



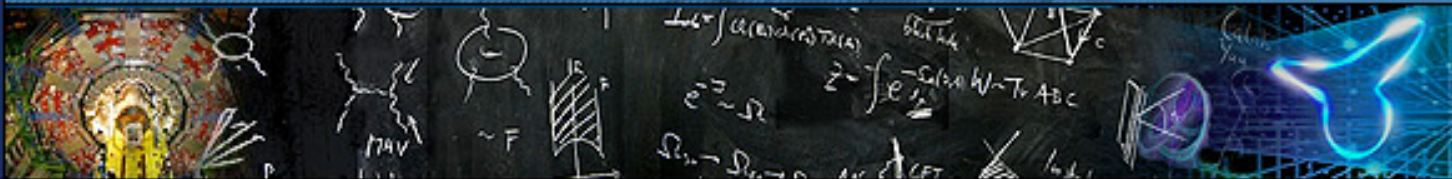
# 40 years of high-energy string collisions

**Gabriele Veneziano**  
(CERN & Collège de France)



# STRINGS2008

Aug 18-23



## 40 years of string theory\*

\* Nuovo Cim. 57A (1st Sept. 1968); submitted 29.07.'68

G. Veneziano<sup>#</sup>

CERN-Geneva

<sup>#</sup> On leave from WIS, on the way to MIT

# Outline

- \* HE string collisions 40 years ago (very briefly)
- \* HE string collisions 20 years ago (briefly)
- \* HE string collisions 2008 (??)

# 40 years ago: no strings attached yet! (ARVV, 1967-'68)

At the Weizmann  
Institute



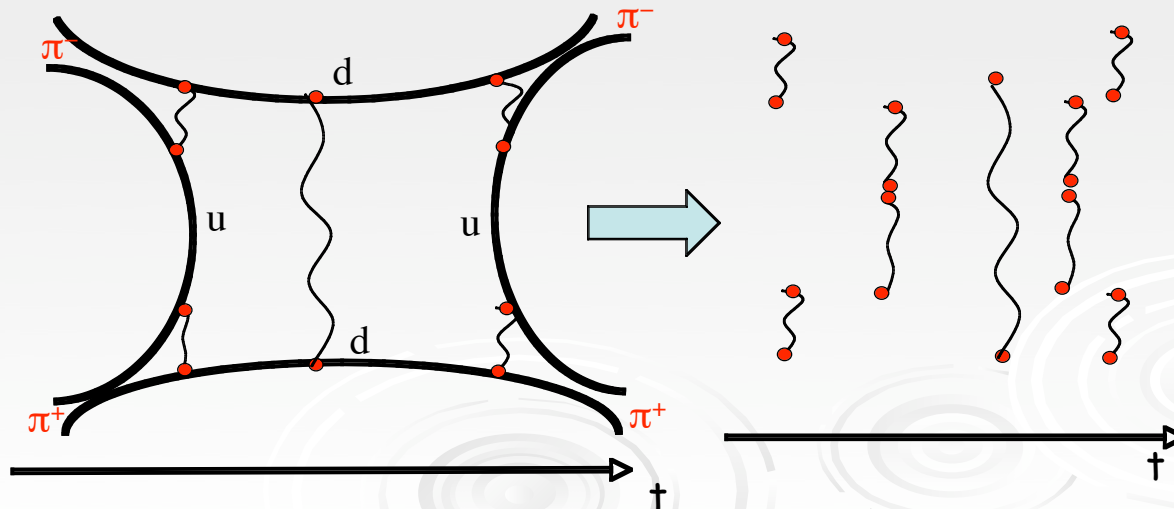
At Ma'hagan  
Michael



- Strong interactions @ "high" energy:  
resonances in s-channel vs. Regge poles in t-channel
- To sum or not to sum?
- DHS duality (1967) gave the correct (-ve) answer
- DRM and linear Regge trajectories: a first (missed) hint of an underlying string?

$$\alpha' = dJ/dM^2 = dl/dE = 1/T \quad (\text{N.B. no } \hbar!)$$

Duality diagrams    A second missed hint?





## 20 years later/ago

- QCD well established
- DRM (= String Theory) reinterpreted as a TOE, in particular of quantum gravity. Huge rescaling needed:

$$\alpha' \rightarrow 10^{-38} \alpha' \quad , \quad l_s \rightarrow 10^{-19} l_s$$

- HE  $\Rightarrow$  transplackian-energy (TPE); semiclassical analysis should be reliable, reproduce GR, but
  - **Naive** tree-level turns out to be bad:
    - Partial-wave unitarity violated at TPE
    - Fixed-angle too much suppressed (wrt GR)

Qs: 1. Can loops come to the rescue?  
2. Is a semiclassical approximation still meaningful?

Examples of GR expectations  
based on the construction of  
**Closed Trapped Surfaces (CTS)**



0805.3880 [gr-qc] 26 May 2008, 594 pages

# THE FORMATION OF BLACK HOLES IN GENERAL RELATIVITY

DEMETRIOS CHRISTODOULOU

May 18, 2008

## **Chapter 14 : The 1st Order Weyl Current Error Estimates**

14.1 Introduction

14.2 The error estimates arising from  $J^1$

14.3 The error estimates arising from  $J^2$

14.4 The error estimates arising from  $J^3$

## **Chapter 15 : The 2nd Order Weyl Current Error Estimates**

15.1 The 2nd order estimates which are of the same form as the 1st order estimates

15.2 The genuine 2nd order error estimates

## **Chapter 16 : The Energy-Flux Estimates. Completion of the Continuity Argument**

16.1 The energy-flux estimates

16.2 Higher order bounds

16.3 Completion of the continuity argument

16.4 Restatement of the existence theorem

## **Chapter 17 : Trapped Surface Formation**



# CTS (sufficiency) criteria => bounds

## ➤ Point-particle collisions:

1. Penrose ('74),  $b=0$ :  $M_{BH} > E/\sqrt{2} \sim 0.71E$
2. D'Eath & Payne ('92), Pretorius ('08):  $M_{BH} \sim 0.86 E$
3. Eardley and Giddings,  $b \neq 0$ , gr-qc/0201034:

$$\left(\frac{R}{b}\right)_{cr} \leq 1.25, \quad D = 4 \quad (R = 2G\sqrt{s} = 4GE_1 = 4GE_2)$$

## ➤ Extended sources:

1. Yurtsever ('88) gave arguments for critical size  $O(R)$
2. Kohlprath and GV, gr-qc/0203093, using EG method: e.g.

$$\left(\frac{R}{L}\right)_{cr} \leq 1, \quad D = 4 \quad \text{for central collision of 2 homogeneous null beams of radius } L$$

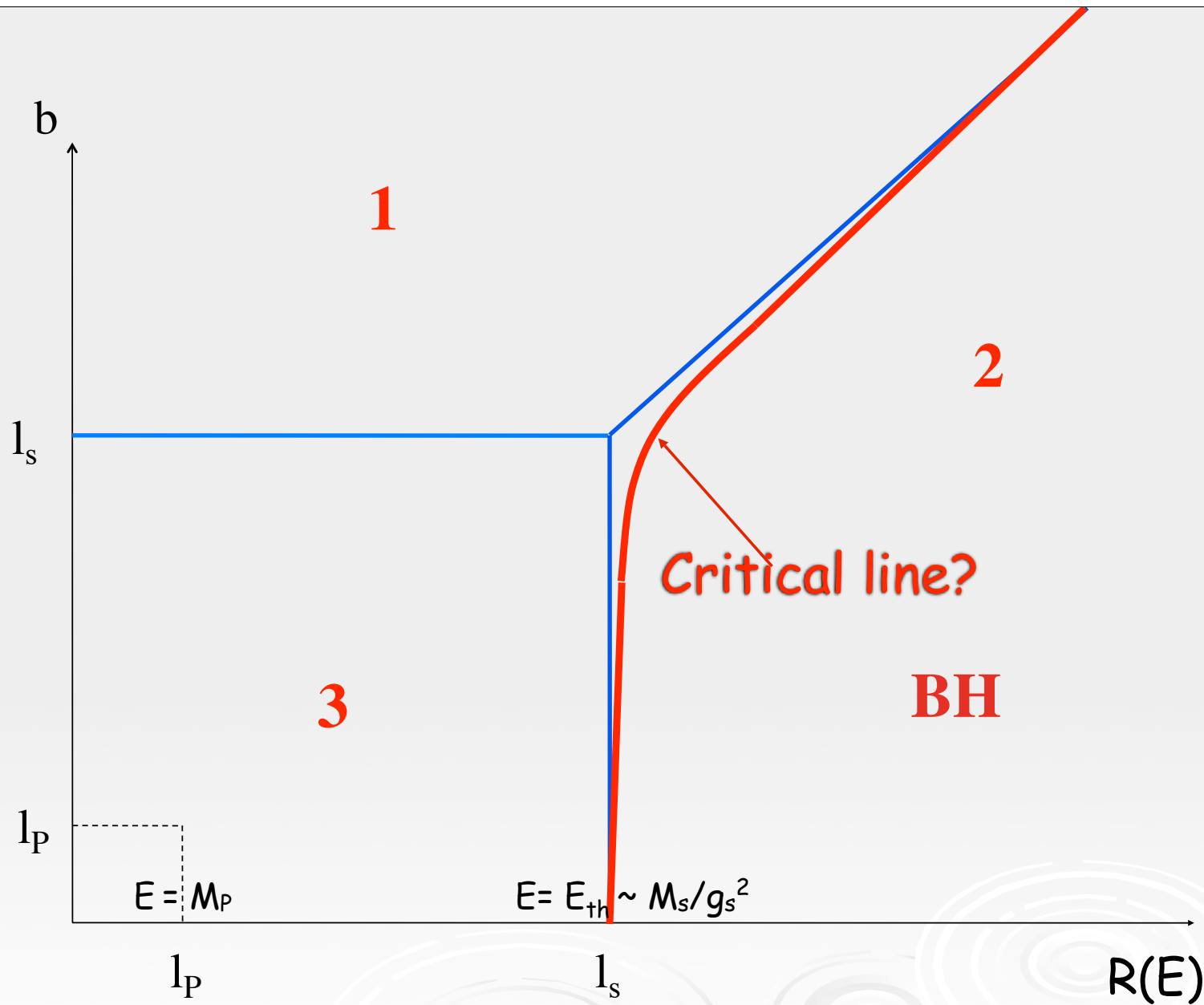
The string length parameter  $l_s$  plays the role of the beam size!

**3** length scales:  $b$ ,  $R$  and  $l_s \Rightarrow$

## 3 broad-band regimes in trans-Planckian superstring scattering

- 1) **Small angle** scattering ( $b \gg R, l_s$ )
- 2) **Large angle** scattering ( $b \sim R > l_s$ ), **collapse** ( $b, l_s < R$ )
- 3) **Stringy** ( $l_s > R, b$ )





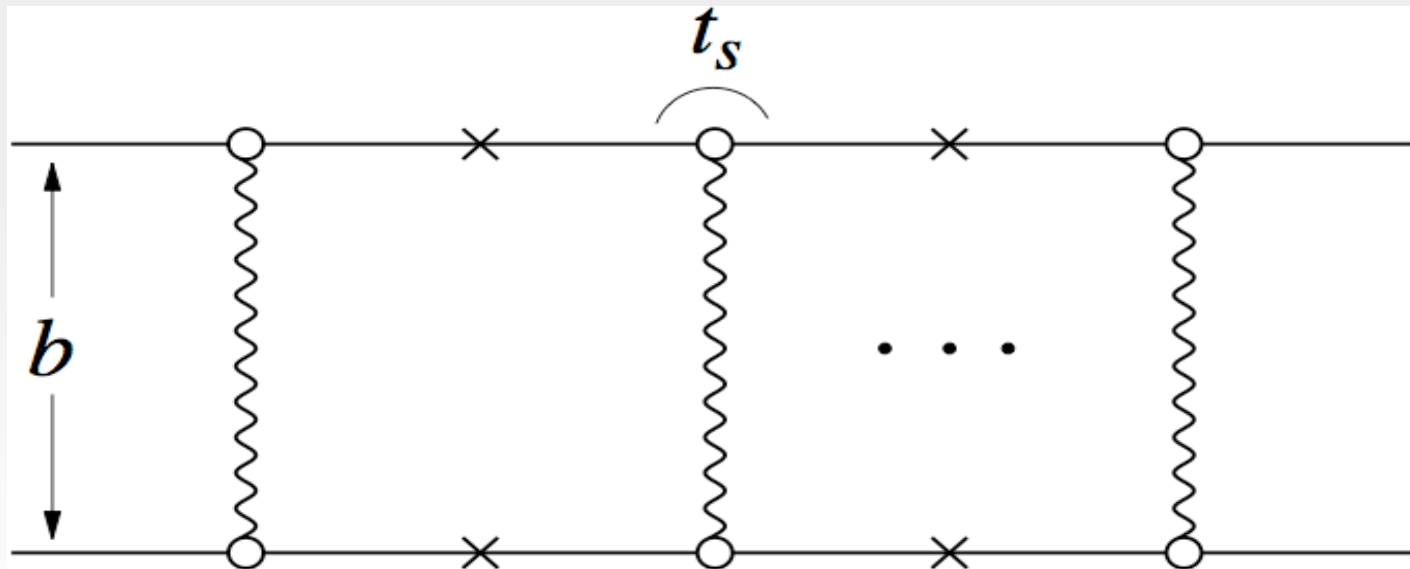
NB: we take the string coupling  $g_s$  very small so that  $l_s \gg l_P$

# The semiclassical S-matrix

General arguments as well as string-loop calculations suggest the following form for the TPE S-matrix:

$$S(E, b) \sim \exp\left(i \frac{A_{cl}}{\hbar}\right) \quad ; \quad \frac{A_{cl}}{\hbar} \sim \frac{G_S}{\hbar} c_D b^{4-D} \left( 1 + O((R/b)^{2(D-3)}) + O(l_s^2/b^2) + O((l_P/b)^{D-2}) + \dots \right)$$

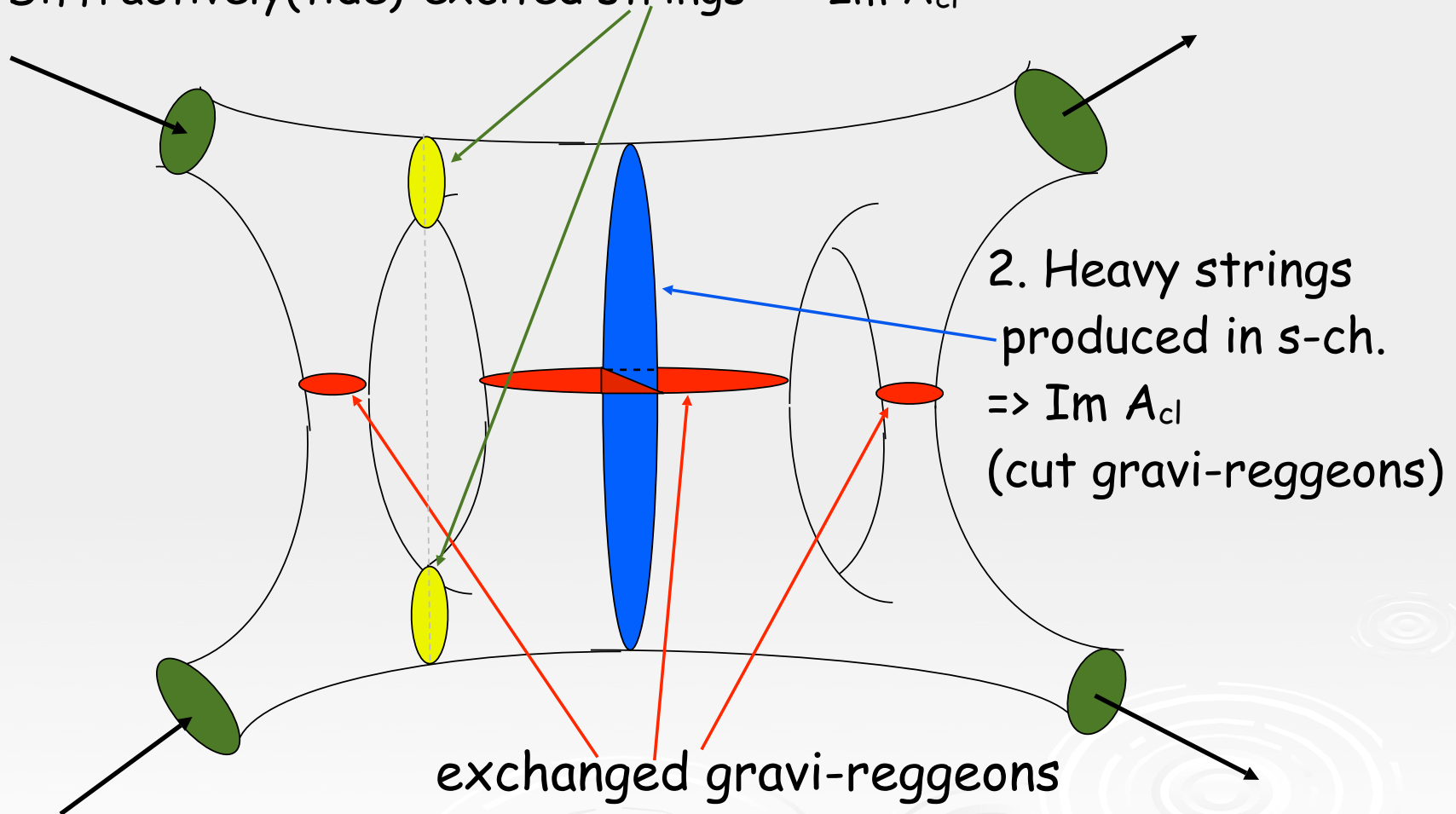
Leading eikonal diagrams (crossed ladders included)



NB: For  $\text{Im } A_{cl}$  some terms may be more than just corrections...


## Two examples of string corrections (controlled by $l_s$ )

1. Diffractively(tide)-excited strings  $\Rightarrow \text{Im } A_{cl}$

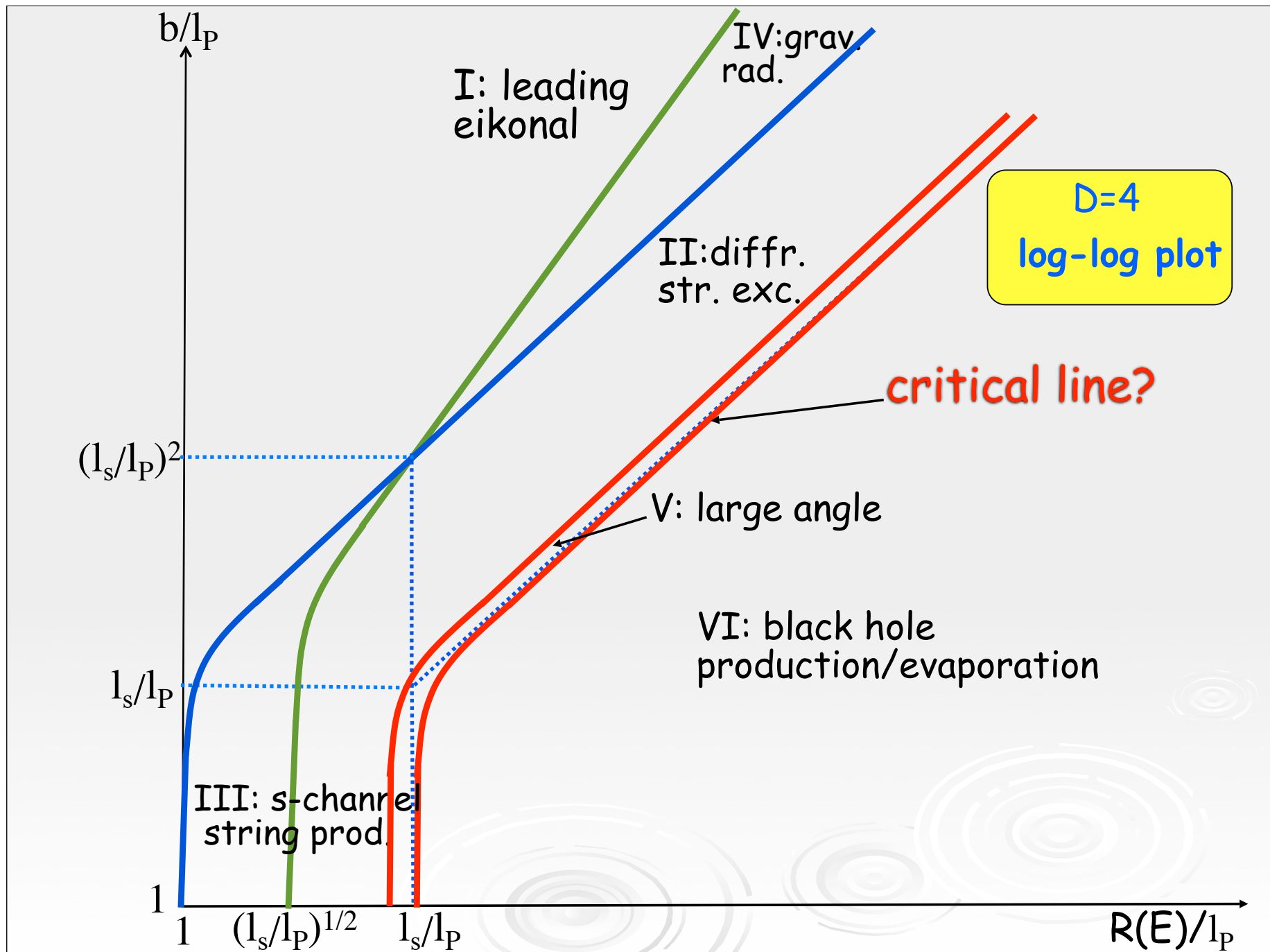


Classical corrections (controlled by  $R/b$ ) to be discussed later

The existence of these corrections complicates the previous diagram with new regions appearing in our parameter space. We may roughly distinguish 6 (increasingly difficult) regimes:

- I) **Small-angle** elastic scattering (leading eikonal)
  - II) **Small-angle** inelastic scattering (**a**.string excitation)
  - III) **Small-angle** inelastic scattering (**b**.string formation)
  - IV) **Small-angle** inelastic scattering (**c**.graviton emission)
  - V) **Large-angle** inelastic scattering
  - VI) **Classical Collapse**
- 

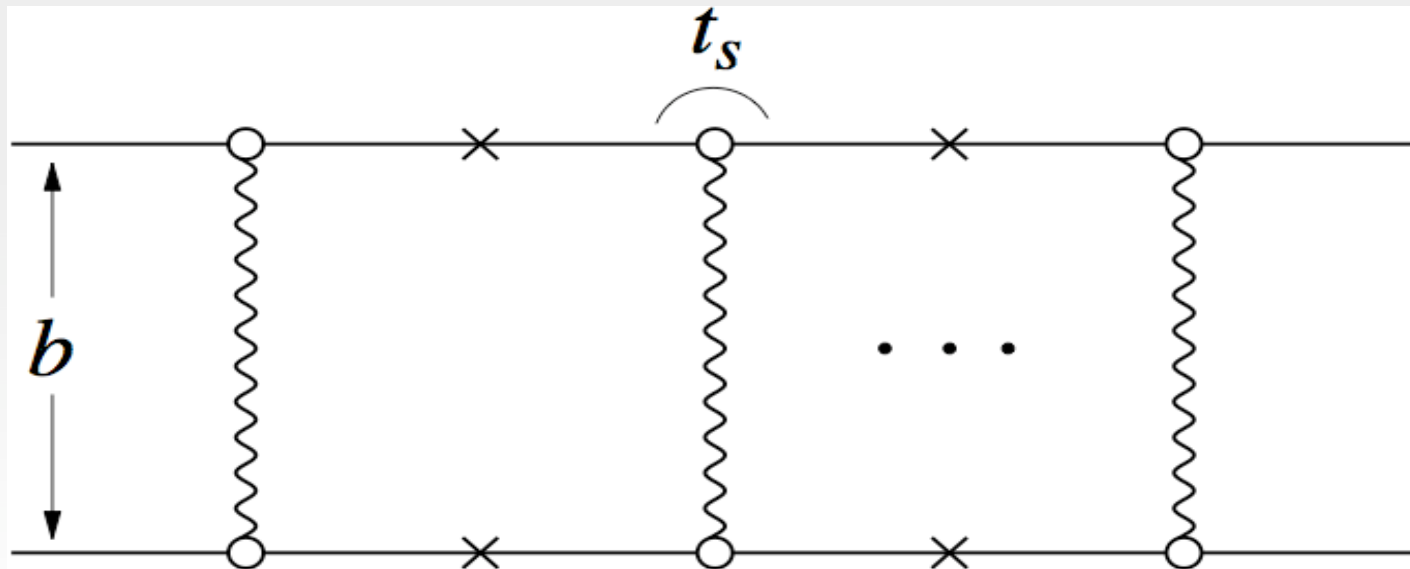




# I: Small-angle elastic scattering (leading eikonal)

$$S(E, b) \sim \exp\left(i\frac{A_{cl}}{\hbar}\right) \quad ; \quad \frac{A_{cl}}{\hbar} \sim \frac{Gs}{\hbar} c_D b^{4-D} \left(1 + O(\cancel{(R/b)^{2(D-3)}}) + O(\cancel{l_s^2/b^2}) + O(\cancel{(l_P/b)^{D-2}}) + \dots\right)$$

Leading eikonal diagrams (crossed ladders included)



## Recovering CGR expectations @ large distance

$$S = e^{2i\delta}$$

$$\text{Re}\delta \sim Gsb^{4-D}$$

$$\delta(E, b) = \int d^{D-2}q \frac{A_{\text{tree}}(s, t)}{4s} e^{-iqb}, \quad s = E^2, \quad t = -q^2$$

$$\text{Im}\delta \sim \frac{G_D s l_s^2}{(Y l_s)^{D-2}} e^{-b^2/b_I^2}, \quad b_I^2 \equiv l_s^2 Y^2, \quad Y = \sqrt{\log(\alpha' s)}$$

For  $b \gg l_s Y$  (Region I), we can forget about  $\text{Im} \delta$

Going over to scattering angle  $\theta$ , we find a saddle point at

$$b_s^{D-3} \sim \frac{G\sqrt{s}}{\theta} \quad ; \quad \theta \sim \left( \frac{R_S}{b} \right)^{D-3}$$

corresponding **precisely** to the relation between impact parameter and deflection angle in the (AS) metric generated by a relativistic **point-particle** of energy  $E$ .

## II: Small-angle inelastic scattering

(a. diffractive/tidal string excitation)

When a string moves in an AS metric it suffers tidal forces as a result of its finite size (Giddings 0604072)  
Grav. counterpart to diffractive excitation?

When does DE kick-in? Tidal-force argument (SG/GV):

$$\theta_1 \sim G_D E_2 b^{3-D} \Rightarrow \Delta\theta_1 \sim G_D E_2 l_s b^{2-D}$$

This angular spread provides an invariant mass:

$$M_1 \sim E_1 \Delta\theta_1 \sim G_D s l_s b^{2-D} = M_2 \quad \text{strings get excited if}$$

$$M_{1,2} \sim M_s = \hbar l_s^{-1} \Rightarrow b = b_D \sim \left( \frac{G s l_s^2}{\hbar} \right)^{\frac{1}{D-2}} \quad \dots \text{as in ACV '87}$$

Also:

$$\sigma_{el} \sim \exp(-S(M)) \sim \exp(-M/M_s) \sim \exp\left(-\frac{G s}{\hbar} \frac{l_s^2}{b^{D-2}}\right)$$

# III: Small-angle inelastic scattering

(b. string formation @  $b, R < l_s$ )

Because of **Im  $\delta \neq 0$** ,  $S_{\text{el}}$  is suppressed as  $\exp(-2 \text{Im } \delta)$ :

$$\sigma_{\text{el}} \sim \exp(-4\text{Im}\delta) = \exp\left[-\frac{G_D s l_s^2}{(Y l_s)^{D-2}}\right] \equiv \exp\left[-\frac{s}{M_*^2}\right]$$

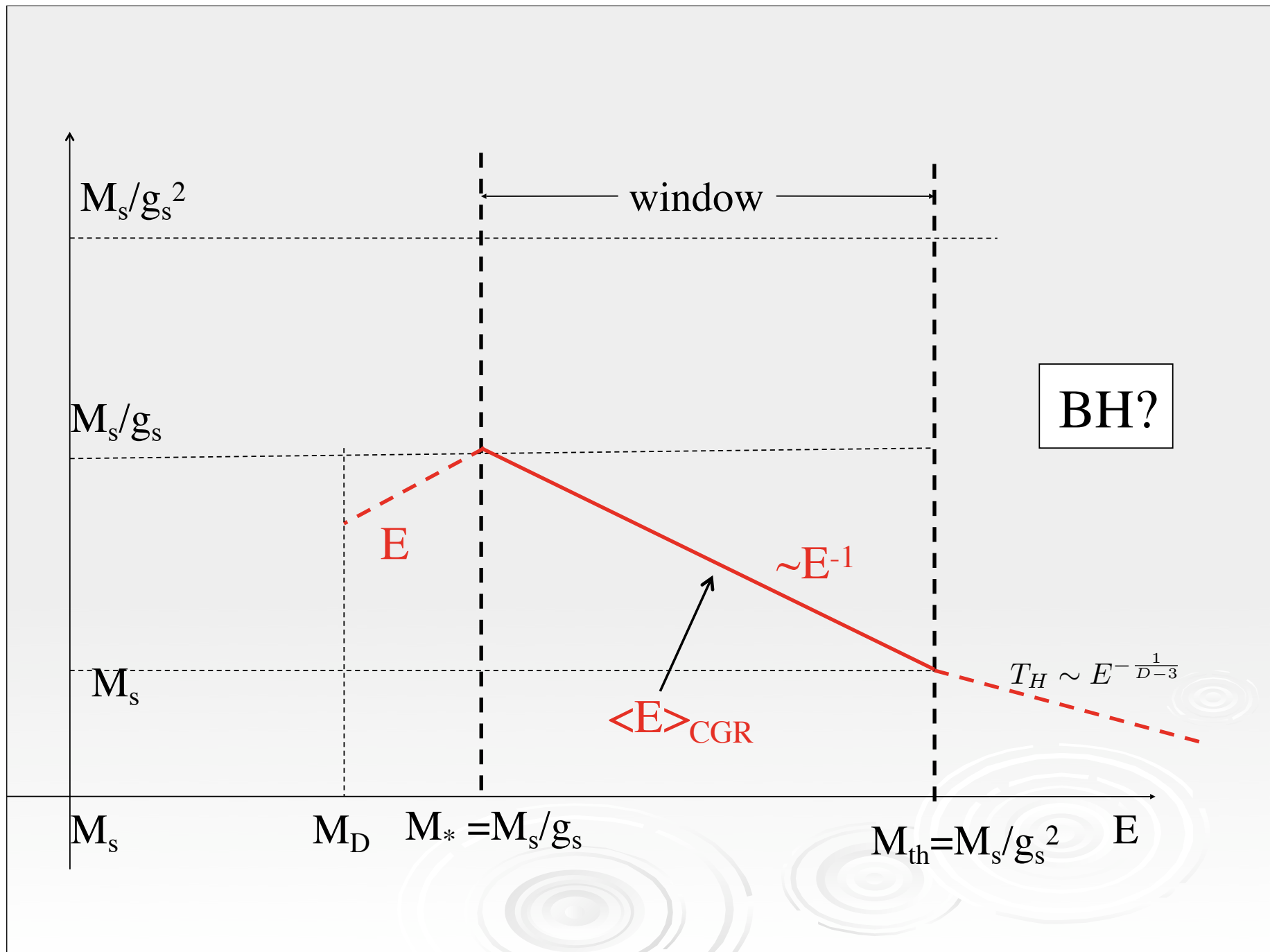
$M_* = \sqrt{M_s M_{\text{th}}} \sim M_s g_s^{-1}$  If we go to  $E = E_{\text{th}} = M_s/g_s^2$  we find:

$$\sigma_{\text{el}} \sim \exp(-g_s^{-2}) \sim \exp(-S_{\text{sh}})$$

where  $S_{\text{sh}}$  is the common entropy of a BH/string of mass  $E_{\text{th}}$

Also:  $\langle N_{\text{CGR}} \rangle = 4\text{Im}\delta = \frac{G_D s l_s^2}{(Y l_s)^{D-2}} = O\left(\frac{s}{M_*^2}\right)$  and thus:

$$\langle E \rangle_{\text{CGR}} = \frac{\sqrt{s}}{\langle N_{\text{CGR}} \rangle} \sim M_s Y^{D-2} \left(\frac{l_s}{R_s}\right)^{D-3} \sim T_{\text{eff}} \equiv \frac{M_*^2}{E} = \frac{M_s^2}{g_s^2 E}$$





## And today?

### An additional phenomenological motivation ?

Finding signatures of string/quantum gravity @ LHC

- \* In KK models with large extra dimensions;
- \* In brane-world scenarios; in general:
- \* If we can lower the true QG scale to  $O(\text{TeV})$

NB: In the most optimistic situation the LHC will be very marginal for producing BH, let alone semiclassical ones

→ Find precursors of BH behaviour even below the expected production threshold

## An additional theoretical motivation: AdS/CFT

Hopes that a suitable generalization of AdS/CFT may lead to a good string model for QCD (back to the old game!). IR problems afflicting the early attempts to describe high-energy soft hadronic scattering should be absent.

Interesting recent developments include:

- \* Models for the **soft Pomeron** and connection to gravitational processes in AdS (Janik & Peschanski; Brower, Strassler, Polchinski & Tan; Cornalba, Costa, & Penedones...)
- \* Models for the BFKL (**hard**) **Pomeron** and connection between parton-saturation and critical collapse a la Choptuik (Alvarez-Gaume, Gomez, Vasquez-Mozo,...)

Is the old program of a string-based approach to high-energy soft hadronic scattering also to be revived?

## IV: Small-angle inelastic scattering (ACV-90's) (graviton emission)

=> Classical corrections to leading eikonal

$$S(E, b) \sim \exp\left(i\frac{A_{cl}}{\hbar}\right) \sim \exp\left(-i\frac{Gs}{\hbar}(\log b^2 + O(R^2/b^2) + O(\cancel{l_s^2/b^2}) + O(\cancel{l_P^2/b^2}) + \dots)\right)$$

V: Large-angle inelastic scattering

VI: Collapse?

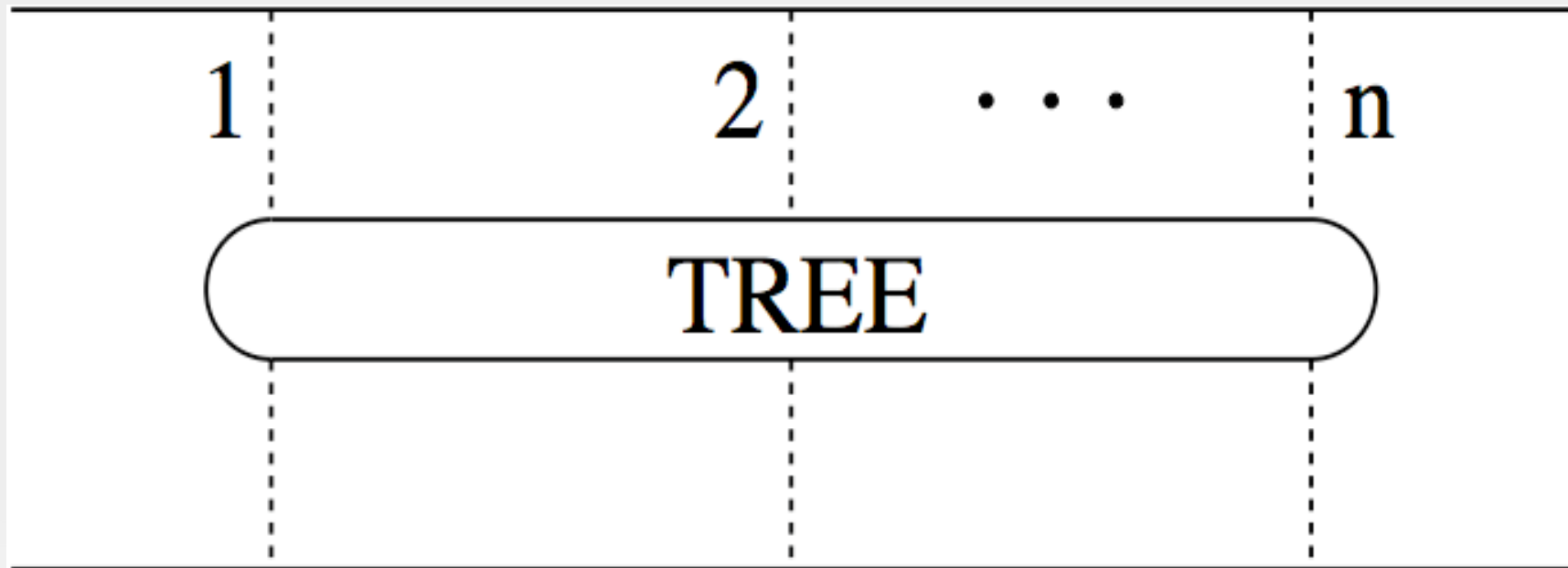
=> Resumming classical corrections

(ACV, hep/th-0712.1209, MO, VW, CC...'08)

D=4 hereafter

Classical corrections characterized by **absence of  $\hbar$** .

Not surprisingly, they are related to **tree diagrams** once the coupling to the external energetic particles is replaced by a classical source

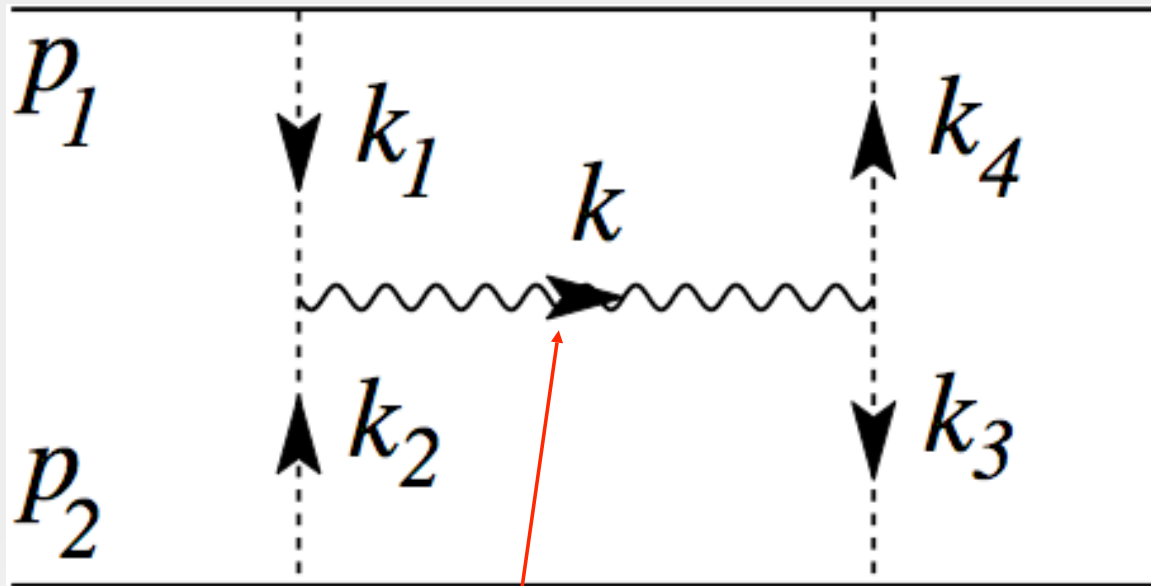


When considering the exponent (the "phase") one should restrict to **connected trees**

Power counting for connected trees:

$$\delta(E, b) \sim G^{2n-1} s^n \sim G s R^{2(n-1)} \rightarrow G s (R/b)^{2(n-1)}$$

Next to leading order: the **H** diagram



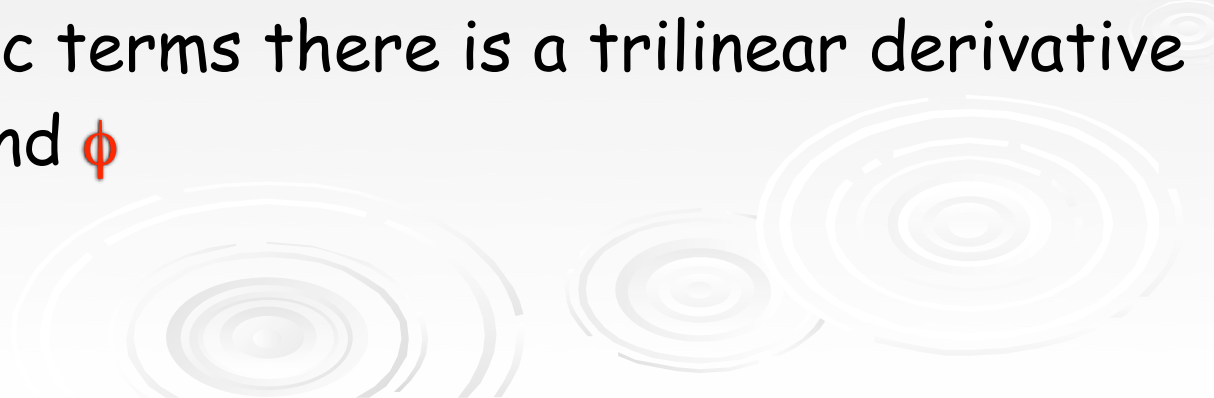
$$\sim G^3 s^2 = G s \ G^2 s = G s R^2 \rightarrow G s \ (R/b)^2$$

One of the produced graviton's polarizations ("TT") is IR-safe  
the other ("LT") is not

## Reduced effective action & field equations

There is a simple **D=2 effective action** generating the leading diagrams (Lipatov, ACV '93)

Neglecting the IR-unsafe (LT) polarization, it contains:  
 **$\alpha$**  and  **$\bar{\alpha}$** , representing the longitudinal (++) and --) components of the gravitational field, coupled to the corresponding components of the EMT;  
 **$\phi$** , representing the TT graviton-emission field. Besides source and kinetic terms there is a trilinear derivative coupling of  **$\alpha$** ,  **$\bar{\alpha}$**  and  **$\phi$**





## The 2D action

$$\begin{aligned}\frac{\mathcal{A}}{2\pi G_s} &= \int d^2x \left[ a(x)\bar{s}(x) + \bar{a}(x)s(x) - \frac{1}{2}\nabla_i\bar{a}\nabla_i a \right] \\ &\quad + \frac{(\pi R)^2}{2} \int d^2x \left( -(\nabla^2\phi)^2 + 2\phi\nabla^2\mathcal{H} \right), \\ -\nabla^2\mathcal{H} &\equiv \nabla^2 a \nabla^2 \bar{a} - \nabla_i \nabla_j a \nabla_i \nabla_j \bar{a},\end{aligned}$$

and the corresponding eom

$$\nabla^2 a + 2\delta(x) = 2(\pi R)^2(\nabla^2 a \nabla^2 \phi - \nabla_i \nabla_j a \nabla_i \nabla_j \phi), \quad \bar{a}(x) = a(b-x)$$

$$\nabla^4 \phi = -(\nabla^2 a \nabla^2 \bar{a} - \nabla_i \nabla_j a \nabla_i \nabla_j \bar{a})$$

Semiclassical approximation corresponds to solving the eom and computing the classical action on the solution.

Too hard for analytic study, numerically doable (see below)

# Axisymmetric Solutions

(ACV07, J. Wosiek & G.V. 08/1 & 08/2)

## I. Particle-particle collisions @ $b=0$

Equations can be studied (ACV, 07121209) but  
are unreliable: lesson unclear

## II. Central beam-beam collisions

One example in ACV07, more systematically  
explored in VW (0804.3321 & 0805.2973)

# Central beam-beam collisions

A **rich problem** in spite of restrictive symmetry:

1. The two beams contain several parameters (total intensity, shape; same or different) & we can look for critical surfaces in their multi-dim.<sup>al</sup> space
2. The CTS criterion is simple (see below)
3. Numerical results should be next on line (Cf. recent talks by Choptuik & Pretorius)

**Two** major **simplifications** occur in ACV eqns:

1. PDEs become ODEs
2. The IR-singular polarization is just not produced

# Axisymmetric action and eqns ( $t=r^2$ )

$$\frac{\mathcal{A}}{2\pi^2 G_S} = \int dt [a(t)\bar{s}(t) + \bar{a}(t)s(t) - 2\rho\dot{a}\dot{\bar{a}}] \\ - \frac{2}{(2\pi R)^2} \int dt (1 - \dot{\rho})^2$$

$$\rho = t \left( 1 - (2\pi R)^2 \dot{\phi} \right) \qquad \pi \int^t dt' s_i(t') = R_i(t)/R$$

$$\dot{a}_i = -\frac{1}{2\pi\rho} \frac{R_i(r)}{R}$$

$$\ddot{\rho} = \frac{1}{2}(2\pi R)^2 \dot{a}_1 \dot{a}_2 = \frac{1}{2} \frac{R_1(r)R_2(r)}{\rho^2}$$

$$\rho(0) = 0 \quad ; \quad \dot{\rho}(\infty) = 1$$

2<sup>nd</sup> order ODE w/ Sturm-Liouville-like b. conditions

# CTS criterion (KV gr-qc/0203093)

If there exists an  $r_c$  such that

$$R_1(r_c)R_2(r_c) = r_c^2$$

we can construct a CTS and therefore expect a BH to form.

**Theorem** (VW08): whenever the KV criterion holds<sup>\*)</sup> the ACV field equations do **not** admit regular (at  $r=0$ ) real solutions. Thus:

**KV criterion  $\implies$  ACV criterion**

but of course not the other way around!

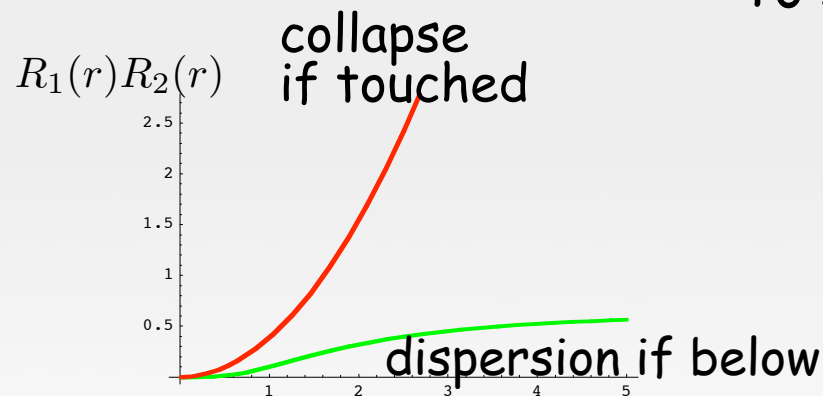
<sup>\*)</sup> actually the r.h.s. can be replaced by  $\frac{2}{3\sqrt{3}}r_c^2$

# A sufficient criterion for dispersion (P.-L. Lions, private comm.)

If 
$$R_1(r)R_2(r) \leq \frac{8}{27} \frac{r^4}{(1+r^2)^2} \left[ 1 + \frac{1}{2} \left( 1 - \frac{\log(1+r^2)}{r^2} \right) \right]^2$$

the ACV eqns do admit regular, real solutions.

To summarize



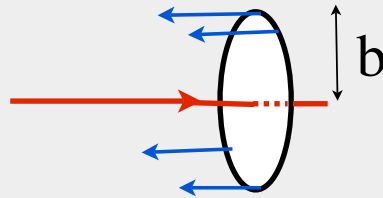
clearly, there is room for improvement...



3 examples  
(if time/chair allows...)



## Example 1: particle-scattering off a ring



Can be dealt with **analytically**:

$$\ddot{\rho} = \frac{R^2}{2\rho^2} \Theta(r^2 - b^2) \quad \begin{array}{l} \rho = \rho(0) + r^2 \dot{\rho}(0) \quad , \quad (r < b) \\ \dot{\rho} = \sqrt{1 - R^2/\rho} \quad , \quad (r > b) \end{array}$$

Since  $\rho(0) = 0$ :

$$\rho(b^2) = b^2 \dot{\rho}(b^2) = b^2 \sqrt{1 - R^2/\rho(b^2)}$$

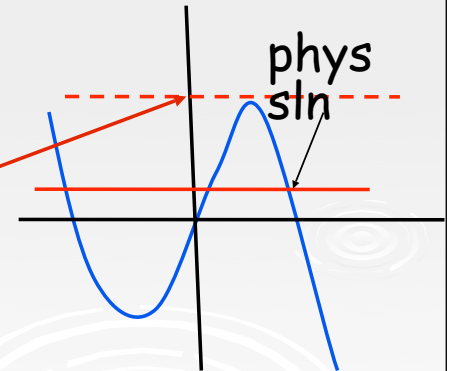
This (cubic) equation has positive real solutions iff

$$b^2 > \frac{3\sqrt{3}}{2} R^2 \equiv b_c^2$$

$b_c \sim 1.61R$ ,  
CTS:  $b_c > R$

Amusing analogy with turning  
point in Schwarzschild metric

$$\frac{R}{b} = x - x^3, x \equiv \frac{r^*}{b} \Rightarrow b > b_c = \frac{3\sqrt{3}}{2} R$$



## Example 2: Two hom. beams of radius $L$ .

The equation for  $\rho$  becomes

$$\ddot{\rho}(r^2) = \frac{R^2}{2\rho^2} \Theta(r - L) + \frac{R^2 r^4}{2L^4 \rho^2} \Theta(L - r)$$

We can compute the critical value **numerically**:

$$\left(\frac{R}{L}\right)_{cr} \sim 0.47$$

It is compatible with (and close to) the CTS upper bound of KV:

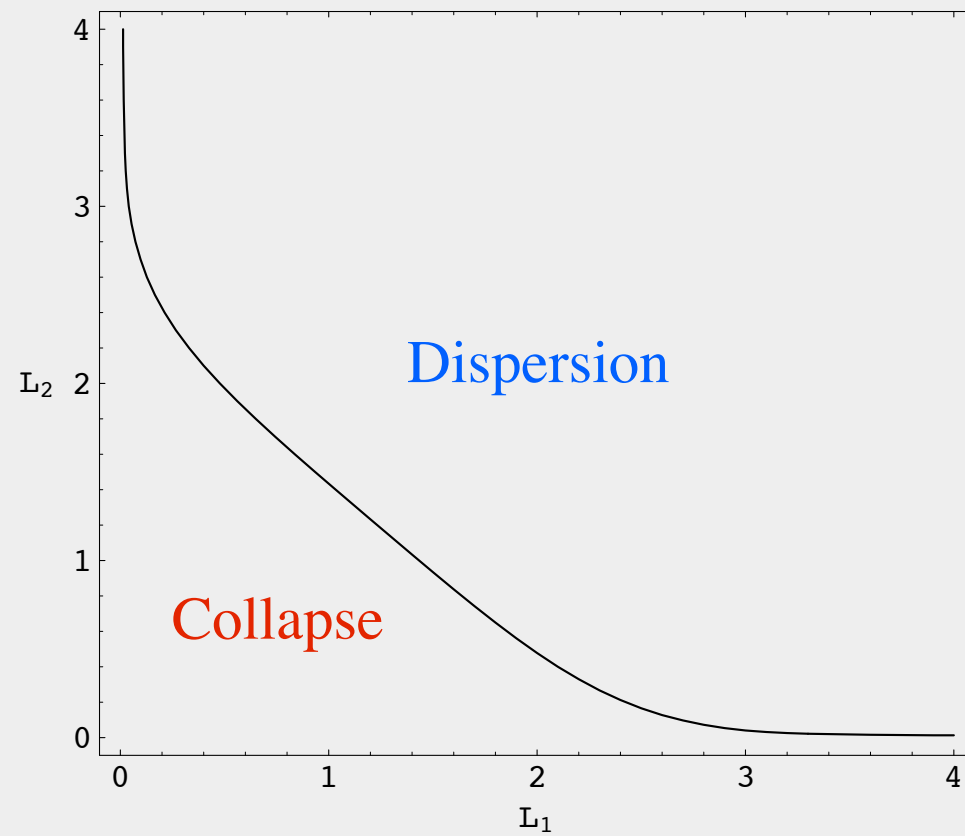
$$\left(\frac{R}{L}\right)_{cr} < 1.0$$

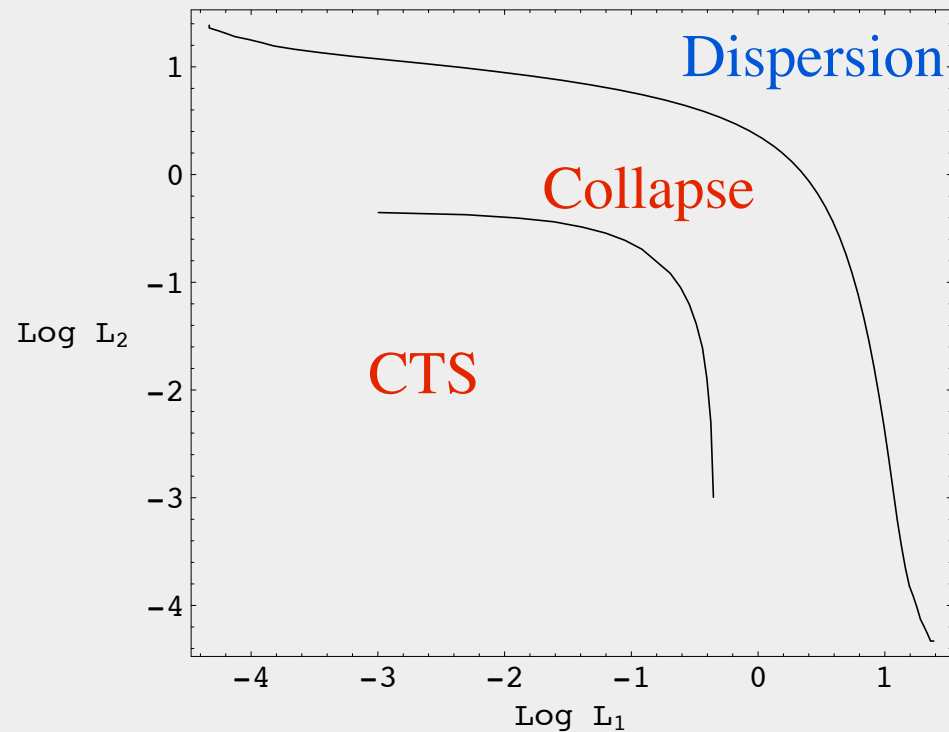
## Example 3: Two different Gaussian Beams (V&Wosiek '08)

Two Gaussian profiles with widths  $L_1$  and  $L_2$

$$s_i(t) = \frac{1}{2\pi L_i^2} \exp\left(-\frac{t}{2L_i^2}\right) \quad , \quad \frac{R_i(t)}{R} = 1 - \exp\left(-\frac{t}{2L_i^2}\right)$$

We determined the critical line in the  $(L_1, L_2)$  plane and compared it with the one coming from the CTS criterion.





Many other examples: find agreement w/ CTS suff. criteria and numerical values within a factor 1.5 to 2.5

# Particle-particle collisions at finite $b$

## Numerical solutions

(G. Marchesini & E. Onofri, 0803.0250)

Solve directly PDEs by FFT methods in Matlab

Result: real solutions only exist only for

$$b > b_c \sim 2.28R$$

Compare with EG's CTS lower bound on  $b_c$

$$b_c > 0.80R$$



# Particle Spectra

(ACV07, VW08/2, & Ciafaloni GV in progress)

We can study the spectrum of the produced particles by looking at various contributions to the imaginary part of the elastic amplitude at fixed  $E$  &  $b$  ( $E$ -cons. important)

The final spectrum is roughly as follows (for extended sources  $b \rightarrow$  beam size):

$$\frac{1}{\sigma} \frac{d\sigma}{d^2k dy} = \frac{G_s}{\hbar} R^2 \exp \left( -\frac{|k||b|}{\hbar} (1 + \cosh y \ R^3/b^3) \right)$$

This shows that, while for  $b \gg R$  gravitons are produced at small angles, as  $b \rightarrow b_c \sim R$  their distribution becomes more and more spherical w/  $\langle n \rangle \sim G_s$  and characteristic energy  $O(1/R \sim T_H)$

# Near & beyond $b_c$

Leaving aside imaginary part due to graviton production, for  $b \rightarrow b_c^+$  the on-shell action behaves as

$$\frac{A - A_c}{G_s} = \sqrt{3} \left( 1 - \frac{b^2}{b_c^2} \right) + \frac{2\sqrt{2}}{3} \left( \frac{b^2}{b_c^2} - 1 \right)^{3/2}$$

The elastic amplitude picks up an **extra damping below  $b_c$**  meaning that new channels have opened up.

Q: Do these correspond to the formation of BHs?

Ciafaloni and Colferai (08.07.2117) have formulated this as a QM tunneling problem (w/  $r^2$  playing role of time)

Just below  $b_c$  the new imaginary part of the action behaves like

$$ImA \sim Gs(1 - J/Gs)^{3/2}, \quad \sigma_{el} \sim \exp(-ImA)$$

Q: Can we make the identification:

$$\sigma_{el} \sim \exp(-S_{BH}) ?$$

A: If we can the mass of the BH should go to zero for  $b \rightarrow b_c$  (Type-II critical collapse) as:

$$M_{BH} \sim \sqrt{s}(1 - b/b_c)^{3/4}$$

fixing the value of **Choptuik's exponent** to about twice his 0.37 (known to depend on  $w = p/\rho$ )

# Conclusions

- Gedanken **HE** collisions (e.g.  $\pi\pi \rightarrow \pi\omega$ ) have played an important role in the early developments of ST.
- After the 1984 revolution **TPE collisions** may well play a similar role for understanding whether & how **QM & GR** are mutually compatible in a string theory framework
- **Superstring theory** in flat space-time (and in other consistent backgrounds) offers a **concrete framework** where the quantum scattering problem is well-posed.
  - The problem simplifies by considering  $G_s/\hbar \gg 1$  so that a suitable semiclassical approximation can be justified. Within that inematical constraint we have considered various regimes, roughly classified as follows:

- A **large impact parameter** regime, where an eikonal approximation w/ small corrections holds and GR expectations are recovered (AS **effective** metric..)
- A **stringy** regime, where one finds an approximate S-matrix with some characteristics of BH-physics as the expected BH threshold is approached from below
- A **strong-gravity (large  $R$ )** regime where an effective action approach can be (partly) justified and tested

- **Critical** points (lines) have emerged matching well CTS-based GR criteria
- As the critical line is approached, the final state starts **resembling a Hawking-like spectrum**: a fast growth ( $\sim E^2$ ) of **multiplicity** w/ a related **softening** of the final state.
- Progress was made towards constructing a **unitary S-matrix** and understanding the physics of the process as the critical surface is reached and possibly crossed
- **Much more work remains to be done**, but an understanding of the quantum analog/replacement of GR's gravitational collapse does no-longer look completely out of reach...

Thank you!