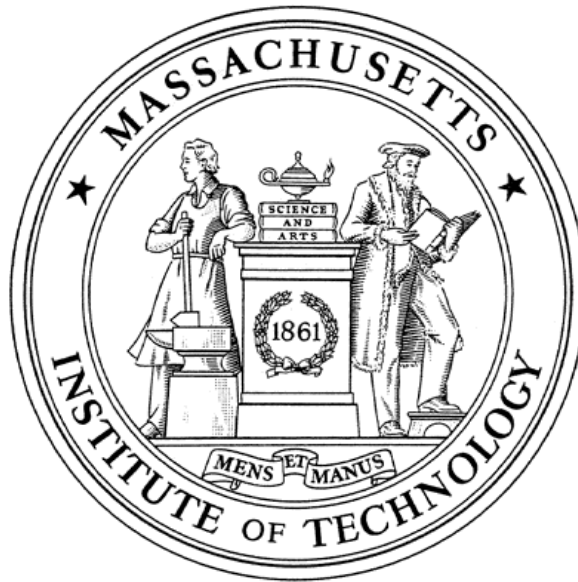


Effective Field Theory of Dissipative Fluids

Hong Liu



Paolo Glorioso



arXiv: 1511.03646
and to appear



Michael Crossley

Conserved quantities and hydrodynamics

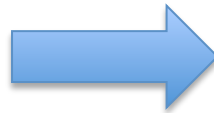
Consider **long** wavelength disturbances of a system in **thermal equilibrium**:

non-conserved quantities: relax locally, $\tau_{\text{relax}} \sim \tau_{\text{mfp}}$

conserved quantities: **cannot** relax locally, only via **transports**

$$\lambda \rightarrow \infty, \quad \Rightarrow \quad \tau_{\text{relax}} \rightarrow \infty$$

Conserved quantities



Gapless and
long-lived
modes

(only ones in
thermal
equilibrium)

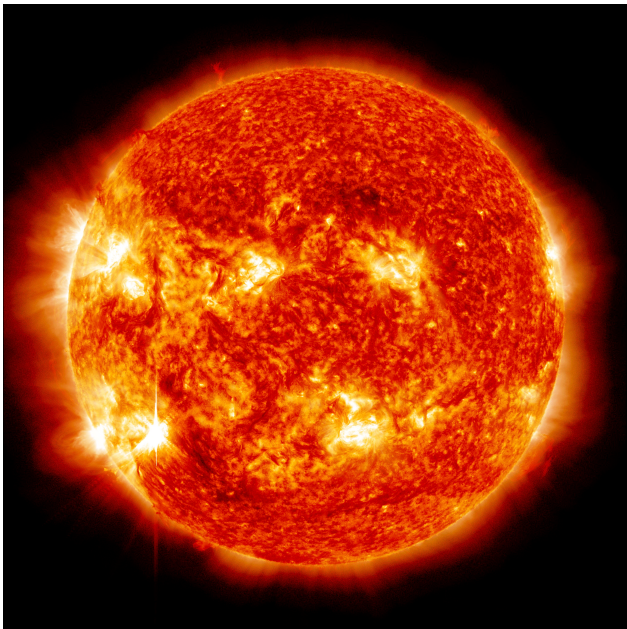
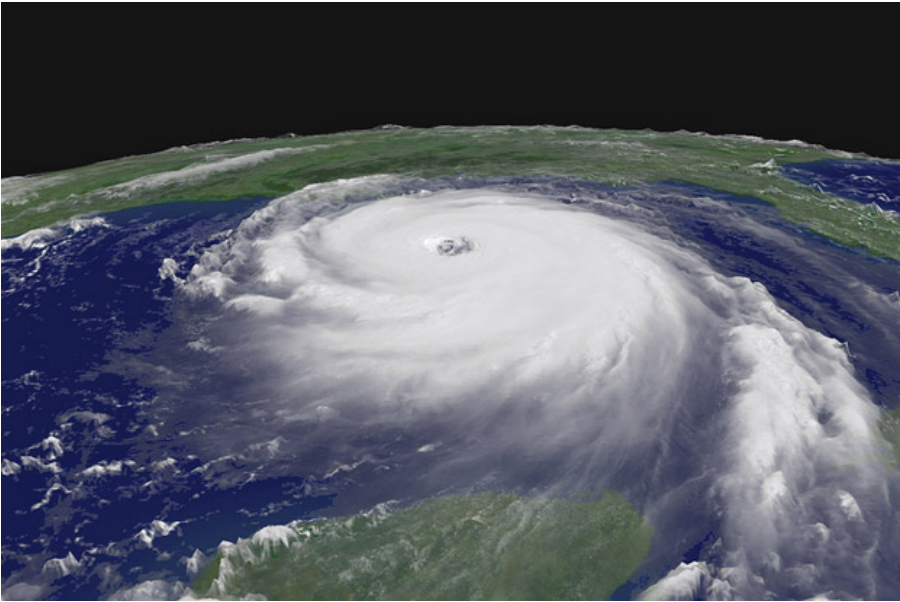
There should exist a **universal low energy effective theory**.

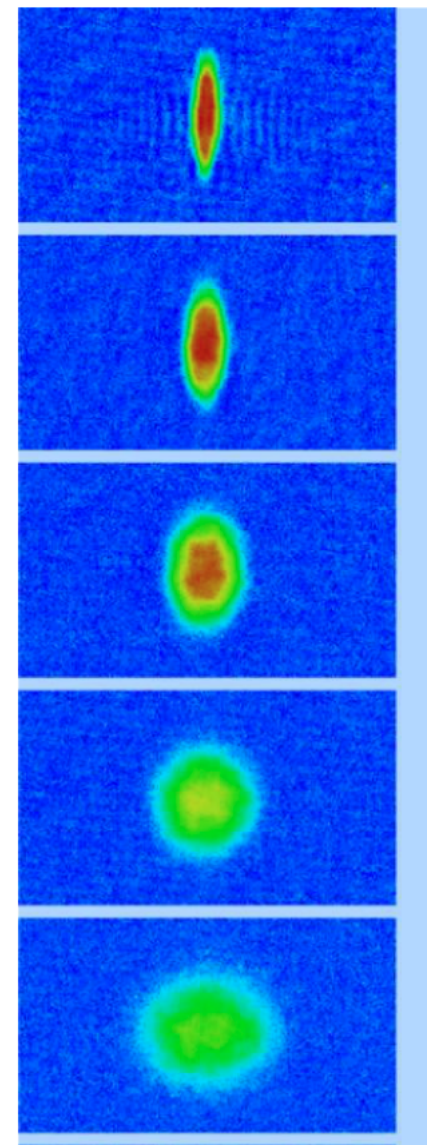
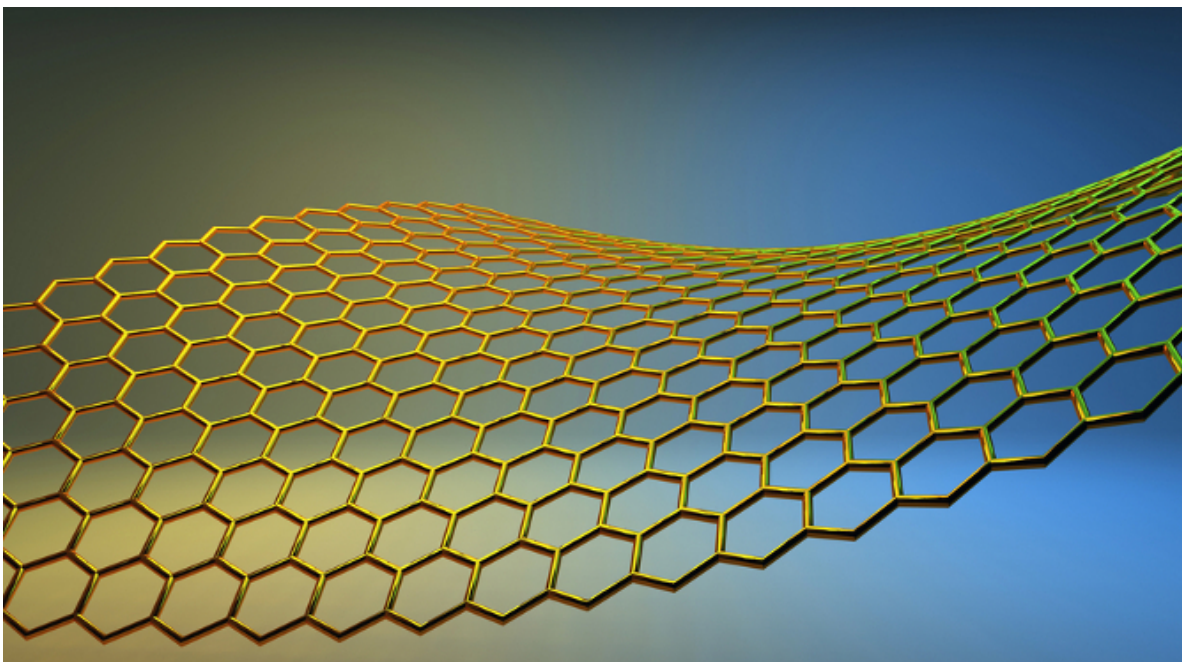
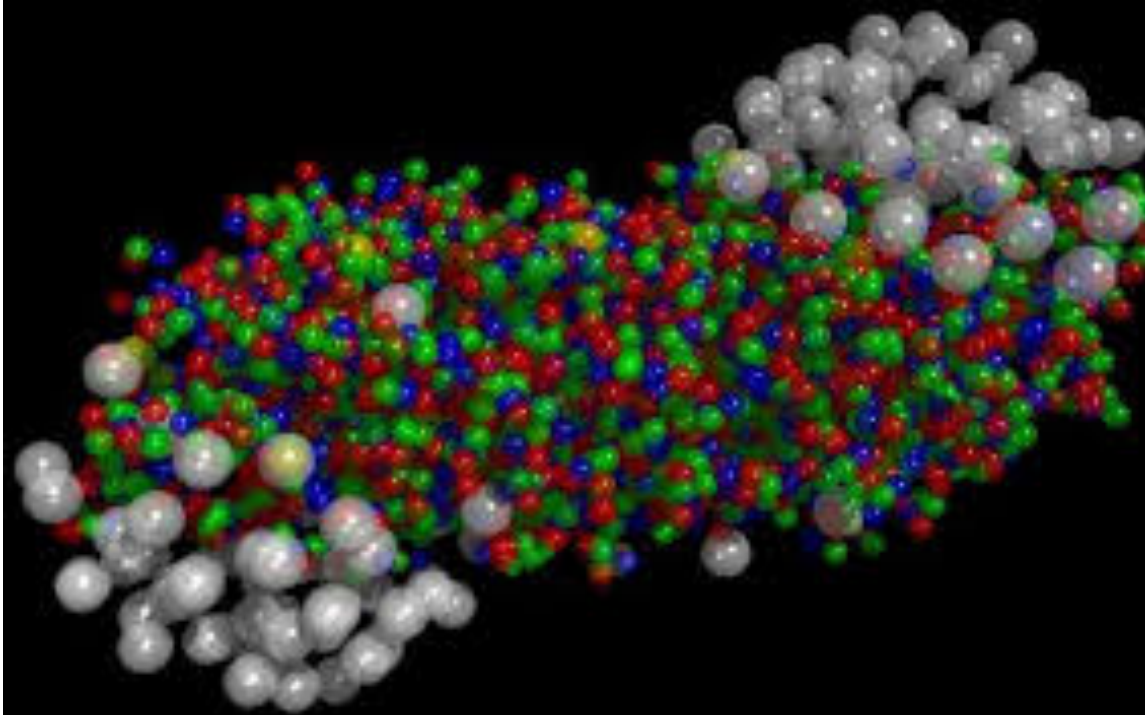
Phenomenological description: **Hydrodynamics**

$$\partial_\mu \langle T^{\mu\nu} \rangle = 0, \quad \partial_\mu \langle J^\mu \rangle = 0$$

$$\beta(t, \vec{x}), \quad u^\mu(t, \vec{x}), \quad \mu(t, \vec{x})$$

slowly varying functions
of spacetime





O'Hara et al (2002)

Despite the long and glorious history of hydrodynamics

It does **not** capture **fluctuations**, which are **always** present, and are important in many physical contexts:

Transports (**long time tail**), dynamical aspects of phase transitions, non-equilibrium steady states, turbulence, finite size systems

.....

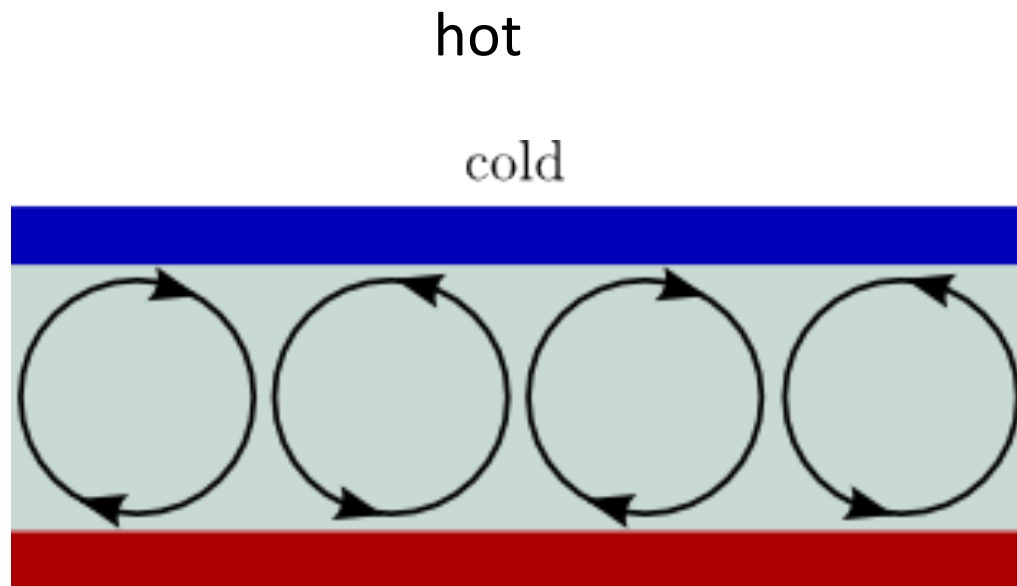
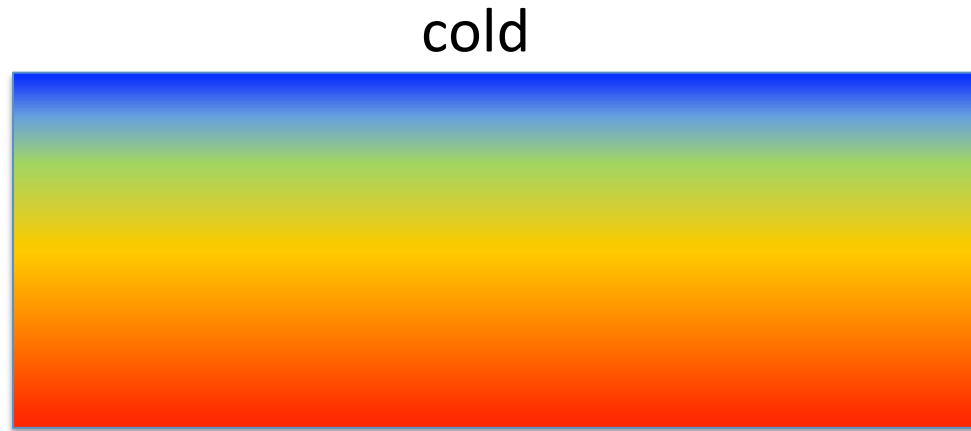
Phenomenological level: **stochastic** hydro (Landau, Lifshitz)

$$\partial_\mu \langle T^{\mu\nu} \rangle = \xi^\nu, \quad \partial_\mu \langle J^\mu \rangle = \zeta$$

Good for **near-equilibrium** disturbances (linearized)

But **not adequate for far-from-equilibrium** situations

Rayleigh-Benard problem



Hydrodynamic
fluctuations

Constraints

Current formulation of hydrodynamics is **awkward**.

Constitutive relations : **not enough** to just write down the most general derivative expansion consistent with symmetries.

Phenomenological constraints: **solutions** should satisfy:

1. Entropy condition $\partial_\mu S^\mu \geq 0$

2. Onsager relations: linear response matrix must be symmetric

awkward: use solutions to constrain equations of motion

Clearly something more fundamental is missing

Microscopic origin?

Are these complete?

Will address these issues by **developing hydrodynamics as a low energy effective field theory** of a general many-body system at finite temperature



Action principle for **full fluctuating hydrodynamics** applicable to far from equilibrium situations

Closed time path

Standard lore: **Dissipative systems** don't have an action formulation

This issue is naturally resolved by **quantum mechanics**.

Interested in describing **real-time dynamics around a state**, not transition amplitude

$$\langle f | \cdots | i \rangle \quad \begin{array}{c} \xrightarrow{\hspace{10cm}} \\ t = -\infty \hspace{10cm} t = +\infty \end{array}$$

Closed time path (CTP) or Schwinger-Keldysh contour

Requires **doubling** the number of d.o.f.

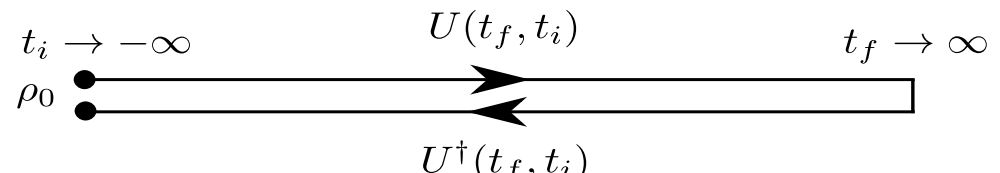
Closed time path

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(b)

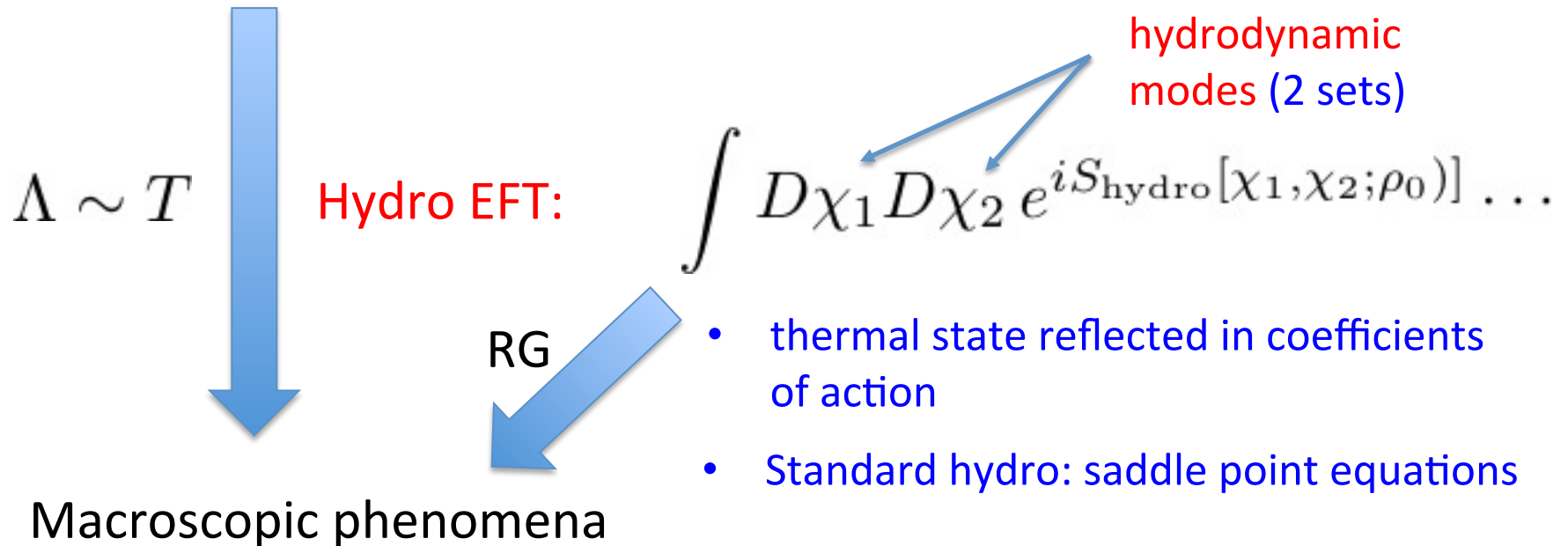
$$\text{Tr}(\rho_0 \cdots)$$


Closed time path (CTP) or Schwinger-Keldysh contour

Requires **doubling** the number of d.o.f.

Effective field theory for fluids

Microscopic description (in **thermal density matrix**)



1. What are χ ? $\beta(t, \vec{x}), u^\mu(t, \vec{x}), \mu(t, \vec{x})$ do not work

2. What are the symmetries of S_{hydro} ?

3. Integration measure?

Searching for an action principle for dissipative hydrodynamics has been a long standing problem, dating back at least to the ideal fluid action of [G. Herglotz](#) in 1911.

Relativistic: Taub, Salmon, Carter,

Reviews: Jackiw, Nair, Pi and Polychronakos; Andersson and Comer

The last decade has seen a renewed interest:

Dubovsky, Gregoire, Nicolis and Rattazzi [hep-th/0512260](#)

Dubovsky, Hui, Nicolis and Son, [arXiv:1107.0731](#)

Including dissipation: Grozdanov and Polonyi; Harder, Kovtun, and Ritz; Kovtun, Moore and Romatschke; Endlich, Nicolis, Porto and J. Wang,

Holographic: Nickel, Son; de Boer, Heller, Pinzani-Fokeeva; Crossley, Glorioso., HL, Y. Wang.

An alternative approach: Haehl, Loganayagam and Rangamani, [arXiv:1510.02494](#), [1511.07809](#)

Here for conceptual simplicity, I will describe the story for neutral normal fluids.

Description for full charged fluids is given in:

Crossley, Glorioso, and HL, arXiv: 1511.03646

Can also be generalized to superfluids (S. Rajagopal and HL, to appear)

Dynamical variables

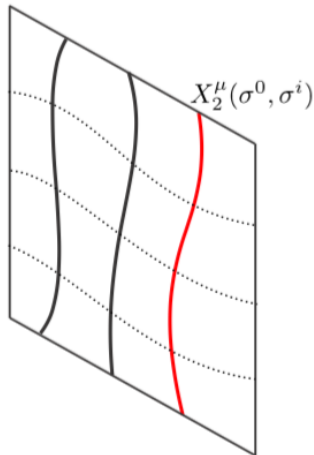
Recall Lagrange description of hydrodynamics:

σ^i : label fluid elements $x^i(\sigma^i, t)$: describe fluid motion

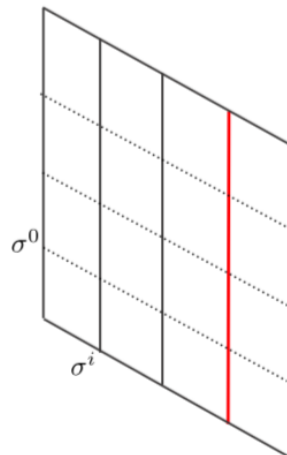
An "integrating-in" procedure to identify gapless and long-lived d.o.f. associated with a conserved stress tensor:

$X_1^\mu(\sigma^i, \sigma^0)$, $X_2^\mu(\sigma^i, \sigma^0)$ σ^0 : internal time

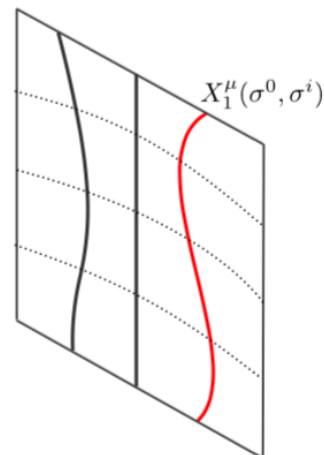
Physical spacetime₂



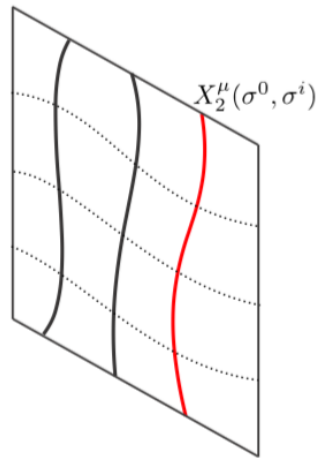
Fluid spacetime



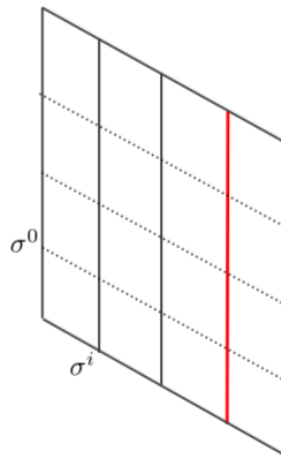
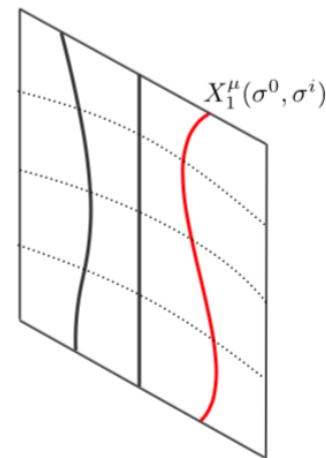
Physical spacetime₁



Also natural from
Holography:
Nickel, Son,
arXiv:1009.3094

Physical spacetime₂

Fluid spacetime

Physical spacetime₁

Standard hydro variables (which are now derived quantities)

$$u^\mu = \frac{1}{b} \frac{\partial X^\mu}{\partial \sigma^0}, \quad X^\mu = \frac{1}{2} (X_1^\mu + X_2^\mu) \quad e^{-\tau} = \frac{T}{T_0},$$

Noise: $X_a^\mu = X_1^\mu - X_2^\mu$

A significant **challenge**: ensure the eoms from the action of X can be solely expressed in terms of these **velocity**.
(e.g. **solids** v.s. **fluids**)

Symmetries

σ^i label individual fluid elements, σ^0 internal time

Require the action to be invariant under:

$$\begin{aligned}\sigma^i &\rightarrow \sigma'^i(\sigma^i), & \sigma^0 &\rightarrow \sigma^0 \\ \sigma^0 &\rightarrow \sigma'^0 = f(\sigma^0, \sigma^i), & \sigma^i &\rightarrow \sigma^i\end{aligned}$$

define what is a fluid!

It turns out these symmetries indeed **do magic** for you:

at the level of equations of motion, they ensure all dependence on dynamical variables can be expressed in u^μ and temperature.



Recover standard formulation of hydrodynamics

(modulo phenomenological constraints)

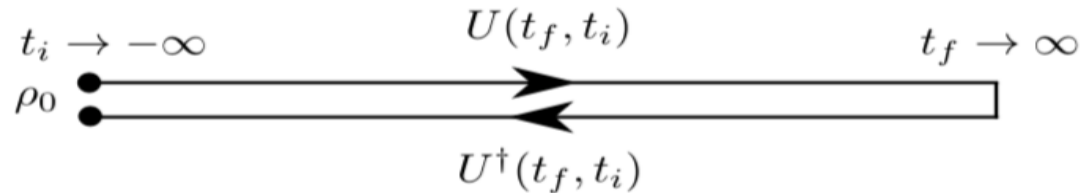
Full non-linear fluid fluctuating dynamics encoded in non-trivial differential geometry:

$$D_0, D_i, R_{ij}^{(1)}, R_{ij}^{(2)}, t_{ij}$$

This would be the full the story in a usual situation.

Consistency conditions and symmetries

For a system defined with CTP:



constraints on correlation functions,



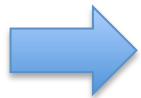
Require **further symmetries** satisfied by **the off-shell action** so that these constraint conditions are satisfied after doing the path integral.

Reflectivity and KMS conditions

$$W[g_1, g_2] = \begin{array}{c} t_i \rightarrow -\infty \\ \rho_0 \bullet \\ \bullet \end{array} \xrightarrow[U^\dagger(t_f, t_i)]{U(t_f, t_i)} \begin{array}{c} \textcolor{red}{g}_1 \\ \textcolor{red}{g}_2 \end{array} \xrightarrow{t_f \rightarrow \infty}$$

- Reflectivity condition: $W^*[g_1, g_2] = W[g_2, g_1]$

Can be satisfied by imposing a \mathbb{Z}_2 reflection symmetry on both dynamical and background fields



Complex action: non-negativity of imaginary part

- KMS conditions plus CPT imply a \mathbb{Z}_2 symmetry on W:

$$W[g_1(x), g_2(x)] = W[g_1(-x), g_2(-t - i\beta_0, -\vec{x})]$$

characterize thermal state β_0 : inverse temperature at spatial infinities

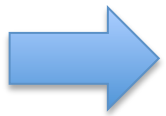
Can be satisfied by imposing a \mathbb{Z}_2 symmetry on the action: local KMS conditions



- All the constraints from entropy current condition and linear Onsager relations
- New constraints on equations of motion from nonlinear generalizations of Onsager relations.
- Non-equilibrium fluctuation-dissipation relations

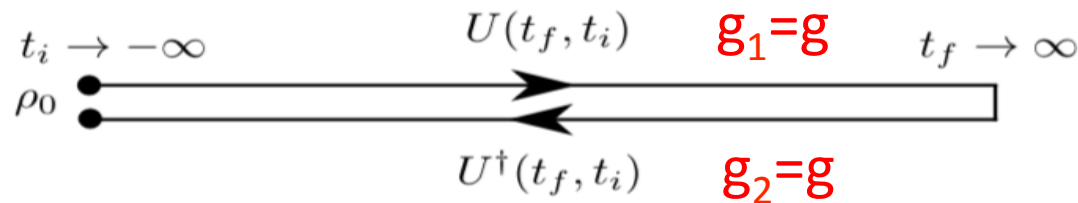
Crossley, Glorioso, HL
to appear

Local Z_2 KMS condition + equations of motion + non-negativity of imaginary part of the action



$$\partial_\mu S^\mu \geq 0 \quad S^\mu : \text{conserved and entropy current at ideal level}$$

Unitary condition



$$W[g, g] = 0 \quad \text{Tr}(U \rho_0 U^\dagger) = 1$$

This may be considered as a **condition on path integral measure**.

Resolution: Introduce a **fermionic partner** (“ghost”) for each dynamical variable and require the action to have a “**BRST**” type **symmetry** δ :

$$\delta^2 = 0$$

See also Haehl,
Loganayagam and
Rangamani arXiv:
1510.02494



To describe hydrodynamical fluctuations properly anti-commuting objects are needed !

(BRST symmetry here is a **global symmetry**)

KMS condition and Supersymmetry

Strong indications that BRST + local KMS condition



emergent fermionic symmetry: $\bar{\delta}$

Classical limit: $\hbar \rightarrow 0$

$$\delta^2 = 0, \quad \bar{\delta}^2 = 0, \quad [\delta, \bar{\delta}] = \bar{\epsilon} \epsilon i \beta_0 \partial_t$$

See also Haehl, Loganayagam and Rangamani, arXiv: 1510.02494, 1511.07809

Standard supersymmetry in time direction.

Ping Gao and HL, in progress

Quantum level: indications of a “quantum-deformed” SUSY algebra

$$\delta^2 = 0, \quad \bar{\delta}^2 = 0, \quad [\delta, \bar{\delta}] = \bar{\epsilon} \epsilon 2 \tanh \frac{i \beta_0 \hbar \partial_t}{2}$$

Summary

1. Hydrodynamics with **classical statistical fluctuations** is described by a **supersymmetric quantum** field theory

$$\hbar_{\text{eff}} \propto \frac{1}{s} \quad s : \text{entropy density}$$

- Recovers the standard hydrodynamics, new constraints, non-equilibrium fluctuation-dissipation relations
- Full non-linear fluid fluctuating dynamics encoded in non-trivial differential geometry.

2. Hydrodynamics with **quantum fluctuations also** incorporated is described by a “quantum-deformed” (supersymmetric) quantum field theory.

Bosonic action for full charged fluids

A double expansion in terms of noises and derivatives:

$$I = I^{(1)} + I^{(2)} + \dots$$

$$I^{(1)} = \int d^d x \left[T_{\text{hydro}}^{\mu\nu} \partial_\mu X_{a\nu} + J_{\text{hydro}}^\mu \partial_\mu \varphi_a \right],$$

$$\begin{aligned} I_0^{(2)} = & i \int d^d x \left[f_{25} \eta^{\mu\rho} \eta^{\nu\sigma} (2\partial_{<\mu} X_{a\nu>}) (2\partial_{<\rho} X_{a\sigma>}) \right. \\ & + f_{26} \Delta^{\mu\rho} (2u^\nu \partial_{(\mu} X_{a\nu)}) (2u^\sigma \partial_{\rho)} X_{a\sigma}) + f_{28} \Delta^{\mu\nu} w_\mu w_\nu + f_{27} \Delta^{\mu\rho} (2u^\nu \partial_{(\mu} X_{a\nu)}) w_{\rho)} \\ & + f_{211} (u^\mu \partial X_{a\mu})^2 + f_{222} (\Delta^{\mu\nu} \partial_\mu X_{a\nu})^2 + f_{233} (e^\tau \partial \varphi_a)^2 \\ & \left. - f_{212} \Delta^{\mu\nu} \partial_\mu X_{a\nu} u^\rho \partial X_{a\rho} + f_{223} (e^\tau \partial \varphi_a) \Delta^{\mu\nu} \partial_\mu X_{a\nu} - f_{213} u^\mu \partial X_{a\mu} (e^\tau \partial \varphi_a) \right]. \end{aligned}$$

$$w_\mu = e^\tau \partial_\mu \varphi_a + 2\hat{\mu} u^\rho \partial_{(\mu} X_{a\rho)}$$

All coefficients are functions of $\tau, \hat{\mu} = \mu e^\tau$

Future directions

Formalism:

Non-relativistic, (to appear with Glorioso)

superfluids, (to appear with S. Rajagopal)

Deeper understanding of KMS, unitarity and supersymmetry (in progress with Ping Gao)

“quantum-deformed” Supersymmetry

.....

Applications:

Transports (longtime tails)

Non-equilibrium steady states, dynamical flows of QGP

Dynamical aspects of phase transitions

Novel fixed points of hydro EFT, such as KPZ scaling, turbulence

Thank you!