

# THE HOLOGRAPHIC COVARIANT ENTROPY BOUND

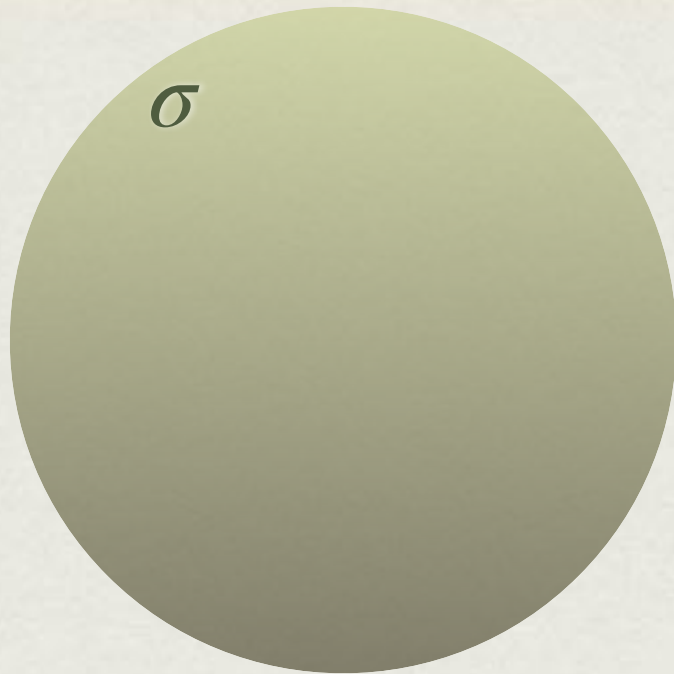
*Ronak M Soni*

*Chennai Mathematical Institute*

*Based on 2407.16769 and 250x.xxxxx with Aron C. Wall*



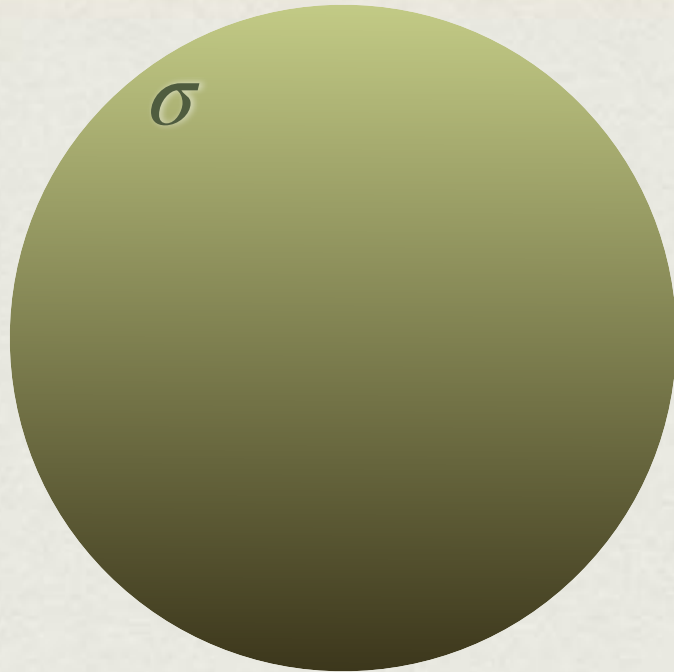
# WHAT'S THE CONNECTION BETWEEN ENTROPY AND AREA?



A cartoon explanation:

$\frac{A(\sigma)}{4G_N}$  = The amount of entropy you can throw into a surface  $\sigma$  before it collapses into a black hole.

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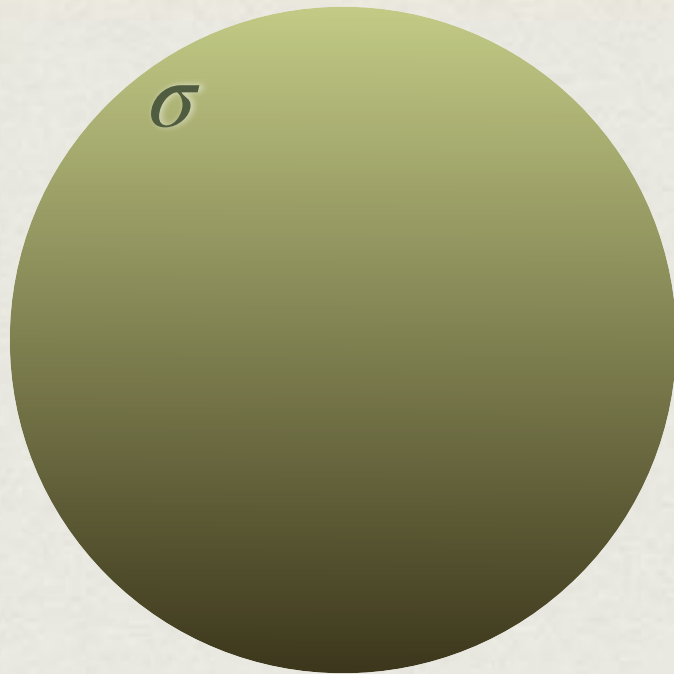


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Two important things:

- Area is an **upper bound** on entropy inside  $\sigma$ .
- It is an upper bound **given that**  $\sigma$ 
  - a. has area  $A$  (and is spherically symmetric).
  - b. is outside a black hole.



# THERMODYNAMICS FROM MAXENT

This sort of thing is familiar:

- Thermodynamic entropy is a function of the macrostate: it is the maximum entropy among microstates with the right macroscopic properties.
- If we are **given** only that  $\text{tr } \rho H = E$ ,

$$\text{thermal entropy} = \max_{\rho | \text{tr } \rho H = E} S(\rho) = S_{\text{vN}} \left( \frac{e^{-\beta(E)H}}{Z(\beta(E))} \right).$$

- If we are **also given** that energy has small fluctuations, we get instead the microcanonical entropy.



# THIS TALK

Today I want to explain a MaxEnt question that provides a (hopefully) new perspective on gravitational entropy.

1. Bulk: Maximise entropy **over spacetimes**. Similar to outer entropy (Engelhardt-Wall-Bousso-Nomura-Remmen-Wang) but a little more general.
2. “Boundary”: Maximise entropy **over states** in a strange field theory that lives on a Cauchy slice. Extends work by Caputa-Kruthoff-Parrikar

These are the same maximisation, related by **Cauchy slice holography**. Araujo-Regado—Khan—Wall 2022

Comments on **holographic tensor networks** and **black hole information**.



# *The Bulk Story*



# ALGORITHM TO MAKE NEW ENTROPY BOUNDS

To generalise the cartoon, we decompose into three steps:

## Definition

1. Define a  $(D - 2)$ -dim surface  $\sigma$  by some local properties.

Cartoon: intrinsic metric.

2. For any  $D$ -dim on-shell spacetime  $\mathcal{M} \supset \sigma$ , define some (sensible) “entropy of  $\mathcal{M}$ ”  $S(\mathcal{M}, \sigma)$ .

Cartoon: entropy of matter inside  $\sigma$ .

3. Upper-bound this entropy,

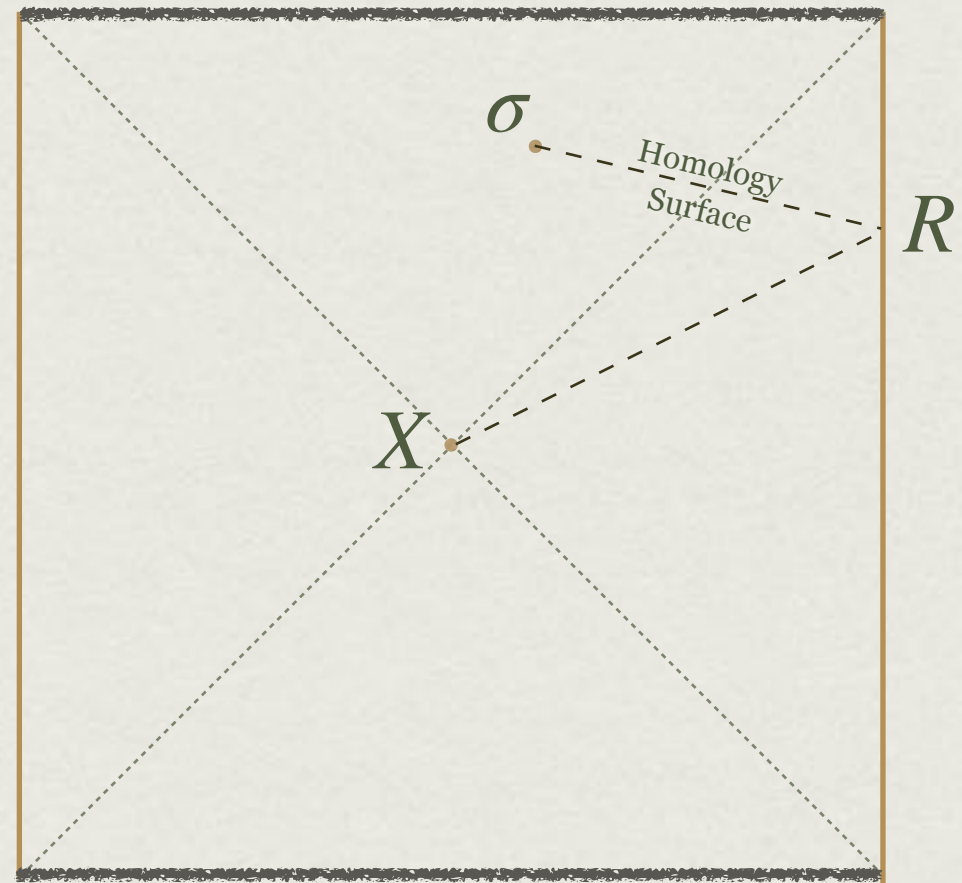
## Result

$$S_{\text{bd}}(\sigma) \equiv \sup_{\mathcal{M} \supset \sigma} S(\mathcal{M}, \sigma).$$



# OUR CHOICE OF ENTROPY (STEP 2)

- We will stick to asymptotically AdS spacetimes, and take  $\sigma$  to be topologically a sphere.
- $S(\mathcal{M}, \sigma)$  is the area of the minimal extremal surface in the same homology class as  $\sigma$ .

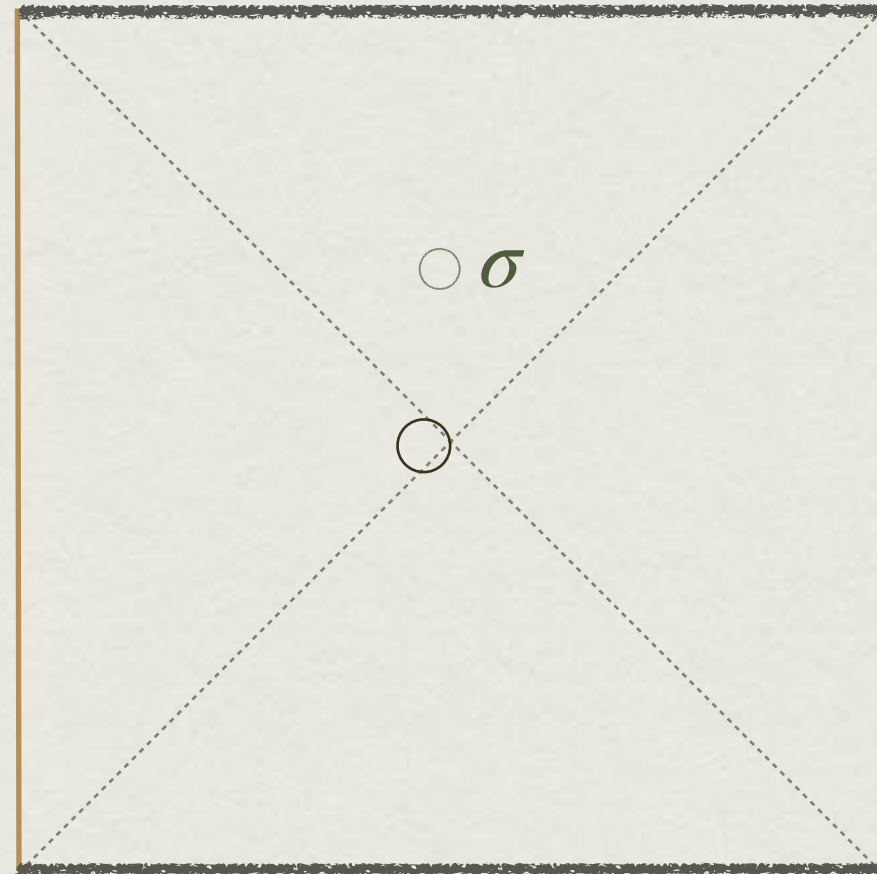


$$S(\mathcal{M}, \sigma) = S_E(R) = \min_{X \sim R \sim \sigma} \text{ext} \frac{A(X)}{4G_N}$$



# STEP 1 ATTEMPT 1: AREA IS NOT ENOUGH

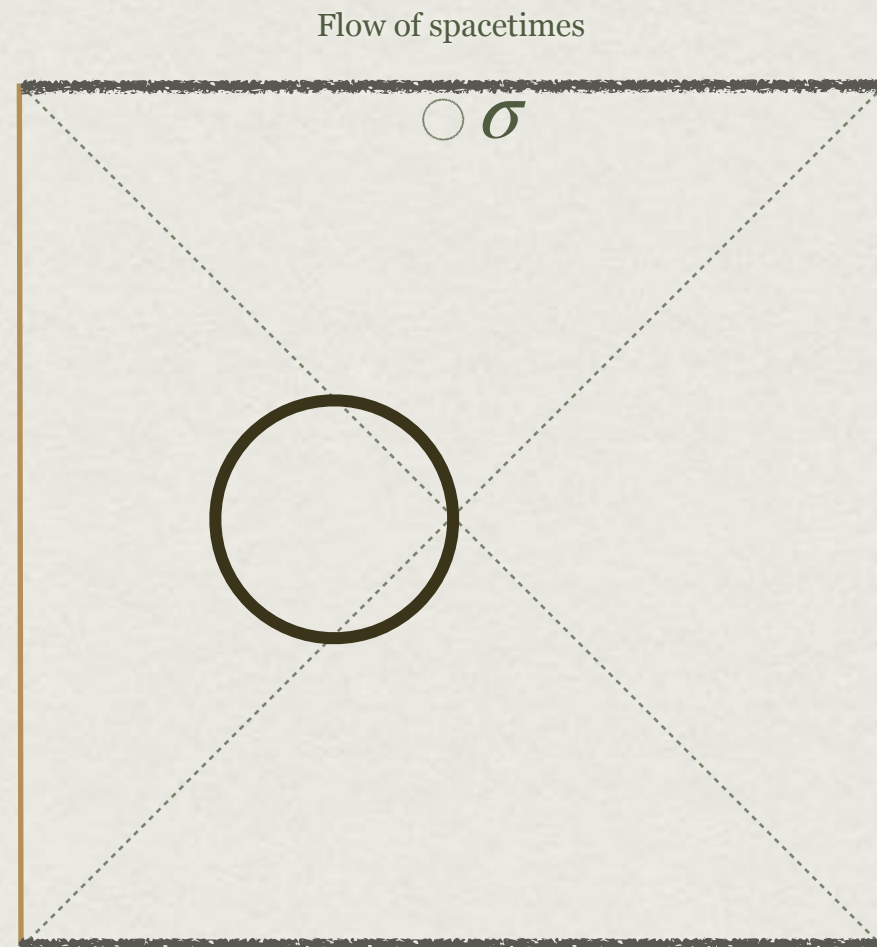
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# BETTER DEFINITION FOR

$\sigma$

- Define a surface by its intrinsic metric *as well as* its extrinsic curvature.

- Since  $\sigma$  is codim-2, there are two orthogonal null normals  $k, l$ ;  $k^2 = l^2 = 0, k \cdot l = -1$ .

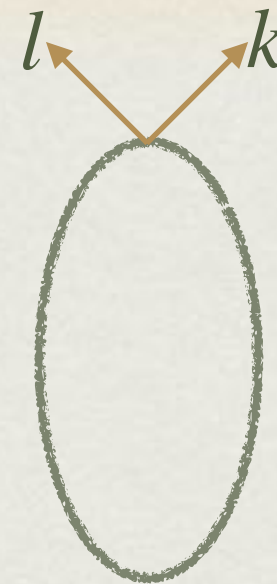
- This defines two extrinsic curvatures

$$K_{(\alpha)\mu\nu} = (\nabla n_{(\alpha)})_{\mu\nu}, \alpha = k, l.$$

**Expansion**  $\theta_{(\alpha)} = K_{(\alpha)\mu}^{\mu}$ .

- $\sigma$  is specified by its intrinsic metric  $\gamma_{\mu\nu}$  and the two extrinsic curvatures  $K_{\mu\nu}^{(\alpha)}$

up to coordinate transformations.





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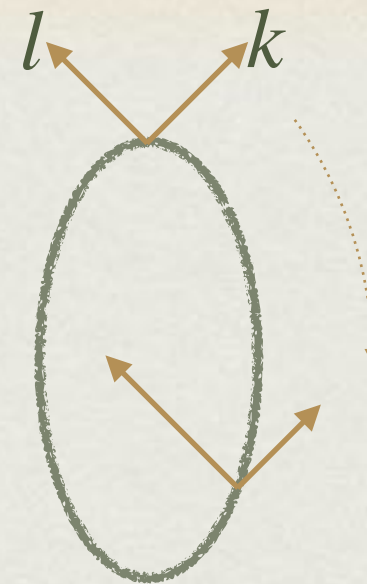
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There's also something called the "twist," which is a gauge field for local boosts around  $\sigma$ . I will ignore this; see paper for more details.

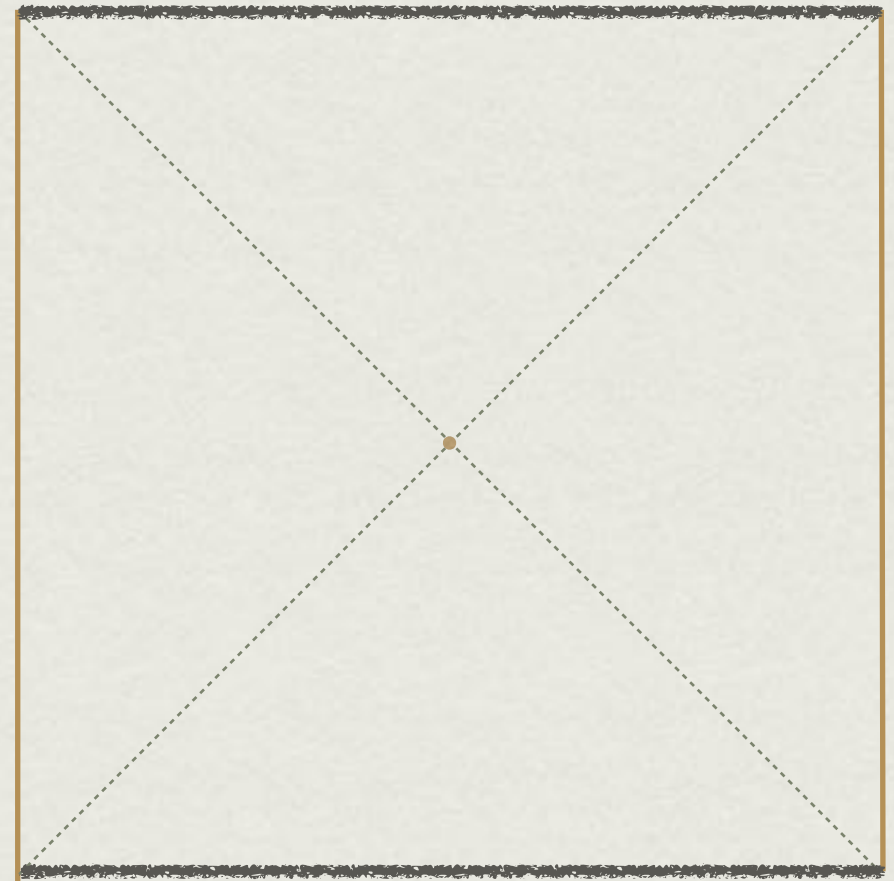


# RESULT FOR 3D GR

- Take  $\sigma$  to agree with a rotationally symmetric surface in non-rotating BTZ black hole.

- We find

$$S_{\text{bd}}(\sigma) = \frac{A(\sigma)}{4G_N} \sqrt{1 + 2\theta_{(k)}\theta_{(l)}}.$$



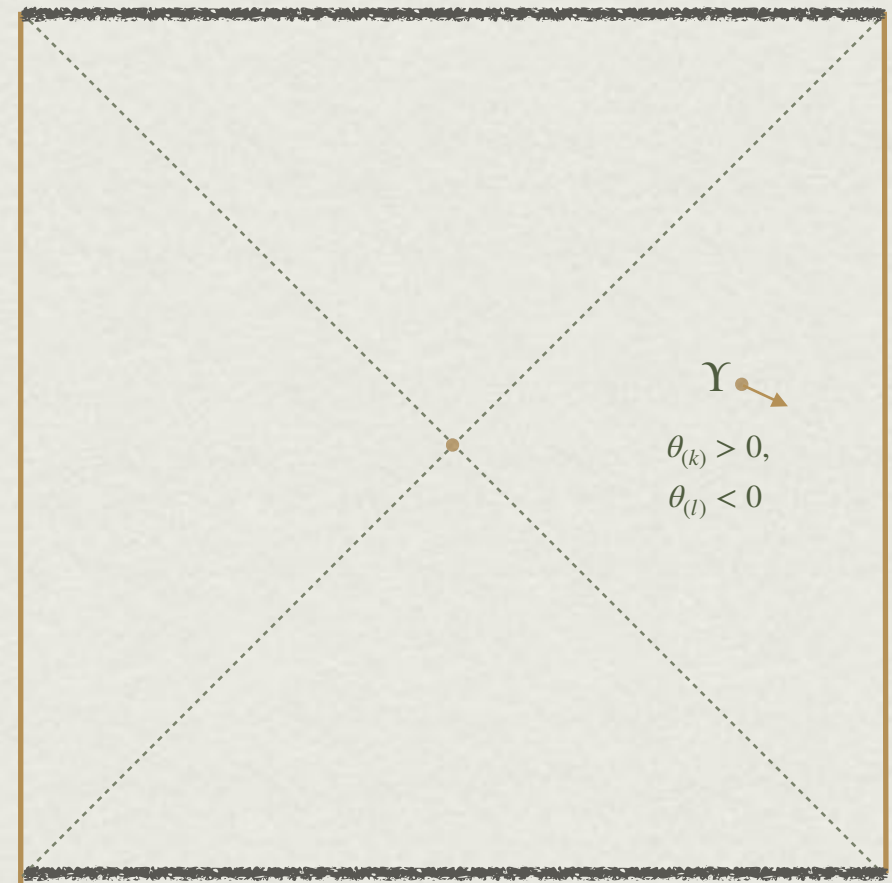


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Normal surface  $\Upsilon$ :  $S_{\text{bd}} < A/4G_N$

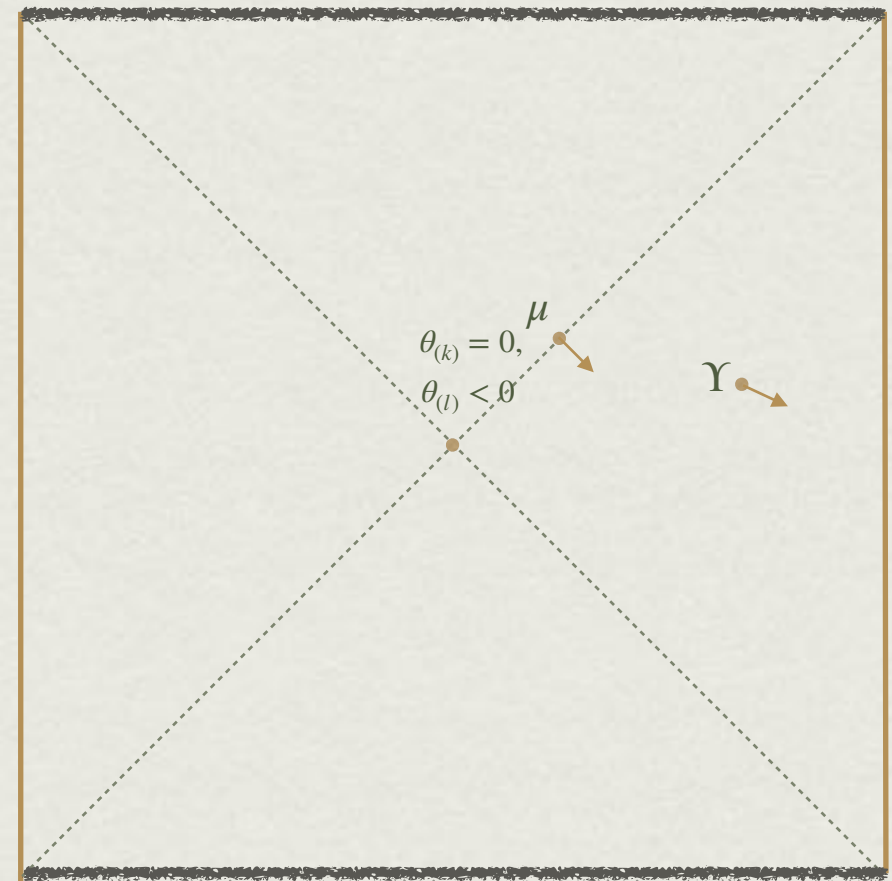


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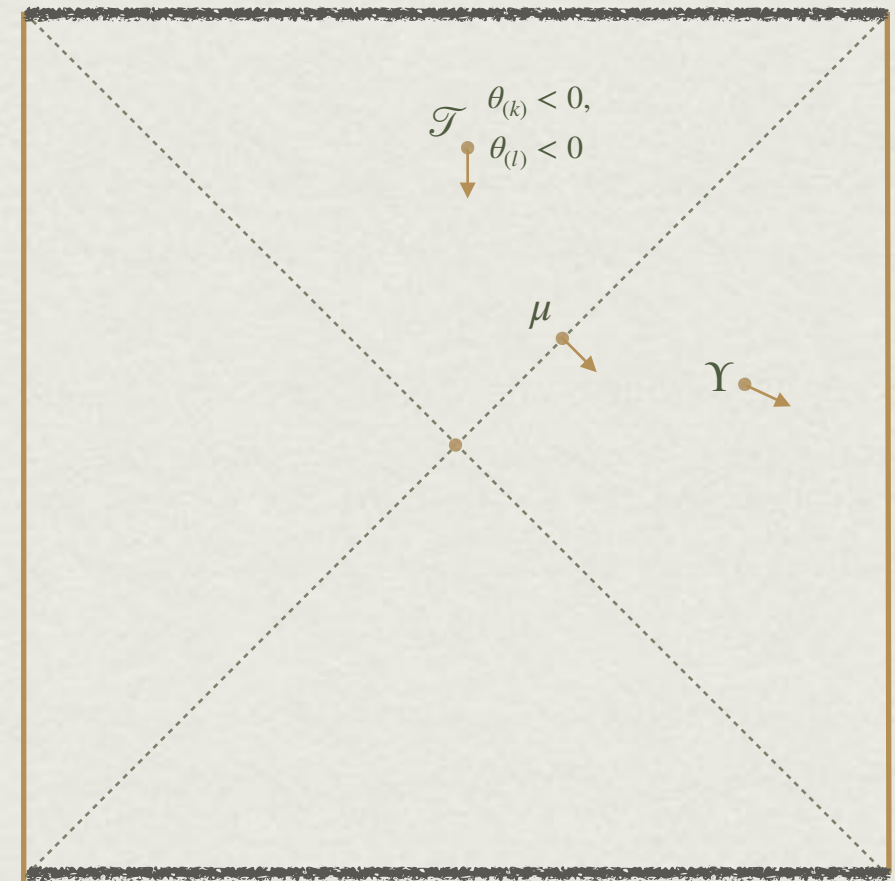


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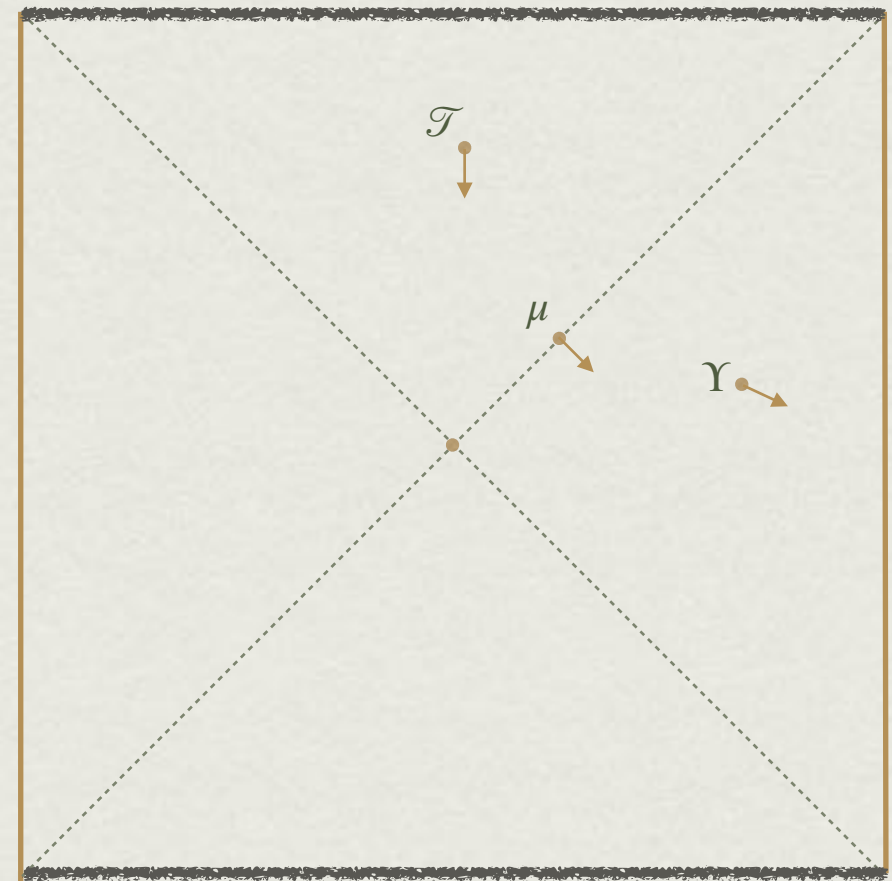
$$S_{\text{bd}}(\sigma) = \frac{A(\sigma)}{4G_N} \sqrt{1 + 2\theta_{(k)}\theta_{(l)}}.$$

- More generally,

$$S_{\text{bd}}(\sigma) = F \left( Pe^{i\oint \sigma} \mathbb{A} \right),$$

where  $\mathbb{A}$  is an  $SO(2,2)$  gauge field.

Ammon-Castro-Iqbal-Llabrés 2013-18  
McGough-Verlinde 2013  
Mertens-Simón-Wong 2022  
+ Lin 2021, Hung's talk  
Akers-RMS-Wei 2024



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# 3D GR WITH CLASSICAL MATTER



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- Now, we also fix the values of bulk fields and derivatives on  $\sigma$ . E.g.  $\varphi, k \cdot d\varphi, l \cdot d\varphi$ .



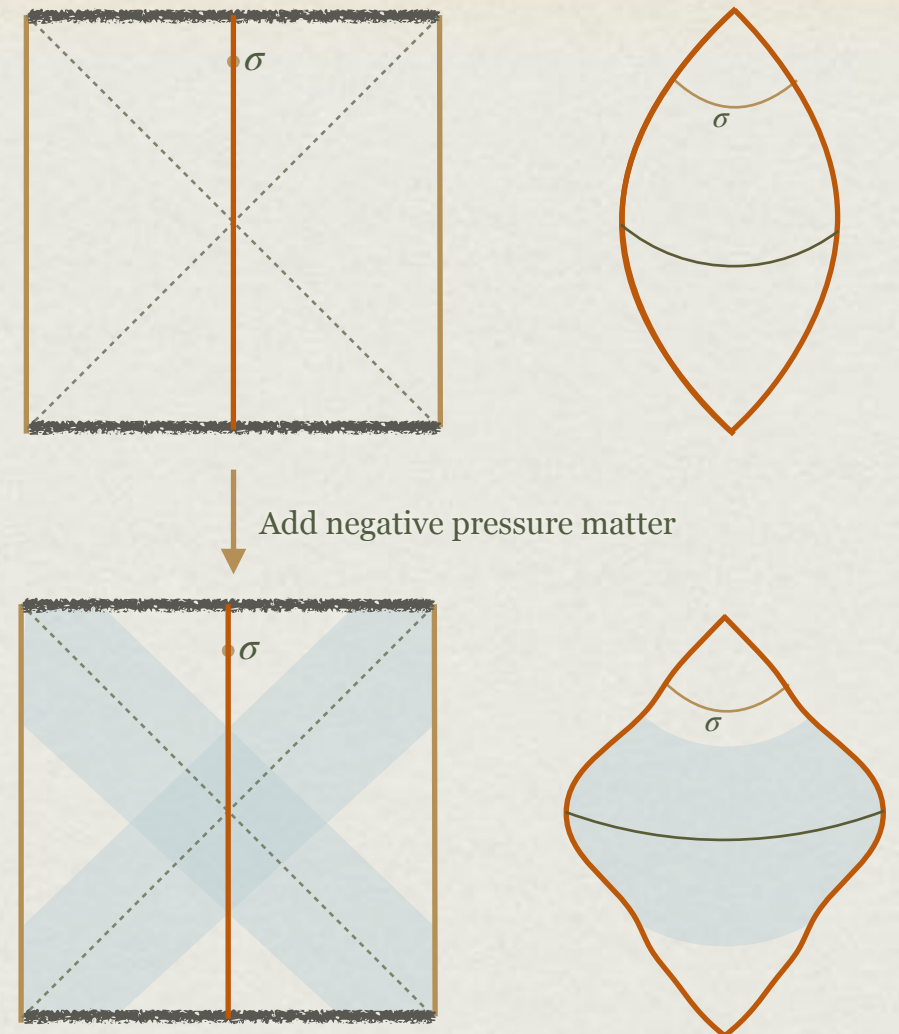
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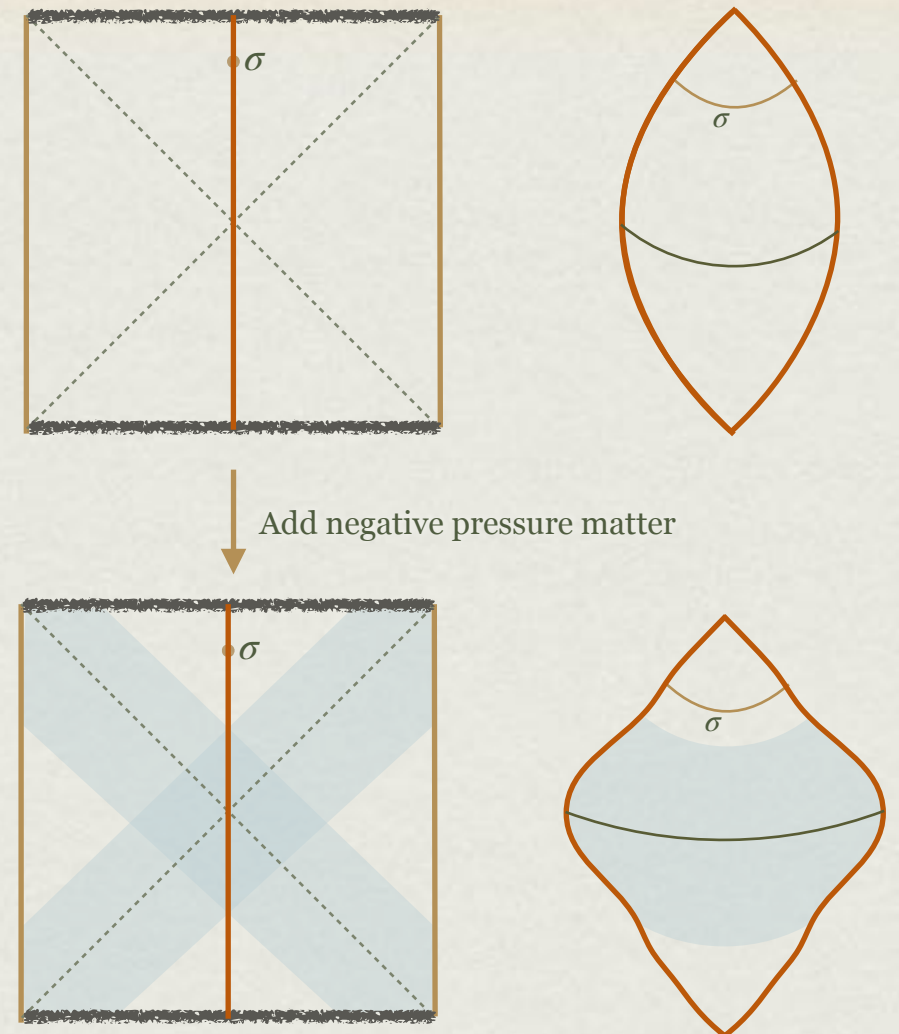




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- We also assume that the bulk matter satisfies the dominant energy condition (DEC): for  $u, v$  future-directed timelike vectors,  $T_{uv} \geq 0$ .
- We find that a surface inside a black hole does not constrain the area of the black hole at all, so

$$S_{\text{bd}}(\sigma) \geq \begin{cases} S_{\text{bd}}^{(GR)} & \theta_{(k)}\theta_{(l)} \leq 0 \text{ (normal/marginal/extremal surface)} \\ \infty & \theta_{(k)}\theta_{(l)} > 0 \text{ (anti-/trapped surface)} \end{cases}$$





# WHY DOES THIS WORK?

Why does local data on  $\sigma$  know *anything* about areas far away?

Because gravity has **constraints**: a set of initial data on a Cauchy slice  $\Sigma$ , the metric  $g_{\mu\nu}$  and conjugate momenta  $\pi_{\mu\nu} \propto K_{\mu\nu} - g_{\mu\nu}K^\rho_\rho$ , is physical only if it satisfies certain relations.

$$\text{Hamiltonian constraint :} \quad H = \det K - \frac{1}{2}R(\Sigma) + \Lambda = 0$$

$$\text{Momentum constraint :} \quad P_\mu = \nabla^\mu \pi_{\mu\nu} = 0$$

We find the bounds by solving these constraints.



# *The Cauchy Slice Story*



# WHEELER-DEWITT WAVEFUNCTION

$$|\Psi\rangle = \text{[Diagram: A semi-circular arc with the label } \Psi \text{ below it]} \in \mathcal{H}_{\text{CFT}}^{\otimes 2}$$

$$\Psi_{\text{WdW}}[g, \varphi] \equiv \int Dg_3 D\varphi_3 \text{ [Diagram: A shaded semi-circular disk with boundary label } g, \varphi \text{ and interior label } g_3, \varphi_3 \text{, with } \Psi \text{ below it]} \approx \text{[Diagram: A shaded semi-circular disk with boundary label } g, \varphi \text{ and interior label on-shell geometry, with } \Psi \text{ below it]}$$

$$\text{Diff-invariance} \implies \hat{H}\Psi_{\text{WdW}} = \hat{P}_\mu \Psi_{\text{WdW}} = 0.$$



# CAUCHY SLICE HOLOGRAPHY

$$\begin{aligned}
 \langle g, \varphi | \Psi \rangle = & \text{on-shell geometry} \quad \begin{array}{c} \text{bra} \\ \downarrow \\ \uparrow \\ \text{ket} \end{array} \quad \Psi \\
 = & \text{path integral} \longrightarrow \text{in some putative field theory that lives on the Cauchy slice} \\
 & \Psi \\
 = & \sum_{h_{L,R}, \bar{h}_{L,R}} \underbrace{\langle h_{L,R}, \bar{h}_{L,R} | \Psi \rangle}_{\text{Bd wavefunction}} \underbrace{\langle h_R, \bar{h}_R | \mathbb{T}[g, J = \varphi] | h_L, \bar{h}_L \rangle}_{\text{Transition matrix with sources, computed by path integral on the Cauchy slice}}
 \end{aligned}$$

Constraints become relations among stress tensor expectation values

$$\langle \det T - R/2 + \Lambda_3 \rangle = \langle \nabla_\mu T^{\mu\nu} \rangle = 0.$$

This is known as a  **$T\bar{T}$ -deformed theory**.



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Araujo-Regado—Khan—Wall 2022

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Introduced more carefully in Torroba's talk

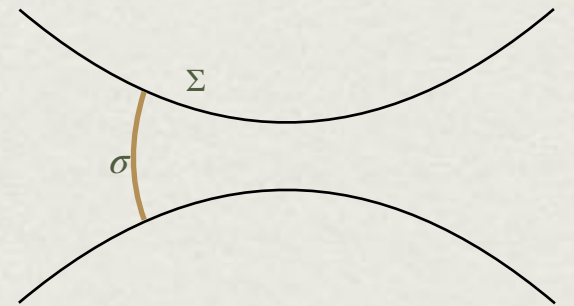
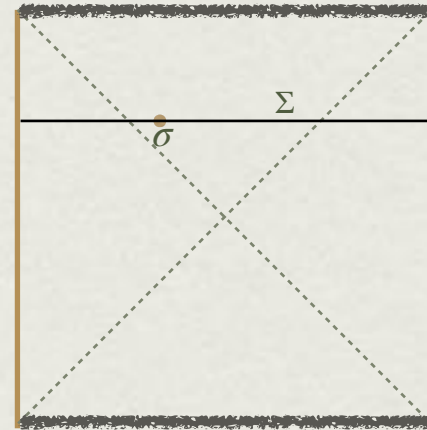


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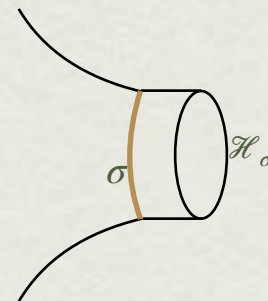
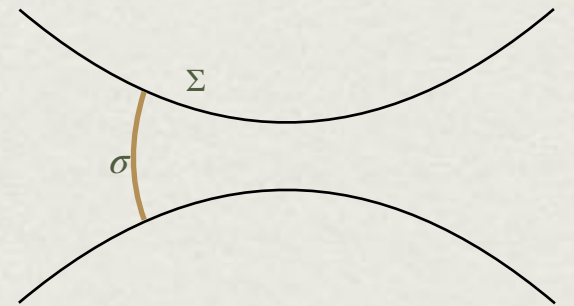
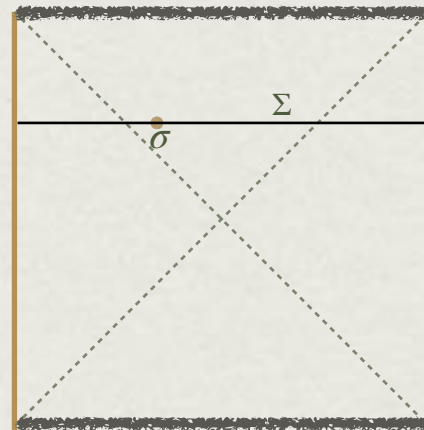
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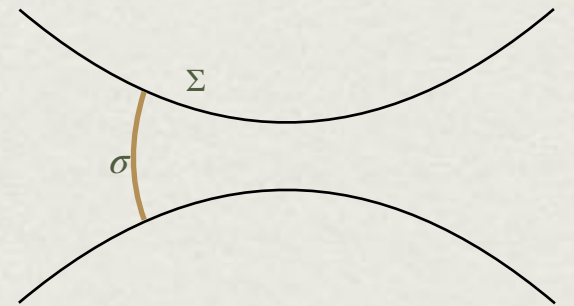
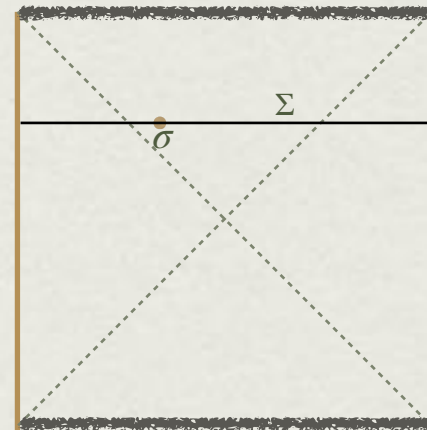
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- Cutting  $\rightarrow \mathcal{H}_\sigma$ .

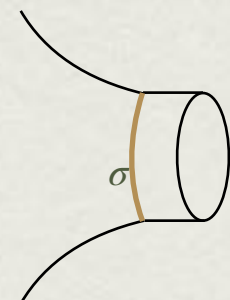




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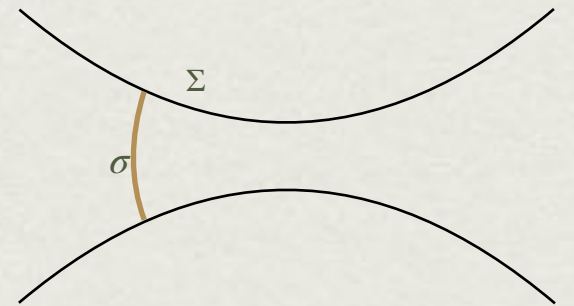
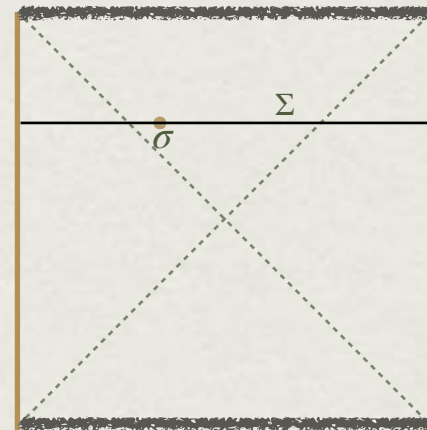




$$E(\sigma) = \frac{A(\sigma)}{8\pi G_N} \sqrt{-2\theta_{(k)}\theta_{(l)}}$$

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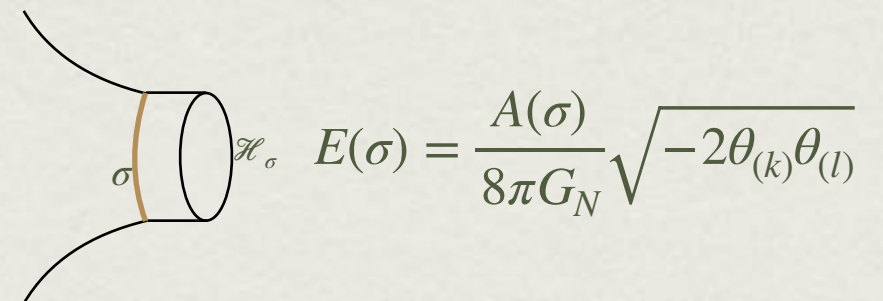
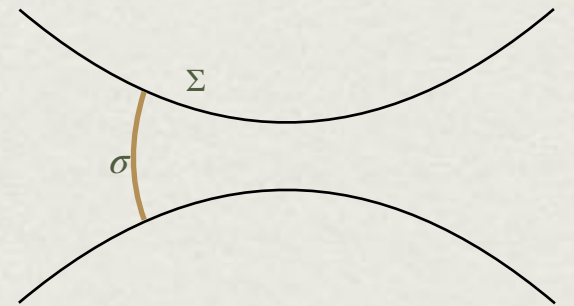
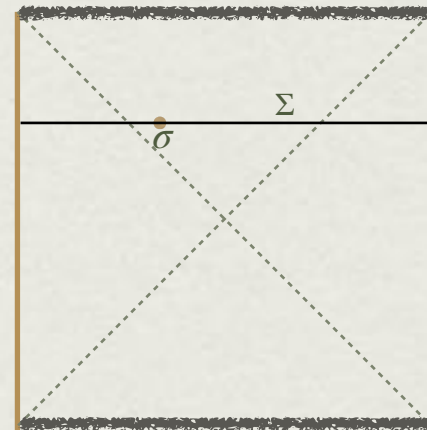
A diagram of a cylinder representing a Hilbert space  $\mathcal{H}_\sigma$ . A point on the cylinder is labeled  $\sigma$ .

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- Cutting  $\rightarrow \mathcal{H}_\sigma$ .
- $K_{(\alpha)\mu\nu} \rightarrow$  energy in this Hilbert space.
- Spacetime is classical  $\rightarrow$  energy has small fluctuations.
- MaxEnt then gives  $\mu$ canonical entropy, which agrees with previous formulas for GR w/out matter.



$$E(\sigma) = \frac{A(\sigma)}{8\pi G_N} \sqrt{-2\theta_{(k)}\theta_{(l)}}$$

$$S_{\text{bd}}(\sigma) = S_{\text{Cardy}} \left( h = \bar{h} = \frac{A^2 \pi^2}{64 G_N} - G_N E^2 \right)$$

$$= \frac{A(\sigma)}{4 G_N} \sqrt{1 + 2\theta_{(k)}\theta_{(l)}}$$

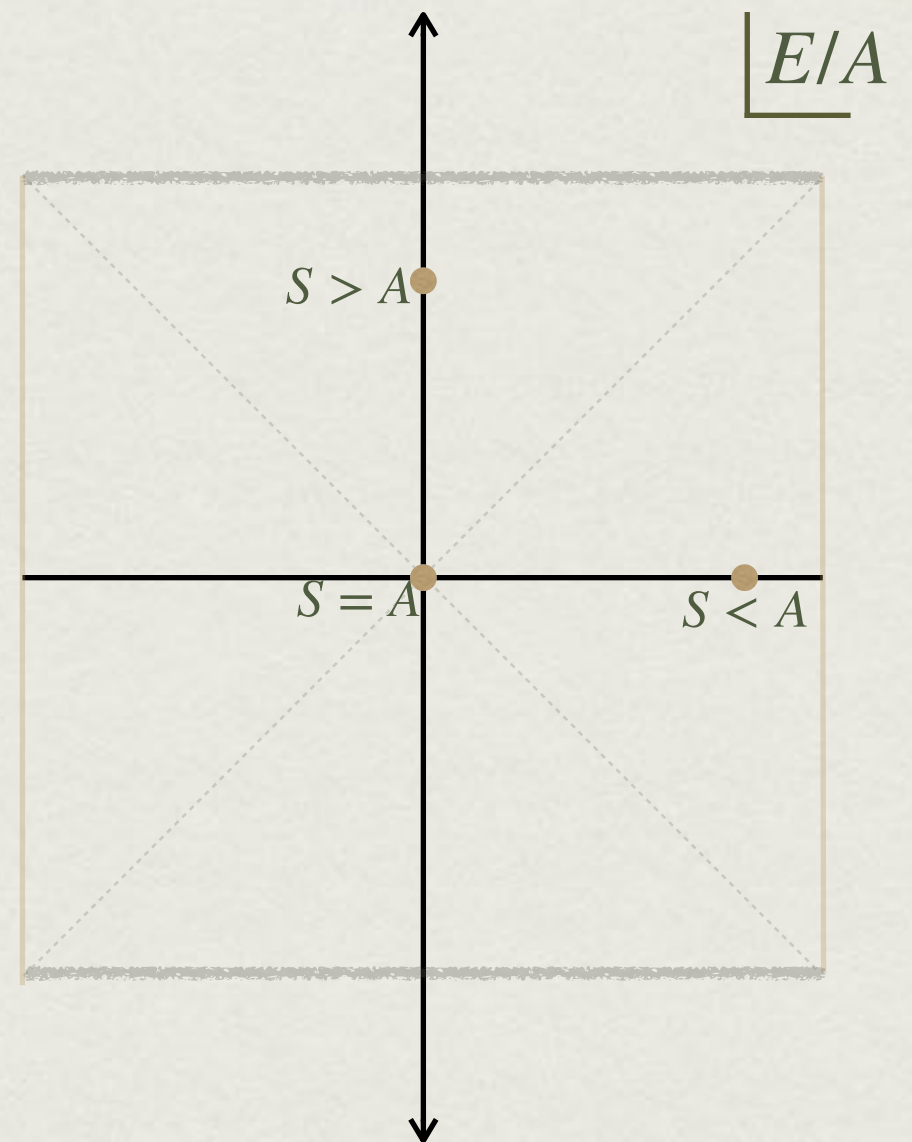
# A RADICAL SPECTRUM

$$E = \frac{A}{8\pi G_N} \sqrt{-2\theta_{(k)}\theta_{(l)}}$$

$S < A \leftrightarrow$  real non-zero energy

$S = A \leftrightarrow$  zero energy

$S > A \leftrightarrow$  imaginary energy



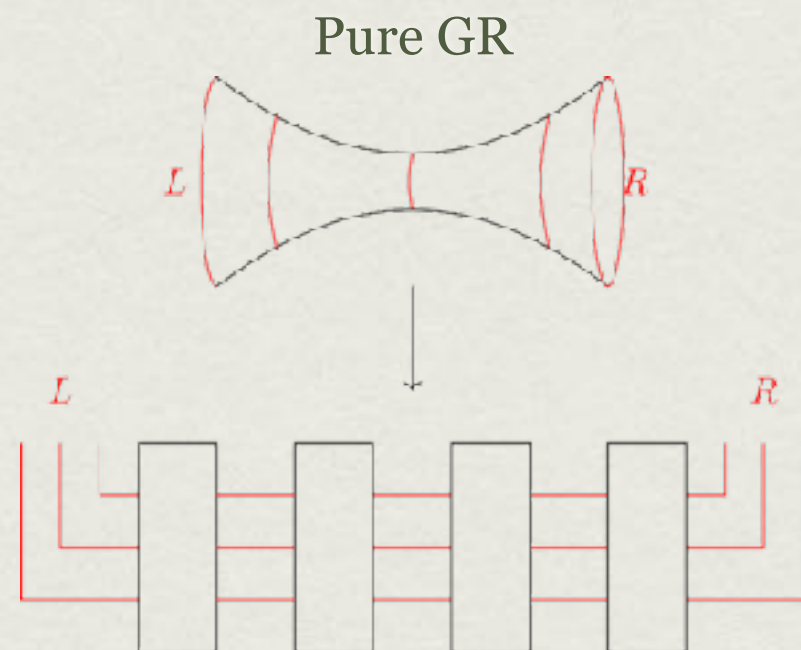


# *Tensor Networks*

# TENSOR NETWORK

Can create TN by discretising  
the path integral.

Log Bond dimension =  $S_{\text{bd}}$ .

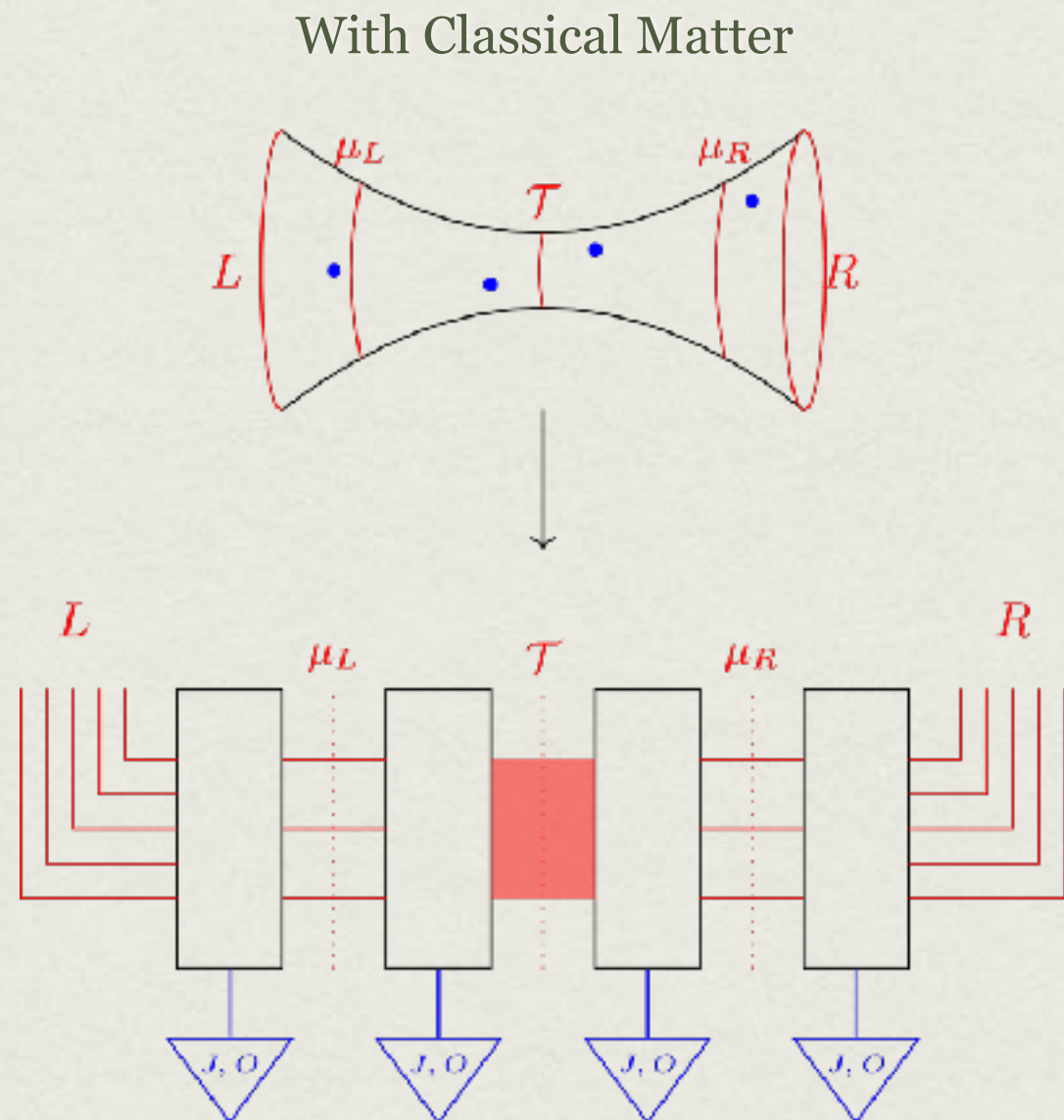
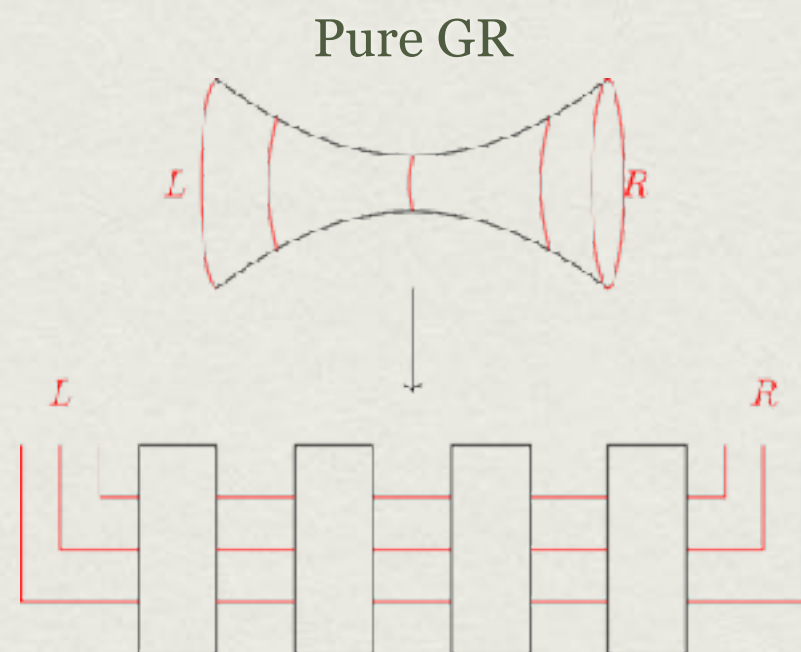




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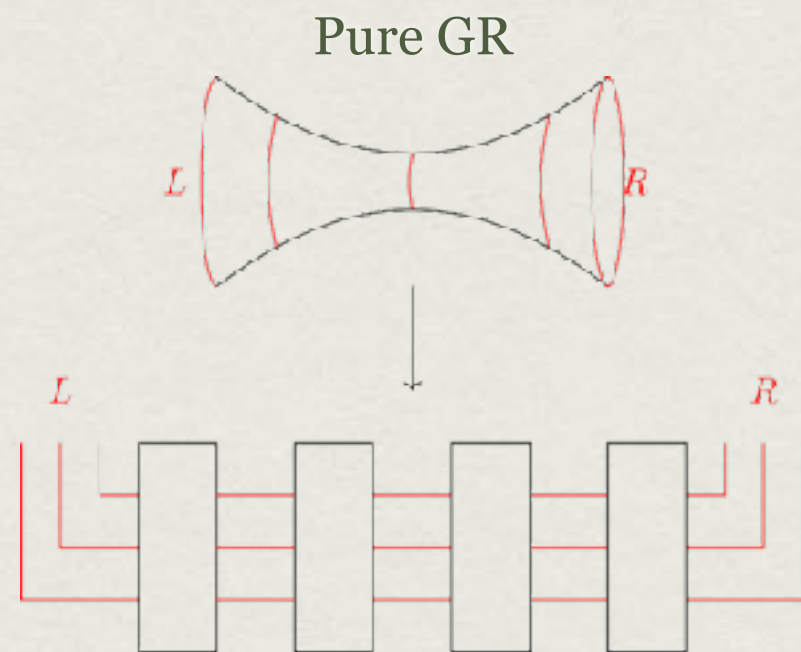


cf. Chandra-Hartman 2023

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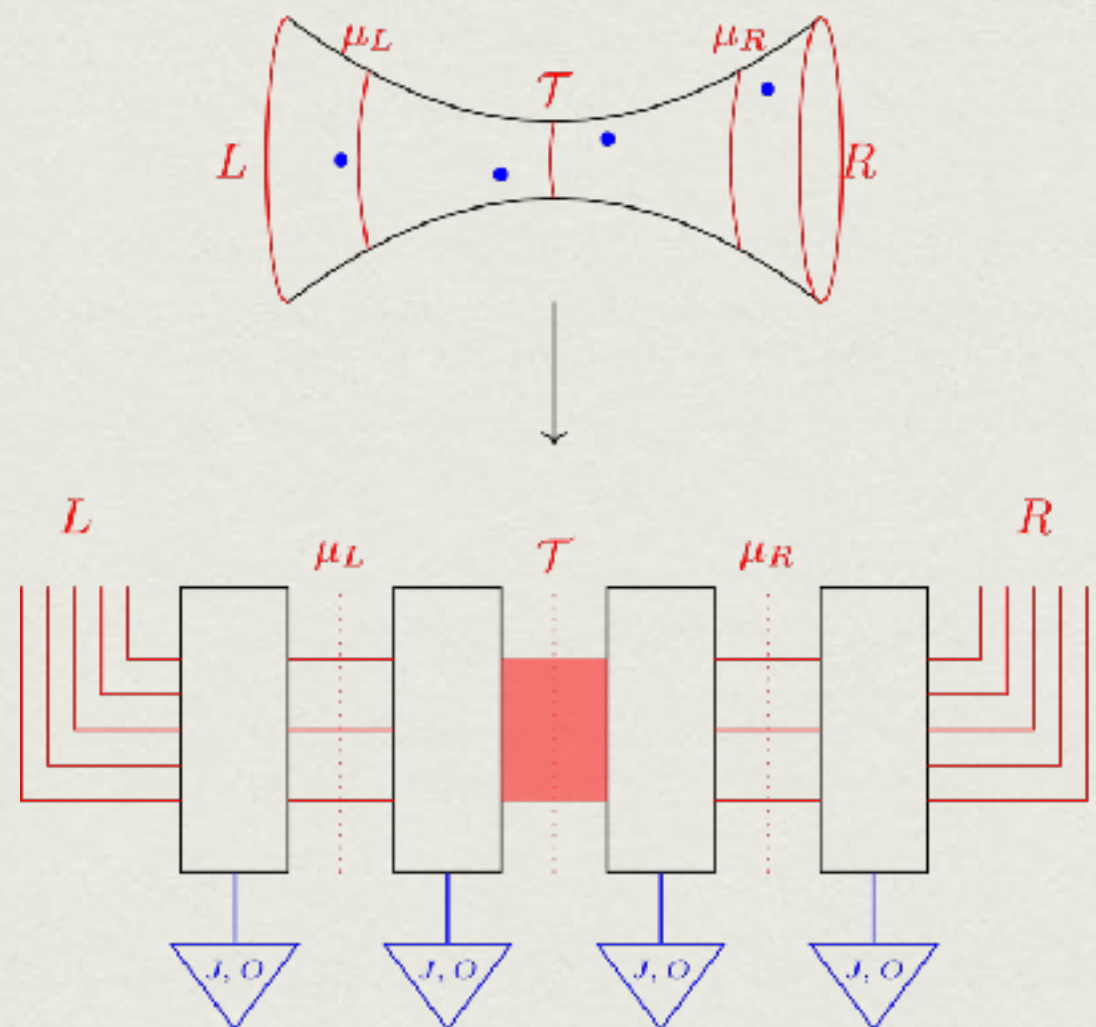
Can create TN by discretising the path integral.

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Works for any Cauchy slice (unlike other TNs).

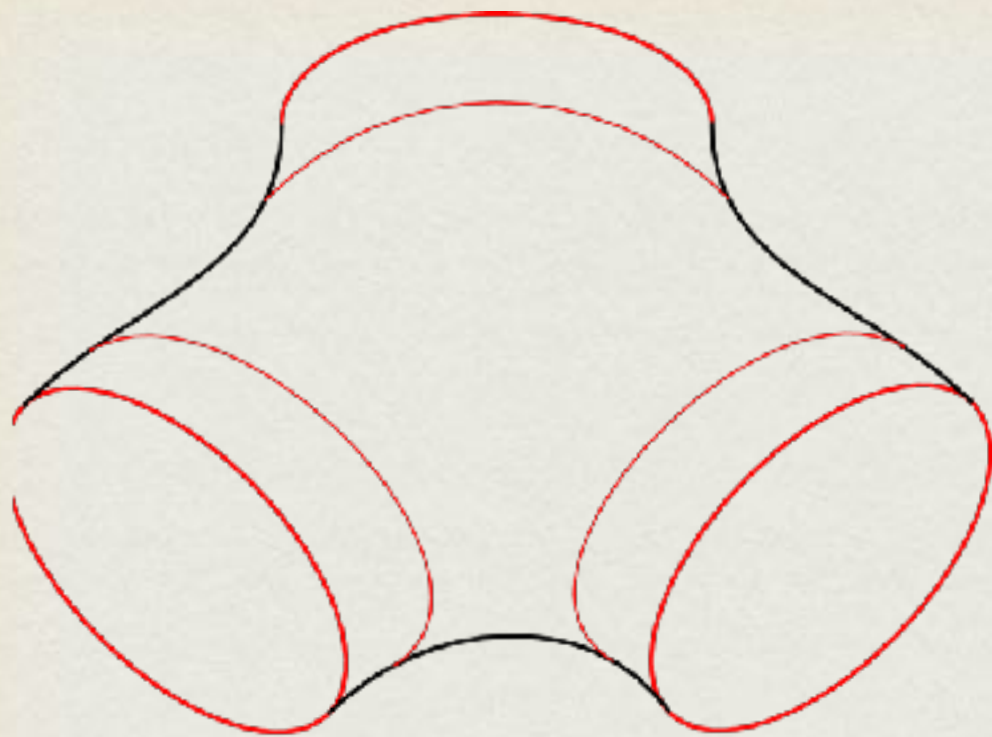
With Classical Matter



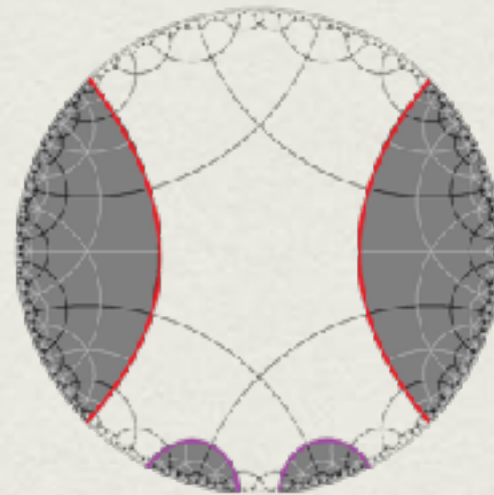
cf. Chandra-Hartman 2023



# MULTIPARTITE TENSOR NETWORKS



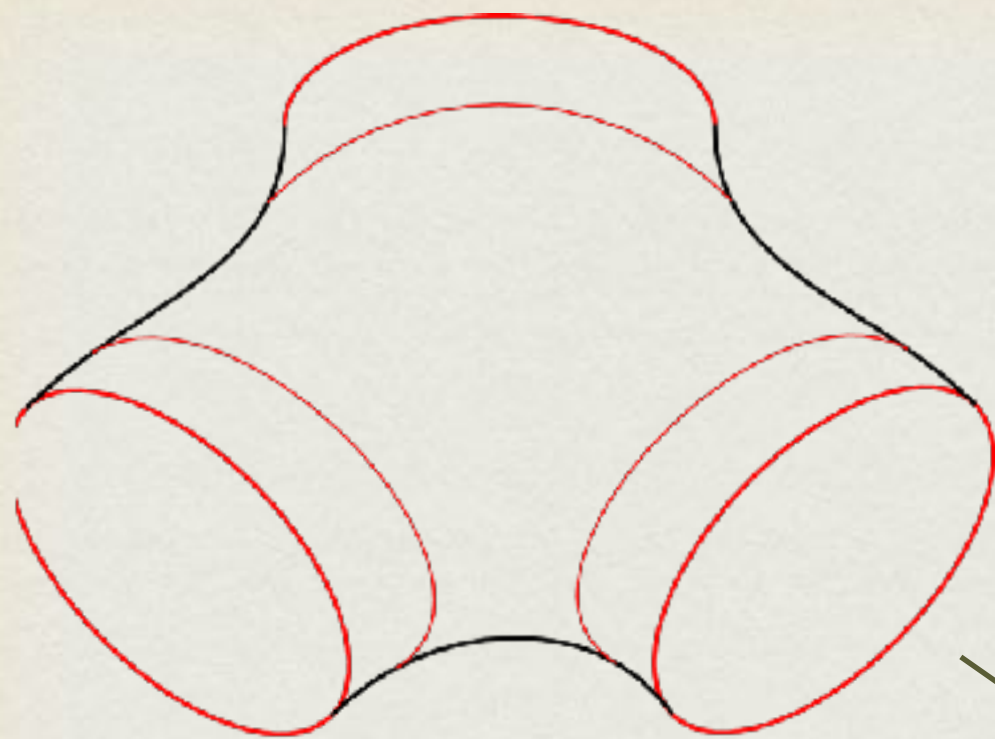
Usual story  
Peach-Ross 2017



This requires breaking up  
each surface into pieces.  
But:  $S_{\text{bd}}(\sigma)$  is not a local  
function on  $\sigma$ ,  
so we can't do this.

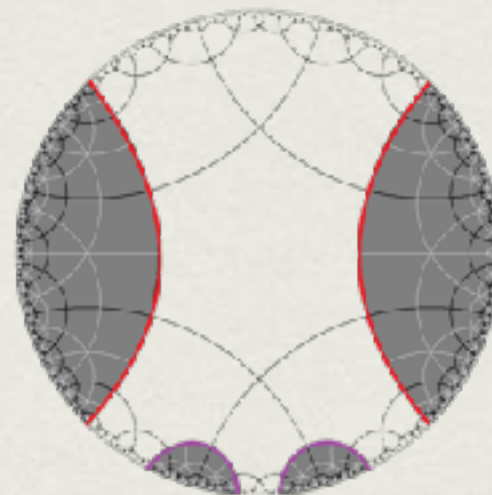


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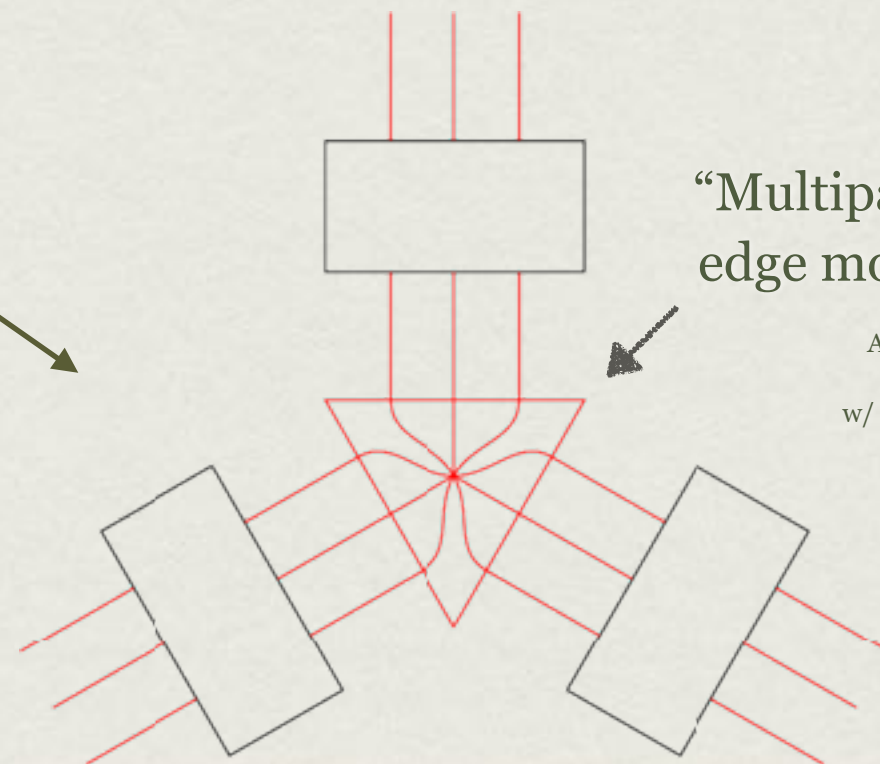
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Our story



“Multipartite  
edge modes”

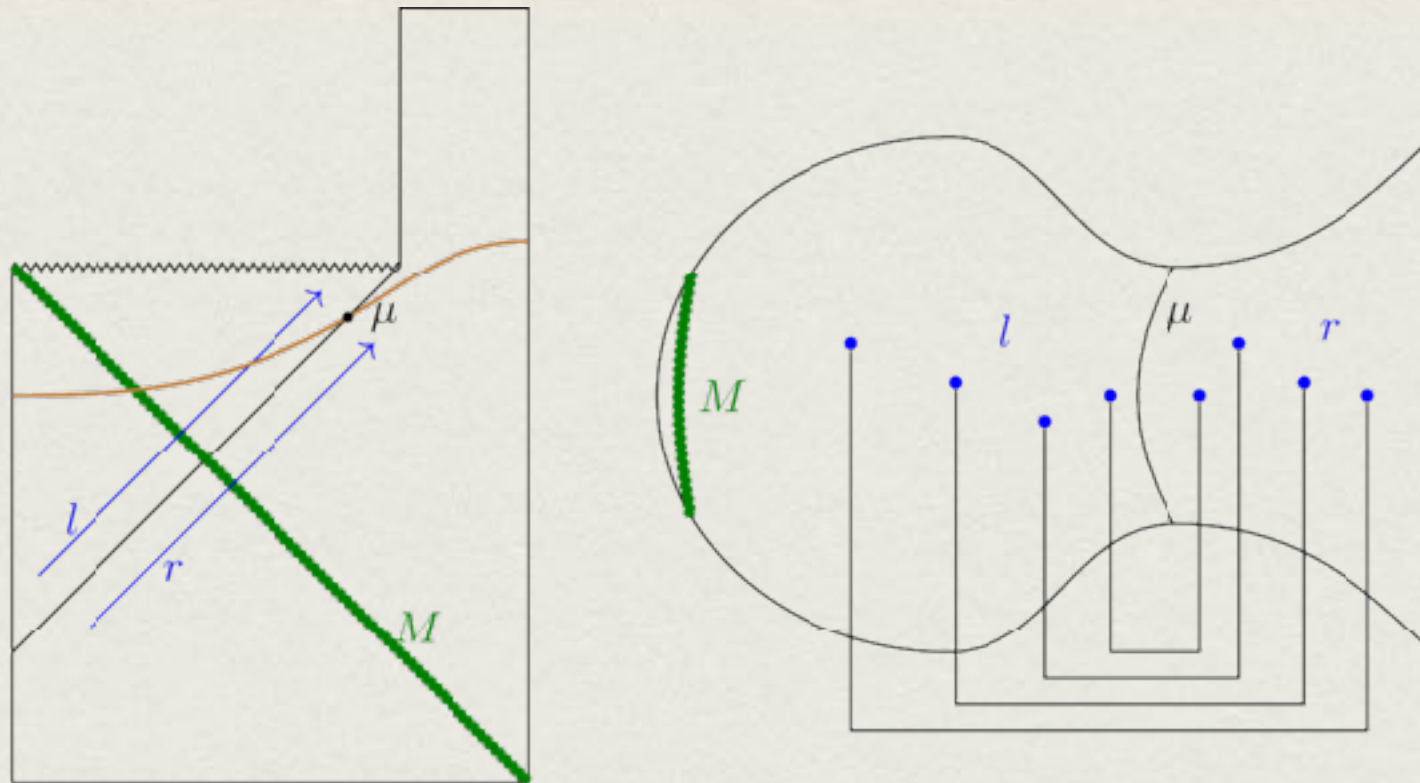
Akers-RMS-Wei 2024  
Related discussions  
w/ Manish Ramchander



# *Black Hole Information*



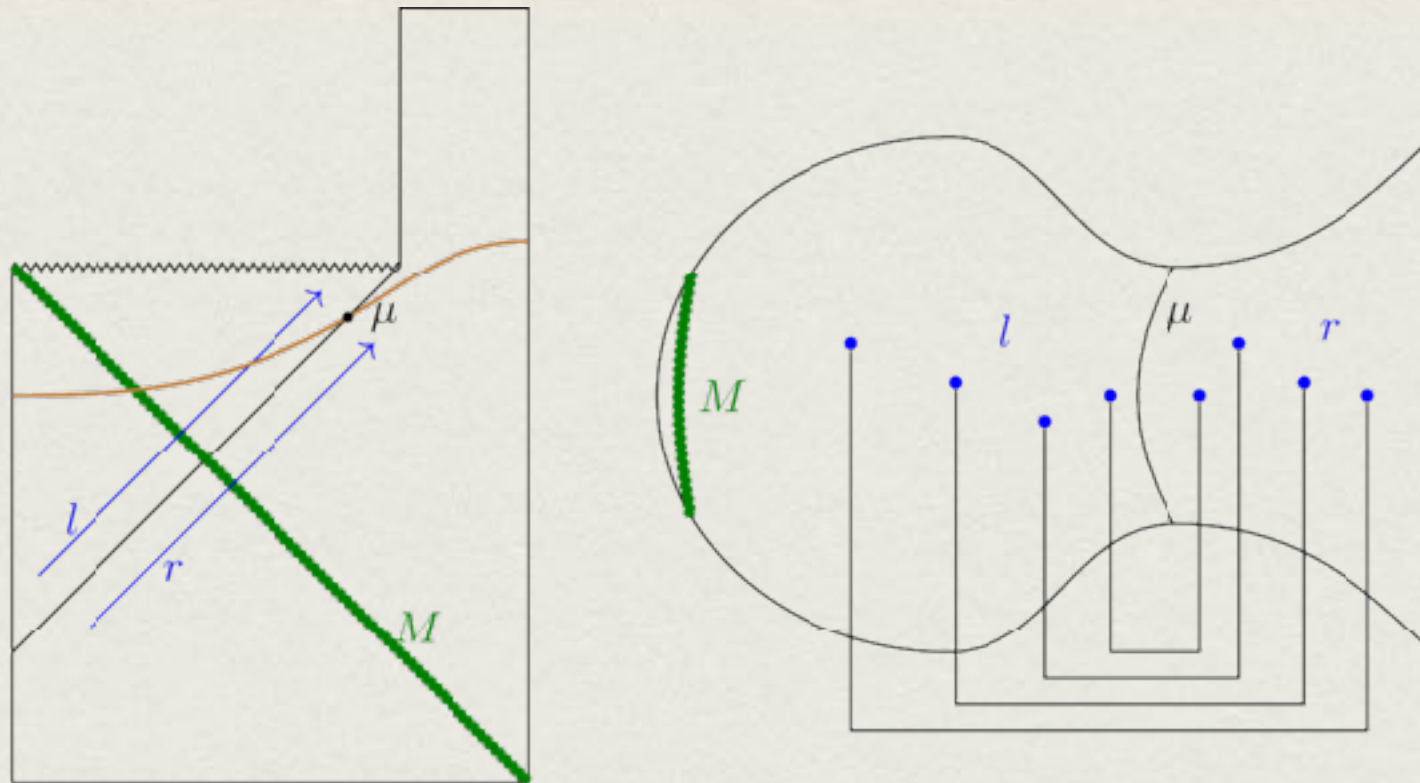
# POSTSELECTION AT THE APPARENT HORIZON



At late times,  $S(lM) > S_{\text{bd}}(\mu)$ , so there is postselection at  $\mu$ .  
Corroborates that bulk-boundary map is non-isometric  
**without using Euclidean wormholes.**



# POSTSELECTION AT THE APPARENT HORIZON



At late times,  $S(lM) > S_{\text{bd}}(\mu)$ , so there is postselection at  $\mu$ .  
Corroborates that bulk-boundary map is non-isometric  
**without using Euclidean wormholes.**

Brown-Gharibyan-Penington-Susskind 2019  
PHEVA 2022  
See also Chandra-Hartman 2023 for  
a similar story.



## *Conclusions*



# SUMMARY

- Explained a bulk MaxEnt question that genuinely upper-bounds UV entropy from data on a codimension-two surface.
- Found the entropy bound in some simple cases.
- Entropy can be bigger than area! Hilbert space dimension of a bulk surface is not constrained by area; area turns up only in a microcanonical entropy.
- There is a dual question in a Cauchy slice theory.
- Obtained TNs for arbitrary Cauchy slices.
- Can argue for non-isometry without using Euclidean wormholes.

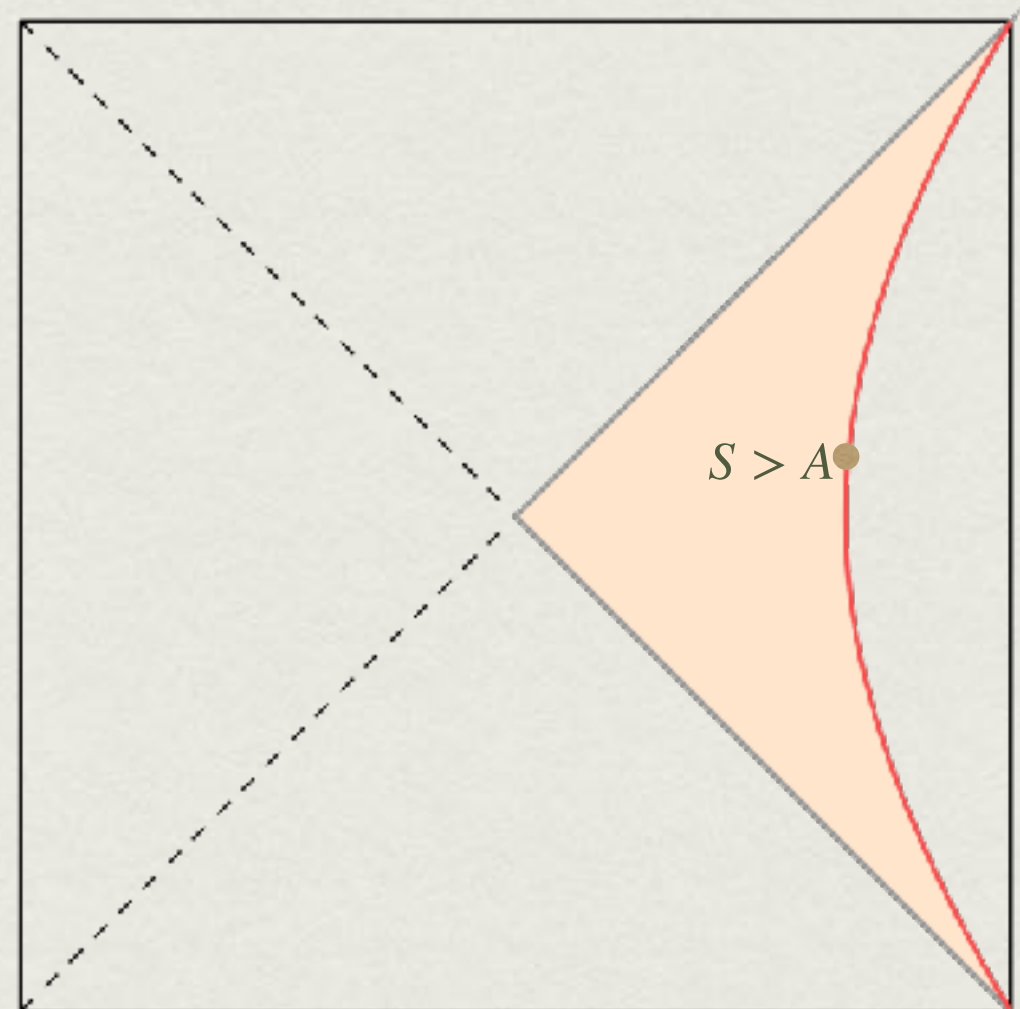


# AN ASIDE ON DS

- In dS, entropy is bigger than area for normal surfaces.
- This means that we can put holographic screens far away from the horizon while still having the correct entropy. Important for any “observer holography” ideas.

Batra-Coleman-de Luca-Mazenc-Shyam  
-Silverstein-RMS 2021-4  
Torroba's talk

$$S_{\text{bd}}(\sigma) = \frac{A}{4G_N} \sqrt{1 - 2\theta_{(k)}\theta_{(l)}}$$





# OPEN QUESTIONS

- Higher dimensions without rotational symmetry?
- Understand infinitely big Python's lunch.
- Derive holographic map in more detail.
- Is there a boundary interpretation of different Cauchy slices?  
Subfactor theory might be useful.
- Use this to factorise the bulk Hilbert space?



# OPEN QUESTIONS

- Higher dimensions without rotational symmetry?

(Higher dimensions *with*  
rotational symmetry dealt with in the paper)

Bousso-Nomura-Remmen 2019

- Understand infinitely big Python's lunch.
- Derive holographic map in more detail.
- Is there a boundary interpretation of different Cauchy slices?  
Subfactor theory might be useful.
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Casini-Huerta-Magán-Pontello 2019  
Magán's talk

Wall 2021