

# Quantum field theory, mathematics, and their recent interactions

Yuji Tachikawa (Kavli IPMU, U. Tokyo)

KAIST physics colloquium

Apr. 12, 2021

# Life between mathematics and theoretical physics

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I am usually categorized as a string theorist.

Is what I do **physics**, or **mathematics**, or **mathematical physics**?

I only give a light-hearted analysis of this issue.

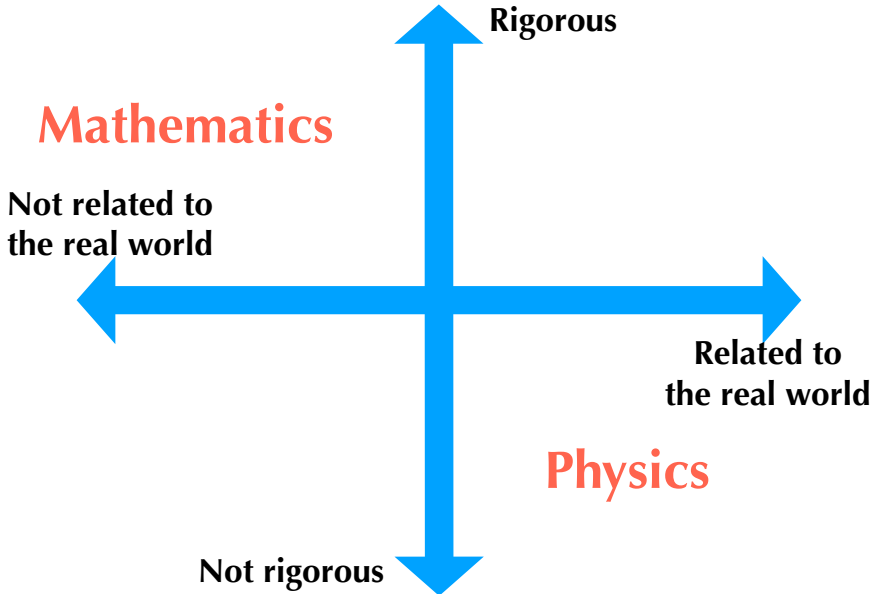
For more incisive discussions,

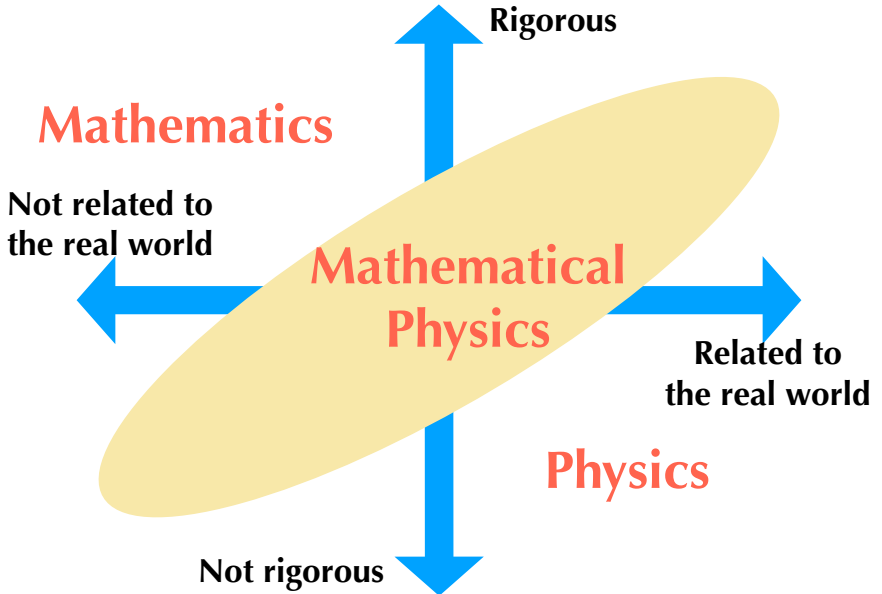
see essays such as Jaffe-Quinn: *Theoretical mathematics*

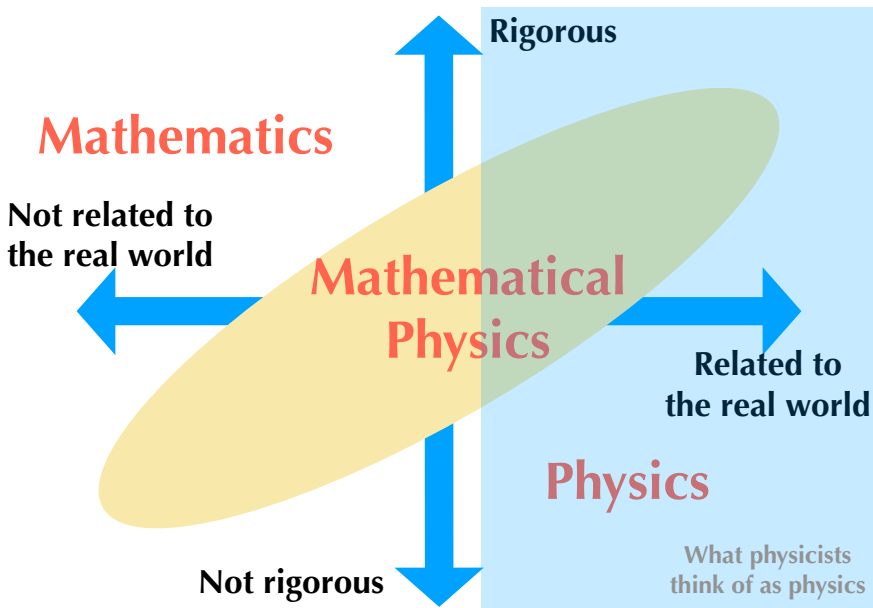
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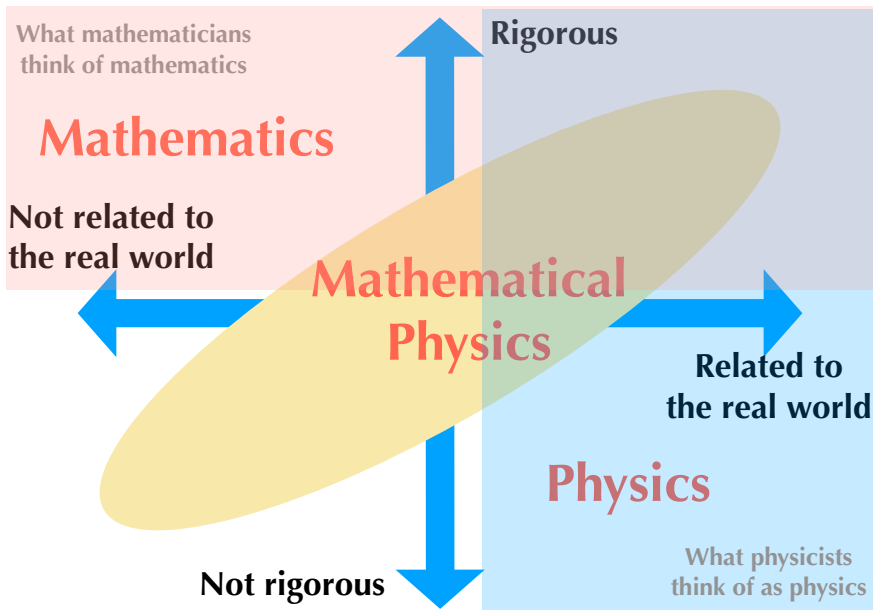
and Thurston: *On proof and progress in mathematics*

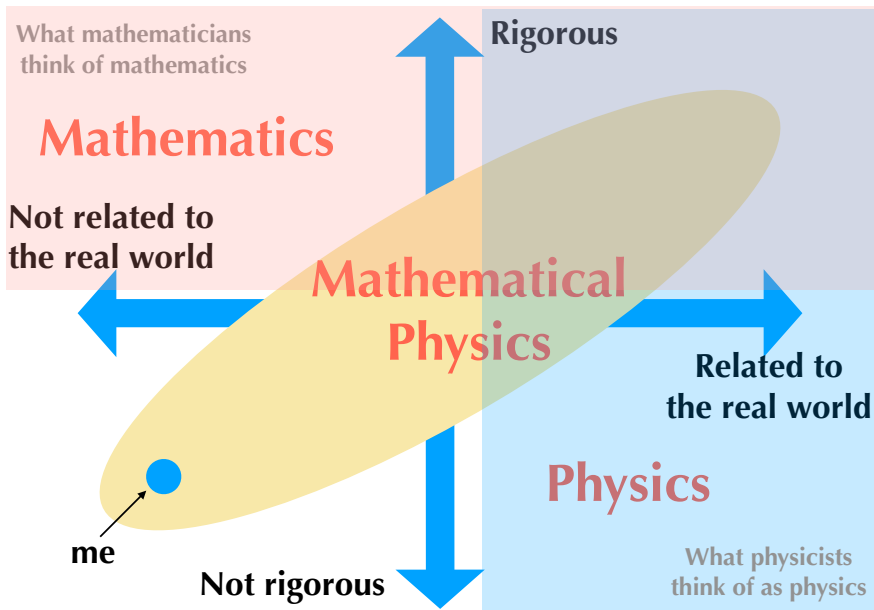
<https://arxiv.org/abs/math/9404236>













**Is there any use** in something which is **not rigorous**  
and **not related to the real world** at the same time?

I want to say **yes**...

Well, I got invited to give a colloquium here by doing that!

**Theoretical  
Physics**

**Experimental  
Physics**

*Work hard*

**Theoretical  
Physics**



**Experimental  
Physics**

*Show new  
experimental  
results*

**Theoretical  
Physics**

*Think about  
the reason*

**Experimental  
Physics**

**Theoretical  
Physics**



**Experimental  
Physics**

*Predict new phenomena*

**Theoretical  
Physics**

**Experimental  
Physics**

*Work hard*

**Theoretical  
Physics**

**Rigorous  
Mathematics**

*Work hard  
to prove something*

**Theoretical  
Physics**



**Rigorous  
Mathematics**

*Present new  
theorems*



**Theoretical  
Physics**

*Think of their  
physical significance*

**Rigorous  
Mathematics**

**Theoretical  
Physics**



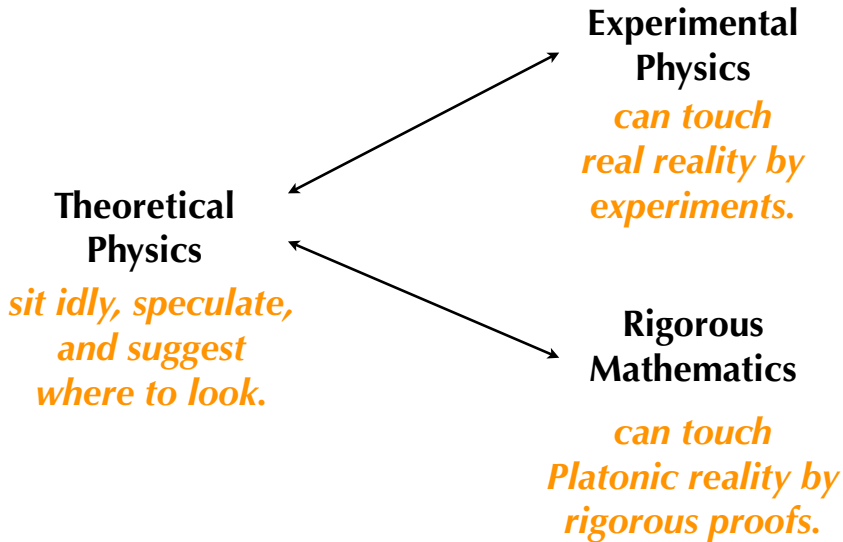
**Rigorous  
Mathematics**

*Predict new theorems*

**Theoretical  
Physics**

**Rigorous  
Mathematics**

*Work hard  
to prove something*



Does it actually work?

In some cases, yes!

Once upon a time (meaning that it was in the 1980s),  
mathematicians were **counting the numbers of spheres**  
in a particular **six-dimensional space**, called the **quintic Calabi-Yau**.

size	number	person	
1	2875	J. Harris	(1979)
2	609250	S. Katz	(1986)

It was a laborious process.

I don't know why they were interested in this question!

Around the same time, superstring theory was born.

It says the world is  $9 + 1$  dimensional.

To match with the fact that our world looks  $3 + 1$  dimensional, we need to curl up the unwanted  $9 - 3 = 6$  dimensions into a very, very small space.

It was found that the same **Calabi-Yau space** is a nice choice for this purpose.

So physicists started studying them too.

Slices of the six-dimensional quintic Calabi-Yau  
you often see in books and TVs.

[https://www.wolframcloud.com/obj/yuji.tachikawa/Published/calabi\\_yau\\_based\\_on\\_jakes\\_code3.nb](https://www.wolframcloud.com/obj/yuji.tachikawa/Published/calabi_yau_based_on_jakes_code3.nb)



String theorists **P. Candelas, X. de la Ossa, P. Green and L. Parkes** found **in early 1990** a vastly **quicker but non-rigorous method** to compute the number of spheres in the same quintic studied by mathematicians.

Recall:

	size	number	person	
$n_1$	=	2875	J. Harris	(1979)
$n_2$	=	609250	S. Katz	(1986)
$n_3$	=	???		

The method of four string theorists involved differential equations and expanding the solutions in a Taylor series, as physicists would naturally do. They predicted

$$n_3 = 317, 206, 375.$$

It fell to mathematicians **G. Ellingsrud** and **S. A. Strømme** to test it. In **June 1990**, they got

$$n_3 = 2, 682, 549, 425.$$

There was a joint math-physics workshop in May 1991 to resolve the issue, so that each side can learn the other side.

There was some progress but the issue remained...

Finally in **July 1991**, Ellingsrud and Strømme found a bug in their computation, and reproduced the prediction by physicists.

$$n_3^{\text{phys}} = 317, 206, 375.$$

$$n_3^{\text{math}} = 317, 206, 375.$$

This was when the mathematical field called the **mirror symmetry** was born.

(Details taken from P. Galison, *Mirror symmetry*, in “Growing Explanations,” M. Norton Wise ed., Duke University Press, 2004.

<https://doi.org/10.1515/9780822390084-002>

I thank D. R. Morrison for information.)

There are many other examples of such interactions between mathematics and theoretical physics.

I was lucky to have been involved in one, called the **Mathieu Moonshine**.

**High Energy Physics – Theory**

*[Submitted on 6 Apr 2010 (v1), last revised 25 Jun 2010 (this version, v2)]*

**Notes on the K3 Surface and the Mathieu group M<sub>24</sub>**

Tohru Eguchi, Hiroshi Ooguri, Yuji Tachikawa

We point out that the elliptic genus of the K3 surface has a natural decomposition in terms of dimensions of irreducible representations of the largest Mathieu group M<sub>24</sub>. The reason is yet a mystery.

Comments: 10 pages. v2: published version

Subjects: **High Energy Physics – Theory (hep-th)**; Algebraic Geometry (math.AG); Group Theory (math.GR); Quantum Algebra (math.QA)

Journal reference: Exper.Math.20:91–96,2011

DOI: [10.1080/10586458.2011.544585](https://doi.org/10.1080/10586458.2011.544585)

Cite as: [arXiv:1004.0956 \[hep-th\]](https://arxiv.org/abs/1004.0956)  
(or [arXiv:1004.0956v2 \[hep-th\]](https://arxiv.org/abs/1004.0956v2) for this version)

## Much ado about Mathieu

Terry Gannon

*(Submitted on 23 Nov 2012 (v1), last revised 15 Mar 2013 (this version, v2))*

Eguchi, Ooguri and Tachikawa have observed that the elliptic genus of type II string theory on K3 surfaces appears to possess a Moonshine for the largest Mathieu group. Subsequent work by several people established a candidate for the elliptic genus twisted by each element of M24. In this paper we prove that the resulting sequence of class functions are true characters of M24, proving the Eguchi–Ooguri–Tachikawa conjecture. We prove the evenness property of the multiplicities, as conjectured by several authors. We also identify the role group cohomology plays in both K3–Mathieu Moonshine and Monstrous Moonshine; in particular this gives a cohomological interpretation for the non–Fricke elements in Norton’s Generalised Monstrous Moonshine conjecture. We investigate the intriguing proposal of Gaberdiel–Hohenegger–Volpato that K3–Mathieu Moonshine lifts to the Conway group Co1.

## Mathematics &gt; Algebraic Topology

[Submitted on 4 Jun 2020]

## Topological Mathieu Moonshine

Theo Johnson-Freyd

We explore the Atiyah–Hirzebruch spectral sequence for the  $tmf^*[\frac{1}{2}]$ -cohomology of the classifying space  $BM_{24}$  of the largest Mathieu group  $M_{24}$ , twisted by a class  $\omega \in H^4(BM_{24}; \mathbb{Z}[\frac{1}{2}]) \cong \mathbb{Z}_3$ . Our exploration includes detailed computations of the  $\mathbb{Z}_3$ -cohomology of  $M_{24}$  and of the first few differentials in the AHSS. We are specifically interested in the value of  $tmf_{\omega}^*(BM_{24})[\frac{1}{2}]$  in cohomological degree  $-27$ . Our main computational result is that  $tmf_{\omega}^{-27}(BM_{24})[\frac{1}{2}] = 0$  when  $\omega \neq 0$ . For comparison, the restriction map  $tmf_{\omega}^{-3}(BM_{24})[\frac{1}{2}] \rightarrow tmf^{-3}(pt)[\frac{1}{2}] \cong \mathbb{Z}_3$  is nonzero for one of the two nonzero values of  $\omega$ . Our motivation comes from Mathieu Moonshine. Assuming a well-studied conjectural relationship between  $TMF$  and supersymmetric quantum field theory, there is a canonically-defined  $Co_1$ -twisted-equivariant lifting  $[\bar{V}^{f^3}]$  of the class  $\{24\Delta\} \in TMF^{-24}(pt)$ , where  $Co_1$  denotes Conway's largest sporadic group. We conjecture that the product  $[\bar{V}^{f^3}]\nu$ , where  $\nu \in TMF^{-3}(pt)$  is the image of the generator of  $tmf^{-3}(pt) \cong \mathbb{Z}_{24}$ , does not vanish  $Co_1$ -equivariantly, but that its restriction to  $M_{24}$ -twisted-equivariant  $TMF$  does vanish. This conjecture answers some of the questions in Mathieu Moonshine: it implies the existence of a minimally supersymmetric quantum field theory with  $M_{24}$  symmetry, whose twisted-and-twined partition functions have the same mock modularity as in Mathieu Moonshine. Our AHSS calculation establishes this conjecture "perturbatively" at odd primes. An appendix included mostly for entertainment purposes discusses " $\ell$ -complexes" and their relation to  $SU(2)$  Verlinde rings. The case  $\ell = 3$  is used in our AHSS calculations.

I would like to give some detail of this **Mathieu Moonshine**, but it would be a long way to go. I need to tell you

- what is **quantum field theory**,
- what is **string theory**,
- what are the **Mathieu groups**, and
- what is the **moonshine**.

Let me try.



What is **Quantum Field** Theory = QFT ?

- Describes **quantum** properties of **fields**, where
- **fields** are **anything which extend along space and time**, such as
- electromagnetic fields (=light), crystal vibration, electron fields ...

The prototypical example is the **Quantum Electrodynamics** (QED):

- describes quantized electromagnetic fields interacting with charged particles
- was established around 1950s
- with many developments since then

Theory and experiment match extremely well in QED.

The prime example is the **anomalous magnetic moment of electron**:

$$\begin{aligned} a_e^{\text{theory}} &= 0.01\ 159\ 652\ 181\dots \\ a_e^{\text{experiment}} &= 0.01\ 159\ 652\ 181\dots \end{aligned}$$

I can say that:

- QFT is **well researched**,
- QFT predicts quantities with **high precision**, and
- QFT **agrees very well with experiment**.

But it is **mathematically incomplete**, in that no satisfactory formalization is known.

Quantum mechanics and general relativity are OK for mathematicians, but QFT is not.

It is analogous to the situation in the past:

Ancient Egyptians could build pyramids,  
although they had not formalized geometry.

Physicists can compute things although they have not formalized QFT.

The flip side of the coin is that QFT might produce  
new results in mathematics.

Let us move on to **string theory**.

It is a **quantum** theory of **strings moving relativistically**. It turns out that:

- it is consistent only in **9+1 dimensions**
- it automatically contains **quantum gravity**

Reconciling gravity and quantum mechanics is one of the long-standing problems in physics. There are many competing approaches.

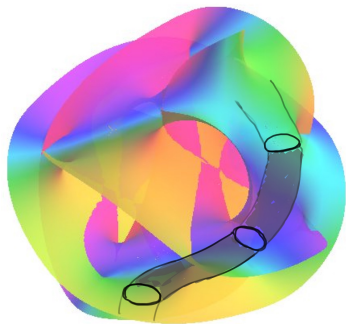
There are many who are passionately for string theory,  
and also many who are passionately against string theory.

Does it describe the real world? I do not know.

For me what matters is whether it is Platonically consistent.

It seems it is. And many mathematical predictions have come out of it.

Suppose you want to study strings moving in a Calabi-Yau...



Please excuse my bad drawing.

It is done in terms of 1+1 dimensional QFT on the worldsheet.



Mathieu Moonshine concerns strings moving in **K3 space**.

It is a **closed four-dimensional** space satisfying

$$\frac{1}{2} \epsilon_{\mu\nu}{}^{\alpha\beta} R_{\alpha\beta\rho\sigma} = R_{\mu\nu\rho\sigma}$$

which is not completely flat.

You can think of it as a space which is **half flat**,  
in a precise technical sense.

In particular, it solves the vacuum Einstein equation  
with **zero cosmological constant**.

**K3** is named by André Weil, honoring three mathematicians **Kähler**, **Kummer**, and **Kodaira**, and also after the beautiful mountain **K2**:



<https://en.wikipedia.org/wiki/K2>

The origin of Mathieu Moonshine goes back to the work by Eguchi and Ooguri in 1989.

T. Eguchi



H. Ooguri



Ooguri was preparing his PhD thesis under Eguchi, studying strings moving in  $K3$ .

A central result in his PhD thesis is this:

so are the numbers  $N_{h,1} - 2N_{h,0}$ .

$$\begin{aligned} F(\tau) = & 90q + 462q^2 + 1540q^3 + 4554q^4 + 11592q^5 \\ & + 27830q^6 + 61686q^7 + 131100q^8 + \dots \end{aligned} \tag{23}$$

This is basically the partition function of a string moving in K3.

What does it mean?

[https://ooguri.caltech.edu/documents/8002/phd\\_thesis.pdf](https://ooguri.caltech.edu/documents/8002/phd_thesis.pdf)

To understand it, we now need to turn to the role of **symmetry** in **quantum mechanics**.

Everybody will learn / learned that the angular momentum in quantum mechanics takes the value

$$j_z = \underbrace{-j, -j + 1, \dots, j - 1, j}_{2j+1 \text{ choices}}$$

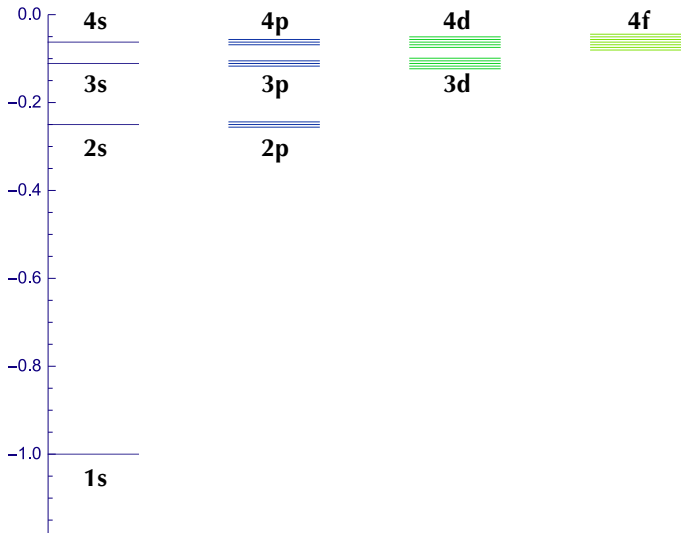
So

$$\begin{aligned} j = 0 &\Rightarrow j_z = 0 \\ j = \frac{1}{2} &\Rightarrow j_z = -\frac{1}{2}, +\frac{1}{2} \\ j = 1 &\Rightarrow j_z = -1, 0, +1 \\ j = \frac{3}{2} &\Rightarrow j_z = -\frac{3}{2}, -\frac{1}{2}, +\frac{1}{2}, +\frac{3}{2} \end{aligned}$$

For integer  $j$ , there are also traditional names

$j$	0	1	2	3	...
name	<i>s</i>	<i>p</i>	<i>d</i>	<i>f</i>	...
degeneracy	1	3	5	7	...

The spectrum of a hydrogen atom, to the zeroth approximation, looks like this:



The **degeneracy** is related to the **symmetry**.

The angular momentum operators  $L_{x,y,z}$  are infinitesimal generators of the three dimensional **rotation group**  $so(3)$ , the symmetry of the hydrogen atom.

representation=	$j$	0	1	2	3	...
	name	<i>s</i>	<i>p</i>	<i>d</i>	<i>f</i>	...
dimension=	degeneracy	1	3	5	7	...

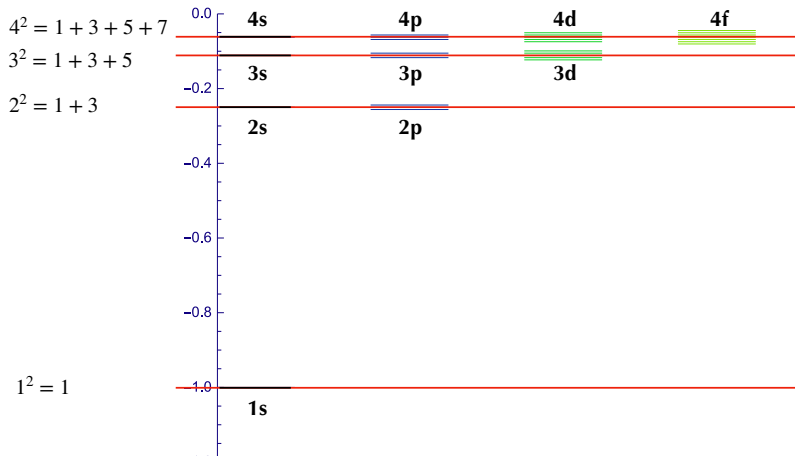
The total angular momentum  $j$  specifies how the symmetry acts on the quantum states.

Equivalently, it specifies the **representation** of the symmetry group.

The degeneracy is also known as the **dimension**.



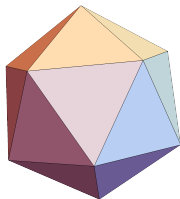
The spectrum of a hydrogen atom, to the zeroth approximation, shows accidental degeneracies **in addition to the rotational symmetry**:



It is known to reflect a hidden 4-dimensional rotational symmetry  $so(4)$  [Pauli, Fock, ...]

The rotation group is a continuous group.

There are also finite groups, e.g. the symmetry  $A_5$  of



which contains 60 elements.

For the symmetry  $A_5$  to act on quantum mechanical systems, it needs to be **represented** by matrices.

$$A_5 \ni g \mapsto \rho(g) = \begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}$$

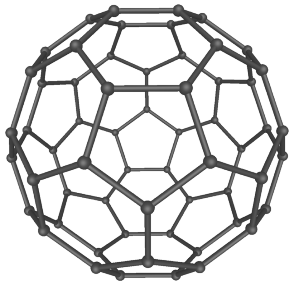
The size is known as the **dimension**, which is three in this example.

Irreducible representations and their dimensions of  $A_5$  are known:

name	$A$	$T_1$	$T_2$	$G$	$H$
dimension	1	3	3	4	5

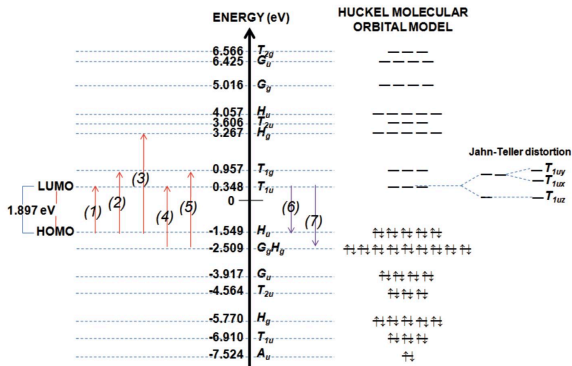
(There are two different irreducible representations with the same dimension 3).

We physicists are perfectly happy studying various concrete systems with various concrete symmetries. This is the schematic structure of  $C_{60}$ , the **fullerene**, from Wikipedia:



<https://en.wikipedia.org/wiki/Fullerene>

The properties of  $A_5$  is very useful (and essential) when studying its electronic properties, etc.



**Figure 7:** Schematic of the electronic structure of  $C_{60}$  as calculated by the Hückel model [80, 81] and its possible electronic excitation transitions experimentally observed in this study. The electronic excitation and emission transitions were numbered in the bracket as (1) to (5) and (6) to (7), respectively.

from T. E. Saraswati et al., *The Study of the Optical Properties of C<sub>60</sub> Fullerene in Different Organic Solvents*, Open Chem. 17 (2019) 119–1212

<https://doi.org/10.1515/chem-2019-0117>

Mathematicians think differently:

Let's classify all possible symmetries, say **all finite groups**.

Any **finite group** is made out of **finite simple groups**,  
just as any **integer** is a product of **prime numbers**.

So they say: let us **classify finite simple groups** first.

## Classification of finite simple groups:

- **Cyclic** group of prime order  $\mathbb{Z}_p$ ,  $p = 2, 3, 5, \dots$
- **Alternating** groups  $A_5, A_6, \dots$
- Finite groups of **Lie type**,  
obtained by considering continuous groups over finite fields,
- and finally, the 26 **sporadic groups**.

## Classification of finite simple groups:

The proof is said to be the **longest in the history** of mathematics. Originally announced to be complete in the late 1970s to early 1980s with papers and preprints said to **total 5000 pages**.

<https://doi.org/10.1090/S0273-0979-1979-14551-8>

A streamlined rewrite of the entire proof in a single series of volumes is going on for decades. It already has about **3500 pages**, but is yet not complete.

<https://www.ams.org/publications/authors/books/postpub/surv-40>

<https://www.ams.org/journals/notices/201806/rnoti-p646.pdf>



## Classification of finite simple groups:

- Cyclic group of prime order  $\mathbb{Z}_p$ ,  $p = 2, 3, 5, \dots$
- Alternating groups  $A_5, A_6, \dots$
- **Finite groups of Lie type**
- and finally, the 26 sporadic groups.

The last two series of finite groups of Lie type were found by Rimhak Ree (이임학, 李林學) in 1960/1961.

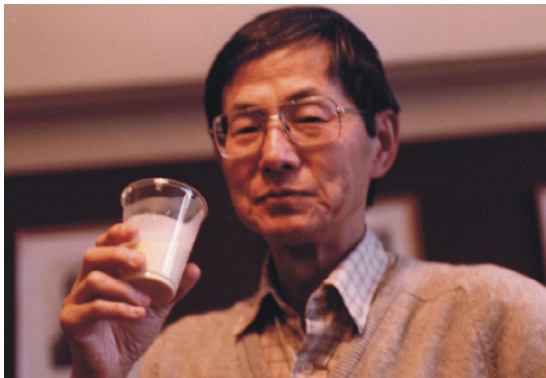
<https://doi.org/10.1090/S0002-9904-1960-10523-X>

<https://doi.org/10.1090/S0002-9904-1961-10527-2>

<https://dx.doi.org/10.5169/seals-685366>

They are called Ree groups.

Lie groups and Ree groups are difficult to distinguish for Koreans and Japanese alike, since we don't have distinctions between /r/ and /l/...



(Prof. Rimhak Ree, 1922–2005)

[http://news.khan.co.kr/kh\\_news/khan\\_art\\_view.html?artid=201510302151325](http://news.khan.co.kr/kh_news/khan_art_view.html?artid=201510302151325)

<https://horizon.kias.re.kr/13561/>

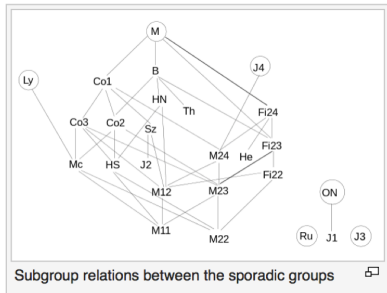
## Classification of finite simple groups:

- Cyclic group of prime order  $\mathbb{Z}_p$ ,  $p = 2, 3, 5, \dots$
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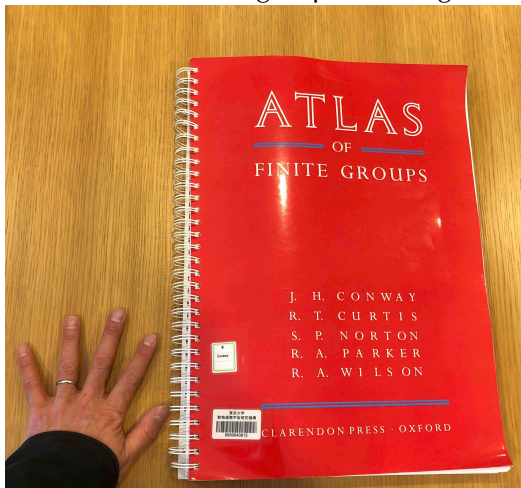
## Names of the sporadic groups [\[edit\]](#)

Five of the sporadic groups were discovered by [Mathieu](#) in the 1860s and the other 21 were found between 1965 and 1975. Several of these groups were predicted to exist before they were constructed. Most of the groups are named after the mathematician(s) who first predicted their existence. The full list is:

- **Mathieu groups**  $M_{11}$ ,  $M_{12}$ ,  $M_{22}$ ,  $M_{23}$ ,  $M_{24}$
- Janko groups  $J_1$ ,  $J_2$  or  $HJ$ ,  $J_3$  or  $HJM$ ,  $J_4$
- Conway groups  $Co_1$  or  $F_{2-}$ ,  $Co_2$ ,  $Co_3$
- Fischer groups  $Fi_{22}$ ,  $Fi_{23}$ ,  $Fi_{24}'$  or  $F_{3+}$
- Higman–Sims group  $HS$
- McLaughlin group  $McL$
- Held group  $He$  or  $F_{7+}$  or  $F_7$
- Rudvalis group  $Ru$
- Suzuki sporadic group  $Suz$  or  $F_{3-}$
- O'Nan group  $ON$
- Harada–Norton group  $HN$  or  $F_{5+}$  or  $F_5$
- Lyons group  $Ly$
- Thompson group  $Th$  or  $F_{313}$  or  $F_3$
- Baby Monster group  $B$  or  $F_{2+}$  or  $F_2$
- Fischer–Griess **Monster group**  $M$  or  $F_1$

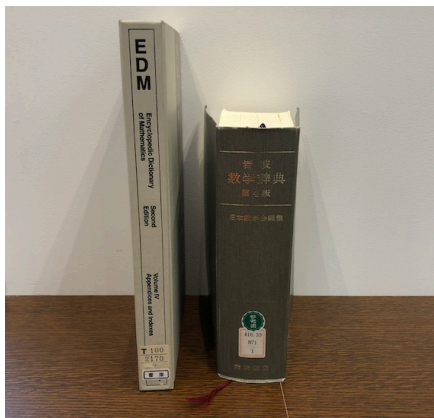


When it comes to the data of finite groups, nothing can beat **the ATLAS**:





Although not as comprehensive as the Atlas,  
this Japanese math encyclopedia is also quite useful:



which has tables of representations of *some* finite groups.

Mathieu groups:  $M_{11}$ ,  $M_{12}$ ,  $M_{22}$ ,  $M_{23}$  and  $M_{24}$

Found by Mathieu in 1861 and 1873.

The largest of them,  $M_{24}$ , has **244823040** elements.

It is the **symmetry** of the extended binary **Golay code**, introduced in 1949, only one year after Shannon introduced the information theory.



## Notes on Digital Coding\*

The consideration of message coding as a means for approaching the theoretical capacity of a communication channel, while reducing the probability of errors, has suggested the interesting number theoretical problem of devising lossless binary (or other) coding schemes serving to insure the reception of a correct, but reduced, message when an upper limit to the number of transmission errors is postulated.

An example of lossless binary coding is treated by Shannon<sup>1</sup> who considers the case of blocks of seven symbols, one or none of which can be in error. The solution of this case can be extended to blocks of  $2^n-1$  binary symbols, and, more generally, when coding schemes based on the prime number  $p$  are employed, to blocks of  $p^n-1/p-1$  symbols which are transmitted, and received with complete equivocation of one or no symbol, each block comprising  $n$  redundant symbols designed to remove the equivocation. When encoding the message, the  $n$  redundant symbols  $x_n$  are determined in terms of the message symbols  $Y_k$  from the congruent relations

$$E_m = X_m + \sum_{k=1}^{m-(p^n-1)/(p-1)-n} a_{mk} Y_k = 0 \pmod{p}.$$

In the decoding process, the  $E$ 's are recalculated with the received symbols, and their ensemble forms a number on the base  $p$  which determines univocally the mistransmitted symbol and its correction.

In passing from  $n$  to  $n+1$ , the matrix with  $n$  rows and  $p^n-1/p-1$  columns formed

\* Received by the Institute, February 23, 1949.  
 1 C. E. Shannon, "A mathematical theory of communication," *Bell Sys. Tech. Jour.*, vol. 27, p. 418; July, 1948.

with the coefficients of the  $X$ 's and  $Y$ 's in the expression above is repeated  $p$  times horizontally, while an  $(n+1)$  st row added, consisting of  $p^n-1/p-1$  zeroes, followed by as many one's etc. up to  $p-1$ ; an added column of  $n$  zeroes with a one for the lowest term completes the new matrix for  $n+1$ .

If we except the trivial case of blocks of  $2S+1$  binary symbols, of which any group comprising up to  $S$  symbols can be received in error which equal probability, it does not appear that a search for lossless coding schemes, in which the number of errors is limited but larger than one, can be systematized so as to yield a family of solutions. A necessary but not sufficient condition for the existence of such a lossless coding scheme in the binary system is the existence of three or more first numbers of a line of Pascal's triangle which add up to an exact power of 2. A limited search has revealed two such cases; namely, that of the first three numbers of the 90th line, which add up to  $2^{13}$  and that of the first four numbers of the 23rd line, which add up to  $2^4$ . The first case does not correspond to a lossless coding scheme, for, were such a scheme to exist, we could designate by  $r$  the number of  $E_m$  ensembles corresponding to one error and having an odd number of 1's and by  $90-r$  the remaining (even) ensembles. The odd ensembles corresponding to

two transmission errors could be formed by re-entering term all the combinations of one even and one odd ensemble corresponding each to one error, and would number  $r(90-r)$ . We should have  $r+r(90-r)=2^{13}$ , which is impossible for integral values of  $r$ .

On the other side, the second case can be coded so as to yield 12 sure symbols, and the  $a_{mk}$  matrix of this case is given in Table I. A second matrix is also given, which is that of the only other lossless coding scheme encountered (in addition to the general class mentioned above) in which blocks of eleven ternary symbols are transmitted with no more than 2 errors, and out of which six sure symbols can be obtained.

It must be mentioned that the use of the ternary coding scheme just mentioned will always result in a power loss, whereas the coding scheme for 23 binary symbols and a maximum of three transmission errors yields a power saving of  $1\frac{1}{2}$  db for vanishing probabilities of errors. The saving realized with the coding scheme for blocks of  $2^n-1$  binary symbols approaches 3 db for increasing  $n$ 's and decreasing probabilities of error, but a loss is always encountered when  $n=3$ .

MARCEL J. E. GOLAY  
 Signal Corps Engineering Laboratories  
 Fort Monmouth, N. J.

TABLE I

	$Y_1$	$Y_2$	$Y_3$	$Y_4$	$Y_5$	$Y_6$	$Y_7$	$Y_8$	$Y_9$	$Y_{10}$	$Y_{11}$		$Y_1$	$Y_2$	$Y_3$	$Y_4$	$Y_5$	$Y_6$	$Y_7$	
$X_1$	1	0	0	1	1	1	0	0	0	1	1	1	$X_1$	1	1	1	2	2	0	0
$X_2$	1	0	1	0	1	1	0	1	0	0	1	0	$X_2$	1	1	2	1	0	2	2
$X_3$	1	0	1	1	0	1	1	0	1	0	1	0	$X_3$	1	2	1	0	1	2	2
$X_4$	1	0	1	1	1	0	1	1	0	1	0	0	$X_4$	1	2	0	1	2	1	1
$X_5$	1	1	0	0	1	1	1	0	1	1	0	0	$X_5$	1	0	2	2	1	1	1
$X_6$	1	1	0	1	0	1	1	1	0	0	0	1								
$X_7$	1	1	0	1	1	0	0	1	1	0	0	1								
$X_8$	1	1	1	0	0	1	0	1	0	1	1	0								
$X_9$	1	1	1	0	1	0	1	0	0	0	0	1								
$X_{10}$	1	1	1	1	0	0	0	0	1	1	0	1								
$X_{11}$	0	1	1	1	1	1	1	1	1	1	1	1								

Reprinted from *Proc. IRE*, vol. 37, p. 657, June 1949.

[https://en.wikipedia.org/wiki/Marcel\\_J.\\_E.\\_Golay](https://en.wikipedia.org/wiki/Marcel_J._E._Golay)

What is a **code**? Computers use strings of bits, such as

**010110111 . . . .**

You can't directly communicate them over long distance, because transmission errors might flip bits.

You need to add redundancies so that small number of errors per bit can be corrected.

One encoding is the **Golay code**, which **encodes original 12 bits into 24 bits**.

It is a particularly symmetric code: the symmetry is  $M_{24}$ , as noticed by Leech in 1967.

<https://doi.org/10.4153/CJM-1967-017-0>

It was actually used in the real world. One famous example is NASA's Voyager mission. Some of the scientific data from Jupiter was sent back using the Golay code.

This is the actual data of Jupiter from March 1979 which I took from the NASA website.

<https://voyager.jpl.nasa.gov/mission/science/jupiter/>

(If you read the documents from those days carefully, you find that the photographic image was *not* encoded by the Golay code, which was considered too wasteful.)

<https://ntrs.nasa.gov/api/citations/19830002051/downloads/19830002051.pdf>

Before talking about the **Mathieu Moonshine**,

I need to talk about the original **Monstrous Moonshine**.

Modular  $J$  function

$$J(q) = \frac{1}{q} + 196884q + 21493760q^2 + 864299970q^3 + \dots$$

is known from 19th century.

McKay noticed the following in 1978:

The new finite simple group, the **Monster**,  
which is the largest of the sporadics,  
was being constructed at that time and has order  $\sim 8 \cdot 10^{53}$ .

The smallest nontrivial representation has dimension

**196883**

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**196883**



Connects two distant branches of mathematics

$J$  function : classical complex analysis  
Monster group : finite group

Sounded too crazy back then, and called the **Monstrous Moonshine**.

(The word *moonshine* means foolish thought.)

Mostly solved around the early 1990s  
[Frenkel-Lepowsky-Meurman], [Borcherds]

and many developments since then.

The proof used ideas from **two-dimensional quantum field theories**.



I can finally come back to the **Mathieu Moonshine**.

In his PhD thesis, Ooguri computed the partition function of a **string moving in K3**:

so are the numbers  $N_{h,1} - 2N_{h,0}$ .

$$\begin{aligned} F(\tau) = & 90q + 462q^2 + 1540q^3 + 4554q^4 + 11592q^5 \\ & + 27830q^6 + 61686q^7 + 131100q^8 + \dots \end{aligned} \tag{23}$$

This means that

- the first excited state has degeneracy **90**,
- the second excited state has degeneracy **462**,
- the third excited state has degeneracy **1540**, ...

Around the same time, there was also the following paper:

<http://eudml.org/doc/143625>

Invent. math. 94, 183–221 (1988)

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*Inventiones  
mathematicae*

© Springer-Verlag 1988

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**Finite groups of automorphisms of K3 surfaces  
and the Mathieu group**

*Dedicated to Professor Masayoshi Nagata on his 60th Birthday*

Shigeru Mukai

Department of Mathematics, Nagoya University, Furō-chō Chikusa-ku, Nagoya 464 Japan

which says that the possible symmetries of K3 are certain small subgroups of the Mathieu group  $M_{24}$ .

Eguchi, his advisor, thought:

so are the numbers  $N_{h,1} - 2N_{h,0}$ .

$$\begin{aligned} F(\tau) = & 90q + 462q^2 + 1540q^3 + 4554q^4 + 11592q^5 \\ & + 27830q^6 + 61686q^7 + 131100q^8 + \dots \end{aligned} \tag{23}$$

**These coefficients should be related to Mathieu group.**

And nothing happened for twenty years ...

In the meantime, I became a student of Eguchi  
obtained PhD in 2006, became a postdoc ...

T. Eguchi



H. Ooguri



me



Aspen, Colorado, **Aug. 6th, 2009.**



All three were in the workshop.  
We revisited the question.

I said:

**Why don't we look up the table  
in the Iwanami math encyclopedia?**

PhD thesis of Ooguri-san:

so are the numbers  $N_{h,1} - 2N_{h,0}$ .

$$\begin{aligned}
 F(\tau) = & 90q + 462q^2 + 1540q^3 + 4554q^4 + 11592q^5 \\
 & + 27830q^6 + 61686q^7 + 131100q^8 + \dots
 \end{aligned}
 \tag{23}$$

Iwanami Math Encyclopedia, 4th ed.:

数 6 I

数 表 6

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$M_{24}$	$(1)_{-}^{24}$	$g_{-}$	1 23 7·36 23·11 23·77 55·64 $\overline{45}$ $\overline{22\cdot45}$ $\overline{23\cdot45}$ $\overline{23\cdot45}$ $\overline{11\cdot21}$ $\overline{770}$
	$(1)_{-}^{24}$	$g_{-}$	23·21 23·55 23·88 23·99 23·144 23·11·21 23·7·36 77·72 11·35·27



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$M_{24}$	$(1)_{24}$	$g$	1 23 7·36 23·11 23·77 55·64 <span style="border: 1px solid red; padding: 2px;">45</span> 22·45 23·45 23·45 11·21 770
	$(1)_{24}$	$g$	23·21 23·55 23·88 23·99 23·144 23·11·21 23·7·36 77·72 11·35·27

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	$(1)_{24}^-$	$g_{24}$	23·21	23·55	23·88	23·99	23·144	23·11·21	23·7·36	77·72	11·35·27			

There is a correspondence!

We wrote a paper saying that there is a correspondence,  
and nothing more:

*Experimental Mathematics*, 20(1):91–96, 2011  
Copyright © Taylor & Francis Group, LLC  
ISSN: 1058-6458 print  
DOI: 10.1080/10586458.2011.544585



# Notes on the K3 Surface and the Mathieu Group $M_{24}$

Tohru Eguchi, Hiroshi Ooguri, and Yuji Tachikawa

## CONTENTS

1. Introduction and Conclusions
2. Appendix: Data on  $M_{24}$
3. Appendix:  $M_{24}$  and the classical geometry of K3

Acknowledgments

References

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We point out that the elliptic genus of the K3 surface has a natural decomposition in terms of dimensions of irreducible representations of the largest Mathieu group  $M_{24}$ . The reason remains a mystery.

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<https://doi.org/10.1080/10586458.2011.544585>

<https://arxiv.org/abs/1004.0956>

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<https://arxiv.org/abs/1004.0956>

My contribution was literally only the suggestion that we should look up the table.

Yes it was **essential**. But it was also totally **trivial**.

Eguchi and Ooguri *could have* looked up the same table in 1989.

This became one of the most cited papers of mine, and both theoretical physicists and mathematicians still work on it.



