



Einstein Gravity from Matrix Integral

Shota Komatsu



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Based on works [2410.18173](#) and [2411.18678](#) with



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See also [2409.18706](#) by Sean Hartnoll & Jun Liu

Punchlines

Proposal:

- Duality between mass-deformed IKKT matrix integral and IIB backgrounds with exceptional F_4 SUSY.

$$Z = \int d^{10} X_I d^{16} \psi_\alpha e^{-S} \longleftrightarrow \begin{array}{c} z \\ \uparrow \\ \text{---} \Sigma_7 \\ \text{---} S^6 \rightarrow 0 \\ \text{---} \Sigma_3 \\ \text{---} S^2 \rightarrow 0 \\ \text{---} \rho \end{array} \times S^2 \times S^6$$

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Methods:

- SUSY localization of matrix integral
- Construction of BPS SUGRA solution.

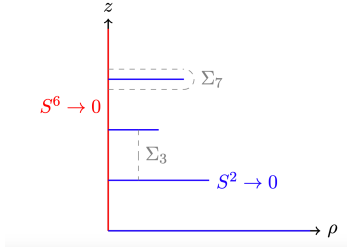
Each saddle point of integral corresponds to a different SUGRA solution

cf. For mass-deformed BFSS (BMN): [Asano, Ishiki, Okada, Shimasaki] [Lin, Maldacena]

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Evidence:

- Saddle point equations = Data charactering SUGRA solutions

Other check: Probe brane analysis cf. [Hartnoll, Liu]

Plan

1. Review of IKKT & mass deformation
2. Analysis of matrix model
3. Analysis of gravity dual
4. Discussion / Conclusion

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(Euclidean) IKKT matrix model

[Ishibashi, Kawai, Kitazawa, Tsuchiya]

$$S_{\text{IKKT}} = \text{Tr} \left(-\frac{1}{4} [X_I, X_J]^2 - \frac{i}{2} \bar{\Psi} \Gamma^I [X_I, \psi] \right)$$

- Theories of $N \times N$ matrices with **16 SUSY** + SO(10) symmetry
- Low energy dynamics of **D(-1) branes**
- Proposed originally as **non-perturbative def of IIB string** (cf. BFSS conjecture)

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- The **simplest top-down example** of holography (with Einstein gravity)??
- Theories without time; **emergence of time**???

Holography for IKKT?

- **Not many works** on holography for IKKT in the past.

Matrix model side:

- **Exact result for partition function** (from SUSY localization):

[Green-Gutperle], [Moore-Nekrasov-Shatashvili], [Krauth-Nicolai-Staudacher]

$$Z_{\text{IKKT}} = \frac{(2\pi)^{(10N+11)(N-2)/2}}{N^{3/2} \prod_{k=1}^{N-1} (k!)} \sigma_2(N)$$

$$\sigma_2(N) = \sum_{d|N} d^2$$

divisor function

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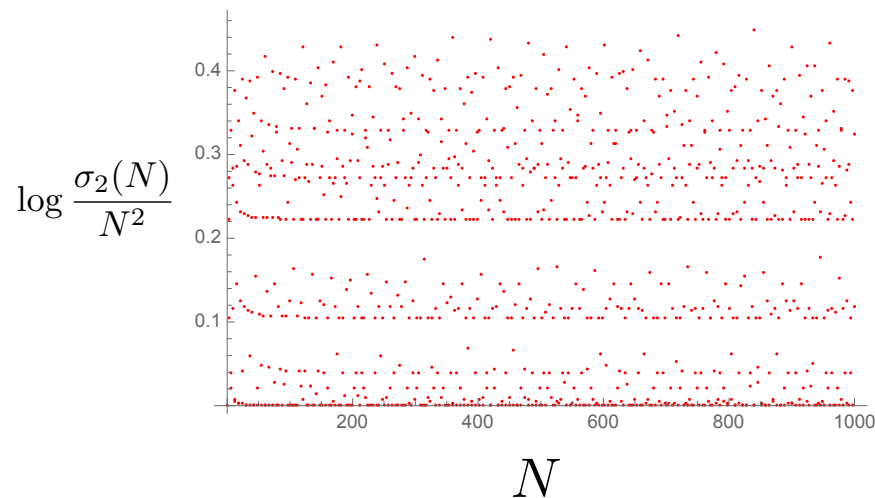
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divisor function



- Used for computing instanton correction to **4 graviton amplitudes** in [Sen]
cf. [Green, Gutperle], [Green, Vanhove]
- **No smooth** large N limit (“**erratic**” in N)

Holography for IKKT?

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Gravity side:

- D(-1) brane geometry and its “decoupling limit”

[Gibbons-Green-Perry], [Ooguri-Skenderis]

$$ds_{\text{Einstein}}^2 = (dx_I)^2 \quad \Bigg| \quad \tau = \chi + ie^{-\phi} = \frac{2i}{g_s \left(1 + \frac{g_s N \ell_s^8}{r^8} \right)}$$

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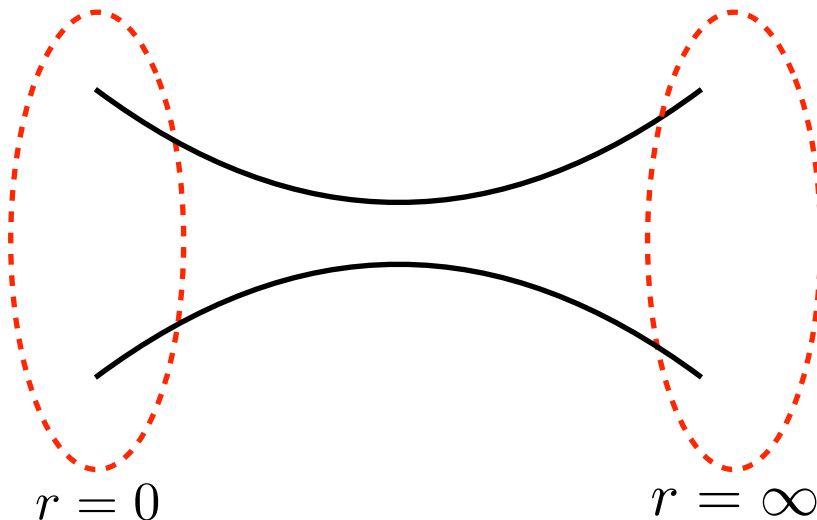
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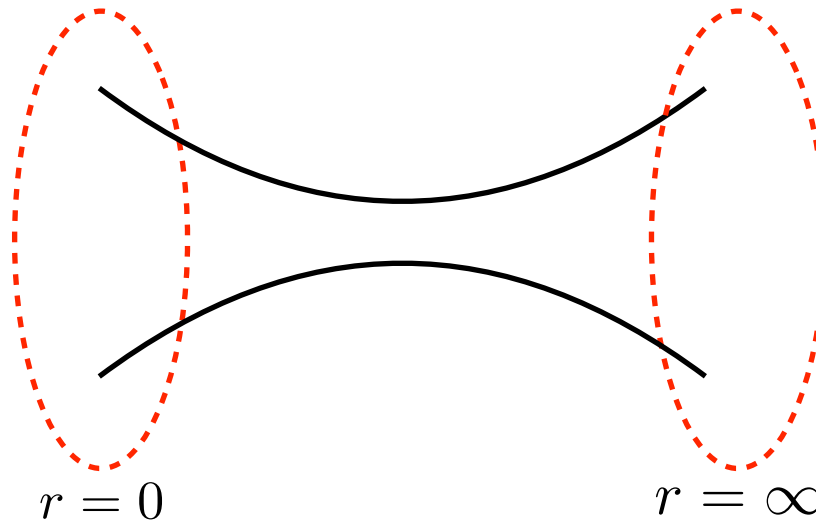
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- String frame metric has $r \leftrightarrow 1/r$ symmetry
“wormhole” [Gibbons-Green-Perry]
- “Decoupling limit”

$$\tau \propto \frac{1}{\cancel{1} + \frac{\#}{r^8}} \quad [\text{Ooguri-Skenderis}]$$

“Decoupling limit”?



- Normally, **excitations near the throat** $\leftrightarrow$ **low energy modes on D-branes**
- **Gravity side**: Flat metric. **No redshift.**
- **Matrix model side**: No time / energy, **no clear notion of energy scale.**
- No smooth large N limit of partition function.
- Better setup for studying holography?

Mass deformed IKKT (“Polarised IKKT”)

[Bonelli]

$$S_{\Omega} = S_{\text{IKKT}} + \text{Tr} \left(\frac{3\Omega^2}{4^3} \sum_{i=1}^3 X_i^2 + \frac{\Omega^2}{4^3} \sum_{p=4}^{10} X_p^2 + i \frac{\Omega}{3} \epsilon^{ijk} X_i X_j X_k - \frac{\Omega}{8} \bar{\psi} \Gamma^{123} \psi \right)$$

- $\text{SO}(10) \rightarrow \text{SO}(3) \times \text{SO}(7)$
- **16 SUSY** (same as IKKT): **exceptional F_4 Lie superalgebra**
cf. 5d superconformal group
- Standard 't Hooft expansion.

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- Classical vacua

$$\begin{array}{l} [X_i, X_j] = \frac{3i\Omega}{8} \epsilon^{ijk} X_k \\ \text{Fuzzy sphere} \\ X_{4,\dots,10} = 0 \end{array} \quad \Bigg| \quad X^i = \frac{3\Omega}{8} \begin{pmatrix} L_{N_1}^i \otimes 1_{n_1} & & & \\ & L_{N_2}^i \otimes 1_{n_2} & & \\ & & \ddots & \\ & & & L_{N_q}^i \otimes 1_{n_q} \end{pmatrix}$$

$L_{N_k}^i$: N_k -dimensional rep of $SU(2)$. n_k : multiplicity of each rep

of vacua = # of partitions of N

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SUSY localization

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- Following [\[Moore-Nekrasov-Shatashvili\]](#) [\[Asano-Ishiki-Okada-Shimasaki\]](#), one can compute the partition function using **SUSY localization**.
- Deform the action by $+tQ\Psi$ and send $t \rightarrow \infty$. Saddle + 1-loop = exact
- Localization saddle:

$$X^i = \frac{3\Omega}{8} \begin{pmatrix} L_{N_1}^i \otimes 1_{n_1} & & & \\ & L_{N_2}^i \otimes 1_{n_2} & & \\ & & \ddots & \\ & & & L_{N_q}^i \otimes 1_{n_q} \end{pmatrix} \quad X^{10} = \begin{pmatrix} 1_{N_1} \otimes M^{(1)} & & & \\ & 1_{N_2} \otimes M^{(2)} & & \\ & & \ddots & \\ & & & 1_{N_q} \otimes M^{(q)} \end{pmatrix}$$

$X^{4,\dots,9} = 0$

$M^{(k)}$: diagonal matrix of size n_k

SUSY localization

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- Partition function:

$$Z = \sum_P Z_P \quad P : \text{partition of } N$$

$$Z_P \propto \int \prod_{s=1}^q \prod_{i=1}^{n_s} dm_i^{(s)} Z_{1\text{-loop}} e^{-\frac{3\Omega^4}{2^7} \sum_{s,i} N_s (m_i^{(s)})^2}$$

$$Z_{1\text{-loop}} = \prod_{(si,tj)} f_{st}(m_i^{(s)} - m_j^{(t)}) \quad \text{“generalized van-der-monde factor”}$$

$$f_{st}(x) = \prod_{J=\frac{|N_s-N_t|}{2}}^{\frac{N_s+N_t}{2}-1} \frac{((3J+2)^2 + (8x)^2)^3 ((3J)^2 + (8x)^2)}{((3J+1)^2 + (8x)^2)^3 ((3J+3)^2 + (8x)^2)}$$

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- When $n_s \gg 1$, standard large N analysis gives

$$\frac{3\Omega^4}{2^7} N_s x^2 - \mu_s + \frac{2}{3} \sum_t \int dy \frac{((\frac{3}{2}|N_s - N_t|)^2 - (8(x-y))^2)}{((\frac{3}{2}|N_s - N_t|)^2 + (8(x-y))^2)^2} \rho^{(t)}(y) = 0$$

$\rho^{(s)}(x) : \text{eigenvalue density}$

Surprise

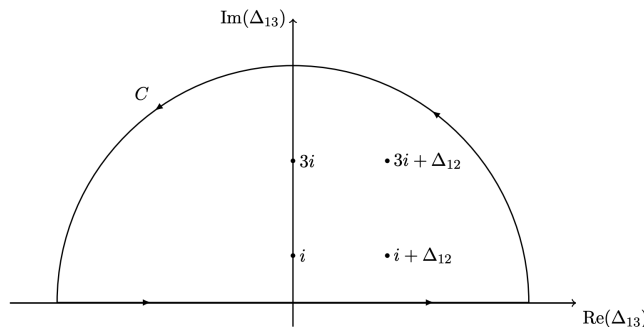
$$\lim_{\Omega \rightarrow 0} Z_{\text{polarized}}^{(\Omega)} \neq Z_{\text{IKKT}}$$

- Partition function

$$Z_{\text{polarized}}^{(\Omega)} \stackrel{\Omega \rightarrow 0}{\sim} \Omega^{-2N} \rightarrow \infty$$

$$Z_{\text{IKKT}} \propto \sigma_2(N) : \text{finite}$$

- Instead of computing the localization integral on the real axis, evaluating the integral by **residues on UHP** gives Z_{IKKT}



$$\stackrel{\Omega \rightarrow 0}{\sim} Z_{\text{IKKT}}$$

- Physical implication? (More later)



Plan

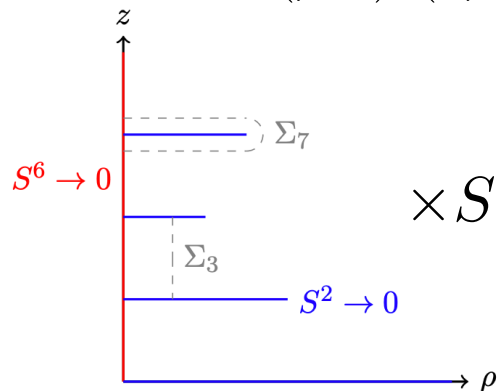
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IIB background with exceptional F_4

- BPS solutions dual to 5d SCFTs preserving **exceptional F_4 SUSY** have been constructed in [d'Hoker, Gutperle, Karch, Uhlemann] [Legramandi, Nunez]
- Appropriate modifications of their analysis give

$$ds^2 = H(\rho, z)^2(d\rho^2 + dz^2) + R_2(\rho, z)d\Omega_2^2 + R_6(\rho, z)^2d\Omega_6^2$$

cf. [Lin-Lunin-Maldacena], [Lin-Maldacena]



$\times S^2 \times S^6$

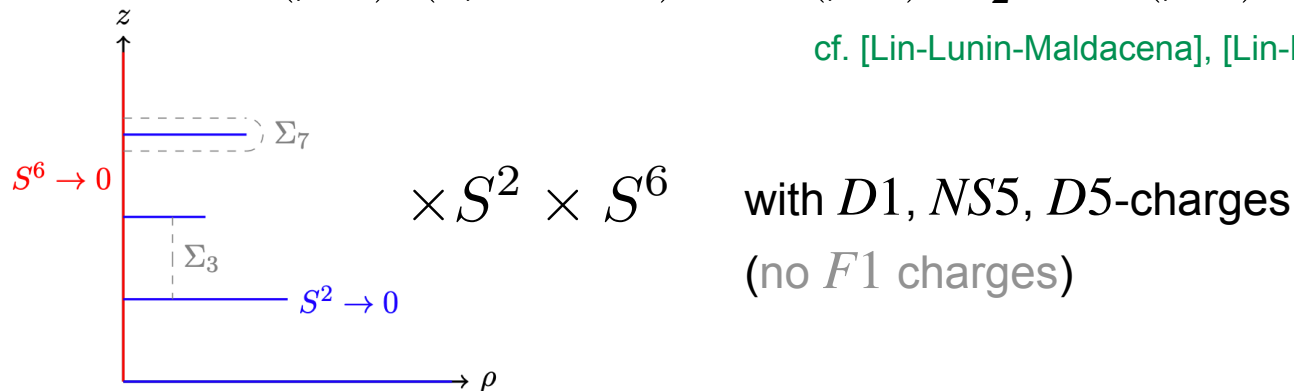
with $D1$, $NS5$, $D5$ -charges
(no $F1$ charges)

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- At $z, \rho \gg 1$, it approaches flat metric of [Ooguri-Skenderis] with const C_2 flux.

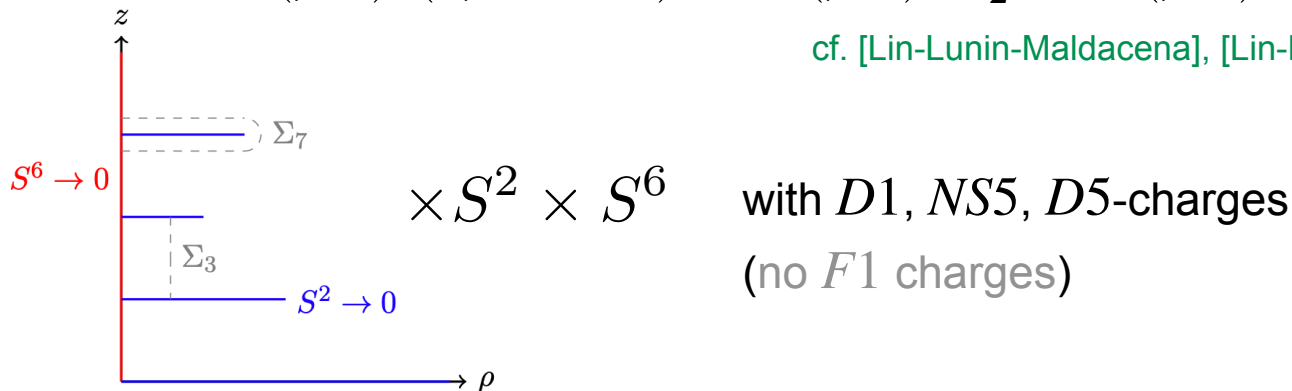
$$dC_2 = -i\mu dx^1 \wedge dx^2 \wedge dx^3 \quad \mu \sim \Omega$$

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$$dC_2 = -i\mu dx^1 \wedge dx^2 \wedge dx^3 \quad \mu \sim \Omega$$

- H and $R_{2,6}$ are given by axi-sym solution to **4d electrostatic potential V**

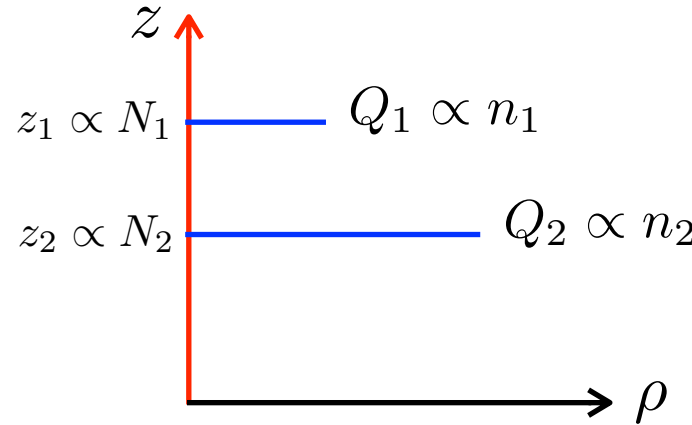
$$\partial_z^2 V + \frac{2}{\rho} \partial_\rho V + \partial_\rho^2 V = 0$$

Boundary condition: **Horizontal lines (blue) = conducting balls** ($V = \text{const}$)

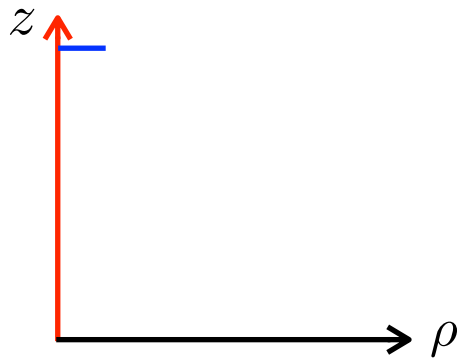
+ background potential $\mu^5(z\rho^2 - z^3)$ (for positivity of metric)

Correspondence with matrix side

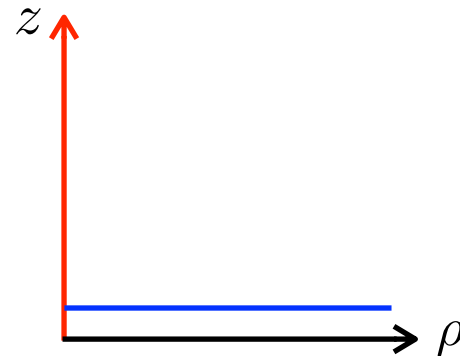
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- Size of irrep $N_k \longleftrightarrow$ Height of conducting ball $z_k = NS5$ charges
- Multiplicity $n_k \longleftrightarrow$ Charge of conducting ball $Q_k = D1$ charges

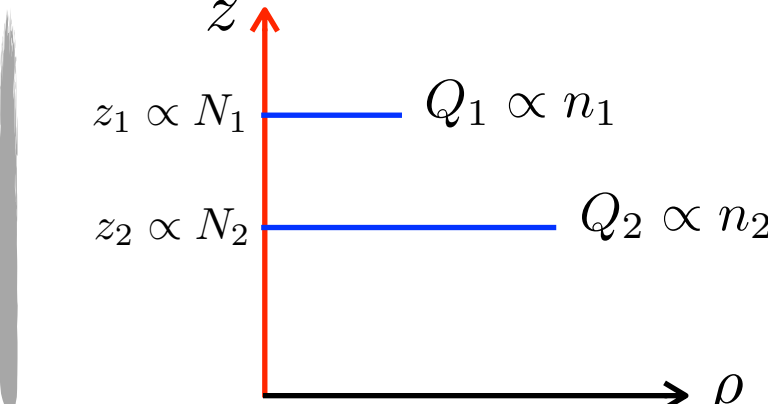


spherical (S^2) probe $D1$ -brane



spherical (S^6) probe $NS5$ -brane

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- Requiring the potential V to be constant on each ball gives

$$\underbrace{\frac{3\Omega^4}{27} N_s x^2 - \mu_s}_{\text{Constant potential + bkd}} + \underbrace{\frac{2}{3} \sum_t \int dy \frac{((\frac{3}{2}|N_s - N_t|)^2 - (8(x - y))^2)}{((\frac{3}{2}|N_s - N_t|)^2 + (8(x - y))^2)^2} \rho^{(t)}(y)}_{\text{Potential created by each ball}} = 0$$

Constant potential + bkd

Potential created by each ball

$\rho^{(s)}(x)$: charge density

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$\rho^{(s)}(x)$: charge density

- Precise agreement with the saddle-point eq of SUSY localization

Implication on undeformed IKKT?

- In many ways, polarized IKKT is **better behaved** than the original IKKT.

IKKT

- No smooth large N limit
- Gravity dual is not fully understood

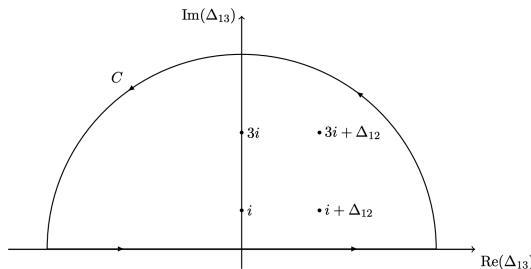
Polarized IKKT

- Standard large N expansion
- Some quantitative match with gravity dual

- Partition function $\lim_{\Omega \rightarrow 0} Z_{\text{polarized}}^{(\Omega)} \neq Z_{\text{IKKT}}$

$$Z_{\text{polarized}}^{(\Omega)} \stackrel{\Omega \rightarrow 0}{\sim} \Omega^{-2N} \rightarrow \infty$$

- By evaluating localization integral by **residues on UHP** gives Z_{IKKT}



$$\stackrel{\Omega \rightarrow 0}{\sim} Z_{\text{IKKT}}$$

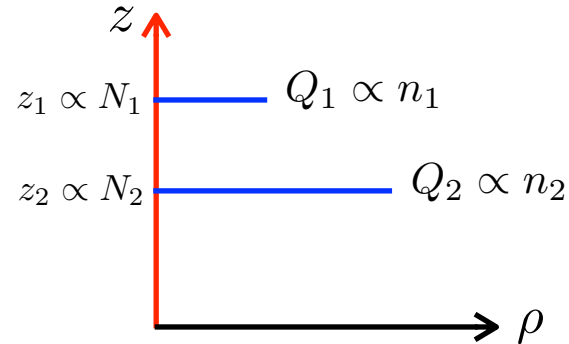
- The asymptotic metric ($\rho, z \gg 1$) becomes **[Ooguri-Skenderis]** when $\Omega \rightarrow 0$.

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- Proposed duality between **polarized IKKT** & **IIB SUGRA backgrounds**
- SUSY localization

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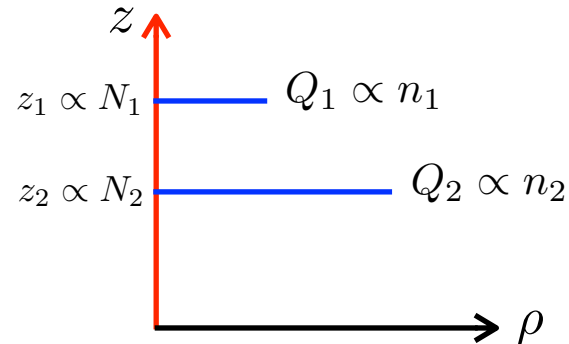
- **Saddle point equations** = **Data charactering SUGRA solutions**

Some loose ends

- Evaluating on-shell SUGRA action (determining the boundary term)
- Prove $\lim_{\Omega \rightarrow 0} Z_{\text{residue}}^{(\Omega)} = Z_{\text{IKKT}}$ for general N
- SUGRA solutions with D7 branes?

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- **Saddle point equations** = **Data charactering SUGRA solutions**
- Holographic dictionary? (Operator insertions $\leftrightarrow$ supergravity modes?)
- Localization of correlation functions ($\text{Tr} \left((X_3 + iX_{10})^m \right)$ etc.)
- Matrix bootstrap? (Measure is not positive) [Lin], [Kazakov, Zheng],....
- Implication to original “IKKT conjecture” ? (Non-perturbative def of IIB string in flat space)

$$\text{Tr}(e^{ikX}) \leftrightarrow \text{graviton vertex op?} \quad \text{Lorentzian IKKT? (Divergent partition fn)}$$