 Anomalies of gauge symmetry

In $\mathcal{N}=2$ gauge theories, fermions always come in non-chiral representations. Indeed, the fermions in the vector multiplets are always in the adjoint, the $\mathcal{N}=1$ chiral superfields in a full hypermultiplet is a sum of a representation R and its conjugate \bar{R} , and a half-hypermultiplet counts as an $\mathcal{N}=1$ chiral superfield in a pseudo-real representation R . Therefore there is no perturbative gauge anomalies.

One needs to be careful about Witten's global anomaly [26], though, as this can arise even for real representations. It is known that a Weyl fermion in the doublet of gauge $SU(2)$ is anomalous, due to the following fact. When we perform the path integral of this system, we first need to consider

$$Z[A_\mu] = \int [D\psi_{\alpha i}][D\bar{\psi}_{\dot{\alpha} i}] e^{-\int \bar{\psi} D_\mu \sigma^\mu \psi} \quad (3.2.12)$$

where $i = 1, 2$ is the $SU(2)$ doublet index. To perform a further integration over A_μ consistent, we need

$$Z[A_\mu] = Z[A_\mu^g], \quad A_\mu^g = g^{-1} A_\mu g + g^{-1} \partial_\mu g. \quad (3.2.13)$$

for any gauge transformation $g : \mathbb{R}^4 \rightarrow SU(2)$. These maps are characterized by $\pi_4(SU(2))$. It is known that

$$\pi_4(SU(2)) = \pi_4(S^3) = \mathbb{Z}_2. \quad (3.2.14)$$

Let $g_0 : \mathbb{R}^4 \rightarrow SU(2)$ be the one corresponding to the nontrivial element in this \mathbb{Z}_2 . Then it is known that

$$[D\psi_{\alpha i}][D\bar{\psi}_{\dot{\alpha} i}] \xrightarrow{g_0} -[D\psi_{\alpha i}][D\bar{\psi}_{\dot{\alpha} i}] \quad (3.2.15)$$

resulting in

$$Z[A_\mu^{g_0}] = -Z[A_\mu], \quad (3.2.16)$$

thus making the path integral over A_μ inconsistent.

In general $\pi_4(G) = \mathbb{Z}_2$ if $G = Sp(n)$, and $\pi_4(G) = 1$ otherwise. Therefore Witten's global anomaly can be there only for Weyl fermions in a representation R under gauge $Sp(n)$. A short computation reveals that there is an anomaly only when $C(R)$ is half-integral.

Witten's anomaly is always \mathbb{Z}_2 valued in four dimensions. Therefore full hypermultiplets are always free of Witten's global anomaly. The danger only exists for half-hypermultiplets of gauge $Sp(n)$. For example, one cannot have odd number of half-hypermultiplets in the doublet representation of gauge $SU(2)$, or more generally, one cannot have half-hypermultiplets in a pseudo-real representation R of gauge $Sp(n)$ such that $C(R)$ is half-integral.

3.3 $\mathcal{N}=1$ pure Yang-Mills

3.3.1 Confinement and gaugino condensate

As an example of the application of what we learned in this section, let us consider the $\mathcal{N}=1$ pure supersymmetric Yang-Mills theory with gauge group $SU(N)$. The content of this section will not be used much in the rest of the lecture note.

This theory has just the vector multiplet, with the Lagrangian

$$L = \int d^2\theta \frac{-i}{8\pi} \tau \text{tr} W_\alpha W^\alpha + cc., \quad W_\alpha = \lambda_\alpha + F_{\alpha\beta} \theta^\beta + \dots \quad (3.3.1)$$

The one-loop running of the coupling is given by

$$E \frac{\partial}{\partial E} \tau(E) = 3N, \quad (3.3.2)$$

and therefore we define the dynamical scale Λ by the relation

$$\Lambda^{3N} = e^{2\pi i \tau_{UV}} \Lambda_{UV}^{3N}. \quad (3.3.3)$$

We assign R-charge zero to the gauge field, and R-charge 1 to the gaugino λ_α . The phase rotation $\lambda_\alpha \rightarrow e^{i\varphi} \lambda_\alpha$ is anomalous, and needs to be compensated by $\theta \rightarrow \theta + 2N\varphi$. The shift of θ by 2π is still a symmetry, therefore the discrete rotation

$$\lambda_\alpha \rightarrow e^{\pi i/N} \lambda_\alpha, \quad \theta \rightarrow \theta + 2\pi \quad (3.3.4)$$

is a symmetry generating \mathbb{Z}_{2N} . Note that under this symmetry, Λ defined above has the transformation

$$\Lambda \rightarrow e^{2\pi i/(3N)} \Lambda. \quad (3.3.5)$$

This theory is believed to confine, with nonzero gaugino condensate $\langle \lambda_\alpha \lambda^\alpha \rangle$. What would be the value of this condensate? This should be of mass dimension 3 and of R-charge 2. The only candidate is

$$\langle \lambda_\alpha \lambda^\alpha \rangle = c\Lambda^3 \quad (3.3.6)$$

for some constant c . The symmetry (3.3.5) acts in the same way on both sides by the multiplication by $e^{2\pi i/N}$. Assuming that the numerical constant c is non-zero, this \mathbb{Z}_{2N} is further spontaneously broken to \mathbb{Z}_2 , generating N distinct solutions

$$\langle \lambda_\alpha \lambda^\alpha \rangle = c e^{2\pi i \ell/N} \Lambda^3 \quad (3.3.7)$$

where $\ell = 0, 1, \dots, N-1$. Unbroken \mathbb{Z}_2 acts on the fermions by $\lambda_\alpha \rightarrow -\lambda_\alpha$, which is a 360° rotation. This \mathbb{Z}_2 symmetry is hard to break.

It is now generally believed that this theory has these N supersymmetric vacua and not more. For other gauge groups, the analysis proceeds in the same manner, by replacing N by the dual Coxeter number $C(\text{adj})$ of the gauge group under consideration. For example, we have $N-2$ vacua for the pure $\mathcal{N}=1$ $\text{SO}(N)$ gauge theory.

3.3.2 The theory in a box

It is instructive to recall another way to compute the number of vacua in the $\mathcal{N}=1$ pure Yang-Mills theory with gauge group G , originally discussed in [27]. We put the system in a spatial box of size $L \times L \times L$ with the periodic boundary condition in each direction. We keep the time direction as \mathbb{R} . By performing the Kaluza-Klein reduction along the three spatial directions, the system becomes supersymmetric quantum mechanics with infinite number of degrees of freedom.

The box still preserves the translation generators P^μ and the supertranslations Q_α unbroken. We just use an Hermitean linear combination of Q_α and Q_α^\dagger which we just denote by Q , satisfying

$$H = P^0 = \frac{1}{2} \{Q, Q\} = Q^2. \quad (3.3.8)$$

We also have the fermion number operator $(-1)^F$ such that

$$\{(-1)^F, Q\} = 0. \quad (3.3.9)$$

Consider eigenstates of the Hamiltonian H , given by

$$H|E\rangle = E|E\rangle. \quad (3.3.10)$$

We have

$$|Q|E\rangle|^2 = \langle E|QQ|E\rangle = \langle E|H|E\rangle = E\langle EE\rangle. \quad (3.3.11)$$

Therefore, the multiplet structure under the algebra of Q , H and $(-1)^F$ is either

- $E > 0$. A bosonic state $|E, b\rangle$ and a fermionic state $|E, f\rangle = Q|E, b\rangle$ is paired by

$$Q|E, b\rangle \propto |E, f\rangle, \quad Q|E, f\rangle \propto |E, b\rangle. \quad (3.3.12)$$

- $E = 0$. $|E = 0, i\rangle$ are all annihilated by Q .

Therefore, the Witten index

$$\text{tr} e^{-\beta H} (-1)^F = \text{tr} \Big|_{E=0} (-1)^F \quad (3.3.13)$$

is a robust quantity independent of the change in the size L of the box: even when a perturbation makes two zero-energy states to non-zero energy $E \neq 0$, the two states involved are always paired and always have opposite Grassmann parity. Thus it cannot change $\text{tr}(-1)^F$.

Therefore, we can compute the Witten index in the limit where the box size L is far smaller than the scale Λ^{-1} set by the dynamics. Then the system is weakly coupled, and we can use perturbative analysis. To have almost zero energy, we need to have $F_{\mu\nu} = 0$ in the spatial directions, since magnetic fields contribute to the energy. Then the only low-energy degrees of freedom in the system are the holonomies

$$U_x, U_y, U_z \in \text{SU}(N), \quad (3.3.14)$$

which commute with each other. Assuming that they can be simultaneously diagonalized, we have

$$U_x = \text{diag}(e^{i\theta_1^x}, \dots, e^{i\theta_N^x}), \quad (3.3.15)$$

$$U_y = \text{diag}(e^{i\theta_1^y}, \dots, e^{i\theta_N^y}), \quad (3.3.16)$$

$$U_z = \text{diag}(e^{i\theta_1^z}, \dots, e^{i\theta_N^z}). \quad (3.3.17)$$

together with gaugino zero modes

$$\lambda_1^{\alpha=1}, \dots, \lambda_N^{\alpha=1}, \quad \lambda_1^{\alpha=2}, \dots, \lambda_N^{\alpha=2} \quad (3.3.18)$$

with the condition that

$$\sum_i \theta_i^x = \sum_i \theta_i^y = \sum_i \theta_i^z = 0, \quad \sum_i \lambda_i^{\alpha=1} = \sum_i \lambda_i^{\alpha=2} = 0. \quad (3.3.19)$$

The wavefunction of this truncated quantum system is given by a linear combination of states of the form

$$\lambda_{i_1}^{\alpha_1} \lambda_{i_2}^{\alpha_2} \dots \lambda_{i_\ell}^{\alpha_\ell} \psi(\theta_i^x; \theta_i^y; \theta_i^z) \quad (3.3.20)$$

which is invariant under the permutation acting on the index $i = 1, \dots, N$. To have zero energy, the wavefunction cannot have dependence on $\theta_i^{x,y,z}$ anyway, since the derivatives with respect to them are the components of the electric field, and they contribute to the energy. Thus the only possible zero energy states are just invariant polynomials of λ_s . We find N states with the wavefunctions given by

$$1, S, S^2, \dots, S^{N-1} \quad (3.3.21)$$

where $S = \sum_i \lambda_i^{\alpha=1} \lambda_i^{\alpha=2}$. They all have the same Grassmann parity, and contribute to the Witten index with the same sign. Thus we found N states in the limit of small box, too.

The construction so far, when applied to other groups, only gives $1 + \text{rank } G$ states. For example, for $G = \text{SO}(N)$, there are only $\lfloor N/2 \rfloor + 1$ states

$$1, S, S^2, \dots, S^{\lfloor N/2 \rfloor}, \quad (3.3.22)$$

and does not agree with $C(\text{adj}) = N - 2$ when $N \geq 7$. This conundrum was already pointed out in [27] and resolved later in the Appendix I of [28] by the same author.⁴ What was wrong was the assumption that three commuting matrices $U_{x,y,z}$ can be simultaneously diagonalized as in (3.3.17). It is known that there is another component where they cannot be simultaneously diagonalized into the Cartan torus. For $\text{SO}(7)$, an example is given by the triple

$$U_x^{(7)} = \text{diag}(+ + - - - + -), \quad (3.3.23)$$

$$U_y^{(7)} = \text{diag}(+ - + - + - -), \quad (3.3.24)$$

$$U_z^{(7)} = \text{diag}(- + + + - - -). \quad (3.3.25)$$

Then $(\lfloor 7/2 \rfloor + 1) + 1 = 5 = 7 - 2$. For larger N , one can consider $U_{x,y,z}$ given by the form

$$U_x = U_x^{(7)} \oplus U'_x, \quad U_y = U_y^{(7)} \oplus U'_y, \quad U_z = U_z^{(7)} \oplus U'_z, \quad (3.3.26)$$

where $U'_{x,y,z}$ are in the Cartan subgroup of $\text{SO}(N - 7)$. Applying the analysis leading to (3.3.21) in both components, i.e. in the component where $U_{x,y,z}$ are in the Cartan subgroup of $\text{SO}(N)$, and in the component where $U_{x,y,z}$ has the form (3.3.26), we find in total

$$(\lfloor N/2 \rfloor + 1) + (\lfloor (N - 7)/2 \rfloor + 1) = N - 2 \quad (3.3.27)$$

zero-energy states, thus reproducing $C(\text{adj})$ states. This analysis has been extended to arbitrary gauge groups [29, 30].

4 Seiberg-Witten solution to pure $\text{SU}(2)$ theory

We are finally prepared enough to start the analysis of the simplest of non-Abelian $\mathcal{N}=2$ supersymmetric theory, namely the pure $\text{SU}(2)$ gauge theory. We mainly follow the presentation of the original paper [2], except that we use the Seiberg-Witten curve in the form first found in [31], which is more suited to the generalization later.

⁴It is a sad state of affairs that a problem reported in such an important paper as [27] was not resolved for 15 years by any other physicist. It seems that people in our field rely too much on the author of [27, 28].

4.1 One-loop running and the monodromy at infinity

The pure SU(2) theory contains only an $\mathcal{N}=2$ vector multiplet for the SU(2) gauge group, with its Lagrangian given by (2.1.11). For reference we reproduce it here:

$$L = \frac{\text{Im } \tau}{4\pi} \int d^4\theta \text{tr } \Phi^\dagger e^{[V, \cdot]} \Phi + \int d^2\theta \frac{-i}{8\pi} \tau \text{tr } W_\alpha W^\alpha + cc. \quad (4.1.1)$$

A supersymmetric vacuum is classically characterized by the solution to the D-term constraint

$$[\Phi^\dagger, \Phi] = 0. \quad (4.1.2)$$

This means that Φ can be diagonalized by a gauge rotation. Let

$$\Phi = \text{diag}(a, -a). \quad (4.1.3)$$

Roughly speaking, the gauge coupling τ runs from a very high energy scale down to the energy scale a according to the one-loop renormalization of the SU(2) theory. Then the vev a breaks the gauge group SU(2) to U(1). There are massive excitations charged under the unbroken U(1), but they will soon decouple, and the coupling remains almost constant below the energy scale a . This evolution is shown in Fig. 4.1.

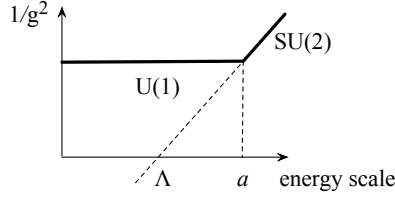


Figure 4.1: Schematic drawing of the running of the coupling.

Let us describe it slightly more quantitatively. Our normalization of the U(1) Lagrangian and the gauge coupling was given in (1.2.7) and (1.2.15), which reproduce here:

$$\frac{1}{2e^2} F_{\mu\nu}^{U(1)} F_{\mu\nu}^{U(1)} + \frac{\theta}{16\pi^2} F_{\mu\nu}^{U(1)} \tilde{F}_{\mu\nu}^{U(1)}, \quad \text{and} \quad \tau^{U(1)} = \frac{4\pi i}{e^2} + \frac{\theta}{2\pi}. \quad (4.1.4)$$

In the broken vacuum, the low-energy U(1) and the high-energy SU(2) are related as in (1.3.3), which we also reproduce here

$$F_{\mu\nu}^{SU(2)} = \text{diag}(F_{\mu\nu}^{U(1)}, -F_{\mu\nu}^{U(1)}). \quad (4.1.5)$$

Plugging this in to the high-energy Lagrangian (4.1.1) and comparing the definitions of τ s, we find

$$\tau^{U(1)} = 2\tau^{SU(2)}. \quad (4.1.6)$$

This relation gets modified by the quantum corrections.

Let us denote by $\tau(a)$ the low-energy coupling of the U(1) gauge field when the vev is given by (4.1.3), and by τ_{UV} the high-energy coupling of the SU(2) gauge field at the high-energy renormalization point Λ_{UV} . The one-loop running (3.1.6) then gives

$$\tau(a) = 2\tau_{UV} - \frac{8}{2\pi i} \log \frac{a}{\Lambda_{UV}} + \dots \quad (4.1.7)$$

$$= -\frac{8}{2\pi i} \log \frac{a}{\Lambda} + \dots \quad (4.1.8)$$

where we defined

$$\Lambda^4 = \Lambda_{UV}^4 e^{2\pi i \tau_{UV}}. \quad (4.1.9)$$

The dual variable a_D can be obtained by integrating (4.1.8) once, and we find

$$a_D = -\frac{8a}{2\pi i} \log \frac{a}{\Lambda} + \dots. \quad (4.1.10)$$

As long as we keep $|a| \gg |\Lambda|$, the coupling $\tau(a)$ remains weak, and the computation above gives a reliable approximation.

A gauge-invariant way to label the supersymmetric vacua is to use

$$u = \frac{1}{2} \langle \text{tr } \phi^2 \rangle = a^2 + \dots \quad (4.1.11)$$

where \dots are quantum corrections. Let us consider adiabatically rotating the phase of u by 2π :

$$u = e^{i\theta} |u|, \quad \theta = 0 \sim 2\pi \quad (4.1.12)$$

We have $a \mapsto -a$. From the explicit form of a_D we find $a_D \rightarrow -a_D + 4a$. We denote it as

$$(a, a_D) \rightarrow (a, a_D) \begin{pmatrix} -1 & 4 \\ 0 & -1 \end{pmatrix}. \quad (4.1.13)$$

The mass formula of BPS particles is

$$M = |na + ma_D| = \left| (a, a_D) \begin{pmatrix} n \\ m \end{pmatrix} \right|. \quad (4.1.14)$$

Therefore, the transformation (4.1.13) can also be ascribed to the transformation of the charges:

$$\begin{pmatrix} n \\ m \end{pmatrix} \rightarrow \begin{pmatrix} -1 & 4 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} n \\ m \end{pmatrix}. \quad (4.1.15)$$

We call this matrix

$$M_\infty = \begin{pmatrix} -1 & 4 \\ 0 & -1 \end{pmatrix} \quad (4.1.16)$$

the monodromy at infinity. The situation is schematically shown in Fig 4.2. The space of the supersymmetric vacua, parametrized by u , is often called the u -plane.

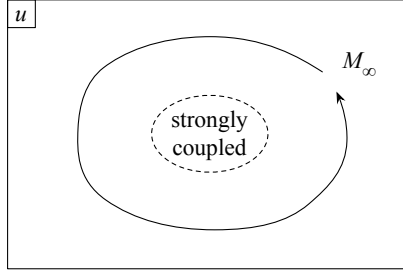


Figure 4.2: Monodromy at infinity.

In our argument, the matrix (4.1.13) could have had non-integral entries, as we read the matrix elements off from an approximate formula of a and a_D . However, the transformation (4.1.15) should necessarily map integral vectors to integral vectors, which guarantees that the matrix (4.1.15) is integral. Not only that, this transformation is just a relabeling of the charges and should not change the Dirac pairing

$$nm' - mn' = \det \begin{pmatrix} n & n' \\ m & m' \end{pmatrix} \quad (4.1.17)$$

which measure the angular momentum carried in the space when we have two particles with charges (n, m) and (n', m') , respectively. A transformation given by

$$\begin{pmatrix} n \\ m \end{pmatrix} \rightarrow M \begin{pmatrix} n \\ m \end{pmatrix} \quad (4.1.18)$$

affects the Dirac pairing as

$$\det \begin{pmatrix} n & n' \\ m & m' \end{pmatrix} \rightarrow \det M \det \begin{pmatrix} n & n' \\ m & m' \end{pmatrix}. \quad (4.1.19)$$

Therefore, M should necessarily has unit determinant. A 2×2 integral matrix with unit determinant is called an element of $\text{SL}(2, \mathbb{Z})$. It is reassuring that the matrix (4.1.16) satisfies this condition.

4.2 Behavior in the strongly-coupled region

Let us study what is going on in the strongly coupled region which is the interior of the u -plane. There needs to be at least one singularity in this interior region to realize the monodromy M_∞ of holomorphic functions a and a_D . So, most naively, we would expect the structure as in Fig. 4.3. Where will the singularity be? Here the discrete unbroken $U(1)$ R-symmetry of the system is useful. Recall our $\mathcal{N}=2$ theory has an $SU(2)_R$ symmetry. Classically, we can also consider a $U(1)_R$ symmetry with the standard R-charge assignment given as follows:

$$R = 0 \quad \left| \begin{array}{cc} A & \\ 1 & \lambda \quad \lambda \\ 2 & \Phi \end{array} \right. \quad (4.2.1)$$

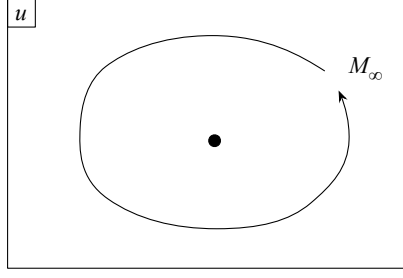


Figure 4.3: Naive guess which does not work

Different components in the same supersymmetry multiplet have different charges, and therefore this is an R-symmetry.

Quantum mechanically, the rotation

$$\lambda \rightarrow e^{i\varphi} \lambda, \quad (4.2.2)$$

is anomalous, but can be compensated by

$$\theta_{UV} \rightarrow \theta_{UV} + 8\varphi, \quad (4.2.3)$$

as we learned in Sec. 3.2.1.

Therefore $\varphi = \pi/4$ is a genuine symmetry, which does

$$\theta \rightarrow \theta + 2\pi, \quad \Phi \rightarrow e^{\pi i/2} \Phi. \quad (4.2.4)$$

This generates a \mathbb{Z}_4 discrete R-symmetry of the system. In the low-energy variables, it acts as

$$\theta_{IR} \rightarrow \theta_{IR} + 4\pi, \quad u \rightarrow -u. \quad (4.2.5)$$

Then, if there is a singularity at $u = u_0$, there should be another at $u = -u_0$. Therefore, if there is only one singularity, it is at $u = 0$.

If this is really the case, we find that $\tau(a)$ is given exactly by

$$\tau(a) = -\frac{8}{2\pi i} \log \frac{a}{\Lambda}. \quad (4.2.6)$$

Note that a holomorphic function is uniquely determined by its singularities. Therefore, if we find a candidate with the correct properties around the singularities and at infinity of its domain of definition, it is necessarily the correct answer itself, assuming that we identified the singularities correctly.

This does not sound right, however. The imaginary part of $\tau(a)$ is negative when $|a|$ is small enough. This means that the coupling g^2 is negative, and the system becomes unstable. It is also known that there is a finite correction to the formula (4.2.6), by a direct instanton computation [32]. We conclude that our assumption of having just one singularity at $u = 0$ was too naive.

The next simplest possibility is then to suppose that there are two singularities at $u = \pm u_0$, see Fig. 4.4.

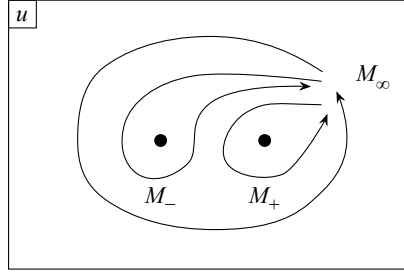


Figure 4.4: Next guess which turns out to be correct

The only scale in the system is the dynamical scale Λ , therefore u_0 should be given by $c\Lambda$ where c is a number. Denoting the monodromies around two singularities M_{\pm} , we should have

$$M_{\infty} = M_+ M_-, \quad (4.2.7)$$

since the path going around the infinity of the u -plane is topologically the same as the path which first goes around $u = -u_0$ and then around $u = u_0$. As two singularities are exchanged by a symmetry, the monodromies around them should be essentially the same, except for the relabeling of the charges. Or equivalently, they should be conjugate

$$M_- = X M_+ X^{-1} \quad (4.2.8)$$

by an $SL(2, \mathbb{Z})$ matrix X . Note that this matrix X can be thought of a half-monodromy associated to the symmetry operation (4.2.5), see Fig. 4.5

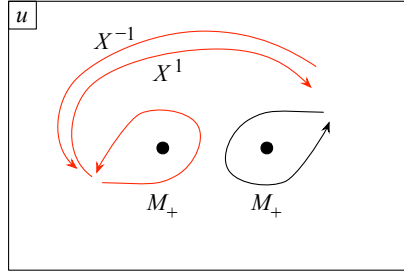


Figure 4.5: The relation between M_+ and M_-

A solution to these equations is given by

$$M_+ = STS^{-1} = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix}, \quad M_- = T^2 STS^{-1} T^{-2} = \begin{pmatrix} -1 & 4 \\ -1 & 3 \end{pmatrix} \quad (4.2.9)$$

where S and T were given in (1.2.19), (1.2.20). Note that we have

$$X = T^2, \quad (4.2.10)$$

which is roughly compatible with the fact that the discrete R-symmetry (4.2.5) shifts θ_{IR} by 4π .

4.3 The Seiberg-Witten solution

Let us construct holomorphic functions a and a_D satisfying these monodromies explicitly. Note again that holomorphic functions are uniquely determined given their singularities. Therefore, it suffices to construct candidates and then check that they satisfy the conditions.

4.3.1 The curve

We first introduce two auxiliary complex variables x and z , and then we consider an equation

$$\Sigma : \quad \Lambda^2 z + \frac{\Lambda^2}{z} = x^2 - u. \quad (4.3.1)$$

We consider this equation as defining a complex one-dimensional subspace of a complex two-dimensional space of x and z . As the equation changes as we change u , the shape of this subspace also changes. This complex one-dimensional object is called the Seiberg-Witten curve.⁵ A differential

$$\lambda = x \frac{dz}{z}, \quad (4.3.2)$$

called the Seiberg-Witten differential, plays an important role later.⁶

The space parametrized by z is important in itself. We add the point at $z = \infty$ to the complex plane of z , or equivalently, we regard z to be the complex coordinate of a sphere. We denote this sphere by C , and call it the Gaiotto curve of this system. The variable x as a function of z have four square-root branch points, see Fig. 4.6.

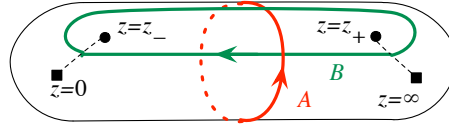


Figure 4.6: The Gaiotto curve C of pure $SU(2)$ theory.

Then the curve Σ is a two-sheeted cover of C ,

$$\Sigma \xrightarrow{2:1} C, \quad (4.3.3)$$

see Fig. 4.7.

We then draw two one-dimensional cycles A, B on the curves as shown in the figures, and we declare that

$$a = \frac{1}{2\pi i} \oint_A \lambda, \quad a_D = \frac{1}{2\pi i} \oint_B \lambda. \quad (4.3.4)$$

⁵It is real two-dimensional, and therefore it is a surface from a usual point of view. Mathematicians are strange and they consider one-dimensional objects curves, whether it is complex one-dimensional or real one-dimensional.

⁶The symbol λ were for adjoint fermions up to this point, but we use λ mainly for the differential from now on, unless otherwise noted.

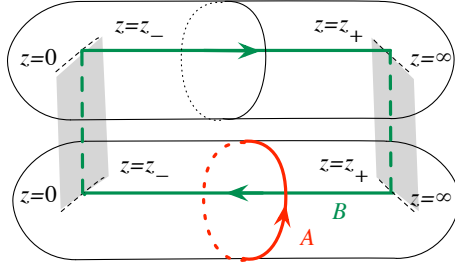


Figure 4.7: The sheets of the Seiberg-Witten curve Σ of pure $SU(2)$ theory.

Let us check that the functions $a(u)$ and $a_D(u)$ thus defined satisfy physically expected properties. First, let us compute $\tau(a)$:

$$\tau(a) = \frac{\partial a_D}{\partial a} = \frac{\partial a_D / \partial u}{\partial a / \partial u}. \quad (4.3.5)$$

The u derivatives can be computed in the following way:

$$\frac{\partial a}{\partial u} = \int_A \frac{\partial}{\partial u} \lambda = \int_A \frac{dz}{xz} \quad (4.3.6)$$

$$\frac{\partial a_D}{\partial u} = \int_B \frac{\partial}{\partial u} \lambda = \int_B \frac{dz}{xz} \quad (4.3.7)$$

where the u derivative within the integral is taken at fixed z . The differential $\omega = dz/(xz)$ is finite on Σ , even at apparently dangerous points $z = 0$, $z = \infty$ or at $x = 0$. For example, when $x = 0$, $z \sim c + c'x^2$ for some constants c and c' . Then $dz/(xz) \sim (2c'/c)dx$.

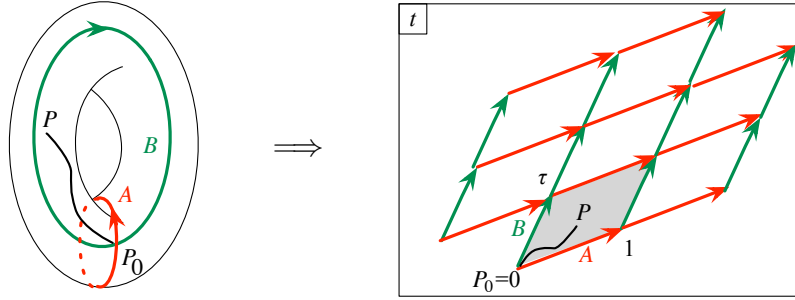


Figure 4.8: The Seiberg-Witten curve Σ of the pure $SU(2)$ theory, when smoothed out, is a torus.

Given an open path on the curve Σ from a fixed point P_0 , we find a map from the endpoint of the path to another complex plane

$$t = \int_{P_0}^P \omega. \quad (4.3.8)$$

As shown in Fig. 4.8, the curve Σ is mapped to a parallelogram in the complex plane, bounded by the lines which are the images of the cycles A and B . Now, any holomorphic mapping such as

(4.3.8) preserves the angles. Therefore, the image of the cycle B is always to the left of the image of the cycle A . Then

$$\tau(a) = \frac{\partial a_D / \partial u}{\partial a / \partial u} = \frac{\int_B dz / (xz)}{\int_A dz / (xz)} \quad (4.3.9)$$

takes the values to the left of the real axis, and therefore

$$\text{Im } \tau(a) > 0, \quad (4.3.10)$$

which guarantees that the coupling squared $g^2(a)$ is always positive. This complex number $\tau(a)$ is called the period or the complex structure of the torus.

4.3.2 The monodromy

Let us check the curve (4.3.1) reproduces the monodromy we determined from physical considerations. Write the curve Σ as

$$z + \frac{1}{z} = \frac{x^2}{\Lambda^2} - \frac{u}{\Lambda^2}. \quad (4.3.11)$$

From this we see that when $|u| \gg \Lambda^2$, the branch points z_{\pm} of the function $x(z)$ is around

$$z_+ \sim -u/\Lambda^2, \quad z_- \sim -\Lambda^2/u. \quad (4.3.12)$$

We put the A -cycle at $|z| = 1$. Then the integral over it is very easy: $x \simeq \sqrt{u}$ around $|z| = 1$, and therefore

$$a = \frac{1}{2\pi i} \oint x \frac{dz}{z} \simeq \sqrt{u}. \quad (4.3.13)$$

As for the B -cycle integral, the dominant contribution comes when the variable z is not very close to the branch points. The variable x can be again approximated by $\sqrt{u} \simeq a$, and therefore

$$a_D = \frac{2}{2\pi i} \int_{z_+}^{z_-} x \frac{dz}{z} \simeq \frac{2 \cdot 2}{2\pi i} \int_{u/\Lambda^2}^1 a \frac{dz}{z} \simeq -\frac{8a}{2\pi i} \log \frac{a}{\Lambda}. \quad (4.3.14)$$

From these two equations we find that a and a_D defined via the curve Σ have the correct monodromy around $u \sim \infty$,

$$M_{\infty} = \begin{pmatrix} -1 & 4 \\ 0 & -1 \end{pmatrix}. \quad (4.3.15)$$

By a more careful computation, we can find corrections to (4.3.14) explicitly. From the form of the curve (4.3.1), it is clear that the corrections can be expanded in powers of Λ^2 , but in fact they are given by powers of Λ^4 . This can be seen for example by introducing $\tilde{z} = \Lambda^2 z$. Then the curve is $\tilde{z} + \Lambda^4/\tilde{z} = x^2 - u$, and we can compute a , \tilde{a}_D by considering Λ^4/\tilde{z} as a perturbation to the leading-order form of the curve $\tilde{z} = x^2 - u$. Let us interpret this correction in the powers of Λ^4 . From (4.1.9), we see that a term Λ^{4k} carries the phase $e^{ik\theta_{UV}}$ where θ_{UV} is the theta angle. It corresponds to a configuration with instanton number k , as we learned in (3.2.6).

Let us next study the monodromy around the strongly-coupled singularities. Taking a look at (4.3.11) again, it is clear that when $z+1/z = \pm 2$ we have a rather special situation. When $u = 2\Lambda^2$,

the two branch points collide at $z_{\pm} = 1$, and when $u = -2\Lambda^2$, they collide at $z_{\pm} = -1$. These are the singularities $u = \pm u_0$ introduced in Fig. 4.4. Summarizing, we see that various quantities are given by a combination of a one-loop logarithmic contribution plus instanton corrections. It is now known that they agree to all orders in the instanton expansion, thanks to the developments starting from [4].

Let us study the behavior close to $u = u_0 = 2\Lambda^2$ as an example. We let $u = 2\Lambda^2 + \delta u$. Then the branch points are at

$$z_{\pm} - 1 \propto \pm \sqrt{\delta u}. \quad (4.3.16)$$

The close up of the branch points z_{\pm} and the cycles A, B are shown in Fig. 4.9.

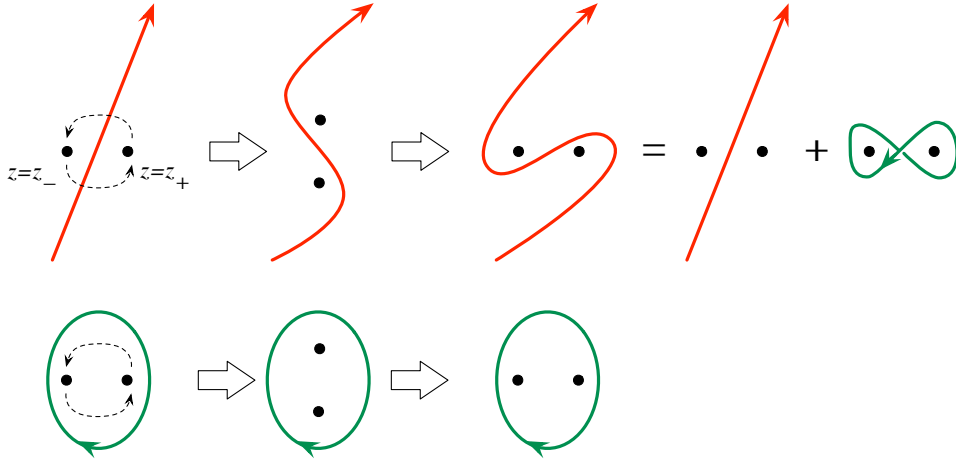


Figure 4.9: Monodromy action on cycles around the monopole point.

When we slowly change the value of u around $u = 2\Lambda^2$, two branch points $z = z_{\pm}$ are exchanged. This modifies the cycle A as shown in the figure, which is equivalent to the original cycle A minus the cycle B . The cycle B is clearly unchanged. Therefore we have

$$a \rightarrow a - a_D, \quad a_D \rightarrow a_D \quad (4.3.17)$$

or equivalently, the monodromy is

$$M_+ = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix}, \quad (4.3.18)$$

reproducing (4.2.9).

Let us study the physics at $u = u_0 = 2\Lambda^2$. We perform the S transformation (1.2.19)

$$a' = -a_D, \quad a'_D = a \quad (4.3.19)$$

exchanging the electric and magnetic charges. These are given as functions of u by

$$a' = c(u - u_0), \quad a'_D = \frac{a'}{2\pi i} \log c'(u - u_0) \quad (4.3.20)$$

where c and c' are two constants, from which we find

$$\tau_D(a') = \frac{\partial a'_D}{\partial a'} \sim + \frac{\log a'}{2\pi i}. \quad (4.3.21)$$

Note that a' sets the energy scale of the system. The result shows the same behavior as the running of the coupling of an $\mathcal{N}=2$ supersymmetric U(1) gauge theory with one charged hypermultiplet, consisting of $\mathcal{N}=1$ chiral multiplets (Q, \tilde{Q}) . The superpotential coupling is then

$$\int d^2\theta Q a' \tilde{Q}. \quad (4.3.22)$$

Writing

$$\tau_D = \frac{4\pi i}{g_D^2} + \frac{\theta_D}{2\pi}, \quad (4.3.23)$$

we find

$$g_D \rightarrow 0 \quad (4.3.24)$$

as we approach $u \rightarrow u_0$. The mass of the quantum of Q is given by the BPS mass formula to be

$$\text{mass of quantum of } Q = |a'| = |a_D|. \quad (4.3.25)$$

Therefore, we identify the charged chiral multiplet Q as the second quantized version of the monopole in the original theory. The monopoles, which were very heavy in the weakly coupled region, are now very light.

The behavior at $u = -u_0$ is easily given by applying the discrete R-symmetry (4.2.5). As we map by T^2 , we find that the very light particles now have electric charge $n = 2$ and magnetic charge $m = 1$, i.e. they are dyons. From these reasons, the point $u = u_0 = 2\Lambda$ is often called the monopole point, and the point $u = -u_0 = 2\Lambda$ the dyon point.

4.4 Less supersymmetric cases

Before continuing the study of $\mathcal{N}=2$ systems, let us pause here and see what we can learn about less supersymmetric theories from the solution of the pure $\mathcal{N}=2$ SU(2) theory. A general Lagrangian we consider in this section is given by

$$\left(\int d^2\theta \frac{-i}{8\pi} \tau \text{tr } W_\alpha W^\alpha + cc. \right) + \frac{\text{Im } \tau}{4\pi} \int d^4\theta \Phi^\dagger \Phi + \left(\int d^2\theta \frac{m}{2} \text{tr } \Phi^2 + cc. \right) + (\mu \lambda_\alpha \lambda^\alpha + cc.) \quad (4.4.1)$$

The setup is $\mathcal{N}=2$ supersymmetric when $m = \mu = 0$. When we let $|m| \rightarrow \infty$, we decouple the chiral superfield Φ , and we end up with $\mathcal{N}=1$ pure SU(2) theory which we discussed in Sec. 3.3. Next, by letting $|\mu| \rightarrow \infty$, we decouple the gaugino λ and recover pure bosonic Yang-Mills.

4.4.1 $\mathcal{N}=1$ system

First let us consider the $\mathcal{N}=1$ system. When m is very small, the term $m \operatorname{tr} \Phi^2$ can be considered as a perturbation to the $\mathcal{N}=2$ solution we just obtained. In terms of the variable u , the term $\int d^2\theta m \operatorname{tr} \Phi^2$ is $\sim \int d^2\theta m u$, and therefore the F-term equation with respect to u cannot be satisfied unless u is at the singularity. There is no supersymmetric vacuum at generic value of u .

When u is close to $u_0 = 2\Lambda^2$, there are additional terms in the superpotential given by

$$\int d^2\theta Q a' \tilde{Q} = \int d^2\theta c(u - u_0) Q \tilde{Q} \quad (4.4.2)$$

where the constant c was introduced in (4.3.20). Together with the term $\int d^2\theta m u$, the F-term equations with respect to u , Q and \tilde{Q} are given respectively by

$$m = c Q \tilde{Q}, \quad (u - u_0) \tilde{Q} = 0, \quad (u - u_0) Q = 0. \quad (4.4.3)$$

Then we find a solution at

$$u = u_0, \quad Q \tilde{Q} = m/c. \quad (4.4.4)$$

The vacuum is pinned at $u = u_0$, and there is a nonzero condensate of the monopole $Q \tilde{Q} = m/c$. A similar argument at $u = -u_0$ says that there is another supersymmetric vacuum given by

$$u = -u_0, \quad Q' \tilde{Q}' = m/c \quad (4.4.5)$$

where Q' , \tilde{Q}' are the dyon fields.

Summarizing, we found two supersymmetric vacua at $u = \pm u_0$, where monopoles or dyons condense, concretely realizing the idea that the confinement is given by condensation of magnetically-charged objects, see Fig. 4.10.

Recall that the anomalously broken continuous R-symmetry

$$\Phi \rightarrow e^{i\varphi} \Phi, \quad (4.4.6)$$

can be compensated by the

$$\theta_{UV} \rightarrow \theta_{UV} + 4\varphi. \quad (4.4.7)$$

Applying it to the Lagrangian (4.4.1), we see that

$$m \langle \operatorname{tr} \Phi^2 \rangle = \frac{-i}{2\pi} \langle \operatorname{tr} W^\alpha W_\alpha \rangle \quad (4.4.8)$$

with which we find

$$\langle \lambda_\alpha \lambda^\alpha \rangle \propto \pm 2\pi i m \Lambda^2 =: \pm \Lambda_{\mathcal{N}=1}^3. \quad (4.4.9)$$

It is important to keep in mind that the right hand side contains $e^{i\theta_{UV}/2}$ as the phase.

We now take the limit $m \rightarrow \infty$ keeping $\Lambda_{\mathcal{N}=1}$ fixed. This should give the pure $\mathcal{N}=1$ SU(2) Yang-Mills theory. It is reassuring to find that we also see two vacua here, as in Sec. 3.3.

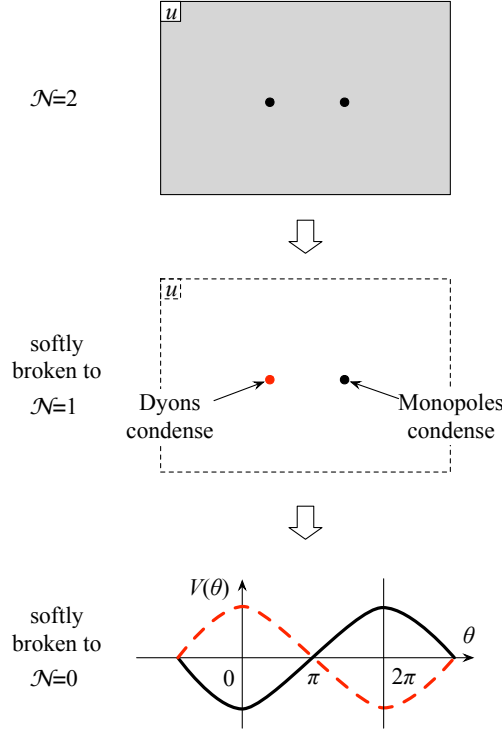


Figure 4.10: Vacua for the softly broken $\mathcal{N}=1$, $\mathcal{N}=0$ theories

4.4.2 Pure bosonic system

Let us now make $\mu \neq 0$, keeping $|\mu| \ll |\Lambda_{\mathcal{N}=1}|$. In this limit, the effect of the gaugino mass term $\mu \lambda_\alpha \lambda^\alpha$ is given by the first order perturbation theory, and the vacuum energy is given by

$$V \propto \text{Re}(\pm \mu \Lambda_{\mathcal{N}=1}^3) \propto \Lambda_{\mathcal{N}=0}^4 \text{Re}(\pm e^{i\theta_{UV}/2}). \quad (4.4.10)$$

This was first pointed out in [33].

We see that two degenerate vacua of the $\mathcal{N}=1$ supersymmetric theory are split into two levels with different energy density, corresponding to monopole condensation and dyon condensation, respectively. A slow change of θ_{UV} from 0 to 2π exchanges the two levels, which cross at $\theta_{UV} = \pi$. So there is a first-order phase transition at $\theta_{UV} = \pi$, at least when $|\mu|$ is sufficiently small.

It is an interesting question to ask if this first order phase transition persists in the limit $|\mu| \rightarrow \infty$, i.e. in the pure bosonic Yang-Mills theory. Let us give an argument for the persistence. The idea is to use the behavior of the potential between two external particles which are magnetically or dyonically charged as the order parameter [34].

First let us consider the dynamics more carefully. Two branches differ in the types of particles which condense: we can call the branches the monopole branch and the dyon branch, accordingly. In our convention, the charges of the particles are $(n, m) = (0, 1)$ and $(2, 1)$, respectively. The charge of the $SU(2)$ adjoint fields, under the unbroken $U(1)$ symmetry, is $(2, 0)$ in our normalization. As there are no dynamical particles of charge $(1, 0)$, the charge $(0, 1)$ of the monopole is

twice that of a minimally allowed one. The charge of this external monopole can then be written as $(n, m) = (0, 1/2)$.

Consider first introducing two external electric particles with charge $(n, m) = (1, 0)$. In both branches, the electric field is made into a flux tube by the condensed monopoles or dyons. The flux tube has constant tension, and cannot pair-create dynamical particles, since all the dynamical particles have charge $(\pm 2, 0)$. Therefore the flux tube does not break, and the potential is linear. The electric particles with charge $(1, 0)$ are confined.

Instead, let us consider introducing external monopoles into the system, and measure the potential between the two. At $\theta = 0$, we can assume, without loss of generality, that the monopole branch has lower energy. There are dynamical monopole particles with charge $(n, m) = (0, 1)$ condensing in the background. Let introduce two external monopoles of charge $(n, m) = (0, 1/2)$. The magnetic field produced by the external particles with charges $(n, m) = (0, 1)$ is screened and damped exponentially. The potential between them is then basically constant.

Instead, consider introducing two external particles with charge $(1, 1/2)$ into the monopole branch. The dynamical monopole cannot screen the electric charge, which is then confined into a flux tube. The potential between them is linear and they are confined.

We can repeat the analysis in the dyon branch. The behavior of the potential between external particles can be summarized as follows:

	$(0, 1/2)$	$(1, 1/2)$
monopole branch	screened	confined
dyon branch	confined	screened

These two behaviors are exchanged under a slow continuous change of θ from 0 to 2π . Therefore, there should be at least one phase transition. It would be interesting to confirm this analysis by a lattice strong-coupling expansion, or by a computer simulation.

5 SU(2) theory with one flavor

Our next task is to study $\mathcal{N}=2$ supersymmetric SU(2) gauge theory with one hypermultiplet in the doublet representation. This is often called the SU(2) theory with one flavor, or more simply $N_f = 1$. We will see that all the methods employed in the last section are readily adapted to this theory, too. We again follow the presentation of the original paper [3], but we use the Seiberg-Witten curve in a form more suitable for the generalization later. Appendix C of [35] is a good source where many different forms of the Seiberg-Witten curves of SU(2) theories are summarized.

5.1 Structure of the u -plane

5.1.1 Schematic running of the coupling

In terms of $\mathcal{N}=1$ chiral multiplets, the hypermultiplet consists of two SU(2) doublets Q^a and \tilde{Q}_a where $a = 1, 2$ is the SU(2) index. There is an $\mathcal{N}=1$ superpotential

$$W = Q\Phi\tilde{Q} + \mu Q\tilde{Q} \tag{5.1.1}$$

where μ is the bare mass of the hypermultiplet. Classically, $\Phi = \text{diag}(a, -a)$ together with $Q = \tilde{Q} = 0$ still gives supersymmetric vacua. With nonzero a , the gauge group is broken to $U(1)$, and the physical mass of the fields Q and \tilde{Q} can be found by explicitly expanding the superpotential above:

$$W = (Q_1, Q_2) \begin{pmatrix} a & 0 \\ 0 & -a \end{pmatrix} \begin{pmatrix} \tilde{Q}_1 \\ \tilde{Q}_2 \end{pmatrix} + \mu(Q_1, Q_2) \begin{pmatrix} \tilde{Q}_1 \\ \tilde{Q}_2 \end{pmatrix}. \quad (5.1.2)$$

We see that the masses are

$$|\pm a \pm \mu|. \quad (5.1.3)$$

In general, the BPS mass formula is

$$\text{mass} \geq |na + ma_D + f\mu| \quad (5.1.4)$$

where f is the charge under the $U(1)$ flavor symmetry, under which Q has charge 1 and \tilde{Q} has charge -1 .

From the one-loop running of the coupling constant, we find

$$\tau(a) = 2\tau_{UV} - \frac{6}{2\pi i} \log \frac{a}{\Lambda_{UV}} + \dots \quad (5.1.5)$$

$$= -\frac{6}{2\pi i} \log \frac{a}{\Lambda_1} + \dots \quad (5.1.6)$$

in the ultraviolet region. Here we defined

$$\Lambda_1^6 = \Lambda_{UV}^6 e^{4\pi i \tau_{UV}} \quad (5.1.7)$$

where the subscript 1 is a reminder that we are dealing with the $N_f = 1$ theory. From this, we can determine the monodromy M_∞ at infinity acting on (a, a_D) :

$$M_\infty = \begin{pmatrix} -1 & 3 \\ 0 & -1 \end{pmatrix} \quad (5.1.8)$$

exactly as in the pure $SU(2)$ case.

To study the strong coupling region of the system, let us first consider two extreme cases. When $|\mu|$ is very big, we expect the running of the coupling to be given roughly as in Fig. 5.1. Namely, at around the scale $|\mu|$, the fields Q and \tilde{Q} decouple, and the system effectively becomes the pure $SU(2)$ gauge theory, which we studied in the last section. Correspondingly, the structure of the u -plane in the region $|u| \ll |\mu^2|$ should be effectively the same with that of the pure $SU(2)$ theory, with two singularities at $u = \pm 2\Lambda_0^2$.

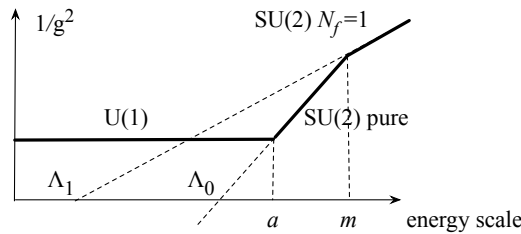


Figure 5.1: Schematic running of the coupling of $N_f = 1$ theory, when $\Lambda \ll a \ll m$

A rough relation between Λ_0 and Λ_1 can be read off from the schematic graph of the running coupling shown in Fig. 5.1. The rightmost segment in the graph is given by

$$\tau(E) = -\frac{6}{2\pi i} \log \frac{E}{\Lambda_1} \quad (5.1.9)$$

and the middle segment in the graph, representing the effectively pure SU(2) theory, is

$$\tau(E) = -\frac{8}{2\pi i} \log \frac{E}{\Lambda_0}. \quad (5.1.10)$$

Equating these two values at $E = \mu$, we obtain

$$\Lambda_0^4 = \mu \Lambda_1^3. \quad (5.1.11)$$

In addition, we know from (5.1.3) that the quanta of one component of Q and \tilde{Q} become very light when $\pm a \sim \mu$. This should produce a singularity in the u -plane at around $u \simeq \mu^2$. We therefore expect that the u -plane to have three singularities, as shown in Fig. 5.2. Note that local physics at the three singularities, at $u \simeq \mu^2$ and at $u \simeq \pm 2\Lambda_0^2$, is always just U(1) gauge theory with one charged hypermultiplet.

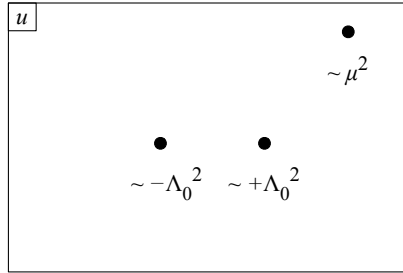


Figure 5.2: Singularities on the u -plane when $m \gg \Lambda$

In the other extreme when $\mu = 0$, we can make use of the discrete R symmetry. The standard R-charge assignment is as follows:

$$R = 0 \left| \begin{array}{cc} A & \\ \lambda & \lambda \\ \Phi & \end{array} \right., \quad R = -1 \left| \begin{array}{cc} \psi_Q & \\ Q & \tilde{Q}^\dagger \\ \psi_{\tilde{Q}}^\dagger & \end{array} \right. . \quad (5.1.12)$$

The rotation

$$\lambda \rightarrow e^{i\varphi} \lambda, \quad \psi_{Q, \tilde{Q}} \rightarrow e^{-i\varphi} \psi_{Q, \tilde{Q}} \quad (5.1.13)$$

is anomalous, but can be compensated by

$$\theta_{UV} \rightarrow \theta_{UV} + 6\varphi. \quad (5.1.14)$$

Therefore $\varphi = 2\pi/6$ is a genuine symmetry, which does

$$\theta \rightarrow \theta + 2\pi, \quad \Phi \rightarrow e^{2\pi i/3}\Phi, \quad u \rightarrow e^{4\pi i/3}u. \quad (5.1.15)$$

This guarantees that singularities in the u -plane should appear in triples, related by 120° rotation. A minimal assumption is then to have exactly three singularities, as shown in Fig. 5.3. Having three singularities is consistent with our previous analysis when $|\mu|$ was very big. We expect that the situation in Fig. 5.2 will smoothly change into the one in Fig. 5.3 when μ is adiabatically changed.

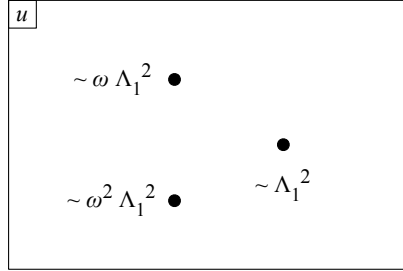


Figure 5.3: Singularities on the u -plane when $m = 0$

5.1.2 Monodromies

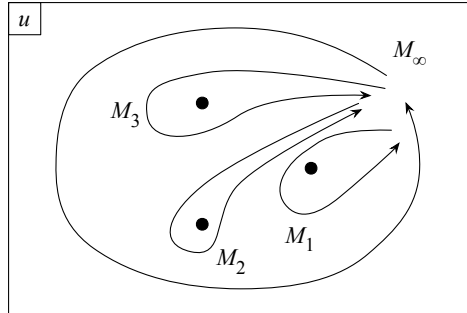


Figure 5.4: Monodromy of $N_f = 1$

Let us denote the monodromies around each of the three singularities by $M_{1,2,3}$, see Fig. 5.4. Clearly, we should have

$$M_\infty = M_3 M_2 M_1 \quad (5.1.16)$$

where M_∞ was given in (5.1.8). As the three singularities are related by discrete R-symmetry, they should be conjugate. For example, as shown in Fig. 5.5, we expect $M_2 = Y M_1 Y^{-1}$. A solution is given by

$$M_2 = T^{-1} M_1 T^1, \quad M_3 = T^{-2} M_1 T^2, \quad (5.1.17)$$

together with

$$M_1 = S T S^{-1} = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix}. \quad (5.1.18)$$

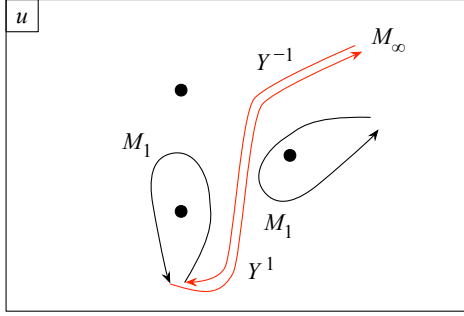


Figure 5.5: Relation of M_1 , M_2

As M_1 found here is the same as M_+ found in the pure case (4.2.9), the local physics close to the singularity is also the same, i.e. it is described by an $\mathcal{N}=2$ $U(1)$ gauge theory coupled to one charged hypermultiplet. The same can be said for M_2 and M_3 .

For the pure case, we saw that the light charged hypermultiplet in this low energy $U(1)$ description was a monopole in the original description. Is the same true in this case? It is easier to give a definitive answer when $|\mu|$ is very big. Then, the two singularities in the strong coupled region have the same physics as that of the pure $SU(2)$ theory, and thus we should have light monopoles and dyons there. At the third singularity $u \simeq \mu^2$, one component of the doublet hypermultiplet (Q, \tilde{Q}) becomes very light. For all three singularities, the low-energy description is that of a $U(1)$ gauge theory coupled to one charged hypermultiplet.

By gradually decreasing μ to be zero, these three singularities become the three singularities related by the discrete R symmetry. At this stage, it is not possible to say which of the three was originally the one whose light particle came from the doublet hypermultiplet and which two of the three were the ones with monopoles and dyons. This loss of the distinction between the hypermultiplets which were elementary fields and the hypermultiplets which came from solitons such as monopoles or dyons is somewhat surprising to an eye trained in the classical field theory. We will see this more explicitly below, in Fig. 5.10.

5.2 The curve

Let us now construct the holomorphic functions $a(u)$, $a_D(u)$ satisfying the monodromies determined above. It is again done by using the Seiberg-Witten curve, which is given in this case by

$$\Sigma : \frac{2\Lambda(x - \mu)}{z} + \Lambda^2 z = x^2 - u \quad (5.2.1)$$

with auxiliary complex variables z and x , together with the Seiberg-Witten differential

$$\lambda = x \frac{dz}{z}. \quad (5.2.2)$$

We dropped the subscript 1 from Λ to lighten the notation.

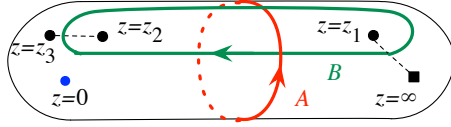


Figure 5.6: The Gaiotto curve of $SU(2)$ $N_f = 1$ theory.

Again, we add a point $z = \infty$ and regard z as a complex coordinate on the sphere C . This is the Gaiotto curve. The variable x is now a function on it, see Fig. 5.6. Note that $z = 0$ is no longer a branch point; indeed, the local behavior of x there is now

$$x_+ \sim \frac{2\Lambda}{z} + \mu + O(z), \quad (5.2.3)$$

$$x_- \sim -\mu + O(z). \quad (5.2.4)$$

Note also that λ has a residue $\pm\mu$ at $z = 0$. The curve Σ is a two-sheeted cover of C shown in Fig. 5.7.

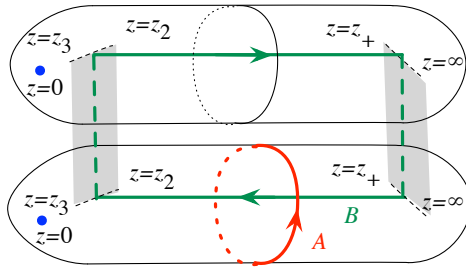


Figure 5.7: The sheets of the Seiberg-Witten curve of $SU(2)$ $N_f = 1$ theory.

We define cycles A and B as shown, and then the functions $a(u)$ and $a_D(u)$ are given by

$$a = \frac{1}{2\pi i} \oint_A \lambda, \quad a_D = \frac{1}{2\pi i} \oint_B \lambda. \quad (5.2.5)$$

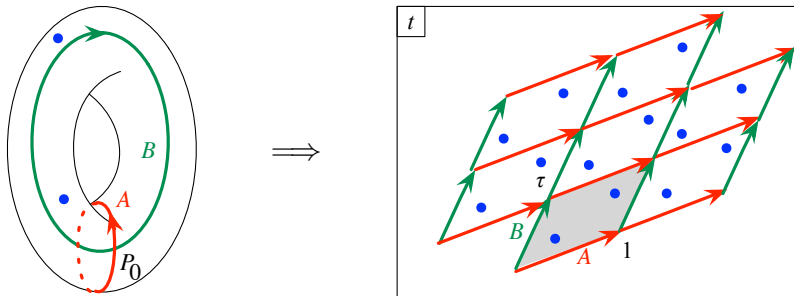


Figure 5.8: The smoothed-out torus of the curve of the $SU(2)$ $N_f = 1$ theory.

The proof $\text{Im } \tau(a) > 0$ goes exactly as in the pure case. The curve Σ can be mapped to a parallelogram within a complex t plane by $\int_{P_0}^P \partial\lambda/\partial u = \int_{P_0}^P dz/(xz)$, see Fig. 5.8 The poles with residues $\pm\mu$ of λ are denoted explicitly in the figure. When a closed cycle L on the torus winds the A cycles n times, B cycles m times, and the poles f times, the integral of λ is then

$$\frac{1}{2\pi i} \oint_L \lambda = na + ma_D + f\mu, \quad (5.2.6)$$

just as in the BPS mass formula (5.1.4).

Let us check that the curve correctly reproduces the running of the coupling in the weakly-coupled region. For simplicity, set $\mu = 0$, and assume $|u| \gg |\Lambda|$. We put the A cycle at $|z| = 1$. We easily find

$$\frac{1}{2\pi i} \oint_A x \frac{dz}{z} \sim \sqrt{u} \quad (5.2.7)$$

as before. As for the B integral, two branch points are around $z \sim \Lambda/\sqrt{u}$ and one branch point is around $z \sim u/\Lambda^2$. The dominant contribution to the integral is then

$$\frac{1}{2\pi i} \oint_B x \frac{dz}{z} \sim \frac{2}{2\pi i} \int_{u/\Lambda^2}^{\Lambda/\sqrt{u}} a \frac{dz}{z} = -\frac{6}{2\pi i} a \log \frac{a}{\Lambda}. \quad (5.2.8)$$

Then we find

$$\tau(a) = \frac{\partial a_D}{\partial a} = -\frac{6}{2\pi i} \log \frac{a}{\Lambda}, \quad (5.2.9)$$

reproducing the running (5.1.6).

Let us next check that the curve correctly reproduces the singularity structure on the u -plane. The branch points of the function $x(z)$ can be determined by studying when the equation of Σ , given in (5.2.1), has double roots. Slightly written, the Seiberg-Witten curve (5.2.1) is given by

$$z^3 + \frac{uz^2}{\Lambda^2} + \frac{2\mu z}{\Lambda} + 1 = 0. \quad (5.2.10)$$

The singularity in the u -plane is caused by two of the branch points of $x(z)$ colliding in the Gaiotto curve C with the coordinate z . This condition can be found by taking the discriminant of the equation of z above, giving

$$u^3 - \mu^2 u^2 - 9\Lambda^3 \mu u + \frac{27}{4} \Lambda^6 + 8\Lambda^3 \mu^3 = 0. \quad (5.2.11)$$

When $\mu = 0$, this equation simplifies to $u^3 + \frac{27}{4} \Lambda^6 = 0$, giving the solutions

$$u = c\Lambda^2, e^{2\pi i/3} c\Lambda^2, e^{4\pi i/3} c\Lambda^2 \quad (5.2.12)$$

for a constant c , reproducing Fig. 5.3.

When $|\mu| \gg |\lambda|$, the equation (5.2.11) can be solved by making two separate approximations. Assuming u is rather big, we can truncate the equation to just $u^3 - \mu^2 u^2 \sim 0$, finding a singularity at

$$u \sim \mu^2. \quad (5.2.13)$$

Next, assuming u is rather small, we find $-\mu^2 u^2 + 8\Lambda^3 \mu^3 \sim 0$ giving

$$u \sim \pm \sqrt{8\Lambda^3 \mu}. \quad (5.2.14)$$

Together, they reproduce Fig. 5.2. From this, we find that the effective pure SU(2) theory in the region $|u| \ll |\mu|$ has the dynamical scale

$$\Lambda_0^2 \sim \sqrt{\Lambda^3 \mu}. \quad (5.2.15)$$

This agrees with what we saw in (5.1.11).

It is instructive to study another way to derive the singularity at $u \sim \mu^2$ from the curve. We would like to take the approximation $|\Lambda| < |\mu|$. To facilitate to take the limit, we introduce $\tilde{z} = z/\Lambda$ in (5.2.1) and find

$$\frac{2(x - \mu)}{\tilde{z}} + \Lambda^3 \tilde{z} = x^2 - u. \quad (5.2.16)$$

Now the limit is easy to take: we just find

$$\frac{2(x - \mu)}{\tilde{z}} = x^2 - u. \quad (5.2.17)$$

Then it is clear that when $u = \mu^2$, the equation can be factorized to

$$(x - \mu)\left(x + \mu - \frac{2}{\tilde{z}}\right) = 0, \quad (5.2.18)$$

therefore it represents two sheets intersecting at a point. When $u \neq \mu^2$, two sheets are connected smoothly. The change is schematically shown in Fig. 5.9. We learned that the singularity at $u \sim \mu^2$ arises essentially from the structure $2\Lambda(x - \mu)/z$ in the curve.

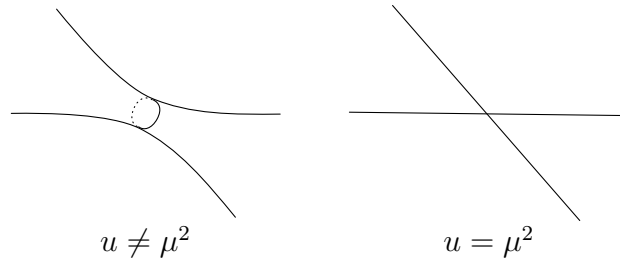


Figure 5.9: The schematic change in the Seiberg-Witten curve when $u \rightarrow \mu^2$.

5.3 Some notable features

Let us see how three singularities on the u -plane move as we change μ , by solving (5.2.11). An example is shown in Fig. 5.10. On the right, the path in the μ space is given. On the left, the three singularities for a given μ is shown with three dots colored by red, green and blue connected to a triangle. As μ moves along a circle with constant, large $|\mu|$, the quark point $u \sim \mu^2$ rotates

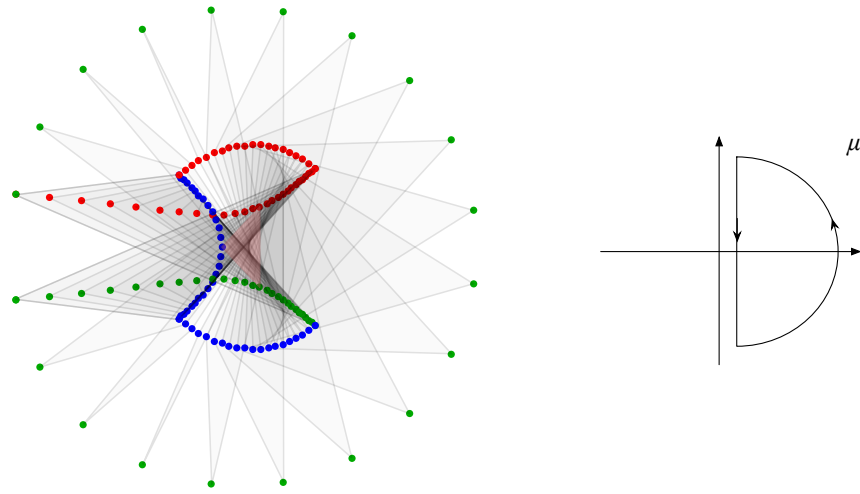


Figure 5.10: Motion of the singularities on the u -plane.

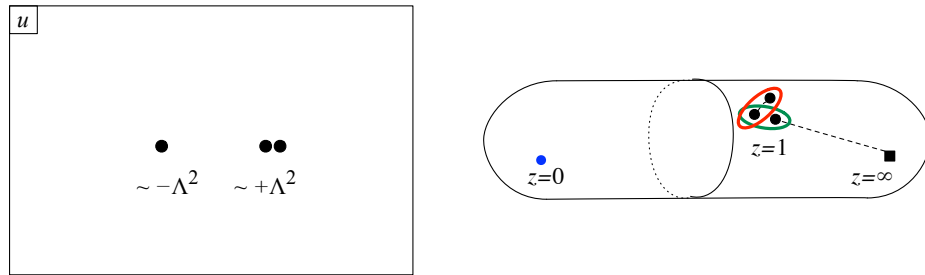


Figure 5.11: Two out of three singularities can collide on the u -plane. Then three branch points collide on the Gaiotto curve.

the u -plane once. At the same time, the monopole point and the dyon point of the effective pure $SU(2)$ theory rotates by 90 degrees, as we see from (5.2.14). Now we make $|\mu|$ decrease first; all three singularities come close to the origin of the u -plane. Finally, we make $|\mu|$ come back to the same semicircle again. As can be seen in the figure, this process exchanges the quark point and the monopole point. We learned that, using the strongly-coupled region, we can continuously change a quark into a monopole.

Finally, let us study the discriminant of the equation (5.2.11) itself, which is given by

$$\mu^3 - \frac{27}{8}\Lambda^3 = 0. \quad (5.3.1)$$

Take $\mu = 3\Lambda/2$ as an explicit choice. Then there is one singularity in the u -plane at $u = -15\Lambda^2/4$, and two singularities collide at $u = 3\Lambda^2$. In the curve, we find that the branch points of $x(z)$ consist of one at $z = \infty$ and three colliding at $z = -1$. See Fig. 5.11. From the curve, we immediately

see that $a = a_D = 0$, since the integration cycles shrink. Using the BPS mass formula, we see that both electrically charged particles and magnetically charged particles are simultaneously becoming very light. This is a rather unusual situation for an eye trained in the classical field theory. Semiclassically, the magnetically charged particles come from solitons, which are always parametrically heavier than the electrically charged particles which are quanta of elementary fields in the theory. We will study this system in more details Sec. 10.

6 Curves and 6d $\mathcal{N}=(2, 0)$ theory

We have seen that the low energy dynamics of the $SU(2)$ pure gauge theory and the $SU(2)$ gauge theory with one flavor can both be expressed in terms of the complex curves (4.3.1), (5.2.1). The aim of this section is to explain that these two-dimensional spaces can be given a physical interpretation. The presentation here is analogical rather than being logical, and anyone interested in more details should refer to the original articles e.g. [36, 37, 38] and to the reviews e.g. [39] or Sec. 3 of [40].

6.1 Strings with variable tension

Recall the BPS mass formula of the pure theory of a particle with electric charge n and magnetic charge m ,

$$M \geq |na + ma_D| = \left| \int_L \lambda \right| \quad (6.1.1)$$

where L is a cycle on the curve which goes around n times along the A direction and m times along the B direction. The basic idea we employ is to take this equation seriously: we regard the four-dimensional particle as arising from a string wrapped on the cycle L . Then λ is something like the tension of the string. In this section we introduce a factor of $2\pi i$ in the definition of λ , to lighten the equations.

To make this idea more concrete, suppose a six-dimensional theory which has strings as its excitation⁷, and assume this theory is on a two-dimensional space C times the four-dimensional Minkowski space $\mathbb{R}^{1,3}$. Further assume that the tension of the string depends on these extra-dimensional directions. Namely, let us assume that there is a locally-holomorphic one-form λ such that the tension of an infinitesimal segment of a string, parameterized by s , is given by

$$|\lambda| := \left| \frac{\lambda}{ds} \right| ds, \quad (6.1.2)$$

see the left hand side of Fig. 6.1. There, the two-dimensional space is taken to be a torus for definiteness.

⁷Some of the young readers who started learning string theory might wonder at this point: aren't relativistic Lorentz-invariant string theories only possible in 26 dimensions if bosonic, and in 10 dimensions if supersymmetric? The catch is that the standard arguments in the textbooks assume that the interaction among strings is perturbative.

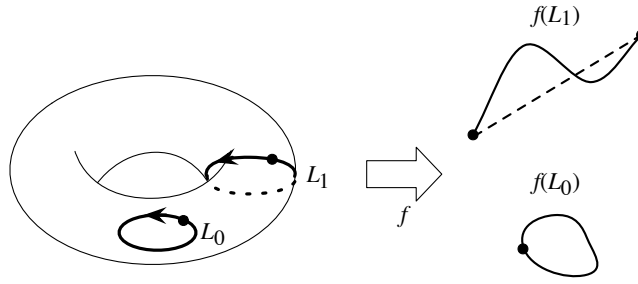


Figure 6.1: Variable-tension strings. Its tension is controlled by $f(s) = \int_{P_0}^s \lambda$.

A string looks like a particle from the point of view of the uncompactified four dimensions, and its mass is given by the integral of its variable tension:

$$M = \int_L |\lambda| \quad (6.1.3)$$

The right hand side can be bounded below using an integral version of the triangle inequality:

$$\int_L |\lambda| \geq \left| \int_L \lambda \right|. \quad (6.1.4)$$

The inequality can be visualized by considering the curve in the complex plane defined by

$$f(s) = \int_{P_0}^s \lambda \quad (6.1.5)$$

parameterized by s , where P_0 is a fixed point on the cycle L . Then the left hand side of (6.1.4) is the length of the parameterized curve $f(s)$, while the right hand side is the distance between the end-points of $f(s)$, see the right hand side of Fig. 6.1. Then clearly the former is longer than the latter, and the equality is attained only when the line $f(s)$ itself is a straight line. Or equivalently

$$\text{Arg} \frac{\lambda}{ds} = \text{constant}. \quad (6.1.6)$$

When the cycle L is topologically trivial, the image of the function $f(s)$ is itself a loop, and the right hand side of (6.1.4) is zero. When the cycle L is nontrivial, the image of the function $f(s)$ can be an open segment. As λ is holomorphic, the difference between the two ends of the segment only depends on the topology of the cycle L . Say L wraps the A -cycle n times and the B -cycle m times. Combining (6.1.3) and (6.1.4), we find

$$M \geq |na + ma_D| \quad (6.1.7)$$

where a, a_D are defined by the relation

$$a = \int_A \lambda, \quad a_D = \int_B \lambda. \quad (6.1.8)$$

This reproduces the BPS mass formula (6.1.1). We learned furthermore that the inequality is saturated only when (6.1.6) is satisfied. Therefore we regard (6.1.6) as the BPS equation for the string excitation.

6.2 Strings with variable tension from membranes

6.2.1 General idea

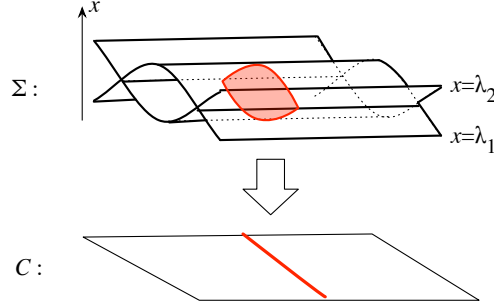


Figure 6.2: How the variable-tension string arises from higher dimensions.

One might say strings with variable tension is slightly weird. One way to realize this variation of the tension in a natural manner is to consider that the extra-dimensional space C which have two dimensions is further embedded in a four-dimensional ambient space X , and there are two sheets of Σ covering C separated in the additional directions of X . We then furthermore suppose that there is a membrane extending along two spatial directions plus one temporal direction, which can have ends on the sheets of Σ . The situation is depicted in Fig. 6.2. Let z be the coordinate of C , and X has complex coordinates (z, x) . Then two sheets of Σ define two functions $x_1(z)$ and $x_2(z)$. Then, a membrane with constant tension $dx \wedge d \log z$, suspended between two sheets, can be regarded as a string with variable string whose tension at a given value of z is given by

$$(\text{tension at } z) = \int_{x_2(z)}^{x_1(z)} dx \wedge d \log z = x_1 \frac{dz}{z} - x_2 \frac{dz}{z}. \quad (6.2.1)$$

Denoting $\lambda_i(z) = x_i dz/z$, we find that

$$(\text{tension at } z) = |\lambda(z)| \quad \text{where } \lambda(z) = \lambda_1(z) - \lambda_2(z). \quad (6.2.2)$$

In M-theory, there are indeed higher-dimensional objects with these properties. We consider an eleven dimensional spacetime of the form

$$\mathbb{R}^{3,1} \times X \times \mathbb{R}^3. \quad (6.2.3)$$

M-theory has six-dimensional objects called M5-branes. We put one M5-brane on

$$\mathbb{R}^{3,1} \times \Sigma \times \{0\} \quad (6.2.4)$$

where $\Sigma \subset X$ is the curve, and 0 is the origin of the additional \mathbb{R}^3 . This gives a four dimensional theory. M-theory also has three-dimensional objects called M2-branes, which can have ends on M5-branes. We can take one M2-brane on

$$\mathbb{R}^{0,1} \times \text{disc} \times \{0\} \quad (6.2.5)$$

where $\mathbb{R}^{0,1} \subset \mathbb{R}^{3,1}$ is the worldline of a particle in the four-dimensional spacetime, and the disc $\subset X$ has its boundary on Σ as depicted in Fig. 6.2.

It is also useful to regard the intermediate situation when we regard the system as a six-dimensional one on $\mathbb{R}^{3,1} \times C$. This six-dimensional theory is known as the 6d $\mathcal{N}=(2, 0)$ theory of type $SU(2)$.

6.2.2 Example: pure $SU(2)$ theory

Let us apply this higher-dimensional idea to the curve (4.3.1) of the pure $SU(2)$ theory concretely. For easy reference we reproduce the curve here:

$$\Sigma : \quad \Lambda^2 z + \frac{\Lambda^2}{z} = x^2 - u. \quad (6.2.6)$$

We consider Σ to be embedded in a four-dimensional space X . Given a point z on C , we find two x coordinates by solving the quadratic equation above, as depicted on the left hand side of Fig. 6.3. Let the solutions be $\pm x(z)$. As the point z moves on C , we they form two sheets of the curve Σ , see the right hand side of Fig. 6.3. The coordinate x always appear as a way to describe the one-form on C giving the tension, so it is convenient to multiply them always by dz/z , and say that two sheets have coordinates $\pm\lambda = x(z)dz/z$. We use with this convention from now on.

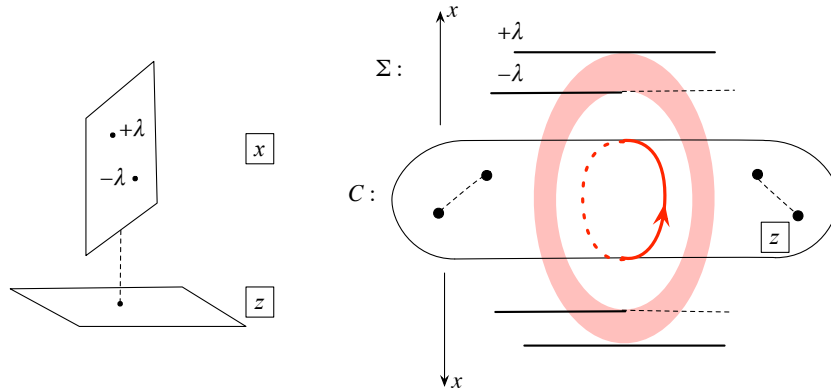


Figure 6.3: W-boson as a string and as a suspended membrane

We can now consider a ring-shaped membrane suspended between the two sheets over the A cycle, see Fig. 6.3. Note that the tension as a string on C is 2λ , and the mass is given by

$$M \geq |2 \int_A \lambda| = |2a|. \quad (6.2.7)$$

We can minimize the tension by solving (6.1.6), which give rise to a configuration with the mass

$$M = |2a|. \quad (6.2.8)$$

Note that this has the correct mass to be a W-boson, which has electric charge $n = 2$ in our normalization, which is for the triplets of $SU(2)$. It is also to be noted that there is no way to have a membrane whose mass is given by

$$M' = |a|, \tag{6.2.9}$$

because there is simply no way to suspend the membrane to have just one ends over the A -cycle. Therefore, this higher-dimensional reasoning has more explanatory power than just regarding the curve Σ as an auxiliary object producing the holomorphic functions $a(u)$ and $a_D(u)$ with the correct monodromy properties. This procedure knows that there is no dynamical particle with electric charge $n = 1$ in this system.

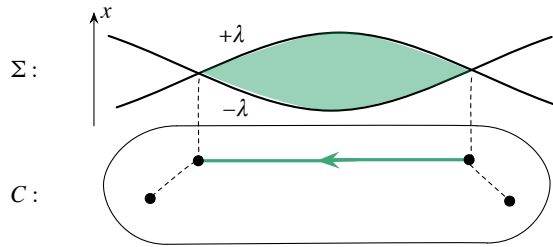


Figure 6.4: Monopole as a string and as a suspended membrane

Next, we can consider a disc-shaped membrane suspended between the sheets of Σ so that they have endpoints over the branch points z_+, z_- of C , see Fig. 6.4. By a similar reasoning as above, the mass of this membrane is

$$M = 2 \left| \int_{z_-}^{z_+} \lambda \right| = \left| \int_B \lambda \right| = |a_D|. \tag{6.2.10}$$

This is a correct mass formula for the monopole, whose magnetic charge is $m = 1$. In terms of a variable-tension string on C , it is to be noted that this corresponds to an open string, ending at the points where the tension 2λ becomes zero.

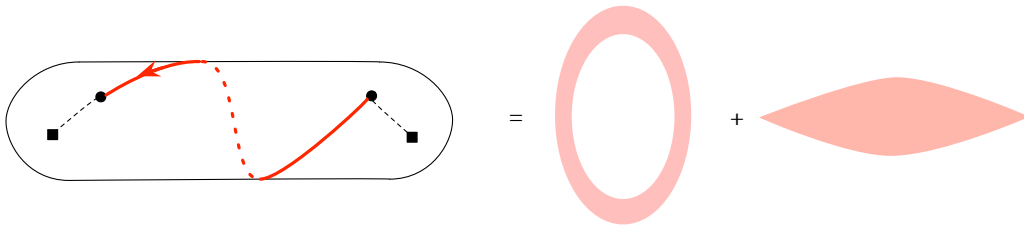


Figure 6.5: Dyon as a string and as a suspended membrane. Note that it automatically has the charge $a_D + 2a$, not $a_D + a$.

We can also connect the two branch points z_{\pm} by going around the phase direction of z , as shown in Fig. 6.5. As shown there, the membrane is topologically the sum of the two configurations

considered so far, and we find that the mass of this configuration is

$$M = |2a + a_D|. \quad (6.2.11)$$

This is the correct mass formula for the dyon, with the electric charge n and the magnetic m given by $(n, m) = (2, 1)$. By going around n times when we connect the branch points, we see that there are dyons with mass $|2na + a_D|$ for integral n . We also see there is no way to connect the branch points to have dyons with mass $|(2n + 1)a + a_D|$, which is compatible with the field theory analysis in Sec. 1.3.

6.3 Self-duality of the 6d theory

Now we found that a single type of objects, the membrane of M-theory or equivalently the string of 6d $\mathcal{N}=(2, 0)$ theory, gives rise to both electrically charged objects such as W-bosons and magnetically charged objects such as monopoles, see Fig. 6.3 and Fig. 6.4. To get a handle of this property, let us first recall basic features of charged particles in four dimensions, see Fig. 6.6.

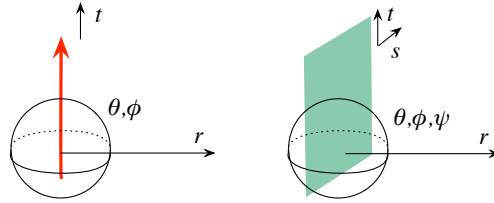


Figure 6.6: Charged things in 4d and 6d.

In a first-quantized framework, an electric particle sitting at the origin of the space, extending along the time direction t , couples to the electromagnetic potential via

$$\int_{\text{worldline}} A \quad (6.3.1)$$

which creates a nonzero electric field $F_{tr} \neq 0$ where

$$F = dA \quad (6.3.2)$$

and r is the radial direction. The equations of motion are

$$dF = d \star F = 0 \quad (6.3.3)$$

outside of the worldline. Note that in four dimensional Lorentzian space, we have $\star^2 = -1$ acting on two-forms. Therefore we cannot impose the condition $\star F = F$.

Let us consider a theory described by a two-form B in six dimensions, to which a string couples via the term

$$\int_{\text{worldsheet}} B. \quad (6.3.4)$$

Let us say that the string extends along the spatial direction s and the time direction t . This configuration creates a nonzero electric field G_{tsr} , where r is again the radial direction. The equations of motion are

$$dG = d \star G = 0 \quad (6.3.5)$$

outside of the worldsheet. Here \star is the six-dimensional Hodge star operation, given by

$$(\star G)_{\mu\nu\rho} = \epsilon_{\mu\nu\rho\alpha\beta\gamma} G^{\alpha\beta\gamma}. \quad (6.3.6)$$

In six dimensions with Lorentzian signature, $\star^2 = 1$ acting on three-forms, so we can demand the equations of motion of the form

$$dG = 0, \quad G = \star G. \quad (6.3.7)$$

Then a worldsheet extending along the directions t and s has both nonzero electric field G_{tsr} and nonzero magnetic field $G_{\theta\phi\psi}$ at the same time.

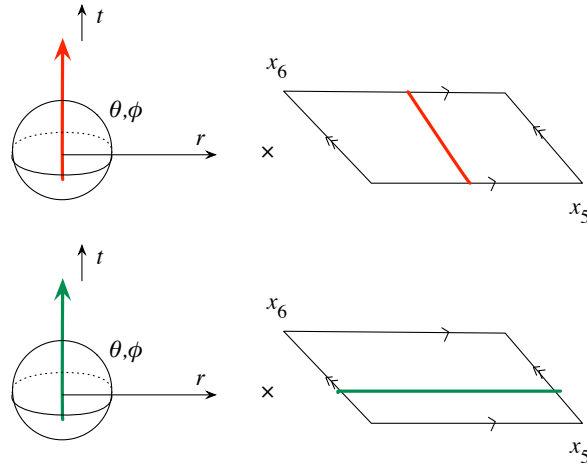


Figure 6.7: Electric and magnetic particles from a single type of objects in 6d

Now, let us put this theory on a two-torus with coordinates $x_{5,6}$, and consider strings wrapped along each of the directions, as shown in Fig. 6.7. Denote the 6d three-form field-strength by G_{ABC} , where A, \dots are indices for six-dimensional spacetime. We can extract four-dimensional two-forms by considering

$$F_{\mu\nu} := G_{6\mu\nu}, \quad F_{D\ \mu\nu} := G_{5\mu\nu}. \quad (6.3.8)$$

The 6d self-duality $G = \star_6 G$ translates to the equality

$$F_D = \star_4 F. \quad (6.3.9)$$

Therefore, the single self-dual two-form field in 6d gives rise to a single $U(1)$ field strength.

Now, the string wrapped around x_6 has nonzero G_{6tr} and $G_{5\theta\phi}$, and therefore it has nonzero F_{tr} . Therefore this becomes an electric particle in four dimensions. Similarly, the string wrapped

around x_5 has nonzero G_{5tr} and $G_{6\theta\phi}$. Therefore it has nonzero $F_{\theta\phi}$, meaning that it is a magnetic particle in four dimensions.

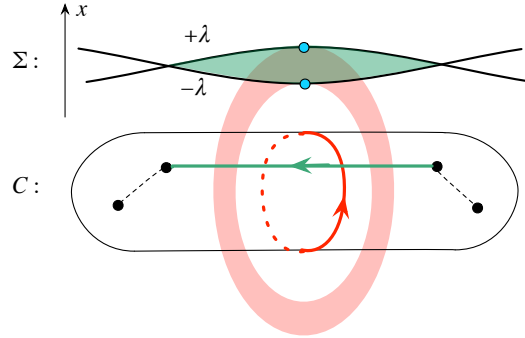


Figure 6.8: The boundaries of the membranes for a W-boson and a monopole intersect at two points.

In the concrete situation of the pure $SU(2)$ theory, W-bosons and monopoles arise from the membranes as shown in Fig. 6.8. We see that the boundaries of the membrane for a W-boson and the boundary of the membrane for a monopole intersect at two points. In general, the Dirac pairing as particles in the four-dimensional spacetime can be found in this way by counting the number of intersections, once signs given by the orientation are included.

6.4 Intermediate 5d Yang-Mills theory and its boundary conditions

6.4.1 Five-dimensional maximally-supersymmetric Yang-Mills

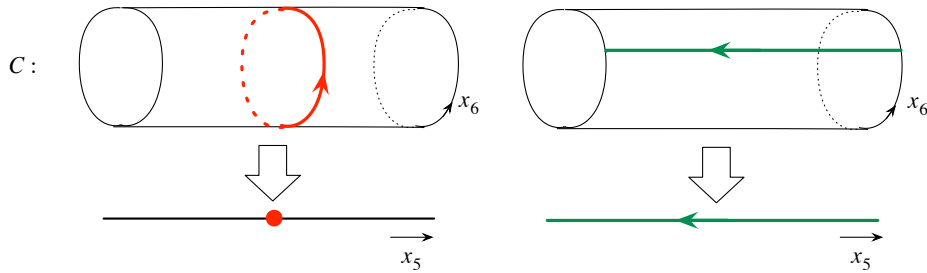


Figure 6.9: 5d maximally supersymmetric Yang-Mills from 6d. A W-boson and a monopole-string are depicted there.

We have so far considered the situations where we put the six-dimensional theory on a two-dimensional space, with coordinates x_5 and x_6 , say. We can take a limit where the x_5 direction is much larger than the x_6 direction. Then we can first compactify along the x_6 direction and consider an intermediate five-dimensional theory, see Fig. 6.9. This is believed to give the maximally supersymmetric 5d Yang-Mills theory with gauge group $SU(2)$.

A string wrapped around the x_6 direction gives rise to a massive electric particle, and a string not wrapped around the x_6 direction becomes a massive magnetic string. This agrees with a basic feature of the 5d Yang-Mills theory where $SU(2)$ is broken to $U(1)$: First, we have massive W-bosons which are electric. Second, the standard monopole solutions of 4d gauge theory can be regarded as a solution in 5d gauge theory, by declaring that there is no dependence of the fields on the additional fifth direction. Then the solutions should be now regarded as representing a magnetic-monopole-string.

6.4.2 $\mathcal{N}=4$ super Yang-Mills

By imposing periodic boundary condition in the x^5 direction, we have the situation of Fig. 6.10. We are compactifying the maximally supersymmetric Yang-Mills in five dimensions on S^1 . We therefore should obtain 4d $\mathcal{N}=4$ super Yang-Mills theory.

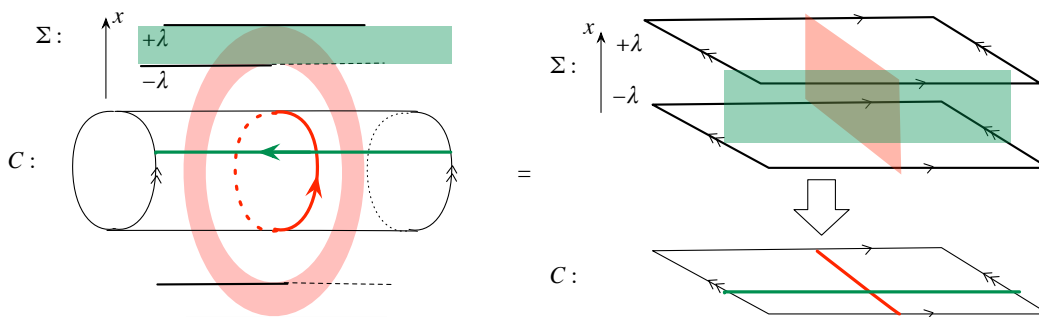


Figure 6.10: $\mathcal{N}=4$ SYM from 6d. A W-boson and a monopole are depicted there.

The Gaiotto curve C itself is now a torus T^2 . Let the complex structure of this T^2 be τ . The Seiberg-Witten curve Σ consists of two parallel copies of this torus embedded in X , separated by 2λ in the x direction, where λ is now a constant.

We can consider a cycle $L_{n,m}$ in C , wrapping n times in the x_6 direction and m times in the x_5 directions. Then we can consider a ring-shaped membrane over this cycle, which gives rise to particles of masses

$$M_{n,m} = 2|na + ma_D| \quad (6.4.1)$$

where

$$a = \int_A \lambda, \quad a_D = \int_B \lambda = \tau a. \quad (6.4.2)$$

The particles with $(n, m) = (1, 0)$ are W-bosons, and the particles with $(n, m) = (0, 1)$ are monopoles. The peculiar feature of this theory is that the monopoles and the W-bosons both come from ring-shaped membranes. In fact, from the 6d point of view, the distinction of the two directions of the torus is completely arbitrary. Then this theory with a given value of $\tau = \tau_0$, and the theory with another value of $\tau = -1/\tau_0$ are the same after the exchange of the W-bosons and the monopoles.

Indeed they match the property of the $\mathcal{N}=4$ supersymmetric SU(2) Yang-Mills. This theory is conformal and has an exactly marginal coupling τ . In the semi-classical region, the ratio of the mass of the monopole to that of the W-boson is $|\tau|$. The $\mathcal{N}=4$ supersymmetric SU(2) Yang-Mills has four Weyl fermions in the adjoint representation. The semiclassical quantization of the monopole solution in this situation, as was recalled briefly in Sec. 1.3, makes the monopole states into a massive $\mathcal{N}=4$ vector multiplet. This makes it possible to exchange it with the W-boson, which is also in a massive $\mathcal{N}=4$ vector multiplet. In general we expect that there is a massive $\mathcal{N}=4$ vector multiplet with mass $|na + ma_D|$, for any coprime pair of integers (n, m) . This should arise from a semi-classical quantization of charge- m monopole background. This is the celebrated conjecture of Sen [41].

6.4.3 $\mathcal{N}=2$ pure SU(2) theory and the $N_f = 1$ theory

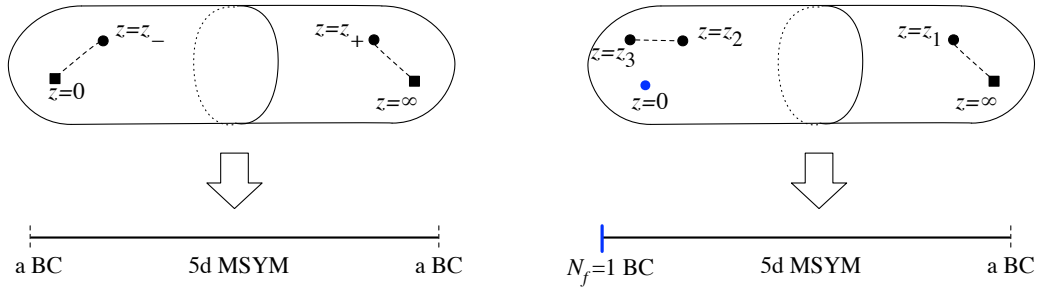


Figure 6.11: Pure and $N_f = 1$ SU(2) theories via 5d construction.

The curve of the pure $\mathcal{N}=2$ SU(2) theory

$$\frac{\Lambda^2}{z} + \Lambda^2 z = x^2 - u \quad (6.4.3)$$

and the curve of the $\mathcal{N}=2$ SU(2) theory with one flavor

$$\frac{2\Lambda(x - \mu)}{z} + \Lambda^2 z = x^2 - u \quad (6.4.4)$$

can be given a similar interpretation. The point is to take $x_5 = \log |z|$ and $x_6 = \text{Arg } z$, and compactify along the x_6 direction first, see Fig. 6.11.

Let us first consider the pure theory. The term on the left hand side, Λ^2/z , should be regarded as a boundary condition ‘terminating’ the fifth direction x_5 , although $x_5 = \log |z|$ formally extends to $-\infty$. The bulk of the five dimensional theory is maximally supersymmetric. The resulting four-dimensional theory is $\mathcal{N}=2$, and therefore the boundary breaks half of the supersymmetry, without doing much other than that. A boundary condition which preserves half of the original supersymmetry is called a half-BPS boundary condition. Then we see that the term Λ/z represents a half-BPS boundary condition of the 5d theory.

The term $\Lambda^2 z$ is obtained by the flip $x_5 \leftrightarrow -x_5$, and therefore should represent the same boundary condition. In the end, we see that the system is a compactification of the maximally supersymmetric SU(2) Yang-Mills on a segment, terminated by two boundary conditions breaking half of the supersymmetry, realizing 4d pure SU(2) Yang-Mills.

Next, let us consider the one-flavor theory. The term $\Lambda^2 z$ is the same as the pure case, so it should give the same half-BPS boundary condition. The boundary condition at $z \sim 0$ is different: now we have a term of the form $\Lambda(x - \mu)/z$. This should mean that one hypermultiplet with the mass μ in the doublet of SU(2) lives on this boundary, coupling to the bulk five-dimensional gauge multiplets.

6.4.4 The SU(2) theories with $N_f = 2, 3, 4$

From this interpretation, it is easy to get the 6d realization of SU(2) theory with $N_f = 2, 3, 4$ flavors, namely the theory with $N_f = 2, 3, 4$ hypermultiplets in the doublet representation. In terms of $\mathcal{N}=1$ chiral multiplets, we have (Q_i^a, \tilde{Q}_a^i) for $a = 1, 2$ and $i = 1, \dots, N_f$, with the superpotential

$$\sum_i \left(Q_i \Phi \tilde{Q}^i + \mu_i Q_i \tilde{Q}^i \right) \quad (6.4.5)$$

where μ_i are mass terms.

Let us start with the $N_f = 2$ theory. We know how to introduce one hypermultiplet in the doublet at the boundary on the side $z = 0$. To do the same on the side $z = \infty$, we just a change of variables $z \leftrightarrow 1/z$. We end up with the setup shown on the left-hand side of Fig. 6.12, with the curve given by

$$\frac{2\Lambda(x - \mu_1)}{z} + 2\Lambda(x - \mu_2)z = x^2 - u \quad (6.4.6)$$

with the Seiberg-Witten differential $\lambda = xdz/z$.

The same curve can be rewritten using another variable $z' = (x - \mu_2)z/(2\Lambda)$:

$$\frac{(x - \mu_1)(x - \mu_2)}{z'} + 4\Lambda^2 z' = x^2 - u. \quad (6.4.7)$$

But now we can consider $x'_5 = \log |z'|$, $x'_6 = \text{Arg } z'$ to reduce first to a theory on C' parameterized by z' , and then to a five-dimensional theory on a segment parameterized by $|z'|$. In this interpretation, the boundary condition on the $z' = \infty$ side is the same one in the pure SU(2) case. Therefore, the boundary condition on the $z' = 0$ side given by the term $(x - \mu_1)(x - \mu_2)/z$ should be the half-BPS condition such that two hypermultiplets in the doublet of SU(2) live on the boundary.

The description of the system is not complete until we give the one-form λ describing the variable tension. In (6.4.6) it is $2\pi i \lambda = xdz/z$ and in (6.4.7) it is $2\pi i \lambda' = xdz'/z'$. Both are obtained by integrating $dx \wedge d \log z = dx \wedge d \log z'$, see (6.2.1). The two differentials are not quite equal, however:

$$\lambda' - \lambda = \frac{1}{2\pi i} x d \log \frac{z'}{z} = \frac{1}{2\pi i} x d \log(x - \mu_2). \quad (6.4.8)$$

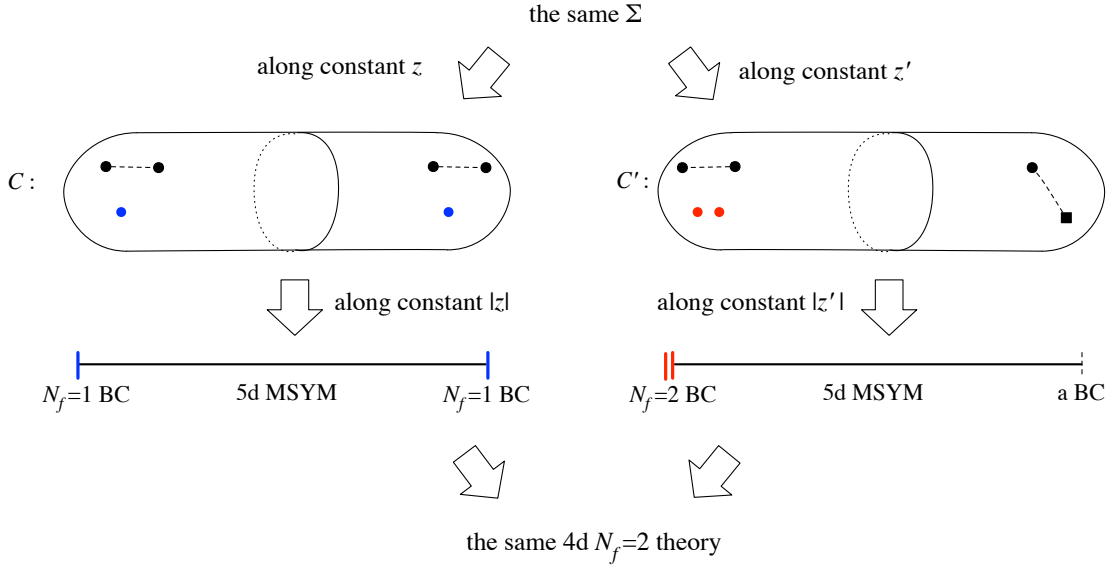


Figure 6.12: $N_f = 2$ theory.

The difference is independent of u , and its non-zero residue is at μ_2 at $x = \mu_2$. This means that, given a cycle L on the Seiberg-Witten curve Σ , we have

$$\oint_L \lambda' - \oint_L \lambda = k\mu_2 \quad (6.4.9)$$

where k is an integer. Recall that the BPS mass formula is governed by the expansion

$$\oint_L \lambda = na + ma_D + f_1\mu_1 + f_2\mu_2 \quad (6.4.10)$$

where $f_{1,2}$ are flavor charges, see (2.3.9). Therefore, the choice between the two Seiberg-Witten differentials λ and λ' affects the mapping of the flavor charge f_2 and the cycle L , but not much else. In general, a change in the Seiberg-Witten differential by a form which is independent of u and whose residues are integral linear combinations of the hypermultiplet masses are safe. We will encounter them repeatedly later.

Now that we have a boundary condition representing the existence of two doublet hypermultiplets, it is easy to guess the curve of the $N_f = 3$ theory and $N_f = 4$ theory. We just have to combine various boundary conditions which we already found, as in Fig. 6.13. For the $N_f = 3$ theory we find

$$\frac{(x - \mu_1)(x - \mu_2)}{z} + \Lambda(x - \mu_3)z = x^2 - u, \quad (6.4.11)$$

and for the $N_f = 4$ theory we find

$$f \cdot \frac{(x - \mu_1)(x - \mu_2)}{z} + f' \cdot (x - \mu_3)(x - \mu_4)z = x^2 - u \quad (6.4.12)$$

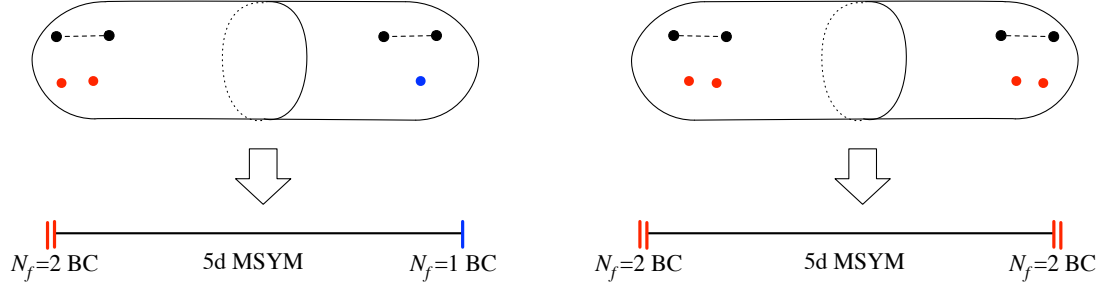


Figure 6.13: $N_f = 3$ theory and $N_f = 4$ theory.

where we put complex numbers f and f' . One of them can be eliminated by a rescaling of z .

Our next task is to check that the curves thus obtained via the 6d construction have the correct properties to describe the respective four-dimensional theories. Before proceeding, we need to learn more about the Higgs branch of $\mathcal{N}=2$ theories in general.

7 Higgs branches and hyperkähler manifolds

So far we only consider the branch of the moduli space of the supersymmetric vacua where the scalar Φ in the vector multiplet is nonzero, and all the hypermultiplets are zero. Instead let us consider a branch where $\Phi = 0$, but the hypermultiplet scalars are nonzero. This branch is called the Higgs branch.

7.1 General structures of the Higgs branch Lagrangian

First, recall a general $\mathcal{N}=1$ theory containing only scalars and fermions. Such a theory can be described by the Lagrangian

$$\int d^4 K(\bar{\Phi}_{\bar{j}}, \Phi_i) = g_{i\bar{j}} \partial_\mu \phi^i \partial_\nu \bar{\phi}^{\bar{j}} + \dots \quad (7.1.1)$$

where

$$g_{i\bar{j}} = \frac{\partial^2 K}{\partial \phi_i \partial \bar{\phi}_{\bar{j}}}. \quad (7.1.2)$$

This defines a Kähler manifold. In particular, the manifold is naturally a complex manifold. This fact is almost implicit in our formalism, since the chiral multiplets are by definition complex valued. It is instructive why this was so: we have the basic supersymmetry transformation

$$\delta_\alpha \phi = \psi_\alpha, \quad \delta_\alpha^\dagger \psi_\alpha = i \sigma_{\alpha\dot{\alpha}}^\mu \partial_\mu \phi \quad (7.1.3)$$

A convention independent fact is that $\delta_\alpha \delta_{\dot{\alpha}}$ acting on a complex scalar involves a multiplication by i . In terms of the real and imaginary parts of ϕ , we can schematically write this fact as

$$\delta_\alpha^\dagger \delta_\alpha \begin{pmatrix} \text{Re } \phi \\ \text{Im } \phi \end{pmatrix} = \sigma_{\alpha\dot{\alpha}}^\mu \partial_\mu I \begin{pmatrix} \text{Re } \phi \\ \text{Im } \phi \end{pmatrix} \quad (7.1.4)$$

where the matrix

$$I = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad (7.1.5)$$

has the property $I^2 = -1$. This is the crucial matrix defining the complex structure of the scalar manifold of an $\mathcal{N}=1$ theory.

Now, let us consider an $\mathcal{N}=2$ theory consisting of scalars and fermions only. Note that this means that there are no $\mathcal{N}=2$ vector multiplets. This theory has two sets of $\mathcal{N}=1$ supersymmetries $\delta_\alpha^{i=1,2}$. In addition,

$$\delta_\alpha^{(c)} := c_1 \delta_\alpha^1 + c_2 \delta_\alpha^2 \quad (7.1.6)$$

also generates an $\mathcal{N}=1$ sub-supersymmetry when $|c_1|^2 + |c_2|^2 = 1$. Applying the argument in the last paragraph for this $\mathcal{N}=1$ subalgebra, we find that there are matrices

$$I^{(c)} = I_a n^a, \quad n^a = (\bar{c}_1, \bar{c}_2) \sigma^a \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} \quad (7.1.7)$$

which always satisfy

$$(I^{(c)})^2 = -1. \quad (7.1.8)$$

Note that n^a are real and $|n^1|^2 + |n^2|^2 + |n^3|^2 = 1$, i.e. they are on S^2 . Denoting $(I, J, K) := (I_1, I_2, I_3)$ for simplicity and expanding (7.1.8), one finds the relations

$$I^2 = J^2 = K^2 = -1, \quad IJ = K = -JI, \quad JK = I = -KJ, \quad KI = J = -IK. \quad (7.1.9)$$

This commutation relation of I, J and K is that of a quaternion. A manifold with an action of quaternion algebra on its tangent space is called a hyperkähler manifold. Therefore we found that the scalar manifold of an $\mathcal{N}=2$ theory without massless vector multiplets is hyperkähler.

Note that the $SU(2)_R$ symmetry acts on the doublet (c_1, c_2) , which is restricted to live on the three-sphere $|c_1|^2 + |c_2|^2 = 1$. The map (7.1.7) from this (c_1, c_2) to n^a is the standard Hopf fibration $S^3 \rightarrow S^2$, and the index a transforms as the triplet of $SU(2)_R$.

Combining with the analysis in Sec. 2.4, we see that general low-energy $\mathcal{N}=2$ theory has an action of the form

$$\int d^2\theta \frac{-i}{8\pi} \tau^{ij} W_{\alpha i} W_j^\alpha + cc. + \int d^4K_v(\bar{a}_j, a_i) + \int d^4\theta K_h(\bar{q}_l, q_s) \quad (7.1.10)$$

such that $K_h(\bar{q}_l, q_s)$ gives a hyperkähler manifold and that there is a prepotential $F(a_i)$ giving τ^{ij} and K_v via the standard formulas (2.4.7), (2.4.8) and (2.4.9).

Note that the hypermultiplet side and the vector multiplet side are completely decoupled. The dependence on the UV gauge coupling is implicitly there in the vector multiplet side. This means that the hypermultiplet side cannot receive quantum corrections depending on the gauge coupling.

7.2 Hypermultiplets revisited

Let us revisit the structure of the full and half hypermultiplets introduced in Sec. 2.1.2 from the viewpoints here. First, let us recall the types of irreducible representations of compact groups:

$$\begin{aligned} &\text{complex} \quad \text{if } R \not\cong \bar{R}; \\ &\text{real} \quad \text{if } R \cong \bar{R}: \quad \begin{cases} \text{strictly real} & \text{if the invariant tensor } \delta_{ij} \text{ is symmetric,} \\ \text{pseudo-real} & \text{if the invariant tensor } \epsilon_{ij} \text{ is antisymmetric.} \end{cases} \end{aligned} \quad (7.2.1)$$

In a non-supersymmetric theory with a number of real scalars ϕ^i , $i = 1, \dots, n$, they can have an action of the flavor symmetry F or the gauge symmetry group G if there are real $n \times n$ matrices T^a , $a = 1, \dots, \dim G$ representing the Lie algebra of G :

$$F, G \curvearrowright \mathbb{R}^n. \quad (7.2.2)$$

This representation clearly has an invariant symmetric tensor δ_{ij} as it acts on n real scalars with a kinetic term $\delta_{ij} \partial_\mu \phi^i \partial_\mu \phi^j$. The representation is therefore strictly real.

In an $\mathcal{N}=1$ supersymmetric theory with a number of real scalars ϕ^i , $i = 1, \dots, n$ with the same number of Weyl fermions, the supersymmetry requires existence of a matrix I with $I^2 = -1$. The actions of the flavor symmetry F and the gauge symmetry G need to commute with this matrix I :

$$F, G \curvearrowright \mathbb{R}^n \curvearrowright I. \quad (7.2.3)$$

We can declare that a complex number $a + bi$ acts on the real scalars by the matrix $a + bI$. Then the space of scalars becomes a complex vector space, and the symmetries act on them preserving the complex structure. So there are $m = n/2$ chiral multiplets Φ^s , $s = 1, \dots, m$, and both F and G are represented on them in terms of $m \times m$ complex matrices representing their Lie algebras. We can summarize the situation in the following way:

$$F, G \curvearrowright \mathbb{C}^m. \quad (7.2.4)$$

In an $\mathcal{N}=2$ supersymmetric theory with a number of real scalars ϕ^i , $i = 1, \dots, n$ with the same number of Weyl fermions, the supersymmetry requires existence of matrices I, J, K with the commutation relations (7.1.9). The actions of the flavor symmetry F and the gauge symmetry G need to commute with I, J, K :

$$F, G \curvearrowright \mathbb{R}^n \curvearrowright I, J, K. \quad (7.2.5)$$

We can declare that a quaternion $a + bi + cj + dk$ acts on the real scalars by the matrix $a + bI + cJ + dK$. Then the space of scalars becomes a quaternionic vector space, and the symmetries act on them preserving the quaternion structure. This requires n to be automatically a multiple of four, $n = 4\ell$. Both F and G are represented on them in terms of $\ell \times \ell$ quaternion matrices representing their Lie algebras. Summarizing, we have

$$F, G \curvearrowright \mathbb{H}^\ell \quad (7.2.6)$$

where \mathbb{H} is the skew-field of quaternions.

As quaternions are not quite common among physicists, we usually just use $a + bI$ to think of the real scalars as complex scalars. Then we have a complex vector space of dimension 2ℓ , and we have 2ℓ complex scalars Φ^s , $s = 1, \dots, 2\ell$, acted on by the flavor symmetry F and the gauge symmetry G in a complex representation \tilde{R} . The matrix $J + iK$ then determines an $2\ell \times 2\ell$ antisymmetric matrix ϵ_{st} , which is invariant under the action of F and G . This means that \tilde{R} is a pseudoreal representation:

$$F, G \curvearrowright \mathbb{C}^{2\ell} \curvearrowleft \epsilon_{st} \quad (7.2.7)$$

This is the half-hypermultiplet in representation \tilde{R} , introduced briefly at the end of Sec. 2.1.2.

From this point of view, a half-hypermultiplet is more elementary than a full hypermultiplet, which is given as follows. Take an arbitrary complex representation R of $F \times G$ of dimension m . Let $i = 1, \dots, m$ its index. We have an invariant tensor $\delta_{i\bar{j}}$. Let $\tilde{R} = R \oplus \bar{R}$. It has an index $s = 1, \dots, n, \bar{1} \dots, \bar{n}$, and automatically has an antisymmetric invariant tensor

$$\epsilon_{st}, \quad \epsilon_{ij} = \epsilon_{i\bar{j}} = 0, \quad \epsilon_{i\bar{j}} = \delta_{i\bar{j}} = -\epsilon_{\bar{j}i}. \quad (7.2.8)$$

Then the half-hypermultiplet based on this representation \tilde{R} is the full hypermultiplet in the representation R .

Concretely, consider four real scalars. This system has a natural symmetry $\text{SO}(4) \simeq \text{SU}(2)_l \times \text{SU}(2)_r$. Add two Weyl fermions, with a natural symmetry $\text{SU}(2)_l$. Then the total system has an $\mathcal{N}=2$ supersymmetry with the $\text{SU}(2)_R$ symmetry of the $\mathcal{N}=2$ algebra is the $\text{SU}(2)_r$ acting on the scalars. The symmetry $\text{SU}(2)_L$ can be used as either a flavor or a gauge symmetry. This whole system consists of just one full hypermultiplet, or one half-hypermultiplet in the $\text{SU}(2)_l$ doublet.

Next, let $i = 1, \dots, n$ and $a = 1, \dots, m$ the indices for $\text{U}(n)$ and $\text{U}(m)$ symmetries, respectively. Then, $\mathcal{N}=1$ chiral multiplets $Q_{i\bar{a}}, \tilde{Q}_{\bar{i}a}$ form an $\mathcal{N}=2$ hypermultiplet, in the bifundamental representation of $\text{U}(n) \times \text{U}(m)$. When $\text{U}(n)$ is regarded as a gauge symmetry, $\text{U}(m)$ becomes the flavor symmetry.

Another typical construction is to take $i = 1, \dots, 2n$ to be the index for $\text{Sp}(n)$ symmetry and $a = 1, \dots, m$ to be that for $\text{SO}(m)$ symmetry. Consider $\mathcal{N}=1$ chiral multiplets Q_{ia} . Regard the pair of indices ia as a single index $s = (ia)$, running from 1 to $2nm$. This system has an antisymmetric invariant tensor $\epsilon_{st} = \epsilon_{(ia)(jb)} = J_{ij}\delta_{ab}$, thus they make up a hypermultiplet with the symmetry $\text{Sp}(n) \times \text{SO}(m)$, commuting with the superalgebra. When $\text{Sp}(n)$ is made into a gauge symmetry, $\text{SO}(m)$ becomes the flavor symmetry, and vice versa. This explains the fact that when there are n hypermultiplets in the vector representation of gauge $\text{SO}(m)$, we have $\text{Sp}(n)$ flavor symmetry, and when there are m half-hypermultiplets in the fundamental representation of gauge $\text{Sp}(n)$, we have $\text{SO}(m)$ flavor symmetry.

7.3 The hyperkähler quotient

Let us come back to the study of the Higgs branch. The equations defining it were given in Sec. 2.2 for the case of $\text{SU}(N)$ gauge theory with N_f flavors, see (2.2.3) and (2.2.6). Let us write them down for the general case.

Consider an $\mathcal{N}=2$ gauge theory with gauge group G and a hypermultiplet (Q^i, \tilde{Q}_i) in the representation R . Here the index $i = 1, \dots, \dim R$ is for the hypermultiplet and we use the index $a = 1, \dots, \dim G$ for the adjoint representation. The Higgs branch is given by

$$\left\{ \begin{array}{l} (Q^i Q_j^\dagger - \tilde{Q}^{\dagger i} \tilde{Q}_j) T_i^{aj} = 0 \\ \text{Re } Q^i \tilde{Q}_j T_i^{aj} = 0 \\ \text{Im } Q^i \tilde{Q}_j T_i^{aj} = 0 \end{array} \right\} / \text{(identification by the gauge group)} \quad (7.3.1)$$

where T_i^{aj} is the matrix of the algebra of G in the representation R .

There is no massless vector multiplet remaining in the generic point of the Higgs branch. From the general analysis in the preceding sections, we know that they form a hyperkähler manifold. The construction (7.3.1) is known as the hyperkähler quotient construction in the literature both in mathematics and in physics, and found originally in [42]. The real dimension of any hyperkähler manifold is always a multiple of four. Let us check this in this situation. Suppose the original hypermultiplets consist of $4m$ real scalars. The D-term condition imposes $\dim G$ real constraints, for each I, J and K . Then we make the identification by the action of G . Therefore we have

$$4m - 3 \dim G - \dim G = 4(m - \dim G) \quad (7.3.2)$$

real dimensions after the quotient.

If we are only interested in the holomorphic structure, we can drop the D -term equation and instead perform the identification by the complexified gauge group

$$\left\{ Q^i \tilde{Q}_j T_i^{aj} = 0 \right\} / \text{(identification by the complexified gauge group)}. \quad (7.3.3)$$

Note that this is a more natural form in the $\mathcal{N}=1$ superfield formulation, if we do not put the vector superfield into the Wess-Zumino gauge. We start from $2m$ complex scalars. We then impose $\dim G$ complex constraints and then perform the identification by the action of $G_{\mathbb{C}}$, the complexified gauge group, removing $\dim G$ complex dimensions. We end up with

$$2m - \dim G - \dim G = 2(m - \dim G) \quad (7.3.4)$$

complex scalars in the quotient. This is compatible with what we just found in (7.3.2). If we count the quaternionic dimension, we just have the formula

$$m - \dim G. \quad (7.3.5)$$

7.3.1 $U(1)$ gauge theory with one charged hypermultiplet

Let us consider two examples. First, take an $\mathcal{N}=2$ $U(1)$ gauge theory with two hypermultiplets (Q_i, \tilde{Q}^i) with charge ± 1 . Here $i = 1, 2$. We have $m = 2$ and $\dim G = 1$ in the expressions above, so we expect a complex two-dimensional Higgs branch. First, let us determine the Higgs branch explicitly. The F-term equation is

$$Q_1 \tilde{Q}^1 + Q_2 \tilde{Q}^2 = 0. \quad (7.3.6)$$

Then we have

$$(Q_1, \tilde{Q}^1) = (z, \tilde{z}t), \quad (Q_2, \tilde{Q}^2) = (\tilde{z}, -zt) \quad (7.3.7)$$

for some complex numbers z , \tilde{z} and t . Then the D-term equation $|Q_1|^2 + |Q_2|^2 - |\tilde{Q}^1|^2 - |\tilde{Q}^2|^2 = 0$ says

$$|z|^2 + |\tilde{z}|^2 = |t|^2(|z|^2 + |\tilde{z}|^2). \quad (7.3.8)$$

Therefore we see $|t| = 1$. We can use the $U(1)$ gauge rotation to eliminate t almost completely, by demanding

$$\text{Arg } z = \text{Arg}(\tilde{z}t). \quad (7.3.9)$$

This still does not fix the $U(1)$ gauge transformation given by the multiplication by -1 on Q_i, \tilde{Q}^i , sending the pair (z, \tilde{z}) to $(-z, -\tilde{z})$. We conclude that the Higgs branch is given by

$$\mathbb{C}^2/\mathbb{Z}_2 = \{(z, \tilde{z}) \in \mathbb{C}^2\}/(z, \tilde{z}) \leftrightarrow (-z, -\tilde{z}). \quad (7.3.10)$$

A not-quite-accurate schematic description is given in Fig. 7.1.

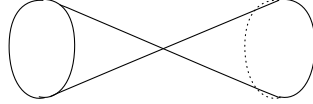


Figure 7.1: Not a very accurate depiction of $\mathbb{C}^2/\mathbb{Z}_2$

Let us use the complex description (7.3.3) to obtain the same Higgs branch in a different way. Instead of identifying points connected by the complexified gauge group, we can just consider combinations of coordinates which are invariant under it. In this case, Q_i has charge $+1$ and \tilde{Q}^i has charge -1 . Then, the gauge invariants are $Q_i \tilde{Q}^j$, for arbitrary choices of i and j . We need to impose

$$Q_1 \tilde{Q}^1 + Q_2 \tilde{Q}^2 = 0, \quad (7.3.11)$$

too. In total, we find three combinations

$$A = Q_1 \tilde{Q}^2, \quad B = Q_2 \tilde{Q}^1, \quad C = iQ_1 \tilde{Q}^1 = -iQ_2 \tilde{Q}^2. \quad (7.3.12)$$

They satisfy one obvious relation

$$AB = C^2. \quad (7.3.13)$$

With three variables A, B, C and one relation above, we have complex two-dimensional space. This is the Higgs branch.

This description can also be found starting from the definition of $\mathbb{C}^2/\mathbb{Z}_2$ in (7.3.10). Combinations of z, \tilde{z} invariant under $(z, \tilde{z}) \leftrightarrow (-z, -\tilde{z})$ are

$$A = z^2, \quad B = \tilde{z}^2, \quad C = z\tilde{z} \quad (7.3.14)$$

which satisfy the same relation (7.3.13). Therefore they are the same spaces as complex manifolds.

7.3.2 SU(2) gauge theory with two hypermultiplets in the doublet

As the second example, consider $\mathcal{N}=2$ SU(2) gauge theory with N_f full hypermultiplets in the doublet representations. In terms of $\mathcal{N}=1$ chiral multiplets, we have

$$Q_i^a, \quad \tilde{Q}_a^i \quad (a = 1, 2; \quad i = 1, \dots, N_f) \quad (7.3.15)$$

As the doublet and the anti-doublet representations are the same for SU(2) gauge theory, we can denote them also as

$$Q_I^a, \quad (a = 1, 2; \quad I = 1, \dots, 2N_f) \quad (7.3.16)$$

which makes SO($2N_f$) flavor symmetry more manifest.

We have $4N_f$ complex scalars and $\dim \text{SU}(2) = 3$. Then the complex dimension of the Higgs branch is

$$4N_f - 2 \cdot 3. \quad (7.3.17)$$

So we do not have the Higgs branch for $N_f = 1$, and expect a Higgs branch with complex dimensions 2, 6, 10 for $N_f = 2, 3, 4$, respectively. Let us study the case $N_f = 2$ in more detail.

Gauge-invariant combinations of Q_I^a are

$$M_{IJ} = Q_I^a Q_J^b \epsilon_{ab}. \quad (7.3.18)$$

The left hand side is automatically anti-symmetric under the exchange of I and J . The F-term equation is

$$Q_I^{(a} Q_J^{b)} = 0. \quad (7.3.19)$$

For $\text{SO}(2N_f) = \text{SO}(4)$, we can split an antisymmetric matrix M_{IJ} of SO(4) into the self-dual and the anti-self-dual parts. Equivalently, using $\text{SO}(4) \simeq \text{SU}(2)_u \times \text{SU}(2)_v$, M_{IJ} splits into the triplet $M_{(\alpha\beta)}$ of $\text{SU}(2)_u$ and the triplet $M_{(\dot{\alpha}\dot{\beta})}$ of $\text{SU}(2)_v$, where $\alpha, \beta = 1, 2$ and $\dot{\alpha}, \dot{\beta} = 1, 2$ are doublet indices of $\text{SU}(2)_{u,v}$ respectively. The index I itself can be thought of a pair of indices: $I = (\alpha\dot{\alpha})$. Then the hypermultiplets we are dealing with can be written as

$$Q_{a\alpha\dot{\alpha}}, \quad a, \alpha, \dot{\alpha} = 1, 2 \quad (7.3.20)$$

which makes the existence of $\text{SU}(2)^3$ symmetry manifest. Then

$$M_{\alpha\beta} = Q_{a\alpha\dot{\alpha}} Q_{b\beta\dot{\beta}} \epsilon^{ab} \epsilon^{\dot{\alpha}\dot{\beta}}, \quad (7.3.21)$$

$$M_{\dot{\alpha}\dot{\beta}} = Q_{a\alpha\dot{\alpha}} Q_{b\beta\dot{\beta}} \epsilon^{ab} \epsilon^{\alpha\beta} \quad (7.3.22)$$

are the self-dual and the anti-self-dual parts of M_{IJ} , respectively. The F-term equation can be written as

$$Q_{a\alpha\dot{\alpha}} Q_{b\beta\dot{\beta}} \epsilon^{\alpha\beta} \epsilon^{\dot{\alpha}\dot{\beta}} = 0. \quad (7.3.23)$$

Using this description, it is not very hard to check that

$$M_{\alpha\beta} M_{\gamma\delta} \epsilon^{\alpha\gamma} \epsilon^{\beta\delta} = 0, \quad (7.3.24)$$

$$M_{\dot{\alpha}\dot{\beta}} M_{\dot{\gamma}\dot{\delta}} \epsilon^{\dot{\alpha}\dot{\gamma}} \epsilon^{\dot{\beta}\dot{\delta}} = 0, \quad (7.3.25)$$

$$M_{\alpha\beta} M_{\dot{\alpha}\dot{\beta}} = 0. \quad (7.3.26)$$

The structure becomes clearer by defining

$$A = M_{11}, \quad B = M_{22}, \quad C = M_{12} = M_{21}; \quad (7.3.27)$$

$$X = M_{1i}, \quad Y = M_{2j}, \quad Z = M_{i2} = M_{2i}. \quad (7.3.28)$$

The relations (7.3.24) and (7.3.25) give

$$AB = C^2, \quad XY = Z^2, \quad (7.3.29)$$

whereas the relation (7.3.26) mean that two vectors (A, B, C) and (X, Y, Z) cannot be both nonzero at the same time.

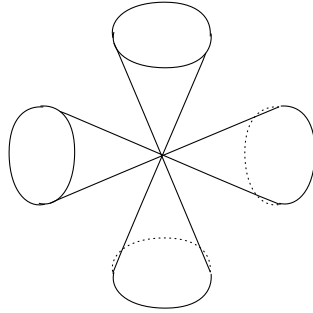


Figure 7.2: Not a very accurate depiction of $\mathbb{C}^2/\mathbb{Z}_2 \sqcup \mathbb{C}^2/\mathbb{Z}_2$

Therefore we see that the Higgs branch has the structure schematically described in Fig. 7.2: there are two copies of $\mathbb{C}^2/\mathbb{Z}_2$, described respectively by the variables A, B, C and X, Y, Z . When the vacuum is on one of the $\mathbb{C}^2/\mathbb{Z}_2$ described by one set of variables (A, B, C) , the other variables are forced to be zero, and vice versa. Therefore two copies of $\mathbb{C}^2/\mathbb{Z}_2$ can be said to share the origin, where all of A, B, C and X, Y, Z are zero. The Higgs branch has complex dimension two, as expected.

Recall we decomposed the flavor symmetry $SO(4)$ into $SU(2)_u \times SU(2)_v$. The vectors (A, B, C) and (X, Y, Z) are triplets under $SU(2)_u$ and $SU(2)_v$, respectively. Therefore, the flavor parity of $OO(4) \supset SO(4)$ exchanges the two copies of $\mathbb{C}^2/\mathbb{Z}_2$ composing the Higgs branch.

8 $SU(2)$ theory with 2 and 3 flavors

8.1 Generalities

In this section and next, we consider $SU(2)$ gauge theory with N_f flavors, with $N_f = 2, 3, 4$. In terms of $\mathcal{N}=1$ chiral multiplets, we have (Q_i, \tilde{Q}^i) for $i = 1, \dots, N_f$ with the superpotential

$$\sum_i \left(Q_i \Phi \tilde{Q}^i + \mu_i Q_i \tilde{Q}^i \right) \quad (8.1.1)$$

where μ_i are bare mass terms. With all μ_i are the same, there is a $U(N_f)$ symmetry acting on the indices i of Q_i and \tilde{Q}^i . On the Coulomb branch with $\Phi = \text{diag}(a, -a)$, the physical masses of the hypermultiplets are given by

$$|\pm a \pm \mu_i|. \quad (8.1.2)$$

With $\mu_i = 0$, we can combine Q_i and \tilde{Q}^i into

$$(q_I^a)_{I=1,2,\dots,2N_f} = (Q_1^a, \dots, Q_{N_f}^a, \epsilon^{ab} \tilde{Q}_b^1, \dots, \epsilon^{ab} \tilde{Q}_b^{N_f}) \quad (8.1.3)$$

with $SO(2N_f)$ symmetry. In this notation the superpotential is

$$\propto \delta^{IJ} q_I^a \Phi_{ab} q_J^b \quad (8.1.4)$$

and indeed this is $SO(2N_f)$ symmetric manifest. Equivalently, we have $2N_f$ half-hypermultiplets in the doublet representation of $SU(2)$.

Classically, introducing an odd number of half-hypermultiplets in the doublet of $SU(2)$ is all right, with $SO(\text{odd})$ flavor symmetry. However, such a theory would have odd number of Weyl fermions in the doublet, and is plagued quantum mechanically by Witten's global anomaly, as reviewed in Sec. 3.2.1. Therefore, for $SU(2)$ gauge group, we can only consider an even number of half-hypermultiplets in the doublet, or equivalently, an integral number of full-hypermultiplets in the doublet.

The one-loop running of this theory in the ultraviolet region $|a| \gg |\mu_i|$ is

$$\tau(a) = 2\tau_{UV} - \frac{2(4 - N_f)}{2\pi i} \log \frac{a}{\Lambda_{UV}} + \dots \quad (8.1.5)$$

which can further be rewritten as, when $N_f \neq 4$,

$$= -\frac{2(4 - N_f)}{2\pi i} \log \frac{a}{\Lambda} \quad \text{where} \quad \Lambda^{4-N_f} = \Lambda_{UV}^{4-N_f} e^{2\pi i \tau_{UV}}. \quad (8.1.6)$$

We guessed the form of the curves of these theories in Sec. 6.4.4. The results were given in (6.4.6), (6.4.11), (6.4.12) for $N_f = 2, 3, 4$ respectively. The aim of this section and the next section is to perform various checks that they do reproduce expected properties, and to study strong coupling dynamics using them. In this section we deal with $N_f = 2$ and $N_f = 3$. The case $N_f = 4$ opens up a whole new field, to which Sec. 9 is dedicated.

8.2 $N_f = 2$: the curve and the monodromies

Let us start with the $SU(2)$ with $N_f = 2$ flavors. The Seiberg-Witten curve was guessed in (6.4.6), which we repeat here:

$$\Sigma : \quad \frac{2\Lambda(x - \mu_1)}{z} + 2\Lambda(x - \mu_2)z = x^2 - u \quad (8.2.1)$$

with the Seiberg-Witten differential $\lambda = xdz/z$. The Gaiotto curve C is still just an S^2 , shown in Fig. 8.1.

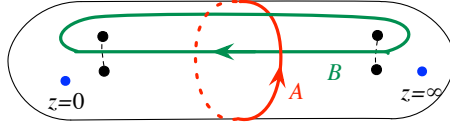


Figure 8.1: The curve of $N_f = 2$ theory.

When $|u| \gg |\Lambda^2|, |\mu_i|^2$, we can estimate the line integrals easily. First, we put the A -cycle at $|z| = 1$. Using $x \simeq \sqrt{u}$ around there, we have

$$a = \frac{1}{2\pi i} \oint_A x \frac{dz}{z} \simeq \sqrt{u}. \quad (8.2.2)$$

The positions of the branch points of $x(z)$ on the curve C can also be easily estimated: there are two around $z \simeq \sqrt{u}/\Lambda$ and two more around $z \simeq \Lambda/\sqrt{u}$. Then we see

$$a_D = \frac{1}{2\pi i} \oint_B x \frac{dz}{z} \simeq \frac{2 \cdot 2}{2\pi i} \int_{\sqrt{u}/\Lambda}^1 a \frac{dz}{z} \simeq -\frac{4}{2\pi i} a \log \frac{a}{\Lambda}. \quad (8.2.3)$$

From this we can compute $\tau(a) = \partial a_D / \partial a$, which reproduces the one-loop running (8.1.6).

Let us next study the structure of the singularities on the u -plane. When $\mu_{1,2} \gg \Lambda$, the gauge coupling is rather small around the energy scale $\mu_{1,2}$. Then we expect that when

$$u \simeq \mu_i^2 \quad \text{for } i = 1, 2 \quad (8.2.4)$$

one component of (Q_i, \tilde{Q}^i) become very light, producing a singularity. Below the scale of $\mu_{1,2}$, the theory is effectively equivalent to pure $SU(2)$ theory, which should have two singularities where either monopoles or dyons are very light. In total we expect that there are four singularities on the u -plane, see Fig. 8.2.

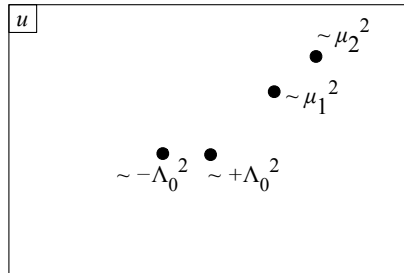


Figure 8.2: The u -plane for $N_f = 2$.

This structure can be checked starting from the curve (8.2.1) by studying its discriminant, which is left as an exercise to the reader. Here we study the massless case $\mu_1 = \mu_2 = 0$ in detail. The Seiberg-Witten curve for the massless case is simply

$$x^2 - \Lambda \left(z + \frac{1}{z} \right) x - u = 0. \quad (8.2.5)$$

Then we see that four branch points of $x(z)$ meet in pairs when $u = 0$ or $u = -\Lambda^2$ as depicted in Fig. 8.3.

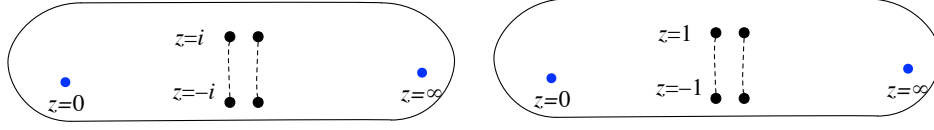


Figure 8.3: The curve of $N_f = 2$ theory degenerates when $u = 0$ or $u = -\Lambda^2$.

Explicitly, when $u = 0$ they meet at $z = \pm i$ and when $u = -\Lambda^2$ they meet at $z = \pm 1$. There are no other singularities on the u -plane, so we see that when $\mu_1 = \mu_2 = 0$ the u -plane has the structure shown in Fig. 8.4.

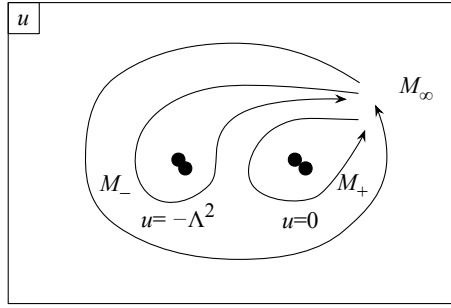


Figure 8.4: The u -plane for massless $N_f = 2$.

At each of $u = 0$, $u = -\Lambda^2$, two pairs of branch points of $x(z)$ collide. This means that each of $u = 0$, $u = -\Lambda^2$ should be considered as two singularities on the u -plane. This situation was shown in Fig. 8.4 by putting almost overlapping two blobs at $u = 0$, $-\Lambda^2$. In total there are four singularities, matching what we found above for $\mu_{1,2} \gg \Lambda$. Let us denote the monodromies around various closed paths as shown in Fig. 8.4.

The monodromy M_∞ at infinity can be found from the explicit form of a , a_D found in (8.2.2), (8.2.3) to be

$$M_\infty = \begin{pmatrix} -1 & 2 \\ 0 & -1 \end{pmatrix}. \quad (8.2.6)$$

The monodromy M_+ around $u = 0$ can be found by following the motion of the branch points when we make a slow change along the path $u = \epsilon e^{i\theta}$ for a very small ϵ from $\theta = 0$ to $\theta = 2\pi$. The pair of branch points exchanges positions as shown in Fig. 8.5. We see that the B cycle remains the same, while A is sent to $A - 2B$, thus generating

$$M_+ = \begin{pmatrix} 1 & 0 \\ -2 & 1 \end{pmatrix} = ST^2S^{-1}. \quad (8.2.7)$$

We see that

$$M_\infty = M_+ M_- \quad (8.2.8)$$

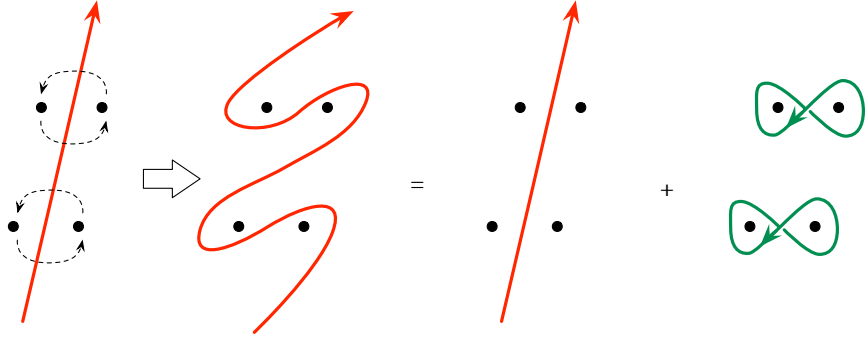


Figure 8.5: Monodromy action on cycles for $N_f = 2$.

with

$$M_- = TM_+T^{-1}. \quad (8.2.9)$$

Before proceeding, it is instructive to use another description of the curve to find the same u -plane structure. The curve was given in (6.4.7). When massless, this just becomes

$$\frac{x^2}{z} + \Lambda^2 z = x^2 - u. \quad (8.2.10)$$

The branch points collide when $u = 0$ or $u = -\Lambda^2$ as before, but it looks rather different on the Gaiotto curve, as shown in Fig. 8.6.



Figure 8.6: The curve of $N_f = 2$ theory degenerates when $u = 0$ or $u = -\Lambda^2$, the second description.

Note that x diverges at $z = 0$ and $z = 1$ independent of u . One branch point of $x(z)$ on the Gaiotto curve moves as u changes, and this point hits either $z = 0$ or $z = 1$ at $u = 0$ or $u = -\Lambda^2$ respectively. It is left to the reader to recover the monodromies M_{\pm} from this latter view point.

8.3 $N_f = 2$: the discrete R-symmetry

Let us now study the discrete R-symmetry. We assign the charges under continuous R-symmetry to be given by

$$R = 0 \left| \begin{array}{c} A \\ 1 \\ 2 \end{array} \right| \lambda \quad \lambda, \quad R = -1 \left| \begin{array}{c} \psi_I \\ 0 \end{array} \right| q_I. \quad (8.3.1)$$

The rotation

$$\lambda \rightarrow e^{i\varphi} \lambda, \quad \psi_I \rightarrow e^{-i\varphi} \psi_I \quad (8.3.2)$$

is anomalous, but can be compensated by

$$\theta_{UV} \rightarrow \theta_{UV} + 4\varphi. \quad (8.3.3)$$

Equivalently, the dynamical scale Λ transforms as

$$\Lambda^2 \rightarrow e^{4i\varphi} \Lambda^2. \quad (8.3.4)$$

Therefore $\varphi = \pi/2$ is a genuine symmetry, which does

$$\theta_{UV} \rightarrow \theta_{UV} + 2\pi, \quad \Phi \rightarrow -\Phi, \quad u' \rightarrow u' \quad (8.3.5)$$

where $u' = \langle \text{tr } \Phi^2 / 2 \rangle$. The reason why we put a prime to the symbol u here will be explained shortly. Unfortunately this does not tell us much about the structure on the u' -plane, as it acts trivially on it.

We can perform a slightly subtler operation. Consider the action on the hypermultiplets given by

$$(q_{I=1}, q_2, q_3, q_4) \mapsto (-q_{I=1}, q_2, q_3, q_4), \quad (8.3.6)$$

$$(\psi_{I=1}, \psi_2, \psi_3, \psi_4) \mapsto (-\psi_{I=1}, \psi_2, \psi_3, \psi_4). \quad (8.3.7)$$

So far we always said that the flavor symmetry is $\text{SO}(2N_f) = \text{SO}(4)$. This operation is a flavor parity action

$$\text{diag}(-1, +1, +1, +1) \in \text{OO}(4) \supset \text{SO}(4). \quad (8.3.8)$$

Recall that in an $\text{SU}(2)$ k -instanton background, the number of zero-modes of $\psi_{I=1}$ is just k . Then the operation (8.3.7) multiplies the path integral measure by $(-1)^k$. This means that the parity part of the classical flavor symmetry $\text{O}(4)$ is anomalous. That said, as we have a term $e^{i\theta k}$ in the integrand of the path integral, which we can compensate by the shift $\theta \rightarrow \theta + \pi$.

Then, we can combine phase rotations (8.3.2), (8.3.3) with $\varphi = \pi/4$ and the flavor parity (8.3.7) to have a genuine unbroken symmetry. Summarizing, this is a combination of two actions: the first one is

$$\theta \rightarrow \theta + \pi, \quad \Phi \rightarrow i\Phi, \quad u' \rightarrow -u' \quad (8.3.9)$$

and the second one is

$$\theta + \pi \rightarrow \theta + 2\pi, \quad q_{I=1} \rightarrow -q_{I=1}, \quad \psi_{I=1} \rightarrow -\psi_{I=1}. \quad (8.3.10)$$

In total this is a \mathbb{Z}_4 symmetry acting on the u -plane by \mathbb{Z}_2 .

At the first sight this looks contradictory with the structure of the u -plane found in Fig. 8.4: the two singularities are at $u = 0$ and $u = -\Lambda^2$. The way out is to set

$$u = u' - \frac{\Lambda^2}{2}. \quad (8.3.11)$$

This illustrates a subtlety which is often there in the non-perturbative analysis of field theories. Naively, u is defined to be $\langle \text{tr } \Phi^2 / 2 \rangle$. But a composite operator needs to be defined with care, by carefully performing the regularization and the renormalization. As there are almost no divergence between two chiral operators in a supersymmetric theory, it is relatively safe to do this for chiral composite operators, although one still needs to take care of the point splitting between two gauge-dependent chiral operators, which is known as a source of Konishi's anomaly [43], for example. At least perturbatively, we can take the holomorphic scheme and that uniquely fixes the regularization and the renormalization of chiral composite operators to all orders in perturbation theory. There still is, however, non-perturbative ambiguity in the definition of the scheme. In our present case, u and Λ^2 both have mass dimension two and has charge 2 under the continuous broken R-symmetry, therefore they tend to mix. When we guessed the curve in Sec. 6.4.4, we did not take the discrete unbroken R-symmetry into account, thus there was a discrepancy between the u appearing in the curve and the u' which was constructed by definition to transform nicely under the discrete R-symmetry.

We learned that the low energy behavior at $u = 0$ and $u = -\Lambda^2$, or equivalently at $\underline{u} = \pm\Lambda^2/2$ is related by the discrete R-symmetry combined with the flavor parity. Let us study them in more detail. We know that the monodromy at $u = 0$ is given by (8.2.7). Let us say $a_D \sim cu$ close to $u = 0$, where c is a constant. Applying the S transformation once, we see that the running of the dual coupling is

$$\tau_D(E) \simeq +\frac{2}{2\pi i} \log E \quad (8.3.12)$$

where $E \sim cu$ sets the energy scale. Compare this with the running of the dual coupling (4.3.21) at the monopole point of the pure $SU(2)$ theory. The factor 2 in the numerator comes from the lower-left entry of M_+ in (8.2.7), or more physically from the fact that two pairs of the branch points simultaneously collide as shown in Fig. 8.3. In general, when a $U(1)$ gauge theory is coupled to several hypermultiplets with charges given by q_i , the running is given by

$$\tau \simeq +\frac{\sum_i q_i^2}{2\pi i} \log E \quad (8.3.13)$$

Then we can conclude uniquely that there are two hypermultiplets with charge 1. This can be seen from the higher-dimensional perspective: there are disk-shaped membranes as in Fig. 6.4 for each pair of colliding branch points. They become massless when the branch points do collide, thus providing two charged hypermultiplets.

8.4 $N_f = 2$: the moduli space

We studied in Sec. 7.3 that $U(1)$ gauge theory with two charge-1 hypermultiplets has a Higgs branch of the form $\mathbb{C}^2/\mathbb{Z}_2$. Together with the u -plane describing the Coulomb branch, we can visualize the totality of the supersymmetric vacuum moduli space as shown in Fig. 8.7. Note that two singular points on the u -plane where Higgs branches meet are exchanged by the discrete R-symmetry and the flavor parity.

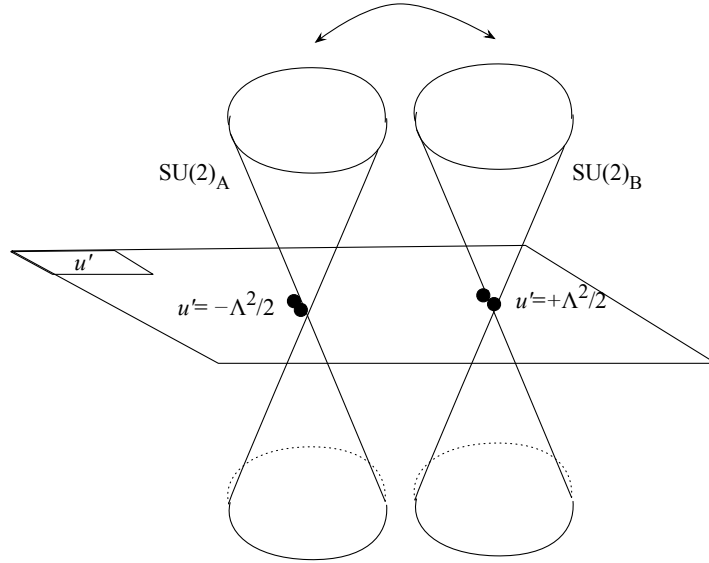


Figure 8.7: Quantum moduli space of the $N_f = 2$ theory.

Compare this with the classical moduli space of $SU(2)$ theory with $N_f = 2$ flavors. The Coulomb branch is still described by $u = \text{tr } \Phi^2/2$. When $\Phi = 0$, we can go to the Higgs branch; we studied this system in Sec. 7.3 too, where we saw that it is given by $\mathbb{C}^2/\mathbb{Z}_2 \sqcup \mathbb{C}^2/\mathbb{Z}_2$. We can visualize them as in Fig. 8.8.

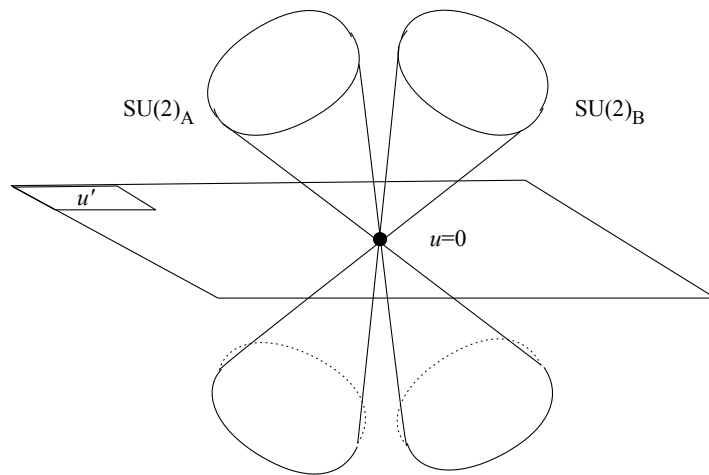


Figure 8.8: Classical moduli space of the $N_f = 2$ theory.

In Sec. 7.1, we argued that the local metric of the Higgs branch cannot be corrected by the gauge dynamics. We see here that the quantum dynamics can still split the point where two copies of $\mathbb{C}^2/\mathbb{Z}_2$ meet the u -plane; the argument in that section is not applicable at the points where the metric is singular.

Recall that there is a flavor symmetry $SO(4) \simeq SU(2)_A \times SU(2)_B$, so that $SU(2)_{A,B}$ acts separately on the two copies of $\mathbb{C}^2/\mathbb{Z}_2$. Then, after non-perturbative correction, $SU(2)_A$ acts on the hypermultiplets at $u' = \Lambda^2/2$ and $SU(2)_B$ at $u' = -\Lambda^2/2$. This is consistent with the action of the flavor parity exchanging $u' = \pm\Lambda^2/2$, recall (8.3.7).

We learned in Sec. 1.3 that the monopole in this type of theories transforms as the spinor representation of the $SO(2N_f)$ flavor symmetry. Here the spinor of $SO(4)$ is the fundamental doublet of $SU(2)_A$ or $SU(2)_B$, and they are indeed interchanged by the flavor parity. This is consistent with what we have found so far.

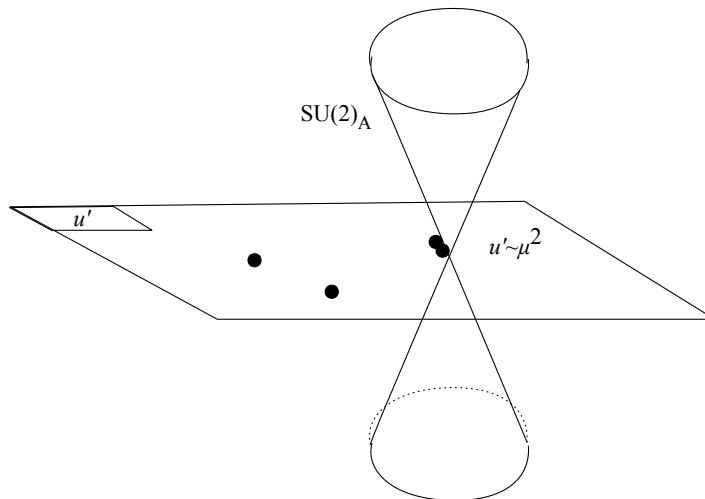


Figure 8.9: The moduli space of $N_f = 2$ theory when $\mu_1 = \mu_2$.

Before closing this section, let us discuss what happens when we turn on a small but nonzero $\mu = \mu_1 = \mu_2$. This breaks the $SO(4) = SU(2)_A \times SU(2)_B$ flavor symmetry to $SU(2)_A$, say. Correspondingly, we can check that the two singularities sitting at the same point $u = -\Lambda^2$ splits into two, by directly performing the analysis of the discriminant of the curve. We are still left with one point on the u -plane where two singularities still collide, and the local monodromy around it is unchanged from M_+ . There, we have a Higgs branch of the form $\mathbb{C}^2/\mathbb{Z}_2$. The resulting structure is shown in Fig. 8.9. When μ is continuously made large, eventually the situation is better described as a special case of Fig. 8.2 with $\mu = \mu_1 = \mu_2$. Namely, the gauge coupling at the scale μ is still very weak, and the classical Lagrangian analysis is valid. The superpotential is

$$(Q_i^1, Q_i^2) \begin{pmatrix} \mu + a & 0 \\ 0 & -a + \mu \end{pmatrix} (\tilde{Q}_1^i, \tilde{Q}_2^i) \quad (8.4.1)$$

and therefore when $a = \mu$, the components (Q_i^2, \tilde{Q}_2^i) for $i = 1, 2$ remain massless. The gauge group is broken from $SU(2)$ to $U(1)$, and we have two charge-1 hypermultiplets, producing a Higgs branch of the form $\mathbb{C}^2/\mathbb{Z}_2$.

8.5 $N_f = 3$

The curve for SU(2) theory with $N_f = 3$ flavors was guessed in (6.4.11):

$$\Sigma : \quad \frac{(x - \mu_1)(x - \mu_2)}{z} + 2\Lambda(x - \mu_3)z = x^2 - u. \quad (8.5.1)$$

We see that $x(z)$ diverges at $z = 0, 1, \infty$ independent of u , and there are four branch points which move as u changes, see Fig. 8.10.

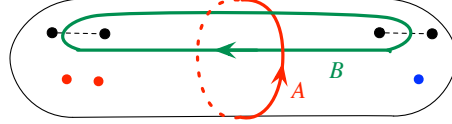


Figure 8.10: The curve of $N_f = 3$ theory.

Let us check the behavior when $|u| \gg |\mu_i|^2, |\Lambda|^2$. Two branch points are at $z \sim O(1)$ and another branch point is at \sqrt{u}/Λ .

We now put the A -cycle around $|z| = c$, where $1 \ll c \ll \sqrt{u}/\Lambda$. Then we see that the integral is given as before by

$$a = \frac{1}{2\pi i} \oint_A x \frac{dz}{z} \simeq \sqrt{u}. \quad (8.5.2)$$

The B -cycle integral can be approximated by

$$a_D \sim \frac{2}{2\pi i} \int_{\sqrt{u}/\Lambda}^1 a \frac{dz}{z} \simeq -\frac{2}{2\pi i} a \log \frac{a}{\Lambda}. \quad (8.5.3)$$

From this we find

$$\tau(a) = \frac{\partial a_D}{\partial a} = -\frac{2}{2\pi i} a \log \frac{a}{\Lambda}, \quad (8.5.4)$$

thus reproducing the field-theoretical one-loop computation (8.1.6).

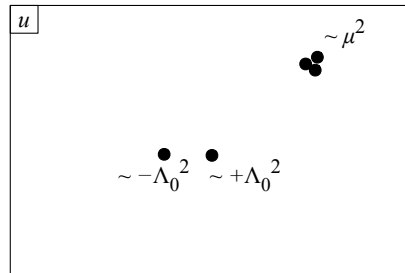


Figure 8.11: The u -plane of $N_f = 3$ theory, for equal masses

When $\mu_1 = \mu_2 = \mu_3 = \mu$ and $|\mu| \gg |\Lambda|$, the coupling at the scale μ is still small, and the classical analysis using the superpotential (8.4.1) is almost valid. We expect that around $a \simeq \mu$,

i.e. when $u \simeq \mu^2$, the gauge group $SU(2)$ is broken to $U(1)$ with three charge-1 hypermultiplets. This point on the u -plane counts as three singularities, since when $\mu_{1,2,3}$ are slightly different, they should be at three slightly different points $u \simeq \mu_i^2$. When $|u| \ll |\mu|^2$, the theory can be effectively described by pure $SU(2)$ gauge theory, which have the monopole point and the dyon point. In total we expect five singularities on the u -plane, see Fig. 8.11.

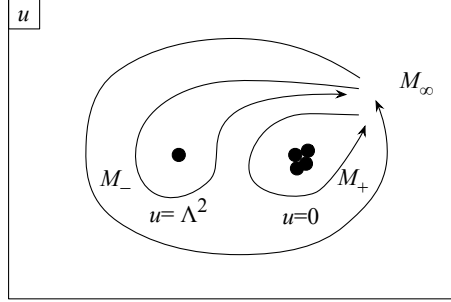


Figure 8.12: The u -plane of massless $N_f = 3$ theory

When we set $\mu = 0$, the curve simplifies to

$$\frac{x^2}{z} + 2\Lambda xz = x^2 - u. \quad (8.5.5)$$

At a given u , the branch points of $x(z)$ are at the solutions of

$$\Lambda^2 z^3 + uz - u = 0. \quad (8.5.6)$$

The branch points collide when $u = 0$ or $u = -(27/4)\Lambda^2$. When $u = 0$, all three branch points hit $z = 0$, which is rather hard to analyze. When $u = -(27/4)\Lambda^2$, one branch point is at $z = -3$ and two branch points collide at $z = 3/2$. The local physics there is just $U(1)$ gauge theory with one charged hypermultiplet. From the general analysis we know that there are five singularities on the u -plane, and therefore when $\mu = 0$ the singularity at $u = 0$ should counts as four colliding singularities, see Fig. 8.12. The monodromy at infinity is

$$M_\infty = \begin{pmatrix} -1 & 1 \\ 0 & -1 \end{pmatrix}. \quad (8.5.7)$$

Denoting the monodromies around $u = 0$, $u = -(27/4)\Lambda^2$ by M_+ and M_- , we have

$$M_\infty = M_+ M_-, \quad M_+ = \begin{pmatrix} 1 & 0 \\ -4 & 1 \end{pmatrix}, \quad M_- = \begin{pmatrix} -1 & 1 \\ -4 & 3 \end{pmatrix} \sim \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}. \quad (8.5.8)$$

By going to the S-dual frame at $u = 0$, we find that the running of the dual coupling is

$$\tau(E) = +\frac{4}{2\pi i} \log E \quad (8.5.9)$$

where the scale is set by $E = cu$ for some constant c . Comparing with (8.3.13), the low energy physics can be guessed to be a $U(1)$ gauge theory, coupled either (i) to just one charge-2 hypermultiplets or (ii) to four charge-1 hypermultiplets.

Recall that the classical theory has a Higgs branch. The choice (i) does not have a Higgs branch at $u = 0$. It does not have one at $u = -(27/4)\Lambda^2$ either. The Higgs branch should be preserved by the quantum correction, and thus this choice is ruled out.

The choice (ii) does have a Higgs branch at $u = 0$. We have four charge-1 hypermultiplets coupled to the $U(1)$ gauge multiplet. Then the complex dimension of the Higgs branch is $2 \cdot 4 - 2 \cdot 1 = 6$. This is acted on by the $SU(4)$ flavor symmetry rotating four hypermultiplets.

Classically, we have three hypermultiplets in the doublet of $SU(2)$. Then the complex dimension of the Higgs branch is $4 \cdot 3 - 2 \cdot 3 = 6$. This agrees with the computation above. Recall that three hypermultiplets in the doublet of $SU(2)$ count as six half-hypermultiplets of $SU(2)$ doublet, with $SO(6)$ flavor symmetry. As $SO(6) \simeq SU(4)$, we see that the symmetry of the Higgs branch also agrees. We should recall that the monopole in this theory transforms as the spinor representation of the $SO(2N_f)$ flavor symmetry. In our case the spinor of $SO(6)$ is the fundamental four-dimensional representation of $SU(4)$. This is also consistent with our choice that at $u = 0$ there are four charged hypermultiplets electrically coupled to the dual $U(1)$. By a more detailed analysis we can check that the Higgs branches agree as hyperkähler manifolds.

9 $SU(2)$ theory with 4 flavors and Gaiotto's duality

In this section we start with the analysis of $SU(2)$ gauge theory with $N_f = 4$ flavors. We will see that it can naturally be generalized to the analysis of a whole zoo of theories with the gauge group of the form $SU(2)^n$. The discussions basically follow the first half of the seminal paper [5].

9.1 The curve as $\lambda^2 = \phi_2(z)$

Let us consider $SU(2)$ gauge theory with four doublet hypermultiplets with masses $\mu_{1,2,3,4}$. In the very high energy region, the one-loop running is given by (8.1.6), which is just

$$\tau(a) = \tau_{UV}. \tag{9.1.1}$$

From this we learn a distinguishing feature of the $N_f = 4$ theory compared to the theories with less flavors: it has a dimensionless parameter τ_{UV} . When $N_f < 4$, the bare coupling τ_{UV} was combined with the scale Λ_{UV} to form the dynamical scale Λ , which just set the overall scale of the theory.

Now suppose the gauge coupling is small at the ultraviolet. Equivalently, suppose τ_{UV} has a large positive imaginary part. Further suppose $\mu_{1,2,3,4}$ are all of the same order, $\sim \mu$. Then the coupling at the energy scale $\sim \mu$ is small, and the semiclassical analysis is OK. We see that when $a \sim \pm\mu_i$, or equivalently when $u \sim \mu_i^2$, the low-energy limit is described by $U(1)$ gauge theory with one charged hyper. Far below this scale, the theory is effectively the pure $SU(2)$ theory, which

has the monopole point and the dyon point. Then the u -plane schematically has the structure shown in Fig. 9.1.

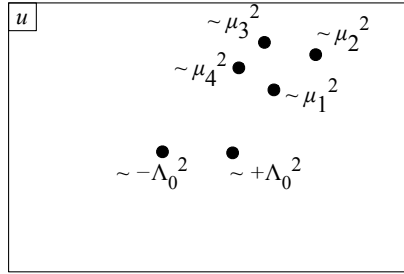


Figure 9.1: The u -plane of $N_f = 4$ theory

When $\mu_{1,2,3,4} = 0$, we can consider the R-symmetry with the charge assignment

$$R = 0 \left| \begin{array}{c} A \\ \lambda \\ \Phi \end{array} \right. , \quad R = -1 \left| \begin{array}{c} \psi_I \\ q_I \end{array} \right. . \quad (9.1.2)$$

This is not anomalous. Then the only sensible point to have a singularity in the u -plane is at the origin, where six singularities in the generic case collide, see Fig. 9.2.

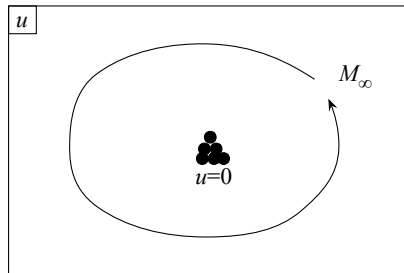


Figure 9.2: The u -plane of massless $N_f = 4$ theory

The coupling is given by τ_{UV} everywhere,

$$a = \sqrt{u}, \quad a_D = \tau_{UV} a_D. \quad (9.1.3)$$

Therefore the monodromy M_∞ at infinity is just

$$M_\infty = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}. \quad (9.1.4)$$

It looks relatively uninteresting. We will see however that there is a lot of interesting physics going on when we study the dependence on τ_{UV} .

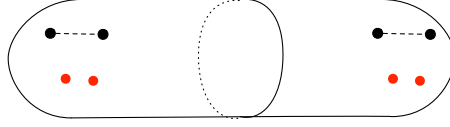


Figure 9.3: The curve of $N_f = 4$ theory

The curve of the $N_f = 4$ theory is given by

$$\Sigma : \quad f \frac{(\tilde{x} - \tilde{\mu}_1)(\tilde{x} - \tilde{\mu}_2)}{\tilde{z}} + f' \cdot (\tilde{x} - \tilde{\mu}_3)(\tilde{x} - \tilde{\mu}_4)\tilde{z} = \tilde{x}^2 - u \quad (9.1.5)$$

where f and f' are complex numbers, whose ratio will eventually be related to τ_{UV} . The differential is $\tilde{\lambda} = \tilde{x}d\tilde{z}/\tilde{z}$. The reason for additional tildes will become clear later.

The structure of the function $\tilde{x}(\tilde{z})$ over the Gaiotto curve C which is a sphere with coordinate z is shown in Fig. 9.3. The function $\tilde{x}(\tilde{z})$ always diverges at $\tilde{z} = 0, \infty$, and at the two solutions $\tilde{z} = c_{1,2}(f)$ of $f/\tilde{z} + f'\tilde{z} = 1$. These points do not move when u is changed, and shown in red blobs in the figure. There are four additional branch points where $\tilde{x}(\tilde{z})$ is finite, shown in black blobs.

Let us rewrite the curve in a more illuminating way. We first rescale the coordinate z to set $f' = 1$. We then collect terms with the same power of \tilde{x} :

$$(1 - \tilde{z} - \frac{f}{\tilde{z}})\tilde{x}^2 - \heartsuit\tilde{x} - \heartsuit' = 0 \quad (9.1.6)$$

where \heartsuit, \heartsuit' are some complicated expressions, which readers should fill in. We divide the whole expression by $(1 - \tilde{z} - f/\tilde{z})$, and find

$$\tilde{x}^2 - \clubsuit\tilde{x} - \clubsuit' = 0. \quad (9.1.7)$$

We note that \clubsuit and \clubsuit' have poles at the two solutions $c_{1,2}(f)$ of $1 - z - f/z = 0$. Here it is instructive to spell out \clubsuit , which is given by

$$\clubsuit = \frac{f \cdot (\tilde{\mu}_1 + \tilde{\mu}_2)/\tilde{z} + (\tilde{\mu}_3 + \tilde{\mu}_4)\tilde{z}}{1 - \tilde{z} - f/\tilde{z}}. \quad (9.1.8)$$

Defining $x = \tilde{x} - \clubsuit/2$, we have

$$x^2 - \diamond = 0 \quad (9.1.9)$$

where \diamond now has double poles at $c_{1,2}(f)$ due to the completion of the square. Instead of $\tilde{\lambda} = \tilde{x}d\tilde{z}/\tilde{z}$ we will use $\lambda = xd\tilde{z}/\tilde{z}$ henceforth. Note that

$$\tilde{\lambda} - \lambda = \clubsuit \frac{d\tilde{z}}{\tilde{z}} = \frac{f \cdot (\tilde{\mu}_1 + \tilde{\mu}_2)/\tilde{z} + (\tilde{\mu}_3 + \tilde{\mu}_4)\tilde{z}}{1 - \tilde{z} - f/\tilde{z}} \frac{d\tilde{z}}{\tilde{z}}. \quad (9.1.10)$$

This is independent of the Coulomb branch modulus u , and its residues are all linear combinations of $\tilde{\mu}_i$. We encountered in (6.4.8) a similar shift of λ by a one-form which is independent of u and

whose residues are given by the mass terms only. Such shift only amounts to a re-definition of the flavor charge and the mass terms, and does not affect the physics, as discussed there.

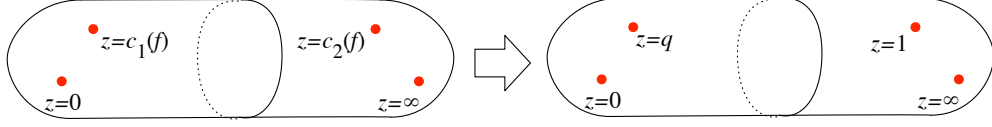


Figure 9.4: A step in the derivation of the curve in the Gaiotto form

Now we define the coordinate $z = \tilde{z}/c_1(f)$ so that the double poles are at $z = q$ and 1 for $|q| < 1$, see Fig. 9.4. The final form of the curve is then:

$$\lambda^2 - \phi_2(z) = 0, \quad \phi_2(z) = \frac{P(z)}{(z-1)^2(z-q)^2} \frac{dz^2}{z^2} \quad (9.1.11)$$

where $P(z)$ is a quartic polynomial, as can be seen by re-following the change of variables starting from (9.1.5). The explicit expression of $P(z)$ in terms of u , f and $\tilde{\mu}_i$ is not very important, however.

The quadratic differential $\phi_2(z)$ has double poles at $z = 0, q, 1, \infty$: to see this for $z = \infty$, set $w = 1/z$. Then $dz^2/z^2 = dw^2/w^2$. This has poles of order two when $w = 0$, i.e. when $z = \infty$. We identify this dimensionless parameter q as a function of the UV coupling τ_{UV} .

9.2 Identification of parameters

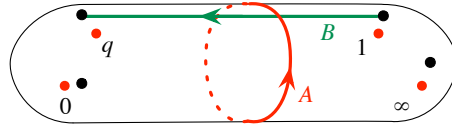


Figure 9.5: In $SU(2)$ $N_f = 4$, q is related to the UV coupling

Let us first see concretely how q and τ_{UV} are related, let us compute a and a_D assuming $|q| \ll 1$. As always, we put the A -cycle at $|z| = c$, where $|q| \ll c \ll 1$. Then we easily have

$$a = \frac{1}{2\pi i} \oint_A x \frac{dz}{z} \sim \sqrt{u}. \quad (9.2.1)$$

The branch points of λ are near 1 and q anyway, and therefore

$$a_D = \frac{1}{2\pi i} \oint_B x \frac{dz}{z} \sim \frac{1}{2\pi i} 2 \int_1^q a \frac{dz}{z} = \frac{2a}{2\pi i} \log q. \quad (9.2.2)$$

Then

$$\tau_{U(1)} = \frac{\partial a_D}{\partial a} \simeq \frac{2}{2\pi i} \log q, \quad (9.2.3)$$

or equivalently

$$q \sim e^{2\pi i \tau_{UV}} \quad (9.2.4)$$

in the limit of weak coupling; note our convention that $\tau_{U(1)} \sim 2\tau_{UV}$. It is often written as

$$q_{UV} = e^{2\pi i \tau_{UV}} \quad (9.2.5)$$

with an equality. But this should be regarded as a nonperturbative definition of the renormalization and regularization scheme. Another common nonperturbative definition of the scheme is to use the low-energy U(1) coupling when the Coulomb vev is very large $|u| \gg |\tilde{\mu}_i|$. The Seiberg-Witten curve Σ is the torus which is a double-cover of C branched at $z = 0, 1, q, \infty$. Then $\tau_{U(1)}$ in (9.2.3) is given by the complex structure of this Σ . Define

$$q_{IR} = e^{4\pi i \tau_{U(1)}}. \quad (9.2.6)$$

This is also a perfectly good scheme, related to the one in (9.2.5) via a finite renormalization. From a basic result in the theory of elliptic functions we know

$$q_{UV} = \lambda(\tau_{U(1)}) = \frac{\theta_2(q_{IR}^2)^4}{\theta_3(q_{IR}^2)^4} = 16q_{IR} - 128q_{IR}^2 + 704q_{IR}^3 - 3072q_{IR}^4 + \dots \quad (9.2.7)$$

This means that τ_{IR} and τ_{UV} are related by a constant shift of its imaginary part plus instanton corrections.

Next, let us study mass parameters. Recall that λ has mass dimension 1, as its integral give the mass of BPS particles. This means that the five coefficients of the quartic polynomial $P(z)$ are of mass dimension two. We can identify these five coefficients with some combinations of five parameters $\mu_{i=1,2,3,4}$ and u . The physical mass parameters are the residues at the poles of λ . Fixing μ_i fixes four linear combinations of the coefficients of $P(z)$. The sole linear combination which does not change the coefficients of the double poles at $z = 0, q, 1, \infty$ can be identified with the parameter u . Explicitly, we can write to

$$\phi_2(z) = \frac{P_0(z)}{(z-q)^2(z-1)^2} \frac{dz^2}{z^2} + \frac{u}{(z-1)(z-q)} \frac{dz^2}{z} \quad (9.2.8)$$

where $P_0(z)$ is independent of u .

Let us now go back to the original curve and study the poles of λ . We can compute them from (9.1.5) rather easily when the system is weakly coupled, $|q| \ll 1$. The residues are $\sim \tilde{\mu}_{1,2}$ at $z = 0$ and $\sim \tilde{\mu}_{3,4}$ at $z = \infty$. We went from \tilde{x} to x , we subtracted $\clubsuit/2$ from x . We see that the residues are given by

$$\begin{aligned} \pm \frac{\mu_1 - \mu_2}{2} \quad \text{at } z = 0, \quad \pm \frac{\mu_3 - \mu_4}{2} \quad \text{at } z = \infty, \\ \pm \frac{\mu_1 + \mu_2}{2} \quad \text{at } z = q, \quad \pm \frac{\mu_3 + \mu_4}{2} \quad \text{at } z = 1 \end{aligned} \quad (9.2.9)$$

where

$$\mu_i = \tilde{\mu}_i + O(q). \quad (9.2.10)$$

The variables $\tilde{\mu}_i$ enter rather naturally in the Seiberg-Witten curve we guessed in Sec. 6.4.4, whereas the variables μ_i enter in the BPS mass formula. We see that they are related by a finite renormalization.

To understand the combinations in (9.2.9) better, it is helpful to consider the $\mathcal{N}=1$ superpotential. With four doublet hypermultiplets, we have

$$W = \sum_i (Q_i \Phi \tilde{Q}^i + \mu_i Q_i \tilde{Q}^i). \quad (9.2.11)$$

We combine (Q_i, \tilde{Q}^i) for $i = 1, 2, 3, 4$ to q_I with $I = 1, \dots, 8$. Then the same term becomes

$$W \propto q_I^a q_J^b \Phi_{ab} \delta^{IJ} + q_I^a q_J^b \epsilon_{ab} \mu^{IJ} \quad (9.2.12)$$

where μ^{IJ} is a constant matrix with $\text{SO}(8)$ antisymmetric index:

$$\mu^{IJ} = \begin{pmatrix} & -\mu_1 \\ \mu_1 & \end{pmatrix} \oplus \begin{pmatrix} & -\mu_2 \\ \mu_2 & \end{pmatrix} \oplus \begin{pmatrix} & -\mu_3 \\ \mu_3 & \end{pmatrix} \oplus \begin{pmatrix} & -\mu_4 \\ \mu_4 & \end{pmatrix} \quad (9.2.13)$$

Under the decomposition

$$\text{SO}(8) \supset \text{SO}(4) \times \text{SO}(4) \simeq \text{SU}(2)_A \times \text{SU}(2)_B \times \text{SU}(2)_C \times \text{SU}(2)_D, \quad (9.2.14)$$

the entries of $\text{SO}(8)$ antisymmetric matrix (9.2.13) decomposes to

$$\begin{array}{cccc} \text{SU}(2)_A & \text{SU}(2)_B & \text{SU}(2)_C & \text{SU}(2)_D \\ \text{diag}(\pm \frac{\mu_1 - \mu_2}{2}) & \text{diag}(\pm \frac{\mu_1 + \mu_2}{2}) & \text{diag}(\pm \frac{\mu_3 + \mu_4}{2}) & \text{diag}(\pm \frac{\mu_3 - \mu_4}{2}) \end{array} \quad (9.2.15)$$

which are exactly the residues we found in (9.2.9) at $z = 0, q, 1$ and $z = \infty$, respectively. We can regard then that the singularity at $z = 0$ carries the $\text{SU}(2)_A$ symmetry, and that the residue of λ there is the mass parameter associated to this $\text{SU}(2)_A$ symmetry; similarly for $\text{SU}(2)_B$ at $z = q$, $\text{SU}(2)_C$ at $z = 1$, and $\text{SU}(2)_D$ at $z = \infty$.

We call these structures the punctures. From the 6d point of view, we consider a puncture at $z = 0$ as a four-dimensional object extending along the Minkowski space $\mathbb{R}^{3,1}$, which somehow carries an $\text{SU}(2)$ flavor symmetry on it. We will see various other types of punctures below. To distinguish this one from them, we will call this a regular $\text{SU}(2)$ puncture.

9.3 Weak-coupling limit and trifundamentals

Let us now take the limit $q \rightarrow 0$ to decouple the gauge $\text{SU}(2)$, see Fig. 9.6. On the left hand side, we have a sphere parameterized by z , with four points at $z = 0, q, 1$ and ∞ . On the right hand side, we have two spheres, parameterized by z' and z'' . We put the points A, B, G on the first sphere, at $z' = 0, 1$ and ∞ , and then the points G', C, D on the second sphere, at $z'' = 0, 1$ and ∞ . Then we glue the neighborhoods of G and G' by declaring

$$z' z'' = q. \quad (9.3.1)$$

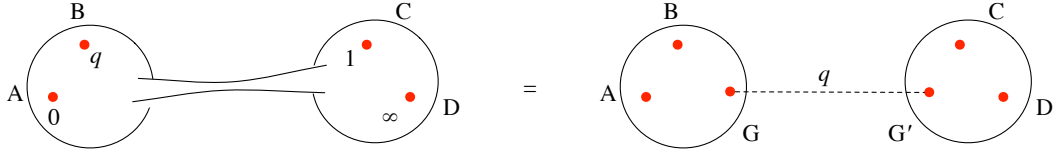


Figure 9.6: Weakly-coupled limit of $SU(2) N_f = 4$

Defining $z = z''$, we see that four points A, B, C, D are exactly as in the first description. In this limit, around the tube connecting G and G' , $\lambda \simeq \pm adz/z$. Then in the sphere containing A, B and G , we have three singularities, with residues of λ given by

$$\pm \frac{\mu_1 + \mu_2}{2}, \quad \pm \frac{\mu_1 - \mu_2}{2}, \quad \pm a, \quad (9.3.2)$$

each corresponding to the symmetry $SU(2)_A$, $SU(2)_B$ and $SU(2)_G$, respectively. Here $SU(2)_G$ was originally the gauge symmetry.

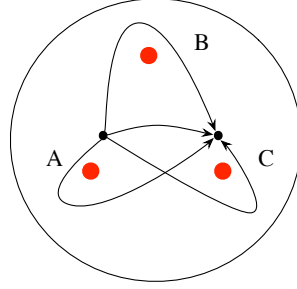


Figure 9.7: Curve the trifundamental

We were talking about the $N_f = 4$ theory. Then each of the sphere with three punctures should be associated to the $N_f = 2$ hypermultiplet system, see Fig. 9.7; note that this is not couple to any gauge group. Let us recall the structure of the hypermultiplets again. We start from two hypermultiplets (Q_i^a, \tilde{Q}_a^i) in the doublet of $SU(2)$, $i = 1, 2$ and $a = 1, 2$. We combine them to q_I^a , $a = 1, 2$ and $I = 1, \dots, 4$, making $SU(2) \times SO(4)$ symmetry manifest. We then decompose the $SO(4)$ index I into the pair (α, u) where $\alpha = 1, 2$ and $u = 1, 2$: we have the trifundamental $q_{a\alpha u}$. The mass term for this hypermultiplet is

$$\mu^{ab} q_{a\alpha u} q_{b\beta v} \epsilon^{\alpha\beta} \epsilon^{uv} + \tilde{\mu}^{\alpha\beta} q_{a\alpha u} q_{b\beta v} \epsilon^{ab} \epsilon^{uv} + \hat{\mu}^{uv} q_{a\alpha u} q_{b\beta v} \epsilon^{ab} \epsilon^{\alpha\beta}, \quad (9.3.3)$$

where

$$\mu_b^a = a \text{diag}(1, -1), \quad \tilde{\mu}_\beta^\alpha = \frac{\mu_1 - \mu_2}{2} \text{diag}(1, -1), \quad \hat{\mu}_\beta^\alpha = \frac{\mu_1 + \mu_2}{2} \text{diag}(1, -1). \quad (9.3.4)$$

Then (a, b) are the indices for $SU(2)_G$, (α, β) for $SU(2)_A$, and (u, v) for $SU(2)_B$. The physical masses of these fields are given by

$$\pm a \pm \frac{\mu_1 - \mu_2}{2} \pm \frac{\mu_1 + \mu_2}{2} = \{ \pm a \pm \mu_1, \pm a \pm \mu_2. \quad (9.3.5)$$

which are the masses for the two doublets of $SU(2)$ with bare masses $\mu_{1,2}$.

The curve of the system, shown in Fig. 9.7 is given by

$$\lambda^2 - \phi(z) = 0, \quad (9.3.6)$$

where $\phi(z)$ has the asymptotic behavior

$$\phi(z) \sim \frac{\tilde{\mu}^2}{z^2} dz^2, \quad \sim \frac{\hat{\mu}^2}{(z-1)^2} dz^2, \quad \sim \frac{\mu^2}{w^2} dw^2 \quad (9.3.7)$$

at $z = 0$, $z = 1$, $z = \infty$ respectively. Here $w = 1/z$ as always, and we set $\mu = a$, $\tilde{\mu} = (\mu_1 - \mu_2)/2$ and $\hat{\mu} = (\mu_1 + \mu_2)/2$. Note that these asymptotic conditions uniquely fix the quadratic differential $\phi(z)$ to be

$$\phi(z) = \frac{\mu^2 z^2 + (\hat{\mu}^2 - \tilde{\mu}^2 - \mu^2)z + \tilde{\mu}^2}{z^2(z-1)^2} dz^2 \quad (9.3.8)$$

As was discussed before, the BPS particles of this system can be found by solving the BPS equation (6.1.6)

$$\text{Arg} \frac{\lambda}{ds} = e^{i\theta} \quad (9.3.9)$$

for a given θ . As $\phi(z)$ given above has two branch points only, the solution to the BPS equation should start from one and end on the other. A computer simulation shows that there are always four and only four such solutions, corresponding to the hypermultiplets with masses given in (9.3.5).

9.4 Strong-coupling limit

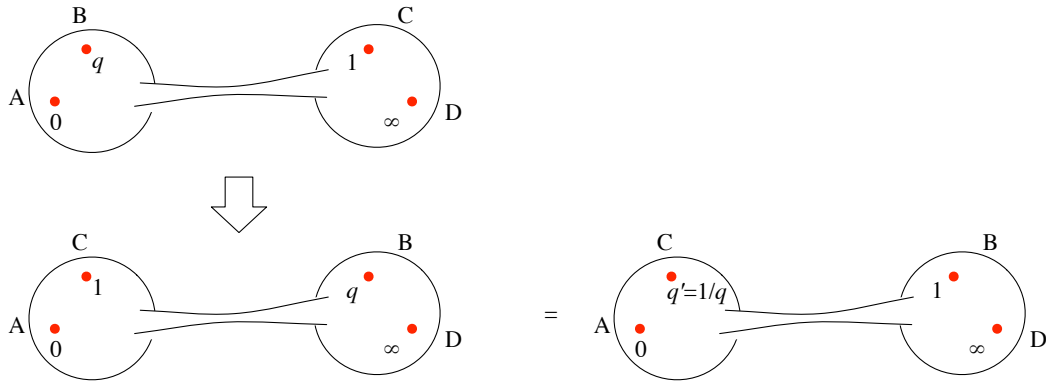


Figure 9.8: A strongly-coupled limit of $SU(2)$, $N_f = 4$

So far we mainly considered the weak coupling limit $q \rightarrow 0$. Instead, consider sending $q \rightarrow \infty$, as shown in Fig. 9.8. We immediately find that the strong coupling limit $q \rightarrow \infty$ is the weak coupling limit $q' = 1/q \rightarrow 0$ of a similarly-looking $SU(2)$ gauge theory with four flavors. Note however that the role of the singularities B and C are exchanged.

Originally, we had four flavors with masses

$$\pm \mu_1, \quad \pm \mu_2, \quad \pm \mu_3, \quad \pm \mu_4. \quad (9.4.1)$$

The residues of λ at the punctures were then

$$\pm \mu_A, \quad \pm \mu_B, \quad \pm \mu_C, \quad \pm \mu_D \quad (9.4.2)$$

with

$$\mu_A = \frac{\mu_1 - \mu_2}{2}, \quad \mu_B = \frac{\mu_1 + \mu_2}{2}, \quad \mu_C = \frac{\mu_3 + \mu_4}{2}, \quad \mu_D = \frac{\mu_3 - \mu_4}{2}. \quad (9.4.3)$$

The original masses μ_i are

$$\mu_1 = \mu_A + \mu_B, \quad \mu_2 = -\mu_A + \mu_B, \quad \mu_3 = \mu_C + \mu_D, \quad \mu_4 = \mu_C - \mu_D. \quad (9.4.4)$$

Now the singularities B and C are exchanged. Then, the masses μ'_i of the four hypermultiplets of the theory with the coupling $q' = 1/q$ are instead given by

$$\mu'_1 = \mu_A + \mu_C = \frac{\mu_1 - \mu_2}{2} + \frac{\mu_3 + \mu_4}{2}, \quad (9.4.5)$$

$$\mu'_2 = -\mu_A + \mu_C = -\frac{\mu_1 - \mu_2}{2} + \frac{\mu_3 + \mu_4}{2}, \quad (9.4.6)$$

$$\mu'_3 = \mu_B + \mu_D = \frac{\mu_1 + \mu_2}{2} + \frac{\mu_3 - \mu_4}{2}, \quad (9.4.7)$$

$$\mu'_4 = \mu_B - \mu_D = \frac{\mu_1 + \mu_2}{2} - \frac{\mu_3 - \mu_4}{2}. \quad (9.4.8)$$

The original masses (9.4.1) can be thought of as the weights of the vector representation of $\text{SO}(8)$. The dual masses $\pm \mu'_i$ are then the weights of the spinor representation of $\text{SO}(8)$.

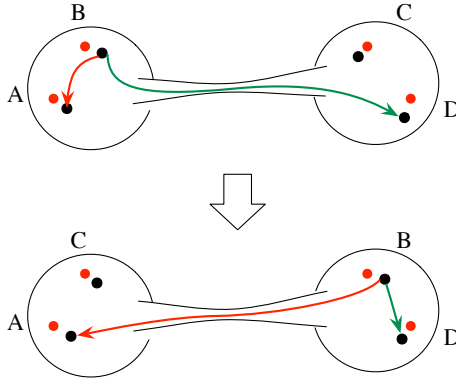


Figure 9.9: Monopoles and quarks are exchanged

The dual quarks, therefore, transform in the spinor representation of the flavor $\text{SO}(8)$ symmetry. We can identify these dual quarks as the monopoles in the original description. This can be seen by slowly changing the value of q , following how various paths on the sphere change, see Fig. 9.9.

Originally, the path connecting branch points close to the singularity B and D was a monopole. Recall also that the semiclassical quantization of the monopole gave us a multiplet in the spinor representation of the flavor symmetry $SO(2N_f)$ as we saw in Sec. 1.3. In the limit $q \rightarrow \infty$, these monopoles become excitations whose paths are totally contained in the sphere on the right. They are now the quark hypermultiplets in the trifundamental, as shown in Fig. 9.7.

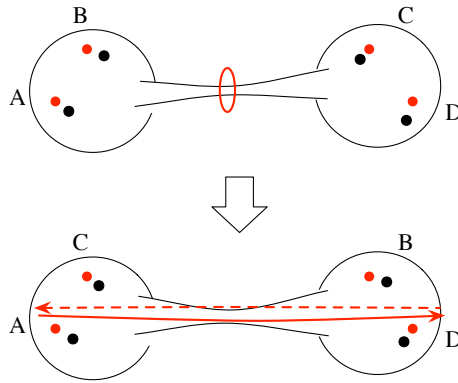


Figure 9.10: W bosons also come from monopoles.

The same manipulation also shows that the $SU(2)$ W-bosons in the dual description came from monopoles in the original description, see Fig. 9.10. Therefore it is important to keep in mind that the dual $SU(2)$ gauge multiplet is not the same physical excitation as the original $SU(2)$ gauge multiplet. Note also that this monopole has twice the magnetic charge of the monopole which became the dual quarks.

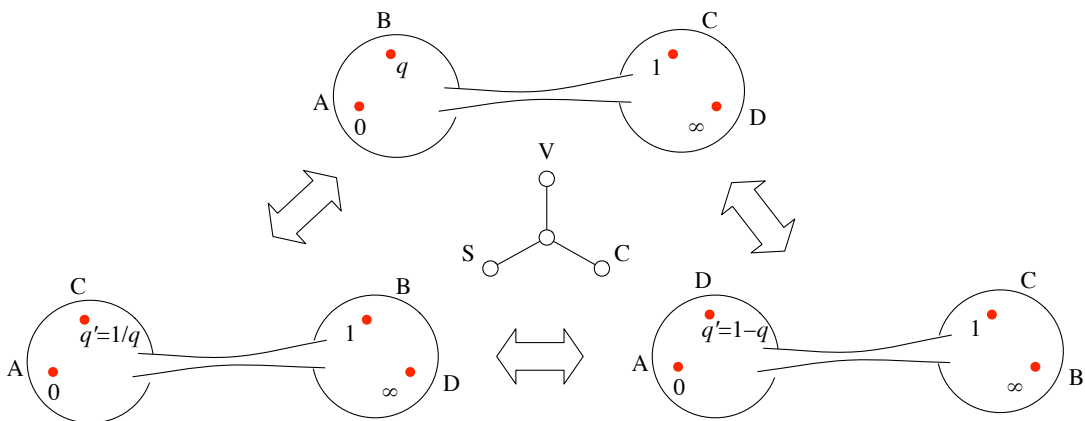


Figure 9.11: Triality

There is also a limit where the singularity B approaches the singularity C , $q \rightarrow 1$. This is again equivalent to a weakly-coupled $SU(2)$ gauge theory with four flavors, but with the role of

the singularities are permuted, see Fig. 9.11. The four mass parameters of the hypermultiplets are now given by

$$\mu_1'' = \mu_A + \mu_D = \frac{\mu_1 - \mu_2}{2} + \frac{\mu_3 - \mu_4}{2}, \quad (9.4.9)$$

$$\mu_2'' = -\mu_A + \mu_D = -\frac{\mu_1 - \mu_2}{2} + \frac{\mu_3 - \mu_4}{2}, \quad (9.4.10)$$

$$\mu_3'' = \mu_C + \mu_B = \frac{\mu_3 + \mu_4}{2} + \frac{\mu_1 + \mu_2}{2}, \quad (9.4.11)$$

$$\mu_4'' = \mu_C - \mu_B = \frac{\mu_3 + \mu_4}{2} - \frac{\mu_1 + \mu_2}{2}. \quad (9.4.12)$$

These are the weights of the conjugate spinor representation of $\text{SO}(8)$.

Therefore, we learned that the strong-weak duality of the $\text{SU}(2)$ gauge theory with four flavors,

$$q \leftrightarrow q' = 1/q \leftrightarrow q'' = 1 - q \quad (9.4.13)$$

are accompanied by the exchange of the representations of the $\text{SO}(8)$ flavor symmetry,

$$\begin{array}{ccc} & V & \\ \swarrow & & \searrow \\ S & \longleftrightarrow & C \end{array} \quad (9.4.14)$$

where V, S, C are eight dimensional irreducible representations (vector, spinor, conjugate spinor) of $\text{SO}(8)$. This exchange of three irreducible eight dimensional representations are induced by the outer automorphism, and are known as the triality of the group $\text{SO}(8)$, whose Dynkin diagram is also shown in Fig. 9.11.

The Higgs branch of this system can be studied in any of these descriptions. Originally we have hypermultiplets q_I^a , where $a = 1, 2$ and $I = 1, \dots, 8$. The gauge invariant combination is

$$M_{[IJ]} = q_I^a q_J^b \epsilon_{ab}. \quad (9.4.15)$$

In the dual, we have hypermultiplets $\tilde{q}_{\tilde{I}}^{\tilde{a}}$, where $\tilde{a} = 1, 2$ are for dual $\text{SU}(2)$ and $\tilde{I} = 1, \dots, 8$ are for the spinor representation of the $\text{SO}(8)$ flavor symmetry. The basic gauge invariant is then

$$\tilde{M}_{[\tilde{I}\tilde{J}]} = \tilde{q}_{\tilde{I}}^{\tilde{a}} \tilde{q}_{\tilde{J}}^{\tilde{b}} \epsilon_{\tilde{a}\tilde{b}}. \quad (9.4.16)$$

Both $M_{[IJ]}$ and $\tilde{M}_{[\tilde{I}\tilde{J}]}$ are in the adjoint representation of $\text{SO}(8)$, and can be naturally identified using the outer automorphism of $\text{SO}(8)$. We can check that the constraints satisfied by $M_{[IJ]}$ and $\tilde{M}_{[\tilde{I}\tilde{J}]}$ are invariant under the outer automorphism. This shows that the Higgs branch are the same as complex spaces.

9.5 Generalization

9.5.1 Trivalent diagrams

The trifundamental hypermultiplet consisting of $\mathcal{N}=1$ chiral multiplets $q_{a\alpha u}$ for $a, \alpha, u = 1, 2$ played the central role in the analysis so far. Let us introduce a shorthand notation for it, by representing it by a trivalent vertex with labels A, B, C as in Fig. 9.12, signifying the symmetries $\text{SU}(2)_A, \text{SU}(2)_B, \text{SU}(2)_C$, acting on the indices a, α, u respectively.

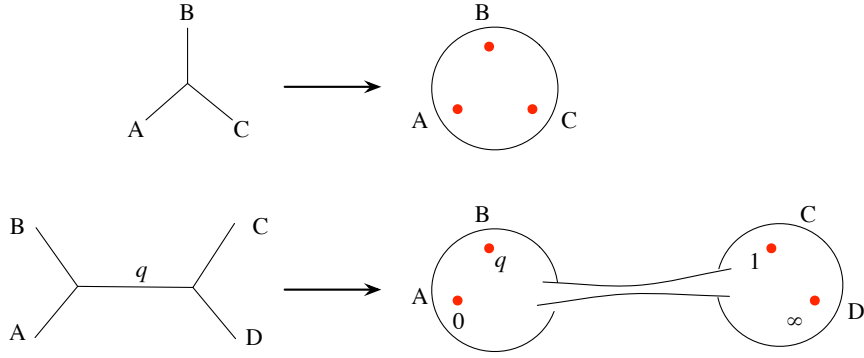


Figure 9.12: Trivalent diagrams and corresponding Gaiotto curves

The Gaiotto curve for this system is given by a sphere with three punctures A, B, C , and the Seiberg-Witten curve is given by

$$\Sigma : \quad \lambda^2 - \phi(z) = 0 \quad (9.5.1)$$

where $\phi(z)$ is given by the condition that its residues are given by $\mu_A^2, \mu_B^2, \mu_C^2$ at each of the punctures A, B, C , as in (9.3.7).

Now, the $SU(2)$ theory with four flavors can be obtained by taking two copies of trifundamentals, and coupling an $SU(2)$ gauge multiplet to them. We denote it by taking two trivalent vertices, and connecting them by a line, as shown in Fig. 9.12. We put the exponentiated coupling q on the connecting line.

Starting with this trivalent diagram, we can easily write down the Lagrangian of the theory. The free parameters in the theory are the mass parameters $\mu_{A,B,C,D}$ and the UV coupling q . The Gaiotto curve of this system is given by a sphere with four punctures A, B, C, D , and the Seiberg-Witten curve is given by

$$\Sigma : \quad \lambda^2 - \phi(z) = 0 \quad (9.5.2)$$

where $\phi(z)$ is given by the condition that its residues are given by μ_X^2 at each of the punctures $X = A, B, C, D$ as in (9.2.9). The triality of the $SU(2)$ theory with four flavors, shown already in Fig. 9.11, can be depicted in terms of the trivalent diagrams as in Fig. 9.13.

This way, we can regard the trivalent diagram as a shorthand to represent the UV Lagrangian. The Seiberg-Witten solution to this given UV Lagrangian theory is given just by replacing each trivalent vertex with a three punctured sphere, and a connecting line with a connecting tube. This is a surprisingly concise method to obtain the Seiberg-Witten solutions to $\mathcal{N}=2$ gauge theories.

9.5.2 Example: torus with one puncture

Let us see a few examples. First, take one trivalent vertex, and connect two out of the three lines by an edge, see Fig. 9.14. We start from a trifundamental described by $\mathcal{N}=1$ chiral multiplets $q_{a\alpha v}$, but we couple the same $SU(2)$ gauge multiplet to the index a and α . Then the combination (a, α)

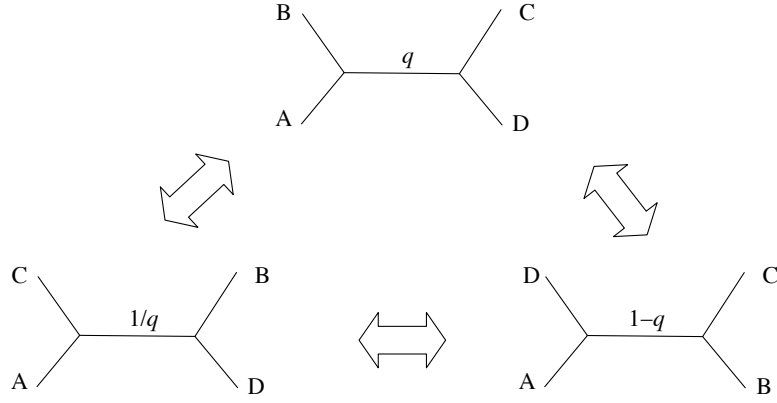


Figure 9.13: Triality, using trivalent diagrams.

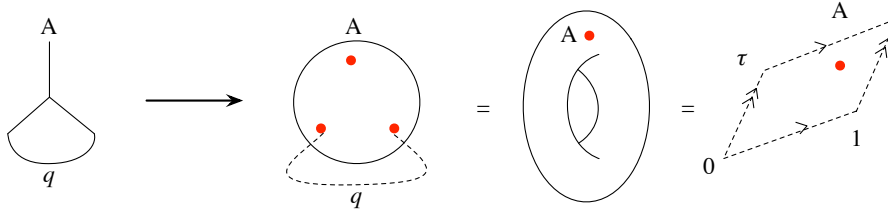


Figure 9.14: SU(2) with adjoint plus one free hyper

is in the tensor product of two spin-1/2 representations. Therefore we can split it into a triplet and a singlet, with additional index $u = 1, 2$:

$$q_{\alpha\alpha u} \rightarrow q'_{iu}, q''_u, \tag{9.5.3}$$

where $i = 1, 2, 3$ is the index for the triplet of SU(2).

In total, we just have one full hypermultiplet in the triplet, and another full hypermultiplet in the singlet which is completely decoupled. Therefore this is essentially the $\mathcal{N}=2^*$ SU(2) theory, or equivalently the $\mathcal{N}=4$ SU(2) theory with mass deformation to the hypermultiplet in the adjoint representation. The adjoint mass μ is associated to the remaining one SU(2) flavor symmetry.

Its Seiberg-Witten solution is given by connecting two punctures of a three-punctured sphere by a tube. As shown in Fig. 9.14, the Gaiotto curve is a torus with one puncture. The Seiberg-Witten curve is then

$$\lambda^2 - \phi(z) = 0 \tag{9.5.4}$$

where z is now a coordinate of the torus, which we take to be the complex plane with the identification $z \sim z + 1 \sim z + \tau$. As the origin of the coordinate is arbitrary, we put the puncture at the origin. The $\phi(z)$ is given by the condition that it has a double pole with a given strength at $z = 0$. This uniquely fixes the form of $\phi(z)$ to be

$$\phi(z) = (\mu^2 \wp(z; \tau) + u) dz^2 \tag{9.5.5}$$

where \wp is the Weierstraß function, and u is the Coulomb branch vev $u = \langle \text{tr } \Phi^2 \rangle / 2$.

Now it is clear that the theory at the coupling given by τ and the same theory at the coupling given by $\tau' = -1/\tau$ are equivalent after exchanging the monopoles and the adjoint quarks. The space of the coupling can be identified with the moduli space \mathcal{M}_1 of the tori, i.e genus-1 Riemann surfaces, which is given by

$$\mathcal{M}_1 = \mathbb{H} / \text{SL}(2, \mathbb{Z}) \quad (9.5.6)$$

where \mathbb{H} is the upper half plane where τ takes the value in, and $\text{SL}(2, \mathbb{Z})$ is the modular group exchanging the edges of the torus. The duality group can be identified with the modular group.

9.5.3 Example: sphere with five punctures

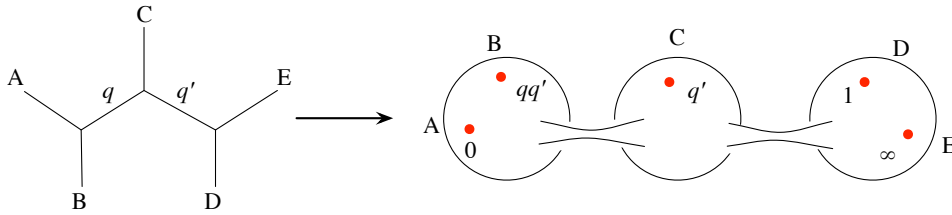


Figure 9.15: an $\text{SU}(2)^2$ theory and its curve

As another example, take three trivalent vertices and connect them as shown in Fig. 9.15. The leftmost trivalent vertex counts as $N_f = 2$ flavors for $\text{SU}(2)_1$, and the rightmost one counts as $N_f = 2$ flavors for $\text{SU}(2)_2$. In addition, we have a hypermultiplet coming from the central trivalent vertex, q_{aiu} where a is for $\text{SU}(2)_1$ and i is for $\text{SU}(2)_2$. The remaining index $u = 1, 2$ is an index for the flavor symmetry. In older literature it was more customary to denote this hypermultiplet charged under $\text{SU}(2)_1 \times \text{SU}(2)_2$ using $\mathcal{N}=1$ chiral multiplets (Q_a^i, \tilde{Q}_i^a) which are

$$Q_a^i = q_{aju=1} \epsilon^{ij}, \quad \tilde{Q}_i^a = q_{biu=2} \epsilon^{ab}. \quad (9.5.7)$$

This is usually called the bifundamental multiplet charged under $\text{SU}(2)_1 \times \text{SU}(2)_2$.

The Seiberg-Witten solution to this theory is easily found, as shown in Fig. 9.15. We start from three spheres, described by complex coordinates z_1, z_2 , and z_3 . The punctures A, B are at $z_1 = 0, 1$; the puncture C is at $z_2 = 1$; the punctures D, E are at $z_3 = 1, \infty$, respectively. We connect $z_1 = \infty$ and $z_2 = 0$ via $z_1 z_2 = q$, and then $z_2 = \infty$ and $z_3 = 0$ via $z_2 z_3 = q$. Now we introduce $z = z_3$ to describe the coordinate on the resulting sphere with five punctures. Now the punctures are at $z = 0, qq', q, 1$ and ∞ , each representing an $\text{SU}(2)$ flavor symmetry which we call $\text{SU}(2)_{A,B,C,D,E}$ respectively. The gauge couplings of $\text{SU}(2)_1 \times \text{SU}(2)_2$ can be identified with q and q' .

Let us denote the mass parameters associated to the flavor symmetries by $\mu_{A,B,C,D,E}$. The Seiberg-Witten curve is

$$\lambda^2 - \phi(z) = 0 \quad (9.5.8)$$

where $\phi(z)$ needs to satisfy the asymptotic behavior

$$\phi(z) \sim \frac{\mu_X^2}{z_X^2} dz_X^2 \quad (9.5.9)$$

where z_X for $X = A, B, C, D, E$ is a local coordinate on the Gaiotto curve such that the puncture X is at $z_X = 0$. From the conditions at A, B, C, D , we find that ϕ is given by

$$\phi(z) = \frac{P(z)}{z^2(z-1)^2(z-q)^2(z-qq')^2} dz^2 \quad (9.5.10)$$

where $P(z)$ is a polynomial. To impose the condition at E , we go to the coordinate $w = 1/z$. For $\phi(z)$ to behave as $\sim dw^2/w^2$, $P(z)$ can have terms of up to z^6 . We see that $\phi(z)$ has seven coefficients. Five combinations are mass parameters, and two linear combinations that do not shift the coefficients of the double poles are the Coulomb branch parameters $u = \langle \text{tr } \Phi^2 \rangle / 2$ and $u' = \langle \text{tr } \Phi'^2 \rangle / 2$ of two gauge multiplets $SU(2)_{1,2}$. From the Seiberg-Witten solution, we see that this theory has strong-weak coupling dualities where five flavor symmetry groups $SU(2)_{A,B,C,D,E}$ can be arbitrarily permuted, with an appropriate change of the couplings (q, q') of the two gauge groups. This extended duality was first found in [44].

9.5.4 Example: a genus-two surface

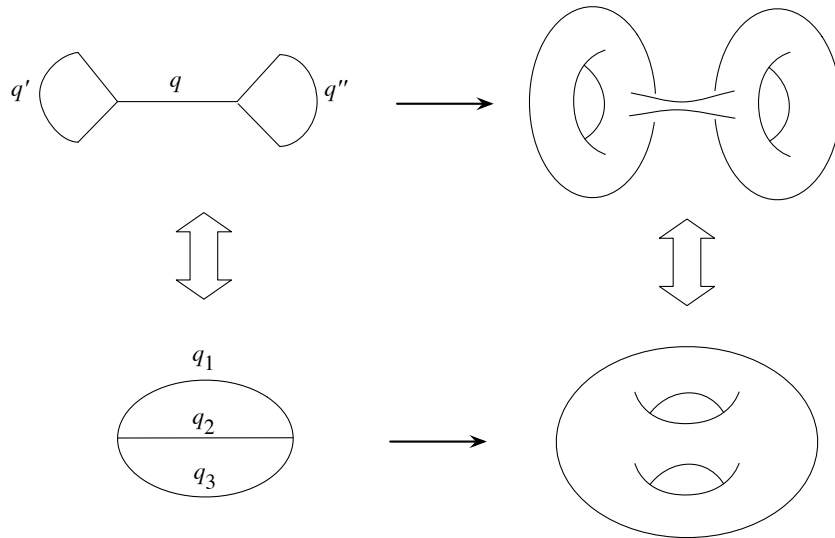


Figure 9.16: an $SU(2)^3$ theory and its curve

As the third example, let us take two trivalent vertices and connect them with three edges. There are two topologically distinct ways to do this, as shown on the left hand side of Fig. 9.16.

The upper theory is an $SU(2)_l \times SU(2)_m \times SU(2)_r$ gauge theory. There are half-hypermultiplets which are in

$$\mathbf{3} \otimes \mathbf{2} \otimes \mathbf{1}, \quad \mathbf{1} \otimes \mathbf{2} \otimes \mathbf{3} \quad (9.5.11)$$

and one full hypermultiplet charged under $SU(2)_m$. Note that the trivalent-graph construction does not allow us to consider theories with non-zero mass term for this last full hypermultiplet. The lower theory is an $SU(2)_1 \times SU(2)_2 \times SU(2)_3$ theory with two half-hypermultiplets in the trifundamental representation. Again, the trivalent-graph construction does not allow us to introduce non-zero mass term for this full hypermultiplet in the trifundamental.

The Seiberg-Witten solution is again easily obtained. To obtain the Gaiotto curve, we replace two trivalent vertices with three-punctured spheres, and connect pairs of punctures with tubes. We see that both are given by a smooth genus-2 surface. The Seiberg-Witten curve is a further double cover given by

$$\lambda^2 - \phi(z) = 0 \tag{9.5.12}$$

where z is a complex coordinate of the genus-2 surface, and $\phi(z)$ is a smooth quadratic differential on it. The space of quadratic differentials on a fixed genus-2 surface is complex three dimensional, which we identify with the Coulomb branch vevs $u_i = \langle \text{tr } \Phi_i^2 \rangle / 2$.

Now it is clear that we can continuously the upper theory to the lower theory by tuning the gauge couplings. The non-perturbative space of couplings can be identified with the moduli space \mathcal{M}_2 of genus-2 Riemann surfaces, which is complex three dimensional. The duality group is identified with the mapping class group \mathcal{G}_2 of the genus-2 surface, and $\mathcal{M}_2 = \mathcal{T}_2 / \mathcal{G}_2$ where \mathcal{T}_2 is the Teichmüller space of the genus-2 Riemann surface, compare the genus-1 case (9.5.6).

Now a somewhat surprising mathematical fact is that \mathcal{T}_2 is equivalent to three copies of the upper half plane \mathbb{H}^3 in the smooth sense, but not in the holomorphic sense⁸:

$$\begin{aligned} \mathcal{T}_2 &\simeq \mathbb{H}^3 && \text{in the smooth sense,} \\ \mathcal{T}_2 &\not\simeq \mathbb{H}^3 && \text{in the holomorphic sense.} \end{aligned} \tag{9.5.13}$$

Naive perturbative analysis tells us that the space of the couplings of $SU(2)^3$ is just three copies of the upper half plane:

$$(\tau_1, \tau_2, \tau_3) \in \mathbb{H}^3 \tag{9.5.14}$$

including the complex structure. Therefore, we find that the non-perturbative corrections can make a rather drastic change in the complex structure of the parameter space of supersymmetric theories.

9.6 Theories with less flavors revisited

We found that writing the Seiberg-Witten curve of the $N_f = 4$ theory in the form

$$\lambda^2 - \phi(z) = 0 \tag{9.6.1}$$

helps greatly in understanding the structure of the duality. Let us apply this idea to the curves for theories with less number of flavors, $N_f < 4$.

⁸The author thanks Jacques Distler for very illuminating discussions on this point.

9.6.1 Rewriting of the curves

First, consider the curve of the pure theory,

$$\frac{\Lambda^2}{z} + \Lambda^2 z = x^2 - u, \quad \text{with } \lambda = x \frac{dz}{z}. \quad (9.6.2)$$

In terms of λ , this can be written as

$$\lambda^2 - \phi(z) = 0, \quad \phi(z) = \left(\frac{\Lambda^2}{z} + u + \Lambda^2 z \right) \frac{dz^2}{z^2}. \quad (9.6.3)$$

We see that the quadratic differential $\phi(z)$ has singularities worse than those in the $N_f = 4$ theory: they now have order three poles at $z = 0$ and $z = \infty$. We can depict the situation of the curve as in the upper row of Fig. 9.17. There, the roman numeral III shows that $\phi(z)$ has a third order pole at the puncture. The singularities of $\phi_2(z)$ with higher poles form a new class of punctures, which we call wild $SU(2)$ punctures.

As an extension of the trivalent diagram encoding the UV Lagrangian, let us introduce the notation that an edge stands for an $\mathcal{N}=2$ $SU(2)$ vector multiplet, and the black square at one end means that we do not introduce any hypermultiplet. Then the translation from the diagram representing the UV Lagrangian to the Gaiotto curve can be simply seen, as also shown in Fig. 9.17.

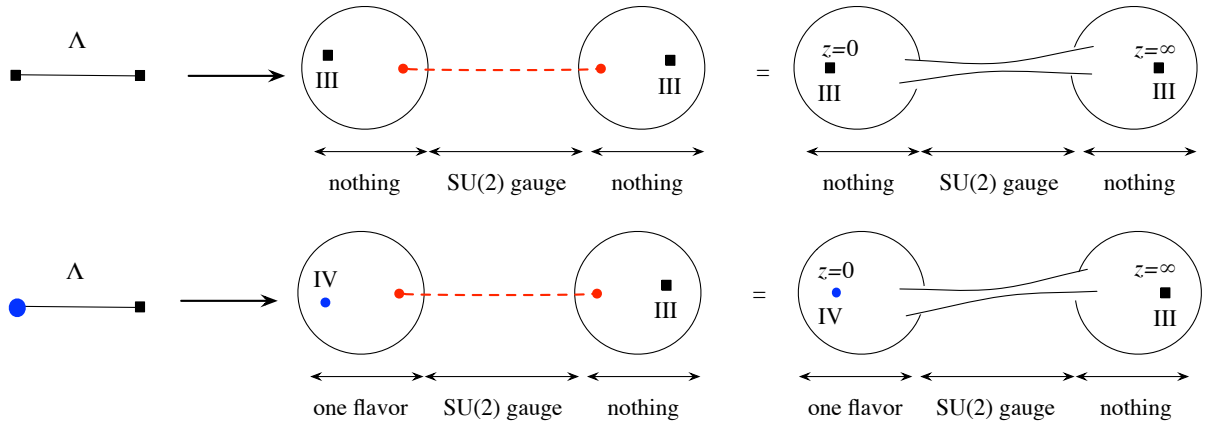


Figure 9.17: $SU(2)$ theories with less flavors

Second, consider the curve of the $N_f = 1$ theory,

$$\frac{2\Lambda(\tilde{x} - \mu)}{z} + \Lambda^2 z = \tilde{x}^2 - u, \quad \text{with } \tilde{\lambda} = \tilde{x} \frac{dz}{z}. \quad (9.6.4)$$

This can be written as

$$\lambda^2 - \phi(z) = 0, \quad \phi(z) = \left(\frac{\Lambda^2}{z^2} + \frac{2\Lambda\mu}{z} + u + \Lambda^2 z \right) \frac{dz^2}{z^2} \quad (9.6.5)$$

where $\lambda = xdz/z$ is shifted from $\tilde{\lambda}$. We find that the singularity at $z = \infty$ changes to a pole of order 4. The Lagrangian and its Seiberg-Witten solution can be concisely summarized as in the lower row of Fig. 9.17. The edge stands for an $SU(2)$ gauge group. A black square on one side means that we do not have any hypermultiplet there. A blue blob on another side means that we introduce one hypermultiplet in the doublet. The solution is obtained by associating to a black square by a sphere with a third order pole, denoted by III, and by similarly associating to a blue blob a sphere with a fourth order pole, denoted by IV, and finally connecting them by a tube. Note that a fourth order pole has its own $SU(2)$ flavor symmetry and an associated mass parameter.

Summarizing, we consider a sphere with a regular puncture and a wild puncture of pole order III as an empty theory, and a sphere with a regular puncture and a wild puncture of pole order IV as a theory of decoupled doublet hypermultiplet, as shown in Fig. 9.17. Connecting the regular punctures with a tube, we find the Gaiotto curves of less flavors.

9.6.2 Generalization

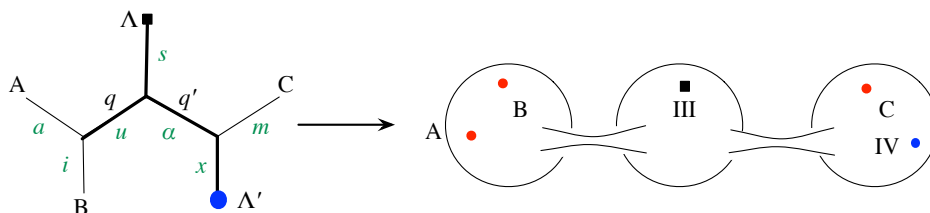


Figure 9.18: An $SU(2)^4$ theory and its curve

This generalization allows us to find the Seiberg-Witten solutions to a huge class of $\mathcal{N}=2$ theories whose gauge group is a product of copies of $SU(2)$. For example, consider a UV Lagrangian theory with gauge group $SU(2)^4$ described by the left hand side of Fig. 9.18. In words, we first take three copies of bifundamental hypermultiplets,

$$Q_{aiu}, \quad Q'_{usa}, \quad Q''_{xam}. \quad (9.6.6)$$

We showed in the figure how the indices are assigned to the edges of the trivalent diagram. We emphasized the edges corresponding to the dynamical gauge groups by making them thicker. In words, the indices a, i, m are for $SU(2)_{A,B,C}$ flavor symmetries. An $SU(2)$ gauge multiplet couples to the index u , with exponentiated coupling constant q , another $SU(2)$ gauge multiplet to the index α , with exponentiated coupling constant q' . We introduce another $SU(2)_1$ gauge multiplet which couples to the index s corresponding to the black square, and finally another $SU(2)_2$ gauge multiplet which couples to the index x , with additional $N_f = 1$ hypermultiplet (Q'''_x, \tilde{Q}'''^x) . We can write down the Lagrangian if required, but now we see how concise the trivalent diagram summarizes its structure.

Its Seiberg-Witten solution can be immediately obtained. It is given by

$$\lambda^2 - \phi(z) = 0, \quad (9.6.7)$$

where $\phi(z)$ has three order two poles, one order three pole and finally an order four pole. Putting them at $z = 0, 1, z_0, z_1$ and at ∞ respectively, we see that $\phi(z)$ has the form

$$\phi(z) = \frac{P(z)}{z^2(z-1)^2(z-z_0)^2(z-z_1)^3} dz^2 \quad (9.6.8)$$

where $P(z)$ is a polynomial. To have an order four pole at $w = 1/z = 0$, $P(z)$ is seen to be a degree-9 polynomial. Among the ten coefficients, three are mass parameters for $SU(2)_{A,B,C}$ flavor symmetry, one is the scale of $SU(2)_1$ for the black blob, another is the scale of $SU(2)_2$, and another for the mass parameter of the additional $N_f = 1$ flavor for $SU(2)_2$. The four remaining linear combinations can be identified with the four Coulomb branch parameters $u_i = \langle \text{tr } \Phi_i^2 / 2 \rangle$. This is not a conformal theory: there are two dynamical scales Λ and Λ' . Still, we immediately see from the structure of the Gaiotto curve that there are S-dualities exchanging the three regular punctures at A, B and C .

10 Argyres-Douglas CFTs

In this section, we come back to the observation made at the end of Sec. 5.2 that there is a very singular point of the Coulomb branch of the $N_f = 1$ theory. We study the physics at that point and its generalizations.

10.1 $N_f = 1$ theory and the simplest Argyres-Douglas CFT

Let us come back to the curve of $SU(2)$ gauge theory with $N_f = 1$ flavor again:

$$\Sigma : \frac{2\Lambda(x-\mu)}{z} + \Lambda^2 z = x^2 - u. \quad (10.1.1)$$

With a generic choice of Λ and μ , there are three singularities on the u -plane. As we saw at the end of Sec. 5.2, two singularities collide at $u = 3\Lambda^2$ when we set $\mu = \frac{3}{2}\Lambda$, see Fig. 10.1.

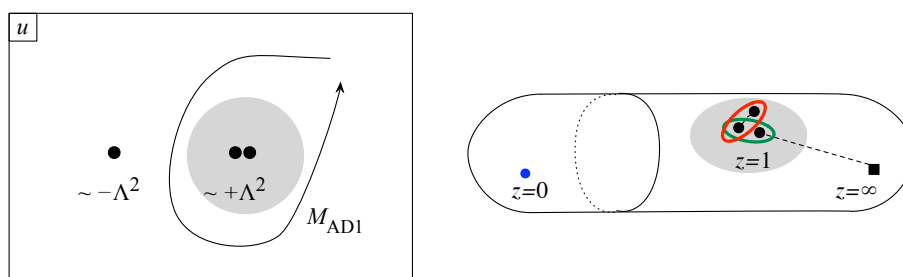


Figure 10.1: Argyres-Douglas point of $N_f = 1$ theory

When $u \sim 3\Lambda^2$, three branch points of $x(z)$ collide at $z = 1$. Then, both the A-cycle and the B-cycle defining a and a_D can be taken to be small loops around $z = 0$. This guarantees that both

a and a_D are small. Therefore we simultaneously have very light electric and magnetic particles. Such a point on the Coulomb branch is called the Argyres-Douglas point. This was first identified in the case of pure $SU(3)$ theory in [45], and extended to $SU(2)$ theories with flavors in [46].

The monodromy M_{AD1} around $u = 3\Lambda^2$ can be found in various ways. One is to multiply the monodromies of the two colliding singularities of the $N_f = 1$ theory. Another is to follow how the three branch points move. Setting $u = 3\Lambda^2 + \delta u$, we find that the three branch points are at $z - 1 \propto \delta u^{1/3}$. This determines how the cycles are mapped, resulting in the monodromy. In either method, we find

$$M_{AD1} \sim \begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix}. \quad (10.1.2)$$

The transformation on the low energy coupling by M_{AD1} is

$$\tau \mapsto \tau' = \frac{\tau + 1}{-\tau}. \quad (10.1.3)$$

Note that $\tau = e^{2\pi i/3}$ is a fixed point of this transformation; by an explicit computation, we can check that $\tau - e^{2\pi i/3} \propto \delta u^{1/3}$. We find that the coupling is pinned at this strongly-coupled value.

The low energy limit is believed to be conformal. To isolate the physics in this limit, let us take

$$z = 1 + \delta z, \quad x = \Lambda + \delta x, \quad u = 3\Lambda^2 + \delta u, \quad \mu = \frac{3}{2}\Lambda + \delta\mu \quad (10.1.4)$$

and make all variables with δ to be very small. The curve in terms of the new variables are approximately given by

$$(\delta x)^2 + \delta u = (\delta z)^3 + \delta\mu\delta z. \quad (10.1.5)$$

The differential is

$$\lambda = x \frac{dz}{z} \sim \delta x d\delta z. \quad (10.1.6)$$

As the integral $\int \lambda$ gives the mass of BPS particles, λ itself should have the scaling dimension 1. The relation (10.1.5) means that the scaling dimensions $[\delta x]$ and $[\delta z]$ should satisfy

$$[\delta x] : [\delta z] = 3 : 2. \quad (10.1.7)$$

This fixes the scaling dimensions of all the other variables involved:

$$[\delta u] = \frac{6}{5}, \quad [\delta\mu] = \frac{4}{5}. \quad (10.1.8)$$

Note that the mass dimension, or equivalently the scaling dimension at the ultraviolet of the operator $u = \text{tr } \Phi^2/2$ was 2. We find that the anomalous dimension is of order one, reducing $[\delta u]$ significantly.

As we are taking the limit $\delta u \rightarrow 0$, we are zooming into the neighborhood of the u -plane around $u = 3\Lambda^2$. In the limit, we can think of the low energy theory to be described by a theory with only

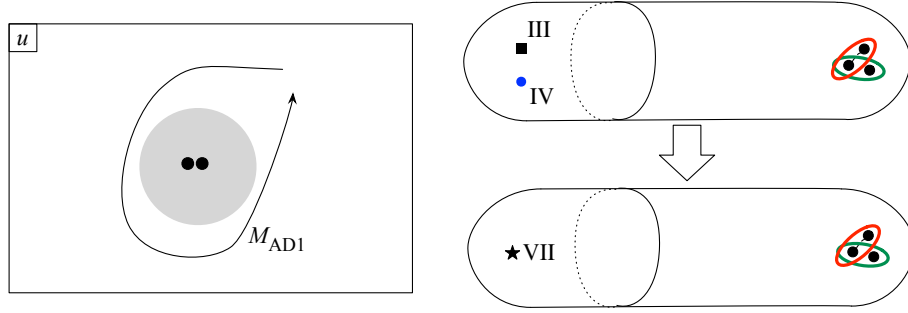


Figure 10.2: Argyres-Douglas theory $AD_{N_f=1}(\text{SU}(2))$

a singularity at $\delta u = 0$, as shown on the left hand side of Fig. 10.2. We call the resulting theory the Argyres-Douglas CFT $AD_{N_f=1}(\text{SU}(2))$.⁹

Let us revisit this limiting procedure from the 6d point of view. We first write the original $N_f = 1$ curve in the form $\lambda^2 - \phi(z) = 0$. Recall that $\phi(z)$ has one order-3 pole and one order-4 pole, as was studied in Sec. 9.6 and shown in Fig. 9.17. We also have three branch points of $\phi(z)$ on generic points. Suppose that we tune the parameters carefully so that two poles of $\phi(z)$ collide:

$$\phi(z) \sim \left(\frac{P_3(z)}{(z - \epsilon)^3} + \frac{P_4(z)}{z^4} \right) dz^2 = \frac{P_7(z)}{(z - \epsilon)^3 z^4} dz^2 \rightarrow \frac{Q_7(z)}{z^7} dz^2 \quad (10.1.9)$$

where P_d, Q_d are generic polynomials of degree d at this stage. We end up having just one singularity with an order-7 pole, as shown on the right hand side of Fig. 10.2. To have no singularity at $z = \infty$, we see that $Q_7(z)$ should be in fact of degree 3:

$$\lambda^2 = \frac{c + c'z + \mu z^2 + uz^3}{z^7} dz^2. \quad (10.1.10)$$

By the coordinate transformation $z \rightarrow z/(az - b)$, we can set $c = 1$ and $c' = 0$. We then have

$$\lambda^2 = \frac{1 + \mu z^2 + uz^3}{z^7} dz^2. \quad (10.1.11)$$

As the left hand side is of scaling dimension 2, we see that $[z] = -2/5$, and we conclude

$$[\mu] = \frac{4}{5}, \quad [u] = \frac{6}{5} \quad (10.1.12)$$

which agree with what we found above. Note that the variable z is auxiliary, and therefore there is no reason for its dimension to match.

In general for any conformal field theory, any dynamical scalar operator O should have scaling dimension larger than or equal to one:

$$[O] \geq 1, \quad (10.1.13)$$

⁹Unfortunately, there is no accepted universal naming system for Argyres-Douglas theories in the literature. In this lecture note the author tries to provide one which might be more cumbersome than the ones in the literature but more explicit in distinguishing various constructions.

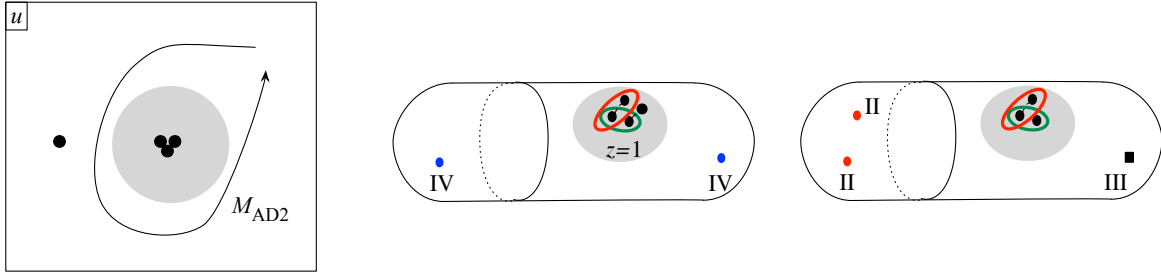


Figure 10.3: Argyres-Douglas point of $N_f = 2$ theory

and the equality is only attained when O describes a free decoupled scalar boson. Then the operator u with $[u] = 6/5$ is a genuine operator in the theory $AD_{N_f=1}(SU(2))$. The object μ is regarded as a parameter conjugate to u in the following sense. In an $\mathcal{N}=2$ theory, we can consider a deformation of the prepotential

$$\int d^4\theta F \rightarrow \int d^4\theta (F + mO). \quad (10.1.14)$$

Here, $d^4\theta$ is the chiral $\mathcal{N}=2$ superspace integral we briefly mentioned at the end of Sec. 2.4, O is an operator and m is a parameter multiplying it. In an $\mathcal{N}=2$ superconformal theory, the combination mO therefore needs to have a scaling dimension 2. Then we should have

$$[m] + [O] = 2. \quad (10.1.15)$$

We see that the pair μ and u satisfies this condition, see (10.1.12). We therefore regard μ as the deformation parameter corresponding to the operator u .

10.2 Argyres-Douglas CFT from the $N_f = 2$ theory

Consider the curve of the $N_f = 2$ theory,

$$\frac{2\Lambda(x - \mu)}{z} + 2\Lambda(x - \mu)z = x^2 - u \quad (10.2.1)$$

where we set the masses of the two flavors the same. We can also use the curve of the alternative form

$$\frac{(x - \mu)^2}{z} + 4\Lambda^2 z = x^2 - u. \quad (10.2.2)$$

Its moduli space for generic μ was shown in Fig. 8.9.

When $\mu = 0$, two singularities without the Higgs branch attached collide. Instead, let us tune the parameter μ so that the singularity with the Higgs branch collides with a singularity without, see Fig. 10.3. For definiteness let us use the first form of the curve. Then this collision happens when $\mu = 2\Lambda$, at $u = 4\Lambda^2$. The four branch points then collide at $z = 1$. We find that the

monodromy around the resulting singularity is

$$M_{AD2} \sim \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad (10.2.3)$$

acting on the coupling as

$$\tau \rightarrow \tau' = -\frac{1}{\tau}. \quad (10.2.4)$$

The strong coupling value $\tau = i$ is the fixed point of this transformation, and the low-energy coupling approaches this value when we let $u \rightarrow 4\Lambda^2$.

Expanding the variables as before,

$$z = 1 + \delta z, \quad x = 2\Lambda + \delta x, \quad u = 4\Lambda^2 + \delta u, \quad \mu = 2\Lambda + \delta \mu, \quad (10.2.5)$$

we find that the curve in the limit is

$$(\delta x)^2 + \delta u = (\delta z)^4 + \delta \mu \delta z^2 + \Delta \mu \delta z \quad (10.2.6)$$

with the differential $\lambda \sim \delta x d\delta z$. Here we reinstated a small difference $\Delta \mu = \mu_1 - \mu_2$ between the bare masses μ_1, μ_2 of two doublet hypermultiplets. Demanding λ to have scaling dimension 1, we see that

$$[\delta x] = \frac{2}{3}, \quad [\delta z] = \frac{1}{2}. \quad (10.2.7)$$

Then we find

$$[\delta u] = \frac{4}{3}, \quad [\delta \mu] = \frac{2}{3}, \quad [\Delta \mu] = 1. \quad (10.2.8)$$

We see again that $[\delta u] + [\delta \mu] = 2$, and therefore $\delta \mu$ is a deformation parameter corresponding to the operator δu . $\Delta \mu$ is a mass parameter for the non-Abelian flavor symmetry $SU(2)_F$. In general, in a conformal theory, a non-Abelian flavor symmetry current J^a should have scaling dimension 3. The $\mathcal{N}=2$ supersymmetry relates it to the mass term, which is given for a Lagrangian theory by the familiar term $Q\tilde{Q}$ and has scaling dimension 2. Therefore, the non-Abelian mass parameter of $\mathcal{N}=2$ superconformal theory should always have scaling dimension 1. Our computation of $[\Delta \mu]$ is consistent with this general argument.

Let us study the limiting procedure of the $N_f = 2$ theory from the 6d point of view. Before taking the limit, the curve in the first form (10.2.1) was $\lambda^2 = \phi(z)$ with two order-4 poles of $\phi(z)$. We collide them, and we end up with a singularity of order 8. Just as in the analysis before, we conclude that the curve in the limit is given by

$$\lambda^2 = \frac{1 + \mu z^2 + \Delta \mu z^3 + u z^4}{z^8} dz^2. \quad (10.2.9)$$

We easily see that $[z] = -1/3$. Then we find the same scaling dimensions as in (10.2.8).

The curve in the second form (10.2.2), when written as $\lambda^2 = \phi(z)$, had two poles of order 2, and another of order 3. At the two order-two poles, the residues of $x dz/z$ are $\pm(\mu_1 + \mu_2)/2$ and $\pm(\mu_1 - \mu_2)/2$, respectively. Let us collide an order-2 pole with the residue $\pm(\mu_1 + \mu_2)/2$ and an

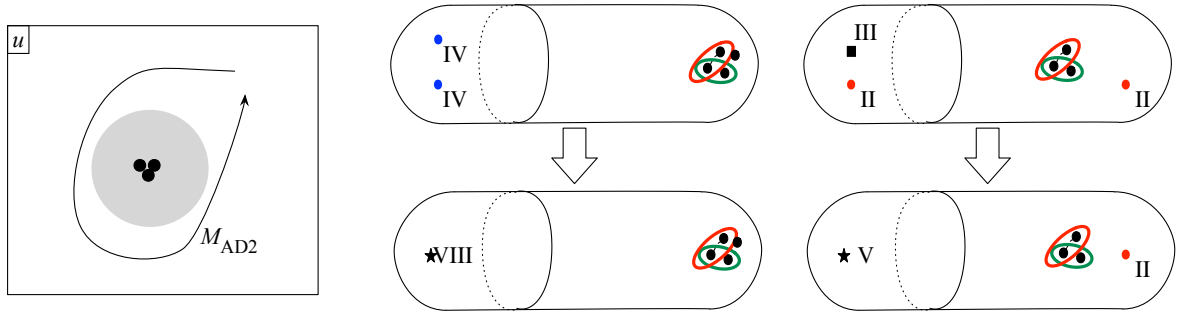


Figure 10.4: Argyres-Douglas theory $AD_{N_f=2}(SU(2))$

order-3 pole to form a pole of order 5. We end up having a $\phi(z)$ with one pole of order 5, say at $z = 0$, and another pole of order 2, with the residue $\pm(\mu_1 - \mu_2)/2$, see Fig. 10.4. The curve in the limit can also be easily found:

$$\lambda^2 = \frac{1 + \delta\mu z + \delta u z^2 + \left(\frac{\mu_1 - \mu_2}{2}\right)^2 z^3}{z^5} dz^2 \quad (10.2.10)$$

The last coefficient was fixed by the condition at $z = \infty$. Demanding λ to have scaling dimension 1, we see that $[z] = -2/3$, and

$$[\delta\mu] = \frac{2}{3}, \quad [\delta u] = \frac{4}{3}. \quad (10.2.11)$$

It is reassuring to find the same answer.

10.3 Argyres-Douglas CFT from the $N_f = 3$ theory

The special limit of $N_f = 3$ theory can be found in exactly the same way. We start from the curve

$$\frac{(x - \mu)^2}{z} + 2\Lambda(x - \mu)z = x^2 - u \quad (10.3.1)$$

with the same mass for three flavors. On the u -plane, we have one singularity with the Higgs branch, and two singularities without. We tune μ so that singularity with the Higgs branch collides with another without, see Fig. 10.5.

The monodromy around the resulting singularities is

$$M_{AD3} = \begin{pmatrix} 0 & 1 \\ -1 & -1 \end{pmatrix} \quad (10.3.2)$$

with the action on the coupling given by

$$\tau \mapsto \tau' = -\frac{1}{\tau + 1}. \quad (10.3.3)$$

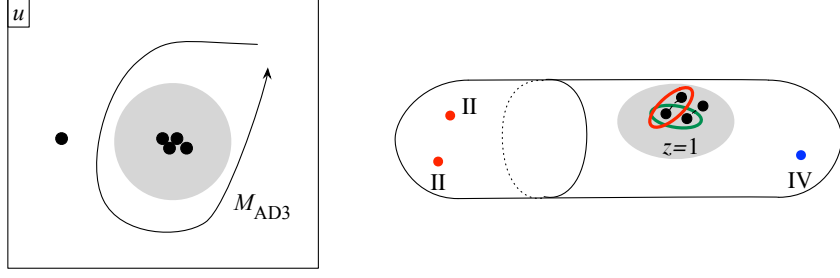


Figure 10.5: Argyres-Douglas point of $N_f = 3$ theory

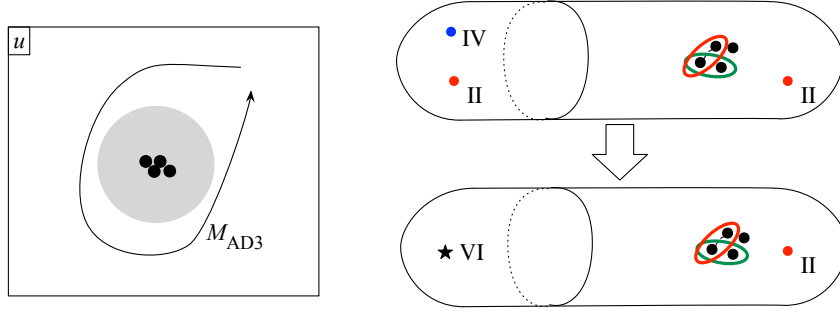


Figure 10.6: Argyres-Douglas theory $AD_{N_f=3}(SU(2))$

The fixed point is at $\tau = e^{2\pi i/3}$.

In the 6d description, we had two poles of order two and one pole of order four. We collide an order-2 pole and an order-4 pole, ending up with a pole of order six. The curve is then

$$\lambda^2 = \frac{1 + \delta\mu z + \mu' z + \delta u z^2 + \left(\frac{\mu_1 - \mu_2}{2}\right)^2 z^3}{z^6} dz^2 \quad (10.3.4)$$

The differential λ has scaling dimension 1. Then $[z] = -1/2$, and we find

$$[\delta\mu] = \frac{1}{2}, \quad [\delta u] = \frac{3}{2}, \quad [\mu'] = 1, \quad [\Delta\mu] = 1, \quad (10.3.5)$$

where we defined $\Delta\mu = \mu_1 - \mu_2$. Two parameters μ' and $\Delta\mu$ are of scaling dimension 1, and we identify them with the mass parameters associated to the $SU(3)$ flavor symmetry. We also see $[\delta\mu] + [\delta u] = 2$ again.

10.4 Summary of rank-1 theories

10.4.1 Argyres-Douglas CFTs from $SU(2)$ with flavors

So far we studied the Argyres-Douglas CFTs which were obtained by special limits of $SU(2)$ gauge theories with $N_f = 1, 2, 3$ flavors. The data of these and other related CFTs are summarized in Table 10.1. The Argyres-Douglas CFTs are the first three rows of the table. The fourth row is for

the $SU(2)$ theory with $N_f = 4$ massless flavors. The next two rows are for slightly different classes of theories. Namely, if we consider $SU(2)$ theory with more than 4 flavors or $U(1)$ theory with nonzero charged hypermultiplets, they are infrared free, see (8.1.5) and (8.3.13). They appeared repeatedly as a local behavior close to a singularity on the u -plane.

In Table 10.1 we also tabulated the dimension of the Higgs branch. Let us quickly recall how they are obtained. We know $AD_{N_f=1}(SU(2))$ does not have one, since its parent theory $SU(2)$ with $N_f = 1$ does not have one either. For $AD_{N_f=2}(SU(2))$, we consider $SU(2)$ with $N_f = 2$ with a $U(1)$ mass term. Then the Higgs branch is $\mathbb{C}^2/\mathbb{Z}_2$, whose quaternionic dimension is 1. For $AD_{N_f=3}(SU(2))$, we consider $SU(2)$ with $N_f = 3$. With a $U(1)$ mass term, its Higgs branch can be found by studying a point $u = \mu_1^2 = \mu_2^2 = \mu_3^2$ in a weakly-coupled theory. The physics there is just $U(1)$ with three charged hypermultiplets, with the Higgs branch of quaternionic dimension 2. For free $SU(2)$ theory with $N_f \geq 4$ flavors, the quaternionic dimension is just $2N_f - \dim SU(2)$, and similarly for $U(1)$ theory with N flavors it is given just by $N - \dim U(1)$. One funny feature is that we see

$$\dim_{\mathbb{H}}(\text{Higgs branch}) = h^{\vee}(\text{flavor symmetry}) - 1 \quad (10.4.1)$$

for the first six rows, where $h^{\vee}ee(G)$ is the dual Coxeter number, which is also a contribution to the one-loop running $C(\text{adj})$ from the adjoint representation of G . These theories have just one Coulomb branch modulus, and the low-energy theory on a generic point on the Coulomb branch is just a free $U(1)$ theory. These theories are called rank-1.

10.4.2 Exceptional theories of Minahan-Nemeschansky

We have not discussed the theories listed in the remaining three rows. One way to motivate them is to refer to a classical mathematical result of Kodaira. At a given point on the u -plane, we have the Gaiotto curve C and the Seiberg-Witten curve Σ . The curve Σ is a torus, whose shape is parameterized by its complex structure τ , which depend holomorphically on u . Therefore we have a fibration of torus over the complex plane with the coordinate u . The u -plane together with the fiber Σ forms a complex two-dimensional space X .

Kodaira classified the possible types of singularities of such fibrations, and the first six rows of Table 10.1 is an exact copy of part of that classification. The terminologies are of course different, since he was a mathematician and we are studying $\mathcal{N}=2$ gauge theories. Kodaira's classification had three more rows in addition to the first six rows, which motivated people that there should be three additional theories corresponding to them. The Seiberg-Witten curves for these were constructed first by Minahan and Nemeschansky in [47, 48].

In the mathematical language, a singularity in the torus fibration creates a singularity in the total space X of complex dimension two. It is locally of the form \mathbb{C}^2/Γ where Γ is a finite subgroup of $SU(2)$. They have a natural ADE classification, and we can associate a Lie group G_{Γ} .

Mathematicians associate this group G_{Γ} purely mathematically to a torus fibration, and we see that they are exactly the flavor symmetries of the gauge theories, at least to the first six. Mathematicians have associated exceptional groups $E_{6,7,8}$ to the last three cases. It was thus quite tempting that the putative theories which correspond to the last three rows have these exceptional groups as the flavor symmetries. From the feature (10.4.1) relating the flavor symmetry and the dimension of

name	monodromy	flavor	$[u]$	#	$\dim_{\mathbb{H}}(\text{Higgs})$
$AD_{N_f=1}(\text{SU}(2))$	$\begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix}$		6/5	2	
$AD_{N_f=2}(\text{SU}(2))$	$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$	SU(2)	4/3	3	1
$AD_{N_f=3}(\text{SU}(2))$	$\begin{pmatrix} 0 & 1 \\ -1 & -1 \end{pmatrix}$	SU(3)	3/2	4	2
SU(2) $N_f = 4$	$\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$	SO(8)	2	6	5
SU(2) $N_f > 4$	$\begin{pmatrix} -1 & 4 - N_f \\ 0 & -1 \end{pmatrix}$	SO($2N_f$)	(2)	$N_f + 2$	$2N_f - 3$
U(1) with N flavors	$\begin{pmatrix} 1 & N \\ 0 & 1 \end{pmatrix}$	SU(N)	(2)	N	$N - 1$
$MN(E_6)$	$\begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix}$	E_6	3	8	11
$MN(E_7)$	$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$	E_7	4	9	17
$MN(E_8)$	$\begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}$	E_8	6	10	29

Table 10.1: Data of various rank-1 CFTs. # is the number of singularities colliding at $u = 0$, and $\dim_{\mathbb{H}} \text{Higgs}$ is the quaternionic dimension of the Higgs branch, i.e. the real dimension $/4$.

the Higgs branch, it is also tempting to guess the dimension of the Higgs branch of these theories. We call these CFTs $MN(E_6)$, $MN(E_7)$ and $MN(E_8)$, respectively.

Note that it is rather hard to have an exceptional flavor symmetry in a classical Lagrangian $\mathcal{N}=2$ theory. We already know a general form of the Lagrangian: the superpotential as an $\mathcal{N}=1$ theory is forced to be

$$\sum_i \int Q_i \Phi \tilde{Q}_i, \quad (10.4.2)$$

and it is possible to check explicitly that the flavor symmetry visible in the ultraviolet is a product of SU, SO and Sp groups. Therefore, if the exceptional symmetries are to appear, they need to arise via strong-coupling effects. Once the reader comes to Sec. 12.4 of this note, s/he will find exactly how this happens in the field theory setting.

Another way to construct the theories listed in the table uniformly is to use Type IIB string theory and its non-perturbative version F-theory. This approach originates in [49] for SU(2) with four flavors. For the general case, see e.g. [50].

The type IIB string theory is ten-dimensional, and it has objects called 7-branes and 3-branes, where a p -brane extends along p spatial direction and one time direction. Let us say the spacetime is of the form

$$\mathbb{R}^{1,3} \times \mathbb{R}^2 \times \mathbb{R}^4. \quad (10.4.3)$$

Put a 7-brane in the subspace

$$\mathbb{R}^{1,3} \times \{0\} \times \mathbb{R}^4 \quad (10.4.4)$$

and a D3-brane in the subspace

$$\mathbb{R}^{1,3} \times \{u\} \times \{0\}. \quad (10.4.5)$$

There are various types of 7-branes in F-theory, corresponding to Kodaira's classification. They can all be obtained by taking a number of the simplest of the 7-branes, called (p, q) 7-branes, separated along the \mathbb{R}^2 direction and collapsing them at one point. Then the low-energy theory on the D3-brane gives the corresponding $\mathcal{N}=2$ theories.

Due to its tension, one (p, q) 7-brane creates deficit angles $\pi/6$. With n (p, q) 7-branes collapsed to a point, the remaining angle is $1 - n/12$ of 2π . From this the scaling dimension of u can be computed to be

$$u = \frac{12}{12 - n}, \quad (10.4.6)$$

which explains an interesting pattern in Table 10.1. These 7-branes obtained by collapsing a number of (p, q) 7-branes has a gauge symmetry F living on its eight-dimensional worldvolume. From the point of view, this gauge symmetry F on the 7-brane becomes a flavor symmetry. The D3-brane can be absorbed into this 7-brane as an instanton in the internal \mathbb{R}^4 direction of (10.4.4). Then, the Higgs branch should be given by the one instanton moduli space of the group F . The k -instanton moduli space of a group F has quaternionic dimension $kh^\vee(F) - 1$, explaining the relation (10.4.1).

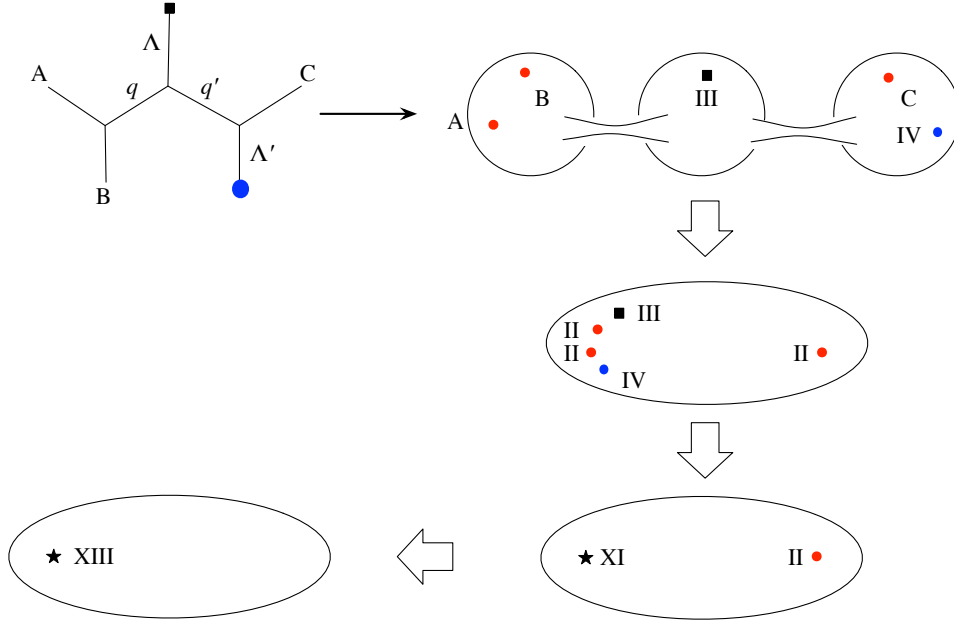


Figure 10.7: A higher Argyres-Douglas theory

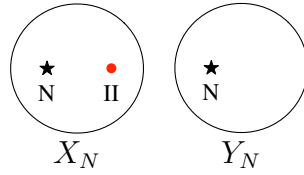


Figure 10.8: The theory X_N and the theory Y_N .

10.5 More general Argyres-Douglas CFTs: X_N and Y_N

Let us switch gears and consider other Argyres-Douglas CFTs obtained from more complicated gauge theories with gauge group of the form $SU(2)^n$. As an example, consider a rather complicated theory with gauge group $SU(2)^4$ studied at the end of Sec. 9.6. By performing the same limiting procedure we did in the $SU(2)$ theory with $N_f = 1, 2, 3$, we can consider the theory described by $\lambda^2 - \phi(z) = 0$ where $\phi(z)$ can have poles of very high order. The examples shown in Fig. 10.7 have either just one pole of order 13 or one order-9 pole and an order-11 pole. They describe complicated 4d $\mathcal{N}=2$ supersymmetric conformal field theories.

Let us introduce names to these theories. The X_N theory is the superconformal field theory corresponding to a sphere with one regular puncture and a puncture with an order- N pole, and the Y_N theory is the superconformal field theory corresponding to a sphere with just a puncture with an order- N pole, see Fig. 10.8. As can be seen from Fig. 10.2, Fig. 10.4 and Fig. 10.6, we know

$$Y_7 = AD_{N_f=1}(SU(2)), \quad Y_8 = AD_{N_f=2}(SU(2)) = X_5, \quad AD_{N_f=3}(SU(2)) = X_6. \quad (10.5.1)$$

Also, recall the construction of the $SU(2)$ theory with one flavor given in Fig. 9.17. There,

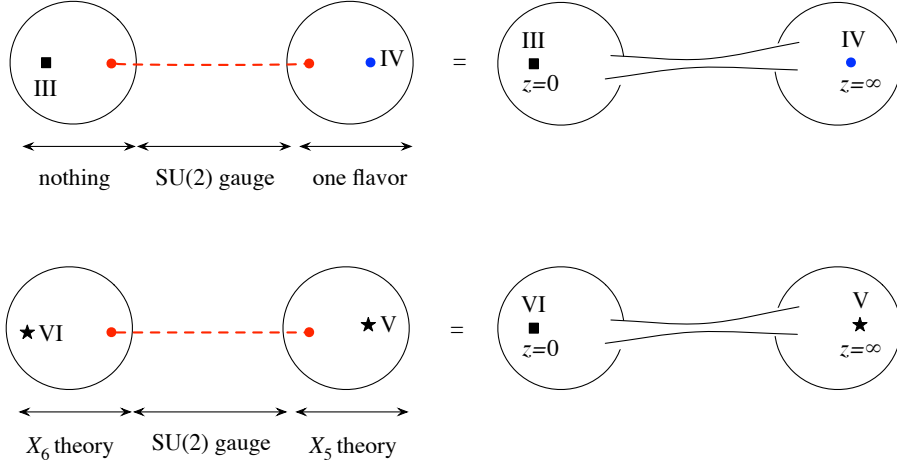


Figure 10.9: Ordinary and wild gauge theories

a sphere with a regular puncture and a puncture of pole order 3 served as an empty boundary condition, and a sphere with a regular puncture and a puncture of pole order 4 behaves as a free hypermultiplet in the doublet of $SU(2)$. Equivalently, we see

$$X_3 = \text{an empty theory}, \quad X_4 = \text{free hypermultiplet in the doublet of } SU(2). \quad (10.5.2)$$

We depicted them in the first row of Fig. 10.9.

More generally, we can have a two-punctured sphere with poles of arbitrary order N and N' . One example with $N = 6$ and $N' = 5$ is shown in the second row of Fig. 10.9. It can be understood as an $SU(2)$ gauge theory to somewhat unusual matter contents, described by two strongly-interacting CFTs X_N and $X_{N'}$. Note that an order-2 pole always carries an $SU(2)$ flavor symmetry, and therefore the X_N theory always has an $SU(2)$ flavor symmetry. The $SU(2)$ gauge symmetry coming from the tube couples these two theories. This type of gauge theory with X_N as part of its matter contents is often called a wild gauge theory.

It is straightforward to find the running of the coupling of this theory. Assume a is very big, as always. The branch points of $\lambda^2 = \phi(z)$ is around where

$$\frac{\Lambda^2}{z^N} dz^2 \sim \frac{udz^2}{z^2} \quad \text{or} \quad \Lambda^2 z^{N'} dz^2 \sim \frac{udz^2}{z^2}. \quad (10.5.3)$$

Then they are around

$$z_- \sim \left(\frac{\Lambda}{a}\right)^{1/(N-2)}, \quad z_+ \sim \left(\frac{a}{\Lambda}\right)^{1/(N'-2)}. \quad (10.5.4)$$

We find

$$a_D \sim \frac{2}{2\pi i} \int_{z_+}^{z_-} x \frac{dz}{z} \sim -\frac{2}{2\pi i} \left(\frac{2}{N-2} + \frac{2}{N'-2} \right) a \log \frac{a}{\Lambda}. \quad (10.5.5)$$

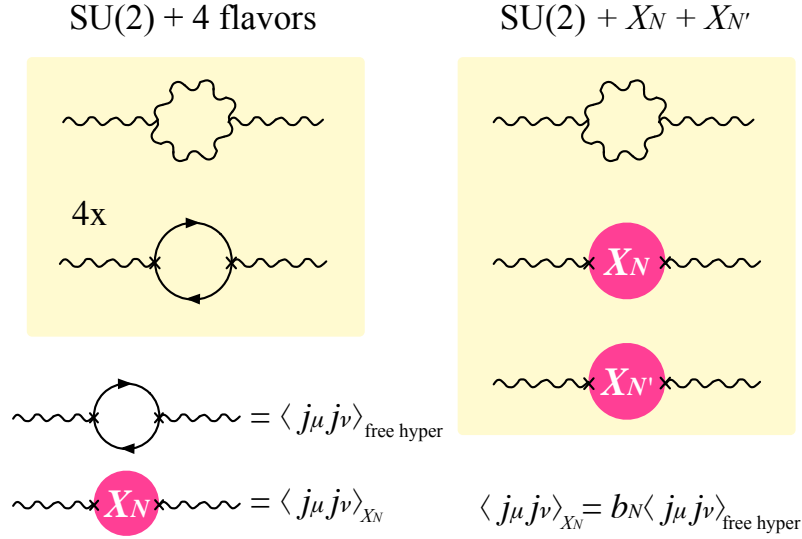


Figure 10.10: The running of the coupling measures the two-point correlator of the currents.

This means that the one-loop running is given by

$$\Lambda \frac{d}{d\Lambda} \tau = \frac{2}{2\pi i} (b_N + b_{N'} - 4) \quad (10.5.6)$$

where

$$b_N = 2 - \frac{2}{N-2}. \quad (10.5.7)$$

The contribution to the one-loop running from one doublet hypermultiplet is given by $b = 1$. Then this b_N can be roughly thought of as an effective number of doublet hypermultiplets, carried by the theory X_N . More precisely, b_N measures the coefficient of the correlator of the symmetry current j_μ of the $SU(2)$ flavor symmetry, see Fig 10.10. As shown there, for $SU(2)$ with flavors, the running of the gauge coupling is caused by the loop of gauge multiplets (shown as wavy lines) or of hypermultiplets (shown as straight lines) coupled to the gauge fields via the $SU(2)$ current operator j_μ . Then the contribution to the one-loop running measures $\langle j_\mu j_\nu \rangle$. The fact that the X_N theory contributes b_N times a free flavor does means that

$$\langle j_\mu j_\nu \rangle_{X_N} = b_N \langle j_\mu j_\nu \rangle_{\text{free hyper in a doublet of } SU(2)}. \quad (10.5.8)$$

Recall that X_3 is just empty and X_4 is one free hypermultiplet in the doublet of flavor $SU(2)$. Our general formula correctly reproduces $b_3 = 0$ and $b_4 = 1$.

In the next section we will see that a singular limit of the pure $SU(N)$ gauge theories becomes the theory Y_{N+4} , whereas a singular limit of the pure $SO(2N)$ gauge theories becomes the theory X_{N+2} . We will also see that $SU(N)$ gauge theories with two flavors have a singular limit given by X_{N+3} .

Let us denote the Argyres-Douglas CFTs obtained from the pure G gauge theory as $AD_{N_f=0}(G)$, and the Argyres-Douglas CFTs obtained from the $SU(N)$ with two flavors as $AD_{N_f=2}(SU(N))$.

Then we can succinctly state these equivalences as

$$\begin{aligned} AD_{N_f=0}(\mathrm{SU}(N)) &= Y_{N+4}, \\ AD_{N_f=0}(\mathrm{SO}(2N)) &= X_{N+2}, \\ AD_{N_f=2}(\mathrm{SU}(N)) &= X_{N+3}. \end{aligned} \tag{10.5.9}$$

We have already seen in (10.5.1) that $AD_{N_f=2}(\mathrm{SU}(2))$, the Argyres-Douglas theories arising from $\mathrm{SU}(2)$ with $N_f = 2$ flavors, is equivalent to both Y_5 and Y_8 . This coincidence is a manifestation of the equivalence $\mathrm{SU}(4) \simeq \mathrm{SO}(6)$ from the point of view of (10.5.9). Also, consider the pure $\mathrm{SO}(4)$ theory, which is two copies of the pure $\mathrm{SU}(2)$ theory. Its most singular point is where both copies are at the monopole point, thus realizing two free hypermultiplets. Indeed, this has an $\mathrm{SU}(2)$ flavor symmetry, and is a doublet under it, realizing the fact

$$AD_{N_f=0}(\mathrm{SO}(4)) = X_4 = \text{a free hypermultiplet in the doublet of } \mathrm{SU}(2). \tag{10.5.10}$$

11 Gauge theory with single gauge group: $\mathrm{SU}(N)$ and $\mathrm{SO}(2N)$

We have spent so many pages to study $\mathcal{N}=2$ gauge theories with gauge group $\mathrm{SU}(2)$. In this section we move on to the analysis of larger gauge groups. We will first study $\mathrm{SU}(N)$ gauge theories in some detail, and then briefly mention the case $\mathrm{SO}(2N)$.

11.1 Semiclassical analysis

Let us consider $\mathrm{SU}(N)$ gauge theory with N_f hypermultiplets in the fundamental N -dimensional representation. The $\mathcal{N}=2$ vector multiplet consists of the $\mathcal{N}=1$ adjoint chiral multiplet Φ and the $\mathcal{N}=1$ vector multiplet W_α , both $N \times N$ matrices. The hypermultiplets, in terms of $\mathcal{N}=1$ chiral multiplets, can be written as

$$Q_i^a, \quad \tilde{Q}_a^i, \quad a = 1, \dots, N; \quad i = 1, \dots, N_f. \tag{11.1.1}$$

One branch of the supersymmetric vacuum is given by the condition

$$[\Phi, \Phi^\dagger] = 0. \tag{11.1.2}$$

This means that Φ can be diagonalized. We denote it by

$$\Phi = \mathrm{diag}(a_1, \dots, a_N), \quad \sum a_i = 0. \tag{11.1.3}$$

Let us consider a generic situation when $a_i \neq a_j$ for all $i \neq j$. Then the gauge group is broken from $\mathrm{SU}(N)$ to $\mathrm{U}(1)^{N-1}$. The W-boson mass is given by

$$M_W = |a_i - a_j| \tag{11.1.4}$$

for the W-boson coming from the entry (i, j) of the $N \times N$ matrix. As for the monopole, it is known that the 't Hooft-Polyakov monopole solution for the breaking from $\mathrm{SU}(2)$ to $\mathrm{U}(1)$ can be

directly regarded as a solution for the breaking from $SU(N)$ to $U(1)$, by choosing 2×2 submatrices of $N \times N$ matrices, given by picking the entries at the positions (i, i) , (i, j) , (j, i) and (j, j) for $i \neq j$. The masses are then

$$M_{\text{monopole}} = |\tau_{UV}(a_i - a_j)|. \quad (11.1.5)$$

The one-loop running is

$$\Lambda \frac{d}{d\Lambda} \tau = \frac{1}{2\pi} (2N - N_f). \quad (11.1.6)$$

Then the theory is asymptotically free when $0 \leq N_f < 2N$. The dynamical scale is then

$$\Lambda^{2N-N_f} := \Lambda_{UV}^{2N-N_f} e^{2\pi i \tau_{UV}}. \quad (11.1.7)$$

When $N_f = 2N$, the theory is asymptotically conformal, and τ_{UV} is a dimensionless parameter in the quantum theory.

When there are flavors, the $\mathcal{N}=1$ superpotential in this vacua is

$$\sum_i (Q_i \Phi \tilde{Q}^i - \mu_i Q_i \tilde{Q}^i) = \sum_i (Q_i^1, Q_i^2, \dots, Q_i^N) \begin{pmatrix} a_1 - \mu_i & & & \\ & a_2 - \mu_i & & \\ & & \ddots & \\ & & & a_N - \mu_i \end{pmatrix} \begin{pmatrix} \tilde{Q}_1^i \\ \tilde{Q}_2^i \\ \vdots \\ \tilde{Q}_N^i \end{pmatrix}. \quad (11.1.8)$$

Then we have one massless charged hypermultiplet component whenever we have $a_i - \mu_s = 0$ for some i and s .

In the strongly-coupled quantum theory, the definition of a_i as the diagonal entries of the gauge-dependent quantity Φ does not make much sense. Instead, as we did in the case of $SU(2)$ gauge theory, we define a_i as the complex numbers entering in the BPS mass formula:

$$M \geq |n^i a_i + m_i a_D^i + \sum_s f_s \mu_s| \quad (11.1.9)$$

where (n^i, m_i) are the electric and the magnetic charges under $U(1)^{N-1}$ infrared gauge group, and f_s are the flavor charges. We can also consider gauge-invariant combinations of Φ defined as

$$x^N + u_2 x^{N-2} + \dots + u_{N-1} x + u_N := \langle \det(x - \Phi) \rangle \quad (11.1.10)$$

where x is a dummy variable. For $N = 2$, we had $\Phi \sim \text{diag}(a, -a)$ and therefore $u_2 = -a^2$ up to quantum corrections. Similarly, for general N , u_k is the degree k elementary symmetric polynomials of the variables a_1, \dots, a_N up to quantum corrections. Our task then is to determine the mapping between (u_2, \dots, u_N) and (a_1, \dots, a_N) including the quantum corrections.

11.2 Pure SU(N) theory

11.2.1 The curve

Without further ado, let us introduce the Seiberg-Witten curves. First, the Seiberg-Witten curve for the pure SU(N) theory is given by

$$\Sigma : \quad \frac{\Lambda^N}{z} + \Lambda^N z = x^N + u_2 x^{N-2} + \cdots + u_N \quad (11.2.1)$$

with the differential $\lambda = x dz/z$ as always. The Gaiotto curve C is just a sphere with the complex coordinate z . At each point on the Gaiotto curve z , we have N solutions to the equation above. Therefore, Σ is a N -sheeted cover of C .

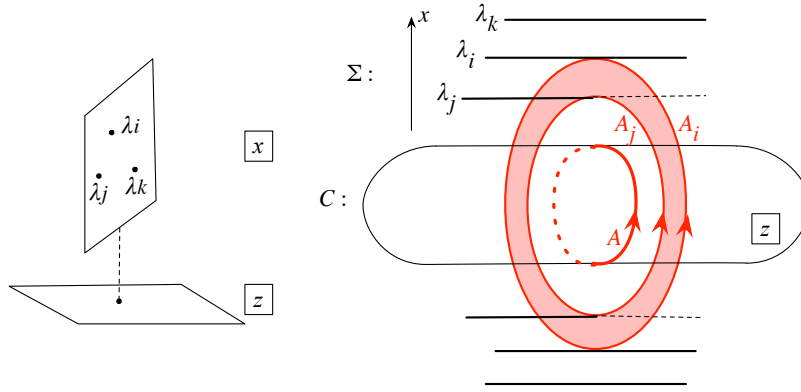


Figure 11.1: W-boson of the SU(N) theory

Let us check that this curve reproduces the semiclassical behavior. We introduce variables \underline{a}_i via

$$x^N + u_2 x^{N-2} + \cdots + u_N = \prod_i (x - \underline{a}_i). \quad (11.2.2)$$

We declare the A-cycle on the Gaiotto curve to be the unit circle $|z| = 1$. As the Seiberg-Witten curve is an N -sheeted cover, we can lift this curve to each sheet, which we call the cycle A_i . Assume we are in the regime $|\underline{a}_i| \sim E$ independent of i , and $E \gg \Lambda$. Then, we can approximately solve (11.2.1) by

$$x_i = \underline{a}_i + O(\Lambda). \quad (11.2.3)$$

It is more convenient to regard $\lambda = x dz/z$ itself to be the coordinate of the sheets. Then we have

$$\lambda_i = \underline{a}_i \frac{dz}{z} + O(\Lambda). \quad (11.2.4)$$

The situation is shown in Fig. 11.1. The integral of λ on the cycle A_i is easy to evaluate:

$$a_i := \frac{1}{2\pi i} \oint_{A_i} \lambda = \underline{a}_i + O(\Lambda). \quad (11.2.5)$$

Now we can suspend a ring-shaped membrane suspended between the i -th sheet and the j -th sheet. The mass of this object is

$$\left| \frac{1}{2\pi i} \oint_{A_i} \lambda - \frac{1}{2\pi i} \oint_{A_j} \lambda \right| = \left| \frac{1}{2\pi i} \oint_A (\lambda_i - \lambda_j) \right| = |a_i - a_j|. \quad (11.2.6)$$

This reproduces the mass of the W-boson.

To see the monopoles, we need to understand the structure of the branching of the N -sheeted cover $\Sigma \rightarrow C$. It is convenient to regard the combination $y = \Lambda^N(z + 1/z)$ as one coordinate. Then, the equation (11.2.1) can be thought of determining the intersections of the graph of the polynomial

$$y = P(x) = x^N + u_2 x^{N-2} + \dots + u_N \quad (11.2.7)$$

and a horizontal line

$$y = \Lambda^N \left(z + \frac{1}{z} \right) \quad (11.2.8)$$

as shown in Fig. 11.2. Of course the figure needs to be complexified, but the reader should be able to get the idea.

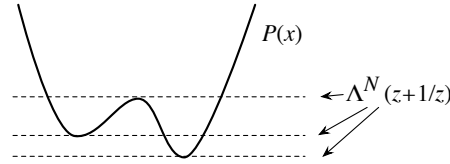


Figure 11.2: There are $(N - 1)$ pairs of branch points in $SU(N)$ pure theory

As is apparent, N sheets meet at $(N - 1)$ values of $y = \Lambda(z + 1/z)$, each of which becomes a pair z_i^\pm of branch points on the z -sphere with $z_i^+ z_i^- = 1$. Note that the i -th sheet and the $(i + 1)$ -st sheet meet at this pair of branch points. Then we can suspend a disk-shaped membrane between this pair of branch points, as shown in Fig. 11.3.

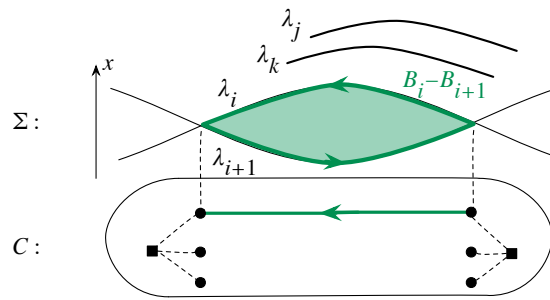


Figure 11.3: Monopoles of $SU(N)$ pure theory

In the semiclassical regime when $|a_i| \sim |E| \gg |\Lambda|$, we have

$$|z_i^+| \sim \frac{E^N}{\Lambda^N}, \quad |z_i^-| \sim \frac{\Lambda^N}{E^N}. \quad (11.2.9)$$

We call the path connecting z_i^+ and z_i^- as B_i . Then

$$M_{\text{monopole}} = \left| \frac{1}{2\pi i} \int_{B_i} (\lambda_i - \lambda_{i+1}) \right| \quad (11.2.10)$$

$$\sim \left| (a_i - a_{i+1}) \frac{1}{2\pi i} \int_{\Lambda^N/E^N} \frac{dz}{z} \right| \quad (11.2.11)$$

$$\sim \left| (a_i - a_{i+1}) \frac{2N}{2\pi i} \log \frac{E}{\Lambda} \right|. \quad (11.2.12)$$

This reproduces the mass of the monopole, by identifying

$$\tau(E) = \frac{2N}{2\pi i} \log \frac{E}{\Lambda}. \quad (11.2.13)$$

This correctly reproduces the one-loop running of the pure $SU(N)$ theory.

Note that we found W-bosons for any pair (i, j) with $i \neq j$, but we found monopoles only for $(N - 1)$ specific pairs $(i, i + 1)$. This agrees with the known semi-classical analysis of the monopoles: 't Hooft-Polyakov monopoles associated to other pairs are in fact unstable.

11.2.2 Infrared gauge coupling matrix

Let us check that our curve satisfies the condition that the coupling matrices of the low-energy $U(1)^{N-1}$ theory is positive definite. For this purpose we need to understand the geometry of the Seiberg-Witten curve Σ better. This is a N -sheeted cover of C with $2N - 2$ branch points $z_i \pm$ of order 2 and 2 branch points $z = 0, \infty$ of order N . The genus g of Σ is then determined by the Riemann-Hurwitz formula¹⁰:

$$\chi(\Sigma) = N\chi(C) - (2N - 2) - 2(N - 1) \quad (11.2.14)$$

where $\chi(\Sigma) = 2 - 2g$ and $\chi(C) = 2$ are the Euler number of the respective surfaces. We find $g = N - 1$. The basis of the 1-cycles consists of $(2N - 2)$ cycles A_i and \tilde{B}^i , $i = 1, \dots, N - 1$, where the intersections are given by

$$A_i \cdot A_j = 0 = \tilde{B}^i \cdot \tilde{B}^j, \quad A_i \cdot \tilde{B}^j = \delta_i^j. \quad (11.2.15)$$

Here the dot product counts the number of intersections (including signs) of two one-cycles. The resulting set of cycles is shown in Fig. 11.4.

The figures 11.3 and 11.4 are drawn in a rather different manner. The cycles from A_1 to A_{N-1} can be directly identified. We have

$$A_N = -A_1 - A_2 \cdots - A_{N-1} \quad (11.2.16)$$

¹⁰This is not hard to derive. Let us say we triangulate the curve C with V vertices, E edges and F triangles so that the branch points are all at the vertices. We have $\chi(C) = V - E + F$. We can just lift the edges and triangles to Σ : we have NE edges and NF triangles. The vertices are however less than NV . At each vertex p_i let the degree of the branching be $\deg p_i$. Then there are $NV - \sum (\deg p_i - 1)$ vertices in the triangulation of Σ . We end up $\chi(S) = N\chi(C) - \sum_i (\deg p_i - 1)$.

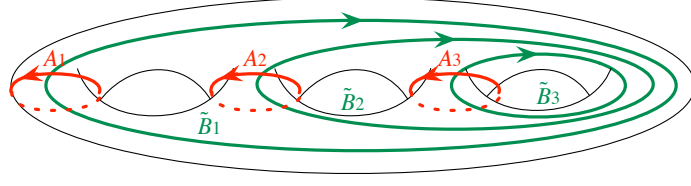


Figure 11.4: Cycles A_i and \tilde{B}_i on the Seiberg-Witten curve of the pure $SU(N)$ theory.

as far as the line integral of holomorphic forms are concerned. Correspondingly, the variables a_i as defined in (11.2.5) are not linearly independent, and we have

$$a_N = -a_1 - \cdots - a_{N-1}. \quad (11.2.17)$$

The combination $B_i - B_{i+1}$ in Fig. 11.3 intersects with A_i positively and with A_{i+1} negatively. Then, we see

$$B_i - B_{i+1} = \tilde{B}_i - \tilde{B}_j. \quad (11.2.18)$$

Equivalently, \tilde{B}_i is a closed one-cycle completing the open path B_i in a way independent of i . Then we define

$$a_D^i := \frac{1}{2\pi i} \oint_{\tilde{B}_i} \lambda \quad (11.2.19)$$

on the curve. Let us consider

$$\tau^{ij} := \frac{\partial a_D^i}{\partial a_j} = X_D^{ik} (X^{-1})_k^j \quad (11.2.20)$$

where

$$X_i^k := \frac{\partial a_i}{\partial u_k}, \quad X_D^{jk} = \frac{\partial a_D^j}{\partial u_k}. \quad (11.2.21)$$

Defining

$$\omega_k = \frac{\partial}{\partial u_k} \lambda \Big|_{\text{constant } z}, \quad (11.2.22)$$

we find

$$\tau^{ij} = X_D^{ik} (X^{-1})_k^j \quad \text{where} \quad X_i^k = \oint_{A_i} \omega_k, \quad X_D^{jk} = \oint_{\tilde{B}_j} \omega_k. \quad (11.2.23)$$

It can be checked that $\omega_{2,3,\dots,N}$ form a basis of holomorphic non-singular one-forms on Σ . The matrix τ^{ij} formed this way is known mathematically as the period matrix of Σ , and is known to satisfy

$$\tau^{ij} = \tau^{ji}, \quad \text{Im } \tau^{ij} \text{ is positive definite.} \quad (11.2.24)$$

From the first condition, we see that there is locally a function $F(a_i)$ such that

$$a_D^i = \frac{\partial F}{\partial a_i}, \quad \tau^{ij} = \frac{\partial^2 F}{\partial a_i \partial a_j}. \quad (11.2.25)$$

This justifies that we identify a_i , a_D^i defined this way with the a_i appearing in the low-energy description of $U(1)^{N-1}$ gauge theory. The inverse gauge coupling matrix is given by $\text{Im } \tau^{ij}$, whose positive definiteness is guaranteed by the mathematical relation (11.2.24).

11.3 SU(N) theory with fundamental flavors

11.3.1 $N_f = 1$

Next, consider the SU(N) theory with one flavor (Q, \tilde{Q}) of bare mass μ . The curve is given by

$$\Sigma : \quad \frac{\Lambda^{N-1}(x - \mu)}{z} + \Lambda^N z = x^N + u_2 x^{N-2} + \cdots + u_N. \quad (11.3.1)$$

Recall that in the semiclassical analysis we saw that a light charged hypermultiplet arises when $a_i \sim \mu$. Let us check that the curve written above reproduces this behavior.

First, we introduce \underline{a}_i as before, and consider the semiclassical regime when all $|\underline{a}_i|$ is far larger than $|\Lambda|$. The A-cycle on the Gaiotto curve was $|z| = 1$ as before. Then we find $a_i \sim \underline{a}_i + O(\Lambda)$ just as was in the case of the pure theory.

To see additional singularities in the weakly-coupled region, define $\tilde{z} = z/\Lambda^{N-1}$. The curve is then

$$\frac{x - \mu}{\tilde{z}} + \Lambda^{2N-1} \tilde{z} = x^N + u_2 x^{N-2} + \cdots + u_N, \quad (11.3.2)$$

which can be approximated by

$$\frac{x - \mu}{\tilde{z}} = x^N + u_2 x^{N-2} + \cdots + u_N = \prod (x - \underline{a}_i) \quad (11.3.3)$$

in the extremely weakly coupled limit. The equation factorize and the curve separates into two when $\underline{a}_i = \mu$; otherwise the curve is a smooth degree- N covering of the z sphere. This shows that when $\underline{a}_i = \mu$, a one-cycle on the Seiberg-Witten curve shrinks, and the membrane suspended there produces a massless hypermultiplet, see Fig. 5.9.

The one-loop running can also be checked. The branch points z_i^+ in the large z region is unchanged, as the structure of the $N_f = 1$ curve in the large z region itself is unchanged from the pure curve. Then

$$z_i^+ \sim (E/\Lambda)^N. \quad (11.3.4)$$

In the small z region, the branch points are at $\Lambda^{N-1}x/z$ and $P(x)$ are of the same order. Assuming $|x| \sim |\underline{a}_i| \sim |E|$, we see

$$z_i^- \sim (\Lambda/E)^{N-1}. \quad (11.3.5)$$

Then the monopole has the mass

$$M_{\text{monopole}} = \left| \frac{1}{2\pi i} \int_{B_i} \lambda \right| \quad (11.3.6)$$

$$\sim |(a_i - a_{i+1}) \frac{1}{2\pi i} \int_{\Lambda^{N-1}/E^{N-1}}^{E^N/\Lambda^N} \frac{dz}{z}| \quad (11.3.7)$$

$$\sim |(a_i - a_{i+1}) \frac{2N-1}{2\pi i} \log \frac{E}{\Lambda}|. \quad (11.3.8)$$

This gives

$$\tau(E) = \frac{2N-1}{2\pi i} \log \frac{E}{\Lambda} \quad (11.3.9)$$

as it should be.

11.3.2 General number of flavors

More generally, we can consider the curve given by

$$\Sigma : \quad \frac{\Lambda^{N-N_L} \prod_{i=1}^{N_L} (x - \mu_i)}{z} + z \Lambda^{N-N_R} \prod_{i=1}^{N_R} (x - \mu'_i) = x^N + u_2 x^{N-2} + \dots + u_{N-1} x + u_N \quad (11.3.10)$$

where $N_L, N_R \leq N$. Consider the case when μ_i and μ'_i are all small. Further, consider the regime where $|\underline{a}_i| \gg \Lambda$. As always we find $a_i = \underline{a}_i + O(\Lambda)$. The branch points are at

$$|z_i^+| \sim \frac{E^{N-N_R}}{\Lambda^{N-N_R}}, \quad |z_i^-| \sim \frac{\Lambda^{N-N_L}}{E^{N-N_L}}. \quad (11.3.11)$$

Then we find

$$M_{\text{monopole}} \sim |(a_i - a_{i+1}) \frac{1}{2\pi i} \int_{\Lambda^{N-N_L}/E^{N-N_L}}^{E^{N-N_R}/\Lambda^{N-N_R}} \frac{dz}{z}| \quad (11.3.12)$$

$$\sim |(a_i - a_{i+1}) \frac{2N - (N_L + N_R)}{2\pi i} \log \frac{E}{\Lambda}|, \quad (11.3.13)$$

and therefore the one-loop running is

$$\tau(E) = \frac{2N - (N_L + N_R)}{2\pi i} \log \frac{E}{\Lambda}. \quad (11.3.14)$$

In the other regime when $|\mu_i|, |\underline{a}_i| \gg \Lambda$, we can use the redefining trick to find singularities on the Coulomb branch. For example, defining $\tilde{z} = z/\Lambda^{N-N_L}$, the curve is

$$\frac{\prod_{i=1}^{N_L} (x - \mu_i)}{\tilde{z}} + \tilde{z} \Lambda^{2N-N_R-N_L} \prod_{i=1}^{N_R} (x - \mu'_i) = x^N + u_2 x^{N-2} + \dots + u_{N-1} x + u_N. \quad (11.3.15)$$

Then the limit $\Lambda \rightarrow 0$ can be taken, which gives

$$\frac{\prod_{i=1}^{N_L} (x - \mu_i)}{\tilde{z}} = \prod_{i=1}^N (x - \underline{a}_i). \quad (11.3.16)$$

This means that whenever $\underline{a}_i = \mu_s$ for some i and $s = 1, \dots, N_L$, the curve splits into two, because the equation can be factorized. The same can be done for the variable $w = 1/z$. Then we also find singularities when $\underline{a}_i = \mu'_s$ for some i and $s = 1, \dots, N_R$. In total, these reproduce the semiclassical, weakly-coupled physics of $SU(N)$ theory with $N_f = N_R + N_L$ hypermultiplets in the fundamental representation. The situation is summarized in Fig. 11.5.

We have a sphere C described by the coordinate z . The curve Σ is an N -sheeted cover of C . We have one M5-brane wrapping Σ . We call the 6d theory living on C the $\mathcal{N}=(2,0)$ theory of type $SU(N)$. Roughly speaking, it arises from N coincident M5-branes.

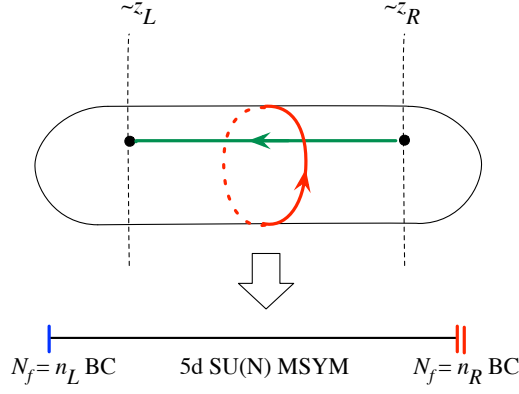


Figure 11.5: $SU(N)$ theory with flavors

Consider $\text{Arg } z$ as the sixth direction x_6 , and $\log |z|$ as the fifth direction x_5 . Reducing along the x_6 direction, we have a 5d theory on a segment. The 5d theory is the maximally supersymmetric Yang-Mills theory with gauge group $SU(N)$. The term

$$\frac{\Lambda^{N-N_L} \prod_{i=1}^{N_L} (x - \mu_i)}{z} \quad (11.3.17)$$

in the curve can be thought of defining a certain boundary condition on the left side of the fifth direction. We regard it as giving N_L hypermultiplets in the $SU(N)$ fundamental representation there. Similarly, the term

$$z \Lambda^{N-N_R} \prod_{i=1}^{N_R} (x - \mu'_i) \quad (11.3.18)$$

is regarded as the boundary condition such that N_R fundamental hypermultiplets there. By further reducing the theory along the fifth direction, we have $SU(N)$ gauge theory with $N_f = N_L + N_R$ fundamental hypermultiplets in total. We saw that the effect of the boundary conditions becomes noticeable around when

$$\log |z_R| \sim (N - N_R) \log \frac{E}{\Lambda} < 0, \quad \log |z_L| \sim (N - N_L) \log \frac{\Lambda}{E} > 0. \quad (11.3.19)$$

In the five dimensional Yang-Mills, we have monopole strings, which have ends around $|z_R|$ and $|z_L|$. From the four-dimensional point of view, $\log |z_L|/|z_R|$ then controlled the mass of the monopoles, which then gave the one-loop running of the theory.

Note that from the four-dimensional point of view, the split of N_f into N_R and N_L is rather arbitrary. In fact, by redefining z , we can easily come to the form of the curve given by

$$\frac{\Lambda^{2N-N_f} \prod_i^{N_f} (x - \mu_i)}{z} + z = x^N + u_2 x^{N-2} + \cdots + u_N \quad (11.3.20)$$

where we defined $\mu_{N_L+i} := \mu'_i$. In this form the symmetry exchanging all N_f mass parameters is manifest.

From the higher-dimensional perspective, it is however sometimes convenient to stick to the situation where the equation of the Seiberg-Witten curve Σ is of degree N regarded as a polynomial in x . This guarantees that Σ is always an N -sheeted cover of the Gaiotto curve C . Numerically, this condition means that the boundary condition such as (11.3.17) and (11.3.18) also has degrees less than or equal to N . This imposes the constraint $N \geq N_{L,R}$, and therefore $2N \geq N_f$. This is the condition that the theory is asymptotically free or asymptotically conformal.

11.4 SO(2N) theories

Now let us quickly discuss the SO(2N) gauge theories.

11.4.1 Semi-classical analysis

The vector multiplet scalar Φ is an $2N \times 2N$ antisymmetric matrix. Let us denote the hypermultiplets by (Q_i^a, \tilde{Q}_a^i) where $a = 1, \dots, 2N$ and $i = 1, \dots, N_f$. We consider the branch of the supersymmetric vacuum given by

$$[\Phi, \Phi^\dagger] = 0. \quad (11.4.1)$$

As Φ is antisymmetric, the outcome of the diagonalization is

$$\Phi = \text{diag}(a_1, \dots, a_N, -a_1, \dots, -a_N). \quad (11.4.2)$$

In general the gauge group is broken to $U(1)^N$. The gauge invariant combination is given by

$$x^{2N} + u_2 x^{2N-2} + u_4 x^{2N-4} + \dots + u_{2N} = \det(x + \Phi) \quad (11.4.3)$$

where x is a dummy variable. Note that the odd powers automatically vanish due to the antisymmetry. In fact \tilde{u}_N defined by the condition

$$u_{2N} = \tilde{u}_N^2, \quad \tilde{u}_N = a_1 a_2 \dots a_N \quad (11.4.4)$$

is also invariant under SO(2N) but not under OO(N).

The W-bosons have masses

$$|\pm a_i \pm a_j| \quad (11.4.5)$$

for $i \neq j$. Similarly, the monopole has the mass

$$|\tau(\pm a_i \pm a_j)|. \quad (11.4.6)$$

By expanding the superpotential

$$\sum_i (Q_i \Phi \tilde{Q}^i + \mu_i Q_i \tilde{Q}^i), \quad (11.4.7)$$

classically we find that there is a massless hypermultiplet charged under one of $U(1)$ gauge fields when $\mu_s = \pm a_i$ for some i and s .

The one-loop running is given by

$$\Lambda \frac{d}{d\Lambda} \tau = -\frac{1}{2\pi i} (2(2N - 2) - 2N_f). \quad (11.4.8)$$

Therefore the theory is asymptotically free for $N_f < 2N - 2$, and is asymptotically conformal when $N_f = 2N - 2$.

11.4.2 Pure SO(2N) theory

The Seiberg-Witten curve of the pure theory is given by

$$x^2 \left(\frac{\Lambda^{2N-2}}{z} + \Lambda^{2N-2} z \right) = x^{2N} + u_2 x^{2N-2} + u_4 x^{2N-4} + \cdots + u_{2N} \quad (11.4.9)$$

with the differential $\lambda = x dz/z$. This is an $2N$ -sheeted cover of the Gaiotto curve C , which is just a sphere with the complex coordinate z . By solving the equation, one finds $2N$ local solutions $\pm x_i(z)$. Correspondingly, we define $\pm \lambda_i = \pm x_i(z) dz/z$.

Let us study the weakly-coupled regime. We introduce \underline{a}_i by

$$x^{2N} + u_2 x^{2N-2} + u_4 x^{2N-4} + \cdots + u_{2N} = \prod_{i=1}^N (x^2 - \underline{a}_i^2). \quad (11.4.10)$$

The regime we are interested in is when $|\underline{a}_i| \gg |\Lambda|$.

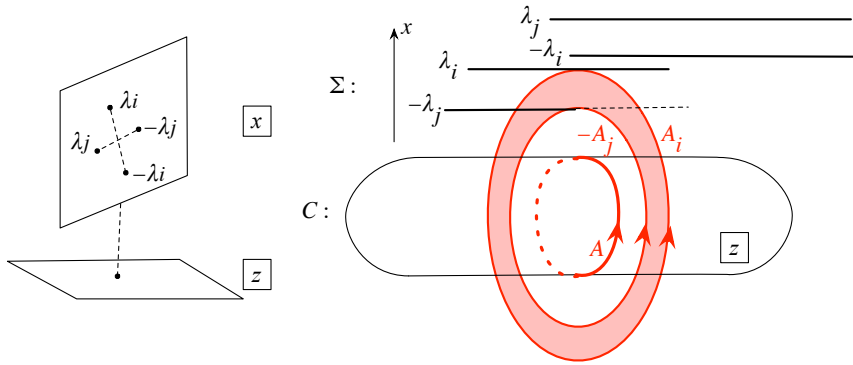


Figure 11.6: W-boson of the SO(2N) theory

We draw the A-cycle on the Gaiotto curve at $|z| = 1$, see Fig. 11.6. On the A-cycle, the equation (11.4.9) can be solved approximately to give

$$x_i(z) = \underline{a}_i + O(\Lambda). \quad (11.4.11)$$

We lift the A-cycle on C to the sheets of Σ . We have N pairs of cycles $\pm A_i$. Then

$$a_i = \frac{1}{2\pi i} \oint_{A_i} \lambda = \frac{1}{2\pi i} \oint \lambda_i = \underline{a}_i + O(\Lambda). \quad (11.4.12)$$

We can now suspend ring-like membranes between sheets. They clearly have masses

$$|\pm a_i \pm a_j|. \quad (11.4.13)$$

We find that we need to impose the constraint that M2-brane cannot be suspended between the i -th sheet and the $(-i)$ -th sheet, to forbid the W-boson with mass $|\pm 2a_i|$. As for the monopoles, the branch points are at around

$$z^+ \sim \left(\frac{E}{\Lambda} \right)^{2N-2}, \quad z^- \sim \left(\frac{\Lambda}{E} \right)^{2N-2}. \quad (11.4.14)$$

Then the monopole mass can be approximately computed as in the case of $SU(N)$ gauge theory: we find

$$\sim |(a_i - a_j) \frac{1}{2\pi i} \int_{z^-}^{z^+} \frac{dz}{z}| = |(a_i - a_j) \frac{2(2N-2)}{2\pi i} \log \frac{E}{\Lambda}|. \quad (11.4.15)$$

From this we see that the running coupling is

$$\tau(E) = \frac{2(2N-2)}{2\pi i} \log \frac{E}{\Lambda}, \quad (11.4.16)$$

correctly reproducing the one-loop analysis.

Let us study the low-energy coupling matrix τ^{ij} . The degree of branching at $z = 0, \infty$ is $2N - 2$, and we have N pairs of branch points on generic places of the z -sphere. At each of these latter type of branch points, if the sheets i and j meet, the sheets $-i$ and $-j$ meet at the same time. Slightly moving them apart, we find that there are $4N$ branch points of degree 2. Using the Riemann-Hurwitz theorem, we see

$$\chi(\Sigma) = 2N\chi(C) - 2(2N-3) - 4N. \quad (11.4.17)$$

Therefore the genus of the Seiberg-Witten curve is $g = 2N - 2$. Therefore, the independent 1-cycles on Σ can be labeled as $\tilde{A}_1, \dots, \tilde{A}_{2N-2}$ and $\tilde{B}^1, \dots, \tilde{B}^{2N-2}$ with the intersection

$$\tilde{A}_i \cdot \tilde{A}_j = 0 = \tilde{B}^i \cdot \tilde{B}^j, \quad \tilde{A}_i \cdot \tilde{B}^j = \delta_i^j. \quad (11.4.18)$$

Note that the curve Σ has the symmetry \mathbb{Z}_2 acting by $x \rightarrow -x$. Under this symmetry, the differential is odd: $\lambda \rightarrow -\lambda$. Correspondingly, only the 1-cycles L odd under this \mathbb{Z}_2 action can have $\oint_L \lambda \neq 0$. The cycles A_i for $i = 1, \dots, N$ obtained by lifting the A-cycle on the Gaiotto curve C to Σ are indeed odd. The period matrix τ^{ij} computed as in (11.2.23) is an $(2N-2) \times (2N-2)$ matrix, which is symmetric and whose imaginary part is positive definite. By restricting to the subspace odd under \mathbb{Z}_2 action, we end up having $N \times N$ matrix, which is again symmetric and whose imaginary part is positive definite.

11.4.3 $SO(2N)$ theory with flavors in the vector representation

The curve of the $SO(2N)$ theory with one hypermultiplet in the $2N$ -dimensional representation is

$$x^2 \left(\frac{\Lambda^{2N-4}(x^2 - \mu^2)}{z} + \Lambda^{2N-2} z \right) = x^{2N} + u_2 x^{2N-2} + u_4 x^{2N-4} + \dots + u_{2N}. \quad (11.4.19)$$

Let us just see that there is a singularity in the Coulomb branch when $a_i = \pm\mu$ for some i . As always, we assume $|\underline{a}_i|, |\mu| \gg |\Lambda|$, make the redefinition $\tilde{z} = z/\Lambda^{2N-4}$ and take the limit of the curve:

$$x^2 \frac{(x^2 - \mu^2)}{z} = x^{2N} + u_2 x^{2N-2} + u_4 x^{2N-4} + \dots + u_{2N}. \quad (11.4.20)$$

This equation is factorized when $\pm \underline{a}_i = \mu$ or $\underline{a}_i = 0$ for some i . The latter choice does not fit the assumption that $|\underline{a}_i| \gg |\Lambda|$. Then we find the singularities when $\pm \underline{a}_i \sim \mu$ in the weakly-coupled region.

In general, the curve of the $\text{SO}(2N)$ with $N_f = N_R + N_L$ hypermultiplets in the vector representation is given by

$$x^2 \left(\frac{\Lambda^{2(N-N_R)} \prod_{i=1}^{N_R} (x^2 - \mu_i^2)}{z} + \Lambda^{2(N-N_L)} z \prod_{i=1}^{N_L} (x^2 - \mu_i'^2) \right) = x^{2N} + u_2 x^{2N-2} + u_4 x^{2N-4} + \cdots + u_{2N}. \quad (11.4.21)$$

Let us check the one-loop running when $\mu_i = \mu_i' = 0$. Assume $|\underline{a}_i| \gg |\Lambda|$. As always we find $a_i = \underline{a}_i + O(\Lambda)$. The branch points on the Gaiotto curve are at around

$$z^+ \sim \left(\frac{E}{\Lambda} \right)^{2N-2-2N_L}, \quad z^- \sim \left(\frac{\Lambda}{E} \right)^{2N-2-2N_R}. \quad (11.4.22)$$

Then the monopole mass can be approximately computed as in the case of $\text{SU}(N)$ gauge theory: we find

$$\sim |(a_i - a_j) \frac{1}{2\pi i} \int_{z^-}^{z^+} \frac{dz}{z}| = |(a_i - a_j) \frac{2(2N-2-2(N_L+N_R))}{2\pi i} \log \frac{E}{\Lambda}|. \quad (11.4.23)$$

From this we see that the running coupling is

$$\tau(E) = \frac{2(2N-2) - 2(N_L+N_R)}{2\pi i} \log \frac{E}{\Lambda}, \quad (11.4.24)$$

correctly reproducing the one-loop analysis. Again, the condition that the theory is asymptotically free or conformal is related to the fact that the left hand side of the equation of the curve has lower degree than or equal degree to the right hand side.

11.5 Argyres-Douglas CFTs

Let us study the most singular point in the Coulomb branches of the theories we analyzed in this section.

11.5.1 Pure $\text{SU}(N)$ theory

First, take the curve of the pure $\text{SU}(N)$ theory:

$$\frac{\Lambda^N}{z} + \Lambda^N z = x^N + \cdots + u_N \quad (11.5.1)$$

with the differential $\lambda = x dz/z$. We set $z = 1 + \delta z$, $u_N = 2\Lambda^N + \delta u_N$ and take the limit where both δz and δu_N are very small. We find

$$\delta z^2 = x^N + u_2 x^{N-2} + \cdots + \delta u_N \quad (11.5.2)$$

with $\lambda = x d\delta z \sim \delta z dx$. Introducing $\tilde{z} = 1/x$, we find that the curve in this limit can be written as

$$\lambda^2 = \frac{1 + u_2 \tilde{z}^2 + u_3 \tilde{z}^3 + \cdots + \tilde{u}_N}{\tilde{z}^{N+4}} d\tilde{z}^2. \quad (11.5.3)$$

Note that it has the same form as the curve we saw in Sec. 10.5, which arose from considering the curve

$$\lambda^2 = \phi(\tilde{z}) \quad (11.5.4)$$

where $\phi(\tilde{z})$ is a quadratic differential with one pole of order $N + 4$, see Fig. 11.7. This is the same as the theory Y_{N+4} introduced in Fig. 10.8. We have

$$AD_{N_f=0}(\text{SU}(N)) = Y_{N+4}. \quad (11.5.5)$$

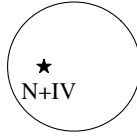


Figure 11.7: The most singular point of pure $\text{SU}(N)$ theory

Demanding that λ has scaling dimension 1, we find that

$$[u_k] = \frac{2k}{N+2}. \quad (11.5.6)$$

Note that we have $[u_k] + [u_{N+2-k}] = 2$. At this point it is instructive to recall our discussions around (10.1.13). We consider the prepotential deformation

$$\int d^4\theta u_k u_{N+2-k} \quad (11.5.7)$$

where $d^4\theta$ is the chiral $\mathcal{N}=2$ superspace integral. As $[u_k] \leq 1 \leq [u_{N-k}]$ when $k \leq N+2-k$, we consider u_k is the deformation parameter for the physical operator u_{N+2-k} .

Take the simplest case $N = 3$. We have the theory with one operator with $[u_3] = 4/3$ and a corresponding parameter with $[u_2] = 2/3$. These are the same as those of the Argyres-Douglas CFT which arose from $\text{SU}(2)$ with one flavor; in fact the curve and the differential are completely the same:

$$AD_{N_f=0}(\text{SU}(3)) = Y_5 = AD_{N_f=1}(\text{SU}(2)). \quad (11.5.8)$$

11.5.2 $\text{SU}(N)$ theory with two flavors

Next, consider $\text{SU}(N)$ theory with two flavors. The curve is

$$(x - \mu_1) \frac{\Lambda^{N-1}}{z} + (x - \mu_2) \Lambda^{N-1} z = x^N + \cdots + u_N. \quad (11.5.9)$$

We already studied the case $N = 2$, so let us set $N > 3$. Then we expand as

$$u_{N-1} = 2\Lambda^{N-1} + \delta u_{N-1}, \quad z = 1 + \delta z \quad (11.5.10)$$

and take the limit where δz , δu_{N-1} and $\mu_{1,2}$ are all small. The curve is

$$\delta z^2 + (\mu_1 - \mu_2)\delta z = \frac{x^N + \cdots + u_{N-2}x^2 + \delta u_{N-1}x + u_N}{x - (\mu_1 + \mu_2)/2} \quad (11.5.11)$$

with the differential $\lambda = x d\delta z \sim \delta z dx$. We further shift $\delta z \rightarrow \delta z - (\mu_1 - \mu_2)/2$, $x \rightarrow x - (\mu_1 + \mu_2)/2$ and introduce $\tilde{z} = 1/x$. The curve is now

$$\lambda^2 = \frac{1 + \tilde{u}_1\tilde{z} + \tilde{u}_2\tilde{z}^2 + \cdots + \tilde{u}_N\tilde{z}^N + (\mu_1 - \mu_2)^2\tilde{z}^{N+1}}{\tilde{z}^{N+3}} d\tilde{z}^2. \quad (11.5.12)$$

This is the curve $\lambda^2 = \phi(z)$ with ϕ having one pole of order $N + 3$ and another of order 2, see Fig. 11.8. This is the theory X_{N+3} introduced in Fig. 10.8. We have

$$AD_{N_f=2}(\text{SU}(N)) = X_{N+3}. \quad (11.5.13)$$

The most singular point of $\text{SU}(N)$ theory with odd number of flavors gives an $\mathcal{N}=2$ CFT, analyzed in [51]. The most singular point of $\text{SU}(N)$ theory with even number of flavors $N_f > 4$ does *not* give an $\mathcal{N}=2$ CFT, as we will see in Sec. 12.4.4.

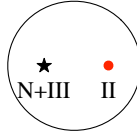


Figure 11.8: The most singular point of $\text{SU}(N)$ theory with two flavors

11.5.3 Pure $\text{SO}(2N)$ theory

Next, take the pure $\text{SO}(2N)$ theory

$$x^2 \left(\frac{\Lambda^{2N-2}}{z} + \Lambda^{2N-2} z \right) = x^{2N} + u_2 x^{2N-2} + \cdots + u_{2N}. \quad (11.5.14)$$

Take

$$u_{2N-2} = 2\Lambda^{2N-2} + \delta u_{2N-2}, \quad z = 1 + \delta z \quad (11.5.15)$$

and go to the limit where δu_{2N-2} , δz are both small. The curve is

$$\delta z^2 = x^{2N-2} + \cdots + \delta u_{2N-2} + \frac{u_{2N}}{x^2} \quad (11.5.16)$$

with $\lambda = x d\delta z \sim \delta z dz$. In terms of $\tilde{z} = 1/x^2$, the curve is

$$\lambda^2 = \frac{1 + \tilde{u}_2 \tilde{z} + \cdots + \tilde{u}_{2N-2} \tilde{z}^{N-1} + u_{2N} \tilde{z}^N}{\tilde{z}^{N+2}} d\tilde{z}^2. \quad (11.5.17)$$

This is again the curve $\lambda^2 = \phi(z)$ with ϕ having one pole of rather high order $N + 2$ and another of order 2, see Fig. 11.9. Therefore we find

$$AD_{N_f=0}(\text{SO}(2N)) = X_{N+2}. \quad (11.5.18)$$

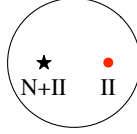


Figure 11.9: The most singular point of $\text{SO}(2N)$ theory

Now, $\text{SU}(4)$ and $\text{SO}(6)$ have the same Lie algebra. Using (11.5.5) and (11.5.18), we find

$$Y_8 = AD_{N_f=0}(\text{SU}(4)) = AD_{N_f=0}(\text{SO}(6)) = X_5. \quad (11.5.19)$$

Using (11.5.13), we find that these are also equivalent to $AD_{N_f=2}(\text{SU}(2))$. This set of equivalences explain what we saw in (10.5.1).

11.5.4 Argyres-Douglas CFTs and the Higgs branch

The $\text{SU}(2)$ theory with $N_f = 2$ flavors has a Higgs branch of the form $\mathbb{C}^2/\mathbb{Z}_2$, but the pure $\text{SU}(4)$ theory does not have it in the ultraviolet. We just claimed

$$AD_{N_f=0}(\text{SU}(4)) = AD_{N_f=2}(\text{SU}(2)). \quad (11.5.20)$$

How is this compatible? The discussion below summarizes the content of [52].

Note that the limiting Argyres-Douglas theory has an operator u of scaling dimension $4/3$, a corresponding parameter m of scaling dimension $2/3$ and an additional mass parameter $\mu_1 - \mu_2$ of scaling dimension 1. When we realize it as a limit of the $\text{SU}(2)$ theory with $N_f = 2$ flavors, clearly the low energy theory has just one $\text{U}(1)$ multiplet and $\mu_1 - \mu_2$ is an external parameter.

When we realize the same theory as a limit of the pure $\text{SU}(4)$ theory, however, originally the low energy theory has $\text{U}(1)^3$ vector multiplet, and three Coulomb branch parameters u_2, u_3 and u_4 . We saw that δu_2 has scaling dimension $2/3$, δu_4 scaling dimension $4/3$, and δu_3 is of scaling dimension 1. Therefore, we see that the mass parameter $\mu_1 - \mu_2$ of the limiting Argyres-Douglas theory is now promoted to the vev δu_3 of a $\text{U}(1)$ multiplet in this realization. Equivalently, the $\text{U}(1)$ subgroup of the $\text{SU}(2)$ flavor symmetry of the limiting theory is weakly dynamically gauged, thus removing the Higgs branch.

Similarly, we saw here that the pure SO(8) theory and the SU(3) theory with $N_f = 2$ flavors both give rise to the CFT X_6 . In Sec. 10.5, we also learned that SU(2) theory with $N_f = 3$ flavors also has a point on the Coulomb branch where the low energy limit is described by the same theory X_6 , see (10.5.1). The situation concerning their Higgs branches can also be studied similarly as above. The limiting theory itself has an operator u of scaling dimension $3/2$, a corresponding deformation parameter m of dimension $1/2$, and two mass parameters $\mu_1 - \mu_2$ and $\mu_1 - \mu_3$ for the SU(3) flavor symmetry. This is most clearly seen in the description as a point on the Coulomb branch of the SU(2) theory with $N_f = 3$ flavors.

In terms of SU(3) theory with $N_f = 2$ flavors, we have two Coulomb branch operators u_2, u_3 , the mass parameter m for the U(1) part of the flavor symmetry, and the mass parameter for the SU(2) part $\mu_1 - \mu_2$. We see that u_2 and u_3 has scaling dimensions 1 and $3/2$ respectively, m has scaling dimension $1/2$, and $\mu_1 - \mu_2$ has dimension 1. Then we see that U(1) subgroup of the flavor symmetry SU(3) is weakly gauged. The vev of this weakly-gauging U(1) vector multiplet is u_2 .

In terms of pure SO(8) theory, we have four Coulomb branch operators u_2, u_4, u_6 and u_8 , but as we discussed above, $u_8 = \tilde{u}_4^2$. Close to the Argyres-Douglas point, we see that u_2, u_4, u_3 and \tilde{u}_4 has scaling dimensions $1/2, 1, 3/2$ and 1 respectively. We see that U(1)² subgroup of the flavor symmetry SU(3) is weakly gauged by the two U(1) vector multiplets with scalar components u_4 and \tilde{u}_4 . The action of the outer automorphism S_3 of SO(8) on the dimension-1 operators u_4 and \tilde{u}_4 are generated by the parity operation $\tilde{u}_4 \rightarrow -\tilde{u}_4$ and a 120° rotation acting on the u_4 - \tilde{u}_4 plane. This is exactly how the Weyl group of the flavor symmetry SU(3) acts on the two mass parameters μ_1, μ_2, μ_3 with $\mu_1 + \mu_2 + \mu_3$. Therefore we see that the outer-automorphism symmetry of SO(8) can be identified with the Weyl group of the SU(3) flavor symmetry.

12 Argyres-Seiberg-Gaiotto duality for SU(N) theory

12.1 S-dual of SU(N) with $N_f = 2N$ flavors, part I

12.1.1 Rewriting of the curve

We learned in the last section that the curve of SU(N) theory with $2N$ flavors is given by:

$$\frac{\prod_{i=1}^N (\tilde{x} - \tilde{\mu}_i)}{\tilde{z}} + f \prod_{i=1}^N (\tilde{x} - \tilde{\mu}'_i) \tilde{z} = \tilde{x}^N + \tilde{u}_2 x^{N-2} + \cdots + u_N \quad (12.1.1)$$

where f is a complex number; the differential is $\tilde{\lambda} = \tilde{x} dz/z$. This theory is superconformal, and f is a function of the UV coupling constant τ_{UV} . We would like to understand the strong-coupling limits of this theory.

As we did in Sec. 9, it is convenient to rewrite the curve in terms of the Seiberg-Witten differential λ , to the form

$$\lambda^N + \phi_2(z) \lambda^{N-2} + \cdots + \phi_N(z) = 0. \quad (12.1.2)$$

We start from (12.1.1). First we gather terms with the same power of \tilde{x} :

$$\left(1 - \frac{1}{\tilde{z}} - f\tilde{z}\right)x^N + \heartsuit_1 x^{N-1} + \heartsuit_2 x^{N-2} + \cdots + \heartsuit_N = 0 \quad (12.1.3)$$

where

$$\heartsuit_1 = \frac{\sum \tilde{\mu}_i}{\tilde{z}} + f\tilde{z} \sum \tilde{\mu}'_i. \quad (12.1.4)$$

We divide the whole equation by $(1 - 1/\tilde{z} - f\tilde{z})$ and define $x = \tilde{x} + \heartsuit_1/(1 - 1/\tilde{z} - f\tilde{z})/N$. We now have

$$x^N + \clubsuit_2 x^{N-2} + \cdots + \clubsuit_N = 0 \quad (12.1.5)$$

where \clubsuit_k has poles of order k at two zeros $\tilde{z}_{1,2}$ of $1 - 1/\tilde{z} - f\tilde{z} = 0$, due to the shift from \tilde{x} to x . We set $z = \tilde{z}/\tilde{z}_1$ so that one zero is now at 1, and another is at $q = \tilde{z}_2/\tilde{z}_1$.

Introducing $\lambda = xdz/z$, we have an equation of the form (12.1.2); $\phi_k(z)$ has poles of order at most k at $z = 0, q, 1$ and ∞ . Consider the case when all $\tilde{\mu}_i$ and $\tilde{\mu}'_i$ are generic, and assume $q \ll 1$. Then it is straightforward to determine how λ behaves close to each of the singularity. As we are solving a degree- N equation, we have N residues at each singularity. They are given by

$$\begin{array}{llllll} \mu_1, & \mu_2, & \cdots, & \mu_{N-1}, & \mu_N, & z \sim 0, \\ \mu, & \mu, & \cdots, & \mu, & (1-N)\mu, & z \sim q, \\ \mu', & \mu', & \cdots, & \mu', & (1-N)\mu', & z \sim 1, \\ \mu'_1, & \mu'_2, & \cdots, & \mu'_{N-1}, & \mu'_N, & z \sim \infty. \end{array} \quad (12.1.6)$$

Here

$$\mu_i = \tilde{\mu}_i - \frac{1}{N} \sum_i \tilde{\mu}_i + O(q), \quad \sum \mu_i = 0; \quad (12.1.7)$$

$$\mu = \frac{1}{N} \sum_i \tilde{\mu}_i + O(q) \quad (12.1.8)$$

and similarly for the μ'_i, μ' . Note that μ_i and μ are the mass parameters which enter the BPS mass formula. We found that they are related to the parameters $\tilde{\mu}_i$ via a finite renormalization.

When $N = 2$, the structure of the residues at all four punctures were of the same type, as they are all given by $(m, -m)$. For $N > 2$, we see that the structure of the residues at $z = 0, \infty$ and the structure at $z = q, 1$ are different. The former is of the form (m_1, \dots, m_N) with $\sum m_i = 0$, and the latter is of the form $m(1, 1, \dots, 1 - N)$.

It is also instructive to consider the completely massless case, when we have $\tilde{\mu}_i = \tilde{\mu}'_i = 0$ for all i . The original curve is just

$$\frac{x^N}{z} + fx^N z = x^N + u_2 x^{N-2} + \cdots + u_N. \quad (12.1.9)$$

After the same manipulation as above, we find

$$\phi_k(z) = \frac{u_k}{(z-q)(z-1)} \frac{dz^k}{z^{k-1}}. \quad (12.1.10)$$

Therefore,

$$\begin{array}{ll} \phi_k(z) \text{ has poles of order } & k-1 \quad \text{when } z = 0, \infty, \\ \phi_k(z) \text{ has poles of order } & 1 \quad \text{when } z = q, 1. \end{array} \quad (12.1.11)$$

We observe here again that the behavior of the poles are all the same when $N = 2$, while the behavior at $z = 0, \infty$ and the behavior at $z = 1, q$ are distinct when $N > 2$.

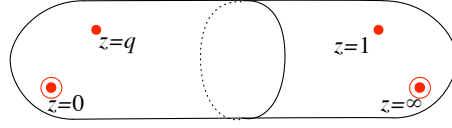


Figure 12.1: The Gaiotto curve of $SU(N)$ theory with $2N$ flavors

We have $2N$ mass terms in the system. First of all we split it into N mass terms encoded in the region $z \sim 0$, and another N mass terms in the region $z \sim \infty$. Correspondingly, we started from the flavor symmetry $U(2N)$ and decomposed it into $U(N) \times U(N)$. We further decompose each of $U(N)$ into $SU(N)$ and $U(1)$. Combined, we use the decomposition of the flavor symmetry of the form

$$U(2N) \supset U(N) \times U(N) \simeq [SU(N)_A \times U(1)_B] \times [U(1)_C \times SU(N)_D]. \quad (12.1.12)$$

The residues of λ at the puncture A at $z = 0$ and those at the puncture D at $z = \infty$ encode the mass terms for $SU(N)_{A,D}$ respectively, whereas those at the puncture B at $z = q$ and those at the puncture C at $z = 1$ encode the mass terms for $U(1)_{B,C}$; compare (12.1.6).

We then say that the singularity at $z = 0$ carry the $SU(N)$ symmetry, the one at $z = q$ carry the $U(1)$ symmetry, and similarly for those at $z = 1, = \infty$. We can visualize the situation as in Fig. 12.1. We call the punctures at $z = 0, \infty$ the full punctures, and those at $z = q, 1$ the simple punctures. In the 6d viewpoint, these are four-dimensional defect objects extending along the Minkowski $\mathbb{R}^{3,1}$, and they carry respective flavor symmetries on them.

When $N = 2$, the original symmetry is not just $U(2)$ but $SO(4)$. Accordingly, the split $U(2) \simeq SU(2) \times U(1)$ is enhanced to the following structure

$$\begin{array}{ccc} SO(4) & \supset & SU(2) \times SU(2) \\ \cup & & \parallel \quad \cup \\ U(2) & \supset & SU(2) \times U(1) \end{array} \quad (12.1.13)$$

and therefore the distinction of the types of punctures is gone.

12.1.2 Weak-coupling limit

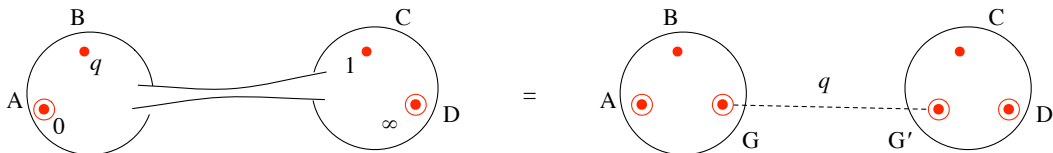


Figure 12.2: Weakly coupled limit

Clearly $f \sim q \sim e^{2\pi i \tau_{UV}}$ in the weak coupling region, see Fig. 12.2. When the coupling is extremely weak, we can think that the four-punctured sphere on the left is composed of two three-punctured sphere. In the tube region connecting the two, the behavior of λ is essentially given just by

$$\phi_k(z) \sim u_k \frac{dz^k}{z^k}. \quad (12.1.14)$$

Writing

$$\prod (x - a_i) = x^N + u_2 x^{N-2} + \dots + u_N, \quad (12.1.15)$$

we find that the residues of λ in the tube region is given by a_1, \dots, a_N . Therefore, we find full punctures after we split off two spheres.

The resulting three-punctured sphere has one simple puncture and two full punctures. Therefore it should carry $U(1) \times SU(N) \times SU(N)$ symmetry. The four-punctured sphere represents the $SU(N)$ theory with $2N$ flavors. The tube region carries the $SU(N)$ vector multiplet. Then each three-punctured sphere just represents N flavors, i.e. hypermultiplets (Q_i^a, \tilde{Q}_a^i) where $a, i = 1, \dots, N$. Then two $SU(N)$ symmetries can be identified with those acting on the index a and i respectively, and the $U(1)$ symmetry is such that Q has charge $+1$ while \tilde{Q} has charge -1 .

The Gaiotto curve of the $SU(N)$ theory with $2N$ flavors, shown in Fig. 12.1, is composed of two copies of this three-punctured sphere. The $2N$ hypermultiplets are split into N hypermultiplets (Q_i^a, \tilde{Q}_a^i) charged under $SU(N)_A$ and $U(1)_B$, and another N hypermultiplets $(Q'_i{}^a, \tilde{Q}'_a{}^i)$ charged under $SU(N)_D$ and $U(1)_C$.

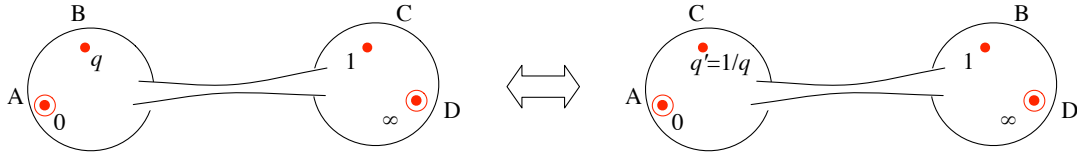


Figure 12.3: S-duality of $SU(N)$ $2N$ flavors

12.1.3 A strong-coupling limit

Let us consider what happens when $q \rightarrow \infty$. As shown in Fig. 12.3, it just ends up exchanging the puncture B and C , at the same time redefining the coupling q via $q' = 1/q$. This means that this strongly-coupled limit turns out to be another weakly-coupled $SU(N)$ gauge theory with $2N$ flavors. This time, the $2N$ hypermultiplets are split into N hypermultiplets (q_i^a, \tilde{q}_a^i) and another N hypermultiplets $(q'^a_i, \tilde{q}'_a{}^i)$, but notice that the first N are charged under $SU(N)_A$ and $U(1)_C$ while the second N are charged under $SU(N)_D$ and $U(1)_B$. As we learned for the case of the $SU(2)$ theory with four flavors in Sec. 9.4, the new quarks come from the monopoles in the original theory.

We would like to understand the limit $q \rightarrow 1$ too. We need to split the four-punctured sphere as shown in Fig. 12.4. But the configuration of punctures are not what we already know: we have two full punctures on one side, and two simple punctures on the other side. We need to study more about the 6d construction before answering what happens in the limit.

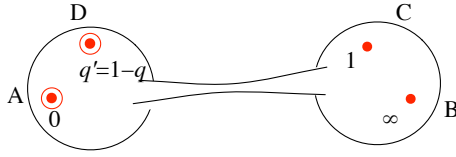


Figure 12.4: Another limit of $SU(N)$ $2N$ flavors:

12.2 $SU(N)$ quiver theories and tame punctures

12.2.1 Quiver gauge theories

To this aim, we introduce a new diagrammatic notation for $\mathcal{N}=2$ gauge theories. This notation is related but distinct from the trivalent one introduced in Sec. 9.5.

A diagram is composed of squares and circles with integers written in them, and edges connecting squares and circles. A square with N stands for a $U(N)$ flavor symmetry, and a circle with N a $SU(N)$ gauge symmetry. An edge connecting two objects with N and M written within them represents a hypermultiplet (Q_i^a, \tilde{Q}_a^i) where $i = 1, \dots, N$ and $j = 1, \dots, M$. They are in the tensor product of the fundamental representation of $SU(N)$ and $SU(M)$, and is called the bifundamental hypermultiplet. Such a diagram specifies an $\mathcal{N}=2$ gauge theory. This class of theories are often called quiver gauge theories.

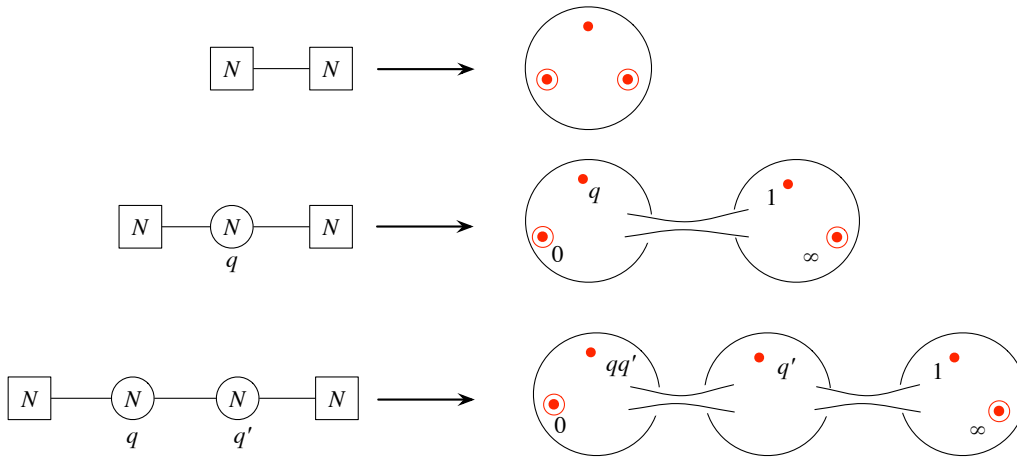


Figure 12.5: $SU(N)$ quiver theory

The simplest cases are when all the squares and circles have the same number N written in them, see Fig. 12.5. The first one in the figure is just a bifundamental hypermultiplet. The second one is the $SU(N)$ theory with $2N$ flavors. The last one is an $SU(N)_1 \times SU(N)_2$ theory, so that

- there is a bifundamental hypermultiplet for $SU(N)_1 \times SU(N)_2$, and
- there are N fundamental hypermultiplets for $SU(N)_1$, and

- there are N fundamental hypermultiplets for $SU(N)_2$.

Note that both $SU(N)_1$ and $SU(N)_2$ have zero beta function.

Their Seiberg-Witten solutions can be obtained by combining the knowledge we acquired so far. Namely, each edge corresponds to the bifundamental hypermultiplet of $SU(N) \times SU(N)$, which we know to come from a three punctured sphere of 6d theory of type $SU(N)$, with two full punctures and one simple puncture. All we have to do then is to prepare one such sphere for each edge, and connect pairs of full punctures by tubes. For example, the Seiberg-Witten solution for the third theory in Fig. 12.5 is given by

$$\lambda^N + \phi_2(z)\lambda^{N-2} + \dots + \phi_N(z) = 0 \quad (12.2.1)$$

where $\phi_k(z)$ has five singularities, such that two at $z = 0, = \infty$ are full and the other three at $z = 1, q$ and qq' are simple.

For simplicity, let us assume that all the mass parameters are zero. Then, from the condition of the order of the poles of the singularities given in (12.1.11), the fields $\phi_k(z)$ are uniquely fixed to be

$$\phi_k(z) = \frac{u_k^{(1)}z + u_k^{(2)}}{(z-1)(z-q)(z-qq')} \frac{dz^k}{z^{k-1}}. \quad (12.2.2)$$

The reader should check that it has the correct behavior at $z = \infty$. This theory is superconformal, as both $SU(1)_1$ and $SU(2)_2$ have zero one-loop beta function. This is reflected by the fact that the variables appearing in the Seiberg-Witten curve (12.2.1) can be assigned scaling dimensions in a natural way. The differential λ should have scaling dimension one, since its integral gives the BPS mass formula: $[\lambda] = 1$. We then set $[z] = 0$ and $[\phi_k] = k$. This means that $u_k^{(i=1,2)}$ should be two Coulomb branch operators with scaling dimension k . Indeed, we are dealing with an $SU(N)^2$ gauge theory which is superconformal, and there are exactly one Coulomb branch operator of scaling dimension k for $k = 2, \dots, N$.

12.2.2 $\mathcal{N}=2^*$ theory

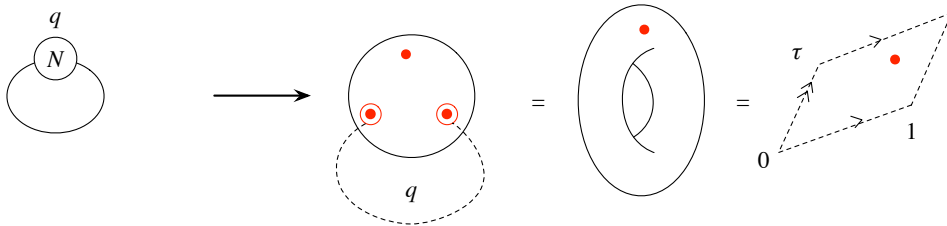


Figure 12.6: $SU(N)$ plus adjoint: the $\mathcal{N}=2^*$ theory.

A rather degenerate situation arises when we take just one bifundamental hypermultiplet (Q_i^a, \tilde{Q}_i^a) and couple one $SU(N)$ gauge multiplet to both indices, see Fig. 12.6. The $N \times N$ hypermultiplet components now behave as an adjoint representation plus a singlet. The singlet part is completely

decoupled, and therefore the theory is essentially the $SU(N)$ gauge theory with an adjoint hypermultiplet. When massless this is the $\mathcal{N}=4$ super Yang-Mills, whereas it is called $\mathcal{N}=2^*$ theory when massive. The Seiberg-Witten solution can then be obtained by taking a three-punctured sphere and connecting the two full punctures. We end up having a torus with one simple puncture. This solution was first found in [53], to which the readers should refer for details.

12.2.3 Linear quiver theories

So far we learned how to solve gauge theories shown in Fig. 12.5/ They have the gauge group

$$SU(N) \times \cdots \times SU(N) \times \cdots \times SU(N) \quad (12.2.3)$$

with bifundamentals between adjacent $SU(N)$ groups, and additional N flavors each for the first and the last $SU(N)$ groups. All $SU(N)$ groups have zero beta function.

Let us consider a slight generalization of this class of theories. The gauge group is of the following form

$$SU(N) \times \cdots \times SU(N) \times SU(N_k) \times SU(N_{k-1}) \times \cdots \times SU(N_2) \times SU(N_1). \quad (12.2.4)$$

We put the bifundamental hypermultiplets between adjacent $SU(N)$ and $SU(N')$. Such gauge theories are often called linear quiver gauge theories, since the gauge factors are arranged in a linear fashion.

Here, we introduce additional flavors for every SU group, so that they all have zero beta functions. Define $N_0 = 0$ and $N_{k+1} = N$. Then the condition we need to impose is

$$N_{i-1} + N_{i+1} + n_i = 2N_i, \quad i = 1, \dots, k \quad (12.2.5)$$

where n_i is the number of additional fundamental hypermultiplet for $SU(N_i)$. Since $n_i \geq 0$, we have $s_i \geq s_{i+1}$ where $s_i = N_i - N_{i-1}$. Clearly $\sum_{i=1}^{k+1} s_i = N$.

A decreasing sequence of integers $s_1 \geq s_2 \geq \cdots \geq s_{k+1}$ whose sum is N is called a partition of N . Then we can phrase our finding here by saying that this type of gauge theory can be characterized by a partition of N . A partition can be graphically represented by a Young diagram. Here we draw it by arranging boxes so that the widths of the rows are given by s_i . Examples are shown for $N = 4$ on the left hand side of Fig. 12.7. There, additional n_i flavors are shown by connecting a box n_i to a circle N_i .

What is the Seiberg-Witten solutions of this class of theories? There are a few independent methods to arrive at the solutions. Originally they are obtained using a configuration of branes in type IIA string theory and lifting it to M-theory [37]. We now also have a field theoretical derivation in terms of instanton computation [54]. In this subsection, we just state the results, and give a few justification. We will come back to this point in more details in Sec. 12.5.

The Seiberg-Witten solution is obtained by the following procedure. First, consider a sphere of 6d theory of type $SU(N)$, realizing the theory where all N_i is equal to N . As explained above, we have two full punctures and a number of simple punctures. We then replace one full puncture at $z = \infty$ with a new type of puncture labeled by the Young diagram, see the right hand side of

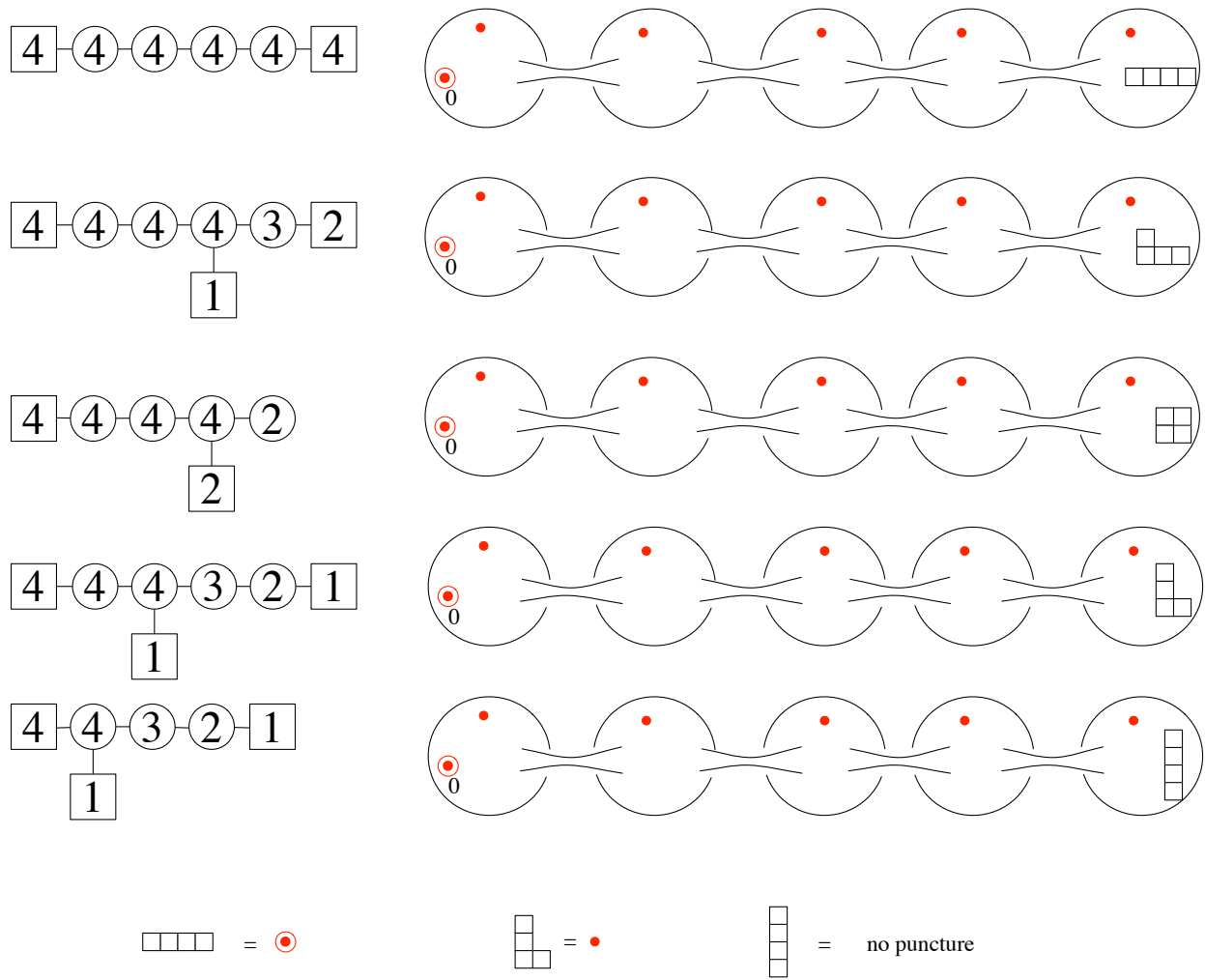


Figure 12.7: $SU(N)$ tame punctures

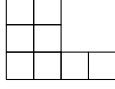


Figure 12.8: The young diagram shown here has $(s_i) = (4, 2, 2)$, $(\nu_k) = (1, 1, 1, 1, 2, 2, 3, 3)$, $(p_k)_{k=1}^8 = (0, 1, 2, 3, 3, 4, 4, 5)$ and $(t_i) = (3, 3, 1, 1)$. The standard convention is to use the column heights (t_i) to label punctures.

Fig. 12.7. These new types of punctures, together with the simple and the full punctures introduced already, are called tame $SU(N)$ punctures.

A full puncture carries the flavor symmetry $SU(N)$, and a simple puncture $U(1)$. To correctly reproduce the flavor symmetry of the total theory, the singularity at $z = \infty$ labeled by this Young diagram needs to be associated to the flavor symmetry

$$S[U(n_1) \times U(n_2) \times \dots U(n_k)] \quad (12.2.6)$$

where the $S[\dots]$ means that we remove the diagonal $U(1)$ of the following unitary gauge groups.

The description becomes complete once we describe how the fields $\phi_k(z)$ behave at this new puncture. When the hypermultiplets are all massless, the rule is given as follows. Given a Young diagram with row widths $s_1 \geq s_2 \geq \dots$, define $p_k = k - \nu_k$ where

$$(\nu_1, \nu_2, \dots, \nu_N) = (\underbrace{1, \dots, 1}_{s_1}, \underbrace{2, \dots, 2}_{s_2}, \dots) \quad (12.2.7)$$

Then $\phi_k(z)$ should have a pole of order p_k at the puncture. For an example, see Fig. 12.8.

When the hypermultiplets are massive, the rule goes instead as follows. Take the same Young diagram, but describe it with column heights $t_1 \geq t_2 \geq \dots t_x$ where x is the number of columns. Then λ should have N residues with following structure :

$$(\underbrace{\mu_1, \dots, \mu_1}_{t_1}, \underbrace{\mu_2, \dots, \mu_2}_{t_2}, \dots) \quad (12.2.8)$$

where we need to impose

$$\sum t_i \mu_i = 0. \quad (12.2.9)$$

We identify these residues with the mass parameters associated to the flavor symmetry (12.2.6). There are n_i mass parameters $\mu_a^{(i)}$, $a = 1, \dots, n_i$ for each $U(n_i)$. We then make the identification

$$(\mu_1^{(1)}, \dots, \mu_{n_1}^{(1)}; \mu_1^{(2)}, \dots, \mu_{n_2}^{(2)}; \dots; \mu_1^{(k)}, \dots, \mu_{n_k}^{(k)}) = (\mu_1, \mu_2, \dots, \mu_x). \quad (12.2.10)$$

Note that $\sum n_i$ equals the number of columns x . The individual n_i corresponds to the number of columns of a certain given height, say h , then there is an index a such that

$$t_a = t_{a+1} = \dots = t_{a+n_i-1} = h. \quad (12.2.11)$$

Then the Weyl group of the $U(n_i)$ flavor symmetry can be identified with the permutation of the columns of height h .

It is conventional in the $\mathcal{N}=2$ literature to label the punctures using column heights (t_i) . The full puncture is then associated to the Young diagram $(1, 1, \dots, 1)$, and the simple puncture has the Young diagram $(N - 1, 1)$. We can indeed check that the general formulas (12.2.7) and (12.2.8) reproduce (12.1.6) and (12.1.11). Note also that the puncture of type (N) does not have poles at all. This corresponds to an absence of the puncture.

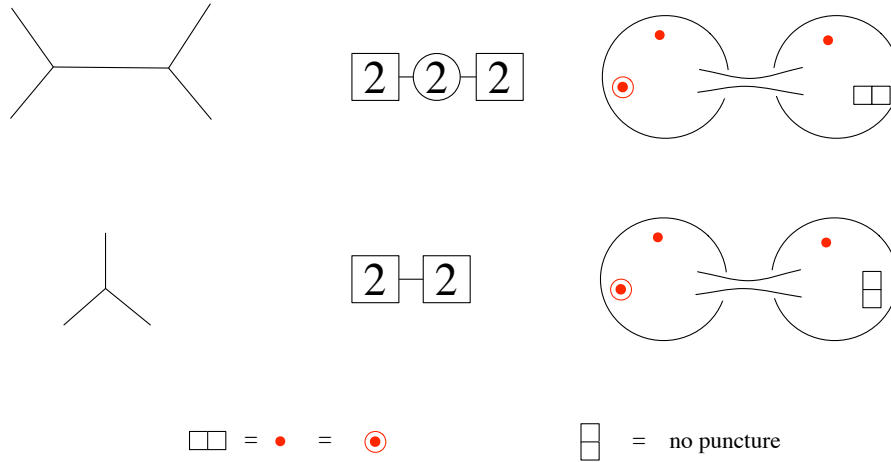


Figure 12.9: $SU(2)$ tame punctures

Let us apply this general discussion to the particular case $N = 2$ which we discussed extensively in Sec. 9. There, we introduced a different diagrammatic notation using trivalent vertices, reflecting special properties of $SU(2)$, see Fig. 12.9. In the current approach, we see that both the full puncture and the simple puncture for $N = 2$ have the Young diagram $(1, 1)$, thus losing the distinction. The only other type of puncture is (2) , which corresponds to the absence of puncture in the first place. Therefore the construction in this section does not give anything new for $N = 2$.

12.2.4 Tame punctures and the number of Coulomb branch operators

Let us check that the prescription described above reproduces the expected number of Coulomb branch operators. Compare, for example, the first and the fourth rows of Fig. 12.7. The Seiberg-Witten solutions are both given by

$$\lambda^4 + \phi_2(z)\lambda^2 + \phi_3(z)\lambda + \phi_4(z) = 0. \quad (12.2.12)$$

In both cases, $\phi_k(z)$ has one full puncture at $z = 0$ and five simple punctures at $z = z_i$. The puncture at $z = \infty$ changes types. For the theory at the first row, the puncture at $z = \infty$ is a full puncture, where $\phi_k(z)$ has poles of order $k - 1$. This determines the fields $\phi_k(z)$ to be given by

$$\phi_k(z) = \frac{u_k^{(1)} + u_k^{(2)}z + u_k^{(3)}z^2 + u_k^{(4)}z^3}{\prod_i^5 (z - z_i)} \frac{dz^k}{z^{k-1}}. \quad (12.2.13)$$

Note that the degree of the polynomial in the numerator is fixed by the order of the pole at $z = \infty$. We identify $u_k^{(i)}$ as the dimension- k Coulomb branch operator of the i -th $SU(4)$ gauge group.

Now change the type of the puncture at $z = \infty$. The allowed order of the pole there is reduced by ν_k as given in (12.2.7). In this particular case, the orders of the poles for $\phi_2(z)$, $\phi_3(z)$, $\phi_4(z)$ are reduced by 0, 1, 2 respectively. This reduces the degree of the polynomials in the numerator of (12.2.13) by 0, 1, 2 respectively, resulting in

$$\phi_2(z) = \frac{u_2^{(1)} + u_2^{(2)}z + u_2^{(3)}z^2 + u_2^{(4)}z^3}{\prod_i^5(z - z_i)} \frac{dz^2}{z} \quad (12.2.14)$$

$$\phi_3(z) = \frac{u_3^{(1)} + u_3^{(2)}z + u_3^{(3)}z^2}{\prod_i^5(z - z_i)} \frac{dz^3}{z^2} \quad (12.2.15)$$

$$\phi_4(z) = \frac{u_4^{(1)} + u_4^{(2)}z}{\prod_i^5(z - z_i)} \frac{dz^4}{z^3}. \quad (12.2.16)$$

We identify $u_k^{(i)}$ as a dimension k Coulomb branch operator for the i -th gauge group. We see that the third gauge group now has the Coulomb branch operators of dimension 2 and of dimension 3, and that the fourth gauge group only has the Coulomb branch operator of dimension 2. This agrees with our claim that the gauge group is now $SU(4) \times SU(4) \times SU(3) \times SU(2)$.

This analysis of the number of the Coulomb branch operators can be extended to arbitrary N and to arbitrary Young diagram. By a straightforward but somewhat cumbersome combinatorial computation we see that the pole structure (12.2.7) reproduces the structure of the gauge group as given in (12.2.4).

12.2.5 Tame punctures and the decoupling

Now let us study what happens when we make the coupling of the last gauge group in (12.2.4) very weak. When we completely turn off the coupling, we lose the last gauge group $SU(N_k)$. The new last gauge group is $SU(N_{k-1})$, which is now coupled to $N_k + n_{k-1}$ hypermultiplets in the fundamental representation. Note that N_k of them originally came from the bifundamental hypermultiplet for $SU(N_{k-1}) \times SU(N_k)$.

This process for the quiver tail characterized by the Young diagram (3, 1) is shown on the right hand side of Fig. 12.10. In terms of the Gaiotto curve, turning off the coupling of the last gauge group corresponds to splitting off the last two punctures. When we completely decouple the gauge group, we find a new puncture emerging. The type of this new puncture can be determined by the rule explained above, from the resulting gauge theory with one less gauge group. In this case, the newly appearing puncture on the left has the Young diagram (2, 1, 1). The decoupled three-punctured sphere on the right hand side represents one hypermultiplet in the doublet representation of $SU(2)$. We intentionally do not discuss the new puncture arising on this decoupled three-punctured sphere on the right; for more details, see [55, 56].

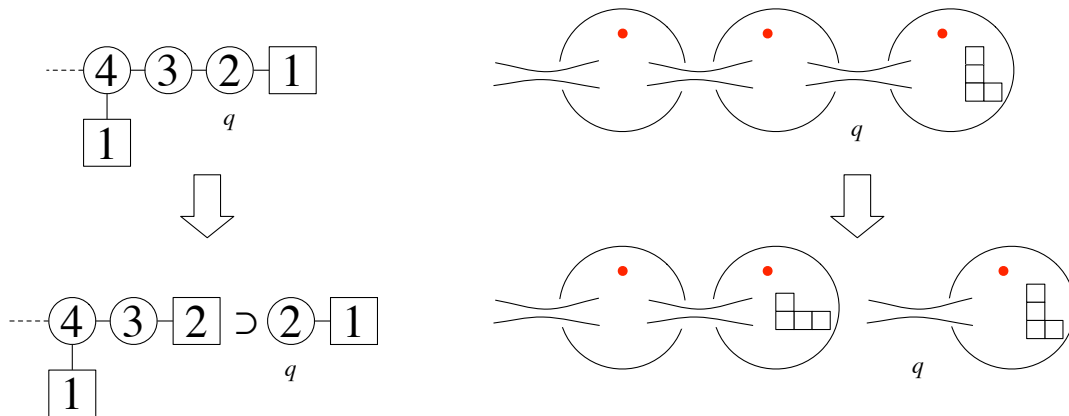


Figure 12.10: Decoupling one.

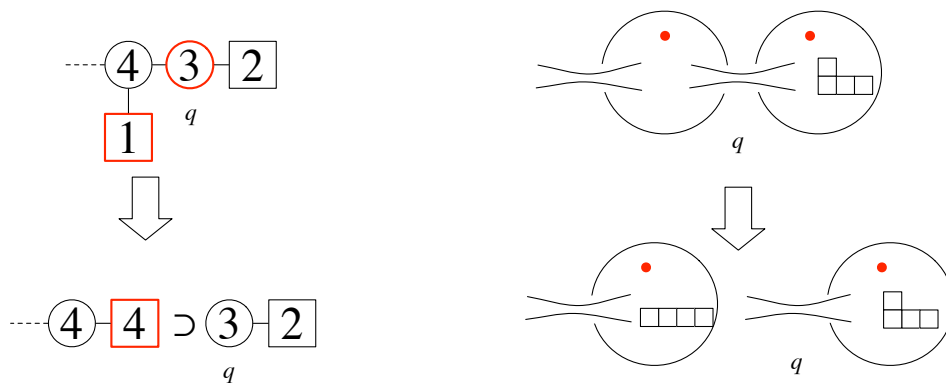


Figure 12.11: Decoupling the next.

We can continue the process. Decoupling the next gauge group, the Young diagram becomes $(1, 1, 1, 1)$, i.e. the full puncture. The situation is shown in Fig. 12.11. The decoupled three-punctured sphere on the right hand side represents two hypermultiplets in the triplet representation of $SU(3)$.

Note that $SU(3)$ gauge group before the complete decoupling can be thought of as gauging the $SU(3)$ subgroup of the $SU(4)$ flavor symmetry of the full puncture, as shown in the second row of the figure. This splits four fundamental flavors coupled to $SU(4)$ into a set of three flavors and an additional one flavor. The $SU(3)$ gauge group makes the first three into the bifundamental hypermultiplet of $SU(4) \times SU(3)$, and one flavor remains to couple just to $SU(4)$ on the upper row.

Another example of decoupling process for the puncture of type $(2, 2)$ is shown in Fig. 12.12. The decoupled three-punctured sphere on the right hand side represents an empty theory.

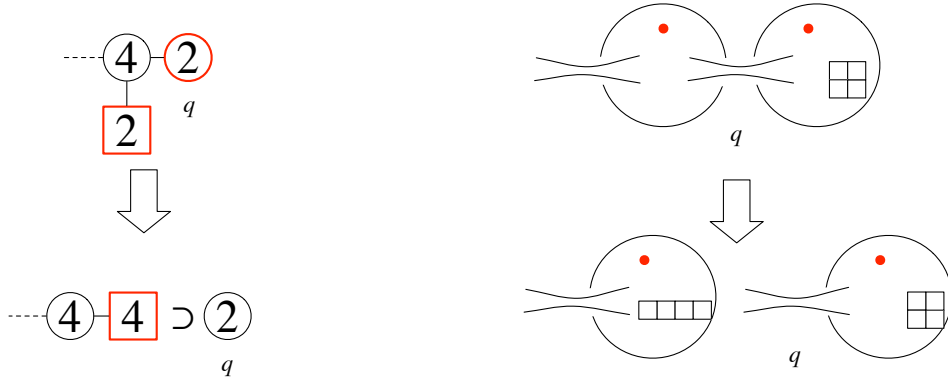


Figure 12.12: Another example of decoupling.

12.3 S-dual of $SU(N)$ with $N_f = 2N$ flavors, part II

12.3.1 For general N

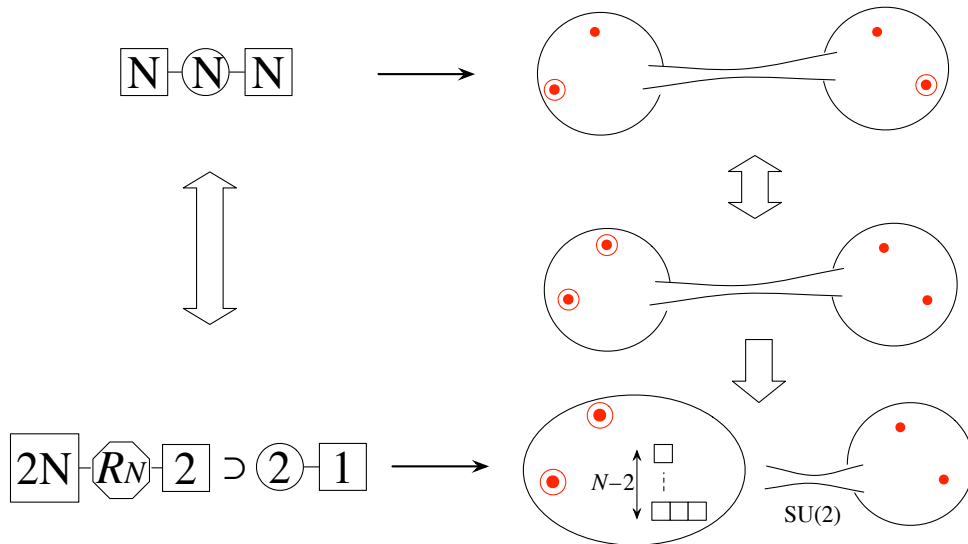


Figure 12.13: S-dual of $SU(N)$ with $2N$ flavors, explained.

Now we have learned enough techniques to understand the S-dual of $SU(N)$ theory with $2N$ flavors, see the first row of Fig. 12.13. Originally, we have a sphere with four punctures: two at $z = 0, \infty$ are full punctures, and two at $z = q, 1$ are simple punctures. We would like to understand the limit $q \rightarrow 1$. We end up decoupling two simple punctures from the other two. We already learned what happens in this decoupling process.

The simple puncture is a puncture of type $(N - 1, 1)$. Decoupling two of them, we generate a puncture of type $(N - 2, 1, 1)$. This puncture has a flavor symmetry $SU(2) \times U(1)$ when $N > 3$, and $SU(3)$ when $N = 3$. The behavior of the duality when $N = 3$ is somewhat more peculiar

than the other cases. In any case, there is a $SU(2)$ symmetry exchanging the last two columns of height 2, and a weakly-coupled dynamical $SU(2)$ group gauges this $SU(2)$ symmetry. There is in addition one flavor in the doublet representation for this $SU(2)$ gauge group coming from the almost decoupled sphere on the right, see the last row of Fig. 12.13.

The question is the nature of the sphere on the left hand side. It has three punctures: two are full punctures, and one is of type $(N-2, 1, 1)$. Assuming all the mass parameters are zero, we can determine the behavior of fields $\phi_k(z)$ easily, as the pole structure at $z = \infty$ is $(p_2, p_3, \dots, p_N) = (1, 2, \dots, 2)$. We see that

$$\phi_2(z) = 0, \quad \phi_k(z) = \frac{u_k}{(z-1)^k z^k} dz^k. \quad (12.3.1)$$

This theory has one dimension- k operator for each $k = 3, 4, \dots, N$. The flavor symmetry is at least $SU(N) \times SU(N)$ associated to the full punctures, and $SU(2) \times U(1)$ associated to the puncture of type $(N-2, 1, 1)$. Call this funny conformal field theory R_N , for which we introduce a graphical notation as in Fig. 12.14. In the original theory, the symmetry $SU(N) \times SU(N) \times U(1)$ was part of the flavor symmetry $SU(2N)$ rotating the whole $2N$ hypermultiplets in the fundamental representation. We then need to demand that this theory R_N has a larger flavor symmetry

$$SU(2N) \times SU(2) \supset [SU(N) \times SU(N) \times U(1)] \times SU(2). \quad (12.3.2)$$

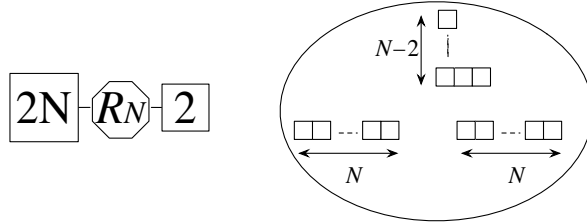


Figure 12.14: Strange theory of Chacaltana-Distler, R_N .

We finally have the S-duality statement:

$$SU(N) \text{ theory with } 2N \text{ flavors at the strong coupling } q \rightarrow 1 \quad (12.3.3)$$

$$\Updownarrow$$

weakly-coupled $SU(2)$ gauge multiplet coupled to one doublet and to the R_N theory.

This general statement was found by Chacaltana and Distler in [55]. We know that the dual $SU(2)$ gauge coupling has zero beta function. Applying the analysis as in Sec. 10.5, we find that the $SU(2)$ flavor symmetry of the R_N theory contributes to the running of the $SU(2)$ coupling as if it has effectively three hypermultiplets in the doublet. Equivalently, we have

$$\langle j_\mu j_\nu \rangle_{R_N} = 3 \langle j_\mu j_\nu \rangle_{\text{free hyper in a doublet of } SU(2)} \quad (12.3.4)$$

where j_μ is the $SU(2)$ flavor symmetry current. See Fig. 12.15.

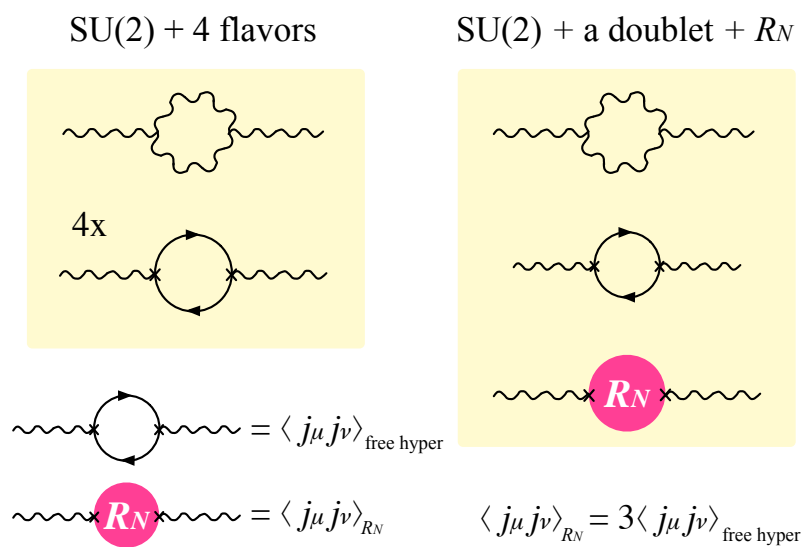


Figure 12.15: The SU(2) flavor symmetry current of the R_N theory.

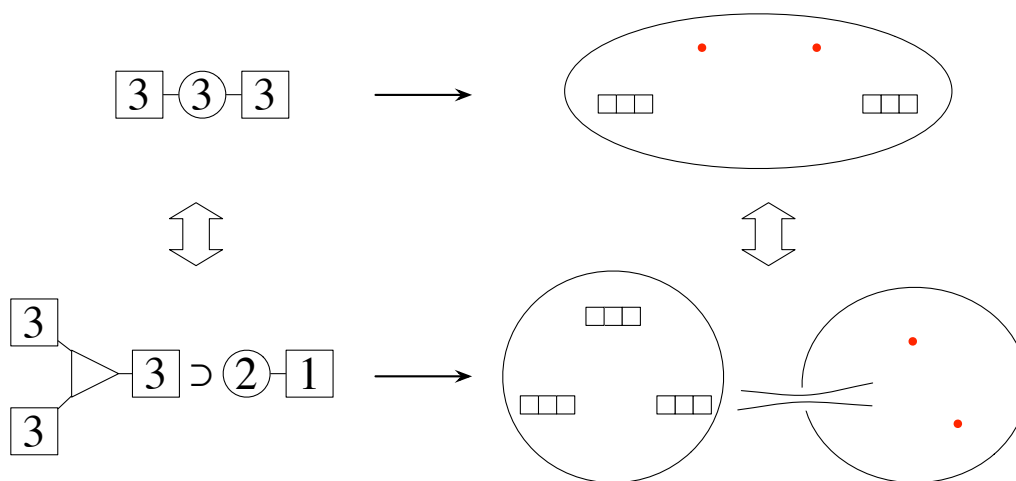


Figure 12.16: S-duality of SU(3) with 6 flavors involves the theory $MN(E_6)$.

12.3.2 $N = 3$: Argyres-Seiberg duality

When $N = 3$ we can say a little more about this duality. This was originally found by Argyres and Seiberg in [57]; the presentation here follows that given by Gaiotto in [5].

Now the puncture of type $(N - 2, 1, 1) = (1, 1, 1)$ is a full puncture. Therefore the theory R_3 is given by a sphere with three full punctures, see Fig. 12.16. The structure of $\phi_k(z)$ is already given in (12.3.1). Therefore, this theory has just one Coulomb branch operator, of dimension 3.

We know that there is an enhancement of the flavor symmetry $SU(3) \times SU(3)$ associated to two full punctures to $SU(6)$, as in (12.3.2). We have three full punctures. Therefore, it should be that the flavor symmetry F of this theory should be such that we have the following diagram

$$\begin{array}{ccc} F & \supset & SU(6) \times SU(2) \\ \cup & & \cup \\ SU(3) \times SU(3) \times SU(3) & \supset & SU(3) \times SU(3) \times U(1) \times SU(2) \end{array} \quad (12.3.5)$$

for any choice of two out of three $SU(3)$ s. Fortunately, there is unique such F , that is E_6 , see Fig. 12.17. There, on the left, we introduce a diagrammatic notation for this theory. On the center and on the right, we have the extended Dynkin diagram of E_6 with one node removed.¹¹ We clearly see subgroups $SU(3)^3$ and $SU(6) \times SU(2)$. We already saw above that this theory has only one Coulomb branch operator, and its dimension is three. This nicely fits the feature of a rank-1 superconformal theory announced to exist in Sec. 10.4. This is equivalent to Minahan-Nemeschansky's theory $MN(E_6)$.

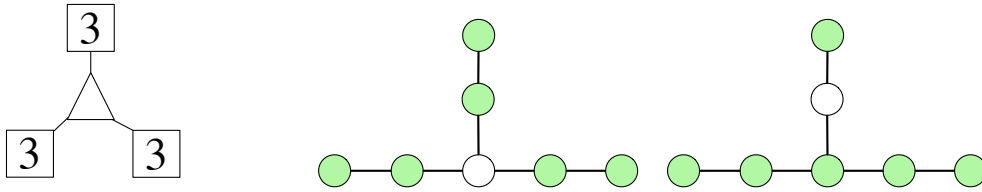


Figure 12.17: The theory $MN(E_6) = R_3 = T_3$

We conclude that we have the following duality:

$$\begin{array}{c} SU(6) \text{ theory with 6 flavors at the strong coupling } q \rightarrow 1 \\ \Updownarrow \\ \text{weakly-coupled } SU(2) \text{ gauge multiplet coupled to one doublet} \\ \text{and to the theory } MN(E_6) \text{ of Minahan-Nemeschansky.} \end{array} \quad (12.3.6)$$

We can give a few more checks to this duality. The first one concerns the current two-point functions. Firstly, we computed the current two-point function for the $SU(2)$ flavor symmetry in (12.3.4). Then the whole E_6 flavor currents, which include the $SU(2)$ ones, should have the same

¹¹There is a general theorem for any G stating that there is always a maximal subgroup whose Dynkin diagram is given by the extended Dynkin diagram of G minus one node.

coefficient in front of the two-point function. Note that $SU(6)$ flavor symmetry of the $SU(3)$ gauge theory with six flavors is also a subgroup of this E_6 flavor symmetry. Therefore, we should have

$$\langle j_\mu^{SU(6)} j_\nu^{SU(6)} \rangle_{SU(3), N_f=6} = 3 \langle j_\mu j_\nu \rangle_{\text{free hyper in the fundamental of } SU(6)}. \quad (12.3.7)$$

This is indeed the case, since the left hand side can be computed in the extreme weakly-coupled regime, where they just come from three hypermultiplets in the fundamental representation of $SU(6)$.

The second check is about the Higgs branch. The $SU(3)$ theory with six flavors has a Higgs branch of quaternionic dimension

$$3 \cdot 6 - \dim SU(3) = 10. \quad (12.3.8)$$

Let us perform the computation in the dual side. The theory $MN(E_6)$ has a Higgs branch of quaternionic dimension 11, as we tabulated in Table 10.1. We have a doublet of $SU(2)$ in addition, and we perform the hyperkähler quotient with respect to $SU(2)$ gauge group. Therefore the quaternionic dimension is

$$11 + 2 - \dim SU(2) = 10, \quad (12.3.9)$$

which agrees with what we found above in the original gauge theory side. Here we only compared the dimensions, but they can be shown to be equivalent as hyperkähler manifolds, see [58].

12.4 Applications

12.4.1 T_N

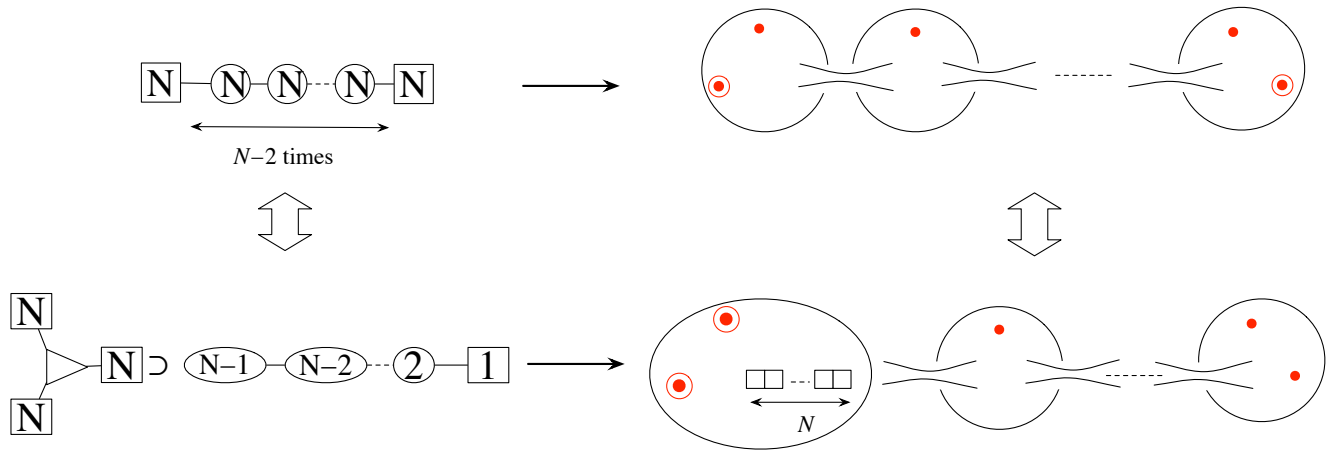


Figure 12.18: Duality producing T_N theory

We can now have some fun manipulating punctures. For example, consider a gauge theory with gauge group $SU(N)^{N-2}$, with bifundamental hypermultiplets between consecutive groups, together with N additional fundamental hypermultiplets for the first and the last one, see the first

row of Fig. 12.18. The Seiberg-Witten solution is easily given: it is given by a sphere of type $SU(N)$ theory, with two full punctures and $N - 1$ simple punctures. We go to a duality frame where we decouple all of these $N - 1$ simple punctures. Applying the decoupling procedure we learned in Sec. 12.2, we find that we generate a quiver tail with gauge group

$$SU(N - 1) \times SU(N - 2) \times \cdots SU(2), \quad (12.4.1)$$

with bifundamental hypermultiplets between two consecutive groups and one doublet for the last $SU(2)$. The first $SU(N - 1)$ gauges an $SU(N - 1)$ subgroup of the flavor symmetry $SU(N)$ of the puncture of type $(1, 1, \dots, 1)$, i.e. the full puncture.

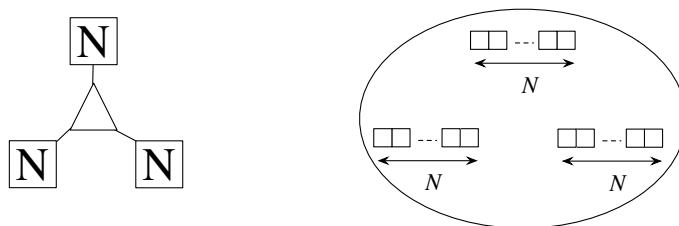


Figure 12.19: The T_N theory

In this way, we can construct a theory described by a sphere with three full punctures. This is called the T_N theory, see Fig. 12.19. Note that $R_3 = T_3$. As we have three full punctures, the flavor symmetry is at least $SU(N)^3$. When $N = 3$, we saw above that this flavor symmetry enhances to E_6 . When $N \geq 4$, there are more than one gauge group in the original gauge theory. Therefore, we do not have an enhancement from $SU(N) \times SU(N)$ to any other group. This matches with the fact that there is no group containing $SU(N)^3$ such that $SU(N)^2$ enhances to $SU(2N)$ when $N \geq 4$. Putting the punctures at $z = 0, 1, \infty$, we see that ϕ_k has the form

$$\phi_k = \frac{u_k^{(1)} + \cdots + u_k^{(k-1)} z^{k-2}}{z^k (z - 1)^k} dz^k. \quad (12.4.2)$$

Therefore this theory has one Coulomb branch operator of dimension 3, two Coulomb branch operators of dimension 4, \dots , and $N - 1$ Coulomb branch operators of dimension N .

Now we can take two copies of this T_N theory and couple them by an $SU(N)$ gauge multiplet. In the 6d construction, we just have four full punctures on the sphere. Therefore, we have the S-duality structure exactly as in $SU(2)$ theory with four flavors, exchanging all four punctures. In fact, T_2 theory is just the trifundamental hypermultiplet Q_{ijk} .

12.4.2 $MN(E_7)$

Next, consider the duality shown in Fig. 12.21. We end up with a three-punctured sphere with two full puncture and one puncture of type $(2, 2)$. In the original gauge theory, we have six fundamental flavors coupling to the $SU(4)$ gauge multiplet with $SU(6)$ flavor symmetry. To construct the Gaiotto

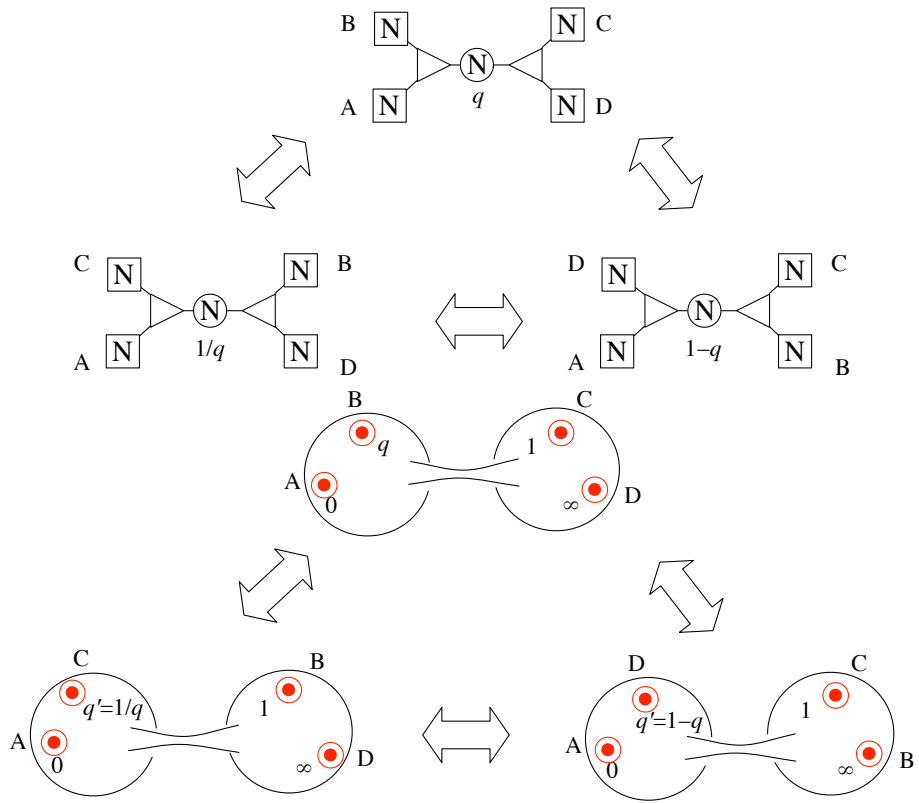


Figure 12.20: S-duality of coupled copies of T_N theory

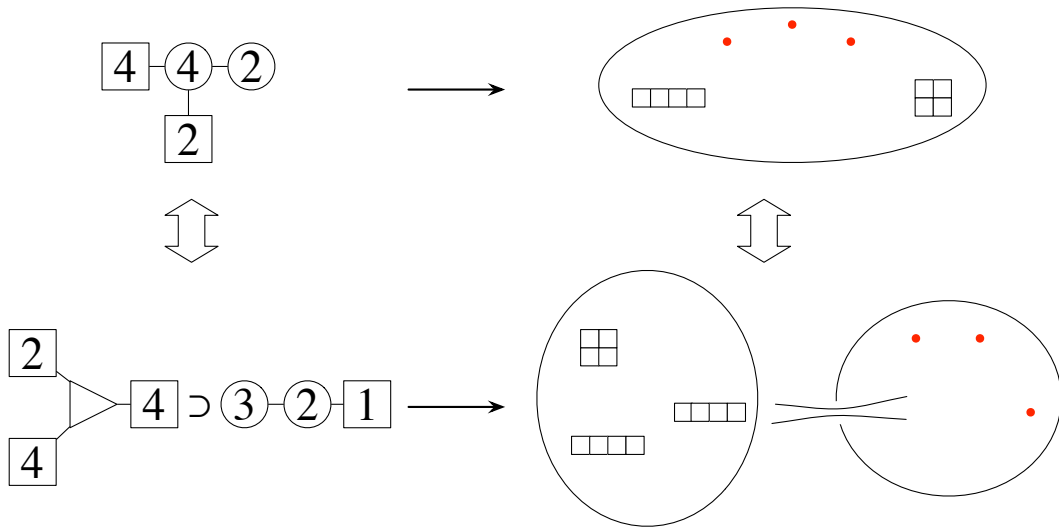


Figure 12.21: Duality producing the $MN(E_7)$

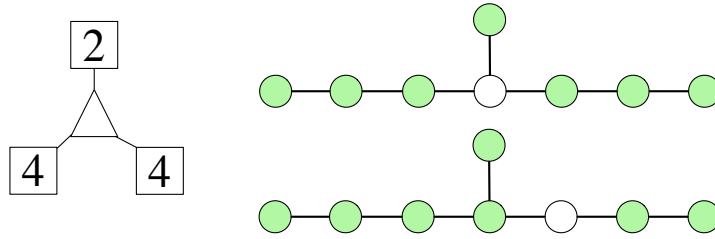


Figure 12.22: The theory $MN(E_7)$.

curve, we split these six flavors into four flavors and two flavors, and applied the rule shown in the third row of Fig. 12.7. Therefore, we see that the theory represented by the three-punctured sphere have a flavor symmetry F of the form

$$\begin{array}{ccc} F & \supset & \text{SU}(6) \times \text{SU}(3) \\ \cup & & \cup \\ \text{SU}(4) \times \text{SU}(2) \times \text{SU}(4) & \supset & \text{SU}(4) \times \text{SU}(2) \times \text{U}(1) \times \text{SU}(3) \end{array} . \quad (12.4.3)$$

Thankfully, there is a unique such group F , that is E_7 , see Fig. 12.22. We can of course compute the number of Coulomb branch operators this theory has, by studying $\phi_k(z)$. Here, let us try a different procedure. Originally, we had the gauge group $\text{SU}(4) \times \text{SU}(2)$. Therefore, the numbers of the Coulomb branch operators of dimension 2,3,4 were respectively 2, 1, 1. On the dual side, the quiver tail contains $\text{SU}(3) \times \text{SU}(2)$, which has two operators of dimension 2 and one operator of dimension 1. The theory represented by the three-punctured sphere should account for the difference. Therefore there is just one Coulomb branch operator, of dimension 4. This again fits the feature of a rank-1 superconformal theory announced to exist in Sec. 10.4. This is equivalent to Minahan-Nemeschansky's theory $MN(E_7)$. We can also check the agreement of the current two-point functions and the dimensions of the Higgs branch, as we did at the end of Sec. 12.3.2.

12.4.3 $MN(E_8)$

Generalizing this to the E_8 symmetry is by now rather straightforward. We perform the duality as shown in Fig. 12.23. In the dual side, we have a three-punctured sphere with one full puncture, another of type $(2, 2, 2)$, and of type $(3, 3)$. We see that the flavor symmetry F of the theory should satisfy

$$\begin{array}{ccc} F & \supset & \text{SU}(5) \times \text{SU}(5) \\ \cup & & \cup \\ \text{SU}(2) \times \text{SU}(3) \times \text{SU}(6) & \supset & \text{SU}(2) \times \text{SU}(3) \times \text{U}(1) \times \text{SU}(5) \end{array} . \quad (12.4.4)$$

This nicely fits the structure of Minahan-Nemeschansky's theory $MN(E_8)$, see Fig. 12.24. Checks of various properties are left as an exercise to the reader.

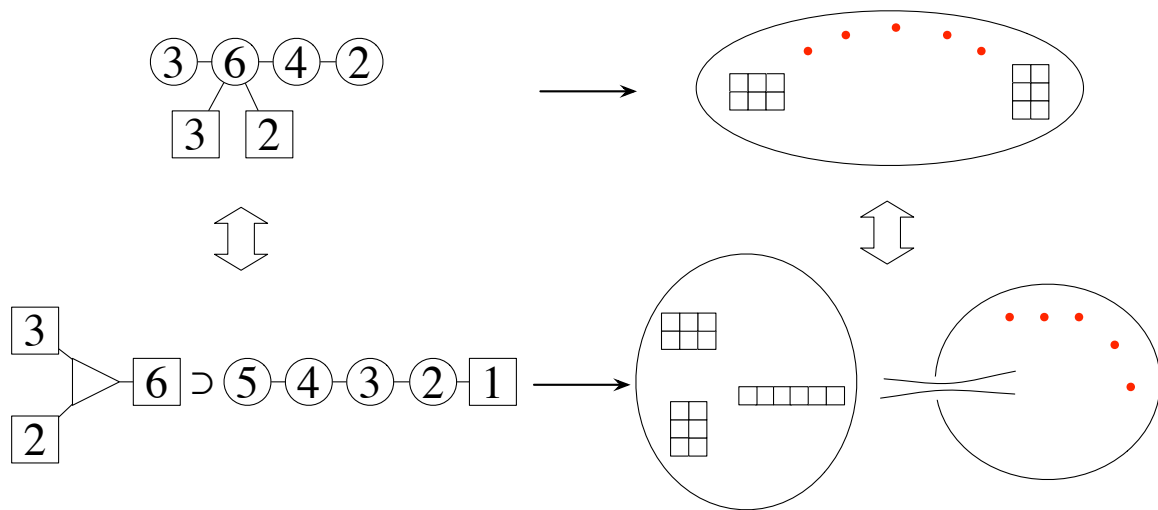


Figure 12.23: Duality producing the $MN(E_8)$ theory

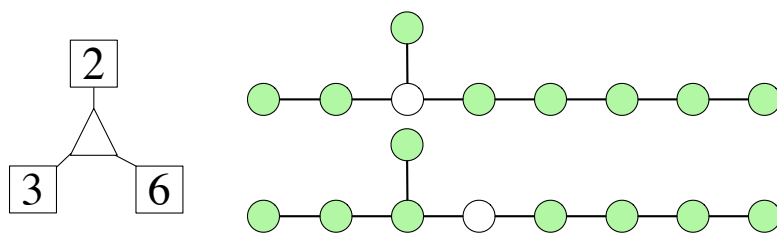


Figure 12.24: E_8 theory

12.4.4 The singular limit of $SU(N)$ with even number of flavors

Finally, let us study a non-conformal example. Consider $SU(N)$ theory with $N_f = 2n$ flavors, with $N > n$. The curve is

$$\frac{\Lambda^{N-n} \prod_{i=1}^n (x + \mu + \mu_i)}{z} + \Lambda^{N-n} \prod_{i=1}^n (x + \mu + \tilde{\mu}_i)^n z = x^N + u_2 x^{N-2} + \dots + u_N \quad (12.4.5)$$

with the differential $\lambda = x dz/z$. Here we demanded $\sum_i \mu_i + \tilde{\mu}_i = 0$ and split the $U(1)$ mass term as μ . Clearly something happens when $u_{N-n} = 2\Lambda^{N-n}$ around $z \sim 1$. This point was first considered in [59]. The correct physics was first discussed in [60]. We will see below that the low-energy limit is an infrared-free $SU(2)$ gauge theory coupled to the theories R_N and X_{N-n+4} .

To study it the infrared behavior, we let

$$u_{N-n,\text{old}} = 2\Lambda^{N-n} + u_{N-n,\text{new}}, \quad z = 1 + \delta z \quad (12.4.6)$$

and assume the scaling

$$\mu_i \sim \epsilon, \quad u_N \sim \epsilon^n, \quad u_{N-1} \sim \epsilon^{n-1}, \quad \dots, \quad u_{N-n+2} \sim \epsilon^2, \quad (12.4.7)$$

and

$$u_2 \sim \epsilon'^2, \quad u_3 \sim \epsilon'^3, \quad \dots, \quad u_{N-n+2} \sim \epsilon'^{N-n+2}. \quad (12.4.8)$$

We then need to assume

$$\epsilon'^{N-n+2} \sim \epsilon^2. \quad (12.4.9)$$

In particular we have

$$\epsilon \ll \epsilon' \ll 1. \quad (12.4.10)$$

In the region $x \sim \epsilon$, we can approximate the curve (12.4.5) as

$$\frac{\Lambda^{N-n} \prod_{i=1}^n (x + \mu + \mu_i)}{z} + \Lambda^{N-n} \prod_{i=1}^n (x + \mu + \mu_i) z = (2\Lambda^{N-n} + u_{N-n})x^n + u_{N-n+2}x^{n-2} + \dots + u_N \quad (12.4.11)$$

with the scaling (12.4.7). When this is written as a degree- n equation for x , the coefficient of the x^n term is given by

$$\frac{\Lambda^{N-n}}{z} + \Lambda^{N-n} z - 2\Lambda^{N-n} - u_{N-n} \quad (12.4.12)$$

In the limit $\epsilon \rightarrow 0$, two zeros of (12.4.12) collide at $z = 1$. This is exactly the situation we studied in Sec. 12.3 for $SU(n)$ theory with $2n$ flavors in the $q \rightarrow 1$ limit. We see that we generate the R_n theory coupled to $SU(2)$ gauge group; the operator u_{N-n+2} is now regarded as the Coulomb branch vev of this $SU(2)$. The parameters μ_i and $\tilde{\mu}_i$ are now the mass parameters for the $SU(2N)$ symmetry of the R_n theory.

In the region $x \sim \epsilon'$, the curve (12.4.5) can be approximated as

$$\delta z^2 = \left(x^{N-n} + u_2 x^{N-n+2} + \dots + u_{N-n+1} + \frac{u_{N-n+2}}{x^2} \right), \quad (12.4.13)$$

with the differential $\lambda = xd\delta z$. We already encountered this in Sec. 11.5; this is the curve describing the Argyres-Douglas point of $SU(N - n + 1)$ theory with 2 flavors. Equivalently, we called this theory X_{N-n+4} in Sec. 10.5.

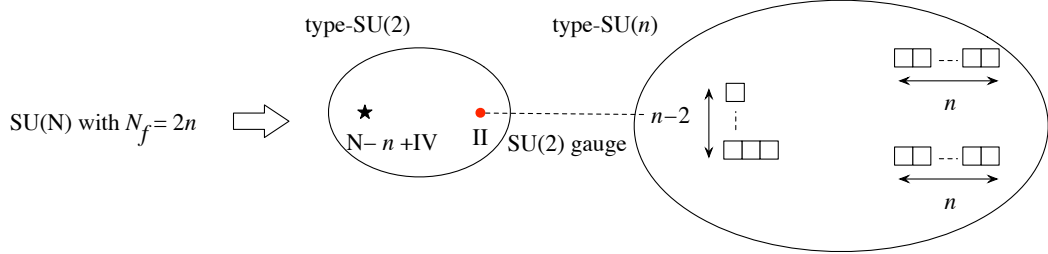


Figure 12.25: The most singular point of $SU(N)$ with $N_f = 2n$ flavors

Summarizing, we see that the limiting theory has the structure given in Fig. 12.25. Namely, there is a weakly-coupled $SU(2)$ gauge group, connecting the region $x \sim \epsilon$ given by a sphere of 6d theory of type $SU(n)$, representing the R_n theory, to the region $x \sim \epsilon'$, given by a sphere of 6d theory of type $SU(2)$, representing the theory X_{N-n+4} .

In the intermediate region $\epsilon' \gg x \gg \epsilon$, the curve is just

$$\delta z^2 \sim \frac{u_{N-n+2}}{x^2} \quad (12.4.14)$$

with $\lambda = \delta z dx \sim \sqrt{u_{N-n+2}} dx/x$. We see that there is an $SU(2)$ gauge group, with

$$a \sim \frac{1}{2\pi i} \oint \delta z \frac{dx}{x} \sim \sqrt{u_{N-n+2}}. \quad (12.4.15)$$

The dual coordinate a_D is then given roughly by

$$a_D \sim \frac{2}{2\pi i} \int_{x \sim \epsilon'}^{x \sim \epsilon} \sqrt{u_{N-n+2}} \frac{dx}{x} \sim \frac{2}{2\pi i} a \log \frac{\epsilon}{\epsilon'}. \quad (12.4.16)$$

Using $a \sim \epsilon$ and the relation (12.4.9), we see

$$a_D = \frac{2}{2\pi i} \frac{N-n}{N-n+2} a \log a + \dots. \quad (12.4.17)$$

Recall that the running is given by

$$a_D \sim \frac{2}{2\pi i} (4 - N_f) a \log a + \dots \quad (12.4.18)$$

for $SU(2)$ theory with N_f flavors. This system then effectively has

$$N_f = \frac{5N - 5n + 8}{N - n + 2} > 4. \quad (12.4.19)$$

The $SU(2)$ is now infrared free. Note that this is correctly the sum of the effective number of flavors of the R_N theory and the X_{N-n+4} theory, as computed already. Indeed, it is 3 for the R_N theory, and $2(N - n + 1)/(N - n + 2)$ for the X_{N-n+4} theory, see (12.3.4) and (10.5.8), respectively.

12.5 Tame punctures and Higgsing

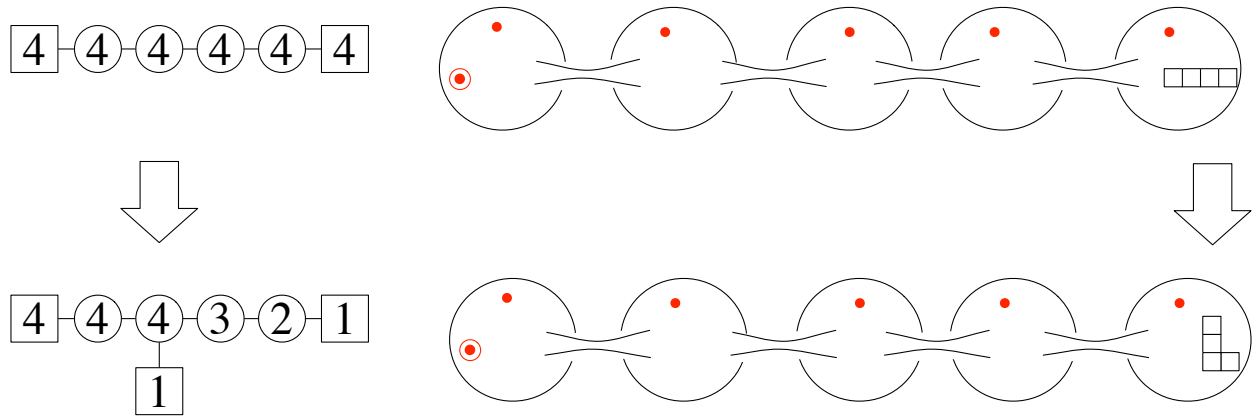


Figure 12.26: Change of the type of the puncture.

In Sec. 12.2, we introduced punctures on the Gaiotto curve labeled by Young diagrams in a rather ad hoc manner. Examples for $SU(4)$ case were shown in Fig. 12.7. In this last subsection of the note, we would like to study the meaning of the Young diagram in slightly more detail. For example, how should we understand the process of changing the full puncture to the simple puncture, i.e. the puncture of type $(3, 1)$, shown in Fig 12.26? We will use this particular example of changing the full puncture $(1, 1, 1, 1)$ to the simple puncture $(3, 1)$ as a concrete example throughout this section. The extension to the general punctures should be left as an exercise to the reader. The content of this section is based on an unpublished work with Francesco Benini, done sometime between 2009 and 2010.

The Seiberg-Witten curves are both given by

$$\lambda^4 + \phi_2(z)\lambda^2 + \phi_3(z)\lambda + \phi_4(z) = 0. \quad (12.5.1)$$

In both cases, $\phi_k(z)$ has one full puncture at $z = 0$ and five simple punctures at $z = z_i$. For the first, the puncture at $z = \infty$ was full and for the second, it is a simple puncture, of type $(3, 1, 1)$.

For the first, the fields $\phi_k(z)$ are given by

$$\phi_k(z) = \frac{u_k^{(1)} + u_k^{(2)}z + u_k^{(3)}z^2 + u_k^{(4)}z^3}{\prod_i^5 (z - z_i)} \frac{dz^k}{z^{k-1}}. \quad (12.5.2)$$

For the second, they are given by

$$\begin{aligned}\phi_2(z) &= \frac{u_2^{(1)} + u_2^{(2)}z + u_2^{(3)}z^2 + u_2^{(4)}z^3}{\prod_i^5(z - z_i)} \frac{dz^2}{z}, \\ \phi_3(z) &= \frac{u_3^{(1)} + u_3^{(2)}z + u_3^{(3)}z^2}{\prod_i^5(z - z_i)} \frac{dz^3}{z^2}, \\ \phi_4(z) &= \frac{u_4^{(1)} + u_4^{(2)}z}{\prod_i^5(z - z_i)} \frac{dz^4}{z^3}.\end{aligned}\tag{12.5.3}$$

Here, $u_k^{(i)}$ is the dimension- k Coulomb branch operator of the i -th gauge group, and the way to determine them from the pole structure was described around (12.2.13).

It is clear that $\phi_k(z)$ in (12.5.3) is obtained by setting $u_4^{(3,4)} = u_3^{(4)} = 0$ in (12.5.2). We will explain below that we can start from the first theory, set the Coulomb branch parameters to this subspace, and then move to the Higgs branch, realizing the second theory.

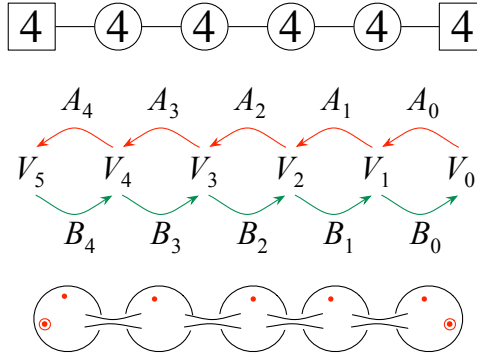


Figure 12.27: Assignment of new names to the fields.

To facilitate the analysis of the Higgs branch, we introduce new names to the bifundamentals, see Fig 12.27. We name the rightmost $SU(N)$ flavor symmetry $SU(N)_0$, and the gauge groups $SU(N)_{i=1,2,3,\dots}$ from the right to the left. Introduce an auxiliary N -dimensional complex space V_i for each of them. For each consecutive pair $SU(N)_{i+1} \times SU(N)_i$, we have a bifundamental hypermultiplet (Q_b^a, \tilde{Q}_a^b) where $a = 1, \dots, N$ and $b = 1, \dots, N$ are the indices for $SU(N)_{i+1}$, $SU(N)_i$ respectively. We regard Q_b^a as a linear map $A_i : V_i \rightarrow V_{i+1}$ and \tilde{Q}_a^b as a map in the reverse direction $B_i : V_{i+1} \rightarrow V_i$. Note that each pair (A_i, B_i) comes from one of the several three-punctured spheres comprising the Gaiotto curve, as shown in the figure. Let us say that there are k three-punctured spheres in total.

Let us introduce the notation

$$M_i' := B_i A_i, \quad {}'M_i := A_i B_i.\tag{12.5.4}$$

We will use the trivial identity

$$\text{tr } M_i'^n = \text{tr } B_i A_i \cdots B_i A_i = \text{tr } A_i B_i \cdots A_i B_i = \text{tr } {}'M_i^n\tag{12.5.5}$$

repeatedly below.

Note that $\text{tr } M_i := \text{tr } M'_i = \text{tr}' M_i$ is the mass term for the i -th $U(1)$ flavor symmetry, which can be naturally associated to the simple puncture of the i -th three-punctured sphere. We also have two other gauge invariant combinations, namely

$$M'_0|_{\text{traceless}} := M'_0 - \frac{1}{N} \text{tr } M_0, \quad 'M_k|_{\text{traceless}} := 'M_k - \frac{1}{N} \text{tr } M_k. \quad (12.5.6)$$

$M'_0|_{\text{traceless}}$ is an adjoint of $SU(N)$ flavor symmetry associated to the full puncture of the rightmost sphere, at $z = \infty$. Similarly, $'M_k|_{\text{traceless}}$ is an adjoint of the $SU(N)$ flavor symmetry at the puncture $z = 0$.

Now, we would like to make a local modification at the puncture $z = \infty$, by giving a non-zero vev to the adjoint field $M'_0|_{\text{traceless}}$. Other gauge-invariant combinations $\text{tr } M_i$ for $i = 1, \dots, k$ and $'M_k|_{\text{traceless}}$ are ‘localized’ at other punctures. So we choose to keep them zero.

The F-term relation of from the adjoint scalar in the gauge multiplet for $SU(N)_i$ is

$$M'_{i+1}|_{\text{traceless}} = 'M_i|_{\text{traceless}}. \quad (12.5.7)$$

As we are imposing the condition $\text{tr } M_i = 0$, we can drop the tracelessness condition and just say

$$M'_{i+1} = 'M_i. \quad (12.5.8)$$

Then we have the following relations:

$$\text{tr } M_0'^n = \text{tr}' M_0^n = \text{tr } M_1'^n = \text{tr}' M_1^n = \dots = \text{tr } M_k'^n = \text{tr}' M_k^n = 0 \quad (12.5.9)$$

for arbitrary n .

This means that the gauge-invariant combination M'_0 , transforming in the adjoint of the $SU(N)$ flavor symmetry, is a nilpotent matrix. They can be put in the Jordan normal form by a complexified $SU(N)$ rotation:

$$M'_0 = J_{t_1} \oplus J_{t_2} \oplus \dots, \quad \sum_i t_i = N \quad (12.5.10)$$

where J_t is the Jordan cell of size t ,

$$J_t = t \left\{ \begin{pmatrix} 0 & 1 & & & \\ & 0 & 1 & & \\ & & 0 & 1 & \\ & & & \ddots & \ddots \\ & & & & 0 \end{pmatrix} \right\}. \quad (12.5.11)$$

We again found a partition (t_i) of N . We argue below that this partition (t_i) is exactly the Young diagram labeling the punctures introduced in Sec. 12.2. To study the effect of the vev (12.5.10), we need to find a choice of hypermultiplet fields (A_i, B_i) solving the F-term and the D-term relations.

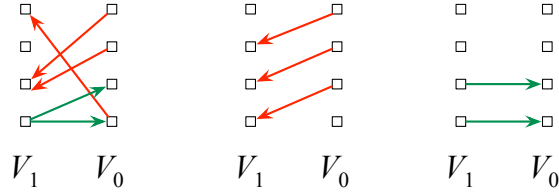


Figure 12.28: A graphical notation for matrices.

To write down such a choice, it is useful to introduce a further diagrammatic notation, see Fig 12.28. An N -dimensional vector space V has N basis vectors. Let us denote them by a column of N dots. A matrix whose entries are 0 or 1, from V to V' can be represented by a set of arrows connecting the a -th dot for V to the b -th dot for V' if and only if the (i, j) -th entry of the matrix is 1. In the center of Fig 12.28 we denoted a Jordan block J_4 of size 4. The rightmost diagram of the same figure is for a projector to the last two basis vectors.

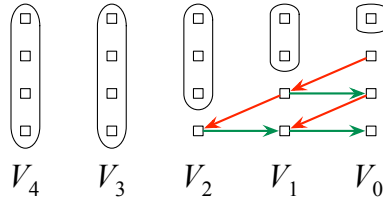


Figure 12.29: A particular point on the Higgs branch.

For concreteness, let $N = 4$, and give a nilpotent vev to M'_0 of type $(3, 1)$, namely it is given by $J_3 \oplus J_1$. A solution to the F-term and the D-term relations are given in Fig. 12.29. There, we see that the unbroken gauge group is now $SU(4) \times SU(4) \times SU(3) \times SU(2)$.

In general, the solution is constructed as follows. Let us say we would like to set $M'_0 = X$, where X is in a Jordan normal form. We identify the vector spaces $V_0 = V_1 = V_2 = \dots$. We then just set

$$A_0 = X, \quad A_1 = X^2, \quad A_2 = X^3, \dots \quad (12.5.12)$$

Let us introduce the notation $W_i = \text{Im } X^i$. Then we take

$$B_0 = P_{W_1}, \quad B_1 = P_{W_2}, \quad B_2 = P_{W_3}, \dots \quad (12.5.13)$$

where P_W is a projector to W . Clearly, the remaining gauge group is of the form

$$\dots \times SU(N_3) \times SU(N_2) \times SU(N_1) \quad (12.5.14)$$

where

$$N_i = N - \dim W_{i-1} = N - \text{rank } X^{i-1}. \quad (12.5.15)$$

Define $s_i = N_i - N_{i-1}$. A short combinatorial computation shows that when X has the type described by a Young diagram whose i -th column from the left has height t_i , the sequence (s_1, s_2, \dots)

is such that s_i is the width of the i -th row from the bottom. This is exactly the rule we already introduced in Sec. 12.2 for the gauge group. Now let us determine the massless matter content of the resulting theory.

An indirect but fast way to determine the matter content is as follows. We started from a superconformal theory without any parameters. After the Higgsing, the only parameter with mass dimensions is the vev of the hypermultiplet fields. By the general decoupling of the hypermultiplet and the vector multiplet side of the Lagrangian, which we discussed in Sec. 7.1, we see that there cannot be any mass terms or dynamical scales in the low-energy theory after the Higgsing. Therefore, the resulting theory is also superconformal. We already determined N_i , and we can only have bifundamental fields or fundamental fields. This shows that $SU(N_i)$ should have exactly

$$n_i = 2N_i - N_{i+1} - N_{i-1} \tag{12.5.16}$$

fundamental hypermultiplets in addition.

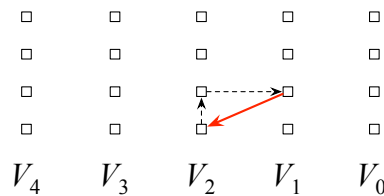


Figure 12.30: Mass terms generated for scalar fields.

Of course this result can also be obtained by a direct computation of the mass terms of the various fields in the system. Note that originally, there is an $\mathcal{N}=1$ superpotential $\text{tr } A_i \Phi_i B_i$ and $\text{tr } B_i \Phi_{i+1} A_i$ where Φ_i is the adjoint scalar of the $SU(N)_i$ vector multiplet. As we gave vevs to some components to A_i and B_i , we see that certain components of hypermultiplets scalars and vector multiplet scalars pair up, due to the three-point couplings. One example is shown in Fig 12.30. There, the vev of A_1 represented by a red down-left arrow gives a mass term of a component of the vector multiplet scalar of the gauge group for V_2 and a component of B_1 .

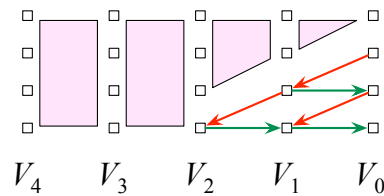


Figure 12.31: Remaining fields after the Higgsing.

We see that always a bifundamental in $SU(N_{i+1}) \times SU(N_i)$ remains massless. But from a careful analysis of the mass terms, we see that sometimes more charged hypermultiplets remain massless. For example, as shown in Fig 12.31, the whole bifundamental between V_3 and V_2 remains

massless. At V_2 , $SU(4)$ is broken to $SU(3)$. Therefore, from the point of view of the unbroken $SU(4)$ at V_3 , we see there are an $SU(4) \times SU(3)$ bifundamental together with a fundamental of $SU(4)$. This can be generalized to see that the number of additional fundamental hypermultiplets of $SU(N_i)$ is given by (12.5.16).

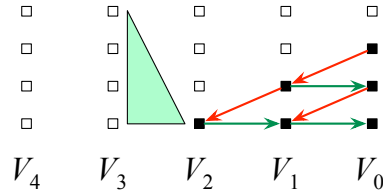


Figure 12.32: Flavor symmetry assignment.

In Sec. 12.2, we said that the puncture at $z = \infty$ carries all the flavor symmetry associated to the additional n_i fundamental hypermultiplets attached to $SU(N_i)$. This sounded somewhat counter-intuitive, since the flavor symmetry $SU(n_i)$ looks more associated to the i -th node. Now we understand the physical mechanism operating here. Let us take the puncture of type $(3, 1)$ again for concreteness, see Fig 12.32. The vev $X = M'_0$, which is from our rule is given by $X = J_3 \oplus J_1$, is invariant under the $U(1)$ rotation acting on the three basis vectors, as denoted by black dots in the figure. This symmetry, if unaccompanied by the gauge rotation, does not fix the Higgs vevs $\langle A_i \rangle$ and $\langle B_i \rangle$. To make the symmetry compatible with the Higgs vev, we need to rotate at the same time all the other basis vectors connected from the original black dots by the arrows representing A_i and B_i .

We see that the Higgs vevs identify the $U(1)$ flavor symmetry rotating three basis vectors of V_0 and the $U(1)$ flavor symmetry rotating the last basis vector of V_3 . After the Higgsing, this latter $U(1)$ symmetry is exactly the flavor symmetry carried by the additional one fundamental hypermultiplet of $SU(4)$ at V_3 , denoted by green in the figure. This analysis can be generalized to arbitrary types of punctures.

Summarizing, we found a new interpretation of the punctures introduced in Sec. 12.2. Such a puncture can always be obtained from the full puncture, by first choosing the Coulomb branch vevs to the right subspace, and then giving a nilpotent vev to the hypermultiplet combination M'_0 which transforms in the adjoint of the flavor $SU(N)$ associated to the full puncture. The vev given to M'_0 causes some of the other hypermultiplet fields A_i, B_i for $i > 0$ to have non-zero vevs, breaking the original gauge group $\cdots \times SU(N) \times SU(N) \times SU(N)$ to $\cdots \times SU(N_3) \times SU(N_2) \times SU(N_1)$.

∞ Conclusions and further directions

In this lecture note, we first discussed the Lagrangian of $\mathcal{N}=2$ supersymmetric gauge theory, and then studied the Coulomb and Higgs branches of $SU(2)$ gauge theories with various number of flavors. Two related concepts, the Seiberg-Witten curve and the Gaiotto curve played very important roles along the way. We then analyzed what happens when Coulomb branch vevs or

exactly-marginal coupling parameters are finely tuned. Sometimes the limit was described by a dual weakly-coupled gauge theory, as was the case with $SU(2)$ theory with four flavors. Most often, however, we saw that we end up with new superconformal field theories, of Argyres-Douglas-type or of Gaiotto-type.

For example, we saw the theories $AD_{N_f=1,2,3}(SU(2))$ and $MN(E_{6,7,8})$ in Sec. 10.4, the theories X_N and Y_N in Sec. 10.5, R_N in Sec. 12.3 and T_N in Sec. 12.4. More and more $\mathcal{N}=2$ superconformal theories are being discovered, see e.g. [61]. This means that, to fully understand the interrelations of $\mathcal{N}=2$ supersymmetric systems, we cannot restrict our attention to just $\mathcal{N}=2$ theories composed of vector multiplets and hypermultiplets.

The topics we covered in this lecture note are only a tip of a huge iceberg that is the study of $\mathcal{N}=2$ dynamics, and there are many other further directions of research. Let us list some of them.¹² First, we can put an $\mathcal{N}=2$ theory on a nontrivial manifold:

- Using the topological twisting, it can be put on an arbitrary manifold [62]. When the manifold is compact, the partition function is equivalent to what is known as the Donaldson invariant to mathematicians. Applying the Seiberg-Witten solution in the case of pure $SU(2)$ theory, Witten introduced a new mathematical invariant, now called the Seiberg-Witten invariant [63], which revolutionized four-dimensional differential geometry twenty years ago.
- We can put it on S^1 . Then the theory is effectively three-dimensional. The Coulomb branch as a three-dimensional theory is naturally a fibration over the Coulomb branch as a four-dimensional theory, and has the structure of a classical integrable system with finite degrees of freedom. This integrable system is usually called the Donagi-Witten integrable system. See e.g. [64].
- On the so-called Ω background. Very roughly speaking, it involves a forced rotation of the entire Euclidean system on \mathbb{R}^4 around the origin. The spacetime is effectively compact and we can define the partition function, which is usually called Nekrasov's partition function. For a recent comprehensive discussion, see e.g. [54]. In a certain limiting case, it is found in [65] that it gives rise to a quantized integrable system which is a quantized version of the Donagi-Witten integrable system.
- On a round or deformed S^4 . The spacetime is compact and the partition function can be computed exactly, see e.g. [66, 67, 68]. The partition function is also known to be related to 2d CFTs on the Gaiotto curve, see e.g. [69, 70].
- On $S^1 \times S^3$. The partition function is called the superconformal index, and has a deep relation to various important orthogonal polynomials, see e.g. [71, 72].

Second, we can study dynamical excitations and externally-introduced operators of these theories:

¹²The author did not try to be exhaustive and comprehensive here, and just cited a few recent ones. He is happy to add as many citations upon request.

- We have seen how we can read off the number of BPS-saturated particle types from the 6d construction. The number is an integer and therefore it cannot usually change, but it does jump at certain loci in the Coulomb branch. This is called the wall-crossing and is an intensively-studied area, see e.g. [40]. The resulting spectrum can often be summarized using a diagram, called the BPS quiver, see e.g. [73].
- Instead of dynamical particles, we can introduce worldlines of external objects. These are called line operators. See e.g. [74, 75].
- Once we allow the introduction of external line operators, there is no reason not to introduce higher-dimensional external objects. When they have two spacetime dimensions, they are called surface operators. A Seiberg-Witten curve can be defined intrinsically as the infra-red moduli space of a surface operator [76]. Another interesting recent paper worth studying is [77].
- We can then consider objects with three spacetime dimensions. This is an external domain-wall. A recent study can be found e.g. in [78].

On these topics, the review [79] is a great source of information, although the review itself is meant for mathematicians.

Third, the method described in this lecture note is not yet powerful enough to solve arbitrary $\mathcal{N}=2$ gauge theories. Many 4d $\mathcal{N}=2$ theories do come from the 6d $\mathcal{N}=(2, 0)$ theory, but there are also many which presently do not. Therefore we should also study alternative approaches.

- The 6d construction itself needs to be developed further. For tame punctures, further discussions can be found in e.g. [55, 80, 81] and for wild punctures, more can be found in [82, 83].
- A 6d construction of 4d $\mathcal{N}=2$ theory can always be uplifted to Type IIB string theory on a non-compact Calabi-Yau manifold, which is a fibration over the Gaiotto curve. Even when the non-compact Calabi-Yau is not a fibration over a curve, Type IIB string theory on it often realizes a 4d $\mathcal{N}=2$ field theory, and this gives an alternative to find the solution to the $\mathcal{N}=2$ systems, see e.g. [84, 85].

The author should probably emphasize that even such innocent looking gauge theories as

- $\mathcal{N}=2$ supersymmetric $SU(7)$ gauge theory with a hypermultiplet in the three-index anti-symmetric tensor representation, or
- $\mathcal{N}=2$ supersymmetric $SU(2)^3$ gauge theory with a massive full hypermultiplet in the trifundamental, $(Q_{aiu}, \tilde{Q}^{aiu})$

have not been solved yet. He would be happy to offer a dinner at the Sushi restaurant in the Kashiwa campus to the first person who finds the solution to either of the two theories. There are many other $\mathcal{N}=2$ gauge theories without known solutions, as listed in [86]. So this field should be considered still wide-open.

Hopefully, those readers who came to this point should be at least moderately equipped to tackle these and other recent articles on $\mathcal{N}=2$ supersymmetric theories. It would be a pleasure for the author if they would continue the study and contribute to extend the frontier of the research.

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