

# Physics and Algebraic Topology

Part II: 21st century

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# Modern times

2010s

**Integer quantum Hall system** is an example of

$(n + 1)$ -dimensional quantum field theory (QFT)  
with **unique gapped ground state** with  $G$ -symmetry.

Often called

**SPT phases**

and/or

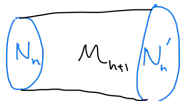
**invertible phases.**

(SPT= symmetry protected topological)

A more general  $(n + 1)$ -dimensional quantum field theory (QFT)  $Q$  assigns a Hilbert space to a spatial manifold  $N_n$ :

$$N_n \mapsto \mathcal{H}_Q(N_n),$$

and for

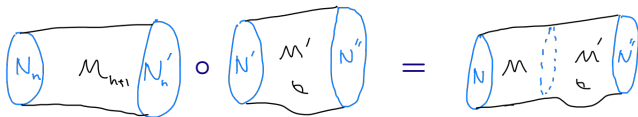


it assigns

$$Z_Q(M_{n+1}) : \mathcal{H}_Q(N_n) \rightarrow \mathcal{H}_Q(N'_n).$$

The manifold can be equipped with various structures of your choice, metric, orientation, spin structure,  $G$ -bundle with connection, etc., giving rise to different flavors of QFTs.

Corresponding to



we require

$$Z_Q(M')Z_Q(M) = Z_Q(M' \circ M).$$

So, a QFT  $Q$  has a functor from a suitable bordism category to the category of vector spaces as part of its data.

We assume  $\mathcal{H}_Q(\emptyset) = \mathbb{C}$ , then

$$Z_Q(\mathcal{M}_{n+1}) : \mathcal{H}_Q(\emptyset) \rightarrow \mathcal{H}_Q(\emptyset)$$

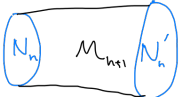
determines a complex number

$$Z_Q(\mathcal{M}_{n+1}) \in \mathbb{C},$$

called the partition function.

A QFT  $Q$  is **SPT/invertible/with unique gapped ground state**  
 $\Leftrightarrow \mathcal{H}_Q(N)$  is **always 1-dimensional**.


Integer quantum Hall material is a  $(2 + 1)$ -dimensional  
**spin invertible** QFT with  $U(1)$  symmetry:

$$Z_Q(\text{cylinder}(N_n, N'_n)) : \mathcal{H}_Q(N) \rightarrow \mathcal{H}_Q(N')$$


$N, N'$  are 2-dimensional;  $M$  is 3-dimensional;  
they come with **spin** structure and  $U(1)$  **bundle with connection**,  
and  $\mathcal{H}_Q(N)$  is **always 1-dimensional**.

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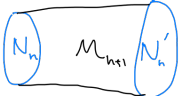

$$Z_Q(\text{Cylinder}(N_n, N'_n, M_{h_{int}})) : \mathcal{H}_Q(N) \rightarrow \mathcal{H}_Q(N')$$

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We would like to understand

$$\mathbf{Inv}_{\mathcal{S},G}^{n+1} := \pi_0(\{ \text{\textit{(n + 1)-dim. invertible QFTs}} \\ \text{\textit{with structure } \mathcal{S} \text{ and symmetry } G} \})$$

Here  $\mathcal{S}$  can be spin structure, orientation only, etc.

As invertible QFTs form a group under tensor product

$$\begin{aligned} \mathcal{H}_{Q \times Q'}(N) &= \mathcal{H}_Q(N) \otimes \mathcal{H}_{Q'}(N), \\ \mathcal{Z}_{Q \times Q'}(M) &= \mathcal{Z}_Q(M) \otimes \mathcal{Z}_{Q'}(M), \quad \text{etc.,} \end{aligned}$$

$\mathbf{Inv}_{\mathcal{S},G}^{n+1}$  will be an Abelian group.

Dijkgraaf-Witten (1990)

$$\text{Inv}_{?,G}^{n+1} \stackrel{\text{proposal}}{=} H^{n+2}(BG, \mathbb{Z})$$

Dependence on  $\mathcal{S}$  not appreciated at that time.

Wrong if taken too literally.

Integer quantum Hall effect is the case  $n = 2$ ,  $G = U(1)$ . Then

$$H^4(BU(1), \mathbb{Z}) \simeq \mathbb{Z}$$

is generated by  $(c_1)^2$ , but we need  $\frac{1}{2}(c_1)^2$  as we saw, for which the spin structure was crucial.

[Chen-Gu-Liu-Wen 1106.4772]

$$\text{Inv}_{\text{oriented}, G}^{n+1} \stackrel{\text{proposal}}{=} H^{n+2}(BG, \mathbb{Z})$$

An influential paper, which introduced and popularized the notion of SPT phases.

(The terminology “invertible phases” originates from [Freed-Moore hep-th/0409135].)

Now known to be wrong for  $n \geq 4$ .

How about the **spin** case?

[Freed hep-th/0607134], [Gu-Wen 1201.2648]

$$\text{Inv}_{\text{spin}, G}^{n+1} \stackrel{\text{proposal}}{=} E^{n+2}(BG)$$

where  $E^d$  is a cohomology theory given by

$$E^d(X) = \frac{\left\{ (a, b) \in C^{d-3}(X, \mathbb{Z}/2) \times C^d(X, \mathbb{Z}) \mid \begin{array}{l} \delta a = 0, \\ \delta b = \beta \circ \text{Sq}^2 a \end{array} \right\}}{\text{certain equiv. relation}}$$

where

$\beta$  is the Bockstein for  $0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Z} \rightarrow \mathbb{Z}/2 \rightarrow 0$  and  
 $\text{Sq}^2$  is the Steenrod square.

(Amazingly, Gu and Wen rediscovered  
the cochain-level expression of  $\text{Sq}^2$  by themselves! )

Another way to define  $E^d(X)$  is to write it as

$$E^d(X) = [X, E_d]$$

where  $E_d$  is a two-stage Postnikov tower

$$K(\mathbb{Z}, d) \rightarrow E_d \rightarrow K(\mathbb{Z}/2, d-2)$$

whose Postnikov invariant

$$E_d \rightarrow K(\mathbb{Z}/2, d-2) \xrightarrow{x} K(\mathbb{Z}, d+1)$$

is given by

$$x = \beta \circ \text{Sq}^2 \circ \iota$$

where  $\iota$  is the generator of  $H^{d-2}(K(\mathbb{Z}/2, d-2), \mathbb{Z}/2)$ .

[Schnyder-Ryu-Furusaki-Ludwig 0803.2786], [Kitaev 0901.2686]

$$KO^{n-2}(pt) \rightarrow \text{Inv}_{\text{spin}, pt}^{n+1}$$

They classified **free spin invertible phases without additional symmetry**.

They also considered structures related but not quite **spin**  
(such as imposing time reversal, corresponding to considering **pin $\pm$** )  
so that the classification is  **$KO^{n+i}(pt)$**  for arbitrary  $i \bmod 8$ .

Called the **periodic table** of **free topological superconductors**.

(see e.g. a **nice lecture** by Ryu)

(see also [Gomi-Yamashita 2111.01377])



Kitaev (2015)

$$\text{Inv}_{\mathcal{S},G}^{n+1} = E_{\mathcal{S}}^{n+2}(BG)$$

where  $E_{\mathcal{S}}$  should be a generalized cohomology theory.

Kitaev only gave a talk and never wrote it up.

Fleshed out in [Xiong 1701.00004] and [Gaiotto, Johnson-Freyd 1712.07950] etc.

[Kapustin-Thorngren-Turzillo-Wang 1406.7329]

[Freed-Hopkins 1604.06527]

$$\mathbf{Inv}_{\mathcal{S},G}^{n+1} \stackrel{\text{proposal}}{=} (I_{\mathbb{Z}}\Omega^{\mathcal{S}})^{n+2}(BG)$$

where  $\Omega^{\mathcal{S}}$  is the  $\mathcal{S}$ -bordism homology and  $I_{\mathbb{Z}}$  is the Anderson dual.

Further discussions in [Yonekura 1803.10796],

[Yamashita-Yonekura 2106.09270], [Yamashita, 2110.14828]

People think this is the definitive version.

A generalized (co)homology theory  $h^n(X)$ ,  $h_n(X)$  satisfies the Eilenberg-Steenrod axioms for the ordinary (co)homology **except** the dimension axiom.

So  $h_n(pt) = h^{-n}(pt)$  can be nontrivial for  $n \neq 0$ .

Bordism group

$$\Omega_n^{\mathcal{S}}(X) = \left\{ \begin{array}{l} \mathcal{S}\text{-structured manifold } M_n \\ \text{together with } f : M_n \rightarrow X \end{array} \right\} / \text{bordism}$$

is an example, where

$$M \stackrel{\text{bordant}}{\sim} M' \Leftrightarrow \begin{array}{c} \text{Diagram of a cobordism } W \text{ between } M \text{ and } M' \\ \text{with arrows pointing to } X \end{array}$$

For a generalized homology theory  $h_*(-)$ ,  
 there is the **Anderson dual** cohomology theory  $I_{\mathbb{Z}}h^*(-)$   
 which satisfies the analogue of the universal coefficient theorem:

$$\begin{aligned}
 0 \rightarrow \text{Ext}_{\mathbb{Z}}(h_{d-1}(X), \mathbb{Z}) \\
 \rightarrow (I_{\mathbb{Z}}h)^d(X) \rightarrow \\
 \text{Hom}_{\mathbb{Z}}(h_d(X), \mathbb{Z}) \rightarrow 0
 \end{aligned}$$

The universal coefficient theorem of  $H(-, \mathbb{Z})$  means that

$$I_{\mathbb{Z}}H(-, \mathbb{Z}) = H(-, \mathbb{Z}).$$

Similarly,  $I_{\mathbb{Z}}K = K$  and  $I_{\mathbb{Z}}KO^{\bullet} = KO^{\bullet+4}$ .

## Construction of $I_{\mathbb{Z}}h$ from $h$

Note that

$$X \mapsto \mathbf{Hom}(\pi_{\bullet}^S(X), \mathbb{Q}), \quad X \mapsto \mathbf{Hom}(\pi_{\bullet}^S(X), \mathbb{Q}/\mathbb{Z})$$

are generalized cohomology theories. Let us say that they are represented by spectra

$$I\mathbb{Q}, \quad I\mathbb{Q}/\mathbb{Z}$$

and define  $I\mathbb{Z}$  to be the homotopy fiber

$$I\mathbb{Z} \rightarrow I\mathbb{Q} \rightarrow I\mathbb{Q}/\mathbb{Z}.$$

Then,

$$I_{\mathbb{Z}}h := [h, I\mathbb{Z}]$$

represents  $I_{\mathbb{Z}}h$  when  $h$  is the spectrum representing  $h$ .

# Classification of fermionic invertible phases

$$\mathrm{Inv}_{\mathrm{spin},G}^{n+1} = (I_{\mathbb{Z}}\Omega^{\mathrm{spin}})^{n+2}(BG)$$

$\Omega_{\bullet}^{\mathrm{spin}}(pt)$  was determined in Anderson-Brown-Peterson (1967) and the Anderson dual was introduced in Anderson (1969).

Physicists now need them!

That's why graduate students in condensed matter physics learn the Atiyah-Hirzebruch spectral sequence and the Adams spectral sequence to compute them.

## Relation to previous proposals:

[Freed hep-th/0607134], [Gu-Wen 1201.2648]

$$\text{Inv}_{\text{spin}, G}^{n+1} \stackrel{?}{=} E^{n+2}(BG)$$

where  $E^d$  is a cohomology theory represented by a two-stage Postnikov tower

$$K(\mathbb{Z}, d) \rightarrow E_d \rightarrow K(\mathbb{Z}/2, d-2)$$

such that the associated Postnikov invariant

$$E_d \rightarrow K(\mathbb{Z}/2, d-2) \xrightarrow{x} K(\mathbb{Z}, d+1)$$

is given by

$$x = \beta \circ \text{Sq}^2 \circ \iota$$

where  $\iota$  is the generator of  $H^2(K(\mathbb{Z}/2, d-2), \mathbb{Z}/2)$ .

Its relation to

$$\mathbf{Inv}_{\text{spin},G}^{n+1} = (I_{\mathbb{Z}}\Omega^{\text{spin}})^{n+2}(BG)$$

is that the said two-stage Postnikov tower

$$K(\mathbb{Z}, d) \rightarrow E_d \rightarrow K(\mathbb{Z}/2, d-2)$$

is the truncation of the spectrum representing  $I_{\mathbb{Z}}\Omega^{\text{spin}}$  to its first two nontrivial stages:

$d$	<b>0</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	$\dots$
$(I_{\mathbb{Z}}\Omega^{\text{spin}})^d$	$\mathbb{Z}$	<b>0</b>	$\mathbb{Z}/2$	$\mathbb{Z}/2$	$\mathbb{Z}$	$\dots$
$E^d$	$\mathbb{Z}$	<b>0</b>	$\mathbb{Z}/2$	<b>0</b>	<b>0</b>	$\dots$

In particular, there is a natural transformation

$$E^d(BG) \rightarrow (I_{\mathbb{Z}}\Omega^{\text{spin}})^d(BG).$$



## Relation to previous proposals:

[Schnyder-Ryu-Furusaki-Ludwig 0803.2786], [Kitaev 0901.2686]

$$KO_G^{n-2}(pt) \rightarrow \text{Inv}_{\text{spin},G}^{n+1}$$

classifying **free spin invertible phases without additional symmetry**.

Its relation to

$$\text{Inv}_{\text{spin},G}^{n+1} = (I_{\mathbb{Z}}\Omega^{\text{spin}})^{n+2}(BG)$$

is that it is the Anderson dual to the APS orientation

$$(\Omega^{\text{spin}})_d(X) \rightarrow KO_d(X)$$

which is

$$KO^{d-4}(X) = (I_{\mathbb{Z}}KO)^d(X) \rightarrow (I_{\mathbb{Z}}\Omega^{\text{spin}})^d(X).$$

## Relation to previous proposals:

[Chen-Gu-Liu-Wen 1106.4772]

$$\text{Inv}_{\text{oriented}, G}^{n+1} \stackrel{?}{=} H^{n+2}(BG, \mathbb{Z})$$

We now believe

$$\text{Inv}_{\text{oriented}, G}^{n+1} = (I_{\mathbb{Z}}\Omega^{\text{oriented}})^{n+2}(BG)$$

Again

$d$	<b>0</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	$\dots$
$(I_{\mathbb{Z}}\Omega^{\text{oriented}})^d(pt)$	$\mathbb{Z}$	<b>0</b>	<b>0</b>	<b>0</b>	$\mathbb{Z}$	$\dots$
$H^d(pt, \mathbb{Z})$	$\mathbb{Z}$	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	$\dots$

and there is a homomorphism

$$H^d(X, \mathbb{Z}) \rightarrow (I_{\mathbb{Z}}\Omega^{\text{oriented}})^d(X).$$

The homomorphism

$$\tilde{H}^{n+2}(X, \mathbb{Z}) \rightarrow (I_{\mathbb{Z}} \widetilde{\Omega}^{\text{oriented}})^{n+2}(X).$$

for  $X = BG$  with finite groups  $G$   
fails to be surjective starting at  $n + 2 = 6$ .

SPT phases associated to these points are discussed e.g. in  
[Fidkowski-Haah-Hastings 1912.05565] and [Chen-Hsin, 2110.14644]

These correspond to  $n + 1 = 4 + 1$  dimensional systems.

# Present

2020s

The last topic of the talk is about **physics and elliptic cohomology**.

There are three types of complex curves with Abelian group law:

$$\mathbb{C}, \quad \mathbb{C}^\times, \quad \text{elliptic curves.}$$

Correspondingly, there are three types of cohomology theories:

$$H^*(-, \mathbb{Z}), \quad K^*(-), \quad \text{elliptic cohomologies.}$$

They are all complex orientable: a complex  $n$ -fold  $M_{2n}$  has the fundamental class  $[M_{2n}] \in E_{2n}(M)$ .

All these cohomology theories have the 1st Chern class  $c_1(\mathcal{L}) \in E^*(X)$  for complex line bundles  $\mathcal{L} \rightarrow X$ .

The group law dictates how  $c_1(\mathcal{L} \otimes \mathcal{L}')$  is expressed in terms of  $c_1(\mathcal{L})$  and  $c_1(\mathcal{L}')$ .

Today I would like to discuss their real analogues:

$$H^*(-, \mathbb{Z}), \quad KO^*(-), \quad TMF^*(-).$$

*TMF* is the topological modular form, constructed by Hopkins et al. in late 1990s. (cf. [Hopkins' talk at ICM 2002, math/0212397])

I hear the construction uses a sheaf of  $E_\infty$ -ring spectra over the moduli stack of elliptic curves over  $\mathbb{Z}$ .

I don't understand any of the words in the last sentence.

$M_n$  has a fundamental class in  $H_n(M, \mathbb{Z})$   
if  $M$  is **oriented**.  $\quad =$  the trivialization of  $w_1(TM)$  is given.

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if  $M$  is **spin**.  $=$  the trivialization of  $w_2(TM)$  is given.

$M_n$  has a fundamental class in  $TMF_n(M)$   
if  $M$  is **string**.  $=$  the trivialization of  $p_1(TM)$  is given.

Note that the first three nontrivial homotopy group of  $O$  is

$$\pi_0(O) = \mathbb{Z}/2, \quad \pi_1(O) = \mathbb{Z}/2, \quad \pi_3(O) = \mathbb{Z}$$

and  $w_1, w_2, p_1$  are the corresponding obstruction classes.

Adams spectral sequences computing them have the form

$$E_2^{s,t} = \text{Ext}_{\mathcal{A}(0)}^{s,t}(H^*(X, \mathbb{Z}/2), \mathbb{Z}/2) \Rightarrow H_{t-s}(X, \mathbb{Z})_{\hat{2}}$$

$$E_2^{s,t} = \text{Ext}_{\mathcal{A}(1)}^{s,t}(H^*(X, \mathbb{Z}/2), \mathbb{Z}/2) \Rightarrow ko_{t-s}(X)_{\hat{2}}$$

$$E_2^{s,t} = \text{Ext}_{\mathcal{A}(2)}^{s,t}(H^*(X, \mathbb{Z}/2), \mathbb{Z}/2) \Rightarrow tmf_{t-s}(X)_{\hat{2}}$$

where  $\mathcal{A}(n)$  is the subalgebra of the Steenrod algebra generated by  $Sq^1, Sq^2, \dots, Sq^{2^n}$ .

$TMF$  is the natural next entry after  $H(-, \mathbb{Z})$  and  $KO$ .

N.B. there are no cohomology theories such that

$$E_2^{s,t} = \text{Ext}_{\mathcal{A}(n)}^{s,t}(H^*(X, \mathbb{Z}/2), \mathbb{Z}/2) \Rightarrow E_{t-s}(X)_{\hat{2}}$$

for  $n \geq 3$ . If so, the corresponding spectrum  $E$  should have

$$H^\bullet(E, \mathbb{Z}/2) = \mathcal{A} // \mathcal{A}(n),$$

whose first two nonzero elements would be  $e$  at degree zero and  $\text{Sq}^{2^{n+1}} e$ . But the latter can be rewritten using lower  $\text{Sq}^{2^k} e$  in terms of secondary cohomology operations (used in Adams' solution to the Hopf-invariant one problem), leading to a contradiction.

[see this MO answer]

So the sequence  $H, KO, Tmf$  seems to stop here.

$KO$  is 8-periodic:

$$KO^{n+8}(X) \simeq KO^n(X)$$

$TMF$  is  $24^2 = 576$ -periodic:

$$TMF^{n+576}(X) \simeq TMF^n(X)$$

$TMF$  is called the topological **modular form** since there is a homomorphism

$$TMF_* \rightarrow MF_*[\Delta^{-1}]$$

where

$$MF = \mathbb{Z}[c_4, c_6, \Delta]/(c_4^3 - c_6^2 - 1728\Delta).$$

is the ring of integral modular forms, with

$$c_4 = 1 + 240q + \dots, \quad c_6 = 1 - 504q - \dots$$

are the Eisenstein series and

$$\Delta = q - 24q^2 + \dots$$

is the modular discriminant.

$TMF_* \rightarrow MF_*[\Delta^{-1}]$  is rationally isomorphic

$$TMF_* \otimes \mathbb{Q} \simeq MF_*[\Delta^{-1}] \otimes \mathbb{Q},$$

and it is isomorphic at degree 0

$$TMF_0 = \mathbb{Z}[J]$$

where  $J$  is the modular  $J$ -invariant,  
but not surjective in general.

For example,  $k\Delta$  is in the image only when **24** divides  $k$ .

$TMF_* \rightarrow MF_*[\Delta^{-1}]$  also has a lot of torsion.

$KO^n(X)$  has a geometric realization: for  $n = 0$ , it is given by virtual differences of real vector bundles over  $X$ .

Is there a similarly nice realization of  $TMF^n(X)$ ?

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### Segal-Stolz-Teichner conjecture

$$TMF^n(X) = \pi_0 \left\{ \begin{array}{l} \text{2-dim'l supersymmetric QFT} \\ \text{of degree } n \text{ parameterized by } X \end{array} \right\}$$

Segal 1988, Stolz-Teichner 2002, 2011

This is a very difficult conjecture. The RHS isn't even defined yet.



An easier version is:

$$KO^n(X) = \pi_0 \left\{ \begin{array}{l} \mathbf{1}\text{-dim'l time-reversal invariant} \\ \mathbf{supersymmetric QFT} \\ \text{of degree } n \text{ parameterized by } X \end{array} \right\}$$

which was rigorously formulated and proved.

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**Supersymmetric** means that the Hilbert space  $\mathcal{H}$  is  $\mathbb{Z}/2$ -graded, and an odd self-adjoint operator  $Q$  is given, called the supersymmetry generator.

**Degree  $n$**  means that there is an action of  $Cl(n, \mathbb{R})$ .

Therefore the statement becomes

$$KO^n(X) \stackrel{?}{=} \pi_0 \left\{ \begin{array}{l} \text{family of } \mathbf{odd\ self-adjoint\ operators\ } Q \\ \text{parameterized over } X \\ \text{on a } \mathbb{Z}/\mathbf{2}\text{-graded\ real\ Hilbert\ space } \mathcal{H} \\ \text{commuting } \mathbf{with\ } Cl(n, \mathbb{R}) \mathbf{\ action} \end{array} \right\}$$

and the RHS is more or less the definition of  $KO$  in terms of Fredholm operators.

(For a detailed proof, see e.g. [Cheung 0811.2267] or [Ulrickson 1901.02110]).

The  $TMF$  version is much harder:

$$TMF^n(X) = \pi_0 \left\{ \begin{array}{l} \text{2-dim'l supersymmetric QFT} \\ \text{of degree } n \text{ parameterized by } X \end{array} \right\}$$

The LHS involves sheaves of spectra over the moduli stack of elliptic curves over  $\mathbb{Z}$ .

The RHS involves QFTs, which seem to me a purely characteristic-0 phenomenon.

Still, nontrivial physics motivation and checks.

For example, take

$$TMF_3(pt) = \mathbb{Z}/24,$$

which is naturally isomorphic to

$$\Omega_3^{\text{framed}}(pt) = \pi_3^S(pt) = \lim \pi_{n+3}S^n.$$

In the standard math definition, the computation involves elliptic curves in characteristic **2** and **3**.

The same  $\mathbb{Z}/24$  also follows from an intricate construction in QFT.

[Gaiotto, Johnson-Freyd 1904.05788]

Historically, elliptic cohomologies / TMF came from two strands of ideas.

One is purely from within algebraic topology, called chromatic phenomena, about which I have no clue.

Another is from Witten.

(This part of the story is nicely summarized in [Landweber 1988](#).)



In string theory we consider strings moving in a manifold:



This should be described by a **2-dim'l supersymmetric QFT** on the worldsheet of the string.

It gives rise to a **sequence of Dirac operators** acting on the spinor bundle  **$SM$**  tensored with tensor powers of the tangent bundle  **$TM$** .

In 1984, Witten asked the property of the index of these operators to Landweber and Stong, who then informed Ochanine about the question.

By 1986, they realized that there is a generalization of the  $\hat{A}$  genus

$$\int_M \hat{A} \in \mathbb{Z}$$

which takes the values in modular forms

$$\int_M \phi_W \in MF.$$

Here,  $M$  needs to be spin (i.e.  $w_2 = 0$ ) for the former and string (i.e.  $p_1 = 0$ ) for the latter.

$\hat{A}$  was known to come from  $KO$ .

There should be some nice cohomology theory for  $\phi_W$ .

It took about 15 years for mathematicians to construct  $TMF$ .

But physicists were almost completely detached from these developments until very recently.

Only in November 2018 papers on this topic appeared (by Gaiotto, Johnson-Freyd and Gukov-Pei-Putrov-Vafa), in which **some physics checks of the Segal-Stolz-Teichner conjecture** were made.

Instead, **assuming the Segal-Stolz-Teichner conjecture**, we can use the known properties of  $TMF$  to **deduce the properties** of 2d supersymmetric QFTs and **of string theory**.

In particular, with **Yamashita at RIMS**, I showed that **there is no anomaly in heterotic string theory**. [YT-Yamashita 2108.13542]

# Anomalies of heterotic string theories

What is an anomaly?

I said that an  $n$ -dim'l QFT  $Q$  assigns the partition function

$$Z_Q(\mathcal{M}_n) \in \mathbb{C},$$

but the partition function of an anomalous QFT  $Q$  is instead given as

$$Z_Q(\mathcal{M}_n) \in \mathcal{H}_{\mathcal{A}}(M)$$

where  $\mathcal{A}$  is an  $(n + 1)$ -dim'l **invertible** QFT and  $\mathcal{H}_{\mathcal{A}}$  is its Hilbert space which is one dimensional.

There are many anomalous QFTs.

Notable examples are free massless fermions, for which

$\mathcal{H}_A(M)$  is the determinant line bundle of the Dirac operator.

A  $n$ -dim'l possibly-anomalous spin QFT  $Q$  has

$\mathcal{A}_Q$ : a  $(n + 1)$ -dim'l spin invertible QFT

as part of the data.

This is given by an element

$$\mathcal{A}_Q \in \text{Inv}_{\text{spin}}^{n+1} = (I_{\mathbb{Z}} \Omega^{\text{spin}})^{n+2}.$$

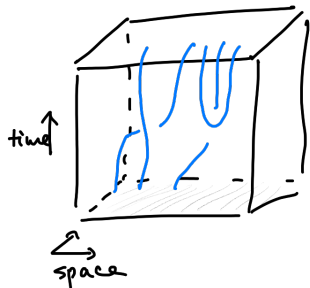
Now, there is a procedure called the **second quantization** we learn in the basic QFT course.

This is a machinery which does

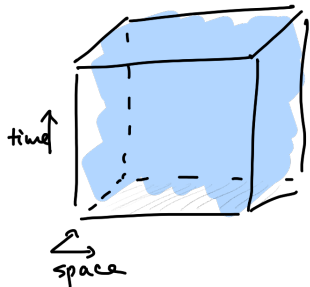
{time-reversal-invariant quantum mechanics of degree  $n - 2$ }



{possibly-anomalous  $n$ -dim'l spin QFT }



quantum  
mechanics of  
particles'



quantum  
field  
theory

This is a machinery which does

{time-reversal-invariant quantum mechanics of degree  $n - 2$ }



{possibly-anomalous  $n$ -dim'l spin QFT }

Applying the **Stolz-Teichner** for the source and the **anomaly** for the target, we have a homomorphism

$$KO^{n-2} \rightarrow (I_{\mathbb{Z}}\Omega^{\text{spin}})^{n+2}.$$

This is the Anderson dual to the spin orientation of the  $KO$  theory:

$$\Omega^{\text{spin}} \rightarrow KO^n$$

where we use  $I_{\mathbb{Z}}KO^{n+4} = KO^n$ .

We already encountered this before in a different context.



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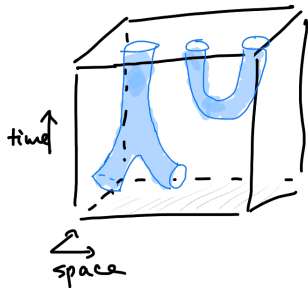
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My interest is the anomaly of heterotic string theory, which is a machinery which does

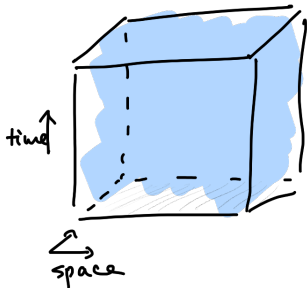
{2-dim'l supersymmetric QFT of degree  $n + 22$ }



{possibly-anomalous  $n$ -dim'l quantum gravity with string structure}



quantum  
field theory of  
strings



quantum  
gravity  
theory

We'd like to consider

{2-dim'l supersymmetric QFT of degree  $n + 22$ }



{possibly-anomalous  $n$ -dim'l quantum gravity with string structure}

Again applying the **Stolz-Teichner** for the source and the **anomaly** for the target, we have a natural transformation

$$TMF^{n+22}(X) \rightarrow (I_{\mathbb{Z}}\Omega^{\text{string}})^{n+2}(X).$$

String theory is often non-anomalous from miraculous reasons. So we would like to know whether this homomorphism is zero.

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The seminal paper of [Green and Schwarz \(1984\)](#), which started superstring theory as we know it, showed that the image of a particular element of  $TMF^{10+22}(pt)$  is torsion.

The paper by [Witten with an appendix by Stong \(1986\)](#) proved that the image of this particular element is actually zero.

$$TMF^{n+22}(X) \rightarrow (I_{\mathbb{Z}}\Omega^{\text{string}})^{n+2}(X)$$

Lerche-Nilsson-Schellekens-Warner (1988) showed that the image in general is torsion (although not phrased in this language.)

With **Yamashita at RIMS**, we showed that it is always a zero map [YT-Yamashita 2108.13542].

Physically this means that **there is no anomaly in heterotic string theory**.

Let me give an outline of how it is done.

Physics tells us that

$$\alpha : TMF^{n+22}(X) \rightarrow (I_{\mathbb{Z}}\Omega^{\text{string}})^{n+2}(X)$$

comes from a map of spectra

$$\alpha : TMF \rightarrow \Sigma^{-20}I_{\mathbb{Z}}M\text{String}$$

or equivalently by

$$\alpha : TMF \wedge M\text{String} \rightarrow \Sigma^{-20}I_{\mathbb{Z}}.$$



Physics also tells us that this factors through the natural  $MString$ -module structure on  $TMF$ :

$$\alpha : TMF \wedge MString \rightarrow TMF \xrightarrow{\gamma} \Sigma^{-20} I\mathbb{Z}.$$

So we need to determine the element

$$\gamma \in [TMF, \Sigma^{-20} I\mathbb{Z}] = (I_{\mathbb{Z}} TMF)^{-20}(pt).$$

Physics paper [Lerche-Nilsson-Schellekens-Warner \(1988\)](#) already showed that

$$\gamma \in [TMF, \Sigma^{-20} I\mathbb{Z}] = (I_{\mathbb{Z}} TMF)^{-20}(pt)$$

is at most torsion.

But  $(I_{\mathbb{Z}} TMF)^{-20}(pt)$  is freely generated over  $\mathbb{Z}$ , because

$$TMF_{-21}(pt) = 0.$$

So  $\gamma$  is zero.

The hard part was

- to translate what I wanted to show physically in terms of stable homotopy theory, and
- to find someone who knows stable homotopy theory and also is interested in this problem.

It was then immediate for my collaborator **Yamashita** to show it does vanish.

Today I surveyed the interaction between physics and algebraic topology.

**Concrete homotopy groups are useful in studying topological solitons.**

(math: 1930s, physics: 1970s)

**Chern classes are useful in understanding integer quantum Hall effect.**

(math: 1940s, physics: 1980s)

**D-branes are classified by K-theory.**

(math: 1960s, physics: 2000s)

**Anderson duals of bordism homologies classify SPT phases.**

(math: 1960s, physics: 2010s)

**TMF and 2d supersymmetric field theories.**

(math: 2000s, physics: 2020s)

**We're trailing behind, but slowly catching up.**