

# Gauge/String Duality and D-Brane Inflation

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Talk at Strings 2006, Beijing  
June 20

# The AdS/CFT duality

Maldacena; Gubser, IK, Polyakov; Witten

- Relates 4-d conformal gauge theory to string theory on 5-d Anti-de Sitter space times a 5-d compact Einstein space. For the  $\mathcal{N}=4$  SU(N) SYM theory this space is a 5-d sphere.
- When a gauge theory is strongly coupled, the radius of curvature of the dual AdS<sub>5</sub> and of the 5-d compact space becomes large:

$$\frac{L^2}{\alpha'} \sim \sqrt{g_{\text{YM}}^2 N}$$

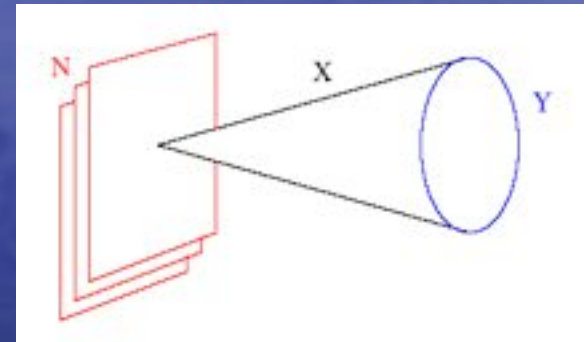
- String theory in such a weakly curved background can be studied in the effective (super)-gravity approximation, which allows for a host of explicit calculations. Corrections to it proceed in powers of

$$\frac{\alpha'}{L^2} \sim \lambda^{-1/2}$$

- Feynman graphs instead develop a weak coupling expansion in powers of  $\lambda$ . At weak 't Hooft coupling the dual string theory becomes difficult. It is expected that we need to solve a sigma model with R-R backgrounds on a highly curved space.

# Can the closed string side simplify for some purposes at weak coupling ?

- My recent work with Dymarsky and Roiban reconsiders quiver gauge theory on a stack of D3-branes at the tip of a cone  $R^6/\Gamma$  where the orbifold group  $\Gamma$  breaks all the supersymmetry.
- At first sight, the gauge theory seems conformal because the planar beta functions for all single-trace operators vanish. The candidate string dual is  $AdS_5 \times S^5/\Gamma$ . Kachru, Silverstein; Lawrence, Nekrasov, Vafa; Bershadsky, Johanson
- However, dimension 4 double-trace operators made out of twisted single-trace ones,  $f O_n O_{-n}$ , are induced at one-loop. Their planar beta-functions have the form
$$\beta_f = a \lambda^2 + 2 \gamma f \lambda + f^2$$
$$\beta_\lambda = 0$$





- If  $D = \gamma^2 - a < 0$ , then there is no real fixed point for  $f$ .
- A class of  $Z_k$  orbifolds with global  $SU(3)$  symmetry, that are freely acting on the 5-sphere, has the group action in the fundamental of  $SU(4)$

$$r(g^n) = \text{diag}(\omega_k^n, \omega_k^n, \omega_k^n, \omega_k^{-3n})$$

$$\omega_k = e^{i\alpha_k}, \quad \alpha_k = \frac{2\pi}{k}$$

- Here is a plot of a one-loop  $SU(N)^k$  gauge theory discriminant,  $D$ , and of the ground state closed string  $m^2$  on the cone without the D-branes.  $n=1, \dots, k-1$  labels the twisted sector, and  $x=n/k$ .
- The simplest freely acting non-susy example is  $Z_5$  where there are four induced double-trace couplings

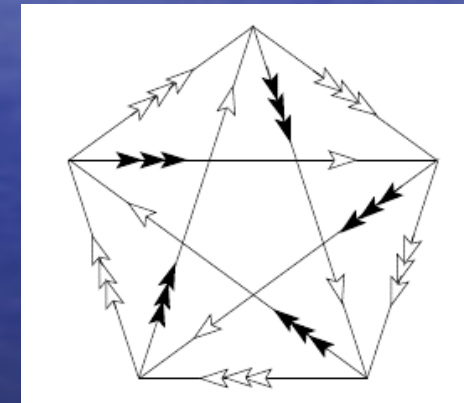
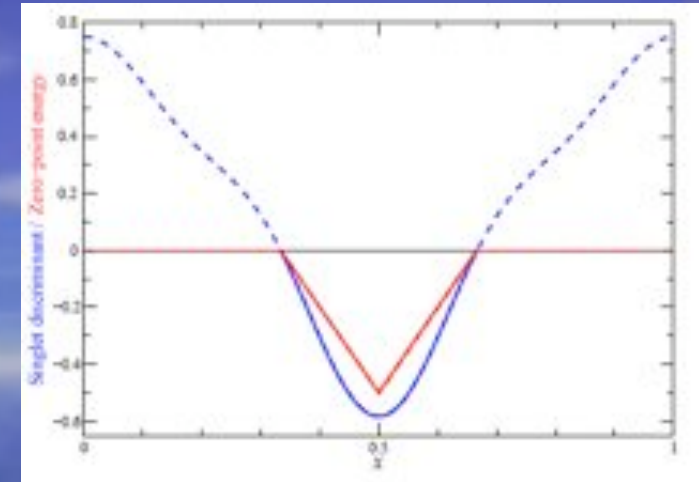
$$\delta_2 \text{ trace } \mathcal{L} = f_{8,1} O_1^{\langle i\bar{j} \rangle} O_{-1}^{\langle j\bar{i} \rangle} + f_{8,2} O_2^{\langle i\bar{j} \rangle} O_{-2}^{\langle j\bar{i} \rangle} + f_{1,1} O_1 O_{-1} + f_{1,2} O_2 O_{-2}$$

- For example, the  $SU(3)$  adjoints

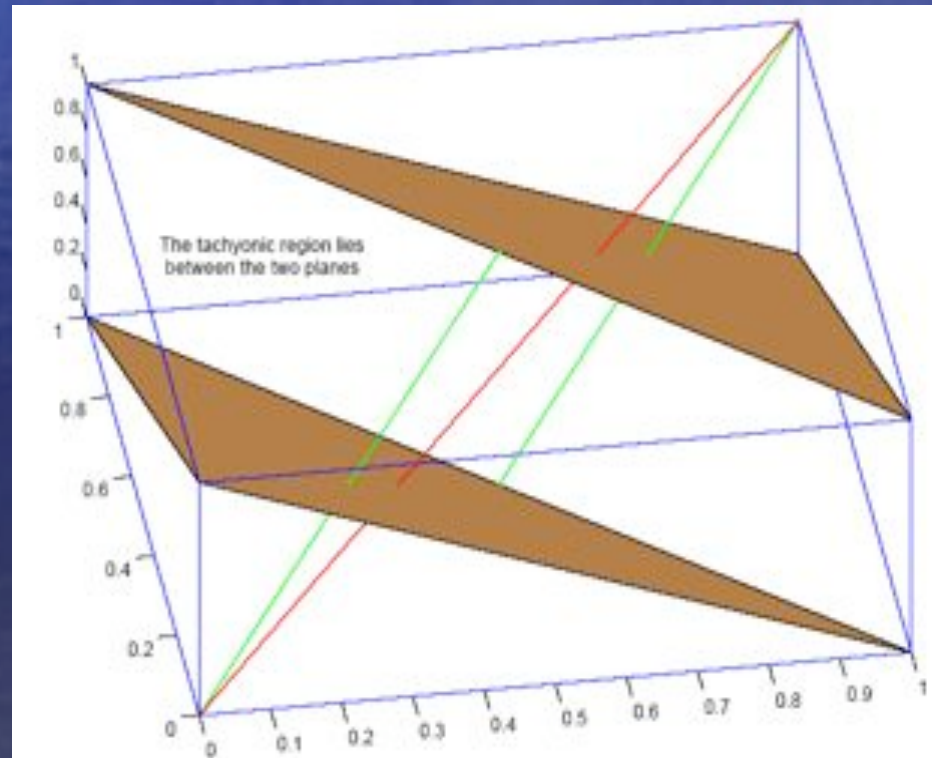
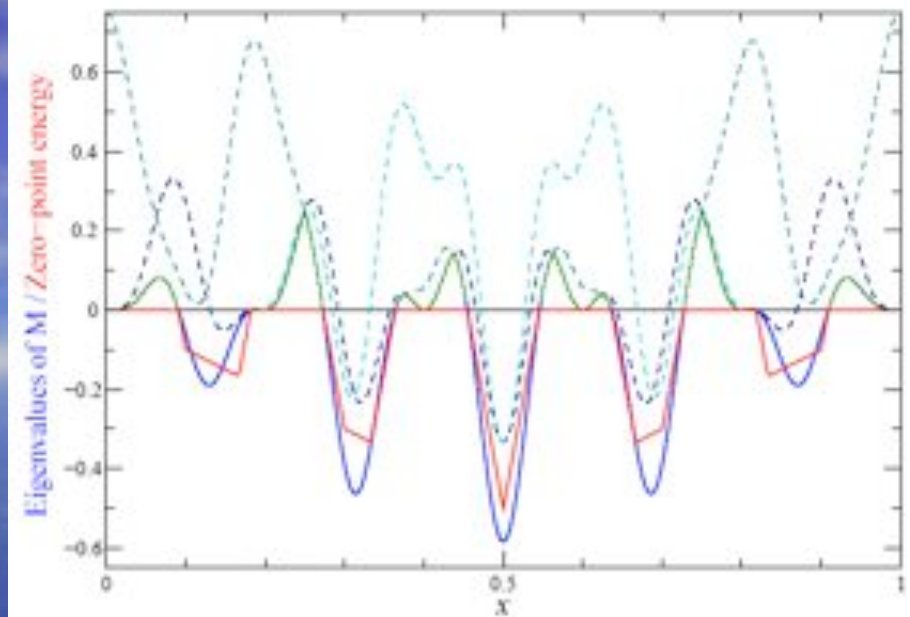
$$O_n^{\langle i\bar{j} \rangle} \quad \text{are}$$

$$(\alpha = 2\pi/5)$$

$$\sum_{k=1}^5 \left( \Phi_{k,k+2}^i \Phi_{k+2,k}^{\bar{j}} - \frac{1}{3} \eta^{i\bar{j}} \Phi_{k,k+2}^l \Phi_{k+2,k}^{\bar{l}} \right) e^{in\alpha(k-1)}$$



- For more complicated orbifolds, crossing of eigenvalues of the discriminant matrix becomes important. The agreement with closed strings continues to hold.
- Generally, there are three twists that define a cube. The stability/instability regions agree between one-loop gauge theory and string theory.





- Any non-SUSY abelian orbifold contains unstable operators. This appears to remove all such orbifold quivers from a list of large  $N$  perturbatively conformal gauge theories.
- The one-loop beta functions destroy the conformal invariance precisely in those twisted sectors where there exist closed-string tachyons localized at the tip of  $R^6/\Gamma$ . Thus, a very simple correspondence emerges between perturbative gauge theory and free closed string on an orbifold. Why? Perhaps, in the presence of tachyons, the standard AdS/CFT decoupling argument may fail.
- The  $AdS_5 \times S^5/\Gamma$  background is tachyon-free at large radius. Could it have some hidden instabilities? If not, then there is a transition from instability to stability as  $\lambda$  is increased.

- What is the end-point of the RG flow?
- Condensation of localized tachyon smooths out the tip of the cone. Adams, Polchinski, Silverstein
- The gauge theory on D3-branes at a smooth point is  $\mathcal{N}=4$  SYM. Hence, a natural conjecture is that the gauge theory flows from the non-SUSY  $SU(N)^k$  quiver gauge theory to the  $\mathcal{N}=4$   $SU(N)$  SYM. Dymarsky, Franco, Roiban, IK (work in progress)

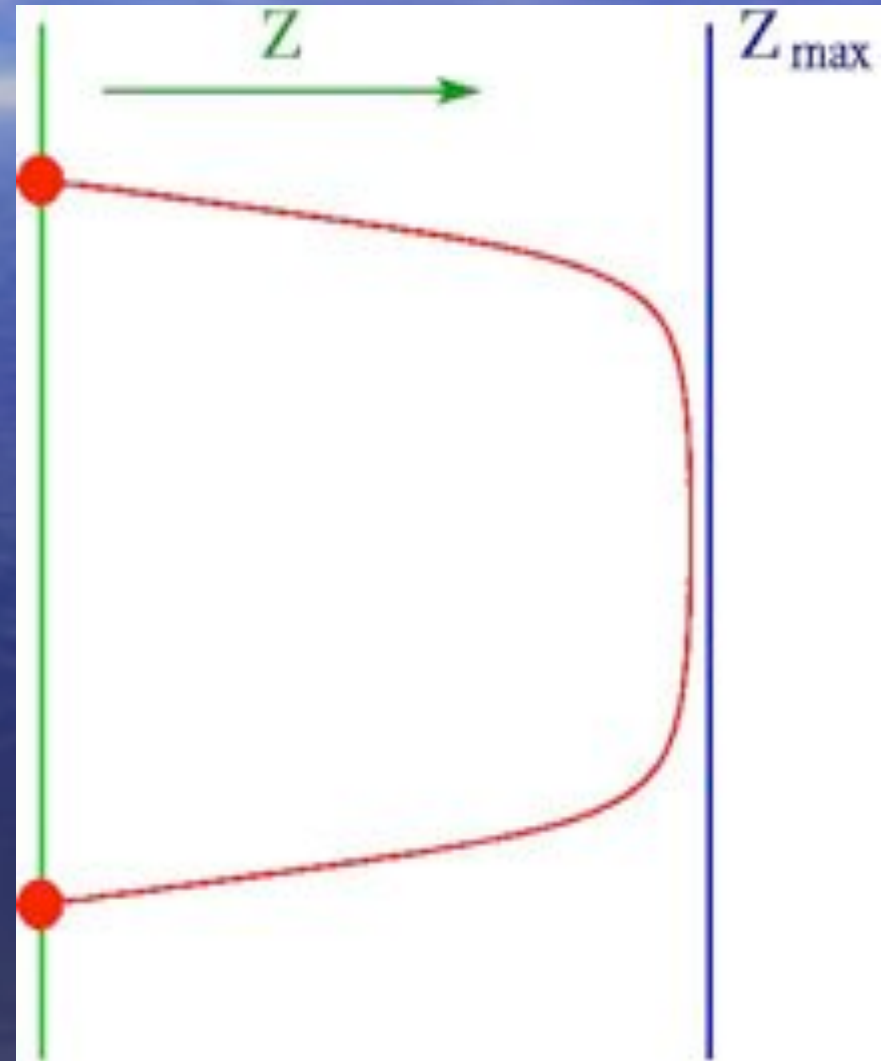
# String Theoretic Approach to Confinement

- It is possible to generalize the AdS/CFT correspondence in such a way that the quark-antiquark potential is linear at large distance.
- A “cartoon” of the necessary metric is

$$ds^2 = \frac{dz^2}{z^2} + a^2(z) \left( - (dx^0)^2 + (dx^i)^2 \right)$$

- The space ends at a maximum value of  $z$  where the warp factor is finite. Then the confining string tension is

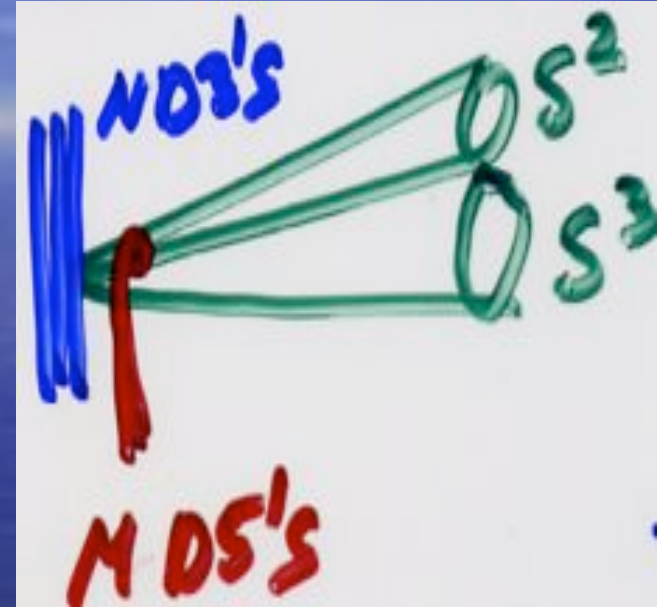
$$\frac{a^2(z_{\max})}{2\pi\alpha'}$$





# Confinement in SYM theories

- Introduction of minimal supersymmetry ( $\mathcal{N}=1$ ) facilitates construction of gauge/string dualities.
- A useful tool is to place D3-branes and wrapped D5-branes at the tip of a 6-d cone, e.g. the conifold.
- The 10-d geometry dual to the gauge theory on these branes is the warped deformed conifold (IK, Strassler)

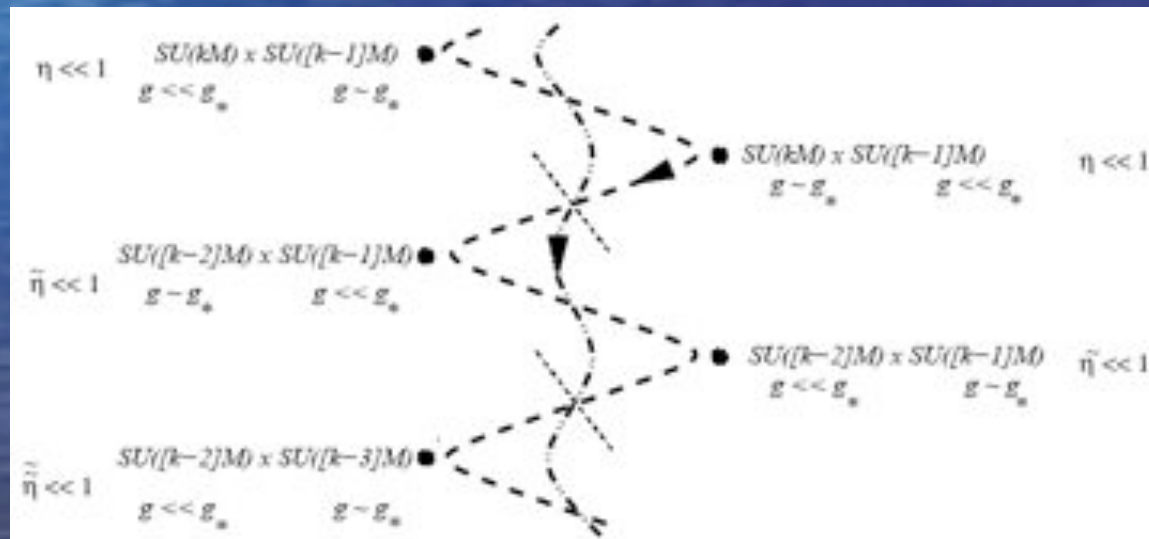


$$ds_{10}^2 = h^{-1/2}(t) \left( - (dx^0)^2 + (dx^i)^2 \right) + h^{1/2}(t) ds_6^2$$

- $ds_6^2$  is the metric of the deformed conifold, a simple Calabi-Yau space defined by the following constraint on 4 complex variables:

$$\sum_{i=1}^4 z_i^2 = \varepsilon^2$$

- In the UV there is a logarithmic running of the gauge couplings. Surprisingly, the 5-form flux, dual to  $N$ , also changes logarithmically with the RG scale. IK, Tseytlin
- What is the explanation in the dual  $SU(kM) \times SU((k-1)M)$  SYM theory coupled to bifundamental chiral superfields  $A_1, A_2, B_1, B_2$ ? A novel phenomenon, called a **duality cascade**, takes place:  $k$  repeatedly changes by 1 as a result of the Seiberg duality IK, Strassler  
(diagram of RG flows from a review by M. Strassler)



- **Dimensional transmutation** in the IR. The dynamically generated confinement scale is

$$\sim \varepsilon^{2/3}$$

- The pattern of **R-symmetry breaking** is the same as in the SU(M) SYM theory:  $Z_{2M} \rightarrow Z_2$ .
- In the IR the gauge theory cascades down to SU(2M) x SU(M). The SU(2M) gauge group effectively has  $N_f = N_c$ .
- The baryon and anti-baryon operators Seiberg

$$\mathcal{A} = \epsilon^{i_1 \dots i_{N_c}} A_{\alpha_1 i_1}^{a_1} \dots A_{\alpha_{N_c} i_{N_c}}^{a_{N_c}}$$

$$\mathcal{B} = \epsilon_{i_1 \dots i_{N_c}} B_{\dot{\alpha}_1 a_1}^{i_1} \dots B_{\dot{\alpha}_{N_c} a_{N_c}}^{i_{N_c}}$$

acquire expectation values and break the U(1) symmetry under which  $A_k \rightarrow e^{ia} A_k$ ;  $B_l \rightarrow e^{-ia} B_l$ . Hence, we observe confinement without a mass gap: due to **U(1)<sub>baryon</sub> chiral symmetry breaking** there exist a Goldstone boson and its massless scalar superpartner.



- The KS solution is part of a moduli space of confining SUGRA backgrounds, **resolved warped deformed conifolds**. Gubser, Herzog, IK; Butti, Grana, Minasian, Petrini, Zaffaroni

- To look for them we need to use the PT ansatz:

$$ds_{10}^2 = H^{-1/2} dx_m dx_m + e^x ds_6^2,$$

$$ds_6^2 = (e^g + a^2 e^{-g})(e_1^2 + e_2^2) + e^{-g} \sum_{i=1}^2 (\epsilon_i^2 - 2a e_i \epsilon_i) + v^{-1}(\tilde{e}_3^2 + dt^2)$$

- $H, x, g, a, v$ , and the dilaton are functions of the radial variable  $t$ . The asymptotic near-AdS radial variable is  $r \sim \epsilon^{2/3} e^{t/3}$
- Additional radial functions enter into the ansatz for the 3-form field strengths. The PT ansatz preserves the  $SO(4)$  but breaks a  $Z_2$  charge conjugation symmetry, except at the KS point.

- BGMPZ used the method of SU(3) structures to derive the complete set of coupled first-order equations.
- A result of their integration is that the warp factor and the dilaton are related:

$$H(t) = \tilde{H} \left( e^{-2\phi(t)} - 1 \right)$$

Dymarsky, IK, Seiberg

- The integration constant determines the 'modulus' U:  $\tilde{H} = \gamma U^{-2}$  where  $\gamma = 2^{10/3} (g_s M \alpha')^2 \varepsilon^{-8/3}$
- At large  $t$  the solution approaches the KT 'cascade asymptotics':  $a(t) = -2e^{-t} + Ue^{-5t/3}(-t + 1) + \dots$

$$\gamma^{-1} H(t) = \frac{3}{32} e^{-4t/3} (4t - 1) - \frac{3}{32 \cdot 512} U^2 (256t^3 - 864t^2 + 1752t - 847) e^{-8t/3} + O\left(e^{-10t/3}\right)$$

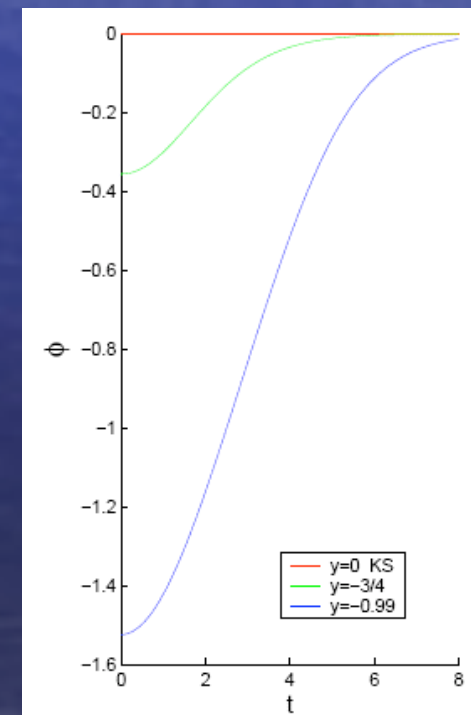
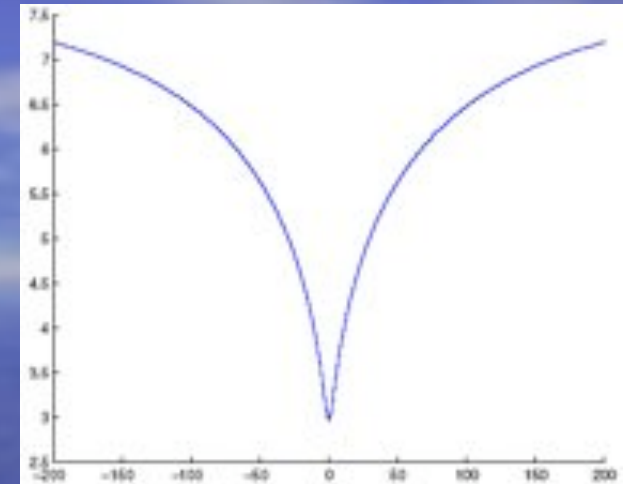
- The resolution parameter  $U$  is proportional to the VEV of the operator

$$\mathcal{U} = \text{Tr} \left( \sum_{\alpha} A_{\alpha} A_{\alpha}^{\dagger} - \sum_{\dot{\alpha}} B_{\dot{\alpha}}^{\dagger} B_{\dot{\alpha}} \right)$$

- This family of resolved warped deformed conifolds is dual to the 'baryonic branch' in the gauge theory (the quantum deformed moduli space):

$$\mathcal{A} = i\Lambda_1^{2M} \zeta, \quad \mathcal{B} = i\Lambda_1^{2M} / \zeta$$

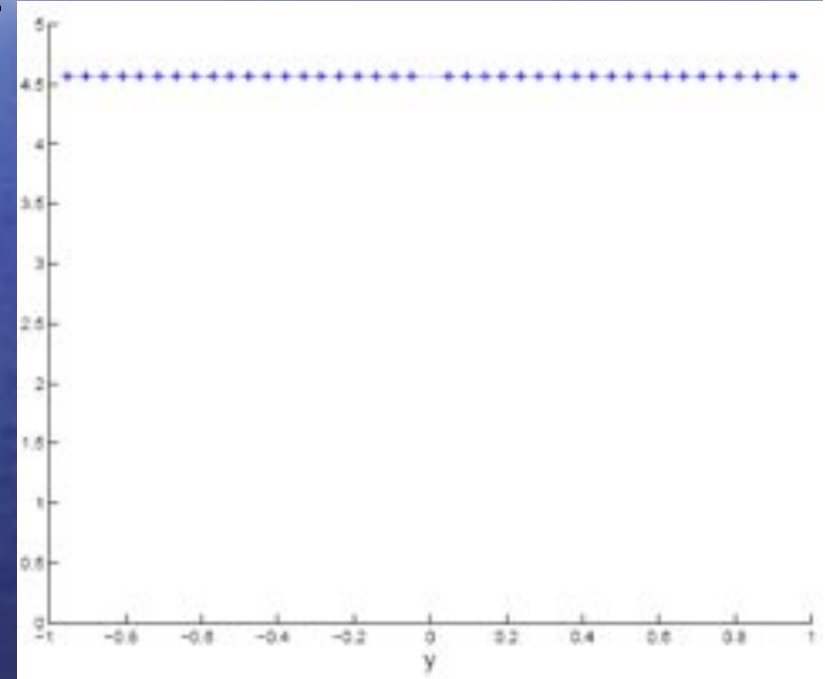
- Various quantities have been calculated as a function of the modulus  $U = \ln |\zeta|$ .
  - Here are plots of the string tension (a **fundamental** string at the bottom of the throat is **dual to** an '**emergent**' chromo-electric flux tube) and of the dilaton profiles
- Dymarsky, IK, Seiberg





# BPS Domain Walls

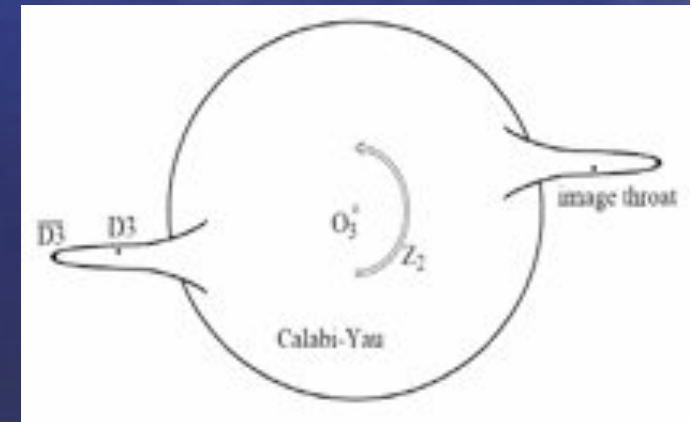
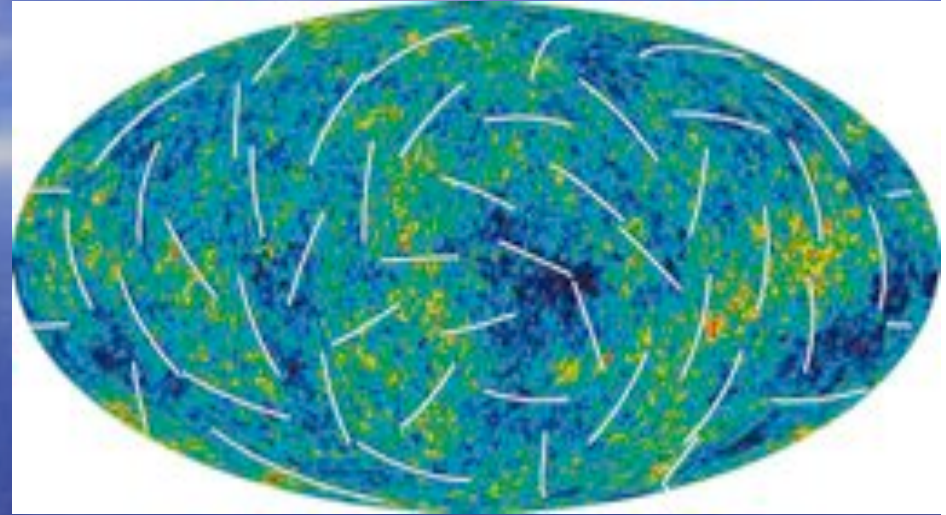
- A D5-brane wrapped over the 3-sphere at the bottom of the throat is the domain wall separating two adjacent vacua of the theory.
- Since it is BPS saturated, its tension cannot depend on the baryonic branch modulus. This is indeed the case. This fact provides a check on the choice of the UV boundary conditions, and on the numerical integration procedure necessary away from the KS point.
- Analytic proof?



# Applications to D-brane Inflation

- The Slow-Roll Inflationary Universe (Linde; Albrecht, Steinhardt) is a very promising idea for generating the CMB anisotropy spectrum observed by the WMAP.
- Finding models with very flat potentials has proven to be difficult. Recent string theory constructions use moving D-branes. Dvali, Tye, ...
- In the KKLT/KKLMMT model, the warped deformed conifold is embedded into a string compactification. An anti-D3-brane is added at the bottom to break SUSY and generate a potential. A D3-brane rolls in the throat. Its radial coordinate plays the role of an inflaton.

Kachru, Kallosh, Linde, Maldacena, McAllister, Trivedi

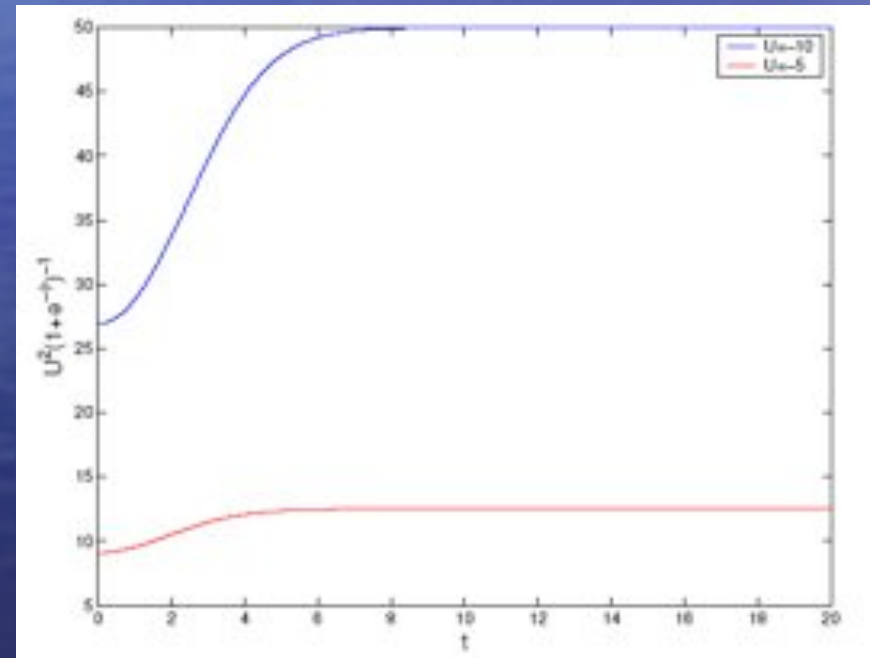




# A related suggestion for D-brane inflation

(A. Dymarsky, IK, N. Seiberg)

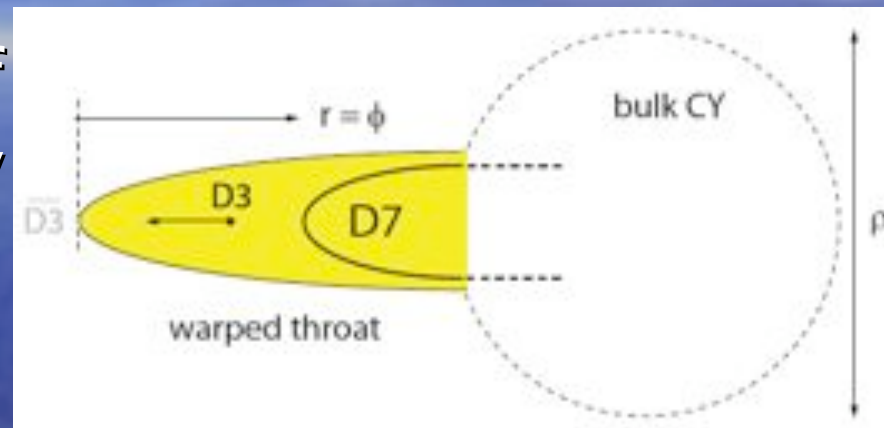
- In a flux compactification, the  $U(1)_{\text{baryon}}$  is gauged. Turn on a Fayet-Iliopoulos parameter  $\xi$ .
- This makes the throat a **resolved** warped deformed conifold.
- The probe D3-brane potential on this space is asymptotically flat, if we ignore effects of compactification and D7-branes. The plots are for two different values of  $U \sim \xi$ .
- No anti-D3 needed: in presence of the D3-brane, SUSY is broken by the D-term  $\xi$ . Related to the 'D-term Inflation' Binetruy, Dvali; Halyo





# Slow roll D-brane inflation?

- Effects of D7-branes and of compactification generically spoil the flatness of the potential. Non-perturbative effects introduce the KKLT-type superpotential  $W = W_0 + A(X)e^{-a\rho}$  where  $X$  denotes the D3-brane position. In any warped throat D-brane inflation model, it is important to calculate  $A(X)$ .



- The gauge theory on D7-branes wrapping a 4-cycle  $\Sigma_4$  has coupling  $\frac{1}{g^2} = \frac{V_{\Sigma_4}^w}{g_7^2} = \frac{T_3 V_{\Sigma_4}^w}{8\pi^2}$

- The non-perturbative superpotential  $\propto \exp\left(-\frac{T_3 V_{\Sigma_4}^w}{N_{D7}}\right)$  depends on the D3-brane location through the warped volume  $V_{\Sigma_4}^w \equiv \int_{\Sigma_4} d^4\xi \sqrt{g^{ind}} h(X)$

- In the throat approximation, the warp factor can be calculated and integrated over a 4-cycle explicitly. Baumann, Dymarsky, Klebanov, Maldacena, McAllister, Murugan (to appear).

- For a class of conifold embeddings

Arean, Crooks, Ramallo ( $w_1 = z_1 + iz_2$ , etc.)

the result is

$$A = A_0 \left( \frac{\mu^P - \prod_{i=1}^4 w_i^{p_i}}{\mu^P} \right)^{1/n}$$

$$\prod_{i=1}^4 w_i^{p_i} = \mu^P$$

$$P \equiv \sum_{i=1}^4 p_i$$

- This formula applies both to  $n$  wrapped D7-branes, and to a wrapped Euclidean D3 ( $n=1$ ).
- For the latter case, Ganor showed that  $A$  has a simple zero when the D3-brane approaches the 4-cycle. Our result agrees with this.
- We have also carried out such calculations for 4-cycles within the Calabi-Yau cones over  $Y^{p,q}$  with analogous results:  $A(X)$  is proportional to the embedding equation raised to the power  $1/n$ . This appears to be a general rule for 4-cycles in the throat.



- The dependence of the non-perturbative superpotential on D3-brane position, and other compactification effects, give Hubble-scale corrections to the inflaton potential.
- Some 'fine-tuning' is generally needed to cancel different corrections to the D3-brane potential. This is currently under investigation with D. Baumann, A. Dymarsky, J. Maldacena, L. McAllister and P. Steinhardt.

# Conclusions

- In the first part, we investigated non-SUSY orbifolds of AdS/CFT. At one loop, flow of double-trace couplings spoils conformal invariance even in the large  $N$  limit. There is a precise connection of this instability with presence of twisted sector closed string tachyons.
- Gauge/string dualities for confining gauge theories give a new geometrical view of such important phenomena as dimensional transmutation, chiral symmetry breaking, and quantum deformation of moduli space.
- Embedding gauge/string dualities into string compactifications offers new possibilities for physics beyond the SM, and for modeling inflation. In particular, D3-branes on resolved warped deformed conifolds may realize D-term inflation.
- Calculation of non-perturbative corrections to the inflaton potential is important for determining if these models can produce slow-roll inflation.