

# Ordered Phases of non-commutative scalar field theories

Work with S. Sandhi, [hep-th/0006132](https://arxiv.org/abs/hep-th/0006132)

- Introduction
- Non-commutative  $\phi^4$  theory at one loop
- Phase diagram (overview)
- Fluctuation-driven first order transition to stripes
- Various non-uniform phases
- Lifshitz point
- Scaling and renormalizability

Two motivational questions:

① What new physics arises from non-commutativity in QFT?

[Resolved instanton moduli spaces [Nekrasov-Schwarz]  
 non-commutative solitons [Gopakumar-Minwalla-Straninger]  
 UV-IR mixing [Minwalla-Seiberg-van Raamsdonk]]

② Are non-commutative field theories renormalizable?

[Decoupling limit, oscillatory integrands,  
 non-local action]

We can address ① and ② both by examining phase transitions in non-commutative field theories.

- If a statistical mechanical system (eg cut-off field theory) exhibits a 2nd order transition, then through scaling limits we can define continuum QFT's.
- But it might happen that the continuum QFT is trivial: eg Ising in  $d > 4$ .
- Program: map out phase diagram for a non-commutative FT (with a cut-off), identify critical points, check for triviality.

$$[x^\mu, x^\nu] = i\theta^{\mu\nu} = i\theta \begin{pmatrix} 0 & 1 \\ -1 & 0 \\ & 0 & 1 \\ & -1 & 0 \end{pmatrix}$$

Usually  $d=4$   
Wilsonian cutoff



## Non-commutative $\phi^4$ theory:

$$\mathcal{L} = \frac{1}{2}(\partial\phi)^2 + \frac{1}{2}m^2\phi^2 + \frac{g^2}{4}\phi\star\phi\star\phi\star\phi$$

$$\mathcal{L}_{\alpha(N)} = \frac{1}{2}(\partial\phi_i)^2 + \frac{1}{2}m^2\phi_i^2 + \frac{g^2}{4}\phi_i\star\phi_i\star\phi_j\star\phi_j$$

$$+ \frac{g'^2}{4}\phi_i\star\phi_j\star\phi_i\star\phi_j$$

$$(f\star g)(x) = e^{\frac{i}{2}\Theta^{\mu\nu}\partial_{x^\mu}\partial_{y^\nu}} f(x)g(y) \Big|_{x=y}$$

Perturbative calculations are easiest in the disordered ( $\langle\phi\rangle=0$ ) phase [Minwalla-Seiberg-van Raamsdoonk + others]:

$$k\wedge p \equiv \Theta^{\mu\nu}k_\mu p_\nu$$

$$\Gamma^{(2)}(p) = p^2 + m^2 + 2g^2 \int \frac{d^4k}{(2\pi)^4} \frac{1}{k^2+m^2} + g^2 \int \frac{d^4k}{(2\pi)^4} \frac{e^{ik\wedge p}}{k^2+m^2}$$

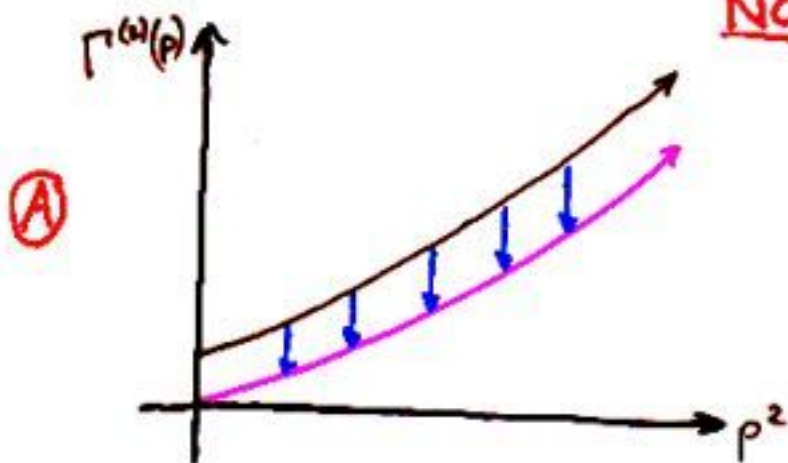
$$= p^2 + m^2 + \frac{m}{\sqrt{p\Theta^2 p + 4/\Lambda^2}} K_1\left(m\sqrt{p\Theta^2 p + 4/\Lambda^2}\right)$$

What happens if we fix  $\Lambda, m, g,$   
and vary  $\Theta$ ?

Now decrease  $m^2$

$\Theta\Lambda^2 \ll 1$  has little  
effect on propagator

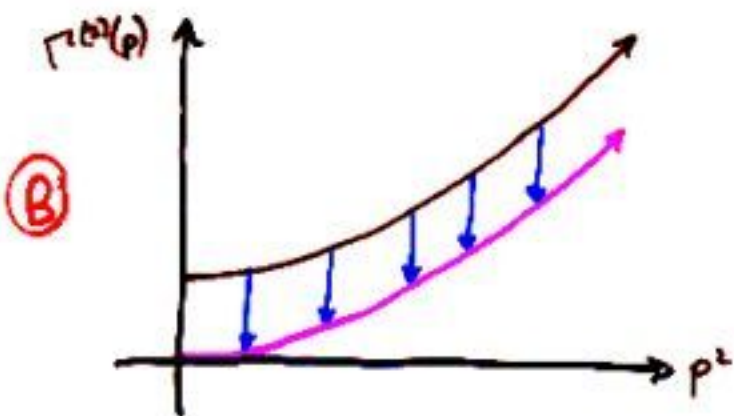
Condense  $\phi(0)$



Critical  $\Theta\Lambda^2$ :

$\Gamma^{(2)}(p) \sim p^4$  for small  $p$ .

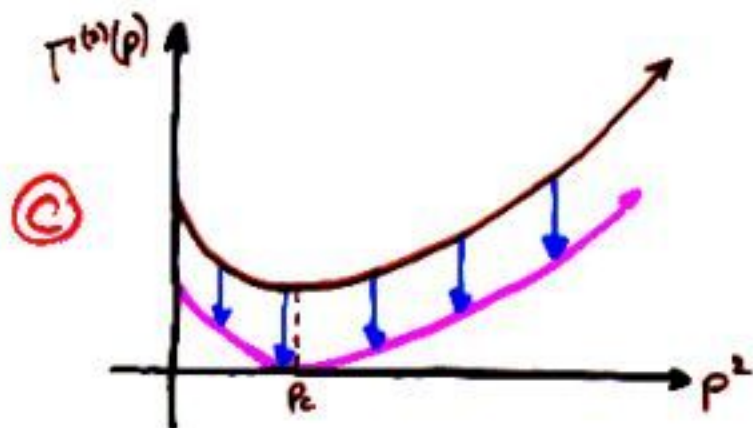
Condense  $\phi(0)$



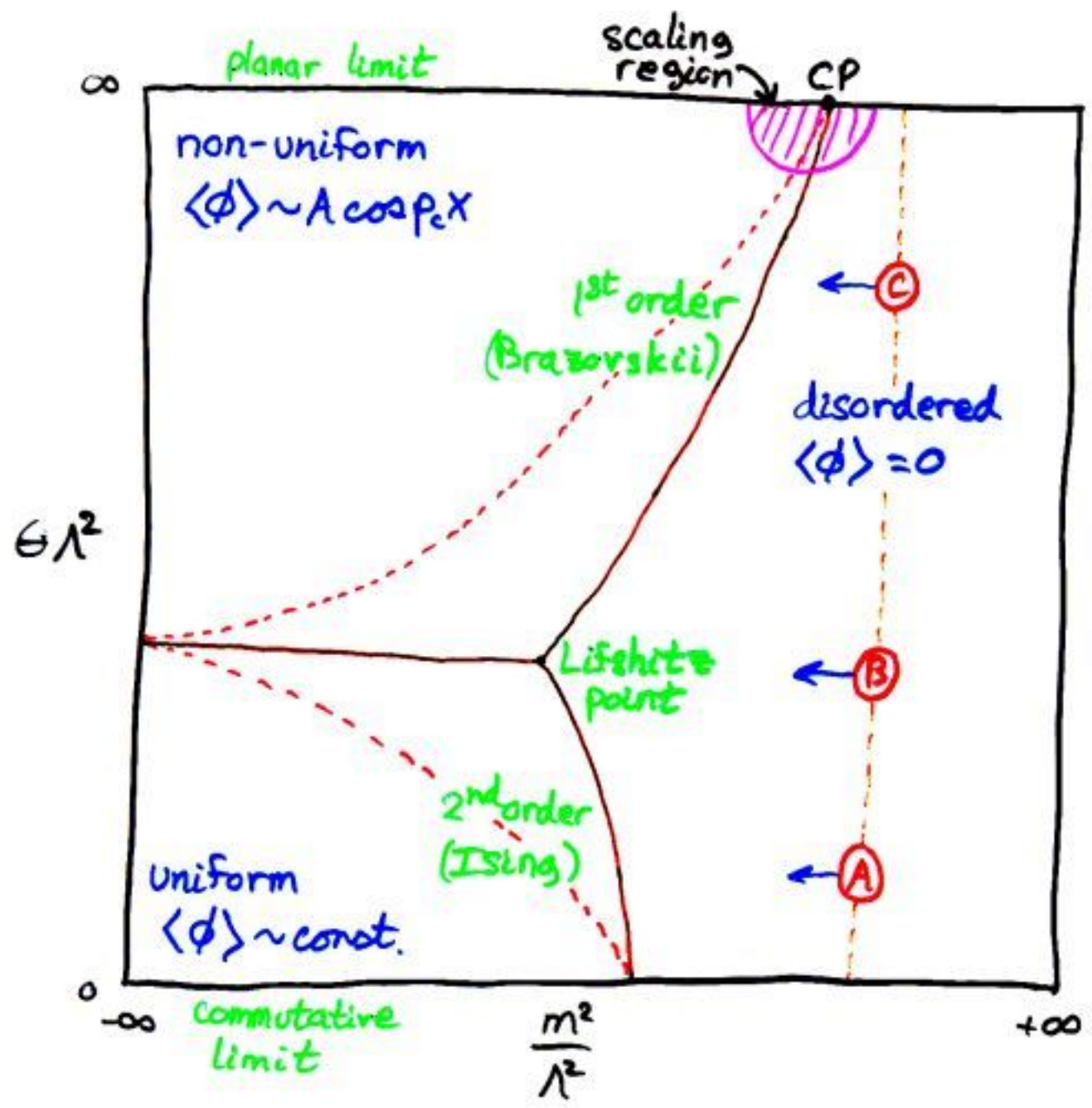
$\Theta\Lambda^2 \gg 1$ :

small  $p$  modes are  
stiffer than at  $l=p_c$

Condense  $\phi(p_c)$ ?



# The phase diagram:



self-consistent one loop

conjectured for finite N



# The Brazovskii transition:

Self-consistent one loop treatment:

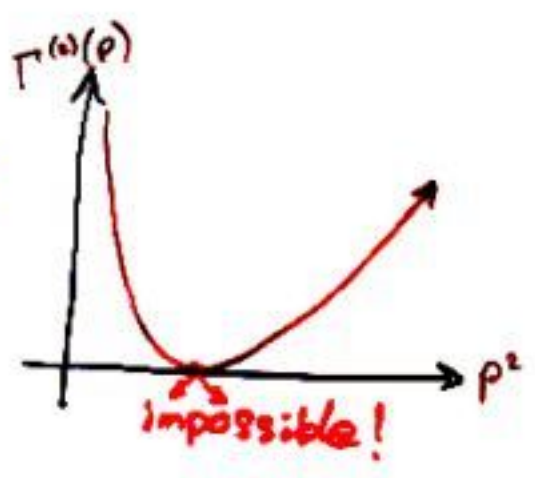
$$\Gamma^{(2)}(p) = p^2 + m^2 + 2g^2 \int \frac{d^4k}{(2\pi)^4} \frac{1 + \frac{1}{2} e^{ik \cdot r}}{\Gamma^{(2)}(k)}$$

$$= p^2 + M^2 + g^2 \int_0^\infty k^3 dk \frac{J_1(\theta kp)}{\theta kp} \frac{1}{\Gamma^{(2)}(k)} \quad (\star)$$



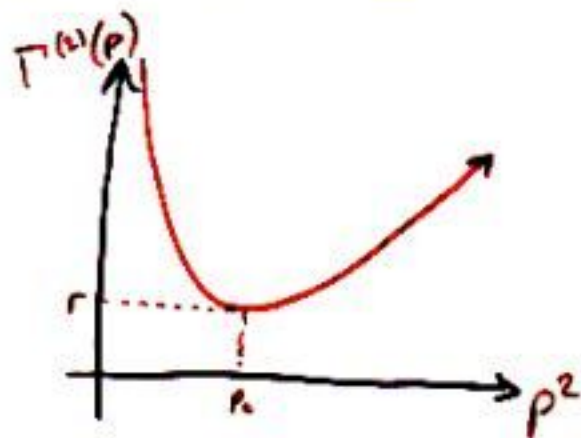
formally sums up all the superficially divergent non-planar diagrams noted in [Minwalla-Seiberg-van Raamsdonk] in a manifestly finite integral eqn.

( $\star$ ) makes a 2<sup>nd</sup> order transition to a condensate of  $\phi(p)$  impossible.



Nevertheless claim that a condensate forms, in a first-order transition.

We can approximate  
for small  $g$ :



$$\Gamma^{(2)}(p) \approx p^2 + M^2 + \frac{g^2}{(\epsilon p)^2} + \frac{g^2 p_c^2}{\sum_0^{\epsilon} \sqrt{r}}$$

$$r \equiv \inf_p \Gamma^{(2)}(p) = 2p_c^2 + M^2 + \frac{g^2 p_c^2}{\sum_0^{\epsilon} \sqrt{r}}$$

$$p_c \sim \frac{1}{\sum_0^{\epsilon}} \sim \sqrt{\frac{g}{\theta}}$$

} self-consistency equations from the integral equation.

and indeed,  $r \rightarrow 0$  only when  $M^2 \rightarrow -\infty$ .

Nevertheless, condensate should form:

$$\langle \phi \rangle = A \cos p_c x \equiv \phi_0$$

breaks both translation + rotation symmetry.

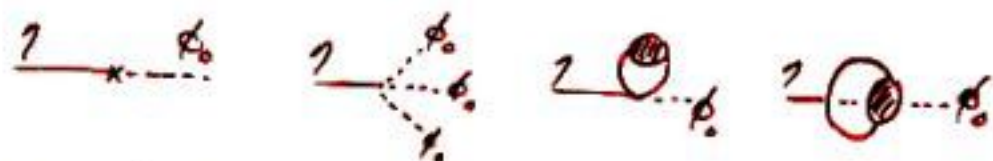
Idea is to compute  $F_{\phi_0} - F_{\phi=0}$

and show there is a crossover.

Analogous calculation by Brazovskii (1970).



Briefly:  $\phi = \phi_0 + \eta$ , background field pert. th.



$$\frac{1}{2V} \frac{dF}{dA} = \Gamma^{(2)}(p_c) A - \frac{g^2}{2} A^3 \quad \text{From these graphs;}$$



$$\Gamma \equiv \Gamma^{(2)}(p_c) = 2p_c^2 + M^2 + \frac{g^2 p_c^2}{\xi_0 \sqrt{r}} + g^2 A^2 \quad \text{from these}$$

$$\Delta F = \int_0^A dA \frac{dF}{dA} \quad \text{can be computed explicitly,}$$

with the result that ordered phase becomes preferred when

$$M^2 < -2p_c^2 - c_2 \frac{g^{7/3}}{\Theta} + o(g^2)$$

for some  $c_2$  of order unity.

# Stripes or squares?

So far, we just assumed  $\langle \phi \rangle \sim A \cos p_c x$ .

Let's contemplate next simplest possibility:

$$\langle \phi \rangle \sim A_1 \cos p_1 x + A_2 \cos p_2 x \quad |p_1| = |p_2| = p_c$$

Simple test to see which is preferred:

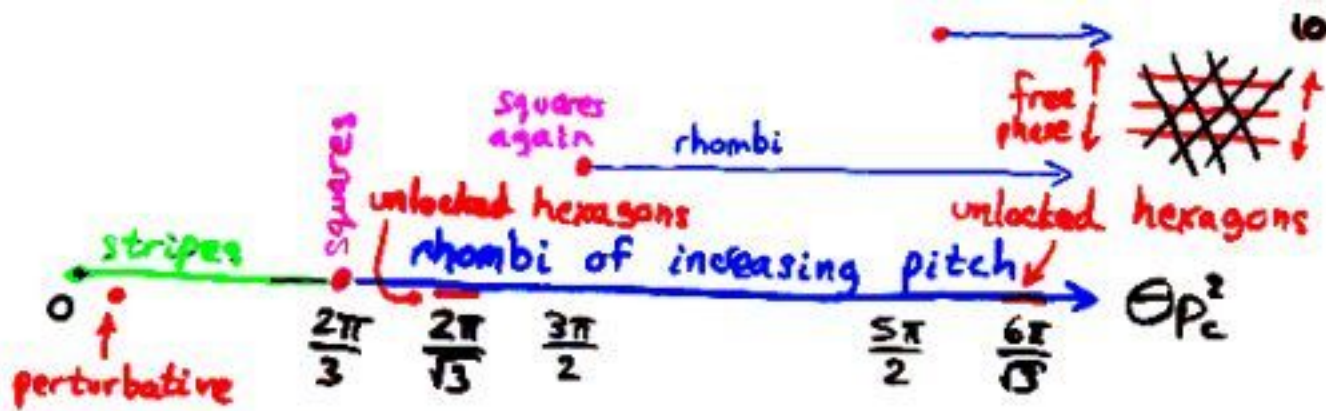
Which soln minimizes  $\mathcal{L}_{\text{eff}}$ ?

$$\mathcal{L}_{\text{eff}} \sim \frac{\chi_1}{2} [(\partial^2 + p_c^2)\phi]^2 + \frac{\chi_2}{2} \phi^2 + \frac{\chi_4}{4} \phi + \phi + \phi + \phi$$

$$\langle \langle \mathcal{L}_{\text{eff}} \rangle \rangle_{\text{spatial average}} \sim \chi_2 (|A_1|^2 + |A_2|^2) + \frac{3}{2} \chi_4 (|A_1|^2 + |A_2|^2)^2 + \chi_4 (1 + 2 \cos p_1 \wedge p_2) |A_1 A_2|^2$$

$$p_1 \wedge p_2 = p_{1\mu} \Theta^{\mu\nu} p_{2\nu} = \Theta p_c^2 \sin \text{angle}(p_1, p_2)$$

$\chi_2 < 0$   $\chi_4 > 0$ , should be OK well inside ordered phase.



• perturbative regime has  $\Theta p_c^2 \sim g \ll 1$

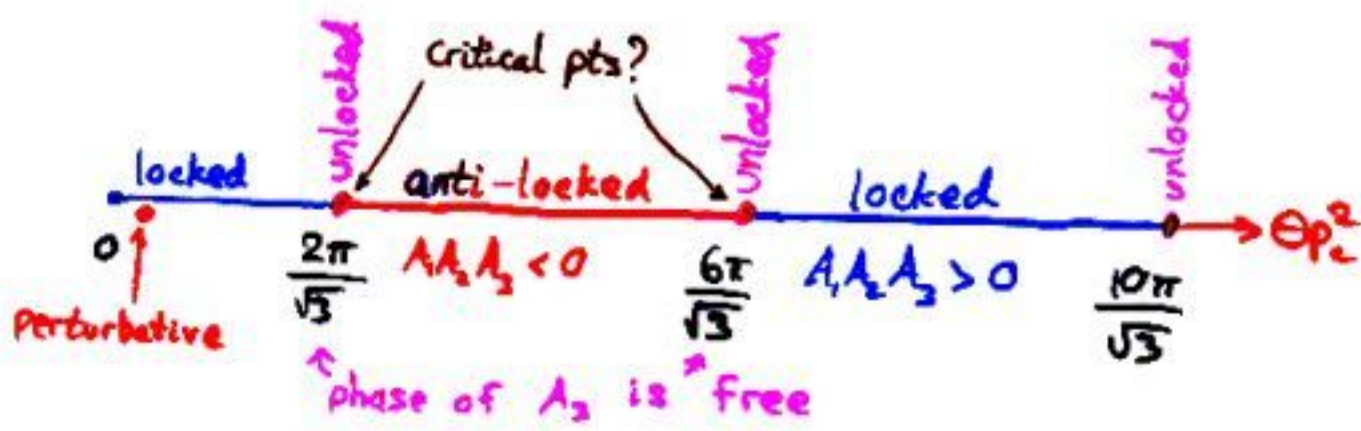
Further complications possible...

Breaking  $Z_2$  symmetry leads to hexagons:

$$\mathcal{L}_{eff} \sim (\text{kinetic}) + \frac{\chi_2}{2} \phi^2 + \frac{\chi_3}{2} \phi^{*3} + \frac{\chi_4}{4} \phi^{*4}$$

$$\langle \phi \rangle \sim A_1 e^{i p_1 \cdot x} + A_2 e^{i p_2 \cdot x} + A_3 e^{-i(p_1 + p_2) \cdot x} + c.c.$$

$$|p_1| = |p_2| = |p_1 + p_2| = p_c$$





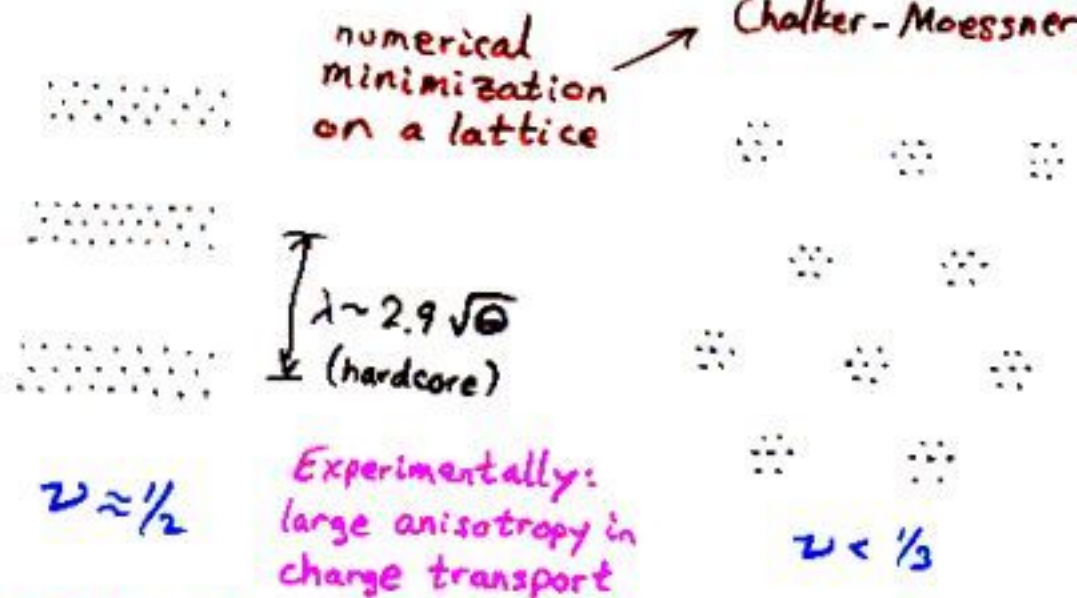
# Stripe phases are real:

The likeliest laboratory testing ground for non-commutative field theory is quantum Hall systems. [Halperin-Lee-Read; Haldane; Read]

Suppose  $\nu \in (n, n+1)$ :  $n-1$  filled Landau levels

$\Theta \approx \frac{2n\hbar}{eB}$  is the scale of non-commutativity.

For large  $n$ , uniform FQH states give way to Charge Density Waves. [Fogler-Koulakov-Shklovskii, Chalker-Moessner]



Charge density  $\rho \rightarrow$  scalar field  $\phi$   
Non-commutative Landau theory?

## Renormalizability again:

Critical point (CP) at  $\Theta\Lambda^2 = \infty$  guarantees existence of a renormalizable continuum theory, provided one can justify **scaling** as  $\Lambda \rightarrow \infty$ .

- $O(N)$  vector model simplification: bubble sum is exact in large  $N$  limit. So we only have to worry about

$$\begin{aligned} \Gamma^{(2)}(p; \Lambda) &= \text{---} + \text{---} + \text{---} + \text{---} + \dots \\ &= p^2 + m^2 + O(g^2 + g'^2) \\ &= p^2 + M^2 + \Sigma_{\text{non-planar}}(p) \end{aligned}$$

$$M^2 = 2g^2 N \int \frac{d^d k}{(2\pi)^d} \frac{1}{k^2 + M^2 + \Sigma_{\text{non-planar}}(k)}$$

$$\Sigma_{\text{non-planar}}(p) = g'^2 N \int \frac{d^d k}{(2\pi)^d} \frac{e^{ik \cdot p}}{k^2 + M^2 + \Sigma_{\text{non-planar}}(k)}$$

CP is the 2<sup>nd</sup> order transition in commutative  $O(N)$  vector model;

exists for all  $d > 2$ .

$$\Sigma_{\text{non-planar}}(p) = M^2 f(p/M, \Theta M^2) \quad \text{in } \Lambda \rightarrow \infty \text{ limit}$$

$$\sim \frac{1}{(\Theta p)^{d-2}} \quad \text{for small } p.$$

Thus from self-consistency equations, conclude that

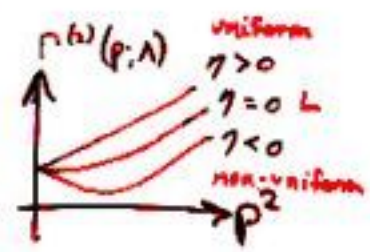
- ① Scaling is justified
- ② Continuum theory is non-trivial in all even  $d > 2$  (!)



# Lifshitz point: new scaling limits?

By definition, L-point is where transitions to uniform + non-uniform phases meet.

$$\Gamma^{(2)}(p; \Lambda) \underset{\text{small } p}{\sim} \gamma p^2 + p^4 + \dots$$



$$\text{self-consistent one-loop } p^2 + m^2 + g^2 \int \frac{d^d k}{(2\pi)^d} \frac{1 + \frac{1}{2} e^{ip \cdot k}}{\Gamma^{(2)}(k)}$$


Integral on RHS diverges, for  $d \leq 4$ , in IR when  $\gamma = 0$ . So, no L-point at large N in  $d=4$ .

But in  $d=6$  (or, possibly, in  $d=4$  if N is finite), L-point does appear, at  $\Theta \Lambda^2 = \text{finite}$ .

Now take  $\Theta \rightarrow 0, \Lambda \rightarrow \infty$  near L-point. Appear to find a continuum theory outside Ising universality class ( $\Gamma^{(2)}(p) \sim p^4 + \text{const.}$ ) on commutative

$\mathbb{R}^4$ : Non-commutativity without non-commutativity?

## Conclusions

- Got a lot of mileage out of self-consistent one loop. Summed a series of superficially IR-divergent diagrams in a manifestly finite manner.
  - $\Gamma^{(2)}(p) \sim \frac{1}{(\theta p)^2} + p^2 + \text{const}$  basically guarantees stripes because  $\langle \phi(x)\phi(0) \rangle$  is oscillatory.
- 
- Any stringy realization (eg unstable D-branes in type II) must involve large string loop effects at low  $p$ .
  - Planar limit ( $\theta \rightarrow \infty$ ) provides obvious scaling limit. How about Lifshitz point?



## Brief Comment on Curvature Singularities:

[S.G., hep-th/0002160 ; Freedman-Gubser-Pilch-Warner;  
Lowe; Girardello-Petrini-Zaffaroni-Porratti; Pilch-Warner;  
Maldacena-Nunez] [Polchinski-Strassler]

Starting point: if a singular, asymptotically AdS spacetime is a limit of regular black holes, then it should admit a field theory dual.

$$ds^2 = e^{2A(r)} (-h(r) dt^2 + d\vec{x}^2) + \frac{dr^2}{h(r)} \quad \varphi = \varphi(r)$$

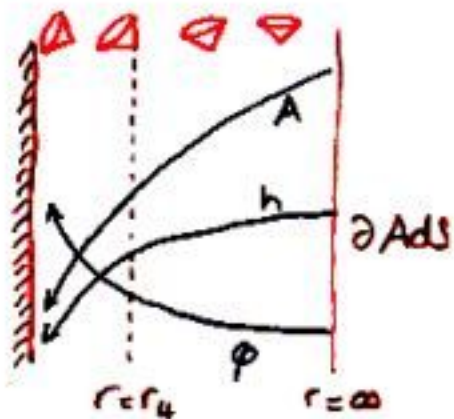
$$\mathcal{L} = \frac{1}{4} R - \frac{1}{2} (\partial\varphi)^2 - V(\varphi)$$

$$A'' = -\frac{2}{3} \varphi'^2 \leq 0 \quad \text{so } A' > 0$$

$$h' \geq 0 \text{ at horizon: } T_H = \frac{e^A h'(r_H)}{4\pi}$$

$$G_r^r = \frac{3}{2} A' h' + 6 A'^2 h = h \varphi'^2 - 2V(\varphi)$$

evaluate at  $r=r_H$   $\rightarrow$   $\frac{3}{2} A' h' = -2V(\varphi) \geq 0$





## Empirical observation:

Requiring  $V(\varphi)$  bounded above separates singularities corresponding to clear field theory pathologies from those which should have a field theory dual.

Examples: SUSY relevant def's of  $\mathcal{N}=4$  SYM

$$\langle \text{tr} [(X_1^2 + X_2^2 + X_3^2 + X_4^2) - 2(X_5^2 + X_6^2)] \rangle \geq 0 \quad \text{if } X_5, X_6 \text{ are massive}$$

$$\leq 0 \quad \text{if } X_1, \dots, X_4 \text{ are massive}$$

$$\langle \text{tr} \lambda \lambda \rangle \lesssim Nm^3 \quad \text{if all chiral adjoints have a mass } m.$$

**Conclusion:**  $V(\varphi)$  bounded above to a good approximation separates good singularities in AdS from bad. Appears to work better than black hole justification warrants.