

The background is a dark, textured grey-blue. It features a large, central black circle with a white border. Surrounding this central circle are numerous overlapping circles of various colors, including pink, purple, blue, green, yellow, orange, brown, and light blue. Some of these circles have smaller black circles inside them, creating a complex, layered visual effect.

Finding Gravity in Large N Theory Space

Eric Perlmutter
IPhT Saclay

Strings '22



A holographic CFT is defined as the asymptotic of a sequence of theories \mathbf{T}_c with increasing central charge, with certain sparseness properties.

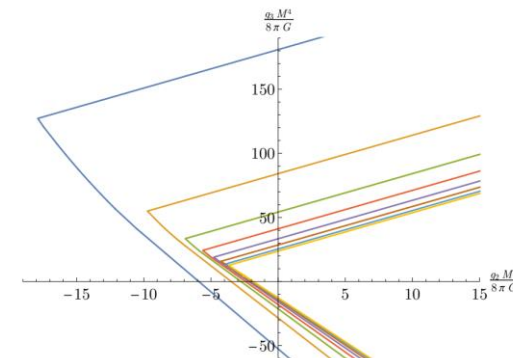
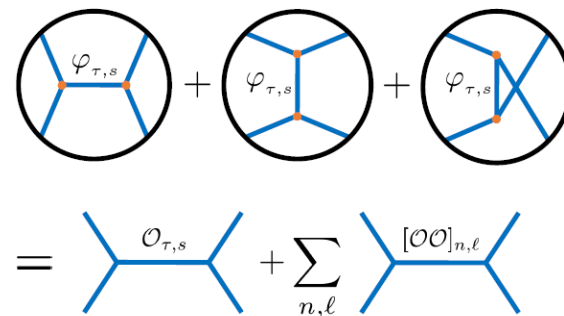
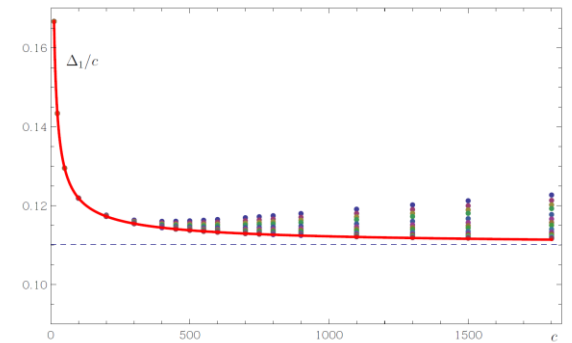
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Studies of holographic CFT generally take one of two approaches:

- 1) Work at finite c , then extrapolate to large c
- 2) Expand around $c = \infty$



It is not clear that 1) must have a smooth $c \rightarrow \infty$ limit; or, whether the limit would be unique.

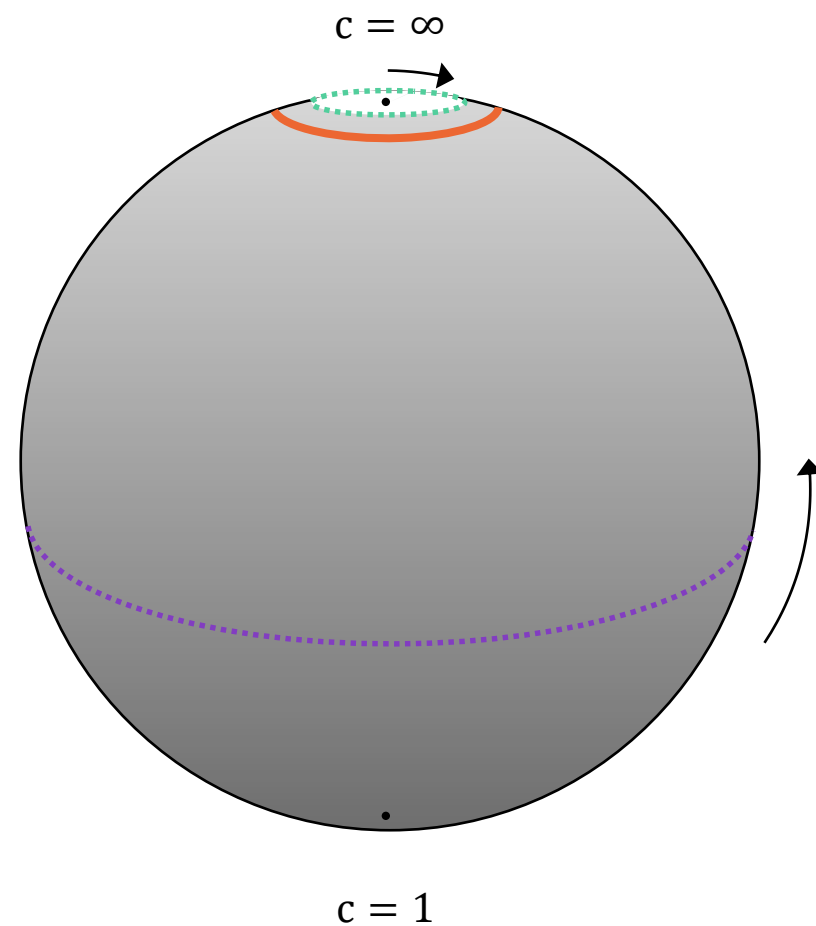
It is not clear that 2) must closely approximate the physics at large but finite c – i.e. that its solutions can be “*smoothly completed*” to a fully consistent finite c solution.

These are two different bootstrap problems,
separated by a “**barrier**” at large but finite c .

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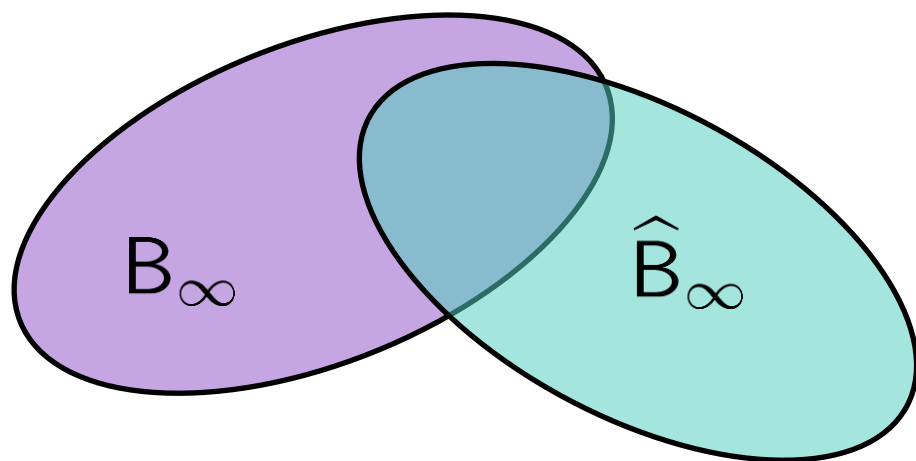
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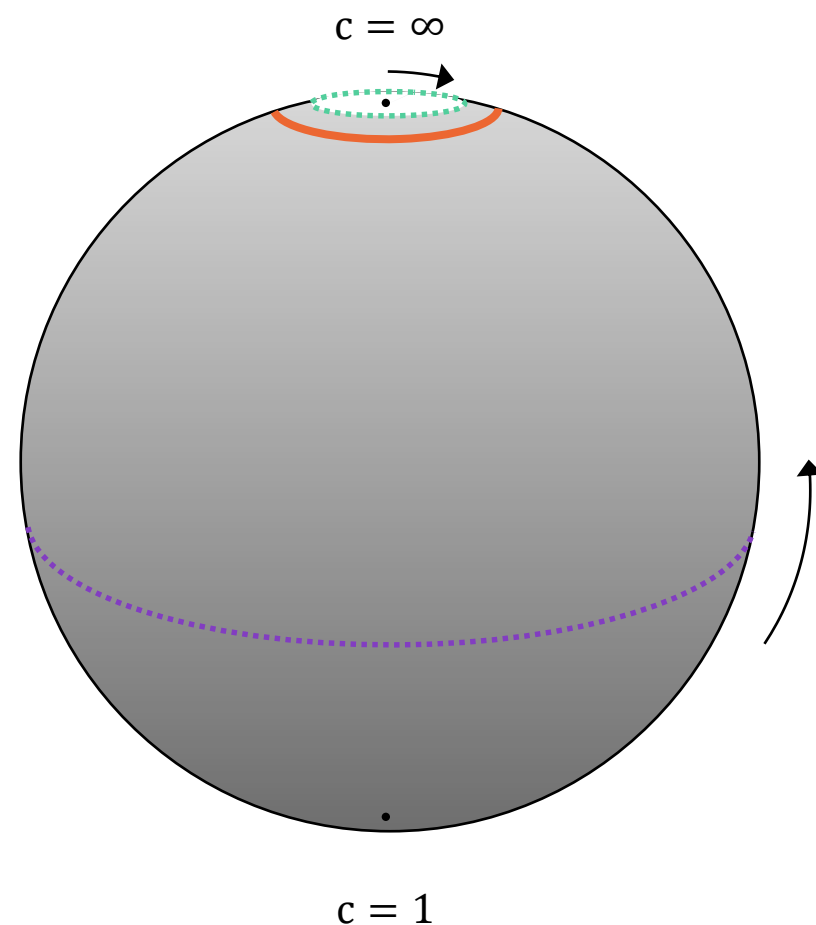
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Space of bootstrap solutions, extrapolated to large c

Space of solutions to the large c bootstrap



In the holographic context, Approach 2), i.e. $\widehat{\mathbf{B}}_\infty$, is computing semiclassical gravity observables. These can look rather different from their quantum counterparts at finite G_N .

Recent evidence that boundary observables of semiclassical gravity appear ensemble averaged.

This poses certain puzzles from the microscopic perspective of AdS/CFT.

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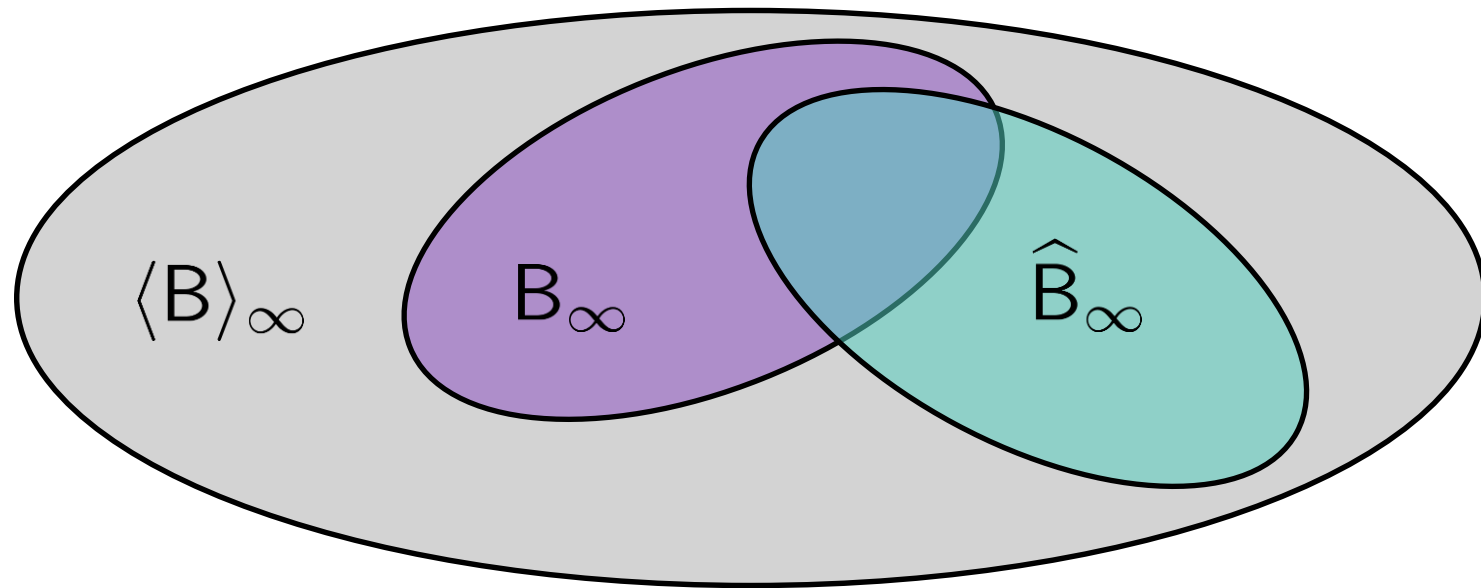
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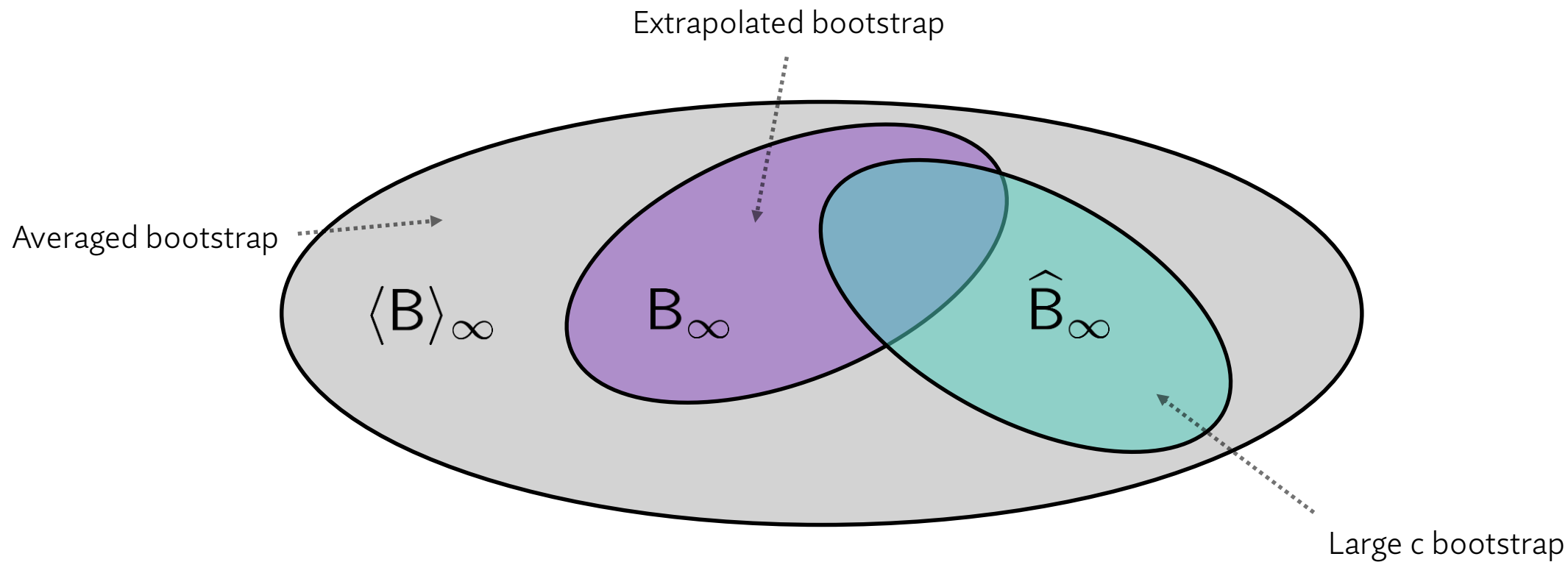
It suggests that we can reinterpret the large c bootstrap as yet a third type of bootstrap: namely, an averaged bootstrap problem.

Something like this:

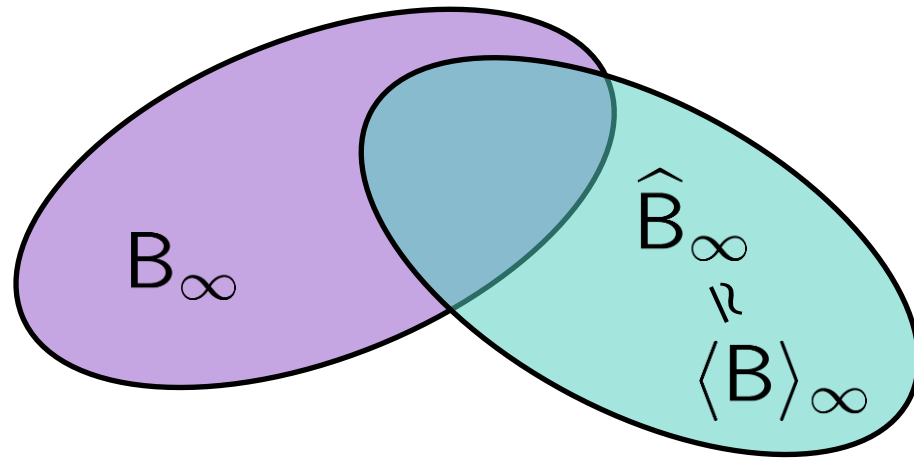
$$\int d\mu_c Z^{(c)}(\tau) = \int d\mu_c Z^{(c)}(\tau')$$

This has new solutions.





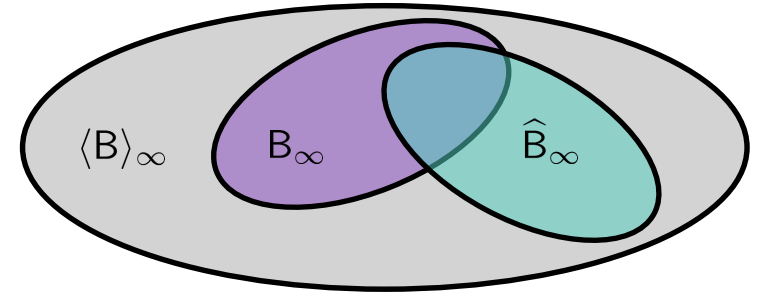
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We have no reason to dispute this.

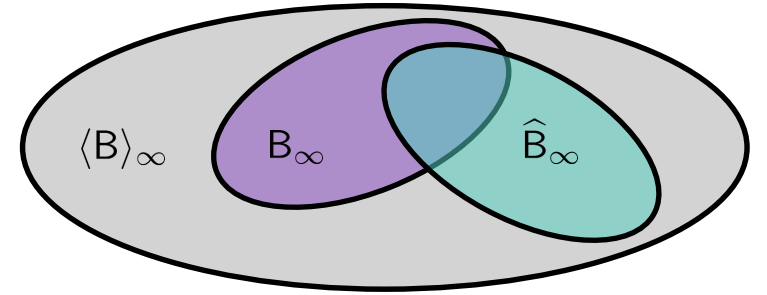
But we need to be careful when we talk about the large c limit.



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In particular, a putative theory of semiclassical gravity need not behave the same way as a member of \mathbf{B}_c at any finite value of c .

- How does one distinguish among solutions to the extrapolated bootstrap, the large c bootstrap, & the averaged bootstrap? At what resolution in $1/c$ is there a difference?
- Which of these problems is semiclassical gravity giving us a solution to?
- Where does string theory come in?

Goal: to explore these notions, and to clarify in what sense the average interpretation appears.

- **Part I:** semiclassical string theory on $\text{AdS}_5 \times S^5$
- **Part II:** semiclassical pure 3d gravity with currents

These theories satisfy all known consistency conditions to all orders in $1/c$.

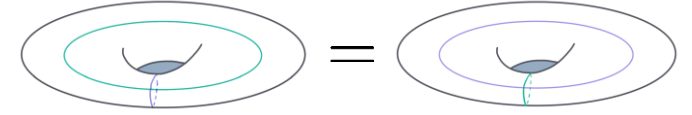
They admit average and microscopic interpretations, with interesting subtleties in the 3d case.

In both cases, understanding the constraints of $\text{SL}(2, \mathbb{Z})$ will be important.

Part I. $\mathcal{N} = 4$ SYM

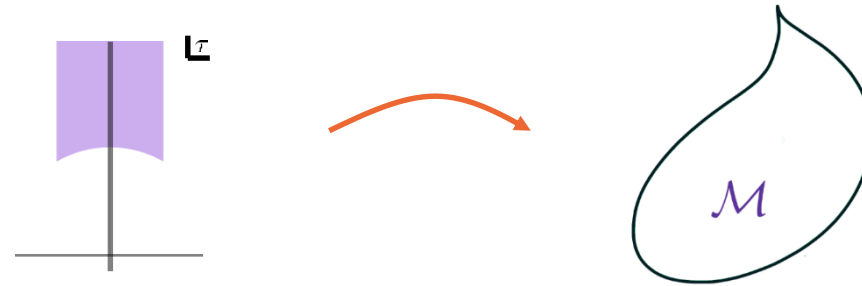
[Based on 2201.05093 w/ S. Collier,
and work to appear with H. Paul, H. Raj]

$\mathcal{N} = 4$ SYM



Consider 4d $\mathcal{N} = 4$ SYM, with simply-laced gauge group G .

The complexified gauge coupling $\tau = \frac{\theta}{2\pi} + \frac{4\pi i}{g^2}$ parameterizes an $\mathcal{N} = 4$ SUSY conformal manifold:



This theory enjoys S-duality = invariance under $SL(2, \mathbb{Z})$ transformations of τ (up to global identifications)

What are the implications of S-duality for the CFT data?

[Montonen, Olive; Olive, Witten; Osborn; Argyres, Kapustin, Seiberg; Vafa, Witten; Sen; Gomis, Okuda; Dorey, Hollowood, Khoze, Mattis, Vandoren; Green, Gutperle; Banks, Green; Green, Miller, Vanhove; ...]

We will focus on $SL(2, \mathbb{Z})$ -invariant observables,

$$\mathbb{O}(\gamma\tau) = \mathbb{O}(\tau), \quad \gamma \in SL(2, \mathbb{Z}) \quad \text{e.g. } \Delta_i, \langle \mathcal{O}_i \mathcal{O}_j \mathcal{O}_k \mathcal{O}_\ell \rangle$$

Spectral Decomposition of $\mathcal{N} = 4$ SYM

$$(f, g) := \int_{\mathcal{F}} \frac{dx dy}{y^2} f(\tau) \overline{g(\tau)}$$

$$\tau = x + iy$$

Idea: apply $\mathrm{SL}(2, \mathbb{Z})$ spectral theory = Harmonic analysis on the fundamental domain, \mathcal{F} .

A square-integrable, $\mathrm{SL}(2, \mathbb{Z})$ -invariant function admits a unique decomposition into an $\mathrm{SL}(2, \mathbb{Z})$ -invariant eigenbasis of the Laplacian on the UHP.

$$\mathbb{O}(\tau) = (\mathbb{O}, 1) + \frac{1}{4\pi i} \int_{\mathrm{Res}=\frac{1}{2}} ds \{ \mathbb{O}, E_s \} E_s^*(\tau) + \sum_{n=1}^{\infty} (\mathbb{O}, \phi_n) \phi_n(\tau)$$

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2. Continuous: Eisenstein series

$$\Delta_{\tau} E_s^*(\tau) = s(1-s) E_s^*(\tau)$$

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3. Discrete: Maass cusp forms

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Smooth

$$\Delta_{\tau} \phi_n(\tau) = \left(\frac{1}{4} + t_n^2 \right) \phi_n(\tau)$$

$$t_n > 0, \quad n = 1, 2, \dots, \infty$$

Chaotic (arithmetic)

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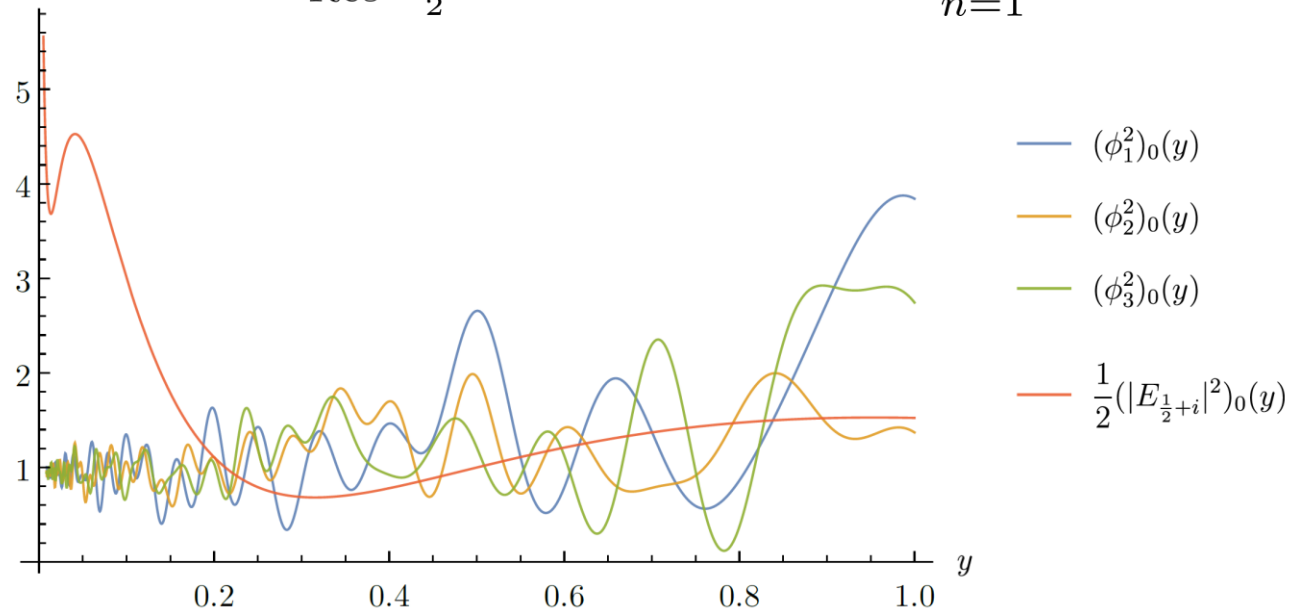
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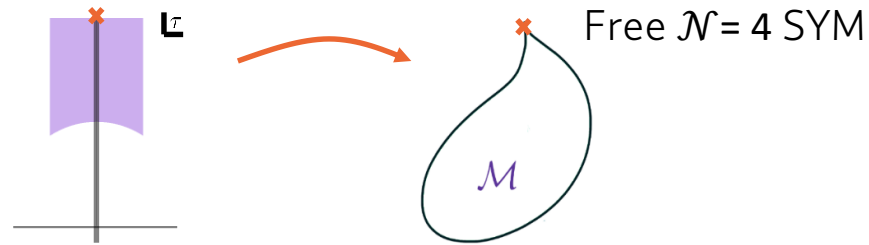
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Spectral Decomposition of $\mathcal{N} = 4$ SYM

Why do $\mathcal{N} = 4$ SYM observables admit a spectral decomposition?

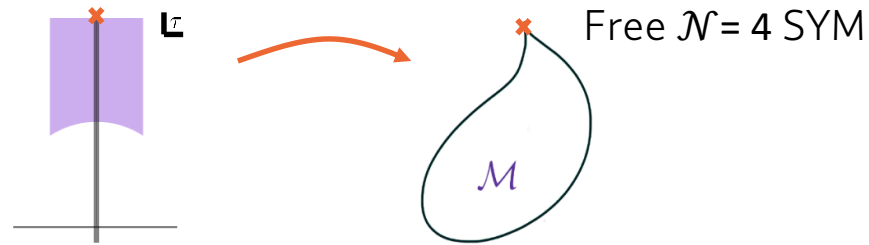
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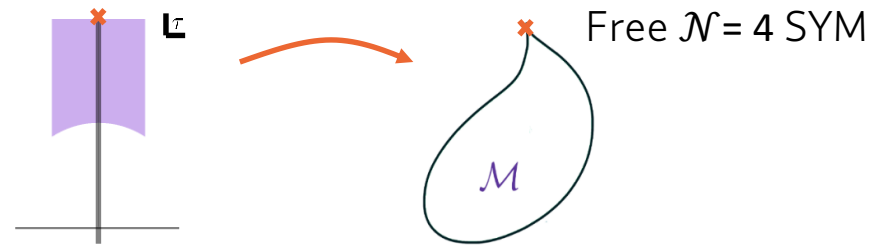
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Ensemble averaging is easy to define in a CFT with a conformal manifold \mathcal{M} :

$$\langle \mathbb{O} \rangle := \int d\mu_{\mathcal{M}}(\lambda) \mathbb{O}(\lambda)$$

A natural choice of measure (not unique) is the Zamolodchikov measure.

In $\mathcal{N} = 4$ SYM, due to maximal SUSY,

$$\langle \mathbb{O} \rangle = \overline{\mathbb{O}}$$

Spectral Decomposition of $\mathcal{N} = 4$ SYM

$$\mathbb{O}(\tau) = \langle \mathbb{O} \rangle + \frac{1}{4\pi i} \int_{\text{Re } s = \frac{1}{2}} ds \{ \mathbb{O}, E_s \} E_s^*(\tau) + \sum_{n=1}^{\infty} (\mathbb{O}, \phi_n) \phi_n(\tau)$$

This formalism makes many things physically transparent – especially regarding instantons/NP effects.

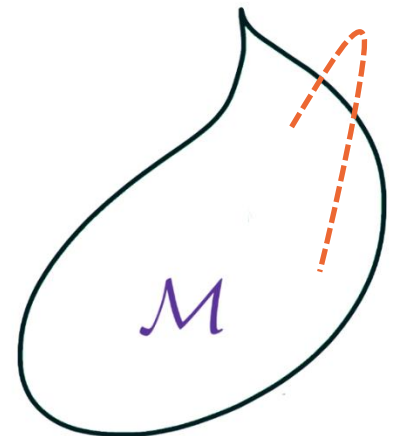
Assuming a widely-held mathematical conjecture about the cuspidal eigenspectrum, it implies that zero- and one-instanton sectors fully determine *all* $k > 1$ -instanton sectors.

The appearance of $\langle \mathbb{O} \rangle$ also gives a useful formalism for studying statistics of the “ $\text{SL}(2, \mathbb{Z})$ ensemble”.

e.g. the variance:

$$\mathcal{V}(\mathbb{O}) = \text{vol}(\mathcal{F})^{-1} \left(\frac{1}{4\pi i} \int_{\text{Re } s = \frac{1}{2}} ds |(\mathbb{O}, E_s)|^2 + \sum_{n=1}^{\infty} (\mathbb{O}, \phi_n)^2 \right)$$

These features, independent of holography, would be the subject of another talk.



Example: Integrated correlators

$$\mathbb{O}(\tau) = \langle \mathbb{O} \rangle + \frac{1}{4\pi i} \int_{\text{Re } s = \frac{1}{2}} ds \{ \mathbb{O}, E_s \} E_s^*(\tau) + \sum_{n=1}^{\infty} (\mathbb{O}, \phi_n) \phi_n(\tau)$$

A nice example are certain integrated four-point functions $\langle 22pp \rangle$ of half-BPS operators \mathcal{O}_p : [Binder, Chester, Pufu, Wang; Dorigoni, Green, Wen]

$$\mathcal{G}_p^{(N)}(\tau) = \int du dv \rho(u, v) \mathcal{H}_{22pp}(u, v; \tau) = \left. \left((\partial_{\tau_p})^2 \partial_m^2 \log Z_{S^4}(\tau, \tau_p; m) \right) \right|_{m=0}$$

Extremely simple in the spectral decomposition:

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[Collier, EP]
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- Cusp overlap *vanishes*
- Non-perturbative corrections to weak coupling expansion vanish
- Explains/generalizes various observations of [DGW]

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Large N

In the 't Hooft limit,

$$\mathbb{O}(N, \lambda) = \sum_{g=0}^{\infty} N^{2-2g} \mathbb{O}_0^{(g)}(\lambda) + (\text{NP})$$

To develop this expansion, the algorithm is clear:

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$$\mathbb{O}(\lambda \gg 1) \approx N^2 \left(\langle\langle \mathbb{O}^{(0)} \rangle\rangle + \sum_{m=0}^{\infty} a_m^{(0)} \lambda^{-\frac{3+m}{2}} \right)$$

Leading term of $\langle \mathbb{O} \rangle$ $\langle\langle \mathbb{O}^{(0)} \rangle\rangle := \lim_{N \rightarrow \infty} N^{-2} \langle \mathbb{O} \rangle$

Supergravity as an $SL(2, \mathbb{Z})$ Average

An equivalence between large N ensemble averaging and strong coupling in planar $\mathcal{N} = 4$ SYM:

$$\mathbb{O}(\lambda \rightarrow \infty) = \langle \mathbb{O} \rangle = \mathbb{O}_{\text{sugra}}$$

Let's demonstrate this for the integrated correlators of single-particle operators.

- 't Hooft limit: $\mathcal{G}_p^{(g=0)}(\lambda) = \frac{p-1}{2p} \left(1 - O(\lambda^{-3/2})\right)$ [Binder, Chester, Pufu, Wang]
- Ensemble average: $\langle \mathcal{G}_p^{(N)} \rangle = \frac{p-1}{2p} - \frac{(p-1)}{N} - \frac{(p^2-1)(p^2-4)}{48N^2} + \dots$ [Paul, EP, Raj]

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Extends to all genera

$$\mathbb{O}^{(g)}(\lambda \rightarrow \infty) = \langle\langle \mathbb{O}^{(g)} \rangle\rangle := \lim_{N \rightarrow \infty} N^{2g-2} \langle \mathbb{O}^{(g)} \rangle$$

This is the finite term remaining after string theory regularization of UV divergences of g -loop supergravity. (The ensemble average lands exactly on string theory renormalization scheme.)

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However, the average of any subleading term is infinity:

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[Perhaps this can be rectified by cutting off the integral.

In any case, it is not obvious *a priori* what to do, why to do it, and how to do it in an $SL(2, \mathbb{Z})$ -invariant way.]

Supergravity as an $SL(2, \mathbb{Z})$ Average

This result has sometimes been said to be “obvious” or “bizarre”.

Let me at least address one of these...

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In any case, it is not obvious *a priori* what to do, why to do it, and how to do it in an $SL(2, \mathbb{Z})$ -invariant way.]

In short, large N and averaging do not commute.

(This argument also would not yield the correspondence at $g > 0$.)

Comments

$$\mathbb{O}(\lambda \rightarrow \infty) = \langle \mathbb{O} \rangle = \mathbb{O}_{\text{sugra}}$$

- *The traditional holographic correspondence still holds.*
- The ensemble average is emergent at strong coupling and large N.
- This applies to observables with a genus expansion – e.g. double-trace dimensions, KK correlators.

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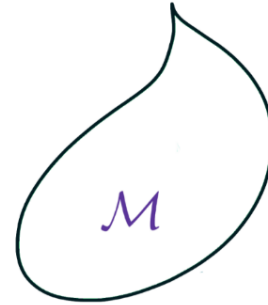
- We have not examined heavy/black hole observables.

Comments

How does this fit with recent ideas about apparent averaging in AdS/CFT?

We are doing an *actual* average over moduli.

This allowed us to derive a version of “gravity = averaging”.



Contrast with suggestion of [Schlenker-Witten]: *apparent* averaging in AdS/CFT arises from difference between smooth large N asymptotics of heavy CFT data and the actual, chaotic sequence at large-but-finite values of N.

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Note: if $\mathcal{N} = 4$ SYM theories are the unique 4d $\mathcal{N} = 4$ SCFTs – an unproven (!) but reasonable (?) belief – then we have carried out an example of averaging over a space of CFTs with a given symmetry algebra at large fixed central charge.

One might take our results to indicate that this is not how (apparent) averaging arises in general AdS/CFT.

On the other hand, we should study heavy/black hole observables.

Part II: Semiclassical Pure 3d Gravity

[To appear, with G. Di Ubaldo]

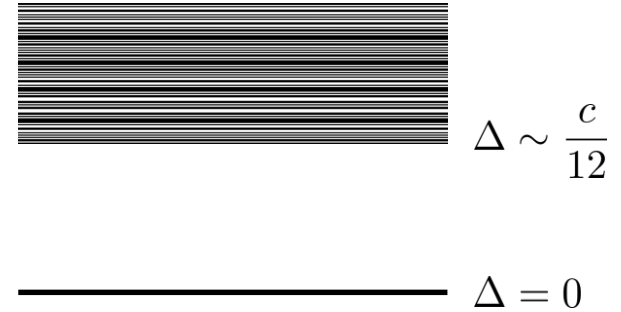
Part II: Semiclassical Pure 3d Gravity

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(Focus on $\partial\text{AdS}_3 = \mathbb{T}^2$)

Pure 3d gravity: what is the goal?

Pure 3d gravity = sequence of theories which asymptotes, at large c , to a theory with no primary states below the semiclassical black hole threshold.



This can be defined for any choice of chiral algebra, \mathcal{A} . The minimal choice is $\mathcal{A} = \text{Vir}$

The basic challenge in constructing $Z_{\text{grav}}(\tau)$ is that a sum over smooth classical saddle points appears to be inconsistent. This is the essential tension in pure gravity: $SL(2, \mathbb{Z})$ vs. unitarity.

\exists a consistent $Z_{\text{grav}}(\tau)$ w/o off-shell configurations?

If so, which CFT problem is it solving?

[Maxfield, Turiaci; Cotler, Jensen; Eberhardt]

Pure 3d gravity: what is the goal?

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What distinguishes this sequence of “pure” theories in the bulk, and what defines them as “gravitational” in a regime where we know what means, is their asymptotic behavior at small G_N .

Moreover, what constitutes a “non-perturbative sequence” at finite c is not uniquely defined: two sequences of theories which differ only in their non-perturbative (finite c) completions could both sensibly be called “pure quantum gravity”.

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Moreover, what constitutes a “non-perturbative sequence” at finite c is not uniquely defined: two sequences of theories which differ only in their non-perturbative (finite c) completions could both sensibly be called “pure quantum gravity”.

From this point of view, our first goal should be to find a theory with the desired spectral gap that is consistent to all orders in a semiclassical expansion – that is, at resolutions $\gg e^{-S}$.

This has not yet been achieved.

Pure 3d gravity: Historical review (post-Farey tail)

MWK: can we build a consistent $Z_{\text{grav}}(\tau)$ as a sum over all smooth classical saddles?

$$Z_{\text{grav}}(\tau) = \sum_{\substack{\text{Smooth} \\ \text{classical} \\ \text{saddles}}} Z_{\text{saddle}}(\tau) = \sum_{\gamma \in SL(2, \mathbb{Z}) / \Gamma_{\infty}} |\chi_{\text{vac}}^{\text{Vir}}(\gamma\tau)|^2$$

Definitions:

$$\xi := \frac{c-1}{24}$$

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1) $\rho_{j=0}(\Delta) = \delta(\Delta) - 6\delta(\Delta - \xi) + \dots$

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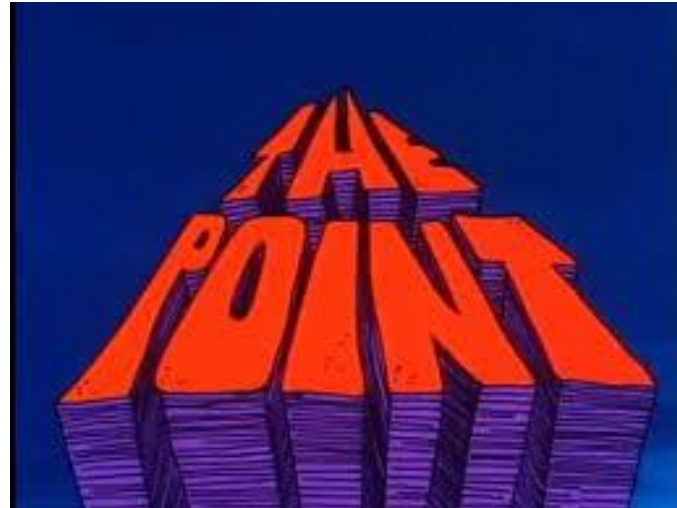
Pure 3d gravity: Historical review (post-Farey tail)

Ways out:

- Add matter $\Delta_{\text{gap}} \approx \frac{c-1}{16}$ [Benjamin, Collier, Maloney; Alday, Bae]
 - Not pure gravity
- Add off-shell configs $t_{\text{gap}}(j \rightarrow \infty) \approx \frac{c-1}{12} - \frac{1}{(2\pi)^2} (-1)^j e^{-S_0(j)/2}$ [Maxfield, Turiaci]
 - Yet to be made explicit (SL(2,Z)? j=0 gap?)
- Put on an ensemble hat (design probability distribution of CFT data, match to 3d gravity)
 - Not SL(2,Z)-invariant (spins continuous; no possible average over bona fide large c CFT)
 - Works saddle-by-saddle

[Chandra, Collier, Hartman, Maloney]

Let's make a few conceptual points.



Conceptual Point 1

The problem with MWK is not that it has negativity.

The problem is that it has *a lot* of negativity.

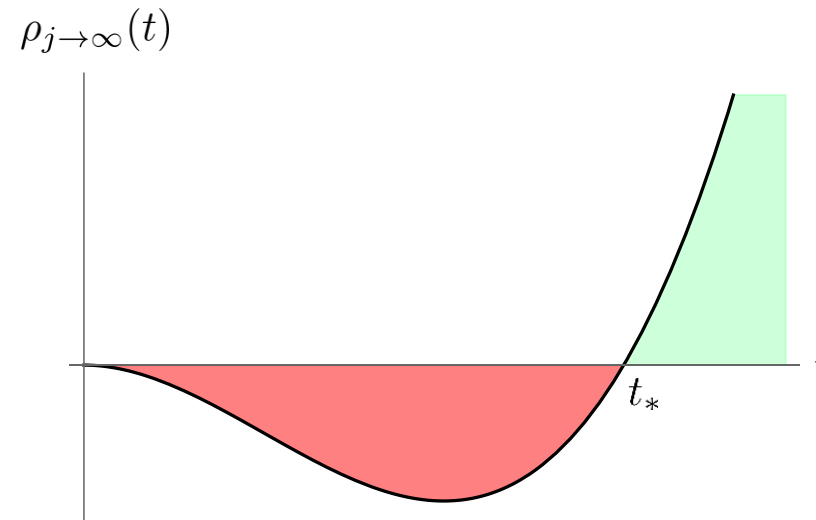
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Define an integrated negativity:

$$N_j := \left| \int_0^{t_*} dt \rho_j(t) \right|$$

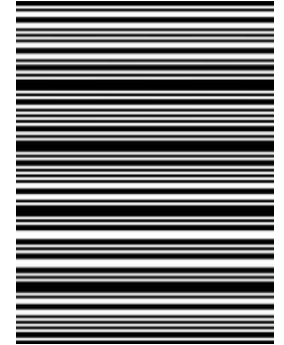


The total negativity of MWK is exponentially *large* in the entropy:

$$N_{j \rightarrow \infty} \sim e^{\pi \sqrt{\xi j}} \sim e^{S_0(j)/4}$$

Conceptual Point 2

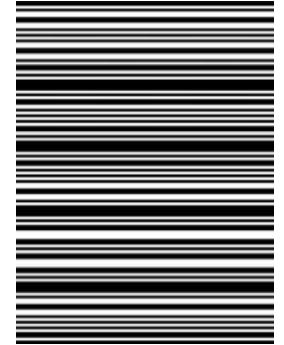
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In a chaotic theory, the mean level spacing in the heavy spectrum is $\sim e^{-S}$.
In pure gravity, the chaotic regime extends all the way down to threshold.



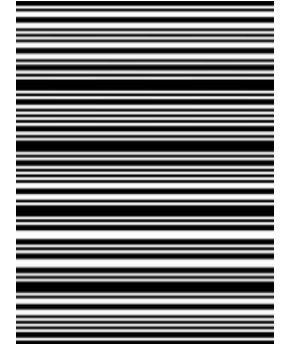
Therefore, at resolutions $\gg e^{-S}$ the spectrum will appear continuous.*

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Therefore, at resolutions $\gg e^{-S}$ the spectrum will appear continuous.*

No “average over CFTs” is necessary to interpret a continuum: smearing over energies is sufficient.

This resonates with recent observations on operator algebras in gravity, and the (non-)existence of the black hole Hilbert space: at scales $\gg e^{-S}$, black hole microstates are washed out.

[Liu, Leutheusser; Witten; Chandrasekhan, Longo, Penington, Witten]

[Strings talks by Liu, Witten]

Conceptual Point 3

Generically (w/o SUSY), we should not work at scales $\sim e^{-S}$ when constructing Z as a sum over saddles.

Instead, we really mean

$$\rho_{\text{grav}}(\Delta, j) = \sum_{\text{saddles}} \rho_{\text{saddle}}(\Delta, j) + \mathcal{O}(e^{-S})$$

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This also comports with how we might imagine pure 3d gravity arising from string/M-theory.

A nice example can be found in SYM:

(**Wrapped D3-brane** contributions to I_{BH}) = (NP contributions to large N saddles of $\mathcal{I}_{\mathcal{N}=4}$ SYM)

Semiclassical pure 3d gravity with currents

Proposal: just add currents.



Semiclassical pure 3d gravity with currents

Proposal: just add currents. $\mathcal{A} = U(1)^D \times \text{Vir}$

The total central charge is $c_{\text{tot}} = c + D$

We are interested in the large c_{tot} limit, particularly $c \gg 1$.

Our proposed partition function for $U(1)^D \times \text{Vir}$ primaries is the usual Poincare sum:

$$Z_p(\tau) = \sum_{\gamma \in SL(2, \mathbb{Z}) / \Gamma_\infty} \text{Im}(\gamma\tau)^{\frac{D+1}{2}} \left| q^{-\left(\frac{c-1}{24}\right)} (1-q) \right|^2$$

Claim: At large c_{tot} , this gives a consistent semiclassical partition function.

Semiclassical pure 3d gravity with currents

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This is no longer the Virasoro case. However, we gain a surprising amount:

- $SL(2, \mathbb{Z})$ -invariant
- Sum over geometries
- **Convergent** for $D > 1$ (indeed – a physical interpretation of MWK regulator)
- **Unitary** for $D > 1$

$$N_{j \rightarrow \infty} \sim e^{-\frac{D-1}{4} S_0(j)}$$

- Spectral gap is large
- Spectrum is continuous

Bulk interpretation

Consider the large c limit (where $c_{\text{tot}} = c + D$).

To all orders in G_N , this is a consistent $Z_{\text{grav}}(\tau)$ for semiclassical Einstein gravity + $U(1)^D \times U(1)^D$ CS.

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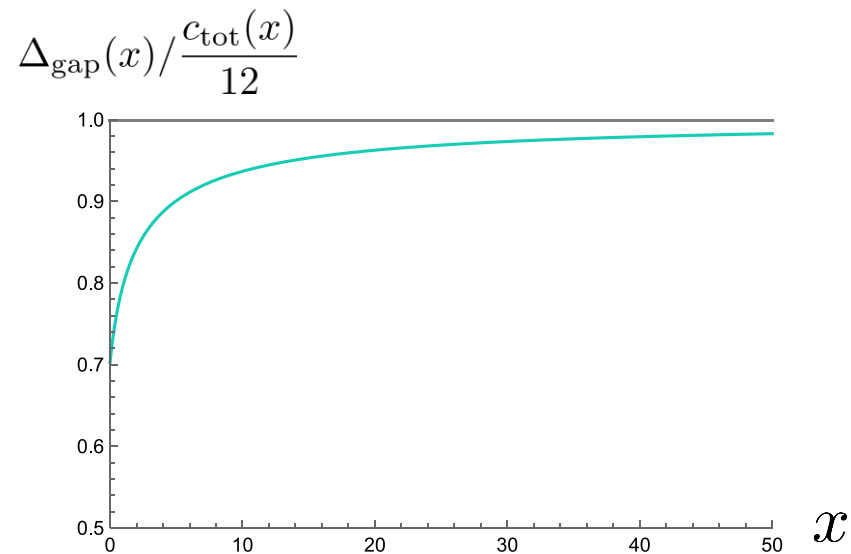
“U(1) gravity”
+
semi-holographic Vir sector
[Faulkner, Polchinski]

Composite graviton

$$\Delta_{\text{gap}}(j) \approx \frac{D}{2\pi e} + j$$

Double-scaling

$$c, D \rightarrow \infty, x := \frac{c}{D} \text{ fixed}$$



$$c \rightarrow \infty$$

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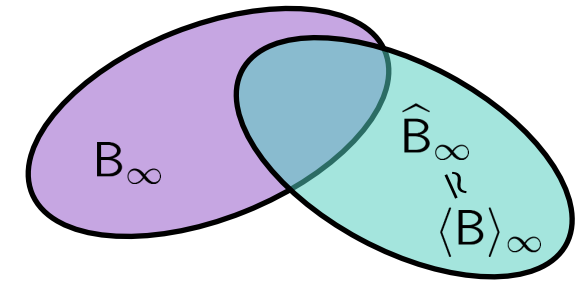
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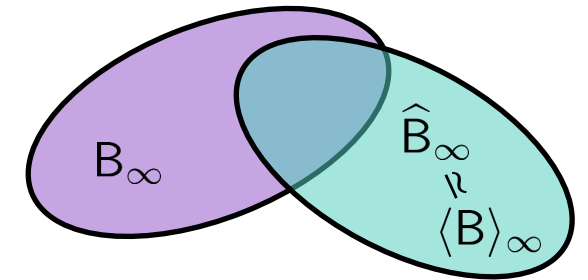
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Can it be smoothly completed to finite c ? This case presents an interesting wrinkle:

A unitary CFT with maximal chiral algebra $U(1) \times \text{Vir}$, with a discrete, finitely generated spectrum has *vanishing* twist gap (accumulation point at large spin) [Benjamin, Ooguri, Shao, Wang].

These assumptions do not apply to our Z , at large c .

They suggest a discontinuity of the limit from finite c .

(e.g. low-twist states could become null: $\langle \mathcal{O} | \mathcal{O} \rangle \sim e^{-c}$)

Boundary interpretation(s)

This is interesting.

We seem to have a theory of semiclassical pure gravity that exhibits the paradigm articulated earlier: one that cannot be *smoothly* completed into a quantum theory, but which is consistent and has the physical features we would want the semiclassical theory to have to all orders in $1/c$.

Semiclassical pure 3d gravity exists “in limbo”.



[Donwood]

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Semiclassical pure 3d gravity exists “in limbo”.

(This raises the interesting question of whether $Z^{(c)}(\tau)$, viewed as a function of complexified central charge c , is *resurgent*.)

That is, whether the resummation of corrections exponentially small in c is enough to reconstruct the exact $Z^{(c)}(\tau)$.

If so, then e^{-c} corrections are sufficient to reveal the low-twist states.)



Boundary interpretation(s)

Nevertheless,

- Off-shell stuff may be necessary for consistency of CFT data at e^{-c} resolution
- Multi-boundary configurations still suggest average interpretation
 - Unitarity and modularity preserved → Possible average over *bona fide* large c CFTs?
- Virasoro case remains outstanding

We hope to have deconvolved the reasons that “non-standard” elements – an average interpretation, off-shell configurations, etc – may or may not be required for a consistent bulk theory.

Questions for the future

1. Resurgence of the gravitational path integral. When is e^{-S} enough to see everything?
2. Is G_N discretized in AdS_3 ?
3. Scale separation as an averaged phenomenon?

