## 1 4d QFT.

A "4d QFT with G symmetry" Q is a pair  $Q = (\mathcal{V}, Z)$  where

•  $\mathcal{V}$  is a  $\mathbb{Z}/2$  filtered  $\mathbb{C}$ -vector space

$$\mathcal{V}_0 \subset \mathcal{V}_{1/2} \subset \mathcal{V}_1 \subset \dots \subset \mathcal{V} \tag{1.1}$$

such that each filtered piece  $\mathcal{V}_i$  is a finite-dimensional representation of  $\mathfrak{so}(4) \times G$ .

- $\mathcal{V}$  has a lot of noncommutative, nonassociative products.
- For each principal G-bundle  $W \to X$  with connection on a compact spin real 4dimensional manifold X without boundary with finite-volume Riemannian metric with k marked points  $x_1, \ldots, x_k$ , there is a multi-linear map

$$Z(W; x_1, \dots, x_k): \mathcal{V}^{\otimes k} \to \mathbb{C}$$
(1.2)

satisfying various conditions, such as continuity under the change of the metric, the marked points, the *G*-connection, etc. The object  $Z(W; x_1, \ldots, x_k)(v_1 \otimes \cdots \otimes v_k)$  is often denoted as

$$\langle v_1(x_1)v_2(x_2)\cdots v_k(x_k)\rangle\tag{1.3}$$

in the physics literature.

• When X has a boundary  $\partial X \neq \emptyset$ , one instead has

$$Z(W; x_1, \dots, x_k) : \mathcal{V}^{\otimes k} \to \mathcal{H}(\partial X)$$
(1.4)

where  $\mathcal{H}(Y)$  is a vector space such that

$$\mathcal{H}(Y_1 \times Y_2) = \mathcal{H}(Y_1) \otimes \mathcal{H}(Y_2) \tag{1.5}$$

and

$$\mathcal{H}(\bar{Y}) = \mathcal{H}(Y)^* \tag{1.6}$$

where  $\overline{M}$  is M with reversed orientation. Eq (1.2) is a special case where  $\partial X = \emptyset$ and  $\mathcal{H}(\emptyset) = \mathbb{C}$ .

- These assignments  $\mathcal{H}$  and Z are functorial. E.g., if there is a isometric equivariant map between  $W_1 \to X_1$  and  $W_2 \to X_2$ ,  $Z(W_1) \in \mathcal{H}(\partial X_1)$  and  $Z(W_2) \in \mathcal{H}(\partial X_2)$  can be naturally identified.
- Furthermore, if  $W \to X$  is obtained by gluing  $W_1 \to X_1$  and  $W_2 \to X_2$  along a component M of the boundaries  $M \subset \partial X_1$ ,  $\overline{M} \subset \partial X_2$ , we should have

$$Z(W; x_1, \dots, x_k; y_1, \dots, y_l) = Z(W_1; x_1, \dots, x_k) Z(W_2; y_1, \dots, y_l)$$
(1.7)

where the product on the RHS uses the pairing (1.6).

#### **1.1** QFT on infinite-volume spaces

So far the space X is assumed to be of finite volume. If X has infinite volume, or a boundary component  $Y \subset \partial X$  has infinite volume, one needs to specify a vacuum  $p \in M$ , where M is called the moduli space of the vacuum of the QFT Q, to have Z and  $\mathcal{H}$ :

$$Z(W; p; x_1, \dots, x_k) : \mathcal{V}^{\otimes k} \to \mathcal{H}(\partial X; p).$$
(1.8)

In other words, Z and  $\mathcal{H}$  are defined for

- a finite volume X or a finite volume  $\partial X$ ,
- a pair (X, p) when X has infinite volume, or  $(\partial X, p)$  when  $\partial X$  has infinite volume.

One important property is that the algebra of  $\mathbb{C}$ -valued continuous functions on M is a subspace of  $\mathcal{V}$ .

## 1.2 Unitary QFT.

A unitary QFT has further properties that

- There is a real structure  $*: \mathcal{V} \to \mathcal{V}$  (called the CPT conjugation map)
- and a Hilbert space structure on  $\mathcal{H}(Y)$  such that

$$\Psi = Z(W; x_1, \dots, x_k)(v_1, \dots, v_k) \in \mathcal{H}(Y)$$
(1.9)

and

$$\bar{\Psi} = Z(\bar{W}; x_1, \dots, x_k)(v_1^*, \dots, v_k^*) \in \mathcal{H}(\bar{Y})$$
(1.10)

where  $\overline{W} \to \overline{X}$  is  $W \to X$  with reversed orientation satisfies

$$\langle \bar{\Psi}\Psi \rangle \ge 0. \tag{1.11}$$

This is called the reflection positivity.

## 1.3 Renormalization group.

There is a natural action of the multiplicative group  $\mathbb{R}_{>0}$  on a QFT:

$$Q \mapsto \mu_t Q, \quad t \in \mathbb{R}_{>0} \tag{1.12}$$

where we define  $\mathcal{V}(\mu_t Q) = \mathcal{V}(Q)$  and

$$Z(\mu_t Q)(W; x_1, \dots, x_k) = Z(Q)(\mu_t W; x_1, \dots, x_k)$$
(1.13)

where  $\mu_t W$  is defined so that if the base of  $W \to X$  has is a Riemannian manifold (X, g)with metric  $g, \mu_t W \to \mu_t X$  has the base with a metric given by (X, tg). This action of  $\mathbb{R}_{>0}$ is called the renormalization group.

A QFT is called scale invariant if  $\mu_t Q \simeq Q$ .

If there's a limit  $\mu_{+\infty}Q = \lim_{t \to +\infty} \mu_t Q$  in a suitable sense, it's called the infrared (IR) limit of Q.

# 2 4d $\mathcal{N} = 2$ supersymmetric QFT.

#### 2.1 Basics

A "4d  $\mathcal{N} = 2$  supersymmetric QFT with G symmetry" Q is a particular kind of 4d QFT with  $G \times SU(2)$  symmetry, with various extra axioms. A few important consequences are

- There's a linear map  $\delta : \mathcal{V}_i \to \mathcal{V}_{i+1/2}$  with  $\delta^2 = 0$ .
- A distinguished subspace  $M_{susy} \subset M$  is called the moduli space of supersymmetric vacuum, and has a complex structure. The algebra of holomorphic functions on  $M_{susy}$  is isomorphic to  $H(\mathcal{V}, \delta)^{\mathfrak{so}(4)}$ .
- $M_{\rm susy}$  contains two distinctive subspaces, called the Higgs and the Coulomb branches

$$M_{\rm susy} \supset M_{\rm Coulomb} \cup M_{\rm Higgs}$$
 (2.1)

- if the metric of Y is flat, there is  $\delta : \mathcal{H}(Y) \to \mathcal{H}(Y)$  with  $\delta^2 = 0$ . Its cohomology group  $H(\mathcal{H}(Y), \delta)$  is called the BPS states.
- For each simple factor  $G_0 \subset G$ , one has a positive real number  $k_{G_0}$ .

#### 2.2 Topological twisting

Given a 4d  $\mathcal{N} = 2$  supersymmetric QFT  $Q = (\mathcal{V}(Q), Z(Q))$ , one can construct a 4d QFT  $T(Q) = (\mathcal{V}(T(Q)), Z(T(Q)))$  via the following procedure :

$$Z(T(Q))(X) = Z(Q)(K)$$
(2.2)

where  $K \to X$  is a principal SU(2) bundle obtained from the tangent bundle TX by decomposing its affine connection in Spin(4) into one of the subgroups SU(2) × SU(2). This T(Q) is known to be independent of the continuous defomation of the metric of X. This is used by Witten to give a QFT interpretation to the Donaldson invariant.

### 2.3 Basic ways of constructing 4d $\mathcal{N} = 2$ supersymmetric QFT.

#### 2.3.1 Hypermultiplets

For a pseudo-real representation V of G, one has a 4d  $\mathcal{N} = 2$  supersymmetric QFT with G-symmetry H(V), called a half-hypermultiplet in the representation V. When  $V = R \oplus \overline{R}$ , H(V) is called a hypermultiplet in the representation R.

$$M_{\text{Coulomb}}(H(V)) = \{0\}, \quad M_{\text{Higgs}}(H(V)) = V.$$
 (2.3)

For a simple factor  $G_0 \subset G$ ,  $k_{G_0}(H(V))$  is given as follows. One first decomposes V (as a complex representation) to irreps of  $G_0$ :

$$V = \oplus_i R_i \tag{2.4}$$

then

$$k_{G_0}(H(V)) = \sum_i c_2(R_i)$$
(2.5)

where  $c_2$  is the quadratic Casimir invariant of the representation, normalized so that  $c_2(\mathfrak{g}_{\mathbb{C}}) = h^{\vee}(G_0)$ .

When V is zero-dimensional, one has a trivial theory triv =  $H(\{0\})$ .

#### 2.3.2 Gauging.

Given a 4d supersymmetric QFT Q with  $G \times F$  symmetry where G is a simple Lie group and  $k_G(Q) \leq 2h^{\vee}(G)$ , one can construct a one-parameter family of 4d supersymmetric QFTs with F symmetry

$$(Q///G)_{\tau} \tag{2.6}$$

where  $\tau$  is a complex number in the upper half plane. For a theory of this form, F is known as the flavor symmetry, and G is known as the gauge symmetry.  $\tau$  is known as the complexified gauge coupling.

We have

$$M_{\text{Coulomb}}(Q///G) = M_{\text{Coulomb}}(Q) \times \text{Spec} \mathbb{C}[\mathfrak{g}_{\mathbb{C}}]^{G_{\mathbb{C}}}$$
(2.7)

and

$$M_{\rm Higgs}(Q///G) = M_{\rm Higgs}(Q)///G.$$
 (2.8)

Note that  $\mathbb{C}[\mathfrak{g}_{\mathbb{C}}]^{G_{\mathbb{C}}} \subset \mathcal{V}(Q///G)$ . Denote the degree-2 generator of  $\mathbb{C}[\mathfrak{g}_{\mathbb{C}}]^{G_{\mathbb{C}}}$  by  $d_2$ . Consider the function

$$f_X(x,y) = Z((Q///G)_{\tau})(X;x,y)(d_2,d_2^*) \in \mathbb{C}.$$
(2.9)

When  $\ell = |x - y|$  is very small, it is known to behave as

$$f_X(x,y) \sim \left(\frac{1}{(\operatorname{Im} \tau) + (2h^{\vee}(G) - k_G(Q))\log(1/\ell)}\right)^2 \frac{1}{\ell^4}$$
(2.10)

Historically, the combination

$$b_1 = 2h^{\vee}(G) - k_G(Q) \tag{2.11}$$

is known as the one-loop beta function. This can't be negative, as it will violate the reflection positivity when  $\ell$  is very very small. When  $2h^{\vee}(G) = k_G(Q)$ , the theory is called superconformal.

As an example, consider  $G = \mathrm{SU}(N_c)$ ,  $F = \mathrm{SU}(N_f)$ , and  $V = A \otimes B^* \oplus A^* \otimes B$  where  $A \simeq \mathbb{C}^{N_c}$ ,  $B \simeq \mathbb{C}^{N_f}$  are the defining representations of G and F.  $k_G(H(V)) = 2N_f$ . Therefore, when  $N_f \leq 2N_c$ , one can consider the gauge theory

$$(H(V)///G)_{\tau}$$
. (2.12)

# 3 Duality

. The theory

$$\mathcal{Q}(G)_{\tau} = (H(\mathfrak{g}_{\mathbb{C}} \oplus \mathfrak{g}_{\mathbb{C}}) / / / G)_{\tau}$$
(3.1)

is called the  $\mathcal{N} = 4$  super Yang-Mills theory with gauge group G. It is believed to satisfy

$$\mathcal{Q}(G)_{\tau} = \mathcal{Q}(G^{\vee})_{-1/(n\tau)} \tag{3.2}$$

where  $G^{\vee}$  is the Langlands dual group, and n is the ratio of the squared lengths of the long and short roots.

These nontrivial equality between QFTs are called dualities. Another famous example is

$$(H(V_2 \otimes_{\mathbb{R}} V_S) / / / \operatorname{SU}(2))_{\tau} = (H(V_2 \otimes_{\mathbb{R}} V_V) / / / \operatorname{SU}(2))_{-1/\tau} = (H(V_2 \otimes_{\mathbb{R}} V_C) / / / \operatorname{SU}(2))_{1/(1-\tau)}$$
(3.3)

where  $V_2 \simeq \mathbb{C}^2$  is the defining representation of SU(2), and  $V_{S,V,C}$  are three eight-dimensional irreducible representations of Spin(8).