

# Conformal Manifolds, Moduli Spaces, and Chiral Algebras

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Based on:

M.B. and Takahiro Nishinaka, [1603.00887] + ...

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## The Setting... and Some Open Questions

- In this talk, we will focus on 4D  $\mathcal{N} = 2$  SCFTs (i.e., 8 Poincaré supersymmetries). We will use relations to 2D chiral algebras as well.
- Will focus primarily on theories with exactly marginal deformations (i.e., conformal manifolds), but will present some results about isolated theories too.
- In the process, we will touch upon some of the open questions in this class of QFTs

## The Setting... and Some Open Questions

- The open questions:

**(1)**  $\mathcal{N} = 2$  conformal manifold  $\Rightarrow \exists$  cusps with free gauge fields?

**(1)'**  $\mathcal{N} = 4$  QFT  $\Rightarrow$  SYM?

**(2)** New relations between conf. manifolds and moduli spaces?  
Formulate  $\beta = 0$  algebraically/geometrically in “matter” sector?

**(3)** Relations between chiral rings and chiral algebras?

**Upshot:** Will get new bounds relating global properties of conformal manifolds and “sizes” of chiral algebras. Will touch upon above and more.

## $\mathcal{N} = 2$ Conformal Manifolds

- We have a  $U(1)_R \times SU(2)_R$   $R$  symmetry
- $\mathcal{N} = 2$  chiral primaries,  $\mathcal{O} \in \mathcal{E}_{-r}$ , are charged under  $U(1)_R$  but are neutral under  $SU(2)_R$

$$[\tilde{Q}_{\dot{\alpha}}^i, \mathcal{O}] = 0, \quad \Delta(\mathcal{O}) = -r. \quad (1)$$

- If  $\Delta(\mathcal{O}) = 2$ , then we have an exactly marginal deformation

$$\delta S = \int d^4x d^4\theta \delta\lambda^i \mathcal{O}_i + \text{h.c.}, \quad g_{i\bar{j}}(\lambda, \bar{\lambda}) \sim x^4 \langle \mathcal{O}(x)_i \bar{\mathcal{O}}_{\bar{j}}(0) \rangle|_{\lambda, \bar{\lambda}} \quad (2)$$

- $g_{i\bar{j}} > 0$ , Kähler-Hodge [Gomis, Hsin, Komargodski, Schwimmer, Seiberg, Theisen]

## $\mathcal{N} = 2$ Conformal Manifolds (cont...)

- All known examples have  $\delta\lambda = \delta\tau$  where  $\tau = \frac{\theta}{2\pi} + i\frac{4\pi}{g^2}$ , with  $\mathcal{O} \sim \text{Tr}\Phi^2$ .

- **Example:**  $SU(2)$   $\mathcal{N} = 2$  SQCD with  $N_f = 4$ .

$\Phi^{ij}$ ,  $Q_a^i$  ( $i, j = 1, 2$  and  $a = 1, \dots, 8$ )

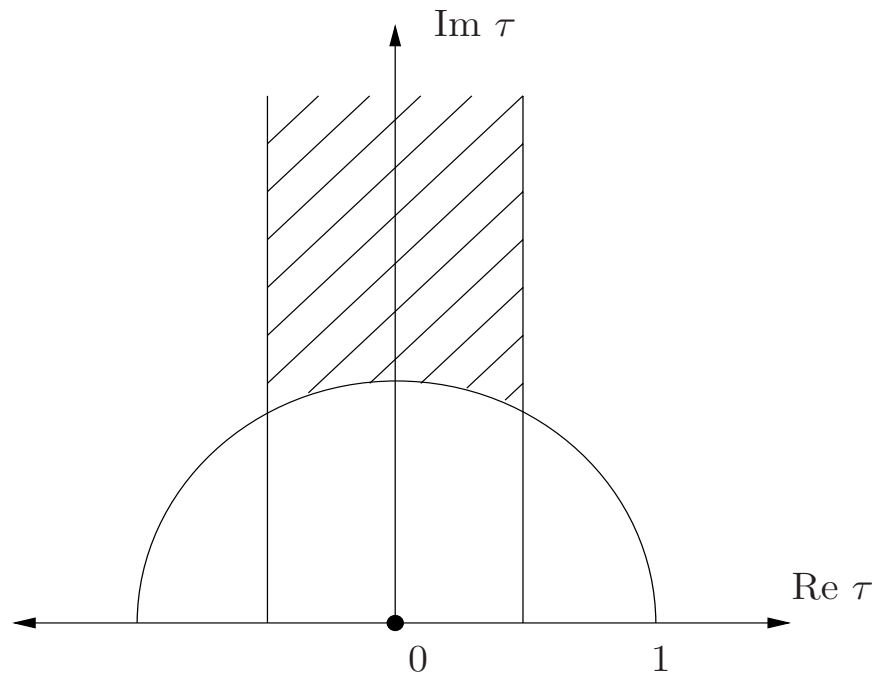
$$\delta W \sim g\Phi^{ij}\mu_{ij} \ , \quad \mu^{ij} = \delta^{ab}Q_a^iQ_b^j \ . \quad (3)$$

More invariantly

$$\delta S \sim \delta\tau \int d^4\theta \text{Tr}\Phi^2 + \text{h.c.} \ , \quad (4)$$

- Conformal manifold explored by [Seiberg, Witten]... Many interesting features

## $\mathcal{N} = 2$ Conformal Manifolds (cont...)



## $\mathbb{Q}$ -cohomology and Chiral Algebras

- Other important operators are charged under  $SU(2)_R$ ... e.g.

$$J_{I,SO(8)}^{AB} = \epsilon_{ij} Q_a^{iA} (T^{ab})_I Q_b^{Bj} \in \widehat{B}_1, \quad J_{\alpha\dot{\alpha}}^{AB} \in \widehat{C}_{0(0,0)}, \quad \dots \quad (5)$$

- $SU(2)_R$  highest-weight states represent non-trivial elements of a certain  $\mathbb{Q}$ -cohomology (a.k.a. “Schur” operators) [Beem, Lemos, Peelears, Rastelli, van Rees], i.e.,

$$\{\mathbb{Q}, \mathcal{O}^{1\dots 1}(0)\} = 0, \quad \mathcal{O}^{1\dots 1}(0) \neq \{\mathbb{Q}, \mathcal{O}'^{1\dots 1}(0)\}, \quad \mathbb{Q} = S_1^- - \widetilde{Q}_{2\dot{2}}. \quad (6)$$

**Examples:**  $\mathcal{O}_I^{11} = \mu_{I,SO(8)} = \epsilon_{ij} Q_a^{iA} (T^{ab})_I Q_b^{Aj}$  and  $\mathcal{O}_{++}^{11} = J_{++}^{11}$ .

## $\mathbb{Q}$ -cohomology and Chiral Algebras (cont...)

- These operators **(i)** contain a lot of data complementary to the  $\mathcal{N} = 2$  chiral ring, **(ii)** contribute to a simpler but still interesting limit of the index (the “Schur” index)

$$\mathcal{I}(q; x_i) = \text{Tr}_{\mathcal{H}}(-1)^F e^{-\beta \Delta} q^{E-R} \prod_i (x_i)^{f_i} , \quad \Delta = \{ \tilde{\mathcal{Q}}_{2\dot{-}}, (\tilde{\mathcal{Q}}_{2\dot{-}})^\dagger \} , \quad (7)$$

and **(iii)** are mapped to elements of a 2D chiral algebra,  $\chi$ , sitting inside  $\mathcal{P} = \mathbb{R}^2 \subset \mathbb{R}^4$  [Beem, Lemos, Peelears, Rastelli, van Rees] (more precisely, the non-trivial cohomology classes are mapped to elements of  $\chi$ ).



## $\mathbb{Q}$ -cohomology and Chiral Algebras (cont...)

- The details of the map are somewhat technical, but many of the results are intuitive

$$\chi \left[ J_{++}^{11} \right] = -\frac{1}{2\pi^2} T , \quad \chi \left[ \mu^I \right] = \frac{1}{2\sqrt{2}\pi^2} J^I , \quad \chi \left[ \partial_{++} \right] = \partial_z \equiv \partial . \quad (8)$$

- Also

$$c_{2d} = -12c_{4d} , \quad k_{2d} = -\frac{1}{2}k_{4d} , \quad h = E - R , \quad (9)$$

- Importantly,  $Z(x, q) = \text{Tr} x^{M^\perp} q^{L_0}$  satisfies

$$Z(-1, q) = \mathcal{I}(q) . \quad (10)$$

## $\mathbb{Q}$ -cohomology and Chiral Algebras (cont...)

- Have more general non-trivial elements of  $\mathbb{Q}$ -cohomology contained in

$$\widehat{\mathcal{B}}_n, \quad \widehat{\mathcal{C}}_{R(j_1, j_2)}, \quad \mathcal{D}_{R(0, j_2)} \oplus \overline{\mathcal{D}}_{R(j_1, 0)} \quad (11)$$

- All these states are also mapped to states in  $\chi$ ... Complicated, so natural to first focus on generators...
- In the  $SU(2)$  SQCD example above,  $\chi = \widehat{SO(8)}_{-2}$  and the generators are just the 28 AKM currents,  $J_{I, SO(8)}$  [Beem, Lemos, Peelears, Rastelli, van Rees].
- **Question:** Are there some general bounds on the number of generators,  $|\chi|$ ?

## A Bound on Chiral Algebras From Conformal Manifolds

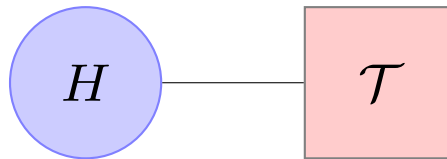
- In general no upper bounds:  $N \rightarrow \infty$   $SU(N)$   $N_f = 2N$  or  $T_N$ .
- In general no interesting lower bound for isolated theories:  
 $\chi((A_1, A_{2n})) = \text{Vir}_{c=-\frac{2n(5+6n)}{3+2n}}$  [Cordova, Shao] .
- **Claim:** If an interacting  $\mathcal{N} = 2$  SCFT,  $\mathcal{T}$ , has an exactly marginal deformation that corresponds to a gauge coupling, then

$$|\chi(\mathcal{T})| \geq 3 , \quad (12)$$

i.e., the corresponding chiral algebra has at least three generators.

## A Bound on Chiral Algebras From Conformal Manifolds (cont...)

- Arguing in favor of this assertion will turn out to touch on many of the open questions we mentioned earlier.
- We will consider the following general setup



where  $\mathcal{T}$  is an abstract isolated SCFT with a single stress tensor (we do not assume this is the only conserved spin-2 current),  $H \subset G_{\mathcal{T}}$  is a flavor symmetry subgroup we conformally gauge.

## A Bound on Chiral Algebras From Conformal Manifolds (cont...)

- We can easily generalize our arguments to arbitrary number of  $\mathcal{T}_i$ , but we will stick to a single  $\mathcal{T}$  for simplicity.
- We would like to study

$$\delta W = g \cdot \Phi \cdot \mu , \quad (13)$$

where  $\mu \in \mathcal{T}$ .

- Turns out to be sufficient to perturbatively (and non-perturbatively) keep track of operators built from  $\lambda_+^1$ ,  $\tilde{\lambda}_{2+}$ ,  $\mu$ , and  $J_{++}^{11}$ .

## A Bound on Chiral Algebras From Conformal Manifolds (cont...)

- **Subtlety:**  $|\chi_{g=0}| > |\chi_{g \neq 0}|$ . The  $\mathbb{Q}$ -cohomology elements we will need to study pair up via [Dolan, Osborn]

$$\hat{\mathcal{C}}_{2\hat{n}-2(0,0)} \oplus \mathcal{D}_{2\hat{n}-1(0,0)} \oplus \overline{\mathcal{D}}_{2\hat{n}-1(0,0)} \oplus \hat{\mathcal{B}}_{2\hat{n}} \quad (14)$$

- **Plan:** **(i)** Show that the isolated theory,  $\mathcal{T}$ , has a moduli (sub)-space parameterized by vevs of the  $H$ -holomorphic moment map. **(ii)** From this result, show that  $|\chi| < 3$  implies  $a_{4d} \geq c_{4d}$  along the conformal manifold of the gauged theory. **(iii)** From here, we use the asymptotic Cardy-like behavior of the index to argue that there is no essential singularity in the  $q \rightarrow 1$  limit **(iv)** We argue that this fact implies the existence of fermionic chiral algebra generators and hence  $|\chi| \geq 3$ .

## A Bound on Chiral Algebras From Conformal Manifolds (cont...)

- Consider the operators

$$\mathcal{O} = \mu^I \mu_I \in \hat{\mathcal{B}}_2 . \quad (15)$$

- We claim that the above operators satisfy

$$\mathcal{O}^n \neq 0, \quad \forall n , \quad (16)$$

in the Hall-Littlewood (HL) chiral ring of the isolated theory,  $\mathcal{T}$ .

- Suppose not:  $\exists \hat{n}$  such that  $\mathcal{O}^{\hat{n}}$  is in a long multiplet (can deal with  $\mathcal{O}^{\hat{n}} \equiv 0$  too). Must be of type

$$\hat{\mathcal{C}}_{2\hat{n}-2(0,0)} \oplus \mathcal{D}_{2\hat{n}-1(0,0)} \oplus \overline{\mathcal{D}}_{2\hat{n}-1(0,0)} \oplus \hat{\mathcal{B}}_{2\hat{n}} \quad (17)$$

denote would-be nontrivial  $\mathbb{Q}$ -cohomology elements as  $\mathcal{O}'_{++}$ ,  $\mathcal{O}''_{+}$ ,  $\mathcal{O}''_{+}$ .

## A Bound on Chiral Algebras From Conformal Manifolds (cont...)

- At small  $g \neq 0$ , theory still has a short stress tensor multiplet

$$J_{++}^{11} = (\lambda_+^1)^I (\tilde{\lambda}_{2+})_I - J_{1++}^{11} , \quad (18)$$

- Define now

$$\tilde{\mathcal{O}}_{++} = \kappa_\lambda (\lambda_+^1)^I (\tilde{\lambda}_{2+})_I + J_{1++}^{11} , \quad (19)$$

where  $\kappa_\lambda \neq 0$ ,  $-1$  is chosen s.t.  $\langle J_{++}^{11}(x) \tilde{\mathcal{O}}_{++}(0) \rangle = 0$  at  $g = 0$ .

- Clearly, this operator is in a long multiplet, since it pairs up as follows

$$\tilde{\mathcal{O}}_{++} \oplus \tilde{\mathcal{O}}_+ \oplus \tilde{\mathcal{O}}_{\dot{+}} \oplus (1 + \kappa_\lambda) \mathcal{O} , \quad (20)$$

where  $\tilde{\mathcal{O}}_+ = (1 + \kappa_\lambda) \mu^I (\lambda_+^1)_I$ ,  $\tilde{\mathcal{O}}_{\dot{+}} = (1 + \kappa_\lambda) \mu^I (\tilde{\lambda}_{2+})_I$ , and  $\mathcal{O} = \mu^I \mu_I$ .



## A Bound on Chiral Algebras From Conformal Manifolds (cont...)

- It follows that

$$\mathcal{O}^{\hat{n}-1}\tilde{\mathcal{O}}_{++} \oplus \mathcal{O}^{\hat{n}-1}\tilde{\mathcal{O}}_{+} \oplus \mathcal{O}^{\hat{n}-1}\tilde{\mathcal{O}}_{\dot{+}} \oplus (1 + \kappa_{\lambda})\mathcal{O}^{\hat{n}} , \quad (21)$$

- Therefore, we have that  $\mathcal{O}'_{++} - (1 + \kappa_{\lambda})^{-1}\mathcal{O}^{\hat{n}-1}\tilde{\mathcal{O}}_{++}$  is in a short multiplet for small  $g \neq 0$ . However, this is a contradiction since the anomalous dimension of  $\mathcal{O}^{\hat{n}-1}\tilde{\mathcal{O}}_{++}$  goes to zero (in the limit that  $g \rightarrow 0$ ).

- Therefore, we have that in HL ring of the isolated theory  $\mathcal{T}$

$$\mathcal{O}^n \neq 0 , \quad \forall n > 0 \quad \Rightarrow \quad (\mu_I)^n \neq 0 , \quad \forall I . \quad (22)$$

**Note:** This is a necessary algebraic condition on the “matter” sector for  $\beta = 0$ . Next we will formulate a geometrical one.

## A Bound on Chiral Algebras From Conformal Manifolds (cont...)

- $(\mu_I)^n \neq 0$  in the chiral ring  $\forall n \Rightarrow \exists$  a SUSY moduli space for  $\mathcal{T}$ ,  $\hat{\mathcal{M}}_0^{\mathcal{T}} \subset \mathcal{M}_{SU(2)_R}^{\mathcal{T}}$ , parameterized by  $\langle \mu^I \rangle$ .

- Expect for generic points  $\mathcal{M}_{SU(2)_R}^{\mathcal{T}}$  is free (goldstone bosons for broken  $SU(2)_R$ , ...). Moreover,  $U(1)_R$  is preserved and so

$$a_{4d, \mathcal{T}} - c_{4d, \mathcal{T}} \geq -\frac{1}{24} \dim \mathcal{M}_{SU(2)_R}^{\mathcal{T}} . \quad (23)$$

- Now, we can add gauge fields and show that either  $a_{4d} \geq c_{4d}$  or  $|\chi| \geq 3$ ... Otherwise, there would be non-trivial moduli space parameterized by  $\langle \hat{\mathcal{B}}_R \rangle$ ... Hyperkählerity  $\Rightarrow$  must have  $|\chi| \geq 3$  including the stress tensor.

## A Bound on Chiral Algebras From Conformal Manifolds (cont...)

- To argue that  $|\chi| \geq 3$  even for  $a_{4d} \geq c_{4d}$ , we will need to make an assumption that has been proven in many cases by [Di Pietro and Komargodski] (confirmed in infinitely many interacting  $\mathcal{N} = 2$  SCFTs M. B. and T. Nishinaka]; see also [Ardehali]).
- Roughly speaking, it turns out that if we take  $q = e^{-\beta}$

$$\lim_{\beta \rightarrow 0} \log \mathcal{I}_S(q) = -\frac{8\pi^2}{\beta}(a_{4d} - c_{4d}) + \dots . \quad (24)$$

## A Bound on Chiral Algebras From Conformal Manifolds (cont...)

- It is then very easy to rule out the case of Vir (as in the  $(A_1, A_{2n})$  theories).
- If  $\not\exists$  null vectors (besides trivial one), get

$$\mathcal{I} = \chi_{(1,1)} = \text{P.E.} \left( \frac{q^2}{1-q} \right), \quad (25)$$

where

$$\text{P.E.}(f(x_1, \dots, x_i)) = \exp \left( \sum_{n=1}^{\infty} n^{-1} f(x_1^n, \dots, x_i^n) \right) \quad (26)$$

This clearly has an essential singularity as  $q \rightarrow 1$  and so  $a_{4d} < c_{4d}$ .

- Therefore, need cases with non-trivial null vectors.

## A Bound on Chiral Algebras From Conformal Manifolds (cont...)

- Kac determinant with  $h = 0$  + modular properties of vacuum character + unitarity in 4D  $\Rightarrow$  null vector exists only if

$$\mathcal{I} \sim e^{\frac{\pi^2}{6\beta} \left(1 - \frac{6}{pp'}\right) + \mathcal{O}(1)} , \quad (27)$$

where  $pp' \geq 10$ .

- As a result, there is an essential singularity, and so we must add fermions to cancel and get  $a_{4d} \geq c_{4d} \dots$ . For interacting theory

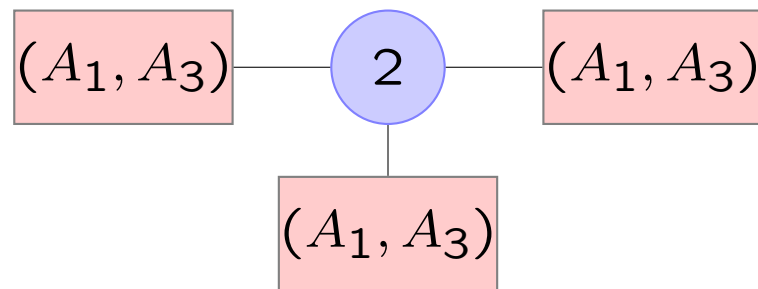
$$|\chi| \geq 3 , \quad (28)$$

as claimed.

**Note:** Pure  $\mathcal{N} = 2$  SCFTs w/  $a \geq c$  must, like  $\mathcal{N} > 2$  SCFTs, have fermionic  $\chi$  gens. Way to get handle on 1st and 3rd q's.

## Saturating the Bounds

- This begs the question, can we saturate these bounds?
- Answer is yes, although clearly this theory cannot be a Lagrangian theory (this would have too much flavor symmetry / too many AKM generators in 2d or, more generally, too large HL ring).



## Saturating the Bounds (cont...)

- Corresponding chiral algebra is  $\mathcal{A}(6)$  theory of [Feigin, Feigin, and Tipunin]. Can match partition function using [M.B., Nishinaka] and [Cordova, Shao]
- We have  $a_{\hat{\mathcal{T}}} = c_{\hat{\mathcal{T}}} = 2$  and so  $c_{2d} = -12c_{\mathcal{T}} = -24$
- $\mathcal{W}$  algebra obtained by adding two Virasoro primaries  $\Phi^{\pm}(z)$  of holomorphic dimension 4 to  $c = -24$  Virasoro algebra.
- Therefore, we have

$$\chi(\hat{\mathcal{T}}) = \mathcal{A}(6) , \quad |\chi(\hat{\mathcal{T}})| = 3 . \quad (29)$$

- Interestingly, even though no flavor symmetry in 4D, still have non-trivial action of an  $sl(2)$  symmetry from rotations transverse to the chiral algebra plane.

## Conclusions

- We found a bound on chiral algebras related to conformal manifolds, some new connections between conformal manifolds and moduli spaces, and we saw that  $\mathcal{N} = 2$  theories with  $a \geq c$  must have additional fermionic chiral algebra generators.

- Some open questions

**(i)** It appears (empirically) that all chiral algebras arising from conformal manifolds admit non-trivial actions of certain extra bosonic algebras. Is there a deep explanation for this phenomenon? Are there counterexamples?



## Conclusions (cont...)

**(ii)** It follows from our work that if there is an  $\mathcal{N} = 2$  theory (satisfying the usual Cardy-like scaling) with  $|\chi| < 3$  and an exactly marginal deformation, then that marginal deformation is exotic: it cannot be interpreted as a gauge coupling.

**(iii)** What additional structures associated with chiral algebras coming from conformal manifolds in 4D (e.g., understand roles of lines and logs)?