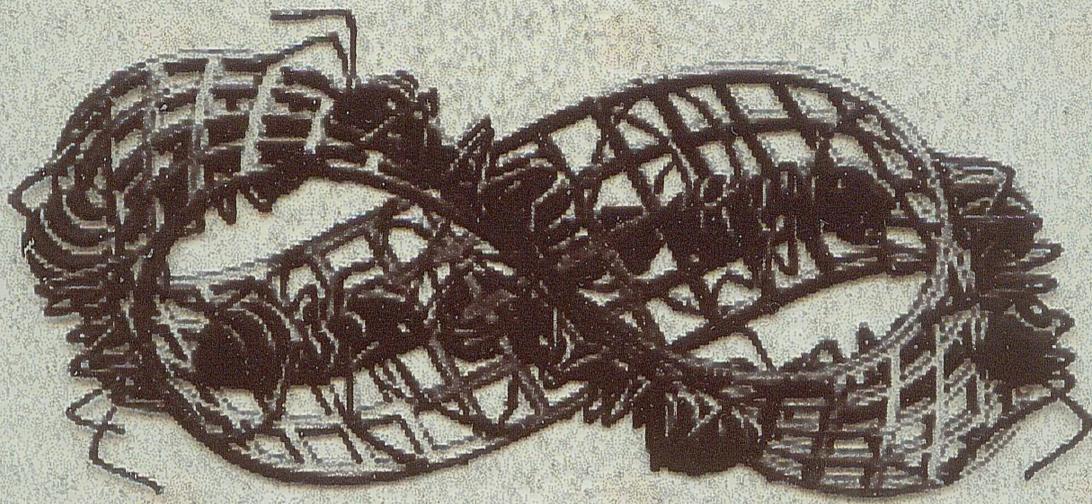


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BPS states and the Enriques Calabi–Yau

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Motivation

Topological string theory is a toy model for string theory, and it seems a realistic goal to try to solve it on Calabi–Yau backgrounds to all genus i.e. find all F_g couplings. This has *many* applications, including BPS state counting.

We now know how to do it in the *toric, noncompact* case (topological vertex), but the compact case is much harder.

Our goal here is to identify, in a sense, the simplest, nontrivial, compact CY manifold and see how far we can get. This example turns out to be the *Enriques Calabi–Yau*, a very special example of K3 fibration introduced in the FHSV model. So this talk will be mostly a case study, but I will also try to extract general lessons.

Topological string amplitudes

Consider type IIA on a CY X with Kähler moduli t_i . In the large radius limit $t_i \rightarrow \infty$, the $F_g(t)$, can be computed in terms of *worldsheet instantons* i.e. holomorphic maps from the Riemann surface Σ_g to X

$$F_g(t) = \sum_{Q \in H_2(X)} N_{g,Q} e^{-Q \cdot t}$$

where $Q \cdot t = \sum_{i=1}^{b_2(X)} Q_i t_i$.

The numbers $N_{g,Q}$ are *Gromov–Witten invariants* of X . Therefore, $F_g(t_i)$ contain enumerative information about the CY.

BPS counting

As shown by [Gopakumar–Vafa], these amplitudes contain information about the degeneracy of BPS states. In terms of the total partition function

$$Z = \exp\left\{ \sum_{g=0}^{\infty} g_s^{2g-2} F_g(t) \right\}$$

we have

$$Z = \prod_{Q, m \geq 1} (1 - e^{img_s - Q \cdot t})^{\Omega(Q, m)},$$

where $\Omega(Q, m)$ is the number (index) of BPS states with spin m in 4d coming from D2/M2 branes wrapping a 2-cycle with the class $Q \in H_2(X, \mathbb{Z})$. This also looks like a denominator formula for a generalized algebra, where $\Omega(Q, m)$ are root multiplicities [Harvey–Moore].

Computation of topological string amplitudes

Most effective way: use string dualities.

- *Mirror symmetry*. The computation of $F_g(t)$ in type IIB is a problem in deformation of complex structures. For $g = 0$ there is a complete solution in terms of Picard–Fuchs equations. For $g \geq 1$ one can use the *holomorphic anomaly equations* of BCOV.

Problem: For $g \geq 1$, one needs “initial conditions” to have a complete solution – this is the *holomorphic ambiguity*

- *Large N dualities*. For *toric* (hence noncompact) CY manifolds, a complete solution exists which expresses $F_g(t)$ in terms of Chern–Simons correlation functions (the topological vertex).

Problem: So far, very hard to generalize to the compact case

- *Heterotic duals.* When X is a *K3 fibration* over \mathbb{P}^1 there is often a heterotic dual [Kachru–Vafa]. Let us denote the Kähler moduli as y_i for the fiber and S for the base. Then one can compute

$$F_g(y_i, S \rightarrow \infty)$$

in closed form by using the heterotic dual on $K3 \times \mathbb{T}^2$ at one loop [AGNT, M.M.–Moore]

Problem: effectively, a non compact case! No information about the S dependence.

A very special valley in the landscape

Given the difficulties in the *compact case*, look for a *simple model*.

What about $K3 \times \mathbb{T}^2$?

$$F_g = 0, \quad g \neq 1, \quad F_1(t) = -24 \log \eta(q_S),$$

where $q_S = e^{-S}$ and S is the Kähler parameter of the \mathbb{T}^2 .

So *too* simple! (holonomy $SU(2)$ too small and too much supersymmetry)

By doing a \mathbb{Z}_2 quotient, we obtain a bigger holonomy: this is the *FHSV model*

The Enriques involution

There is a free \mathbb{Z}_2 action on $K3$ which leads to a smooth quotient

$$K3/\mathbb{Z}_2 = E$$

the *Enriques surface*. From the \mathbb{Z}_2 action on the cohomology one finds

$$H^*(E) = \Gamma_2^{1,9} \oplus \Gamma_{0-4}^{1,1}$$

The type IIA model is obtained as a smooth orbifold

$$X = (\text{K3} \times \mathbb{T}^2)/\mathbb{Z}_2$$

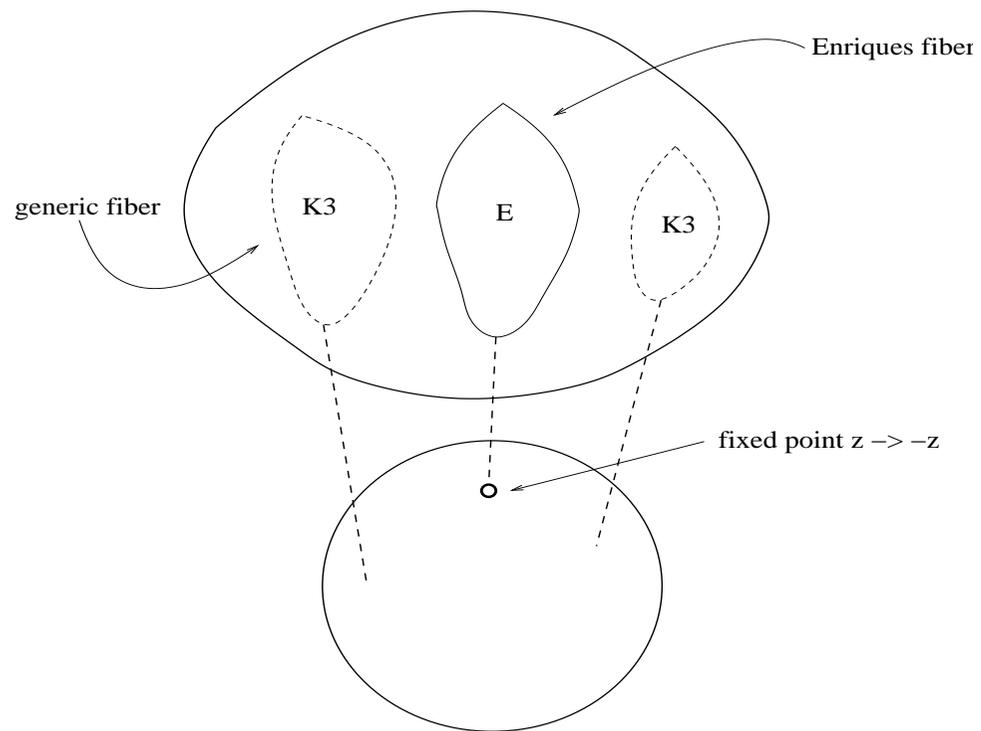
where the \mathbb{Z}_2 action on \mathbb{T}^2 is $z \mapsto -z$. The resulting CY has

$$b_2(X) = 11, \quad \chi(X) = 0, \quad \text{holonomy } SU(2) \times \mathbb{Z}_2$$

Moduli space of VM is *exactly* given by

$$\frac{SI(2, \mathbb{R})}{SO(2)} \times \frac{O(10, 2)}{O(10) \times O(2)}$$

X is a *K3 fibration* over \mathbb{P}^1 with (double) Enriques fibers over the four fixed points of $z \rightarrow -z$



The heterotic FHSV model

This type IIA model is dual to an asymmetric orbifold of heterotic theory on \mathbb{T}^6 . The action on the lattice $\Gamma^{6,22}$ mimicks the Enriques involution, and the Narain lattices for the orbifold blocks are

$$\Gamma_J = \Gamma_{0-4}^{1,1} \oplus \Gamma_2^{1,9}(\zeta_J), \quad J = 1, 2, 3, \quad \zeta_1 = 2, \zeta_{2,3} = 1/2$$

The $F_g(t)$ couplings *along the fiber* are one-loop amplitudes [AGNT]

$$F_g = \int_{\mathcal{F}} d^2\tau \sum_J \mathcal{I}_J, \quad \mathcal{I}_J \sim \Theta_J^g(\tau)$$

where Θ_J^g is a Narain–Siegel theta function with momentum insertions

Computing $F_g(t)$ in the heterotic string

General principle: computation of topological string amplitudes needs choice of *basepoint* and of *duality frame* (recall Seiberg–Witten theory).

The one–loop integral is computed with the technique of *lattice reduction* [Harvey–Moore, Borchers] where one “integrates out” a $\Gamma^{1,1}$ sublattice. This induces

- (1) a parametrization of the VM coset \rightarrow basepoint
- (2) the result depends only on a sublattice of $\Gamma \rightarrow$ duality frame

A priori, various choices are possible.

First choice: we integrate out $\Gamma_{0-4}^{1,1}$: *geometric reduction*, $H^2(E)$ left. We obtain

$$Z_E(g_s, t) = \prod_{Q \in H_2(E), m} \left(\frac{1 - e^{img_s/2 - Q \cdot t}}{1 + e^{img_s/2 - Q \cdot t}} \right)^{\Omega_E(Q, m)}$$

where

$$\sum_{Q, m} \Omega_E(Q, m) q^{Q^2} e^{img_s} = \frac{64}{\eta^6 \vartheta_2^6} (\xi^2(q, g_s) - \xi^2(-q, g_s)),$$

and

$$\xi(q, g_s) = \frac{1}{2 \sin \frac{g_s}{2}} \prod_{n \geq 1} \frac{(1 - q^n)^2}{1 - 2q^n \cos g_s + q^{2n}}$$

This corresponds to *standard Gromov–Witten theory* and counts D2 branes. Notice the “supersymmetric” structure of Z_E .

Second choice: integrate out $\Gamma^{1,1} \subset \Gamma_2^{1,9}$: *Borcherds–Harvey–Moore reduction*. Q lives now in $\Gamma_{0-4}^{1,1} \oplus E_8$ i.e. $H^0 \oplus H^4$ plus $E_8 \subset H^2$, and

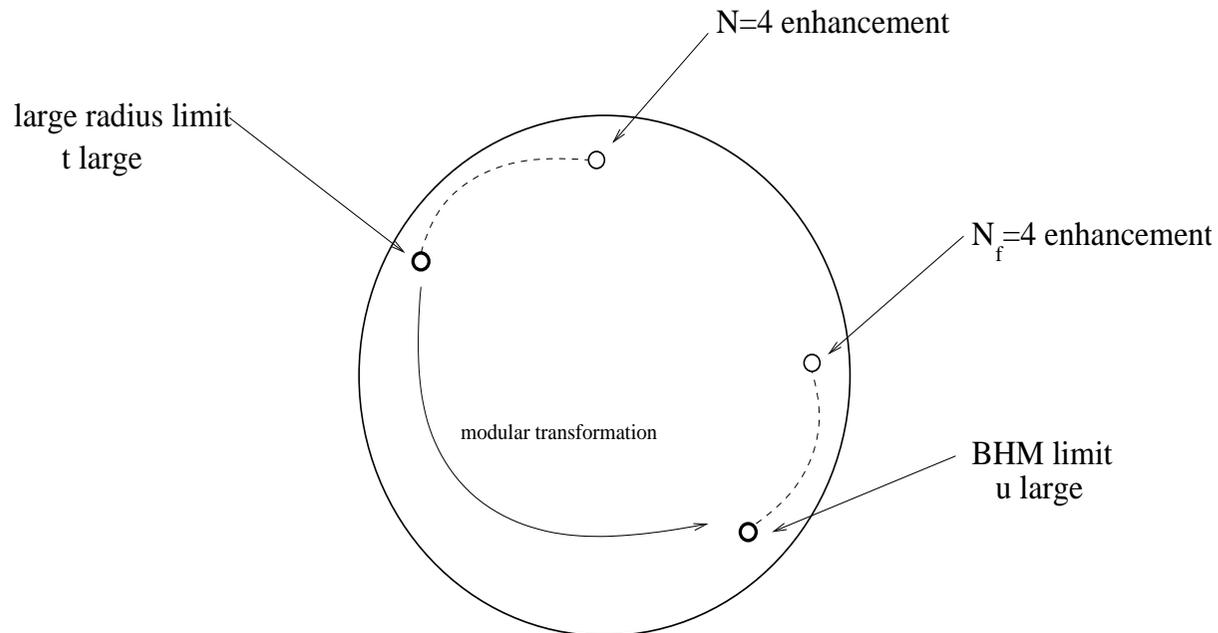
$$Z(u, g_s) = \prod_{Q,m} (1 - e^{\frac{img_s}{\sqrt{2}} - Q \cdot u})^{\Omega_u(Q,m)} (1 - e^{im\sqrt{2}g_s - Q \cdot u})^{4\Omega_t(Q,m)}$$

$$\sum_{Q,m} \Omega_u(Q, m) q^{Q^2/2} e^{img_s} = \frac{64}{\eta^6 \vartheta_2^6} \xi^2(q, g_s)$$

$$\sum_{Q,m} \Omega_t(Q, m) q^{Q^2/2} e^{img_s} = \frac{64}{\eta^6 \vartheta_2^6} \xi^2(q^4, g_s)$$

For $g = 1$ one recovers Borcherds' modular form and Harvey–Moore expression for the FHSV model. This does not display standard GV integrality, and should be counting *D0–D2–D4 bound states* (cf. *Xi Yin's talk*)

The global picture



The F_g amplitudes at the large radius and BHM points are related by a modular transformation (cf. [Mina Aganagic's talk](#)).

Mirror symmetry I

We also analyzed the FHSV model by using mirror symmetry and the B-model. This allows us to

- (1) verify that the geometric reduction corresponds to Gromov–Witten invariants
- (2) incorporate the Kähler parameter of the base S (unavailable in the heterotic computation)

The complexity of a mirror-symmetric computation increases with the number of Kähler parameters \Rightarrow we use a *reduced model* where we blow down the E_8 two-classes, and we are left with three Kähler parameters $t = \{t_1, t_2\}, S$.

Mirror symmetry II

We solved the holomorphic anomaly equations of [BCOV] up to $g = 4$ and fix the holomorphic ambiguity with various inputs.

$$\begin{aligned} F_1(t, S) &= F_1^E(t) - 12 \log \eta(q_S), \\ F_2(t, S) &= E_2(q_S) F_2^E(t), \\ F_3(t, S) &= E_2^2(q_S) F_3^E(t) + (E_2^2(q_S) - E_4(q_S)) H(t) \end{aligned}$$

with $q_S = e^{-S}$, $E_{2n}(q)$ are Eisenstein series, and $H(t)$ is a known function

The mirror description is *arithmetic* i.e. $q_i = e^{-t_i}$, $q_S = e^{-S}$ are modular parameters and all relevant quantities are modular forms $\Rightarrow F_g(t, S)$ is a quasi-modular form with modular weight $2g - 2$ for each variable.

Application I: Gromov–Witten invariants

From the GW point of view, the Enriques CY is probably the *simplest compact example*. This allows explicit calculations by deformation+ localization techniques [Maulik–Pandharipande].

For example, $F_g^E(t)$ compute Hodge integrals in the GW theory of the Enriques surface:

$$N_{g,Q}^E = \int_{\mathcal{M}_g(E,Q)} \lambda_{g-1}$$

The fiber + base results for F_1, F_2 have been proved in GW theory. The Enriques CY is then the only *compact* CY for which topological string amplitudes have been successfully compared between algebraic geometry and string theory up to genus 2.

Application II: small Enriques black holes

Consider M–theory on the Enriques CY. By wrapping M2 branes around cycles Q in the Enriques fiber we produce a *small* 5d BH with entropy

$$S = 4\pi\sqrt{Q^2/2} + \dots$$

This maps [Gaiotto–Strominger–Yin] to a 4d BH in type IIA with D6–D2 charge $p_0 = 1, Q_A$. The microscopic degeneracies of this BH can be extracted from the BPS degeneracies [Katz–Klemm–Vafa]

$$\Omega_E(Q, 0)$$

Conclusions and open problems

- An exactly solvable model for topological strings on a compact CY? Indications, but further work is needed
- First compact check of topological string theory \equiv GW theory for higher genus.
- Choice of basepoint/frame and enumerative meaning of $F_g(t)$: a particularly clear example based on heterotic one-loop integrals, but we expect the phenomenon to be more general
- Applications to BH counting: in general, one can compute $\Omega(Q, m)$ for 5d BHs in fibers of K3 fibrations.