



# Schwinger's anomaly from qubits

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Chatterjee, Pace, SHS, arXiv: 2409.12220 (PRL)

Pace, Chatterjee, SHS, arXiv: 2412.18606



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# Lattice chiral symmetry

- In recent years, we have seen dramatic advancements in our understanding of generalized global symmetries in **continuum QFTs** and in **discretized lattice models**, arising from an interdisciplinary collaboration among high energy physicists, condensed matter physicists, and mathematicians.
- The time is right to revisit an old topic: **lattice chiral symmetries**. Many existing proposals and constructions with their pros and cons.
- Given a QFT, can we realize its **chiral global symmetries** and their **anomalies** on the lattice?

# Vector and axial symmetries

- Simplest setup: A free, massless Dirac fermion  $\Psi = \begin{pmatrix} \Psi_L \\ \Psi_R \end{pmatrix}$  in 1+1d:

$$\mathcal{L} = i\Psi_L^\dagger(\partial_t + \partial_x)\Psi_L + i\Psi_R^\dagger(\partial_t - \partial_x)\Psi_R$$

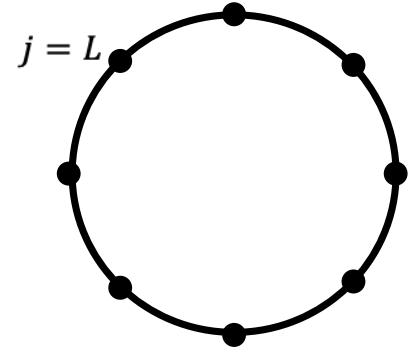
$\Psi_L$  is a one-component, complex, left Weyl fermion, and similarly for  $\Psi_R$ .

$$\text{vector } U(1)^{\mathcal{V}}: \Psi_L \rightarrow e^{-i\theta}\Psi_L, \quad \Psi_R \rightarrow e^{-i\theta}\Psi_R$$

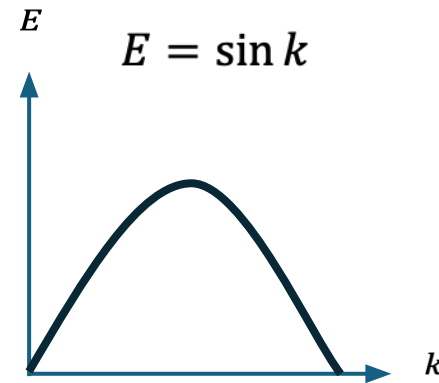
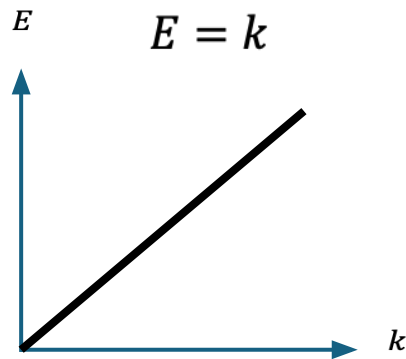
$$\text{axial } U(1)^{\mathcal{A}}: \Psi_L \rightarrow e^{-i\theta}\Psi_L, \quad \Psi_R \rightarrow e^{+i\theta}\Psi_R$$

- The global form of the group is  $[U(1)^{\mathcal{V}} \times U(1)^{\mathcal{A}}]/\mathbb{Z}_2$ .

# Lattice realization of a Dirac fermion

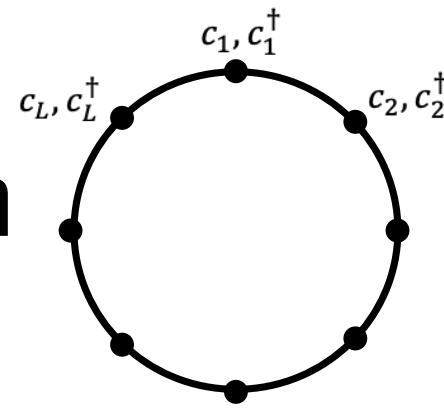


- We will work with the **Hamiltonian** lattice formalism.
- Time is continuous, but space is discrete. 1d spatial lattice of (even)  $L$  sites on a closed ring.
- Naïve lattice regularization:



- Buy one and get one for free: left and right fermions come in pairs  
--- fermion doubling problem.

# Lattice realization of a Dirac fermion



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- To avoid doubling, we place **one** complex fermion  $c_j$  on every lattice site  $j$ .

$$\{c_j, c_{j'}^\dagger\} = \delta_{jj'} \quad , \quad \{c_j, c_{j'}\} = \{c_j^\dagger, c_{j'}^\dagger\} = 0$$

- One qubit per site. The Hilbert space is  $2^L$ -dimensional.
- **Staggered fermion** Hamiltonian [Banks, Kogut, Susskind '75-'77]:

$$H = -i \sum_{j=1}^L (c_j^\dagger c_{j+1} + c_j c_{j+1}^\dagger)$$

- The continuum limit is one free, massless, non-chiral Dirac fermion.

# U(1) symmetry on the lattice

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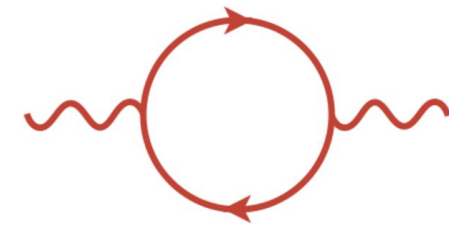
$$H = -i \sum_{j=1}^L (c_j^\dagger c_{j+1} + c_j c_{j+1}^\dagger)$$

- There is a manifest  $U(1)^V$  symmetry, which flows to the **vector** symmetry of the continuum Dirac fermion theory.

$$U(1)^V: c_j \rightarrow e^{-i\theta} c_j$$

- Where is the **axial**  $U(1)^A$  symmetry on the lattice?

# Schwinger anomaly



- Common lore states that it's difficult to realize the axial  $U(1)$  symmetry on the lattice, because of an ('t Hooft) **anomaly** between the vector and axial global symmetries:

*The **axial** symmetry is broken when the **vector** symmetry is gauged, and vice versa [Schwinger '59, Johnson '63].*

$$\partial_\mu j^{A\mu} = \frac{1}{\pi} E$$

where  $j^{A\mu}$  is the axial Noether current and  $E$  is the electric field.

- Perturbative anomalies are particularly challenging to realize on a lattice with **finite-dim** local Hilbert spaces (e.g., qubits).
- This anomaly has been realized with infinite-dim local Hilbert spaces [Gross-Klebanov '90, Sulejmanpasic-Gattringer '19, Gorantla-Lam-Seiberg-SHS '21, Cheng-Seiberg '22, Fazza-Sulejmanpasic '22 ...]

# This talk [Chatterjee-Pace-SHS '24]

- $$H = -i \sum_{j=1}^L (c_j^\dagger c_{j+1} + c_j c_{j+1}^\dagger)$$
- We will discuss the realization of both the vector and the axial charges  $Q^V$  and  $Q^A$  in this lattice model.
- $[Q^V, H] = [Q^A, H] = 0$  and  $Q^V \in \mathbb{Z}$ ,  $Q^A \in \mathbb{Z}$ .
- BUT,
$$[Q^V, Q^A] \neq 0$$



$$\begin{aligned} \text{vector } U(1)^V: & \Psi_L \rightarrow e^{-i\theta} \Psi_L, \quad \Psi_R \rightarrow e^{-i\theta} \Psi_R \\ \text{axial } U(1)^A: & \Psi_L \rightarrow e^{-i\theta} \Psi_L, \quad \Psi_R \rightarrow e^{+i\theta} \Psi_R \end{aligned}$$

# Charge conjugation symmetry

- The continuum vector and axial charges are related by

$$Q^A = C^R Q^V (C^R)^{-1}$$

- $C^R$  is a **charge conjugation** that only acts on the right-movers.

$$C^R \Psi_R (C^R)^{-1} = \Psi_R^\dagger$$

- Since we already have the vector charge on the lattice, it suffices to find a lattice symmetry that flows to  $C^R$  in the continuum limit.

$$\{a_j, a_{j'}\} = \{b_j, b_{j'}\} = 2\delta_{jj'}$$

$$H = -2i \sum_{j=1}^L (a_j a_{j+1} + b_j b_{j+1})$$

# Think real

- On the lattice, we decompose every complex fermion into two **real** fermions  $a_j, b_j$ :

$$\text{Lattice: } c_j = a_j + ib_j$$

↓

$$\text{Continuum: } \Psi_L = A_L + iB_L$$

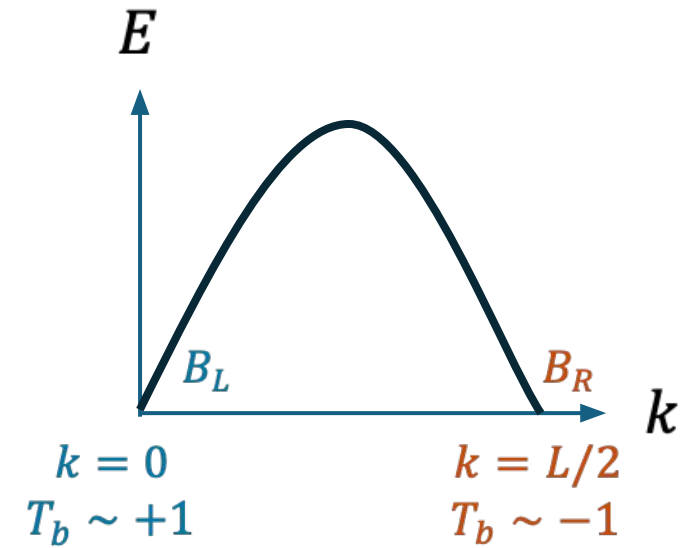
$$\Psi_R = A_R + i\mathbf{B}_R$$

- $\mathcal{C}^R$  flips the sign of a single, right-moving Majorana-Weyl fermion:

$$\mathcal{C}^R A_R (\mathcal{C}^R)^{-1} = A_R \quad , \quad \mathcal{C}^R B_R (\mathcal{C}^R)^{-1} = -B_R$$

- Which lattice symmetry only flips the sign of  $B_R$  in the continuum limit?

# Lattice translation



- Focusing on the  $b_j$  fermion, the states  $|k\rangle$  near  $k = 0$  and  $k = L/2$  flow to  $B_L$  and  $B_R$ , respectively.

- Majorana lattice translation  $T_b$  for  $b_j$ :

$$T_b a_j T_b^{-1} = a_j, \quad T_b b_j T_b^{-1} = b_{j+1}$$

$$T_b |k\rangle = e^{-2\pi i k/L} |k\rangle$$

- $T_b$  flips the sign of the **right**-movers, but not the **left**-movers.

$$T_b \rightarrow \mathcal{C}^R$$

In contrast to

$$T a_j T^{-1} = a_{j+1}$$

$$T b_j T^{-1} = b_{j+1}$$

[..., Susskind '77]

# Lattice axial charge

- To mimic the continuum relation  $Q^A = \mathcal{C}^R Q^V (\mathcal{C}^R)^{-1}$ , we define the following operator on the lattice:

$$Q^A = T_b Q^V T_b^{-1} = \frac{i}{2} \sum_j a_j b_{j+1}$$

where  $Q^V = \frac{i}{2} \sum_j a_j b_j$ .

- $Q^A$  flows to the continuum axial charge.

# Lattice axial charge

- $$Q^A = \frac{i}{2} \sum_j a_j b_{j+1}$$
- 1. It commutes with the Hamiltonian,  $[Q^A, H] = 0$ .
- 2. It is **quantized**, i.e., it has integer eigenvalues,  $Q^A \in \mathbb{Z}$ .
- 3. It is a sum of local terms. Lattice **Noether current**.
- 4. It acts **locally** on the fermions:

$$e^{i\theta Q^A} a_j e^{-i\theta Q^A} = \cos \theta a_j + \sin \theta b_{j+1}$$
$$e^{i\theta Q^A} b_j e^{-i\theta Q^A} = \cos \theta b_j - \sin \theta a_{j-1}$$

But...

- 

$[Q^V, Q^A] \neq 0$  on the lattice

$[Q^V, Q^A] = 0$  in the continuum

# Non-abelian algebra $[Q^V, Q^A] \neq 0$

- $Q^V, Q^A$  generate the **Onsager algebra** [1944]:

$$\begin{aligned} [Q_n, Q_m] &= iG_{m-n} \ , \quad [G_n, G_m] = 0 \\ [Q_n, G_m] &= 2i(Q_{n-m} - Q_{n+m}) \end{aligned}$$

where  $Q_n = \frac{i}{2} \sum_j a_j b_{j+n}$ ,  $G_n = \frac{i}{2} \sum_j (a_j a_{j+n} - b_j b_{j+n})$  and  
 $Q_0 = Q^V, Q_1 = Q^A$ .

- $\lim_{L \rightarrow \infty} G_n = 0$ , so  $[Q^V, Q^A] \rightarrow 0$  in the continuum limit.

# A tale of two charges

- Quantized charge

[Thacker '94, Horvath-Thacker '98]

$$Q^A = \frac{i}{2} \sum_j a_j b_{j+1}$$

$$[Q^A, Q^V] \neq 0, \quad Q^A \in \mathbb{Z}$$

- Unquantized charge

[Banks-Susskind-Kogut '76]

$$\tilde{Q}^A = \frac{i}{4} \sum_j (a_j b_{j+1} - b_j a_{j+1})$$

$$[\tilde{Q}^A, Q^V] = 0, \quad \tilde{Q}^A \notin \mathbb{Z}$$

- Both  $Q^A$  and  $\tilde{Q}^A$  flow to the same axial charge in the continuum limit.
- We do not have a lattice **axial charge** that is both (1) quantized and (2) commutes with the **vector charge**.



# Obstruction to a gapped phase

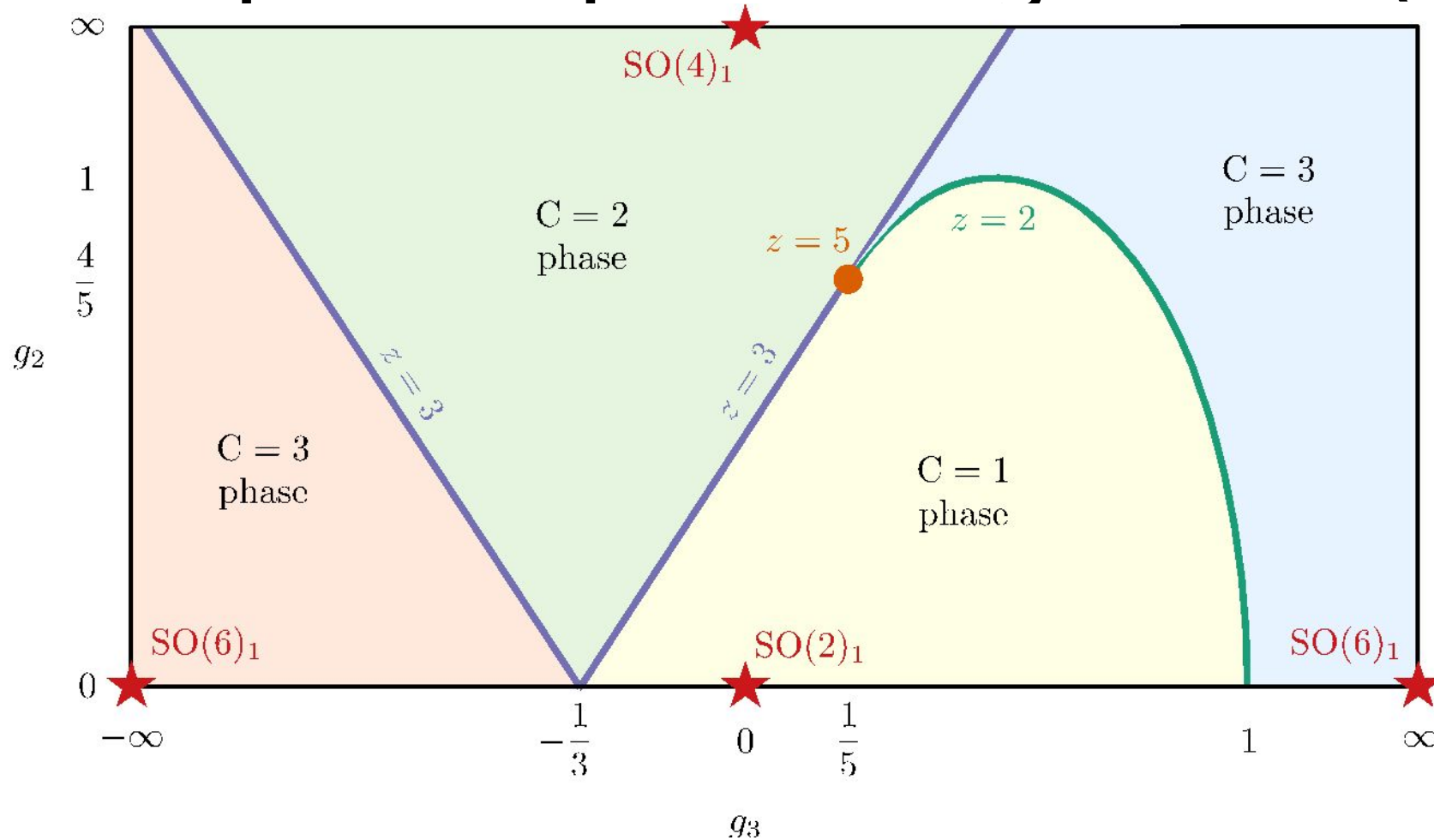
[Chatterjee-Pace-SHS '24]

- What are the consequences of these lattice symmetries  $Q^V, Q^A$ ?
- We show that

*Any Hamiltonian that commutes with the lattice vector and axial symmetries  $Q^V, Q^A$  is necessarily **gapless**.*

- It is reminiscent of the consequence of perturbative **anomalies** in continuum field theory.

# Gapless phases preserving both U(1)s



- A 2-dim slice of the phase diagram preserving both U(1)s. The entire phase diagram is gapless.
- C stands for the number of Dirac fermion modes. Stars are the relativistic CFT points.

# Winding symmetry in the XX spin chain

[Pace-Chatterjee-SHS '24]

- Bosonization maps the fermion Hamiltonian to the **XX model**:

$$H_{XX} = \sum_j (X_j X_{j+1} + Y_j Y_{j+1})$$

which flows to the **c=1 compact boson CFT** at radius  $R = \sqrt{2}$  corresponding to the “Dirac point.”

- Exact lattice **momentum**  $Q^M$  and **winding**  $Q^W$  symmetries [Vernier-O’Brien-Fendley ‘18, Yuan ‘21, Popkov-Zhang-Gohmann-Klumper ‘23].
- Exact **T-duality** associated with a **non-invertible symmetry operator**  $D$ :

$$DQ^M = 2Q^W D$$

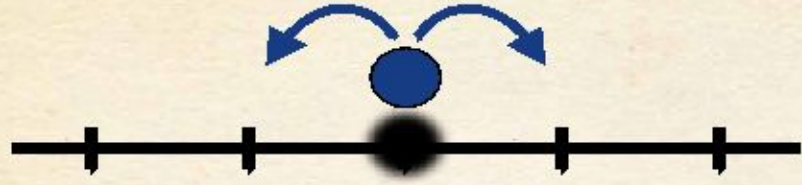
# Summary

- $$H = -i \sum_{j=1}^L (c_j^\dagger c_{j+1} + c_j c_{j+1}^\dagger)$$
- In addition to the manifest **vector**  $U(1)^V$  symmetry  $c_j \rightarrow e^{-i\theta} c_j$ , this lattice Hamiltonian has an exact **axial**  $U(1)^A$  symmetry.
- However, the lattice vector and axial charges  $Q^V, Q^A$  don't commute, and generate the **Onsager algebra**.
- The **Schwinger anomaly** of the continuum free Dirac fermion field theory emanates from the non-abelian Onsager algebra on the lattice. Novel anomaly matching mechanism between UV and IR [John, Xie, Meng, and Maissam's talks].
- The lattice symmetries  $Q^V, Q^A$  force the Hamiltonian to be **gapless**.

# Outlook

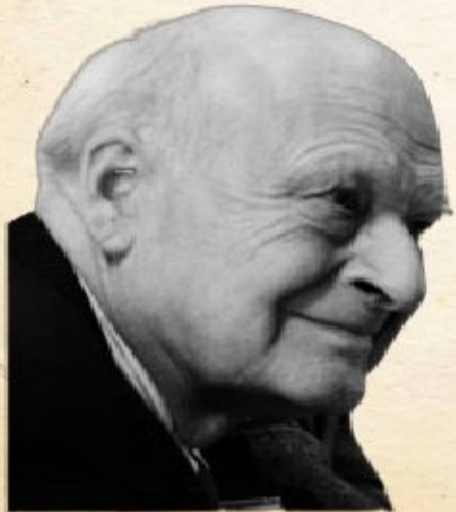
- Relation to the Nielsen-Ninomiya theorem.
- Can we realize more general chiral symmetries on the lattice?  
Non-abelian chiral symmetries?
- Generalized symmetries in lattice QED and QCD. Relation to the mass shift formula [Dempsey-Klebanov-Pufu-Zan '22].
- Generalization to higher spacetime dimensions?

## Lattice fermions



Onsager's algebra

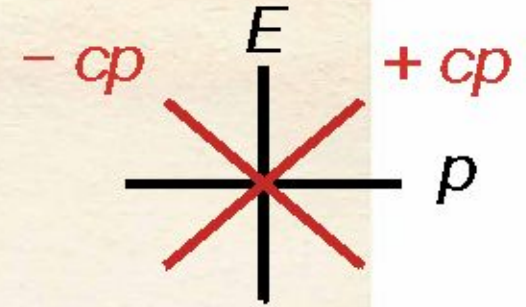
$$[Q^V, Q^A] \neq 0$$



RG



## Dirac fermion



Schwinger's anomaly

