

Tameness and Complexity in QFTs and the Landscape

Thomas W. Grimm

Utrecht University



Based on work done in collaboration with

Mick van Vliet, Arno Hoefnagels, Jeroen Monnee, Damian van de Heisteeg,
Lorenz Schlechter, Mike Douglas

Mathematicians: Benjamin Bakker, Christian Schnell, Jacob Tsimerman

Introduction

Complexity in Quantum Field Theories

- Given a Quantum Field Theory (QFT):

$$S^{(D)} = - \int d^D x \left(K(\phi) (\partial\phi)^2 + V(\phi) + \dots \right)$$

Can we understand some of the properties of such a QFT, such as its vacuum structure, by using a measure of **complexity** associated to the QFT and its observables?

Complexity in Quantum Field Theories

- Given a Quantum Field Theory (QFT):

$$\mathcal{S}^{(D)} = - \int d^D x \left(K(\phi) (\partial\phi)^2 + V(\phi) + \dots \right)$$

Can we understand some of the properties of such a QFT, such as its vacuum structure, by using a measure of **complexity** associated to the QFT and its observables?

- Need to introduce a notion of complexity for a function and set

Geometric/logical complexity from tame geometry

roughly: **amount of information** that needs to be specified to encode set or function

Complexity in the space of QFTs

- Apply notion in the space of QFTs:



- Complexity generically infinite

Complexity in the space of QFTs

- Apply notion in the space of QFTs:



- Complexity generically infinite

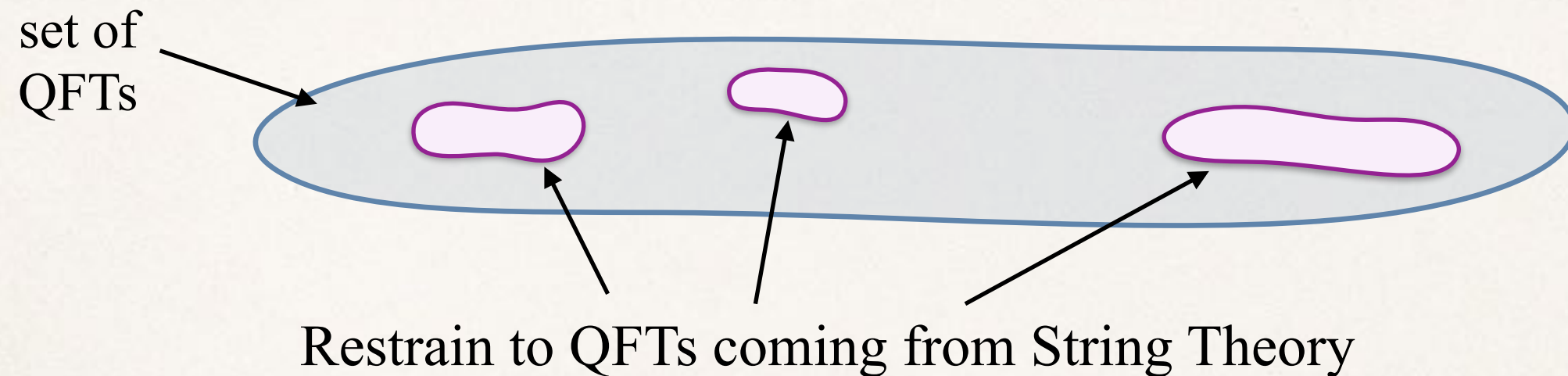
infinitely many free coefficients: generic analytic potential

$$V(\phi) = \sum_{n=1}^{\infty} a_n \phi^n$$

infinitely many vacua: $V(\phi) = \cos \phi + \cos \alpha \phi$ $V(\phi) = \sin(1/\phi)$
 α irrational

Complexity in the space of QFTs

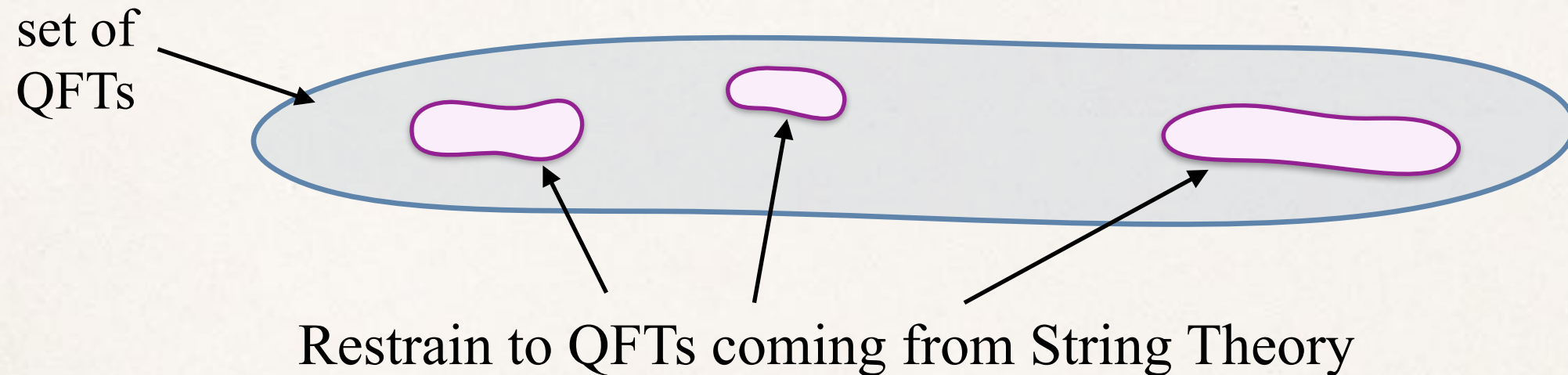
- Apply notion in the space of QFTs:



- Expect better finiteness properties

Complexity in the space of QFTs

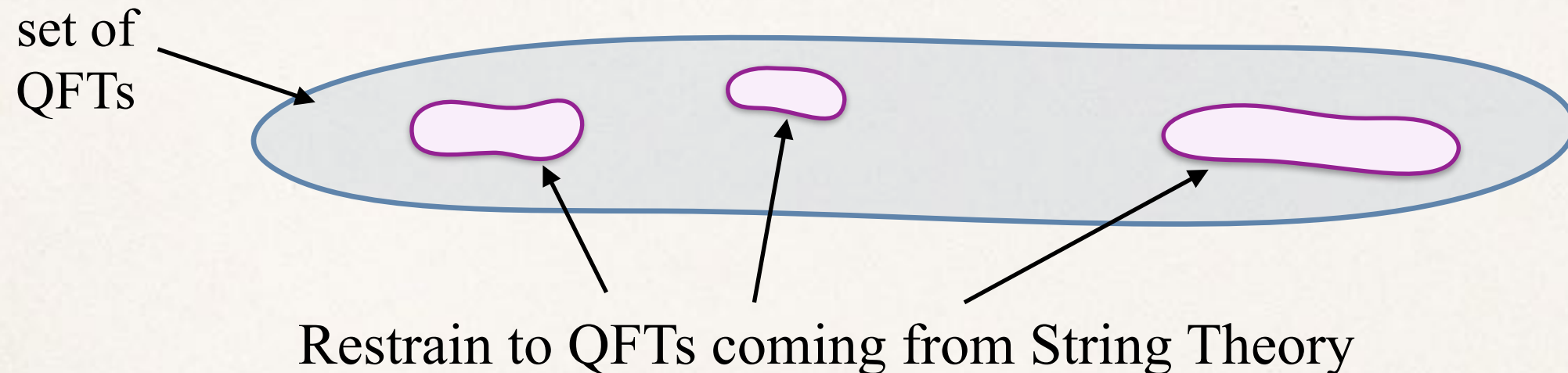
- Apply notion in the space of QFTs:



- Expect better finiteness properties
 - String theory has no continuous free parameters apart from ℓ_s
→ effective couplings determined by fields + discrete choices
(topological data, fluxes,...)

Complexity in the space of QFTs

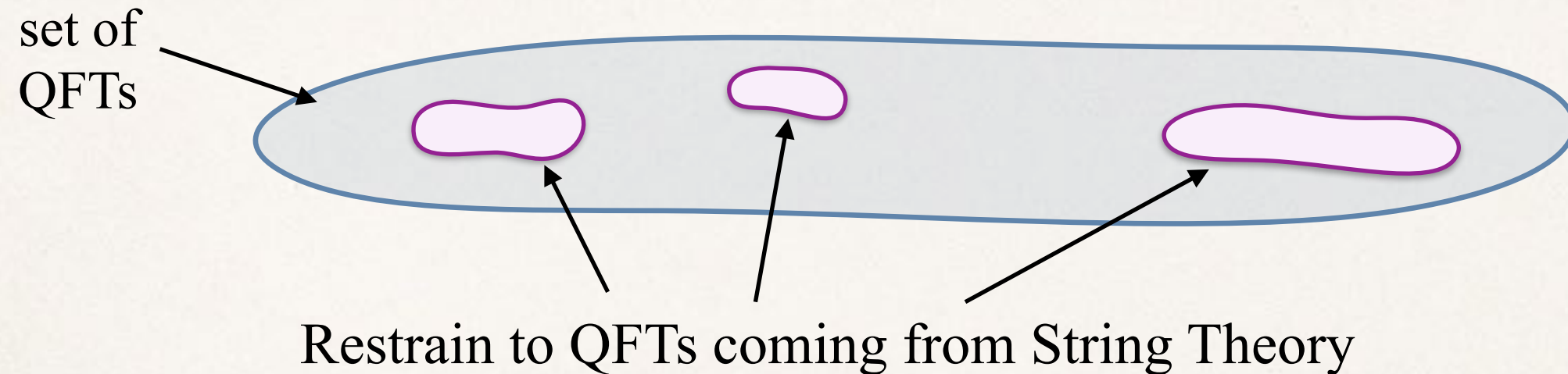
- Apply notion in the space of QFTs:



- Expect better finiteness properties
 - String theory has no continuous free parameters apart from ℓ_s
→ effective couplings determined by fields + discrete choices
(topological data, fluxes,...)
 - Conjectures about finiteness of effective theories:
[Douglas '05] [Vafa '05] [Acharya,Douglas '06]...[Hamada,Montero,Vafa,Valenzuela '21]...
[Delgado,Heisteeg,Raman,Torres,Vafa '24]
→ central part of 'swampland program' talk by [H.-C. Kim]

Complexity in the space of QFTs

- Apply notion to the space of QFTs:



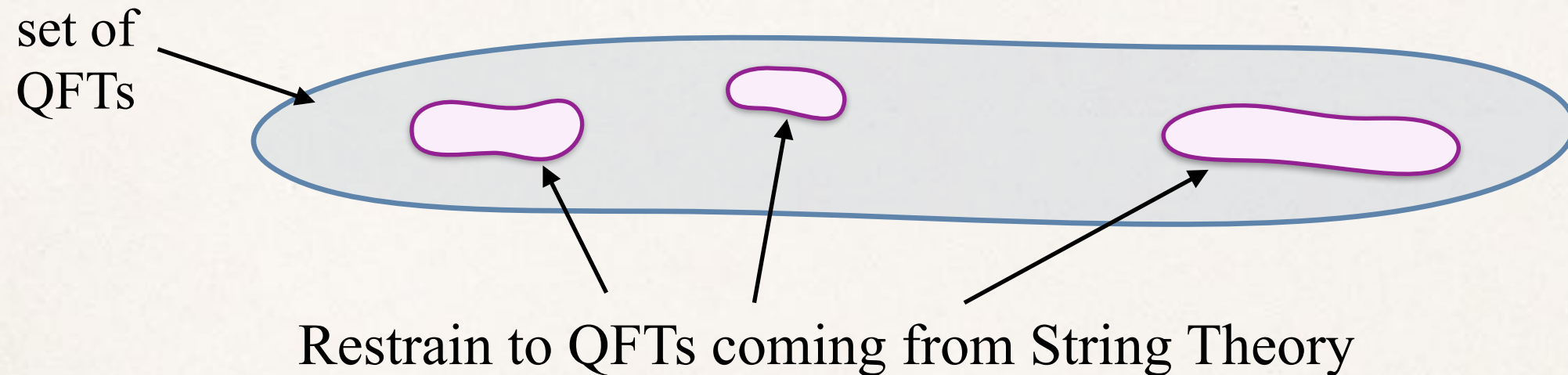
Propose unifying perspective:

Focus on tameness (o-minimality) as generalized finiteness principle

[TG '21][Douglas,TG,Schlechter '23]

Complexity in the space of QFTs

- Apply notion to the space of QFTs:



Propose unifying perspective:

Focus on tameness (o-minimality) as generalized finiteness principle

[TG '21][Douglas,TG,Schlechter '23]

Use geometric complexity (sharp o-minimality) as quantitative measure within a QFT and on the space of QFTs.

[TG,Schlechter,van Vliet '23][TG,van Vliet '24]

Geometric Complexity

Intuition from polynomials

- Complexity for polynomials

$$P(x) = a_1x^2 + a_2x + a_3$$

Intuition from polynomials

- Complexity for polynomials

$$P(x) = a_1x^2 + a_2x + a_3$$



D - degree of polynomial

F - number of variables

Intuition from polynomials

- Complexity for polynomials

$$P(x) = a_1x^2 + a_2x + a_3$$



D - degree of polynomial

F - number of variables

→ amount of information needed to specify polynomial (real coefficients)

Intuition from polynomials

- Complexity for polynomials

$$P(x) = a_1x^2 + a_2x + a_3$$

D - degree of polynomial

F - number of variables

→ amount of information needed to specify polynomial (real coefficients)

- Bounds from complexity:

- Number of zeros of $P(x)$:

$$\#(P = 0) \leq \mathcal{C}(F, D) \cong D^F$$

Intuition from polynomials

- Complexity for polynomials

$$P(x) = a_1 x^2 + a_2 x + a_3$$



D - degree of polynomial

F - number of variables

→ amount of information needed to specify polynomial (real coefficients)

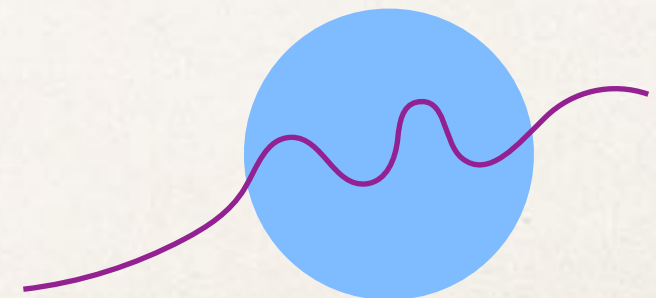
- Bounds from complexity:

- Number of zeros of $P(x)$:

$$\#(P = 0) \leq \mathcal{C}(F, D) \cong D^F$$

- Volume of an n -dimensional set $A = \{P(x) = y\}$

$$\text{Vol}(B^{n+1}(r) \cap A) \leq c(n) \mathcal{C}(F, D) r^n$$



see e.g. book [Yomdin, Comte]

Intuition from polynomials

- Complexity for polynomials

$$P(x) = a_1 x^2 + a_2 x + a_3$$

D - degree of polynomial

F - number of variables

→ amount of information needed to specify polynomial (real coefficients)

- Bounds from complexity:

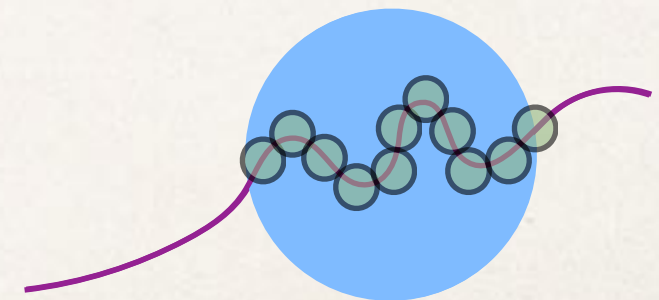
- Number of zeros of $P(x)$:

$$\#(P = 0) \leq \mathcal{C}(F, D) \cong D^F$$

- Volume of an n -dimensional set $A = \{P(x) = y\}$

$$\text{Vol}(B^{n+1}(r) \cap A) \leq c(n) \mathcal{C}(F, D) r^n$$

- Number of ϵ -balls covering A



see e.g. book [Yomdin, Comte]

First step beyond polynomials

- How to deal with exponential function: $e^{ax} = \sum_{n=0}^{\infty} \frac{(ax)^n}{n!}$
→ needs polynomials of arbitrary degree

First step beyond polynomials

- How to deal with exponential function:
$$e^{ax} = \sum_{n=0}^{\infty} \frac{(ax)^n}{n!}$$

→ needs polynomials of arbitrary degree
- But infinitely many relations among coefficients
→ new perspective:

First step beyond polynomials

- How to deal with exponential function:
$$e^{ax} = \sum_{n=0}^{\infty} \frac{(ax)^n}{n!}$$

→ needs polynomials of arbitrary degree
- But infinitely many relations among coefficients
→ new perspective:
$$\frac{d}{dx} e^{ax} = a e^{ax}$$

→ record information appearing in differential equation

First step beyond polynomials

- How to deal with exponential function: $e^{ax} = \sum_{n=0}^{\infty} \frac{(ax)^n}{n!}$
→ needs polynomials of arbitrary degree
- But infinitely many relations among coefficients
→ new perspective: $\frac{d}{dx} e^{ax} = a e^{ax}$ → record information appearing in differential equation
- How can one generalize this to more functions relevant in physics?

First step beyond polynomials

- How to deal with exponential function: $e^{ax} = \sum_{n=0}^{\infty} \frac{(ax)^n}{n!}$
→ needs polynomials of arbitrary degree
- But infinitely many relations among coefficients
→ new perspective: $\frac{d}{dx} e^{ax} = a e^{ax}$ → record information appearing in differential equation
- How can one generalize this to more functions relevant in physics?

Necessary condition: Functions cannot be **too wild!**

Generalization within Tame Geometry

- Tameness principle from mathematical logic: o-minimality [van den Dries]...
(motivated by Gödel's logical undecidability)

Generalization within Tame Geometry

- **Tameness principle** from mathematical logic: o-minimality [van den Dries]...
(motivated by Gödel's logical undecidability)

precise selection criteria for **tame sets and tame functions** (have tame graph):

- **finitely many** connected components

Generalization within Tame Geometry

- **Tameness principle** from mathematical logic: o-minimality [van den Dries]...
(motivated by Gödel's logical undecidability)

precise selection criteria for **tame sets and tame functions** (have tame graph):

- **finitely many** connected components
- collection of tame sets **closed under**:
finite unions, intersection, products, linear projections, complements

Generalization within Tame Geometry

- **Tameness principle** from mathematical logic: o-minimality [van den Dries]...
(motivated by Gödel's logical undecidability)

precise selection criteria for **tame sets and tame functions** (have tame graph):

- **finitely many** connected components
- collection of tame sets **closed under**:
finite unions, intersection, products, linear projections, complements
→ **o-minimal structure**

Generalization within Tame Geometry

- **Tameness principle** from mathematical logic: o-minimality [van den Dries]...
(motivated by Gödel's logical undecidability)

precise selection criteria for **tame sets and tame functions** (have tame graph):

- **finitely many** connected components
- collection of tame sets **closed under**:
finite unions, intersection, products, linear projections, complements
→ **o-minimal structure**

→ **Tameness is stronger than simple finiteness**

Generalization within Tame Geometry

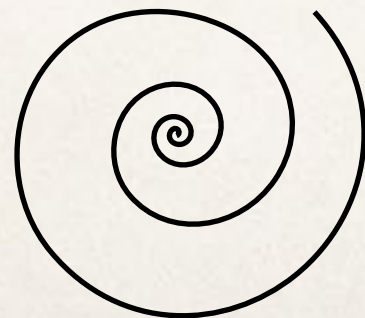
- **Tameness principle** from mathematical logic: o-minimality [van den Dries]...
(motivated by Gödel's logical undecidability)

precise selection criteria for **tame sets and tame functions** (have tame graph):

- **finitely many** connected components
- collection of tame sets **closed under**:
finite unions, intersection, products, linear projections, complements
→ **o-minimal structure**

→ **Tameness is stronger than simple finiteness**

infinitely long
spiral:



- **finitely many** connected components ✓

Generalization within Tame Geometry

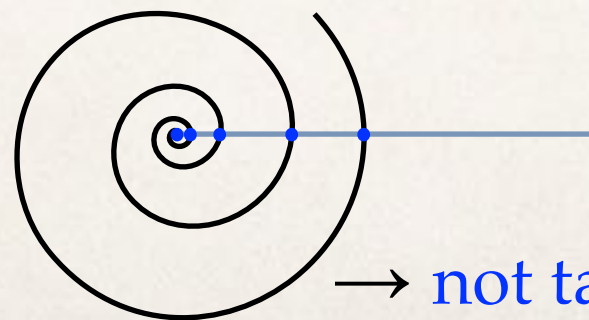
- **Tameness principle** from mathematical logic: o-minimality [van den Dries]...
(motivated by Gödel's logical undecidability)

precise selection criteria for **tame sets and tame functions** (have tame graph):

- **finitely many** connected components
 - collection of tame sets **closed under**:
finite unions, intersection, products, linear projections, complements
- **o-minimal structure**

→ **Tameness is stronger than simple finiteness**

infinitely long
spiral:



- **finitely many** connected components ✓

→ **not tame**: infinite intersections with real line

Generalization within Tame Geometry

- Recently in mathematics: ‘Tameness revolution’ [IAS special year '25/'26]

Results in algebraic geometry, arithmetic geometry, number theory,...

Generalization within Tame Geometry

- Recently in mathematics: ‘Tameness revolution’ [IAS special year ‘25/’26]

Results in algebraic geometry, arithmetic geometry, number theory,...

- André-Oort conjecture ... [Binyamini,Schmidt,Yafaev],[Pila,Shankar,Tsimerman ‘21]
- Zilber–Pink conjecture for Hodge str. (partial proof) [Baldi,Klingler,Ullmo ‘21]

Generalization within Tame Geometry

- Recently in mathematics: ‘Tameness revolution’ [IAS special year ‘25/’26]

Results in algebraic geometry, arithmetic geometry, number theory,...

- André-Oort conjecture ... [Binyamini,Schmidt,Yafaev],[Pila,Shankar,Tsimmerman ‘21]
- Zilber–Pink conjecture for Hodge str. (partial proof) [Baldi,Klingler,Ullmo ‘21]

→ several remarkable theorems + conjectures (often about finiteness)

Generalization within Tame Geometry

- Recently in mathematics: ‘Tameness revolution’ [IAS special year ‘25/’26]

Results in algebraic geometry, arithmetic geometry, number theory,...

- André-Oort conjecture ... [Binyamini,Schmidt,Yafaev],[Pila,Shankar,Tsimmerman ‘21]
- Zilber–Pink conjecture for Hodge str. (partial proof) [Baldi,Klingler,Ullmo ‘21]

→ several remarkable theorems + conjectures (often about finiteness)

- Last years: [Binyamini,Novikov ‘23] [Binyamini ‘24]

General mathematical framework keeping track of finite information

tame structures with a notion of complexity (sharp o-minimality)

o-minimal structures

sharply o-minimal structures

Example class of tame functions

→ Pfaffian functions

[Khovanskii '91][Gabrielov, Vorobjov '04]

Example class of tame functions

→ Pfaffian functions

[Khovanskii '91][Gabrielov, Vorobjov '04]

building blocks: $f_1(x), \dots, f_r(x)$

Example class of tame functions

→ Pfaffian functions

[Khovanskii '91][Gabrielov, Vorobjov '04]

building blocks: $f_1(x), \dots, f_r(x)$

The diagram shows the building blocks $f_1(x), \dots, f_r(x)$ on the left. Three blue arrows originate from the right side of this text and point to the right-hand side of three equations. The equations are stacked vertically. The first equation is $\partial_{x^i} f_1 = P_{1,i}(x, f_1)$. The second equation is $\partial_{x^i} f_2 = P_{2,i}(x, f_1, f_2)$. Between the second and third equations is a vertical ellipsis \vdots . The third equation is $\partial_{x^i} f_r = P_{r,i}(x, f_1, f_2, \dots, f_r)$.

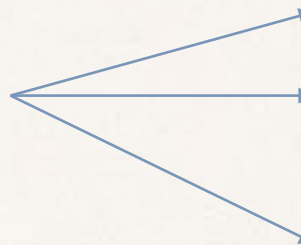
$$\begin{aligned}\partial_{x^i} f_1 &= P_{1,i}(x, f_1) \\ \partial_{x^i} f_2 &= P_{2,i}(x, f_1, f_2) \\ &\vdots \\ \partial_{x^i} f_r &= P_{r,i}(x, f_1, f_2, \dots, f_r)\end{aligned}$$

Example class of tame functions

→ Pfaffian functions

[Khovanskii '91][Gabrielov, Vorobjov '04]

building blocks: $f_1(x), \dots, f_r(x)$


$$\begin{aligned}\partial_{x^i} f_1 &= P_{1,i}(x, f_1) \\ \partial_{x^i} f_2 &= P_{2,i}(x, f_1, f_2) \\ &\vdots \\ \partial_{x^i} f_r &= P_{r,i}(x, f_1, f_2, \dots, f_r)\end{aligned}$$

Pfaffian function:

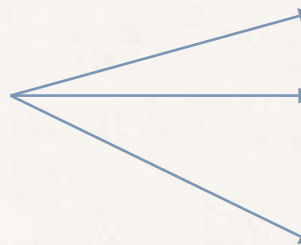
$$g(x) = P(x_1, \dots, x_n, f_1, f_2, \dots, f_r)$$

Example class of tame functions

→ Pfaffian functions

[Khovanskii '91][Gabrielov, Vorobjov '04]

building blocks: $f_1(x), \dots, f_r(x)$


$$\begin{aligned}\partial_{x^i} f_1 &= P_{1,i}(x, f_1) \\ \partial_{x^i} f_2 &= P_{2,i}(x, f_1, f_2) \\ &\vdots \\ \partial_{x^i} f_r &= P_{r,i}(x, f_1, f_2, \dots, f_r)\end{aligned}$$

Pfaffian function:

$$g(x) = P(x_1, \dots, x_n, f_1, f_2, \dots, f_r)$$

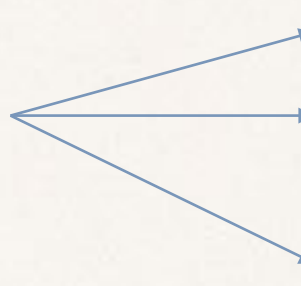
- Key point: Pfaffian functions are tame and have a notion of complexity

Example class of tame functions

→ Pfaffian functions

[Khovanskii '91][Gabrielov, Vorobjov '04]

building blocks: $f_1(x), \dots, f_r(x)$


$$\begin{aligned}\partial_{x^i} f_1 &= P_{1,i}(x, f_1) \\ \partial_{x^i} f_2 &= P_{2,i}(x, f_1, f_2) \\ &\vdots \\ \partial_{x^i} f_r &= P_{r,i}(x, f_1, f_2, \dots, f_r)\end{aligned}$$

Pfaffian function:

$$g(x) = P(x_1, \dots, x_n, f_1, f_2, \dots, f_r)$$

→ Key point: Pfaffian functions are tame and have a notion of complexity

degree: $D = \deg(P) + \sum_{ij} \deg(P_{i,j})$

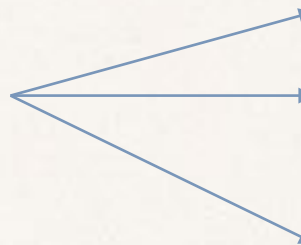
format: $F = n + r$ (number of variables +
number of non-trivial functions)

Example class of tame functions

→ Pfaffian functions

[Khovanskii '91][Gabrielov, Vorobjov '04]

building blocks: $f_1(x), \dots, f_r(x)$


$$\begin{aligned}\partial_{x^i} f_1 &= P_{1,i}(x, f_1) \\ \partial_{x^i} f_2 &= P_{2,i}(x, f_1, f_2) \\ &\vdots \\ \partial_{x^i} f_r &= P_{r,i}(x, f_1, f_2, \dots, f_r)\end{aligned}$$

Pfaffian function:

$$g(x) = P(x_1, \dots, x_n, f_1, f_2, \dots, f_r)$$

→ Key point: Pfaffian functions are tame and have a notion of complexity

degree: $D = \deg(P) + \sum_{ij} \deg(P_{i,j})$

format: $F = n + r$ (number of variables +
number of non-trivial functions)

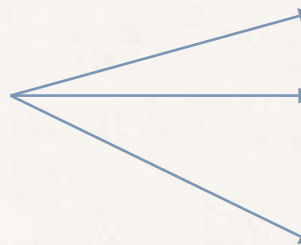
bounds on zeros,
volume etc.

Example class of tame functions

→ Pfaffian functions

[Khovanskii '91][Gabrielov, Vorobjov '04]

building blocks: $f_1(x), \dots, f_r(x)$


$$\begin{aligned}\partial_{x^i} f_1 &= P_{1,i}(x, f_1) \\ \partial_{x^i} f_2 &= P_{2,i}(x, f_1, f_2) \\ &\vdots \\ \partial_{x^i} f_r &= P_{r,i}(x, f_1, f_2, \dots, f_r)\end{aligned}$$

Pfaffian function:

$$g(x) = P(x_1, \dots, x_n, f_1, f_2, \dots, f_r) \longrightarrow (F, D)$$

While we need the more general framework in the following:
Can always think of (F, D) for Pfaffian setting.

On the Complexity of QFTs

Complexity of QFT Lagrangian

- Assign complexity to the Lagrangian

N scalar fields

$$S^{(4)} = - \int d^4x \left(\sum_{k=1}^N (\partial\phi_k)^2 + V(\phi_1, \dots, \phi_N) \right)$$

Complexity of QFT Lagrangian

- Assign complexity to the Lagrangian

N scalar fields $S^{(4)} = - \int d^4x \left(\sum_{k=1}^N (\partial\phi_k)^2 + V(\phi_1, \dots, \phi_N) \right)$

- polynomial $V(\phi)$: $F = N$ number of fields, D degree of polynomial
- more general $V(\phi)$: $F = N + \text{non-trivial functions}$

Complexity of QFT Lagrangian

→ Assign complexity to the Lagrangian

N scalar fields $S^{(4)} = - \int d^4x \left(\sum_{k=1}^N (\partial\phi_k)^2 + V(\phi_1, \dots, \phi_N) \right)$

- polynomial $V(\phi)$: $F = N$ number of fields, D degree of polynomial
- more general $V(\phi)$: $F = N + \text{non-trivial functions}$

→ Applications:

- bounds on the number of vacua of the theory

Complexity of QFT Lagrangian

→ Assign complexity to the Lagrangian

N scalar fields $S^{(4)} = - \int d^4x \left(\sum_{k=1}^N (\partial\phi_k)^2 + V(\phi_1, \dots, \phi_N) \right)$

- polynomial $V(\phi)$: $F = N$ number of fields, D degree of polynomial
- more general $V(\phi)$: $F = N + \text{non-trivial functions}$

→ Applications:

- bounds on the number of vacua of the theory
- slopes of potentials $|\nabla V(x)| \geq C|V(x)|^\theta$

[Łojasiewicz] [Kurdyka '98] ...
(polynomially bounded o-minimal structure)

Complexity of QFT Lagrangian

→ Assign complexity to the Lagrangian

N scalar fields $S^{(4)} = - \int d^4x \left(\sum_{k=1}^N (\partial \phi_k)^2 + V(\phi_1, \dots, \phi_N) \right)$

- polynomial $V(\phi)$: $F = N$ number of fields, D degree of polynomial
- more general $V(\phi)$: $F = N + \text{non-trivial functions}$

→ Applications:

- bounds on the number of vacua of the theory
- slopes of potentials $|\nabla V(x)| \geq C|V(x)|^\theta$

[Łojasiewicz] [Kurdyka '98] ...
(polynomially bounded o-minimal structure)

→ Generalizes to broader classes of QFTs [TG, van Vliet '24]

Diversion: complexity of QFT observables

- Assign complexity to observables of a QFT

Example: Amplitude $\mathcal{A}(p_1, \dots, p_n, \lambda)$

Diversion: complexity of QFT observables

- Assign complexity to observables of a QFT

Example: Amplitude $\mathcal{A}(p_1, \dots, p_n, \lambda)$

many potential applications of tame geometry (control singular behavior, asymptotics, algebraic relations, etc.)

Diversion: complexity of QFT observables

- Assign complexity to observables of a QFT

Example: Amplitude $\mathcal{A}(p_1, \dots, p_n, \lambda)$

many potential applications of tame geometry (control singular behavior, asymptotics, algebraic relations, etc.)

- Perturbative QFT: showed that in QFT with finitely many particles and interactions all finite-loop amplitudes are tame functions of masses, external momenta, coupling constants
[Douglas,TG,Schlechter '22]
- Study their complexity and how it sees algebraic relations, symmetries
[TG,Hoefnagels '24] [Britto,TG,Hoefnagels] in preparation
- Works for tree-level cosmological correlators which are Pfaffian functions
[TG,Hoefnagels,van Vliet '24] using [Arkani-Hamed,Baumann,Hillman,Joyce,Lee,Pimentel '23]

Complexity in the String Landscape

- Two finiteness results -

String compactifications

- Consider String Theory, M-theory, or F-theory on compact manifold Y
→ effective four-dimensional theory

$$S^{(4)} = \int d^4x \sqrt{G} \left(R - K_{kl}(\phi) (\partial\phi^k) (\partial\phi^l) - V(\phi) \right) + \dots$$

String compactifications

- Consider String Theory, M-theory, or F-theory on compact manifold Y
→ effective four-dimensional theory

$$S^{(4)} = \int d^4x \sqrt{G} \left(R - K_{kl}(\phi) (\partial\phi^k) (\partial\phi^l) - V(\phi) \right) + \dots$$

- Complexity point-of-view:
 $K_{kl}(\phi) = P_{kl}(\phi, f_1, \dots, f_r)$
 $V(\phi) = P(\phi, f_1, \dots, f_r)$

String compactifications

- Consider String Theory, M-theory, or F-theory on compact manifold Y
→ effective four-dimensional theory

$$S^{(4)} = \int d^4x \sqrt{G} \left(R - K_{kl}(\phi) (\partial\phi^k) (\partial\phi^l) - V(\phi) \right) + \dots$$

- Complexity point-of-view: $K_{kl}(\phi) = P_{kl}(\phi, f_1, \dots, f_r)$
 $V(\phi) = P(\phi, f_1, \dots, f_r)$

- Concretely: Flux compactifications of Type IIB and F-theory
reviews [Graña][Douglas,Kachru][Denef][McAllister,Quevedo]

F-theory flux scalar potentials

- F-theory on compact Calabi-Yau fourfold Y with flux G_4
complex structure deformations of Y are fields ϕ^k (focus on this sector)

F-theory flux scalar potentials

- F-theory on compact Calabi-Yau fourfold Y with flux G_4
complex structure deformations of Y are fields ϕ^k (focus on this sector)

metric: $K_{k\bar{l}}(\phi) = -\partial_{\phi^k} \partial_{\bar{\phi}^l} \log \Pi_i \Sigma^{ij} \bar{\Pi}_j$

potential: $V(\phi)$ from flux superpotential $W = n_i \Sigma^{ij} \Pi_j$ [Gukov, Vafa, Witten]

F-theory flux scalar potentials

- F-theory on compact Calabi-Yau fourfold Y with flux G_4
complex structure deformations of Y are fields ϕ^k (focus on this sector)

metric: $K_{k\bar{l}}(\phi) = -\partial_{\phi^k} \partial_{\bar{\phi}^l} \log \Pi_i \Sigma^{ij} \bar{\Pi}_j$

potential: $V(\phi)$ from flux superpotential $W = n_i \Sigma^{ij} \Pi_j$ [Gukov, Vafa, Witten]

$$\Pi_i(\phi) = \int_{C^i} \Omega \quad \text{periods of (4,0) form}$$

→ functions f_i obtained from periods and their derivatives

F-theory flux scalar potentials

- F-theory on compact Calabi-Yau fourfold Y with flux G_4
complex structure deformations of Y are fields ϕ^k (focus on this sector)

metric: $K_{k\bar{l}}(\phi) = -\partial_{\phi^k} \partial_{\bar{\phi}^l} \log \Pi_i \Sigma^{ij} \bar{\Pi}_j$

potential: $V(\phi)$ from flux superpotential $W = n_i \Sigma^{ij} \Pi_j$ [Gukov, Vafa, Witten]

$$\Pi_i(\phi) = \int_{C^i} \Omega \quad \text{periods of (4,0) form}$$

→ functions f_i obtained from periods and their derivatives

$$n_i = \int_{C^i} G_4 \quad \text{flux vector}$$

→ integers

Finiteness in the landscape - Result 1

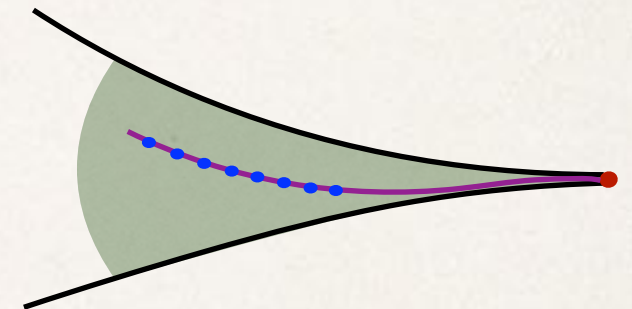
- Finiteness conjectures imply for Y (fixed topology):
finite solutions to $D_{\phi^k} W = 0$ satisfying tadpole condition $\int_Y G_4 \wedge G_4 = \ell$
(counting connected components = flat directions)

Finiteness in the landscape - Result 1

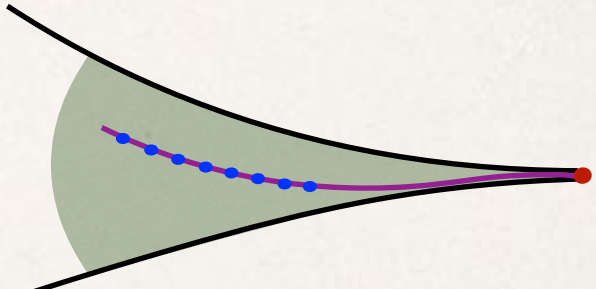
- Finiteness conjectures imply for Y (fixed topology):
finite solutions to $D_{\phi^k} W = 0$ satisfying tadpole condition $\int_Y G_4 \wedge G_4 = \ell$
(counting connected components = flat directions)
- Translates into a precise mathematical statement:
complex d -dim. manifold Y_d :
 $*G = G$ and $\langle G, G \rangle = \ell$
integral class $G \in H^d(Y_d, \mathbb{Z})$
→ **finitely many** connected solutions when
changing complex structure and integral class?

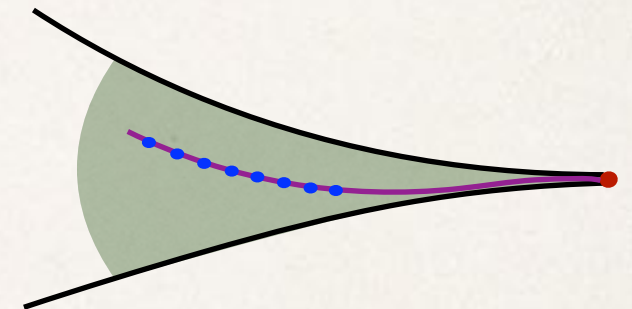
Finiteness in the landscape - Result 1

- Finiteness conjectures imply for Y (fixed topology):
finite solutions to $D_{\phi^k} W = 0$ satisfying tadpole condition $\int_Y G_4 \wedge G_4 = \ell$
(counting connected components = flat directions)
- Translates into a precise mathematical statement:
complex d -dim. manifold Y_d : integral class $G \in H^d(Y_d, \mathbb{Z})$
 $*G = G$ and $\langle G, G \rangle = \ell$ → **finitely many** connected solutions when
 changing complex structure and integral class?
- Key complication: field space is **non-compact**
→ need to control every path to every boundary
for every possible Y



Finiteness in the landscape - Result 1

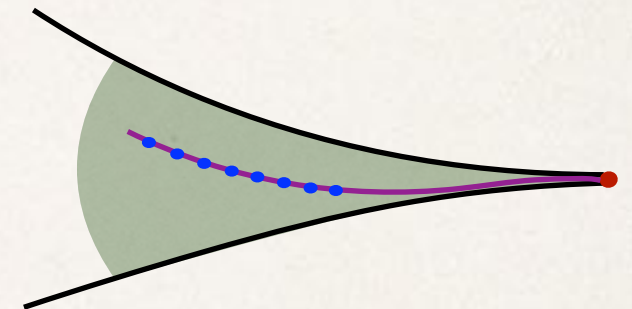
- Finiteness conjectures imply for Y (fixed topology):
finite solutions to $D_{\phi^k} W = 0$ satisfying tadpole condition $\int_Y G_4 \wedge G_4 = \ell$
(counting connected components = flat directions)
 - Translates into a precise mathematical statement:
complex d -dim. manifold Y_d : integral class $G \in H^d(Y_d, \mathbb{Z})$
 $*G = G$ and $\langle G, G \rangle = \ell$ → **finitely many** connected solutions when
changing complex structure and integral class?
 - Key complication: field space is **non-compact**
→ need to control every path to every boundary
for every possible Y
- 



Proved finiteness [Bakker,TG,Schnell,Tsimmerman '21]: use tameness of periods [Bakker,Klingler,Tsimmerman '18] and properties of symmetry groups of flux lattice

Finiteness in the landscape - Result 1

- Finiteness conjectures imply for Y (fixed topology):
finite solutions to $D_{\phi^k} W = 0$ satisfying tadpole condition $\int_Y G_4 \wedge G_4 = \ell$
(counting connected components = flat directions)
- Translates into a precise mathematical statement:
complex d -dim. manifold Y_d : integral class $G \in H^d(Y_d, \mathbb{Z})$
 $*G = G$ and $\langle G, G \rangle = \ell$ → **finitely many** connected solutions when
changing complex structure and integral class?
- Key complication: field space is **non-compact**
→ need to control every path to every boundary
for every possible Y



Proved finiteness [Bakker,TG,Schnell,Tsimerman '21]: use tameness of periods
[Bakker,Klingler,Tsimerman '18] and properties of symmetry groups of flux lattice
→ **Flux vacuum landscape is tame set !** (for Y of fixed top.)

Finiteness in the landscape - Result 1

- Finiteness conjectures imply for Y (fixed topology):
finite solutions to $D_{\phi^k} W = 0$ satisfying tadpole condition $\int_Y G_4 \wedge G_4 = \ell$
(counting connected components = flat directions)
- Translates into a precise mathematical statement:
complex d -dim. manifold Y_d :
 $*G = G$ and $\langle G, G \rangle = \ell$
integral class $G \in H^d(Y_d, \mathbb{Z})$
→ **finitely many** connected solutions when
changing complex structure and integral class?
- How many are there?

Finiteness in the landscape - Result 1

- Finiteness conjectures imply for Y (fixed topology):
finite solutions to $D_{\phi^k} W = 0$ satisfying tadpole condition $\int_Y G_4 \wedge G_4 = \ell$
(counting connected components = flat directions)
- Translates into a precise mathematical statement:
complex d -dim. manifold Y_d : integral class $G \in H^d(Y_d, \mathbb{Z})$
 $*G = G$ and $\langle G, G \rangle = \ell$ → **finitely many** connected solutions when
 changing complex structure and integral class?
- How many are there? → still open (use **complexity** of periods [Binyamini '24])

Finiteness in the landscape - Result 1

- Finiteness conjectures imply for Y (fixed topology):
finite solutions to $D_{\phi^k} W = 0$ satisfying tadpole condition $\int_Y G_4 \wedge G_4 = \ell$
(counting connected components = flat directions)
- Translates into a precise mathematical statement:
complex d -dim. manifold Y_d : integral class $G \in H^d(Y_d, \mathbb{Z})$
 $*G = G$ and $\langle G, G \rangle = \ell$ → **finitely many** connected solutions when
 changing complex structure and integral class?
- How many are there? → still open (use **complexity** of periods [Binyamini '24])
 10^{500} rough estimate from flux density [Ashok,Douglas '03] [Denef,Douglas '04]

Finiteness in the landscape - Result 1

- Finiteness conjectures imply for Y (fixed topology):
finite solutions to $D_{\phi^k} W = 0$ satisfying tadpole condition $\int_Y G_4 \wedge G_4 = \ell$
(counting connected components = flat directions)
- Translates into a precise mathematical statement:
complex d -dim. manifold Y_d : integral class $G \in H^d(Y_d, \mathbb{Z})$
 $*G = G$ and $\langle G, G \rangle = \ell$ → **finitely many** connected solutions when
 changing complex structure and integral class?
- How many are there? → still open (use **complexity** of periods [Binyamini '24])
 10^{500} rough estimate from flux density [Ashok,Douglas '03] [Denef,Douglas '04]
Conjecture complexity from flux density:
 $D = \text{poly}(\ell)$ $F = \mathcal{O}(h^{3,1}(Y))$ [TG,Monnee '23]

Finiteness in the landscape - Result 2

- Recent tameness results in math \rightarrow structure of $W=0$ flux landscape

solving $D_{\phi^k} W = 0$ and additionally $W = 0$:

Finiteness in the landscape - Result 2

- Recent tameness results in math \rightarrow structure of $W=0$ flux landscape

solving $D_{\phi^k} W = 0$ and additionally $W = 0$:

$W(\phi_*(n)) = 0 \rightarrow$ solve complicated equation over integers
transcendental - “non-polynomial”

Finiteness in the landscape - Result 2

- Recent tameness results in math \rightarrow structure of $W=0$ flux landscape

solving $D_{\phi^k} W = 0$ and additionally $W = 0$:

$W(\phi_*(n)) = 0 \rightarrow$ solve complicated equation over integers
transcendental - “non-polynomial”

- $W=0$ vacua have been studied in the past in Type IIB orientifolds

[DeWolfe, Giryavets, Kachru, Taylor '04] [DeWolfe '05] [Palti '07] [S. Lüst, Wiesner '22]
[Becker et al. '22] [Kachru, Nally, Yang '20] [Bönisch, Elmi, Kashani-Poor, Klemm '22]
[Candelas, de la Ossa, Kuusela, McGovern '23]

Finiteness in the landscape - Result 2

- Recent tameness results in math \rightarrow structure of $W=0$ flux landscape

solving $D_{\phi^k} W = 0$ and additionally $W = 0$:

$W(\phi_*(n)) = 0 \rightarrow$ solve complicated equation over integers
transcendental - “non-polynomial”

- $W=0$ vacua have been studied in the past in Type IIB orientifolds

[DeWolfe, Giryavets, Kachru, Taylor '04] [DeWolfe '05] [Palti '07] [S. Lüst, Wiesner '22]
[Becker et al. '22] [Kachru, Nally, Yang '20] [Bönisch, Elmi, Kashani-Poor, Klemm '22]
[Candelas, de la Ossa, Kuusela, McGovern '23]

- have essentially complete picture for flux vacua on Calabi-Yau fourfolds

“Arithmetic structure behind $W=0$ flux vacua” [TG, van de Heisteeg '24]
using [Baldi, Klingler, Ullmo '21]

Finiteness in the landscape - Result 2

- Recent tameness results in math \rightarrow structure of $W=0$ flux landscape

solving $D_{\phi^k} W = 0$ and additionally $W = 0$:

$W(\phi_*(n)) = 0 \rightarrow$ solve complicated equation over integers
transcendental - “non-polynomial”

- Finitely many solutions even without tadpole bound?

Finiteness in the landscape - Result 2

- Recent tameness results in math \rightarrow structure of $W=0$ flux landscape

solving $D_{\phi^k} W = 0$ and additionally $W = 0$:

$W(\phi_*(n)) = 0 \rightarrow$ solve complicated equation over integers
transcendental - “non-polynomial”

- Finitely many solutions even without tadpole bound?
 - not unreasonable - similar conjectures
 - [Gukov,Vafa '02] number of 2d rational CFTs with Calabi-Yau d -fold target is finite for $d \geq 3$
 - [Candelas etal '19] number of rank-2 black hole attractors are finite

Finiteness in the landscape - Result 2

- Recent tameness results in math \rightarrow structure of $W=0$ flux landscape

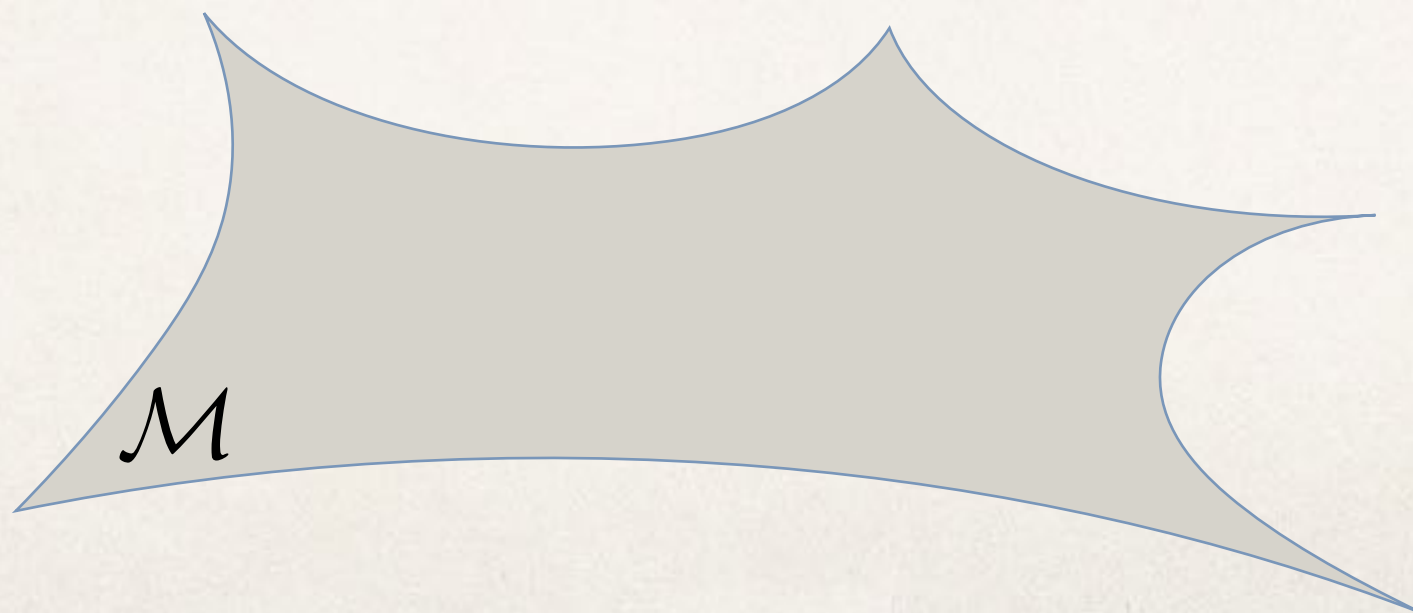
solving $D_{\phi^k} W = 0$ and additionally $W = 0$:

$W(\phi_*(n)) = 0 \rightarrow$ solve complicated equation over integers
transcendental - “non-polynomial”

- Finitely many solutions even without tadpole bound?
 - not unreasonable - similar conjectures
 - [Gukov,Vafa '02] number of 2d rational CFTs with Calabi-Yau d -fold target is finite for $d \geq 3$
 - [Candelas etal '19] number of rank-2 black hole attractors are finite
 - however, it turns out that there is a more exciting structure

Finiteness in the landscape - Result 2

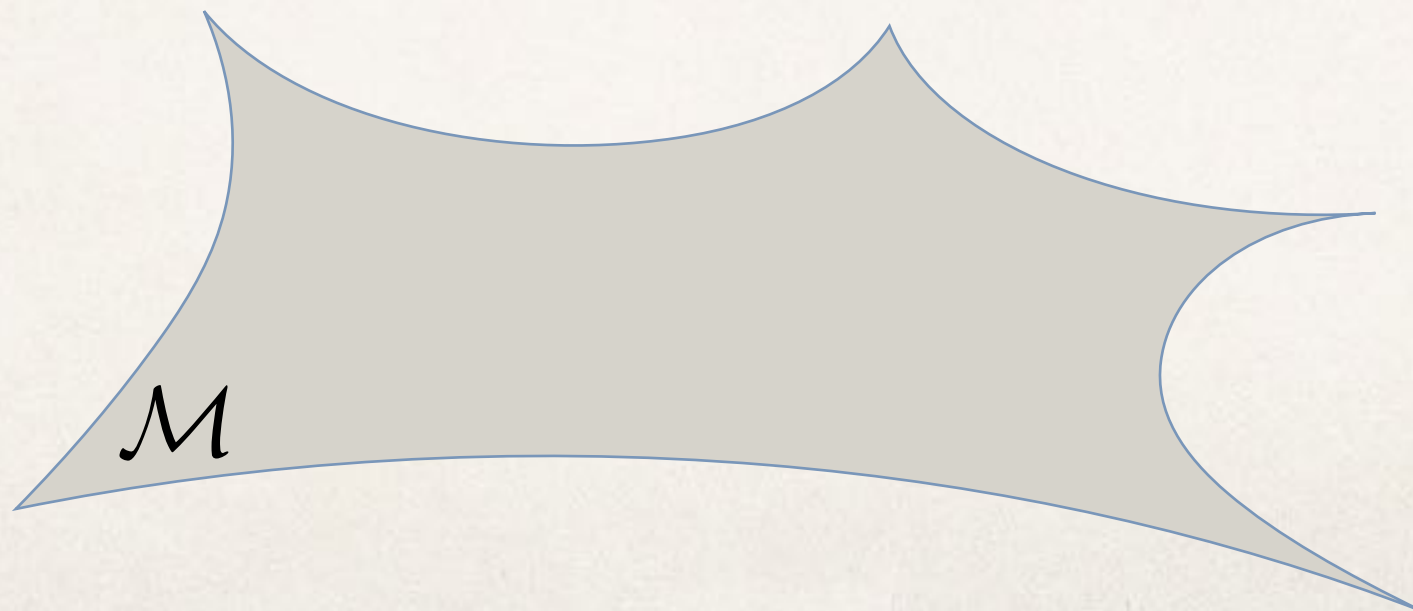
- General pattern in complex structure moduli space of Calabi-Yau fourfolds



[Baldi, Klingler, Ullmo '21]
[TG, van de Heistee '24]

Finiteness in the landscape - Result 2

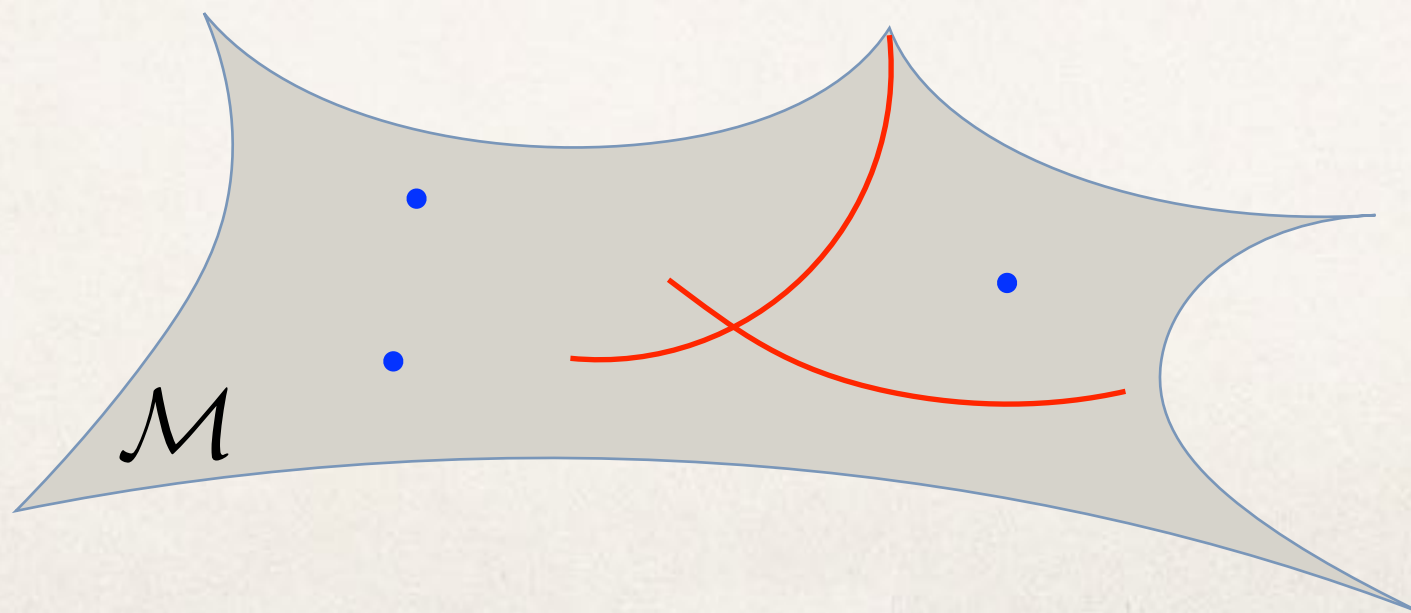
- General pattern in complex structure moduli space of Calabi-Yau fourfolds
 - periods are generically transcendental: high complexity $F > \# \text{variables}$
(full holonomy, not $T^2 \times \text{CY}_3$, $\text{K3} \times \text{K3}$)



[Baldi,Klingler,Ullmo '21]
[TG,van de Heisteeg '24]

Finiteness in the landscape - Result 2

- General pattern in complex structure moduli space of Calabi-Yau fourfolds
 - (1) periods are generically transcendental: high complexity $F > \# \text{variables}$
(full holonomy, not $T^2 \times \text{CY}_3$, $K3 \times K3$)
 - finitely many special loci: symmetry loci
 - finitely many flux vacua not on symmetry loci
- implies [Gukov,Vafa '02][Candelas etal '19]



[Baldi,Klingler,Ullmo '21]
[TG,van de Heisteeg '24]

Finiteness in the landscape - Result 2

→ General pattern in complex structure moduli space of Calabi-Yau fourfolds

(1) periods are generically transcendental: **high complexity** $F > \# \text{variables}$
(full holonomy, not $T^2 \times \text{CY}_3$, $K3 \times K3$)

→ **finitely many** special loci: symmetry loci

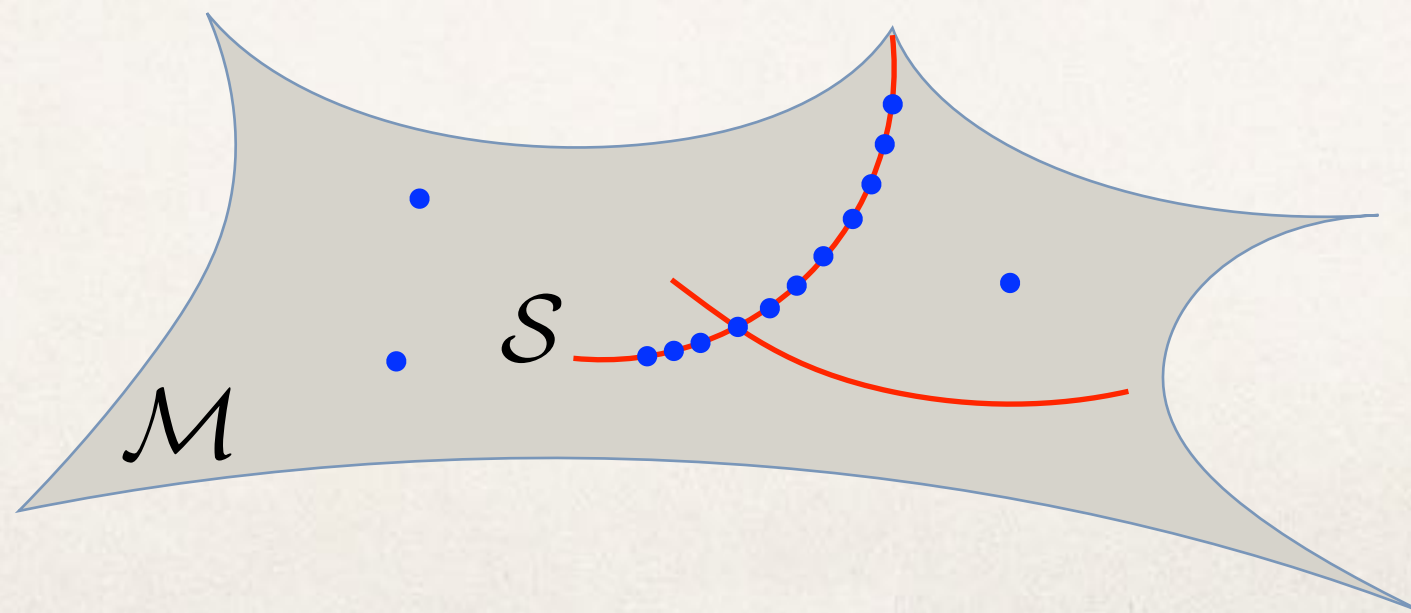
→ **finitely many** flux vacua **not on** symmetry loci

implies [Gukov,Vafa '02][Candelas etal '19]

(2) on symmetry locus \mathcal{S} : part of the periods can **become polynomial**

→ **complexity reduction** due to algebraic relations: $F = \# \text{variables}$

→ flux vacua in \mathcal{S} **are dense** (no tadpole bound)



[Baldi,Klingler,Ullmo '21]
[TG,van de Heisteeg '24]

Exact F-theory flux vacua

- example: Hulek-Verrill fourfold

$$(X^1, \dots, X^6) \in \mathbb{T}^5 = \mathbb{P}^5 \setminus \{X_1 \cdots X_6 = 0\}$$

$$(X^1 + X^2 + X^3 + X^4 + X^5 + X^6) \left(\frac{\phi^1}{X^1} + \frac{\phi^2}{X^2} + \frac{\phi^3}{X^3} + \frac{\phi^4}{X^4} + \frac{\phi^5}{X^5} + \frac{\phi^6}{X^6} \right) = 1$$

$$h^{3,1} = 6$$

Exact F-theory flux vacua

- example: Hulek-Verrill fourfold

$$(X^1, \dots, X^6) \in \mathbb{T}^5 = \mathbb{P}^5 \setminus \{X_1 \cdots X_6 = 0\}$$

$$(X^1 + X^2 + X^3 + X^4 + X^5 + X^6) \left(\frac{\phi^1}{X^1} + \frac{\phi^2}{X^2} + \frac{\phi^3}{X^3} + \frac{\phi^4}{X^4} + \frac{\phi^5}{X^5} + \frac{\phi^6}{X^6} \right) = 1 \quad h^{3,1} = 6$$

- Periods: expanded around large complex structure [Jockers, Kotlewski, Kuusela '23]

$$(\Pi_i) = \begin{pmatrix} \Pi^0 \\ \Pi^I \\ \Pi_{IJ} \\ \Pi_I \\ \Pi_0 \end{pmatrix} \quad \text{e.g.} \quad \begin{aligned} \Pi^0 &= \sum_{n_1, \dots, n_6=0}^{\infty} \left(\frac{(n_1 + \dots + n_6)!}{n_1! \cdots n_6!} \right)^2 (\phi^1)^{n_1} \cdots (\phi^6)^{n_6} \\ \Pi^I &= \Pi^0 \frac{\log \phi^I}{2\pi i} + 2 \sum_{n_1, \dots, n_6} (H_{n_1 + \dots + n_6} - H_{n_I}) (\phi^1)^{n_1} \cdots (\phi^6)^{n_6} \end{aligned}$$

Exact F-theory flux vacua

- example: Hulek-Verrill fourfold

$$(X^1, \dots, X^6) \in \mathbb{T}^5 = \mathbb{P}^5 \setminus \{X_1 \cdots X_6 = 0\}$$

$$(X^1 + X^2 + X^3 + X^4 + X^5 + X^6) \left(\frac{\phi^1}{X^1} + \frac{\phi^2}{X^2} + \frac{\phi^3}{X^3} + \frac{\phi^4}{X^4} + \frac{\phi^5}{X^5} + \frac{\phi^6}{X^6} \right) = 1 \quad h^{3,1} = 6$$

- Periods: expanded around large complex structure [Jockers, Kotlewski, Kuusela '23]

$$(\Pi_i) = \begin{pmatrix} \Pi^0 \\ \Pi^I \\ \Pi_{IJ} \\ \Pi_I \\ \Pi_0 \end{pmatrix} \quad \text{e.g.} \quad \begin{aligned} \Pi^0 &= \sum_{n_1, \dots, n_6=0}^{\infty} \left(\frac{(n_1 + \dots + n_6)!}{n_1! \cdots n_6!} \right)^2 (\phi^1)^{n_1} \cdots (\phi^6)^{n_6} \\ \Pi^I &= \Pi^0 \frac{\log \phi^I}{2\pi i} + 2 \sum_{n_1, \dots, n_6} (H_{n_1 + \dots + n_6} - H_{n_I}) (\phi^1)^{n_1} \cdots (\phi^6)^{n_6} \end{aligned}$$

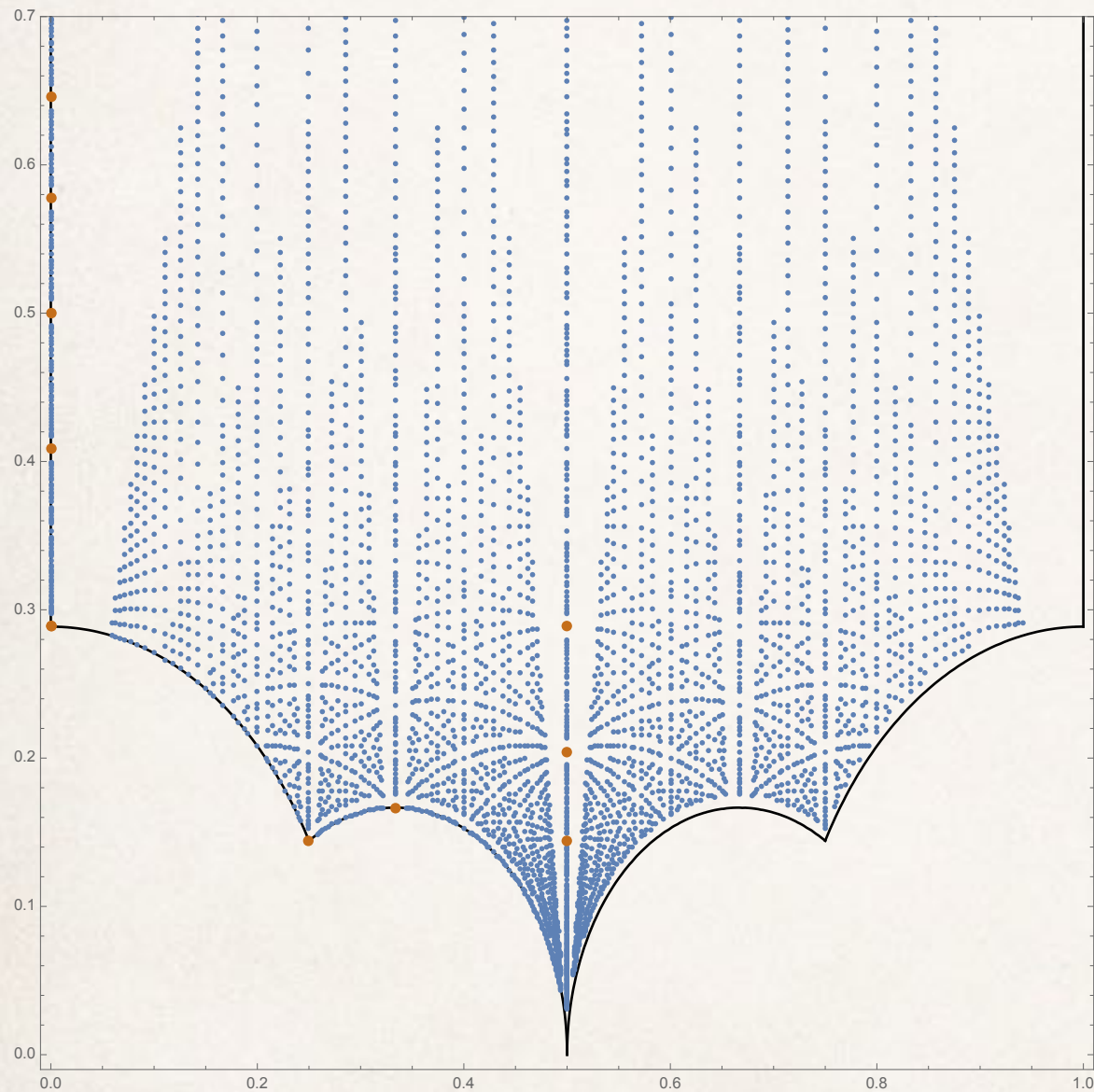
highly complex, generically transcendental, periods

Exact F-theory flux vacua

- Reduction to symmetry locus $\phi_1 = \dots = \phi_6 \rightarrow$ one remaining modulus \mathfrak{t}

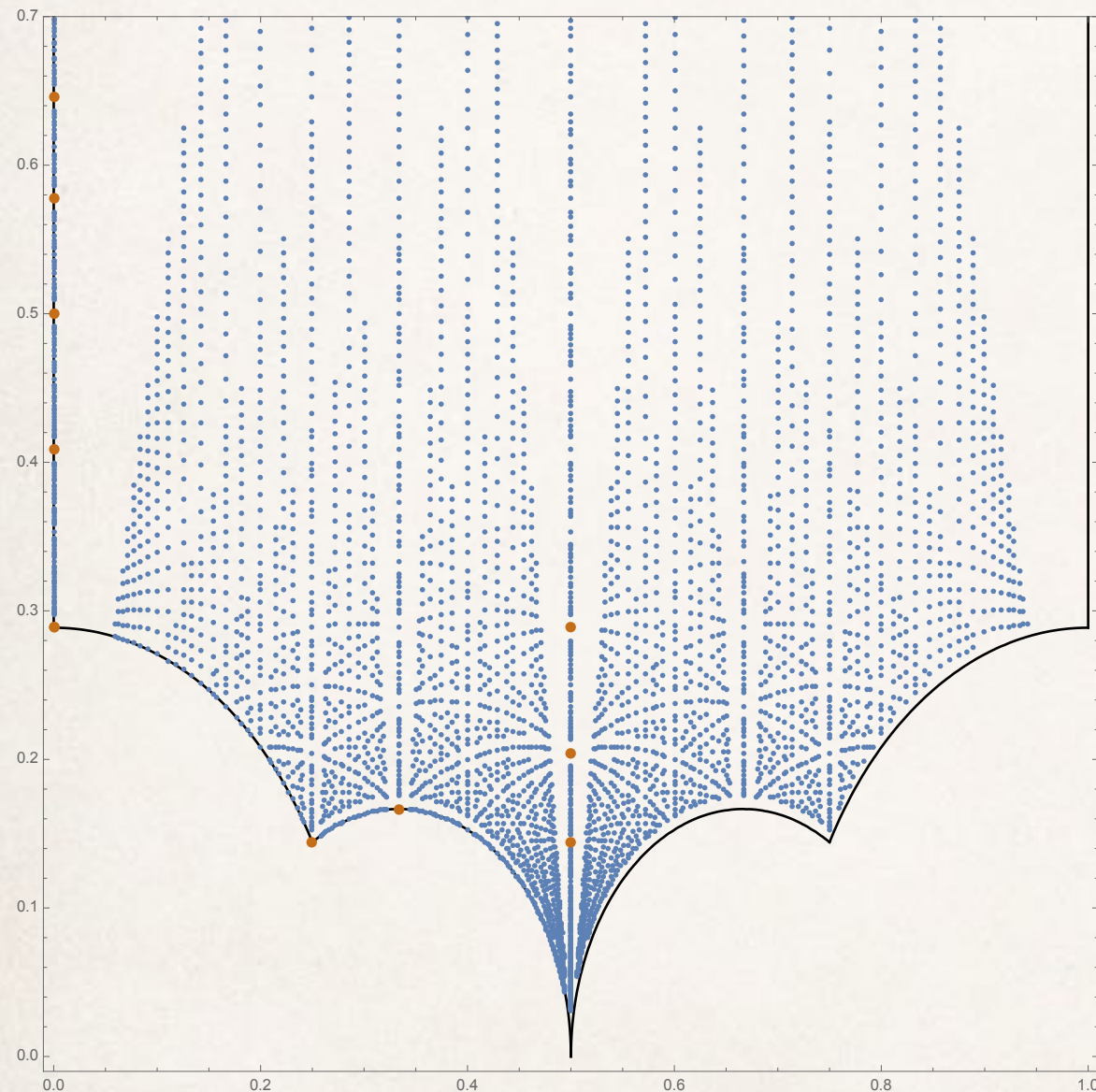
Exact F-theory flux vacua

- Reduction to symmetry locus $\phi_1 = \dots = \phi_6 \rightarrow$ one remaining modulus \mathfrak{t}



Exact F-theory flux vacua

- Reduction to symmetry locus $\phi_1 = \dots = \phi_6 \rightarrow$ one remaining modulus \mathfrak{t}



Calabi-Yau fourfolds containing
'attractive K3 surfaces' [Moore '98]

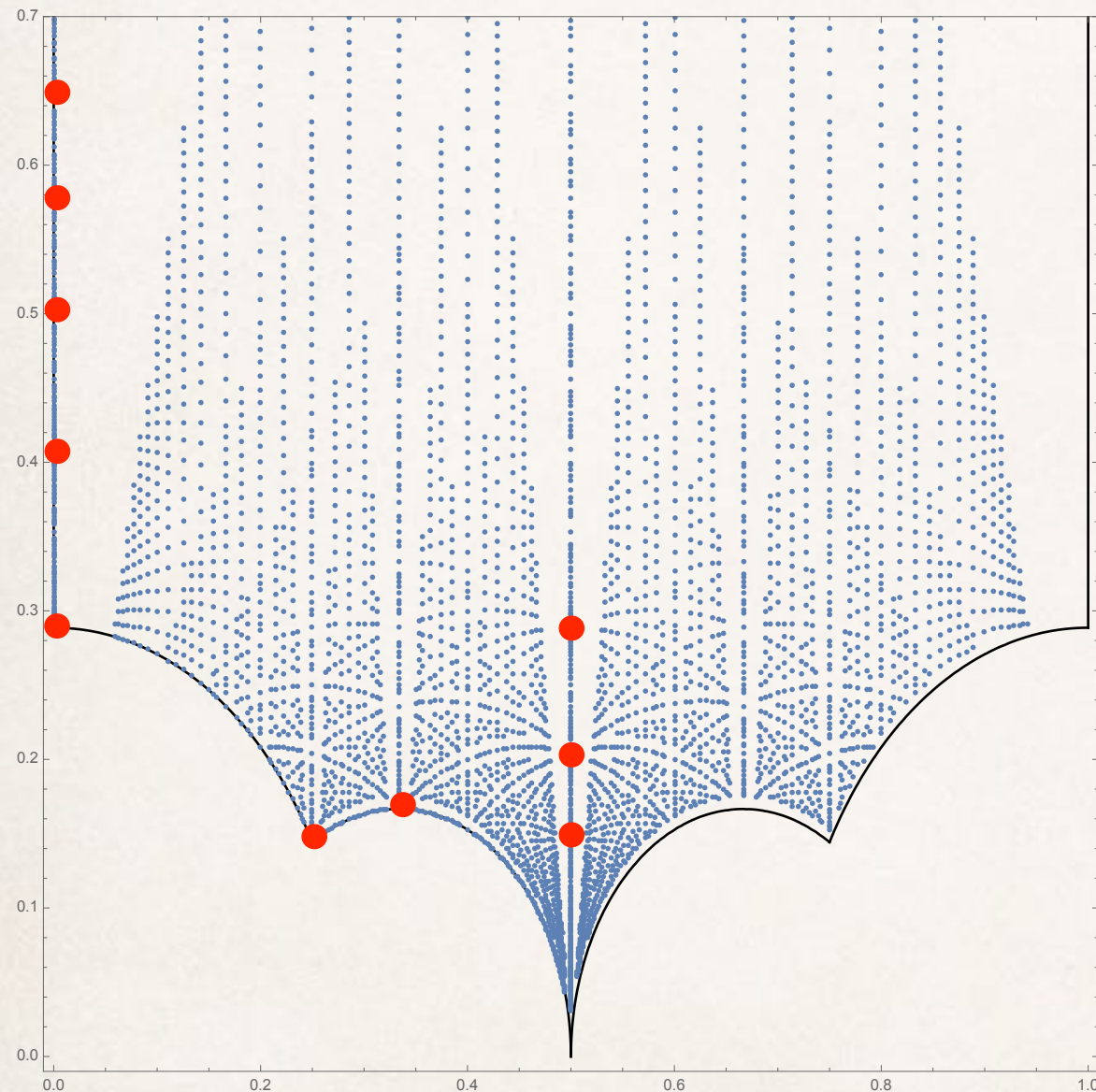
$$\mathfrak{t} \in \mathbb{Q}(i\sqrt{D}) \quad D > 0$$

given by
flux

\rightarrow vacua at number-theoretic values

Exact F-theory flux vacua

- Reduction to symmetry locus $\phi_1 = \dots = \phi_6 \rightarrow$ one remaining modulus \mathfrak{t}



Calabi-Yau fourfolds containing
'attractive K3 surfaces' [Moore '98]

$$\mathfrak{t} \in \mathbb{Q}(i\sqrt{D}) \quad D > 0$$

given by
flux

→ vacua at number-theoretic values

First time: **Exact flux vacua** in Calabi-Yau fourfold [TG,van de Heisteeg '24]
(all complex structure moduli stabilized in tadpole bound)

Curse and Virtue of Complexity

Many vacua from high complexity

- Our universe within String Theory:
 - pick integer data such that couplings match observations
(after moduli stabilization)

Many vacua from high complexity

- Our universe within String Theory:
 - pick integer data such that couplings match observations
(after moduli stabilization)
- For example, study potential: $V(n) = V(\phi_*(n))$

Many vacua from high complexity

- Our universe within String Theory:
 - pick integer data such that couplings match observations (after moduli stabilization)
- For example, study potential: $V(n) = V(\phi_*(n))$
- Increasing complexity increases number of vacua:
potentials with high (F,D) : many vacua $\# \lesssim 2^{F^2} F^F D^F$ (Pfaffian)

Many vacua from high complexity

- Our universe within String Theory:
 - pick integer data such that couplings match observations (after moduli stabilization)
- For example, study potential: $V(n) = V(\phi_*(n))$
- Increasing complexity increases number of vacua:
potentials with high (F,D) : many vacua $\# \lesssim 2^{F^2} F^F D^F$ (Pfaffian)
→ “one of them has small Λ_* ”

Many vacua from high complexity

- Our universe within String Theory:
 - pick integer data such that couplings match observations (after moduli stabilization)
- For example, study potential: $V(n) = V(\phi_*(n))$
- Increasing complexity increases number of vacua:
potentials with high (F,D) : many vacua $\# \lesssim 2^{F^2} F^F D^F$ (Pfaffian)
→ “one of them has small Λ_* ”

A proposed realization: [Bousso, Polchinski '00] polynomial potential

$$V(n) = \Lambda_{\text{bare}} - \sum_{i,j} \mathcal{M}_{ij} n^i n^j \rightarrow \text{sufficiently many flux directions}$$

$F \gg 1 \rightarrow \text{can get small } \Lambda_*$

Competing effect when increasing complexity

- in String Theory complexity F increases because of non-trivial functions

Competing effect when increasing complexity

- in String Theory complexity F increases because of non-trivial functions

$V(n) = 0$ and even $V(n) \approx 0$ might have very few or no solutions due to transcendental nature of the problem

Competing effect when increasing complexity

- in String Theory complexity F increases because of non-trivial functions

$V(n) = 0$ and even $V(n) \approx 0$ might have very few or no solutions due to transcendental nature of the problem

(recall: $W(n) = 0$ solutions only abundant on symmetry locus \rightarrow polynomial W)

Competing effect when increasing complexity

- in String Theory complexity F increases because of non-trivial functions

$V(n) = 0$ and even $V(n) \approx 0$ might have very few or no solutions due to transcendental nature of the problem

(recall: $W(n) = 0$ solutions only abundant on symmetry locus → polynomial W)

→ more emphasis on number-theoretic aspects in studying landscape

Competing effect when increasing complexity

- in String Theory complexity F increases because of non-trivial functions

$V(n) = 0$ and even $V(n) \approx 0$ might have very few or no solutions due to transcendental nature of the problem

(recall: $W(n) = 0$ solutions **only** abundant on symmetry locus \rightarrow polynomial W)

\rightarrow more emphasis on number-theoretic aspects in studying landscape

- Impact on cut-off scale

species-scale: $\Lambda_{\text{QG}} \sim \Lambda_{\text{sp}} = \frac{M_{\text{pl}}}{\sqrt{N}}$

[Dvali '07][Dvali,Lüst '09]...

[van de Heisteeg,Vafa,Wiesner,Wu '22-'23]...

Competing effect when increasing complexity

- in String Theory complexity F increases because of non-trivial functions

$V(n) = 0$ and even $V(n) \approx 0$ might have very few or no solutions due to transcendental nature of the problem

(recall: $W(n) = 0$ solutions **only** abundant on symmetry locus → polynomial W)

→ more emphasis on number-theoretic aspects in studying landscape

- Impact on cut-off scale

[Dvali '07][Dvali,Lüst '09]...

[van de Heisteeg,Vafa,Wiesner,Wu '22-'23]...

species-scale: $\Lambda_{\text{QG}} \sim \Lambda_{\text{sp}} = \frac{M_{\text{pl}}}{\sqrt{N}}$

$\stackrel{?}{\rightarrow} \Lambda_{\text{QG}} \sim \frac{M_{\text{pl}}}{\sqrt{F}} (\dots)$

→ increasing complexity decreases maximal cut-off of effective QFT

Competing effect when increasing complexity

- in String Theory complexity F increases because of non-trivial functions

$V(n) = 0$ and even $V(n) \approx 0$ might have very few or no solutions due to transcendental nature of the problem

(recall: $W(n) = 0$ solutions **only** abundant on symmetry locus \rightarrow polynomial W)

\rightarrow more emphasis on number-theoretic aspects in studying landscape

- Impact on cut-off scale

[Dvali '07][Dvali,Lüst '09]...

[van de Heisteeg,Vafa,Wiesner,Wu '22-'23]...

species-scale: $\Lambda_{\text{QG}} \sim \Lambda_{\text{sp}} = \frac{M_{\text{pl}}}{\sqrt{N}}$

$\stackrel{?}{\rightarrow} \Lambda_{\text{QG}} \sim \frac{M_{\text{pl}}}{\sqrt{F}} (\dots)$

\rightarrow increasing complexity decreases maximal cut-off of effective QFT

Growing number of arguments indicating: (1) need for revised approach to the landscape, (2) more positive assessment of its predictive power

Conclusions

- Introduced the notion of **tameness, o-minimality**, as generalized finiteness property → powerful tools from mathematics
- Refined o-minimal structures to allow for a definition of complexity → **sharp o-minimality** (**#o-minimality**)
- First ideas to assign complexity to a QFT (Lagrangian/observables)
- Two finiteness/tameness results: (1) $DW=0$, self-dual flux vacua
(2) $DW=0$, $W=0$ landscape
symmetry vs. transcendental (presence of exp. corrections)
- Stressed that in String Theory we often solve transcendental problems over the integers → largely unexplored perspective
(while common in amplitude/bootstrap community)

Thanks!

Some examples

- #complexity is minimal (F,D) needed to define the function
see [TG,Schlechter,van Vliet '23] for definition and example applications in QFTs
- exponential function: e^{ax} $(F, D) = (2, 2)$
- fewnomials: $ax^{2d} + bx^d$ $(F, D) = (1, 2d)$
alternative representation: $f_1 = x^d, f_2 = \frac{1}{x}$ $(F, D) = (3, 6)$
- trigonometric: $\cos(nx)$ on $[-\pi, \pi]$ $(F, D) = (3, 4 + n)$

Note: $x^2 = \sum_{n=0}^{\infty} a_n \cos(nx) \approx \sum_{n=0}^N a_n \cos(nx)$ N to infinity limit decreases complexity

References

→ References

2410.23338	with Mick van Vliet
2404.12422	with Damian van de Heisteeg
2404.03716	with Arno Hoefnagels, Mick van Vliet
2311.09295	with Jeroen Monnee
2310.01484	with Lorenz Schlechter, Mick van Vliet
2302.04275	with Mike Douglas, Lorenz Schlechter
2210.10057	with Mike Douglas, Lorenz Schlechter
2112.08383	TG
2112.06995	with Benjamin Bakker, Christian Schnell, Jacob Tsimmerman

+ work in progress