

# Large $N$ Non-Perturbative Effects in ABJM Theory

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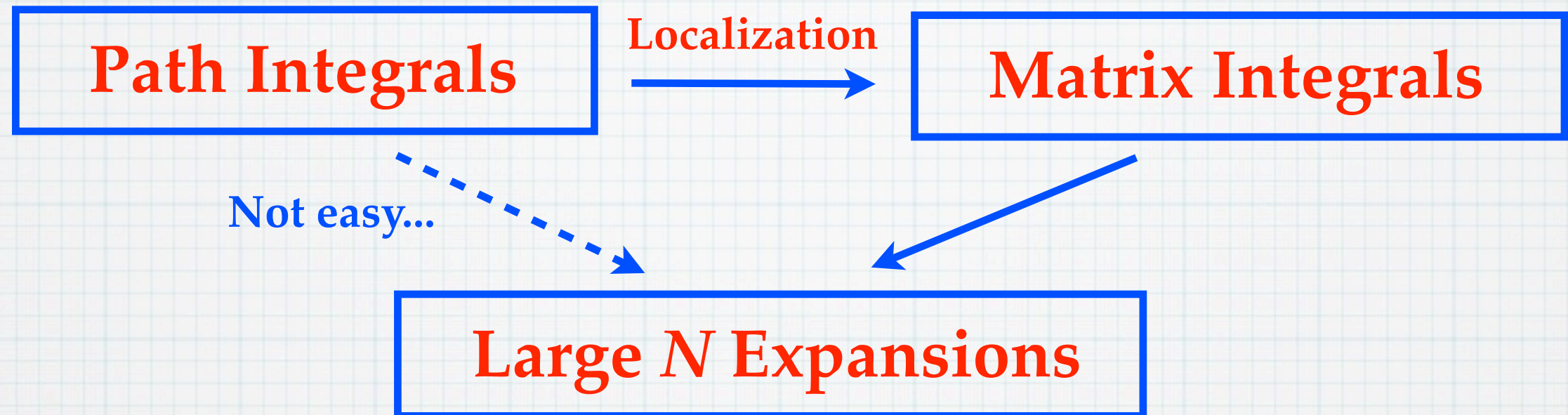
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# Basic Flow in Localization



- Typically, leading large  $N$  behavior is investigated
- Remarkably, in ABJM theory, the **complete large  $N$  expansion** has been found!
- Here, I will talk about a powerful approach to explore the large  $N$  expansion in **M-theoretic limit**
- **Topological string** plays a crucial role



# Plan

## 1. Fermi-Gas Approach and Large $N$ Expansion

[YH, Marino, Moriyama & Okuyama, arXiv:1306.1734]

## 2. Quantum Spectral Problem and Quantization Condition

[Grassi, YH & Marino, arXiv:1410.3382, 1410.7658]

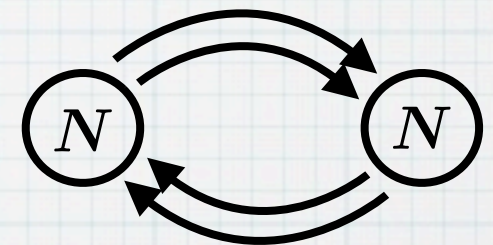


# ABJM Theory

- What is ABJM? [Aharony, Bergman, Jafferis, Maldacena '08]

3d superconformal Chern-Simons-matter theory  
with gauge group  $U(N)_k \times U(N)_{-k}$

- Two independent parameters



Rank of gauge group  $N$

&

Chern-Simons level  $k$



't Hooft coupling  $\lambda = N/k$

&

String coupling  $g_s = 2\pi/k$



- Why important?

- ▶ Low energy effective theory on multiple M2 branes
- ▶ has gravity dual at large  $N$

M-theory on  $AdS_4 \times S^7/\mathbb{Z}_k$  ( $k \ll N^{1/5}$ )

Type IIA on  $AdS_4 \times \mathbb{CP}^3$  ('t Hooft limit)

- Using AdS/CFT, the ABJM theory probes non-perturbative aspects of the dual string/M-theory

Analogy: Non-critical strings/Matrix models



# ABJM Matrix Model

- **Localization:** path integral  $\rightarrow$  matrix integral [Pestun '07]

$$Z_{\text{ABJM}}(N) = \frac{1}{N!^2} \int \frac{d^N \mu}{(2\pi)^N} \frac{d^N \nu}{(2\pi)^N} \frac{\prod_{i < j} [2 \sinh(\frac{\mu_i - \mu_j}{2})]^2 [2 \sinh(\frac{\nu_i - \nu_j}{2})]^2}{\prod_{i,j} [2 \cosh(\frac{\mu_i - \nu_j}{2})]^2} \times \exp \left[ \frac{ik}{4\pi} \sum_{i=1}^N (\mu_i^2 - \nu_i^2) \right]$$

[Kapustin, Willett & Yaakov '09]

- The reduction is **exact**
- All information is encoded in this matrix integral
- Still **non-trivial** to extract the **large  $N$**  result from this integral



# Fermi-Gas Approach

- **Crucial fact:** The ABJM partition function can be regarded as the partition function of an **ideal Fermi-gas**  
[Marino & Putrov '11]

Generating function

$$\Xi(\mu, k) = 1 + \sum_{N=1}^{\infty} Z_{\text{ABJM}}(N, k) e^{N\mu}$$

$$= \prod_{n=0}^{\infty} (1 + e^{\mu - E_n})$$

Grand partition function of ideal Fermi-gas



- The Hamiltonian is quite unconventional

$$e^{-\hat{H}} = \frac{1}{(2 \cosh \frac{\hat{x}}{2})^{1/2}} \frac{1}{2 \cosh \frac{\hat{p}}{2}} \frac{1}{(2 \cosh \frac{\hat{x}}{2})^{1/2}}$$

- Eigenvalue problem: **Fredholm integral equation**
- **Important:** The Chern-Simons level  $k$  plays the role of the Planck constant!

$$[\hat{x}, \hat{p}] = i\hbar, \quad \hbar = 2\pi k$$

(Semi-classical limit)  $\equiv$  (Strong coupling limit)

$$\hbar \rightarrow 0$$

$$g_s = \frac{2\pi}{k} \rightarrow \infty$$



- One can develop the semi-classical analysis

[Marino & Putrov '11]

$$J^{\text{WKB}}(\mu, k) = \frac{1}{k} \sum_{n=0}^{\infty} k^{2n} J_n(\mu)$$

Large  $\mu \leftrightarrow$  Large  $N$



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Large  $\mu \leftrightarrow$  Large  $N$

$$= \frac{C}{3} \mu^3 + B\mu + A + \sum_{\ell=1}^{\infty} (a_{\ell}(k) \mu^2 + b_{\ell}(k) \mu + c_{\ell}(k)) e^{-2\ell\mu}$$

$N^{3/2}$ -behavior

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What are these corrections?



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$N^{3/2}$ -behavior

What are these corrections?

$$\mathcal{O}(e^{-2\mu_*}) \sim \mathcal{O}(e^{-\pi\sqrt{2kN}}) \sim \mathcal{O}(e^{-2\pi^2\sqrt{2\lambda}/g_s})$$

Non-perturbative corrections in  $g_s$

Membrane instantons!?



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Non-perturbative corrections in  $g_s$

Membrane instantons!?

- Semi-classical analysis provides non-perturbative corrections in  $g_s$



# Remarkable Connection

- In principle, one can compute the WKB expansions of the membrane instanton corrections order by order
- There is a remarkable connection with **topological string**

$$\left(2 \cosh \frac{\hat{x}}{2}\right) \left(2 \cosh \frac{\hat{p}}{2}\right) |\psi\rangle = e^E |\psi\rangle$$

**↕ Canonical transform**

$$(e^{\hat{u}} + z_1 e^{-\hat{u}} + e^{\hat{v}} + z_2 e^{-\hat{v}} - 1) |\phi\rangle = 0$$

**Quantization** of the mirror curve of local  $\mathbb{P}^1 \times \mathbb{P}^1$ !

[Aganagic, Cheng, Dijkgraaf, Krefl & Vafa '11]



- The quantized mirror curve describes the **refined** topological string in **Nekrasov-Shatashvili limit!**

[Aganagic et al. '11]

$$(\epsilon_1, \epsilon_2) \rightarrow (\hbar, 0)$$

cf. Unrefined slice:  $\epsilon_1 = -\epsilon_2$

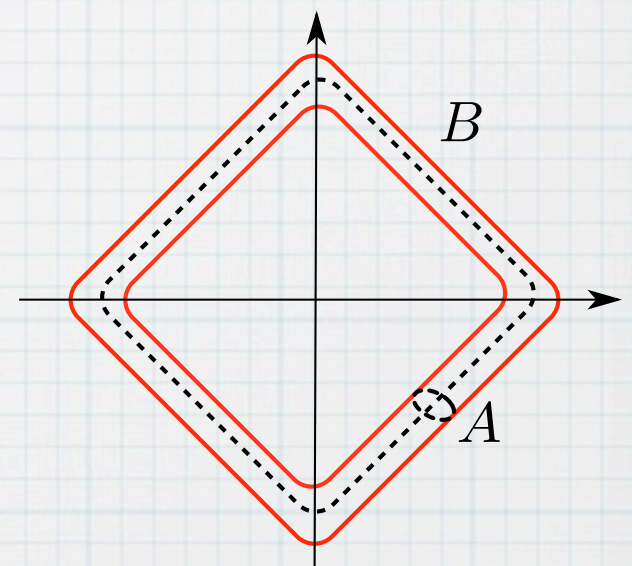
- The quantum parameter just corresponds to the Chern-Simons coupling  $k$

[YH, Marino, Moriyama & Okuyama '13]

$a_\ell(k)$  = (Quantum corrected A-period)

$b_\ell(k)$  = (Quantum corrected B-period)

$c_\ell(k)$  = (Combination of  $a$  and  $b$ )



$$T(p) + U(x) = E$$

Figure from  
[Kallen & Marino '13]

**Membrane instantons are computed as quantum periods!**



# Non-Perturbative Corrections

- The membrane instanton corrections have **poles...**

$$b_1(k) = \frac{2}{\pi} \cos \frac{\pi k}{2} \cot \frac{\pi k}{2} \leftarrow \text{diverges at } k = 2, 4, \dots$$

- This divergence is cured by **non-perturbative corrections in the Planck constant!**

[YH, Moriyama & Okuyama '12]

- Surprisingly, this correction can be computed by **unrefined** topological string free energy ( $\epsilon_1 = -\epsilon_2$ )

$$J^{\text{np}}(\mu, k) = \sum_{g,n,d} n_g^d \frac{(-1)^{dn}}{n} \left( 2 \sin \frac{2\pi n}{k} \right)^{2g-2} e^{-\frac{4dn\mu}{k}}$$

**has poles!**

**Gopakumar-Vafa invariants** for local  $\mathbb{P}^1 \times \mathbb{P}^1$



# From Large $N$ to Finite $N$

- Combining all corrections, we obtain the **complete large  $\mu$  expansion** for any  $k$

$$J(\mu, 1) = \frac{2}{3\pi^2}\mu^3 + \frac{3}{8}\mu + \frac{\log 2}{4} - \frac{\zeta(3)}{8\pi^2} + \frac{16\mu^2 + 4\mu + 1}{4\pi^2}e^{-4\mu} + \mathcal{O}(e^{-8\mu})$$

↙ Worldsheet + Membrane

$$Z_{\text{FG}}(N, k) = \int_{\mathcal{C}} \frac{d\mu}{2\pi i} e^{J(\mu, k) - N\mu} \qquad Z(N = 1, k = 1) = \frac{1}{4}$$

Order	$Z_{\text{FG}}(N = 1, k = 1)$
0	0.2499987
$e^{-4\mu}$	0.249999999999999993
$e^{-8\mu}$	0.24999999999999999999999999999994
$\vdots$	$\vdots$
$e^{-24\mu}$	96-digit precision!

The **large  $N$**  expansion reproduces the **finite  $N$**  result!



## 2. Quantum Spectral Problem and Quantization Condition

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[Grassi, YH & Marino, arXiv:1410.3382, 1410.7658]



# Quantum Spectral Problem

- The eigenvalue problem of the Fermi-gas is written as a **Fredholm-type integral equation**

$$e^{-\hat{H}} |\psi_n\rangle = e^{-E_n} |\psi_n\rangle$$

↓

$$\int_{-\infty}^{\infty} dx' \rho(x, x') \psi_n(x') = e^{-E_n} \psi_n(x)$$

$$\rho(x, x') = \frac{1}{2\pi k} \frac{1}{(2 \cosh \frac{x}{2})^{1/2}} \frac{1}{2 \cosh \frac{x-x'}{2k}} \frac{1}{(2 \cosh \frac{x'}{2})^{1/2}}$$

**Recall:**  $k$  is the Planck constant




- **How to solve this eigenvalue problem?**
- **We already know the solution!**



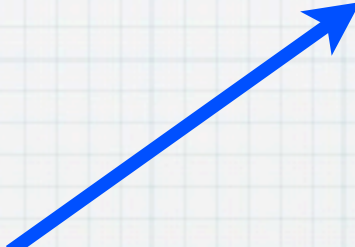
- How to solve this eigenvalue problem?
- We already know the solution!

We have constructed this function  
with the help of the topological string



$$\Xi(\mu, k) = \prod_{n=0}^{\infty} (1 + e^{\mu - E_n})$$

The energy spectrum can be read off as zeros



$$\mu = E_n + \pi i (+2\pi i m)$$



# Quantization Condition

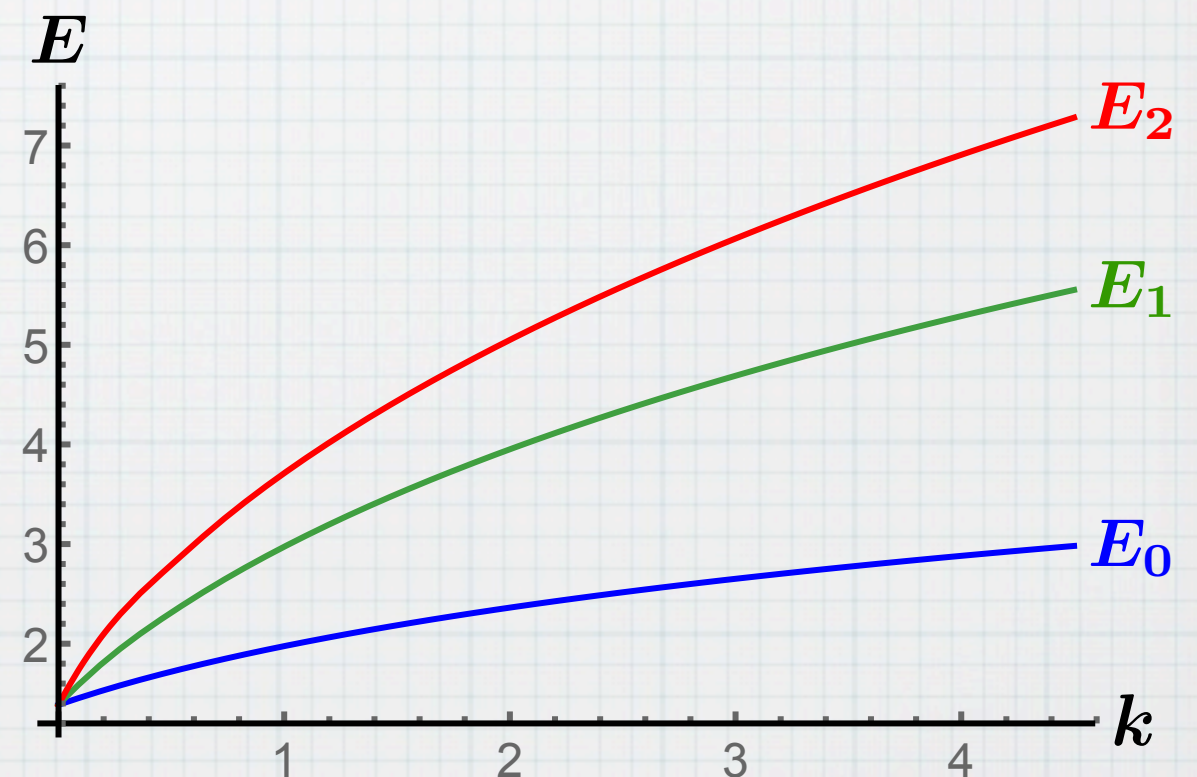
- The vanishing condition for  $\Xi$  leads to an **exact quantization condition**  
[Grassi, YH & Marino, '14]  
Special cases: [Kallen & Marino '13]

Captured by semi-classical analysis

$$\Omega(E_n, k) = \Omega^{\text{WKB}}(E_n, k) + \Omega^{\text{np}}(E_n, k) = n + \frac{1}{2}$$

Non-perturbative in  $k$

- The exact quantization condition is valid for **any finite  $k$**





# Test

## Spectrum at $k = 3$

Order	$E_0$	$E_1$
$e^{-4E/3}$	<u>2.65297702084083921</u>	<u>4.68940459079460092512108986442</u>
$e^{-12E/3}$	<u>2.65156164019289190</u>	<u>4.68940134450544960666103687122</u>
$e^{-24E/3}$	<u>2.65156833993530136</u>	<u>4.68940134457031678330042507336</u>
$e^{-32E/3}$	<u>2.65156833716940289</u>	<u>4.68940134457031677561757482976</u>
$e^{-40E/3}$	<u>2.65156833716875544</u>	<u>4.68940134457031677561753154101</u>
$e^{-52E/3}$	<u>2.65156833716885761</u>	<u>4.68940134457031677561753154681</u>
Numerical value	2.65156833716885755	4.68940134457031677561753154681

## Spectrum at $k = 5$

Order	$E_0$	$E_1$
$e^{-4E/5}$	<u>3.0475013693</u>	<u>5.79353763401508120749977</u>
$e^{-16E/5}$	<u>3.0724584475</u>	<u>5.79369469126135544218070</u>
$e^{-32E/5}$	<u>3.0724359155</u>	<u>5.79369469107338173784939</u>
$e^{-48E/5}$	<u>3.0724358357</u>	<u>5.79369469107338158412549</u>
Numerical value	3.0724358360	5.79369469107338158412559

**The topological string solves the spectral problem!**



# Summary

Refined Topological String  
on Local  $\mathbb{P}^1 \times \mathbb{P}^1$

$$\epsilon_1 = -\epsilon_2 = \frac{4\pi}{k}$$

$$\epsilon_1 = 2\pi k, \epsilon_2 \rightarrow 0$$

Worldsheet Instantons

Membrane Instantons

Large  $\mu$  Expansion of  $J$

Large  $N$  Expansion of  $Z$

Quantization Condition



# Other Topics around Fermi-Gas

- Weak coupling (genus) expansion [Drukker, Marino & Putrov '10]  
(Borel resum)  $\neq$  (Exact result) [Grassi, Marino & Zakany '14]
- More general circular quiver CS  
[Moriyama & Nosaka '14; YH, Honda & Okuyama '15]
- Spectral theory and topological strings
  - ▶ Exact spectral determinant and QC [Grassi, YH & Marino '14]
  - ▶ Beautiful structure in QC [Wang, Zhang & Huang '14]
- 3d mirror symmetry [Assel, Drukker & Felix '15]



**Thank you!**

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# Appendix

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# Saddle Point Approx.

Saddle point equation

$$J'(\mu_*) - N = 0 \rightarrow \mu_* \approx \pi \sqrt{\frac{kN}{2}}$$

Free energy at large  $N$

$$F(N) \approx -J(\mu_*) + \mu_* N \approx \frac{\pi \sqrt{2k}}{3} N^{3/2}$$

Exponentially suppressed corrections

$$\mathcal{O}(e^{-2\mu_*}) \sim \mathcal{O}(e^{-\pi \sqrt{2kN}}) \sim \mathcal{O}(e^{-2\pi^2 \sqrt{2\lambda}/g_s})$$

$$\mathcal{O}(e^{-\frac{4\mu_*}{k}}) \sim \mathcal{O}(e^{-2\pi \sqrt{2N/k}}) \sim \mathcal{O}(e^{-2\pi \sqrt{2\lambda}})$$