

DIMENSIONAL - DUALITY

Dimensional duality.

[Daniel Green](#) ([SLAC](#) & [Stanford U., Phys. Dept.](#)) , [Albion Lawrence](#) ([Brandeis U.](#)) , [John McGreevy](#) ([MIT, LNS](#)) , [David R. Morrison](#) ([Duke U., CGTP](#) & [UC, Santa Barbara](#)) , [Eva Silverstein](#) ([SLAC](#) & [Stanford U., Phys. Dept.](#)) . NSF-KITP-07-56, SLAC-PUB-12439, SU-ITP-07-05, MIT-CTP-3829, BRX-TH-586, DUKE-CGTP-07-02, UCSB-MATH-2007-08, May 2007. 25pp. .
e-Print: [arXiv:0705.0550](#) [hep-th](PRD to appear)

New dimensions for wound strings: The Modular transformation of geometry to topology.

[John McGreevy](#) ([MIT, LNS](#)) , [Eva Silverstein](#), [David Starr](#) ([SLAC](#) & [Santa Barbara, KITP](#)) . SLAC-PUB-12250, SU-ITP-06-33, MIT-CTP-3795, Dec 2006. 29pp.
Published in [Phys.Rev.D75:044025,2007](#).

Supercritical stability, transitions and (pseudo)tachyons.

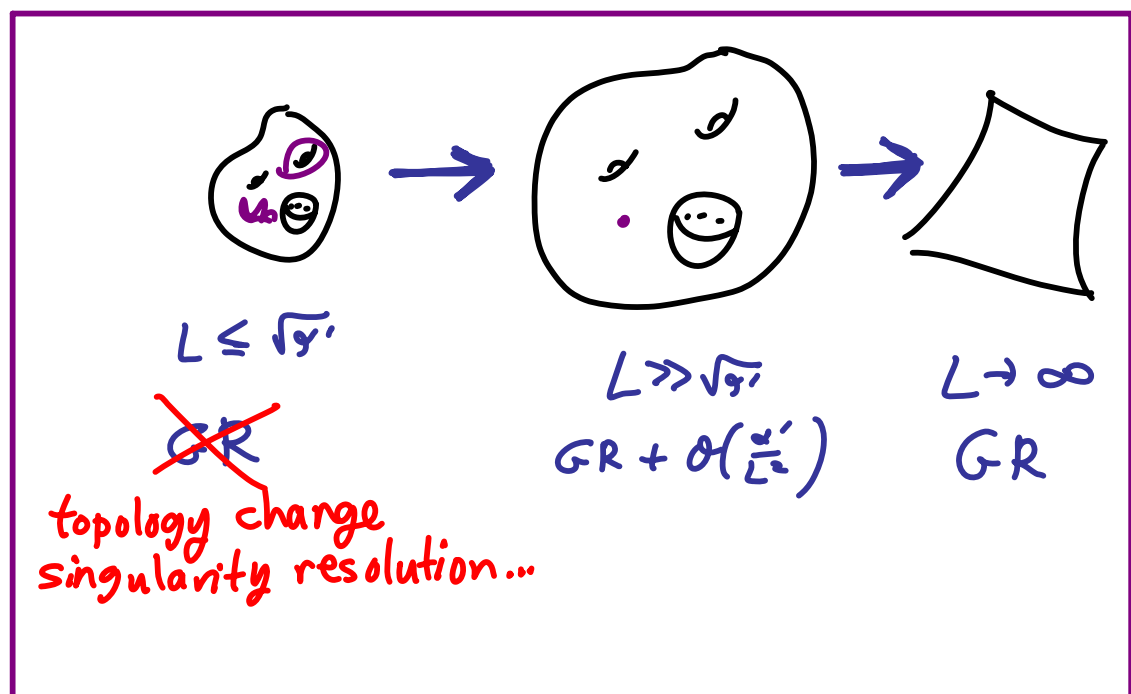
[Ofer Aharony](#), [Eva Silverstein](#) . SLAC-PUB-12243, SU-ITP-06-32, WIS-18-06-NOV-DPP, Dec 2006. 21pp.
Published in [Phys.Rev.D75:046003,2007](#).

Dimensional mutation and spacelike singularities.

[Eva Silverstein](#) ([SLAC](#) & [Stanford U., Phys. Dept.](#)) . SU-ITP-05-26, SLAC-PUB-11510, Oct 2005. 15pp.
Published in [Phys.Rev.D73:086004,2006](#).
e-Print: [hep-th/0510044](#)

cf . [Hellerman, Liu, Swanson](#)
 . [Nakayama](#) . [Kleban, Radi](#)
 . [Maloney](#)

- In String theory, spacetime topology and geometry (GR) "EMERGE"



FROM {

 worldsheet CFT $g_s \ll 1$

 ⋮

 spacetime CFT AdS backgrounds

 ⋮

 (?) generally/cosmologically

- The Dimensionality D is also an emergent quantity:

In the regime of perturbative String theory,

D is generalized to $D_{\text{eff}} \equiv \frac{2}{3} C_{\text{eff}}^{(B)}$,

corresponding to the growth of the Hagedom density of States :

Cardy
Kutasov/
Seiberg
...

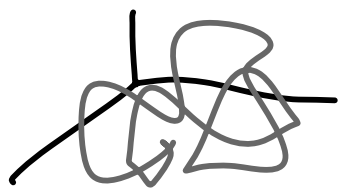
$$\rho(m) \sim e^{m \sqrt{g'} \pi \sqrt{\frac{2 C_{\text{eff}}}{3}}} \quad (m^2 \sim \frac{2}{g'} \Delta)$$

extracted from the 1-loop vacuum amplitude

$$\int \frac{d^2 \tau}{4\tau_2} e^{-2\pi\tau_2(L_0 + \tilde{L}_0)} e^{2\pi i \tau_1(L_0 - \tilde{L}_0)}$$

UV: $(\tau_2 \rightarrow 0) \rightarrow e^{\frac{\pi C_{\text{eff}}}{6\tau_2}}$

superstring :
 $C_{\text{eff}}^B + C_{\text{eff}}^F = 0$
 $\frac{3}{2} D_{\text{eff}}$



More dimensions for string to probe $\Leftrightarrow$ higher density of states

"Supercritical" means $C_{\text{eff}} > C_{\text{eff}}^{\text{crit}}$
 (sub) ($<$)

Modular Invariance $\Rightarrow$

$$\tau_2 \rightarrow 0 \quad Z_{\text{uv}} \sim e^{\frac{\pi C_{\text{eff}}}{6\tau_2}} \quad \left\{ m_{\text{min}}^2 = -\frac{C_{\text{eff}}}{6g'} \right.$$

$$\tau_2 \rightarrow \infty \quad Z_{\text{IR}} \sim e^{-\frac{\pi g' m_{\text{min}}^2}{\tau_2}}$$

So $C_{\text{eff}}^{\text{B}} + C_{\text{eff}}^{\text{F}} > 0 \Rightarrow \underline{\text{pseudo-tachyon}}^*$

* Negative mass² in time-dependent background
not automatically a catastrophic instability;

can have $\rho_{\langle\tau\rangle} \ll \left(\frac{\dot{a}}{a}\right)^2 M_{\text{p}}^2 = \frac{k}{a^2} + \rho_0$

Energy density in condensing pseudo-tachyon

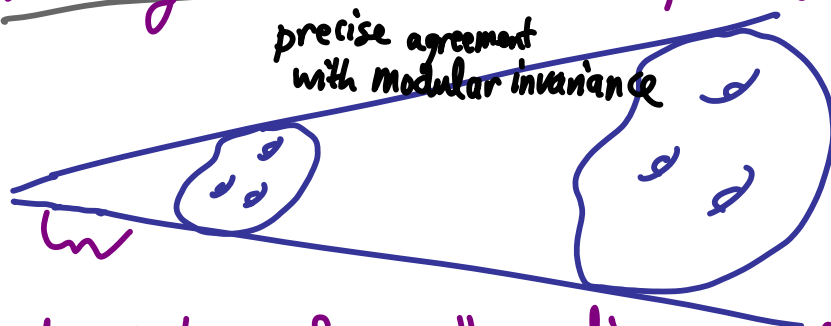
and we'll consider this stable case

(cf inflationary $\delta\rho/\rho$)

This Talk: Duality between "critical" strings
on a compact negatively curved space M_n
and supercritical strings ($C_{\text{eff}} > C_{\text{eff}}^{\text{crit}}$)

Dimensions emerge from topology

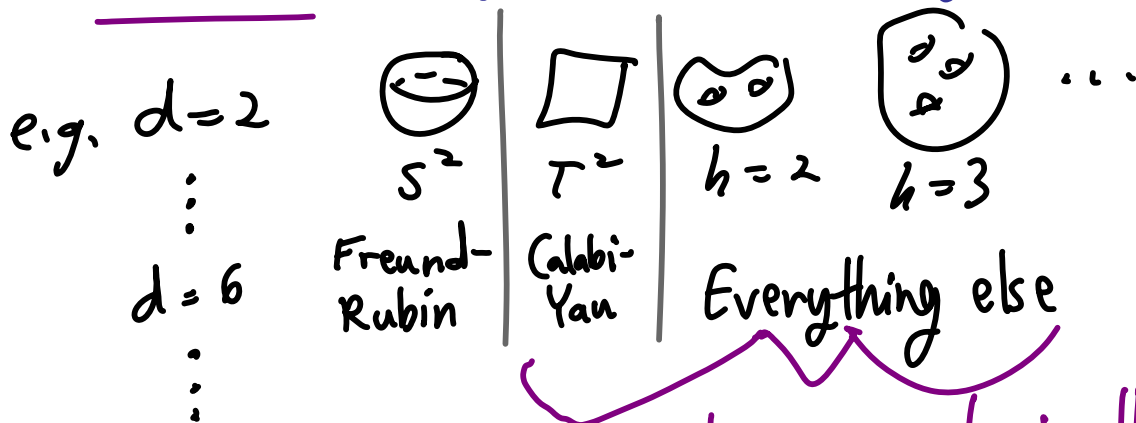
- ① effective central charge $C_{\text{eff}} > C_{\text{eff}}^{\text{critical}}$ from exponential density of winding states $\leftrightarrow$ IR perturbation spectrum



- ② Dual description of small-radius space: Current algebra $\Rightarrow$ Minimal Completion respecting $U(1)^{b_1}$ winding symmetry contains a T^{b_1}
- ③ AdS/CFT formulation also reveals T^{b_1}

Basic Motivations

Curved target spaces are generic.



$N=1, N=0$ phenomenologically viable

and at large radius, evolve slowly
 with time controlled by GR e.g. constant

curvature vacuum sol'n $-dt^2 + t^2 ds_{H_n/\mathbb{P}}^2$

Relevant for basic physical questions:

- compactifications (most are curved)
- 3d space may be globally compact



$\hookrightarrow$ initial singularity,
 measure?

★ [• "Quantum" geometry: spacetime emergent,
 and so is its dimensionality

Consider moduli potential in a string compactification X of volume V

$$\mathcal{U}(g_s, V, \dots) = \frac{g_s^2 (D-D_c)}{V} + \frac{g_s^2}{V} \int_X \frac{\sqrt{g_X} (-R)}{V}$$

4d Einstein frame

+ fluxes + orientifolds + branes + quantum

positive potential useful for dS model-building

BP '00

ES '01 MSS '02 ($D > D_c$)

SS '04 ($R < 0$)
...

Becker² ...

GKP '01

KLT '03

From the worldsheet point of view

$$\beta_{\log g_s^2} \sim D - D_c + \frac{\int -R}{V} + \dots$$

↳ Raises the question:

Q Are negatively curved compactifications of 10d string theory effectively supercritical at finite volume V ?

Consider n -dimensional Compact space M_n
of negative sectional curvature :

★ Milnor '68
Margulis '69
...

$$\rho(l) \geq e^{\frac{(n-1)l}{l_0}}$$

$\uparrow$ density of periodic geodesics $\in \pi_1(M_n)$
 $\uparrow$ Exponential growth $(|k| > \frac{1}{l_0^2})$

In string theory, this $\Rightarrow$
 $\rho_{\text{winding}} \sim e^{\frac{M_{\text{winding}}}{m_0}}$

$$C_{\text{eff}} = C_{\text{eff}}^{\text{crit}} + \underbrace{\Delta C_{\text{eff}}}_{\substack{\text{from trace over} \\ \text{winding modes in} \\ \text{partition function}}} > C_{\text{eff}}^{\text{crit}}$$

- Conversely, non-negative curvature
 $\Rightarrow \rho \leq l^n$

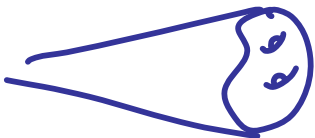
Detailed check : $\mathcal{M}_n \equiv \mathbb{H}_n / \Gamma$

compact hyperbolic

simplest background solving vacuum equations (candidate worldsheet CFT)

$$ds^2 = -dt^2 + t^2 ds^2_{\mathbb{H}_n}$$

covering space = Minkowski space



compact hyperbolic $\mathcal{M}_n$

Late times $t \gg \sqrt{g'}$: $H^2 = \left(\frac{\dot{a}}{a}\right)^2 \ll \frac{1}{g'}$

semiclassical control

	$\Leftrightarrow$	
$\mathbb{H}_n$: $\overset{\text{UV}}{C_{\text{eff}}} = C_{\text{eff}}^{\text{crit}}$		$\frac{\text{IR}}{2} m_{\text{min}}^2 = 0$ spatial gap
$\mathbb{H}_n / \Gamma$: $C_{\text{eff}} > C_{\text{eff}}^{\text{crit}}$ $\rho(l) \sim e^{\frac{l}{l_0}}$		$m_{\text{min}}^2 < 0$ no gap

IR) On H_n : gap in spatial modes

$$\nabla_{H_n}^2 \eta = -k^2 \eta \quad \text{Normalizability} \Rightarrow k^2 > \frac{(n-1)^2}{4t^2}$$

$$ds_{H_n}^2 = dy^2 + \sinh^2 y ds_{S^{n-1}}^2$$

exponential growth of volume

compensated by t evolution

$$\nabla^2 \eta_k = \frac{1}{t^2} \left(-(t^2 \partial_t^2 + n t \partial_t) - k^2 \right) \eta_k$$

Complete basis $\eta_k(t) = t^{\frac{n}{2}} e^{i \omega t}$
in terms of which the 1-loop amplitude is ($\tilde{\omega} = i\omega$)

$$\int dt dy \dots \sqrt{G} \int d\tilde{\omega} \int dk \dots \int \frac{d\gamma_2}{\gamma_2} e^{-\pi \gamma_2'} \frac{\gamma_2}{t^2} \left(\tilde{\omega}^2 + k^2 - \frac{(n-1)^2}{4} \right)$$

$k^2 > \frac{(n-1)^2}{4}$ $m_{\min}^2 = 0$ ✓

On $M_n = H_n/\mathcal{F}$: compact,

$k=0$ mode is Normalizable

$$\Rightarrow M_{\min}^2 = -\frac{(n-1)^2}{4t^2}$$

pseudo-tachyon: $\rho_T \propto \frac{1}{t^{2n}} \ll \left(\frac{\dot{a}}{a}\right)^2 \propto \frac{1}{t^2}$

$$\boxed{UV} \quad m_{\min}^2 = - \underbrace{\frac{(n-1)^2}{4t^2}} + \text{modular invariance}$$

requires $C_{\text{eff}} = C_{\text{eff}}^{\text{crit}} + \underbrace{\frac{3g'(n-1)^2}{2t^2}}$

This is precisely matched by the winding spectrum. cf Selberg trace

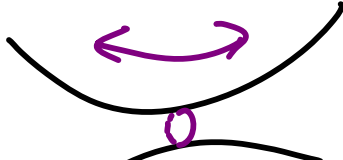
$$\text{Tr} \left. \begin{matrix} g^{\mu\nu} \tilde{g}^{\mu\nu} \\ \tilde{g}^{\mu\nu} \end{matrix} \right|_{\substack{\tilde{g}^{\mu\nu} \rightarrow 0 \\ \tilde{g}^{\mu\nu}}} \sim \int d\ell \underbrace{\frac{1}{\left(\sinh \frac{\ell}{2t}\right)^{n-1}}}_{\substack{\uparrow \\ e^{(n-1)\frac{\ell}{t}}}} \rho(\ell) e^{-\frac{g'\ell^2}{4\pi\tilde{r}_2^2}} \times e^{\frac{\pi C_{\text{eff}}}{6\tilde{r}_2^2}}$$

usual oscillators

transverse motion

(cf Denke et al)

$$\left(\sum_{N=0}^{\infty} e^{-\left(N+\frac{1}{2}\right)\frac{\ell}{t}} \right)^{n-1}$$


Harmonic oscillator in the $N-1$ transverse directions

So string theory on M_n
is supercritical

(?)

small radius:
worldsheet nonlinear
 σ -model strongly coupled.

Is there a better,
dual set of variables
in terms of a more familiar presentation
of supercritical string theory?

Myers
Polchinski
Bachas et al
Cooper/Susskind...

Large radius:

$$C_{\text{eff}} = C_{\text{eff}}^{\text{int}} + \frac{3\alpha'(n-1)^2}{2t^2}$$

cf Non-perturbative string dualities

e.g. $\text{IIA} \xrightarrow{g_s \rightarrow \infty} \text{IId}$ Hull/Townsend, Witten, ...
indicated by D0-brane spectrum

Here, the enhanced C_{eff} arises from
perturbative winding strings

- M has a conserved winding symmetry $U(1)^{b_1}$ $b_1 = \dim H_1$

$\hookrightarrow$ worldsheet theory has b_1 independent conserved currents $J^I \equiv * \partial X^I$ $I=1 \dots b_1$ (where scalars X^I not free fields in general) $\rightarrow b_1$ -dimensional description

- D-brane on M has T^{b_1} classical moduli space

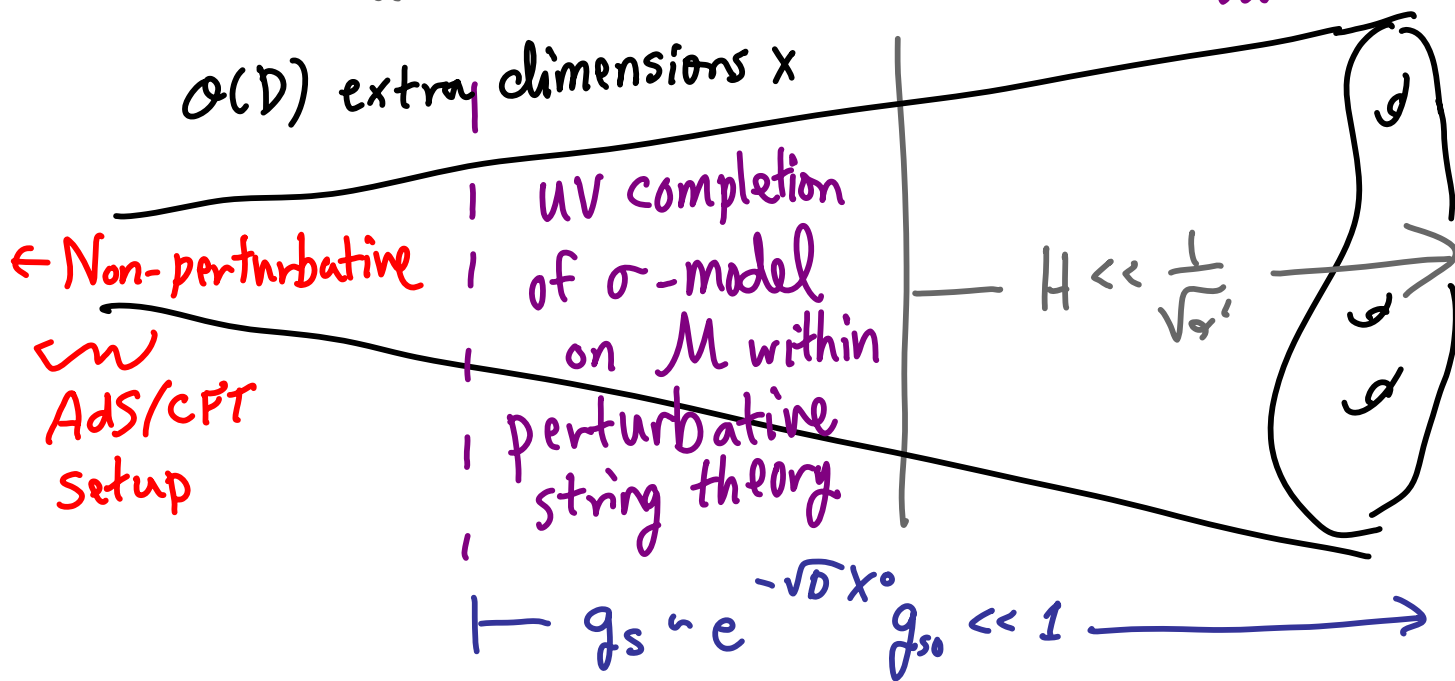
$\rightarrow$ Is there a formulation in terms of a T^{b_1} ?

Yes, in fact can show that the minimal possible completion preserving the $U(1)^{b_1}$ winding symmetries contains a T^{b_1} :

Start by considering a regime where
time evolution is well approximated
by RG flow: large dilaton friction
in timelike linear dilaton
background: $\ddot{\eta} + \dot{\eta} \frac{\dot{\sigma}}{\sqrt{D-D_c}} = \beta \chi$

Cooper/Susskind,
Polchinski, Bachas et al,
Freedman et al

1st order
RG flow



A priori, there are many ways to UV complete the sigma model on $M \leftrightarrow$ Choices of initial conditions

Schematically:

$w \equiv$ winding symmetry
 $p \equiv$ momentum symmetry

Minimal completion preserving $U(1)^{b_1}$ winding symmetry:

• minimal $\Rightarrow$ reaches compact CFT as UV fixed point

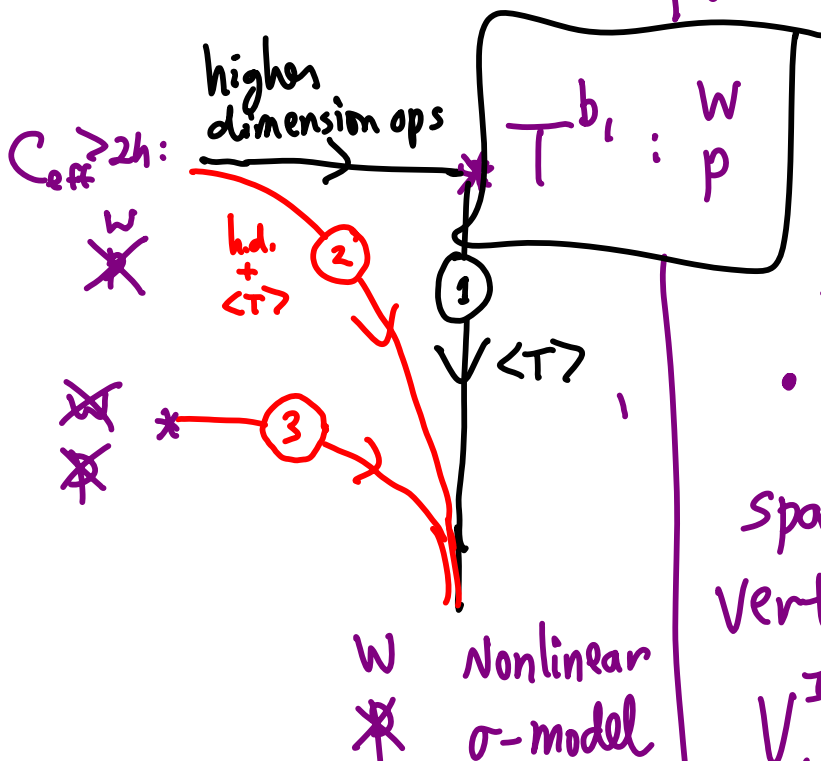
• winding symmetry:

spacetime gauge symmetry

Vertex operators

$$V_\mu^I = e^{ik \cdot Y} \left(\partial Y^\mu \bar{J}^I - J^I \bar{\partial} Y^\mu \right)$$

$I = 1 \dots b_1$



$$\left. \begin{array}{l} J^I \text{ primary } (1,0) \Rightarrow \bar{\partial} J = 0 \Rightarrow J^I = \partial X^I \\ \bar{J}^I \text{ primary } (0,1) \Rightarrow \partial \bar{J} = 0 \Rightarrow \bar{J}^I = \bar{\partial} X^I \end{array} \right\} \begin{array}{l} X^I \text{ free, compact} \\ \Rightarrow \boxed{T^{b_1}} \end{array}$$

In the case of a genus h Riemann surface, the Jacobian torus T^{2h}

$$X^a = X^a + \oint_{\alpha^b, \beta^b} \omega^a \quad \forall b = 1 \dots h$$

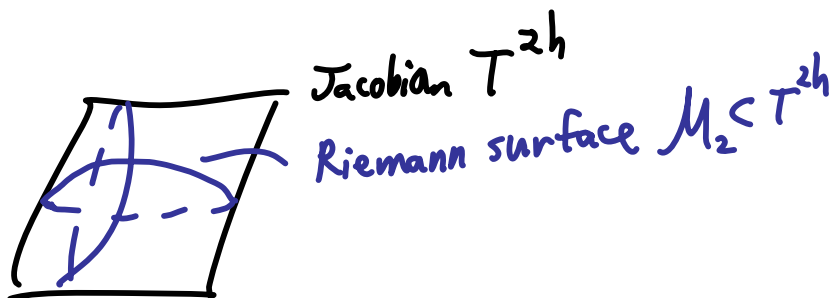
holomorphic 1-forms

is a natural starting point, with the

transition $T^{2h} \xrightarrow{\langle T \rangle} \text{Riemann Surface } \mathcal{M}$

mediated by a closed string tachyon condensate
 ($\cong$ worldsheet potential term) $T(X, X^0)$ restricting
 the worldsheet to $\mathcal{M} \subset T^{2h}$ embedded via

Abel-Jacobi $X^a = \int_{z_0}^z \omega^a$



$\mathcal{H}_1(T^{2h})$
 $\cong \mathcal{H}_1(\mathcal{M})$
 winding modes
 $\updownarrow$
 winding modes

Explicit dual pairs a la Buscher

Alvarez-Gaume et al
Gimon / Robinson
Rogek / Verlinde
Hori / Vafa Morrison / Plesser
Tong ...

e.g. (2,2) σ -model (dressed with RG time)
 $X, z, \Sigma = D_+ \bar{D}_- V, \tilde{\Sigma} = D_+ \bar{D}_- \tilde{V}$ twisted chiral

$$S = \int d^2 \tilde{\theta} \frac{1}{2} \gamma_{ab} \left[\tilde{\Sigma}^a \left(X^b - \int^z \omega^b \right) - \tilde{\Sigma}^a X^b \right] + h.c.$$

GSO $\frac{1}{2}$ $\begin{smallmatrix} -1 & 1 \\ 1 & -1 \end{smallmatrix}$

$$+ \int d^4 \theta \gamma_{ab} \left[\frac{1}{2} V^a V^b - \frac{1}{2e^2} \tilde{\Sigma}^a \tilde{\Sigma}^b + \varepsilon z^+ z^- \right]$$

$\int DX$ first

$\int DV$ first

$$S_{RS} = - \int d^4 \theta \left| \int^z \omega \right|^2 + O\left(\frac{\partial^2}{e^2}\right)$$

$$= \int d^2 \sigma \gamma_{ab} \omega^a_{\tau} \partial_{\bar{\tau}} \bar{\omega}^b_{\bar{\tau}} + \dots$$

$$\tilde{S} = \int d^2 \sigma \gamma_{ab} \left\{ \partial X^a \partial \bar{X}^b + e^2 |\tilde{\sigma}|^2 + \underbrace{e \tilde{\sigma} \cdot \omega F_z}_{\rightarrow e^2 (X^a - \int^z \omega^a) (\bar{X}^b - \int^{\bar{z}} \bar{\omega}^b)} + (X - \int^z \omega)^a \tilde{F}^b + \frac{F}{e^2} + \dots \right\}$$

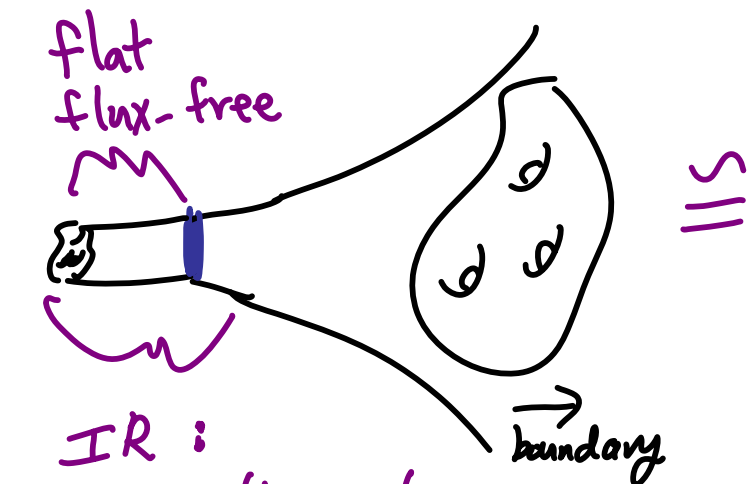
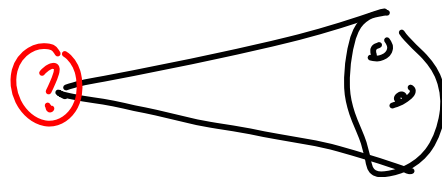
Caveats

- To get type II GSO, we used extra multiplets $\tilde{\Sigma}$ in addition to $X \subset T^{2h}$

cf Helleman/Swarson
classification

- Tachyon $T(X, \tilde{\sigma})$ is a nontrivial function of spatial position; need initial profile strong enough to avoid large particle production cf Nakayama
- Generic GSO-preserving $T(X, \tilde{\Sigma})$ gives a Riemann surface. We further assume symmetry principle of unbroken $U(1)^{b_1}$.

D-duality and AdS/CFT :



CFT on M_3 time
out on Coulomb
branch

Kraus
Larsen
Trivedi

IR :
Wilson lines trace
out

$$\frac{(T^{b_i})^{S_N}}{\mathbb{Z}_N}$$

(masses $\ll \frac{1}{L_{M_3}}$)

↑ appears naturally in IR

* 2 Conformal frames : cf Witten/Yan
Berenstein

$$ds^2 = d\eta^2 + ds_{H_3/r}^2$$

$$R^2 < 0$$

$$\eta \rightarrow +\infty$$



instability

$$\eta \rightarrow -\infty$$



no singularity

$$ds^2 = -dt^2 + t^2 ds_{H_3/r}^2$$

$$R=0$$

$$t \rightarrow \infty \text{ FRW}$$

$$t \rightarrow 0 \text{ singularity}$$

Further Developments & Applications

- "g theorem" : The modular invariance relation

$$\begin{array}{ccc}
 \text{UV} & \longleftrightarrow & \text{IR} \\
 \text{Enhanced } \rho(m) \Big|_{m \gg \frac{1}{\sqrt{g}}} & < m^{\gamma} e^{\frac{m}{m_0}} & \text{Hubble expansion} \\
 & & \text{(affects IR spectrum)}
 \end{array}$$

suggests general lesson :

sufficiently strong Hubble expansion
 requires more worldsheet degrees of freedom
 (either via exponential or enhanced
power law growth of $\rho(m)$)

$$\begin{array}{ccc}
 \hookrightarrow \text{e.g. Nil 3-manifold} & \xleftrightarrow{\text{T-duality}} & \text{H flux on } T^3 \\
 \text{negative scalar curvature} & \text{(Kachru, Schult, Triebel)} & \\
 \rho(l) \sim l^4 > \rho(\alpha) \Big|_{\text{non-negative}} & & \rho(n) \sim n^4 \\
 \text{windings} & & \text{momenta (Landau levels)} \\
 \text{(MS, ES)} & & \text{(Maloney)}
 \end{array}$$

- Model building:

Genericity + utility for dS construction
motivates further exploration

- $N=0$ & $N=1$ phenomenologically viable

- inflation in metastabilized $\mathcal{M}$
cf Saltman/ES '04

- Mathematical connections (?)

- positive "virtual" b_1 conjecture
(b_1 of finite cover)

- absence of $\pi_1(\mathcal{M})$ of
intermediate growth $l^{\gamma} < p < e^{\frac{p}{l_0}}$

- string-theoretic interpretations
of trace formulas

$\cap$
 $\mathbb{Z}_{1\text{-loop}}$ (like Poisson
resummation)

($\Leftrightarrow$ chaotic dynamics, $\mathfrak{g}, \dots$)

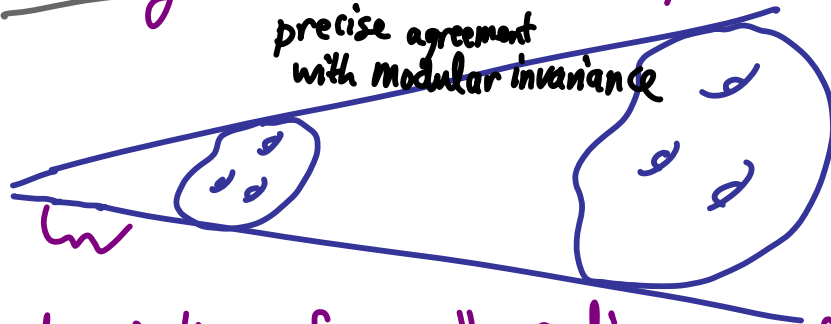
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- ③ AdS/CFT formulation also reveals T^{b_1}